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Interactive Storytelling for Children: A Case-study of Design and Development Considerations for Ethical Conversational AI

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Abstract

Conversational Artificial Intelligence (CAI) systems and Intelligent Personal Assistants (IPA), such as Alexa, Cortana, Google Home and Siri are becoming ubiquitous in our lives, including those of children, the implications of which is receiving increased attention, specifically with respect to the effects of these systems on children's cognitive, social and linguistic development. Recent advances address the implications of CAI with respect to privacy, safety, security, and access. However, there is a need to connect and embed the ethical and technical aspects in the design. Using a case-study of a research and development project focused on the use of CAI in storytelling for children, this paper reflects on the social context within a specific case of technology development, as substantiated and supported by argumentation from within the literature. It describes the decision making process behind the recommendations made on this case for their adoption in the creative industries. Further research that engages with developers and stakeholders in the ethics of storytelling through CAI is highlighted as a matter of urgency.

Keywords: Conversational AI, Intelligent Personal Assistants, Ethics of AI,

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1. Introduction

Conversational AI (CAI) agents are ubiquitous in the lives of adults and children across the developed world. Intelligent Personal Assistants (IPA) such as Cortana (Microsoft), Alexa (Amazon), Siri (Apple), and Google Assistant are perhaps the most well known form of CAI and are at the forefront of technological advancement. CAI has become more effective thanks to advances in automatic speech recognition (ASR) [1], Natural Language Processing (NLP) [2, 3], and Deep Learning (DL) models [4]. The fast paced evolution of Artificial Intelligence (AI) has led to the regular use of high performance CAI systems in day-to-day activities. CAI software enables individuals to communicate with a wide range of applications in natural language via voice, text and video. Researchers have begun to explore how these technologies are embedded within family practices and how interactions differ when involving adults and children (e.g. [5, 6]). Children start engaging with the internet and technology at a very young age for entertainment, education and social reasons. For instance “already one in four children between 5 and 16 years of age live in a household with a voice-activated virtual assistant in the UK” [7].

However, for younger users this is often without necessarily being aware of the associated risks [8, 9]. Sadly, children can easily access inappropriate content, or be manipulated online through communication technologies [10]. From a young age, children are learning what it means to develop and build relationships, establishing their place in the world. The nature and role of that interaction and the ultimate relationship shared between children and CAI agents demands attention. Children’s uniqueness is especially pronounced when we consider the stages of a child’s development and their interaction with technology. It is therefore extremely important to account for such differences. Issues such as confidentiality, representation, bias, responsibility, trust and veracity,

power and freedom related to CAI therefore become especially pertinent.

1.1. Defining AI in the context of conversational agents

30 AI is often referred to as an ‘umbrella term’ encompassing a range of tools inclusive of Machine Learning (ML), NLP and DL. Advances in AI have opened up the possibility of developing new forms of engagement, e.g. news, storytelling and interactive forms of entertainment [11, 12, 13]. While ethics increasingly dominates the AI literature, specific considerations of interaction design that
35 ensures the safety of children provokes the need for more urgent ethical reflection [14]. Our case-study addresses CAI challenges with respect to privacy, safety, security [15], and the effects on education and health domains [16]. For the purposes of this paper, we use IPA to refer to voice enabled personal assistants, and CAI to collectively refer to all systems that facilitate interaction in natural
40 language (e.g. text-based chatbots).

To the best of our knowledge, few studies have combined considerations of ML, NLP and DL innovation for CAI with a mapping of the ethical implications presented in the literature in the creative industries. Using a pilot case-study, we describe and reflect on the ethical design of a CAI meta-story tool for chil-
45 dren’s storytelling. By exploring previous research on both technical and ethical aspects, this paper reflects on the design and development decisions we made supported by argumentation in the literature. In doing so, we propose deeper and richer analysis of the issues for children’s storytelling CAI in the creative industries.

50 This paper begins with an overview of the ethical issues currently discussed with respect to children, both in policy and academic literature. This is then related to a mapping of the technical advances in the general area of CAI, focusing on acoustic models and data-driven models and the ethical considerations thereof as applied to our case-study - the development of a meta-story chat tool.

55 1.2. Emerging immersive AI technologies in the creative sector: context

The scope of this paper is storytelling applications within the creative industries. For context, although storytelling is already an important topic in

child-computer interaction, creative industry practitioners are looking to develop innovative and engaging experiences for children. As new forms of storytelling and immersive experience emerge, and virtual, mixed, diminished and extended reality projects become more commonplace the need to examine the associated risks becomes more pressing. Children may be encountering these technologies while they are still forming how they discern the difference between reality and fantasy (e.g. the use of Sesame Street in Stanford University’s Virtual Human Interaction Lab, Virtual Reality 101)¹. While certain aspects of the creative sector such as the ethics of games and children is relatively well researched [17] including a range of work on parental concerns and consent [18, 19, 20] including their gamified uses even to teach ethics [21], what happens with respect to children’s data as they interact with voice technologies for entertainment, poses deep moral concerns. Recent work suggests that such immersive experiences reveal a range of social issues including social isolation, desensitization, depersonalisation, manipulation, privacy and data concerns [22, 23]. The more widespread these immersive storytelling tools become, the greater need there is to reflect deeply on their design, in particular for children. Long and Magerko [9] highlight the importance of AI literacy, i.e. the competencies that enable individuals to critically evaluate and collaborate with AI technologies, and demonstrate the variety of factors that influence children’s perceptions of AI. This is critical to the ethical design of CAI and a crucial aspect of child-computer interaction. Indeed, there is a need to empower children in the design process through participatory approaches relevant to the child-computer interaction field [24, 25, 26].

For creative sector organisations, many of which are SMEs, simultaneously directing attention towards the development of exciting and engaging experiences and ensuring the ethical and safe deployment for children (which as highlighted poses a number of unique considerations), can be a daunting endeavour. Furthermore, the over-abundance of ethical guidance documents, coupled with

¹<https://www.commonsensemedia.org/research/virtual-reality-101>

the limited mapping of these high level principles onto practical implementation strategies makes this a difficult space to navigate, especially with respect to children. Researchers have highlighted how ethical guidelines often fail to
 90 acknowledge the important practical difficulties of implementing AI systems or the additional work required to translate these high level principles and their various implications into actual workflows [27, 28]. AI in the creative industries and digital storytelling in its current manifestation presents, at best, an inconsistent approach to responsible innovation of CAI for children, often with a need
 95 to join up the ramifications of situating such technologies within the home with the consequential impacts on users (children).

The inherent biases and assumptions underpinning current technical methodologies require the utmost scrutiny when applied to vulnerable groups such as children. As storytelling is a universal way of connecting with others and in
 100 the case of young people, these connections are vital to their mental wellbeing, safety, education and enjoyment.

1.3. Momentum in AI ethics

Responsible innovation in science and technology has a long history [29] but it is also a current issue and one with a newer research focus [30]. There is also
 105 a growing interest in bridging the gap between AI practice and governance [31]. This is reflected in the publication of a significant number of ethical guidance documents emerging from both commercial and academic sectors [32, 33]. The global political landscape also attends to issues concerning ethical AI e.g. see the European Commission’s White Paper on AI [34] and the Children’s Online
 110 Privacy Protection Act (COPPA) in the US.

Perhaps the most active in the policy area of online harms and children is UNICEF (2020) and UNESCO the latter of which, embarked on the development of a global legal document on the ethics of AI for children (2021)². The recommendations made by UNICEF include the need to closely examine pri-

²Elaboration of a Recommendation on the ethics of artificial intelligence

115 vacy, safety and security by providing identity protection, detecting harmful
content, focus on location detection and biological/psychological safety. Addi-
tionally, UNICEF is clear that inclusion and equitability are upheld - ensuring
that systems are checked to mitigate against historic bias which may stand in
the way of children’s fair chances in life. In this respect, biases might include
120 health, education, credit, financial status of family etc. Dignity should be up-
held with respect to automation of roles in the future and finally, the cognitive
and psychological implications of technology with respect to mental health and
manipulation should be explored. They suggest that a range of actors across the
AI community including scholars and agencies, need to come together to engage
125 with these concerns. The UK Centre for Data Ethics and Innovation, called for
participatory design of smart speakers and voice assistants stating that ‘[u]sers
are expected to be active participants in the development of these technologies’
[35]. They suggested that users should actively ask questions of their devices
about how their data is used and stored, and even exert market influence to
130 drive up demand for privacy preserving technologies. However, participatory
approaches in ethical design which actively consult stakeholders, children and
young people is a positive and progressive approach [36, 24].

We draw on argumentation from the academic and policy literature, to de-
scribe four emergent themes which guided the development and design of a
135 meta-story chat tool for children. The themes which guided the co-production
of this tool include: to consider the effects of CAI on the cognitive and lin-
guistic development of children; moral care; inclusivity; and regulation. This
paper aims to provide a lens through which to consider broader and deeper con-
siderations for the responsible development of CAI for children’s storytelling.
140 Seeded by our work with this pilot study, we aim to highlight several themes
with accompanying discussion that inform the development of responsible CAI
and to promote thought on future research. The following sections present the
findings of the technical and ethical scoping work.

2. Case-study overview

145 2.1. A pilot case-study: *AI Fan Along*

The focus of this paper is CAI for children’s storytelling and it reflects on a research and development pilot project to design a meta-story chat tool. We present a pilot case-study of work conducted with a digital agency committed to the responsible innovation of child-friendly CAI technology called ‘AI Fan
150 Along’. The project was motivated by asking what the guiding ethical questions and principles pertinent to the design and development of CAI for children are and how they map onto its innovation. In order to investigate and answer these questions, we undertook a pilot-study involving background research to understand the most recent developments in the design and development of
155 CAI for children from both technical and social perspectives. This led to the recommendations mapped out in the paper.

2.1.1. *Designing a meta-story tool for children*

The case-study which is the subject of this paper refers to the prototype ‘AI Fan Along’ - a meta-story chat tool to encourage children (ages 9-14) to engage
160 with characters, storylines and issues using voice AI technology. The overarching aim of the platform was to increase social development within children, focusing on developing higher levels of social, literary and empathetic understanding through immersive digital storytelling. The tool would allow children to safely engage with their favourite characters on TV shows through voice-assisted tech-
165 nology and was designed so that when an episode of a TV programme ends, a child will be encouraged to speak to the characters to reflect on the events and participate with suggestions and predictions for the next episode thereby directing the narrative. For instance, using the tool ‘Voice Flow’, questions would be posed to the user after watching an episode. Alexa would chime in ”Let’s go
170 back, rewind the clock. You know, like Doctor Who. I’m going to take you back and we’ll do like a replay, but this time you can change stuff. OK, let’s go!”, placing the child at the centre of the experience, building suspense and excitement. The goal was for a technical prototype to demonstrate an interactive,

in-character conversation. In doing so, we hoped to explore through user-testing
175 possible creative approaches - e.g. could current/ future platforms use the voice
of characters or actors rather than Alexa/Siri? and what commercial brands
this could be applied to.

Although entertaining, to place children at the heart of the storytelling experience in an immersive way through voice technology was acknowledged by
180 the developers as potentially harmful, raising a number of ethical considerations
such as consent and privacy. Through research and development, the research
team worked together to co-develop the technical design and ethical aspects of
this prototype. In the following, we explain the process and methodology that
was adopted to develop these recommendations. This pilot project was carried
185 out in 2020, over a three month duration with academic and industry partners.
The research was funded during the time of a national lockdown in the UK due
to the COVID-19 pandemic. Our approach was two-fold; to conduct research
on the technical potential of the tool and research on the ethical implications
of these technologies for practice.

190 2.1.2. *Review of the literature about the ethics of CAI Design*

From the perspective of ensuring ethical design of the tool, and in order to get
a richness of perspectives on the effects of the tool, the team’s original research
plan involved interviews with children and their parents testing the tool and
the analysis of transcripts. Due to the pandemic, the design had to be adjusted
195 and gathering qualitative data was not possible. Instead, the methodology was
adapted to include research on the ethics of CAI for children. This included
a non-exhaustive but thorough review of the current literature which resulted,
through thematic analysis [37], in guiding themes which aided the development
of principles and ethical reflection for both the company and the researchers.

200 Keywords developed to guide the non-exhaustive mapping of the literature
on the ethics of CAI for children from recent years (up to 5), concurrent with a
review of research on the technological research advances in CAI different categories included: CAI, ethical implications/ethics, children, young people, gen-

erations, safeguarding, impact, ASR, systems for conversational speech, voice
205 assistants, Alexa, Google Home, Nest, Chat. A review of Web of Science (WOS)
October 6th 2020 of the academic literature of voice assistants and children from
the last ten years returned 540 results. Many papers on children and CAI have
been published in the last 1-2 years. Narrowing the time period to five years,
a systematic mapping of the literature on the ethical implications of “conversa-
210 tional AI for children” yielded 211 results, and to include ethics a search yielded
a total of 11 items. These were fairly evenly distributed over the review period
from 2015 - 2020 with an increase in the last two years, excluding policy and
grey literature.

The research team met through regular meetings which resulted over the
215 three month period in two working papers covering both the technical and eth-
ical aspects of the work. The ongoing iteration of the findings throughout char-
acterise this case-study as a co-production project, whereby there was ongoing
dialogue and ethical reflection between the research and development team.

2.1.3. *Limitations*

220 It is important to note the limitations of this research and the associated ap-
proaches. We aimed to devise a set of recommendations for the industry partner
in a very limited time-frame. We do not have user experiences as a result of the
adjustment to our methods within the given time-frame and acknowledge that
further research will deepen our understanding by engaging with children and
225 their parents through ethnographic or semi-structured interviews. The search-
ing of the literature, though thorough, was not fully exhaustive or systematic
in nature, again owing to the time and scope of this limited pilot study. As
such, findings from this project may be limited in their generalisability. We
aim to show how investigations of other technologies informed our design. We
230 explore this by examining the technological options in CAI design supported by
the literature.

3. Technological considerations for AI Fan Along

Even though CAI could be an effective tool to aid children in their cognitive, social, and linguistic development, their didactic potential in storytelling context is not well investigated. The effectiveness of voice assistants in storytelling for children could be highly influenced by technical implementation of the chosen technology. In working on this case-study project it was necessary to review the technical implementations of CAI, as different methods pose distinct ethical challenges and the forms of interaction the system aims to support would require different architectures (e.g. answering questions about a specific book or TV show, through to more open ended forms of dialogue). For instance, to develop a customized meta-story tool, which would engage children with their favourite TV show, we found it was important to consider children’s linguistic development challenges. In particular, ‘AI Fan Along’ needed to support the child’s ability to express and understand feelings through an adapted technology.

A mapping of ML, NLP and DL innovation in CAI technology and the implications for the design and deployment of voice cloning systems for children was undertaken including a review of the most popular tools and frameworks in use by both industry and academia. This included research of current practices and ongoing co-production with the industry partner. Similarly, research on the audio aspects of the tools development was conducted, with a particular focus on ASR systems and their compatibility with child voices and physiology, and the viability of voice cloning technologies to allow diegetic immersion to be maintained. Regular meetings ensured good dialogue and knowledge exchange at all stages.

We mapped the literature in audio and speech using keywords: voice cloning, voice modelling, speech synthesis, deep fakes and voice spoofing, and performed searches concerning AI innovation using keywords; CAI, ASR, ML and voice assistants, neural approaches to conversational AI; DL models; NLP and IPA. We first describe the background to this work before describing the design choices.

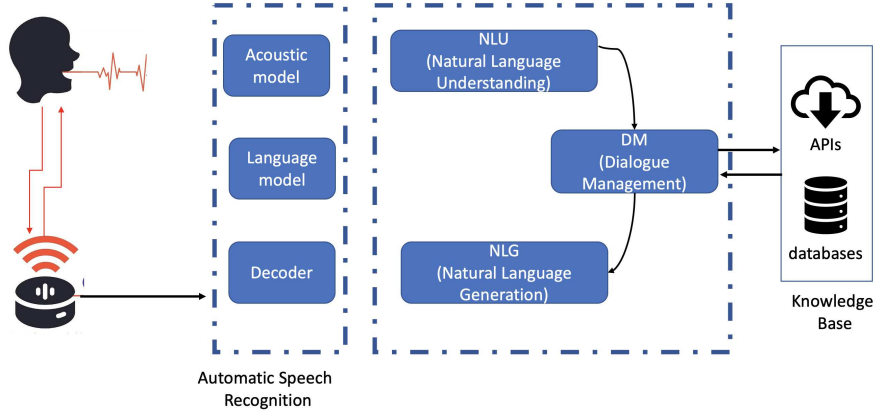


Figure 1: A high level architecture for voice-based CAI

3.1. Outlining CAI technologies

We aimed to provide our industry partners with a full picture on the CAI architecture and existing advances that could be easily adapted for AI Fan Along. As CAI requires the coordination and integration of several discrete systems performing pseudo-simultaneous tasks, we started by depicting the high-level architecture of CAI (see, Fig 1), as it is important to understand the potential role of each of them on the direct interaction between children and the voice assistant. Typically, CAI systems include an Automatic Speech Recognition (ASR), Natural Language Understanding (NLU), Dialogue Management (DM), Natural Language Generation (NLG), and Text to Speech (TTS) modules, which together constitute the high-level architecture of CAI.

It is important to highlight that the NLU, DM and NLG components collectively comprise the semantic layer and are responsible for inferring meaning from the input, determining an appropriate next action and generating meaningful responses to output in natural language. Design decisions are typically informed by the type of interaction the system seeks to support. This was particularly the case with our case-study as the CAI needed to support task-based and open components. Dialogues are typically classified as task-oriented, i.e. supporting

the user in completing a specific task, or open-domain, i.e. able to speak on
280 a range of topics as determined by the user. Different implementations invariably require distinct considerations, may be suited to support different types of dialogue, and pose unique challenges.

3.1.1. *Task-oriented dialogues Vs open-ended dialogues: how to make the choice?*

CAI applications for children may encompass task-oriented and/or open-
285 ended dialogues to support functional, educational, or entertainment-related interactions. However, selecting from the wide range of existing approaches in the case of ‘AI Fan Along’ was motivated by ethical concerns. In the following, we explore approaches used for implementing task-oriented and open-ended dialogue systems to identify their potential adaptation for AI Fan Along.

290 The NLU is a core component that interprets the meaning that the user communicates and classifies it into proper intent [38]. Rule-based approaches [39, 40, e.g.] have been widely used for both classifying the user’s intent and defining the system’s action, i.e. what is said. Rule-based approaches often follow an established set of dialogue-flows or handcrafted rules. This enables the
295 system to respond effectively to a specific domain (i.e., task-oriented dialogues), but may be less effective if users pose questions. Frame-based approaches use a template model to offer a more flexible approach. Consequently, the dialogue flow is not pre-determined, but adapts and incorporates the user’s input, and can integrate additional information sources from either the dialogue history or
300 an external database. For example, Question Answering (QA) systems draw on techniques from Information Retrieval (IR) to enable the user to receive a relevant answer to a question asked in natural language, with sufficient context to validate the answer [41]. QA agents employ large-scale Knowledge Bases (KB) or a document collection in natural language to retrieve information that
305 then populates ‘slots’ in the dialogue, to provide concise and externally validated answers.

QA systems have been employed for public engagement and entertainment purposes in culture and heritage contexts (e.g. [42]), and effectively enable

users to navigate the KB through conversational interaction. Such frame-based
310 approaches have also been used in open-domain dialogue contexts, such as the
ALICE chat-bot developed using AIML [43].

While designing a task-oriented dialogue system to assist users in performing
a specific task (e.g., making a hotel reservation) requires a relatively constrained
set of conversational possibilities, as this topic scope increases so will a system’s
315 complexity. A drawback of these approaches is that they have limited adapt-
ability and a challenge can arise when user utterances fall beyond the scope
of the dialogue-flow or domain of expertise (i.e., the used KB). Additionally,
even when the scope of an agent is clearly communicated, users often persist in
confronting them with off-topic or ‘out-of-domain’ talk [42, 44].

320 In the case of AI Fan Along, a child’s speech behaviour is more variable
than adults. While adults have been observed to modify their speech when in-
teracting with CAI, e.g. using shorter and simpler phrases [45], the same can
not be assumed for children. It is highly expected that children will produce
unformulated and unthought-out requests to ‘AI Fan Along’ as if it is a human.
325 Approaches for managing off-topic talk include changing the topic and integrat-
ing a retrieval component using additional responses drawn from a corpus of
film dialogues [44] could be particularly important to the design of a meta-story
tool. The literature reveals several attempts to understand child civility with
machines and spoken dialogue systems [46, 47, 48].

330 On the other hand, recent advances in Deep Learning (DL) and the availabil-
ity of large conversational datasets have made open-domain dialogue systems,
capable of generating content on a wide range of topics, more viable. Open-
domain dialogue systems rely mainly on data-driven models and end-to-end
(E2E) approaches [49, 50, 51]. These have seen great success due to the avail-
335 ability of benchmarks (e.g., ConvAI Competition³), and pre-trained language
models such as BERT [52]. One of the advantages of data-driven models is the
lack of dependencies on external resources such as API calls or KB. Moreover,

³<https://convai.io/>

these models can be totally trained from scratch independently from the NLU, DM and NLG components, which often require extensive domain expertise and contain limited design choices. Consequently, E2E systems demonstrate great
340 promise for generating conversation on a more diverse range of topics as they require less sophisticated annotation schema. Overall, data-driven models can be more flexible than rule-based systems, which make them more suitable for engaging in open-domain and social dialogues.

345 We found that although fully data-driven models are promising, they pose several challenges - particularly noteworthy with respect to our use-case. Neural response generation has a high likelihood of generating uninformative responses, e.g. “I’m not sure I understand”. According to [53] this issue is due to the training objective or a bias that emerges from the training data itself [53, 54, 55].
350 Efforts to develop E2E systems capable of generating more naturalistic responses have included the development of datasets addressing more social and human-like aspects of dialogue. This was important to investigate for the use-case of AI Fan Along. We found that the use of personas, as in Zhang et al. [56] and Lin et al. [57], could be a suitable solution. However, ensuring appropriate responses
355 are generated consistently remains a challenge. For instance, Lin et al. [57] point out that these approaches can still result in the development of morally dubious agents, who do not “have any sense of ethical value due to the lack of training data informing of inappropriate behavior” [57]. By reviewing the state-of-the-art work in CAI design, we were able to highlight the potential of using a
360 hybrid approach, using data-driven models that are tailored to specific personas together with rule-based approaches, which would need to be iteratively tested for safety. This would enable us to design a system that could respond safely and flexibly to children’s conversational patterns and adequately parses out-of-domain talk. This choice led us to investigate other important challenges in the
365 field, namely how can a child-friendly CAI relate to childrens’ specific speech patterns. Therefore, we investigated the role of the ASR, voice synthesis and voice cloning techniques with a view to enhancing the effectiveness of the chat tool.

3.2. ASR, voice synthesis and cloning, and human-centred variables

370 One of the most distinctive aspects of ‘AI Fan Along’ was its acoustic features that would enable it to maintain comprehensive and engaging conversation/interaction with children. The literature highlighted the importance of developing a tool that accounts for and understands the highly varied inconsistencies and mutability of children’s language. Hence, AI Fan Along required
375 an ASR module built to intentionally learn from the ways children speak. The following goes deeper into features of ASR and voice cloning to distinguish possible challenges to be considered for adaptation of existing technology in our context.

Automatic speech recognition is a core element in CAI that has a direct
380 impact on the quality of interaction. ASR is the process that translates user-spoken utterances into text. The performance of an ASR system depends mainly on the robustness of its components, however, its ability to successfully handle the variability in the audio signals play a key criterion. Here we outline the ways in which many CAI designs and systems are more appropriate for adults
385 and do not fully consider the physical and physiological development of children in their design. ASR faces several sources of acoustic variability [58], which is caused by complicated interaction and speaker characteristics. These can be categorized as: firstly, *within speaker variables*, these concern momentary and longitudinal variations in the voice due to emotional expression and arousal [59],
390 illness, age [60, 61], body mass [62] etc. All these factors need to be accounted for by the acoustic model to be representative of all potential speakers in all states. Secondly, *between speaker variables* (i.e. variations in spoken language, vocal tone and speech style) which mainly concern different accents, non-native accents, dialects, slang, speech impairment and disorders, gender [63, 61] and
395 even race[64]. The issue of speech impairment is particularly relevant in the case of children whose speech and articulation are still developing. Usually, children over-enunciate words, elongate certain syllables, punctuate inconsistently or skip some words entirely. Their speech patterns are not beholden to the patterns used for training systems built for adult users. Collectively, these variables impose a

400 significant logistical challenge and necessitate substantially broad training data
to provide any sense of accuracy.

Moreover, the *audio quality* factor (i.e. the quality and clarity of speech
received by the ASR device) also creates a possible technological bottleneck.
The positioning of microphones within a physical CAI interface/device and the
405 qualities of the space in which a device is placed (in addition to the position
of the device within the space) are a critical factor that can influence the intel-
ligibility of speech. The microphone directivity (polar pattern), arrangements
of multiple directional microphones in an array, and frequency response(s) of
said microphones employed within the device may necessitate different post-
410 processing to any received speech, as will the method of transduction (dynamic,
electret, or boundary-design) [65]. Furthermore, the relative distance factors,
critical distances, and the reverb time (RT60) and average absorption of the
space will impact the intelligibility of any received speech. Finally, the shape
of surrounding material, absorption coefficients of surrounding materials, and
415 environmental noise within the space present another potential hurdle for ASR
systems. Simply expressed: placing a CAI device on a high countertop in a
reflective space such as a kitchen may preclude children from interacting with
the system simply because of acoustic features and transduction methodologies.

Within many CAI agent interactions a spoken response from the agent to
420 the user is often required e.g. responding to questions, observing reminders,
timing information. To generate these responses, several common systems are
employed. Voice banking and phrase banking have been in use in various sys-
tems, notably in telephony systems and for individuals with vocal disabilities
[66], for several decades. However, the systems have been superseded by synthe-
425 sis approaches that produce naturalistic intonation and rhythm patterns. These
systems can be divided into Text-To-Speech (TTS) that generate the text-based
semantic content of the phrases spoken, and the synthesis components that gen-
erate the corresponding audio i.e. the ‘spoken text’.

The TTS synthesis procedure and acoustic models are major elements of
430 ASR and any improvement towards CAI for children needs to consider them.

In particular the TTS is a sequential process that produces a speech utterance from an input text involving a set of high-level modules [67]. A lot of advances have been achieved in TTS development including WaveNet [68], TACOTRON [69], and Deepvoice. For instance, researchers from Baidu’s Silicon Valley Artificial Intelligence Lab have presented three iterations of their Neural TTS system *DeepVoice 1*, *2* and *3* [70, 71, 72]. All three system iterations share a core architecture based on a segmentation model for locating phoneme boundaries with deep neural networks, a grapheme-to-phoneme conversion model, a phoneme duration prediction model, a frequency prediction model, and an audio synthesis model using a variant of WaveNet. Constructed entirely from deep neural networks, DeepVoice 2 allows synthesis of speech from multiple speakers, with a significant improvement in audio quality. More interesting systems have been proposed taking the DeepVoice system as baseline, these include the neural voice cloning system [73] by Baidu research lab, representing a big step toward personalized speech interfaces. It learns to synthesize a person’s voice from only short fragments of audio by applying speaker adaptation and speaker encoding approaches [1, 2]. Besides achievements in the TTS and ASR field, existing systems are not designed for use with children, whose voices, and speech behaviour are more complex than that of adult users. The ability to replicate or otherwise synthesize a range of possible respondents in a CAI system raises important questions and challenges concerning inherent bias, race, gender, disability, nationality etc. These questions, arguably some of the most pressing considerations when working with children and CAI, are explored in greater depth in the discussion.

We now draw together the themes noted in the academic and policy literature with respect to ethical design of CAI for children and discuss their implications both within the context of the use-case but also for their broader adoption within the creative industries.

Table 1: Themes from the Literature on the Implications of CAI for Children.

Theme	Description
1	Cognitive & Linguistic Development (e.g. Educating Youth / Learning / Accessibility).
2	Moral Care and Social Behaviour (e.g. Civility / Relationships / Child-Agent Interaction).
3	Ethical, Regulatory and Legal Aspects of Voice Agents for Children (e.g; Privacy / Security).
4	Inclusivity (e.g. Gender / Race / Bias).

4. Ethical considerations for AI Fan Along

460 Guiding the decisions and recommendations for the responsible innovation of this meta-story tool were four broad themes as drawn from a mapping of the literature shown in 1.

We discuss these themes with reference to the design choices made of this meta-tool and discuss their implications.

465 4.1. *Child cognitive and linguistic development*

Research shows that child-agent interactions have implications for cognitive, linguistic and educational development [74, 75]. IPAs are often described as fundamentally different to interactions between humans [75] with vast potential for supporting children’s learning and development. Research suggests that 470 children have a propensity not to share information, instead occupying a ‘silent world’ in which children don’t communicate about what they are seeing around them [75]. The meta-story tool needed to improve the communicative interaction between IPA and children or reduce it where it was harmful. What is clear in the literature is the level of children’s enjoyment of IPAs despite children 475 not having the same expectations of IPAs as they do humans. Instead, the technology opens up the opportunity for young children to explore knowledge,

especially for those unable to read yet [76]]. In this case, IPAs provides access to internet searching and speeds up the development of children’s ‘question-asking behaviour’, something which is also explored in other aspects of the literature on semantics and invariance [77]. Research on specific tools like Amazon’s Alexa reveals further considerations with respect to cognitive development. Lopatovska et al., describe how children uniquely used Alexa for telling the time, perhaps because their time-telling skills are still developing, and, that unlike adults, that they did not use Alexa for games. However, more work is needed to understand the positioning of Alexa (and other IPAs) in children’s information landscape [78, p.994]. Specifically, the benefit that IPAs provide young people means that they can access information which would normally require the ability to read and write [76]. In the design of the met-chat tool, such considerations became important.

As discussed, the relational aspects of the interaction such as ensuring fun and enjoyment, enabling engagement and ‘exploration’ all enable children to develop functional skills. However, concerns remain about the extent to which young children are understood by a voice agent which suggests there is a need to better support children and their parents as voice agents become a greater source of answers to their questions [76]. Importantly, the need to regulate young children’s use of voice agents is still required and a more robust approach to gathering child/parent data is required as much of the data was self-reported and there is potential for bias [76, p.388].

Further research describes likely impacts upon the cognitive development of children and outlines areas for future research on the ‘functioning of children’ [79]. Special considerations are noted because of the personal and natural nature of voice communication and there are suggestions that IPA can affect linguistic habits of children, particularly with respect to politeness affecting ‘their 175 interpersonal dealings later in life’ [79]. Finally, CAI is reported to encourage children to expect gratification or ‘immediate responses to their requests’ [80]. Some studies suggest IPA seem more real to children and they see them as

friends / companions / BFF's ⁴ [81, 79, 82] - there are also concerns about reinforcing bad behavior or undesirable traits such as incivility e.g. how agents reward proper pronunciation, instead of politeness and manners [6]. Fears about the effects on social relationships - where the anthropomorphised voice agent becomes an 'imaginary friend', listening to the children and harbouring their secrets are noted [79]. In this regard, speech and thereby anthropomorphism can be seen to affect humanisation [83]. These aspects relate to the inclusion of children with impairments and disabilities. While the benefits for entertainment and accessibility seem clear, much research stresses the developmental aspects of how children acquire, process information and how they then might ultimately translate that into the world. These considerations formed a key part of the audio and technological development of the tool.

4.2. *Speech and linguistic development*

We found that there is much research on the way in which CAI understands childrens' speech with a corpus of work on the analysis of language / developmental aspects [84] critical to the responsible design of AI Fan Along. As previously alluded to, children's speech is not yet developed and CAI are regularly found to misunderstand and research has explored whether CAI is able to uncover language discrimination in children [84]. The literature suggests there is a need for inclusive solutions. Druga et al.'s study of child-agent interaction (Alexa, Google Home, Cozmo and Julie Chatbot) [6], provides one such example posing a series of questions to children (aged 3-10 years) related to trust and their experiences of the interaction. They found child-agent interactions were particularly revealing about children's reflections of their own intelligence in comparison to that of the agents. The same study suggested that 'different modalities of interaction' may change how children perceive their own intelligence in comparison to agents. Agent voice, tone and friendliness are regularly

⁴<https://interestingengineering.com/research-says-kids-will-be-bffs-with-robots-in-the-future>

mentioned as important considerations in ensuring interactive engagement and
 535 facilitating understanding and interactivity through expressions of characters’
 ‘happy eyes’, for instance. This echoes the literature on social robots which
 promotes the importance of tone and voice pitch, humour and empathy. We
 suggest that much could be applicable to voice agents where the voice pitch is
 seen to have a ‘strong influence’ on user experience and enjoyment [85]. Fur-
 540 ther, in order to better child understanding of systems, research indicates that
 designers ought to consider embedding into design a transparent mechanism of
 explaining why an agent can/cannot answer a particular question to help in
 re-framing it to the child, and ensuring better understanding like human inter-
 action [86]. These small design considerations are important for ensuring that
 545 agents become more like companions than foes and link to issues of trust and
 transparency.

4.3. Moral care and social behaviour

Much of the CAI literature speaks to debates about moral care and social
 behaviour. The Human-Robot Interaction (HRI) literature relates closely to
 550 this (Ayanna Howard’s research provides clear examples) [87] and the field for
 some time has looked into child-robot interaction and its effects on non verbal
 immediacy and childrens’ education [88, 89, 90], and how people treat comput-
 ers, TV and New Media like real people [91]. Mayer, Sobko and Mautone’s
 proposed Social Agency Theory [92] argues that the social cues of a computer
 555 (e.g., modulated intonation, human-like appearance) encourage people to inter-
 pret the interaction with a computer as being social in nature. Indeed, some
 users report having emotional attachments to their voice agents [93] and this is
 often debated in the literature because it infers ‘humanness’ - when some claim
 human-like feelings should be reserved for human interaction [94]. Research
 560 suggests that humans are more likely to engage in deep cognitive processing to
 make sense of what an artificial agent is saying and communicate accordingly.
 Children are shown to form bonds with robots and react with distress when
 they are mistreated [92] but associate mortality with living agents and less so

robots and non living agents, which is seen to relate to them showing less moral
565 care/ less involvement in sharing [95]. Some suggest interaction with CAI could
hinder pro-social behaviour and to investigate repeated interaction over time.
As such testing of the tool in this regard was suggested. A further study by Bon-
fert et al.’s study responds to the media’s portrayal of how children ‘adapt the
consequential, imperious language style when talking to real people’ [96, p.95].
570 The experiment involved rejection when children made impolite demands, and
found they adapted and behaved more outwardly politely, saying please, etc.
However, many reported feelings of discontent toward the AI. Our research re-
vealed several attempts to understand child civility with machines and spoken
dialogue systems [46, 47, 48].

575 Finally, from a user-gender perspective, we were curious about considera-
tions across variables. Research suggests no gender differences with respect
to politeness, whereas males expressed more frustration [97]. As children are
still learning how to formulate speech and infer meaning from interaction, it
was noted that designers should accommodate and be responsive to the differ-
580 ent languages of child users of varying ages and demographics. Collection of
large scale data on children of different ages and backgrounds to pull out the
‘idiosyncratic features’ of children’s spoken word was also recommended when
personalising CAI [97].

4.4. Regulatory and legal aspects of voice agents for children

585 Acknowledging a recent systematic review of ethics and children-computer
interaction [98], we find many ethical issues arising from the use of CAI, par-
ticularly with respect to surveillance [99], privacy and security. This results in
a need for transparent design, education and regulation. For instance, studies
describe IPAs as posing ‘unique problems’ concerning surveillance; i.e. they
590 can be activated by anyone asking it questions, potentially getting access to
personal information [100]. Research suggests that ‘major security risks’ are
mitigated by voice printing systems. Children are however especially vulnera-
ble to cyber-attacks and there are perceptions that systems are listening ‘at all

times’.

595 Children’s privacy is vital [100] (e.g. the case of surveillance and Mattel’s
‘Aristotle’⁵) because all interactions are recorded and analysed [79]. Much of
the current research debates the role an IPA ought to play with respect to
safeguarding and violation of the law e.g. if a child reveals they are being abused.
In order to tackle these issues, research suggests that designers ought to consult
600 their own values [79]. Much of the research suggests a need to manage parental/
user expectations. Research suggests that children do not show awareness of the
fact that the gadgets recorded interactions, whereas parents do [15]. Parents
express concern about online privacy with respect to internet connected devices
as well as concerns about recording and monitoring child activity and what
605 data is held by companies [15, p.5201]. Parents also are seen to be concerned
over control and supervision, citing a lack of time to go through hundreds of
recordings even if they were made available [101].

Conversely, it is also reported some parents find it useful to monitor their
children using recordings as research suggests that parents would not wish to
610 share their child’s recording on social media [15]. This is at odds somewhat
with the findings from the children (from the same study) [15]. In this study
many children did not know the device was recording and some were reported
to have tricked the system through secretly wanting to speak to the device at a
fair distance from their parents (2 out of 4 participants said they would tell a
615 toy/device a secret) [15]. This highlights the need to consult both parent and
child about these key issues and shaped our discussions about future qualitative
work involving children and parents. Research recommends that in order to
improve security and privacy: designers might 1) to include ‘visual recording
indicators’ - to raise transparency and show off the capability of the device, 2)
620 offer parents the opportunity to to engage with privacy decisions, 3) consider
trust and consent - on the one hand providing the ability for parents to monitor

⁵[https://www.theverge.com/2017/10/5/16430822/mattel-aristotle-ai-child-monitor-](https://www.theverge.com/2017/10/5/16430822/mattel-aristotle-ai-child-monitor-canceled)
canceled

their children might safeguard them but also poses ethical and trust issues [15].

Finally, research suggests that flexible interaction is important. For instance, being able to ask questions that they choose themselves to enforce existing child
625 privacy protections through regulation [15, 101]. Further, the same study found that children would learn quickly and develop new ways to interact with technology flexibly [15]. Van Riemsdijk et al. investigated the ethical issues surrounding creating ‘socially adaptive electronic partners’ [102] and also emphasized flexibility. For instance, it was important to consider the context and how adaptive
630 the technology is. For example, violating certain norms such as freedom, privacy etc, only if it is in the best interest of the user or the greater good, i.e. the case of an accident and releasing medical data [102, p.1204]. Flexible systems might ‘alleviate ethical concerns’ providing ‘contextual integrity’ [103]. The need to ensure that systems ought to prevent unethical use, e.g a school using technology
635 to find out if a child is skipping school is noted. Notwithstanding the limitations of contextual ethics, the importance of considering the contextual use and the everyday ethical norms which govern user behaviour remains pertinent.

4.5. Building transparent and trustworthy CAI

Issues of trust and transparency regularly emerge with respect to CAI ethical
640 design [15]. Transparency has been at the forefront of the AI ethics debate as it is a tool which helps to generate trust and ultimately understanding in technology. The recent focus on transparency has led to some innovative modelling of smart assistants in order to tackle the issue [104]. Following our research we were clear that designers might consider explicit and implicit ways of ensuring transparency
645 in CAI design to build respect and trust. This links to notions of fairness and inclusivity.

Fairness is a key concept in the development of CAI technology for children. In AI, and ML field in particular, practitioners call for fairness as a solution to promote inclusivity and overcome bias (i.e., algorithmic and data bias) [105].
650 Many interesting approaches have been proposed to approach fairness in AI, such as ML AI Fairness by IBM [106]; and FATE: Fairness, Accountability,

Transparency, and Ethics in AI toolkit [107]. Google has also released a version of what they called Fairness Indicators [108], which is mainly a suite of tools that enable regular computation and visualization of ‘fairness metrics’ for ML models. In 2020 they presented ML-fairness-gym a set of components for building simple simulations to explore long-term impacts of ML models [9] but many of the attempts of companies have been accused of tokenistic ethics washing.

In order to promote inclusion, much of the literature focuses on negative gender stereotypes in IPAs particularly with respect to women [109, 110]. Key research including UNESCO’s 2019 paper ‘I’d blush if I could’ set the scene, voicing concern about assigning gender to voice assistants and the ‘troubling repercussions’ vis a vis children’s digital skills development [111, p.85]. Additionally, much research draws attention to the issue of gender in design - rather than gender being implicit to voice - the listener assigns gender to the voice [112]. It is suggested that until at least mid 2017, agents were evaluated as perpetuating gender stereotypes [111]. There is also interesting work on misuse and abuse of social agents [109]. Gendered aspects of voice are not the only elements to consider: the branding, the appearance, the quality of the voice, specific pronunciations, etc are also important [112]. In the broader literature, Pearson & Borenstein looked into the ethics of designing companion robots for children - they suggest that an unexplored area is that of gender, which is something which has been a focus with respect to CAI in terms of persona and accent [82]. For instance, one study found that if a robot has a male or female tone of voice, this will seriously affect the way we interact with it [113]. Similarly, research found that people trust a female voice more and found it to be more persuasive [114]. Coeckelbergh [115] suggests that this is simply reflective of our daily feelings and preferences with respect to gender norms and expectations reflective of stereotypes [116] and others talk about how humans assign their own gender to robots suggestive that one should neither gender technology, nor racialise it [117]. Some scholars suggest that males prefer male agents and female, female agents. This has paved the way to thinking about gendering CAI e.g. [118] - who notes that the default voice for IPAs is almost always feminine

and that their names are also female ‘Cortana and Alexa’ - indicative of a social signalling of gendering agents from embedded design - that their voice to language use and content. The ‘neutral’ Google Home is described as gender-less
685 but only in name as it’s voice is female - which is the same for Siri [119, 120].

There is also increased focus on racial bias and injustice in technology [121]. Human-agent (chatbots) interaction is influenced by racial mirroring - affecting interaction with agents with respect to ‘personal interpersonal closeness, user
690 satisfaction, disclosure comfort and desire to continue interacting’ [122]. The design implications are clear - that ‘racial mirroring facilitates the interpersonal relationship between client and agent’ [122, p. 430]. This should be borne in mind when customising personas of (in their case) therapeutic agents, and more generally other kinds of agents⁶. Recent research describes how the white,
695 feminine voice “reflects characteristics of white femininity in voice and cultural configuration for the purposes of white supremacy and capitalistic gain”, projecting white supremacy [123]. Others refer less to vocal cues relating to race and instead look at content and the culturally value-laden positioning of what subjects are deemed appropriate or not [124]. These findings indicated to the
700 team that in terms of the meta-story chat tool it would be important to go beyond the voice when considering gender and racial issues in CAI design and to consider what is appropriate content for a particular use and what an appropriate response from a user would be. This scoping provided the research team with a clear approach from which to indicate recommendations and suggestions
705 for the design of AI Fan Along. We outline these in the following section.

5. Design guidelines for a responsible storytelling tool

We now draw together the discussion points toward what resulted in design recommendations for the responsible development of the meta-story tool. Informed by the literature and in consultation with industry, we firstly proposed a

⁶The authors note the limitations of generalising these findings beyond the setting; therapeutics and the geographical context; the US.

710 series of broad ethical considerations for developers of a meta-story chat tool for
children. These questions are informed by the work outlined in Sections 3 and 4
and can be understood as a summary of considerations noted in the literature:

- Q1. What data will be collected?
- Q2. How will the collected data be used?
- 715 Q3. How far and in relation to which regulations has the AI safeguarded chil-
dren’s safety and privacy?
- Q4. How do we develop a child-friendly and engaging CAI and what behaviours
should it exhibit?
- Q5. How do we reflect on and mitigate against bias?
- 720 Q6. How do we ensure inclusive, responsible innovation and use participatory
design techniques?
- Q7. What technology and approaches should be adapted to provide moral care
and direct pro-social behaviour?

Using these broad questions as a base-line, we draw together the discussion
725 to describe how we approached these with respect to (a) regulatory and legal
(b) cognitive and linguistic development (c) inclusivity and (d) moral care and
social behaviour as identified in the literature. These four design principles are
derived from the set of considerations specifically for AI Fan Along. The four
principles are derived through thematic analysis to form grouped codes / themes
730 from literature studied. Across the team we checked these themes for inter-coder
reliability. These are grouped under broad themes as described. We accept that
these themes themselves may be inter-linked and entangled and provide only
guiding themes at this stage.

5.1. Ethical design of meta-story tool AI Fan Along

735 5.1.1. Regulatory and legal aspects of CAI

The ethical considerations of this meta-story chat tool were primarily concerned with data, privacy and user-security. Attending first to Q1 and data collection, we were conscious that the meta-story tool would collect voice recordings of the child-agent interaction - as a consequence, designers and developers
740 must consider hosting and the security of the chosen system architecture. We proposed that an intelligent data privacy solution be implemented, including the gathering of consent from the parents and carers in line with data protection and privacy - particularly important when considering third party/external industrial collaboration. Additionally, we proposed that particular attention should
745 be given to parental permissions and levels of control. Testing with users and parents would be paramount in its further development.

In response to Q2 about the use of the data collected, there are clear concerns about surveillance in CAI and the extent to which AI voice assistants are always listening and the efficacy of wakewords. We recommended that CAI should not
750 run as a background process, but rather should provide parents with the control to turn it on (e.g. directly after a TV show in order to start discussion between CAI and child). Transparency is of course key to this. We therefore suggested that CAI development should be clear about what data is collected, where it will be stored, as well as acting in compliance with GDPR. Parents should be
755 asked to provide consent for the use of personal data in the development of the technology.

With respect to Q3 about how far and in relation to which regulations has the AI safeguarded children's safety and privacy, there is a need to examine children's privacy, safety and security by providing identity protection, detecting
760 harmful content and by focusing on location detection and biological/ psychological safety. UNICEF is clear that another risk for children pertains to inclusion and equitability. Ensuring that systems are checked to mitigate against historic bias which may stand in the way of children's fair chances in life becomes a key

point of ethical reflection. Research debates the role that a voice assistant ought
 765 to play with respect to safeguarding and violation of the law, for example; if a
 child were to reveal they are being abused. In the UK children can consent to
 information services at age 13 enabling them to engage freely with the internet,
 which is an important and largely unavoidable tool. We recommend that de-
 signers are transparent about their decision making with respect to safeguarding
 770 and do so in line with litigation and child privacy law (see OFCOM and the
 DCMS’s Online Harms White Paper, 2019 [10]).

5.1.2. *Cognitive & linguistic development*

Concerning Q4 concerning the behaviour and friendliness of agents, we pro-
 posed that designers consider their duty to consider how this impacts child
 775 development. For instance, child-friendly CAI can have a number of educa-
 tional and commercial benefits and its personalisation can be very effective in
 engaging children in storytelling. A solution that presents CAI agents as per-
 sonalised persona, based on show script scenario, allows the development of a
 more friendly, emotional, civil and engaging CAI. With respect to the meta-
 story chat tool we drew attention to three dimensions related to personalised
 780 CAI: (1) what is personalized, i.e, content, user interface, etc; (2) for whom is it
 personalized, i.e., sensibility of a child’s context; and (3) the level of automation
 of personalisation. Relatedly, CAI design should consider the speaker’s variabil-
 ity, including age and emotion etc. This improves both the personalisation and
 785 broadens the inclusivity of CAI.

5.1.3. *Inclusivity*

As discussed inclusivity is a key consideration relating closely to the broad
 prompts outlined in Q5 and Q6 related to bias and participatory design. We
 noted that many of the adopted practices to ensure fairness are limited to quan-
 790 titative techniques, e.g., statistical models or tools that mitigating algorithmic
 and data biases, and assess fairness by sampling uncertainty [125], or de-biasing
 gender [126]. In order to ethically design CAI for children, we proposed that

these methods engage with the relevant ethical literature outside of the NLP or AI fields [127]. In order to ensure fairness in CAI design, we called for an inclusive approach in the early stages of the design process. For example; inclusive methods to ideate answers to key questions like how to develop transparent algorithms and models that mitigate bias; e.g. adopting a task orientated dialogue system to avoid pitfalls of algorithmic bias. At all stages, we proposed that designers should consider how bias may have seeped into the development of CAI - pertinent with respect to all aspects of CAI, not just the voice.

With respect to Q6 about inclusive design, we suggest that the design of CAI should be participatory [24, 25, 26]. We note how children are so often not included in co-production, though research involving the views of younger people are emerging [128]. By involving children and their parents in the design, it would be feasible to explore how far children use agents for entertainment, learning and more, especially with respect to the thematic areas we describe, particularly in the testing phase and for supporting positive child development. This was suggested for further research and development. This kind of user-involvement should keep participants as fully informed as possible about the objectives and procedures of the research to improve AI literacy [9]. Indeed, deception of participants (deliberately mis-representing the purposes and aims of the study) must be avoided whenever possible and any deception should be revealed during debrief interviews with parents/guardians.

We noted that it is not out of the question that designers may need to employ some deception during the ‘field tests’ should there be issues with the proposed prototype and/or AI voice recognition. This should be limited to obfuscating the mechanisms by which children’s interactions will be tracked, and in some instances may require responses from the prototype to be selected by researchers rather than the AI.

In advocating a participatory approach, designers must ensure that parents/legal representatives understand consent, the objectives, any potential risks and the conditions under which the research is to be conducted. They should have been informed of the right to withdraw the child / young person from the work

at any time and have a contact point where further information about the work
825 can be obtained.

Further, we advised that designers of CAI should consider the potential
vulnerability of children to exploitation in interaction with adults (potential
power relationships between adult/child) in any testing and how this might
affect the child’s right to withdraw or decline in participating. We suggest that
830 designers provide information about the task to children in an accessible way,
properly explain data gathering and protection and manage expectations. We
recommend that designers approach families in a timely way to ensure that
children have time and opportunity to access support in their decision making
about taking part. Where participants are not literate, verbal consent may
835 be obtained and then documented. Every effort should be made to deal with
consent through robust dialogue with both children and their parents. Whenever
practical and appropriate, a child’s assent will be sought before including them
in the research. Future research should consider error scenarios in order to
consider unforeseen risks and ethical concerns [48].

840 5.1.4. *Moral care and social behaviour*

Finally addressing Q7, it is pertinent to ask what technology and approaches
should be adapted to provide moral care and direct pro-social behaviour. As
reflected in this paper, different approaches and architectures pose distinct chal-
lenges for developing safe and responsible CAI that attend to the aspects of
845 moral care. One key consideration is the level of freedom versus constraint that
is required over NLG. For example, rule and frame-based approaches involve
tightly scripted dialogues and require the designer to devise appropriate response
strategies for the potential directions the dialogue may take. In retrieval-based
and E2E approaches, the quality of the corpus from which responses are selected
850 or generated is evidently important and compared to rule-based or slot-filling
approaches, there is less precise control over what response is generated. With
retrieval-based systems, the possible range of responses in the corpus can be
checked for suitability, but it is possible that seemingly harmless responses,

when produced in a different conversational context, could produce a different
855 meaning.

As E2E systems are designed to mimic human-to-human conversations, the quality of the training data will impact on model predictions. Stringent data pre-processing efforts will be required to develop E2E systems that generate content suitable for younger audiences. Furthermore, Gehman et al. [129] demonstrate
860 that even after implementing profanity filters on training data and fine-tuning on ‘appropriate’ data, systems can still produce toxic content. Consequently, ensuring the safety of a dialogue system requires more than removing profanities from a dataset. Harmful societal biases e.g. gender bias [130, 131] are often contained within datasets, and while Dinan et al. [130] demonstrate that
865 it is possible to reduce the impact of gender bias in dialogue systems, ensuring against all forms of stereotyping and representational harm in E2E systems is a complex and difficult task.

Retrieval-based and E2E approaches aim to increase the human-likeness of CAI agents, which affects how users perceive them. Moreover, some argue that
870 CAI agents should emulate more precisely human-like behavior [132, 133]. In the context of child-friendly CAI, this arguably raises many ethical concerns related to trust and child protection.

Finally, CAIs capable of engaging conversation, designed to utilise relational strategies may influence the child’s perception on the humanness of the agent
875 and influence their behaviour [76]. We also highlight the importance of these CAI agents to identify themselves as bots and to provide specific answers and clarify it to the user when the context/question is not comprehensible.

6. Conclusion

The development of CAI in the creative industry for children has been limited and there is a growing need to connect theory and practice. Indeed, much
880 of the research has been about the impact on children, as opposed to with and for [134]. The field in its current manifestation presents, at best, an inconsis-

tent approach to the systems explored here, often with a need to join up the ramifications of situating such technologies within the home with the implications for children. As momentum grows in the overall field about the ethics of AI, the inherent biases and assumptions underpinning the technical methodologies require the utmost scrutiny when applied to vulnerable groups such as children. This pilot case-study highlights the unique concerns located within AI storytelling tools for children. Whilst, some of the ethical considerations for CAI design here are similar to ethical/ responsible considerations for AI or ML related product design (in particular, considerations of transparency, privacy and consent), there is more work to be done to answer the very live research question as to how far and in what ways CAI design for children for the creative industries might pose a set of subtle and unique issues. This will be particularly important when considering how generalisable such principles could be. In fact, we note caution in assuming generalisability from more broad ethical principles, noting the uniqueness of the user; children and the very situational ethical considerations of CAI for each brand/ show for entertainment purposes. The reflections of the design choices made and recommendations provide a starting point from which to extrapolate and build on the field of AI ethics for children. However, further research to provide greater depth and richness of perspectives is recommended and significant remedial work is required at all levels of the design process across stakeholders inclusive of developers, content makers, users (including parents and guardians from all backgrounds) and importantly, educators and regulators.

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