



UNIVERSITY OF LEEDS

This is a repository copy of *Solvents, CO₂ and biopolymers: Structure formation in chitosan aerogel*.

White Rose Research Online URL for this paper:
<https://eprints.whiterose.ac.uk/177772/>

Version: Accepted Version

Article:

Takeshita, S, Sadeghpour, A orcid.org/0000-0002-0475-7858, Sivaraman, D et al. (2 more authors) (2020) Solvents, CO₂ and biopolymers: Structure formation in chitosan aerogel. *Carbohydrate Polymers*, 247. 116680. ISSN 0144-8617

<https://doi.org/10.1016/j.carbpol.2020.116680>

© 2020, Elsevier. This manuscript version is made available under the CC-BY-NC-ND 4.0 license <http://creativecommons.org/licenses/by-nc-nd/4.0/>.

Reuse

This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs (CC BY-NC-ND) licence. This licence only allows you to download this work and share it with others as long as you credit the authors, but you can't change the article in any way or use it commercially. More information and the full terms of the licence here: <https://creativecommons.org/licenses/>

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk
<https://eprints.whiterose.ac.uk/>

1 Solvents, CO₂ and Biopolymers: Structure Formation 2 in Chitosan Aerogel

3 *Satoru Takeshita*^{*,†,‡} *Amin Sadeghpour*^{§,^} *Deeptanshu Sivaraman*[†] *Shanyu Zhao*[†] and *Wim J.*
4 *Malfait*[†]

5 [†]Laboratory for Building Energy Materials and Components, Empa, Swiss Federal Laboratories
6 for Materials Science and Technology, Überlandstrasse 129, Dübendorf CH-8600, Switzerland

7 [‡]Research Institute for Chemical Process Technology, National Institute of Advanced Industrial
8 Science and Technology (AIST), 1-1-1 Higashi, Tsukuba 3058565, Japan

9 [§]Center for X-ray Analytics, Empa, Swiss Federal Laboratories for Materials Science and
10 Technology, Lerchenfeldstrasse 5, St. Gallen CH-9014, Switzerland

11 [^]Biomaterials Science Center, Department of Biomedical Engineering, University of Basel,
12 Allschwil CH-4123, Switzerland

13 S.T. *s.takeshita@aist.go.jp; TEL: +81 29 861 2975; FAX +81 29 861 4567

14 A.S. amin.sadeghpour@unibas.ch

15 D.S. Deeptanshu.Sivaraman@empa.ch

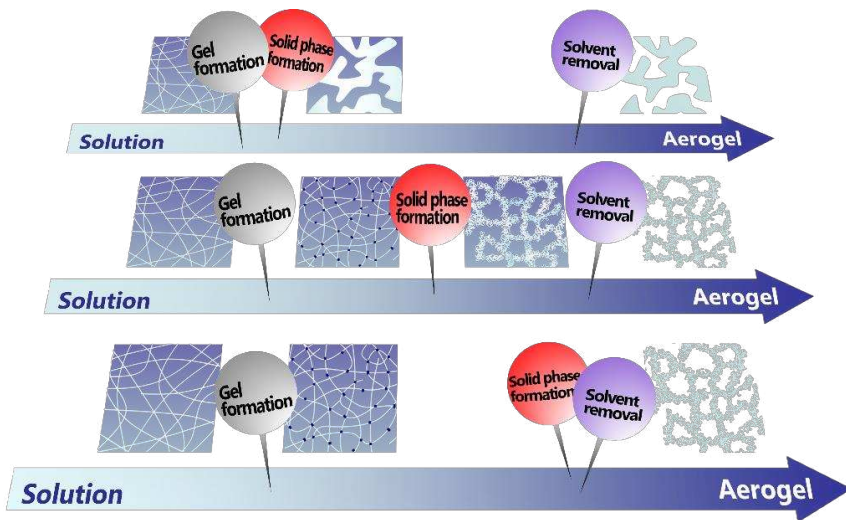
16 S.Z. Shanyu.Zhao@empa.ch

17 W.M. wim.malfait@empa.ch

18 **ABSTRACT**

19 The functionality of biopolymer aerogels is inherently linked to its microstructure, which in turn
20 depends on the synthesis protocol. Detailed investigations on the macroscopic size change and
21 nanostructure formation during chitosan aerogel synthesis, reveal a new aspect of biopolymer
22 aerogels that increases process flexibility. Formaldehyde-cross-linked chitosan gels retain a
23 significant fraction of their original volume after solvent exchange into methanol (50.3%), ethanol
24 (47.1%) or isopropanol (26.7%), but shrink dramatically during subsequent supercritical CO₂
25 processing (down to 4.9%, 3.5% and 3.7%, respectively). In contrast, chitosan gels shrink more
26 strongly upon exchange into *n*-heptane (7.2%), a low affinity solvent, and retain this volume during
27 CO₂ processing. Small-angle X-ray scattering confirms that the occurrence of the volumetric
28 changes correlates with mesoporous network formation through physical coagulation in CO₂ or *n*-
29 heptane. The structure formation step can be controlled by solvent–polymer and polymer–drying
30 interactions, which would be a new tool to tailor the aerogel structure.

31 **GRAPHICAL ABSTRACT**



32

33 **KEYWORDS**

34 Aerogels, Biopolymers, Small-angle X-ray scattering, Supercritical drying

35

36 **1. INTRODUCTION**

37 Aerogels, highly porous solids with three-dimensional mesoporous structures, have become more
38 and more attractive materials in both academia and industry in recent decades thanks to their large
39 potential for energy-saving, energy-harvesting, biomedical, environmental remediation, and
40 aerospace applications (Pierre & Pajonk, 2002; Aegerter et al., 2011; Randall et al., 2011;
41 Smirnova & Gurikov, 2018). A lot of effort has been dedicated to microstructural control of
42 aerogels because their favorable properties such as, high surface area, ultralow thermal
43 conductivity (Jelle, 2011; Koebel et al., 2012), and unique mechanical, optical and acoustic
44 properties (Tabata et al., 2012; Merli et al., 2018; Takeshita et al., 2019a), originate mainly from
45 their three-dimensional pore structures. Aerogel production generally consists of three steps: wet
46 gel making, washing/solvent exchange and drying. Classical studies on inorganic aerogels using
47 scattering techniques had revealed that the initial gel making step is responsible for the formation
48 of main porous skeletons (Craievich et al., 1986; Lours et al., 1990; Woignier et al., 1990; Pahl et
49 al., 1991; Posselt et al., 1992; Hasmy et al., 1995; Rigacci et al., 2001; Reidy et al., 2001; Hu et
50 al., 2001). Subsequent solvent exchange and drying steps make only minor modifications, such as
51 internal primary particle formation in the skeletons (Perissinotto et al., 2015) and necking through
52 Ostwald ripening in supercritical alcohol drying (Yoda & Ohshima, 1999). In particular, widely
53 used supercritical CO₂ drying has been considered to preserve the microstructure because of inert
54 nature of CO₂ and the absence of capillary forces during drying (Emmerling & Fricke, 1992).

55 Biopolymer aerogels rapidly became a hot topic in material science in late 2000s after the
56 research trend shifted toward green and sustainable chemistry (Zhao et al., 2018; Takeshita et al.,
57 2020; El-Naggar, 2020). Many researchers have focused on nano- and micro-fibrillated cellulose
58 (Buesch et al., 2016; Plappert et al., 2017; De France et al., 2017) and chitin aerogels (Heath et al.,
59 2013). In these cases, structure formation is simple: fibers with well-defined dimensions assemble
60 to construct a three-dimensional aerogel structure at the gel formation step. Biopolymer aerogels
61 starting from solution such as, chitosan (Takeshita et al., 2015, 2016, 2017a, b; Caro-León et al.,
62 2018; Ganesan et al., 2018; El Kadib, 2020; Wei et al., 2020; Le Goff et al., 2020; Taberner et
63 al., 2020), pectin (White et al., 2010; Tkalec et al., 2015), and alginate (Valentin et al., 2005;
64 Robitzer et al., 2008; Veronovski et al., 2012), show more complex structure formation, but in
65 many cases, the initial gel formation step is still the structure-determining step. Typical examples
66 include the physical coagulation of chitosan (Valentin et al., 2007; Baldino et al., 2014; Joan et al.,
67 2018; López-Iglesias et al., 2020), pectin (Tkalec et al., 2015), and cellulose solution (Cai et al.,
68 2008; Pircher et al., 2016) in antisolvents and/or by pH jump, in which gelation and solid phase
69 formation, i.e. the phase separation between a dense solid skeleton and voids filled with solvent,
70 occur simultaneously. Solvent exchange with antisolvent after the gelling is also responsible for
71 structure formation through physical coagulation in some cases (Rudaz et al., 2014), but
72 supercritical drying step was still considered to not affect the microstructure.

73 In the above-mentioned context, supercritical drying has been considered as the “gold
74 standard” to make aerogels that preserve the microstructures from the wet gels, for both inorganic
75 and organic systems. Very recently, our group found that the microstructure of chemically cross-
76 linked chitosan gel can be drastically different before and after supercritical CO₂ processing
77 (Takeshita et al., 2019b). In that study, cross-linking-induced gelation or solvent exchange into

78 methanol did not contribute much to the formation of the final rigid aerogel structure. Another
79 interesting feature found in biopolymer aerogels is dynamic change in gel size during solvent
80 exchange and even during supercritical drying (Gurikov et al., 2019), but the relation between
81 macroscopic size and microstructure formation has not been investigated. Aside from its academic
82 interest, excessive shrinkage of biopolymer aerogels during supercritical drying is also of practical
83 importance because it reduces the volumetric yield of the process for a given autoclave volume
84 and can thus present a significant barrier for industrial production.

85 Here, we use cross-linked chitosan aerogel as a model system to investigate the effect of
86 different exchange solvents on shrinkage and structure formation in biopolymer aerogels.
87 Specifically, the effect of solvent–polymer affinity on gel size is investigated, the aerogel
88 nanostructure formation step is identified by small-angle X-ray scattering (SAXS), and shrinkage–
89 structure formation correlation is established. The main purpose is to demonstrate gel-formation
90 step control through solvent–polymer interactions as a general strategy for structure–property
91 tailoring of biopolymer aerogels.

92

93 **2. EXPERIMENTAL SECTION**

94 **2.1 Materials.** Chitosan (Wako Pure Chemical Industry, deacetylation degree: 80%, viscosity: 20–
95 200 mPa s at 5 g L⁻¹ and 20 °C), acetic acid (VWR, 99.9%), formaldehyde aqueous solution
96 (Sigma-Aldrich, 37 wt. %), methanol (Thommen Furler, 99%), ethanol (Alcosuisse, 99.9%),
97 isopropanol (Thommen Furler, 99.5%), and *n*-heptane (Brenntag Schweizerhalle AG, UN 1206,
98 99%) were used without further purification. CO₂ (99.9%) was purchased from Messer Schweiz
99 AG.

100 **2.2 Aerogel synthesis.** Chitosan was dissolved at 5 g L^{-1} in a 0.5 vol. % acetic acid solution to
101 make a stock solution that was stored for at least 1 week. The stock solution (4.0 mL) was mixed
102 with aqueous formaldehyde solution (1.0 mL, 37 wt. %) in a 30 mm (inner diameter) glass petri
103 dish. The petri dish was placed in an airtight polypropylene container and kept at $60 \text{ }^\circ\text{C}$ for 24 h
104 to complete gel formation and aging. The resulting hydrogel was soaked in organic solvents and
105 its volumetric change was recorded as a function of time (detailed solvent exchange protocols in
106 SI). After solvent exchange, the gel was placed in an autoclave ($\sim 50 \text{ mL}$ in volume) with $\sim 45 \text{ mL}$
107 of the final exchange solvent and sealed in the supercritical drying system (Separax). The pressure
108 of the system was gradually increased up to 160 bar over 1 h by introducing CO_2 without
109 circulation, while the temperature was set at $80 \text{ }^\circ\text{C}$. The solvent was extracted through CO_2
110 circulation at 160 bar for 4 h. Then, the pressure was gradually decreased to ambient pressure over
111 1 h, to yield an aerogel sample.

112 **2.3 Volume, density and microstructure.** The volumetric change of the hydrogels and organogels
113 was determined from their diameter and height measured by caliper. The apparent density of the
114 aerogel samples was calculated from its diameter, height and weight. Nitrogen sorption isotherms
115 were acquired at 77 K with a gas-adsorption instrument (Micromeritics, 3flex) after 20 h of
116 degassing at $50 \text{ }^\circ\text{C}$ and 0.06 mbar. The specific surface area was calculated from the isotherms
117 from the low pressure range (P/P_0 between 0.07 and 0.30) using Brunauer–Emmett–Teller (BET)
118 method. The pore properties were evaluated from the adsorption part of the isotherm using Barrett–
119 Joyner–Halenda (BJH) analysis. The microstructure of the samples was observed with a field-
120 emission scanning electron microscope (SEM, FEI, Nova NanoSEM 230) after application of a
121 thin coating of conductive Pt.

122 **2.4 SAXS measurements.** Organogels for SAXS measurements were prepared directly in quartz
123 capillaries (Hilgenberg, 1.5 mm width, 0.01 mm thick glass, 80 mm length). The 5 g L⁻¹ chitosan
124 solution described above was mixed with aqueous formaldehyde solution in a 4:1 volume ratio.
125 This mixture was poured into the capillaries, sealed with paraffin film, and kept at 60 °C for 24 h
126 to obtain hydrogels. The closed end of the capillary was broken in order to enable solvent exchange
127 from both ends, and the capillaries were soaked in the designated solvents (methanol,
128 water/ethanol → ethanol, water/isopropanol → isopropanol). The solvent was replaced at least 8
129 times over 7 days at room temperature to complete the solvent exchange, as monitored by diffusion
130 of a dye (Fig. S2). As the heptane-exchanged wet gel could not be prepared directly in a capillary
131 (due to drastic shrinkage), this sample was prepared by squeezing already solvent-exchanged wet
132 gel into a capillary. The capillaries were sealed with wax prior loading into the vacuum
133 environment of the sample chamber. The signals for sample and background were acquired 5
134 times, one hour for wet gels and 5 min for aerogels, and then averaged. Background measurements
135 were conducted on capillaries filled with the relevant solvent. SAXS profiles were recorded with
136 a Nanostar instrument (Bruker, Germany) equipped with a micro-focused X-ray source (Incoatec)
137 with a beam spot size of about 400 μm, Cu Kα radiation ($\lambda = 0.154$ nm), and a VÅNTEC-2000
138 Xe-based gas avalanche detector placed 107 cm from the sample. The detector includes 2048 ×
139 2048 pixels, each 68 × 68 μm² in size, and operates at a photon-counting rate of 0.5 per seconds.
140 The minimum reliable scattering vector magnitude, q_{\min} , is ~ 0.1 nm⁻¹ with $q = (4\pi/\lambda)\sin\theta$ where
141 2θ is the scattering angle. All the experiments were carried out under vacuum (~ 0.01 mbar).

142

143 **3. RESULTS AND DISCUSSION**

144 **3.1 Size change during the solvent exchange.** Four organic solvents with a range in affinity for
145 chitosan (methanol, ethanol, isopropanol, *n*-heptane) were selected based on physical and chemical
146 restrictions of our processing equipment, and considering their future industrialization potential.
147 A suitable solvent must be liquid at room temperature, miscible with water or ethanol to enable
148 the stepwise exchange protocol, miscible with CO₂ under high pressure, compatible with the
149 chemical resistivity of the seals in the supercritical dryer, and of limited toxicity. In addition to
150 previously used methanol (Takeshita 2019b), ethanol and isopropanol were selected as longer
151 alcohols with lower affinity for chitosan. *n*-heptane was included as an apolar solvent, because of
152 its reduced toxicity, lower volatility compared to pentane and hexane, and miscibility with ethanol.
153 Long-chain alkanes with limited miscibility with ethanol were not considered in the study. In
154 addition to methanol, ethanol, isopropanol and *n*-heptane, we carried out preliminary tests with *n*-
155 butanol and *n*-hexane (Fig. S6–S8 and Table S2), but these systems were not investigated fully
156 because of incompatibilities with our supercritical drying equipment and process. The properties
157 of typical solvents and the results of the preliminary investigations are summarized in Table S1
158 and Fig. S3–S5.

159 In a first step, we established solvent exchange protocols for methanol, ethanol, isopropanol,
160 and *n*-heptane without complete collapse or drastic deformation of the gel. Table 1 and Figs. S3–
161 S5 report on the solvent exchange screening results for both direct exchanges using pure solvents
162 and stepwise exchanges using water/solvent mixtures. This investigation revealed that a systematic
163 screening of different solvents via exactly the same protocol is difficult in the present study.
164 Specifically, soaking the as-prepared hydrogel into pure water or water/methanol mixture expands
165 and breaks the gel into slimy fractions, presumably because of the osmotic pressure caused by
166 solute species (Takeshita et al., 2019b). The as-prepared hydrogels contain mostly water as the

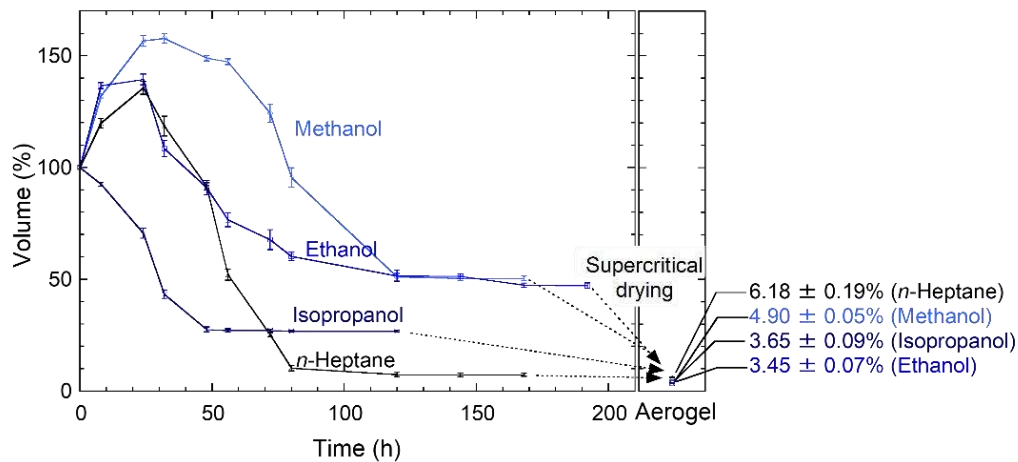
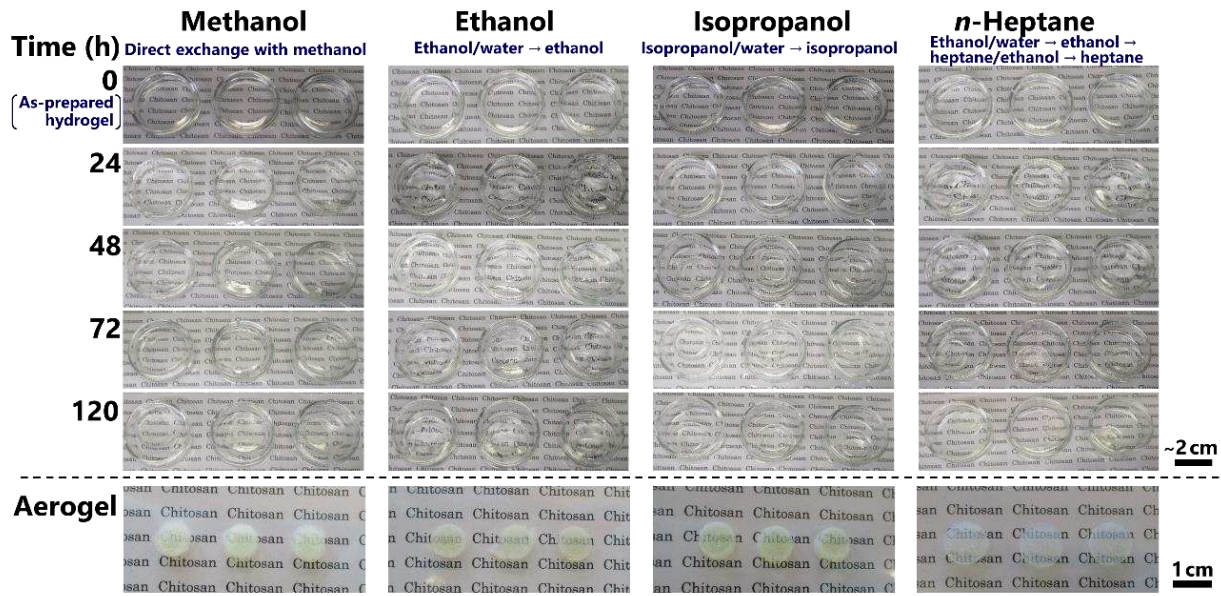
167 pore fluid, but also some unreacted solute species from the cross-linking reaction. Direct
168 immersion of the hydrogel into pure ethanol or isopropanol causes rapid shrinkage resulting in
169 collapse or strong deformation. We, therefore, developed the following routes for further
170 investigation of the methanol-, ethanol- and isopropanol-exchange: direct exchange with pure
171 methanol, exchange into water/ethanol mixtures and then into pure ethanol, exchange into
172 water/isopropanol mixtures and then into isopropanol. For *n*-heptane, the serial, stepwise exchange
173 with water/ethanol, ethanol, ethanol/heptane and finally heptane successfully avoids fracturing of
174 the gels. Note that solvent exchange is a dynamic process and the macroscopic size change is
175 affected not only by the solvent composition, but also by the exchange history and exchange rate.

176

177 **Table 1.** Final size of wet gels, aerogels, and aerogel apparent densities prepared via different
 178 solvent exchange routes.

| Solvent exchange route | $V_{\text{Exchanged}}/V_{\text{Initial}}$ (%) | $V_{\text{Aerogel}}/V_{\text{Initial}}$ (%) | Aerogel density (g cm^{-3}) |
|--|---|---|---|
| Direct exchange with water | $> 224 \pm 12$, broken | N/A | |
| Direct exchange with methanol* | 50.3 ± 1.1 | 4.90 ± 0.05 | 0.083 ± 0.001 |
| Direct exchange with ethanol | 42.1 ± 3.8 , deformed | 4.47** | 0.098** |
| Direct exchange with isopropanol | $< 14.6 \pm 0.8$, broken | N/A | |
| Water/methanol \rightarrow methanol | 54.1 ± 3.6 , broken | N/A | |
| Water/ethanol \rightarrow ethanol | 47.1 ± 1.2 | 3.45 ± 0.07 | 0.121 ± 0.002 |
| Water/isopropanol \rightarrow isopropanol | 26.7 ± 0.3 | 3.65 ± 0.09 | 0.125 ± 0.003 |
| Water/ethanol \rightarrow ethanol \rightarrow ethanol/heptane \rightarrow heptane | 7.2 ± 0.7 | 6.18 ± 0.19 | 0.067 ± 0.001 |
| Methanol \rightarrow methanol/ethanol \rightarrow ethanol | 45.1** | 3.50** | 0.118** |
| Methanol \rightarrow methanol/isopropanol \rightarrow isopropanol | 35.7** | 5.73** | 0.073** |
| Blank (without adding solvent) | 97.2 ± 1.4 (after 192 h) | N/A | |

179 *Average of 6 samples and **1 sample, other routes are averages of 3 samples; V_{Initial} : volume of
 180 as-prepared hydrogel; $V_{\text{Exchanged}}$: gel volume after solvent exchange; V_{Aerogel} : volume of aerogel;
 181 N/A: not available.



182

183 **Fig. 1.** Photographs of the gels as a function of solvent exchange time; three gels are shown for
 184 each treatment condition (top), and size change histories (bottom) of wet gels and aerogels
 185 prepared with different solvents.

186

187 Fig. 1 summarizes the size change history of wet gels with different solvent exchange
 188 protocols. Methanol- and ethanol-exchanged wet gels display an initial expansion up to ~140–
 189 150% and then subsequent shrinkage back to the ~50% of the initial volume. Isopropanol- and

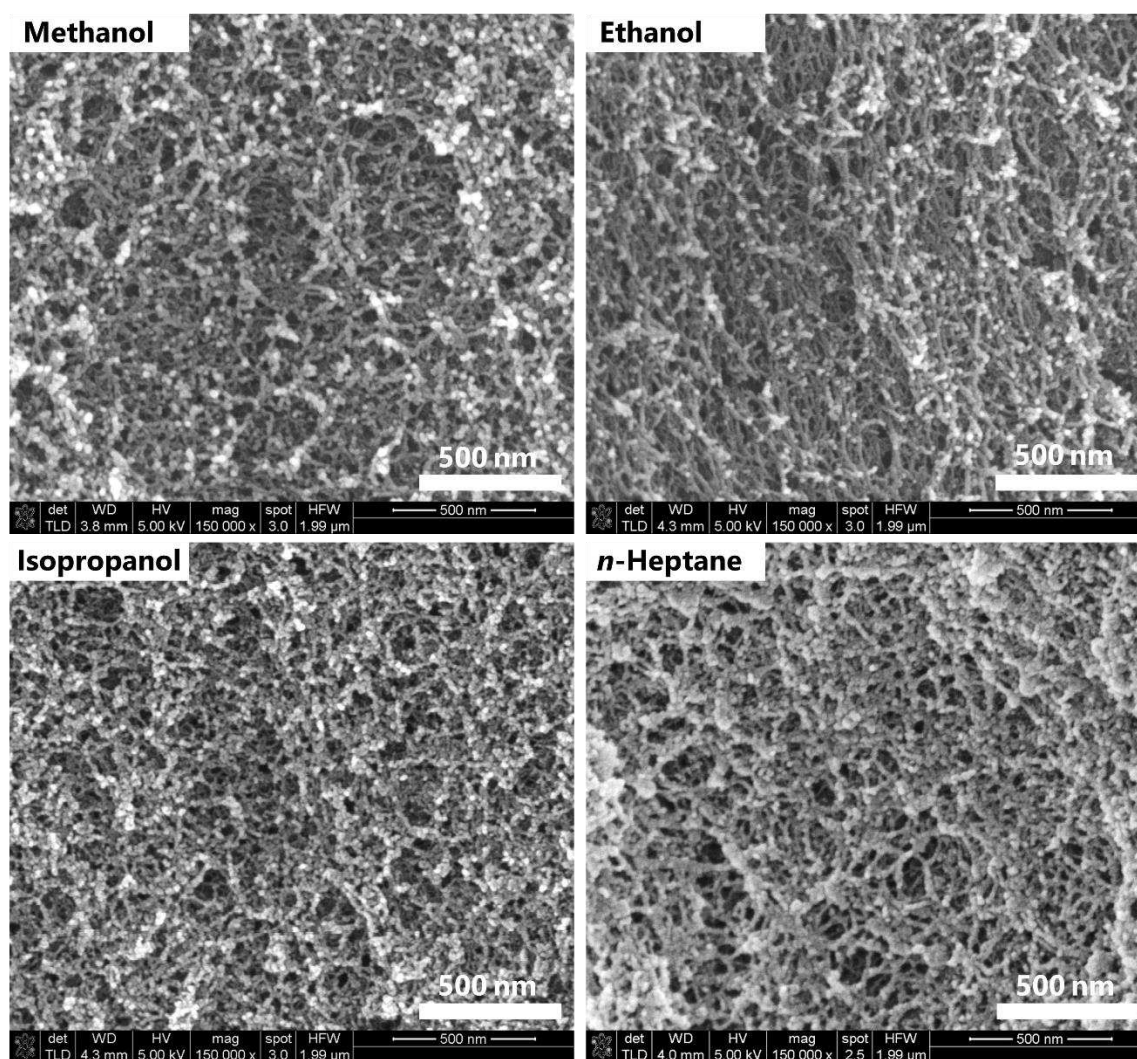
190 heptane-exchanged samples converge to ~27% and ~7% of the initial gel volume, respectively.
191 The initial increase upon immersion in methanol and water/ethanol is most likely related to the
192 osmotic pressure. Previous studies have suggested that size changes of biopolymer gels are related
193 to the difference in solubility parameters of polymers and solvents (Tripathi et al., 2018; Gurikov
194 et al., 2019). In this study, we use the Flory–Huggins interaction parameter, χ , which is calculated
195 from Hansen solubility parameters (Table S1, Hansen, 2007):

$$196 \quad \chi = \frac{V\{(\delta_{d1}-\delta_{d2})^2+0.25(\delta_{p1}-\delta_{p2})^2+0.25(\delta_{h1}-\delta_{h2})^2\}}{RT} \quad (1)$$

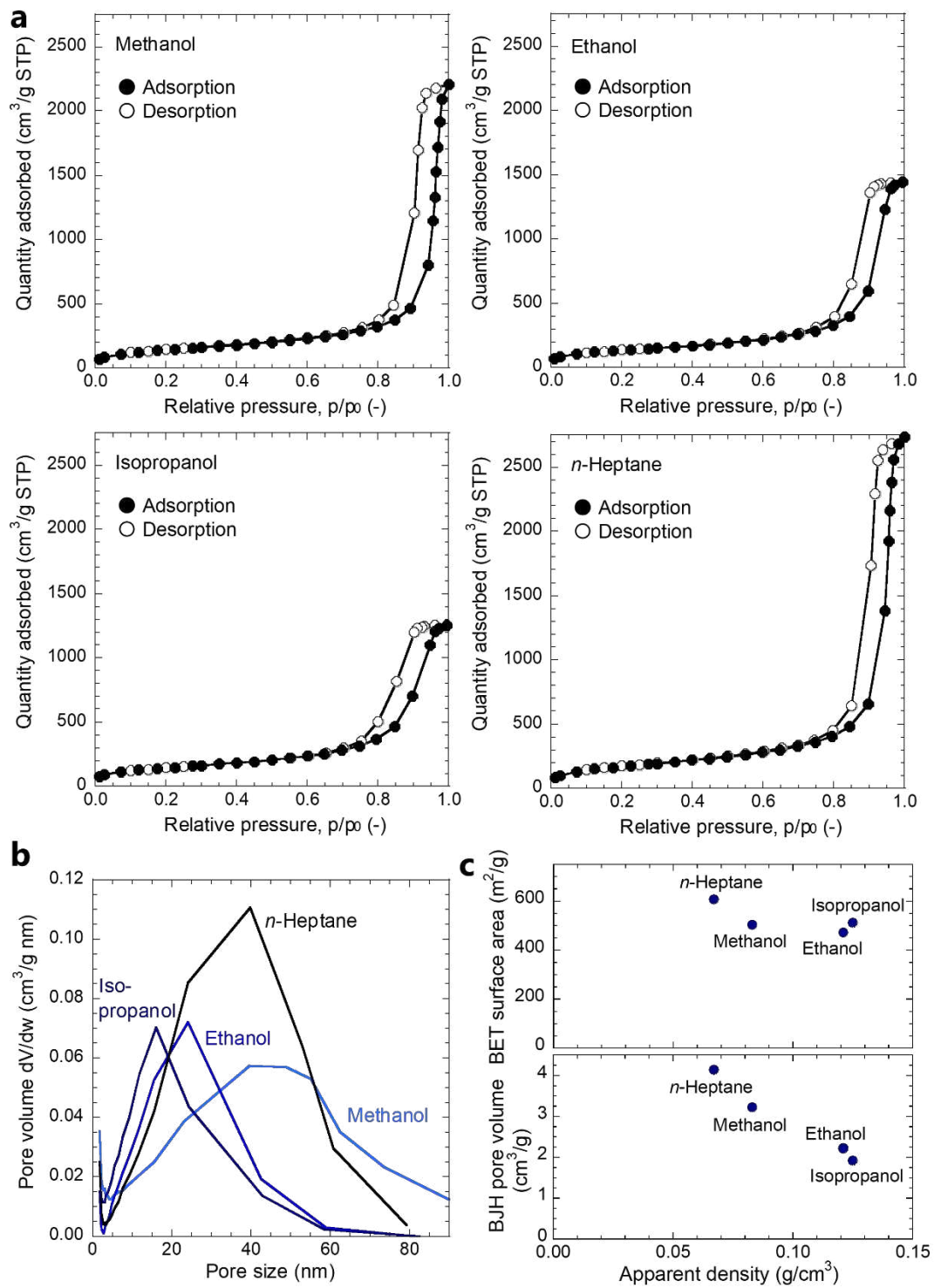
197 where δ_{d1} , δ_{p1} , δ_{h1} and δ_{d2} , δ_{p2} , δ_{h2} are the Hansen solubility parameters for dispersion, polarity,
198 and hydrogen bonds of the solvent and solute, respectively, R is the gas constant, T is temperature,
199 and V is the molar volume of the solvent. The χ parameters between molecular chitosan and the
200 solvents in our current work are water (0.85) < methanol (1.15) < ethanol (1.86) < isopropanol
201 (3.24) < *n*-heptane (18.1), where a larger value means less affinity. These values roughly explain
202 the tendency of the final sizes of solvent exchanged wet gels, methanol (~50%) > ethanol (~47%)
203 > isopropanol (~27%) > *n*-heptane (~7%). We note that solubility parameters are useful for a
204 qualitative estimation but have their limitations: i) chitosan is not in its neat state, but cross-linked
205 and/or modified by the reaction with formaldehyde; and ii) solubility parameters cannot deal with
206 ionic species, such as NH_3^+ of chitosan.

207 **3.2 Aerogels size and microstructure.** Fig. 1 and Table 1 summarize the final relative sizes and
208 apparent densities of aerogels after supercritical CO_2 drying. All the aerogel samples have low to
209 intermediate apparent densities ($< 0.13 \text{ g cm}^{-3}$) and translucent, somewhat yellowish appearances,
210 consistent with previous reports on methanol-exchanged aerogels (Takeshita & Yoda, 2015). The
211 aerogels display a three-dimensional network of nanofiber-like structures (Fig. 2), but high-

212 resolution observation reveals that these fibrous components are comprised of particulate matter
213 (see Fig. S9–S12 for different magnifications). Nitrogen adsorption measurements (Fig. 3 and
214 Table S3) show that the aerogels have type IV mesoporous structures with between 500 and 600
215 $\text{m}^2 \text{g}^{-1}$ of surface area and between 2 and 4 $\text{cm}^3 \text{g}^{-1}$ of BJH pore volume. The BJH pore volume
216 correlates negatively with apparent density. In particular, heptane-exchanged aerogels exhibit the
217 lowest density ($\sim 0.067 \text{ g cm}^{-3}$) and the highest pore volume and surface area.



218
219 **Fig. 2.** SEM images of aerogels prepared with different solvent exchange protocols.



220

221 **Fig. 3.** N_2 adsorption profiles of aerogels prepared with different solvent exchange protocols: a)
 222 isotherms, b) pore size distributions, and c) variations in surface area and pore volume with aerogel
 223 density.

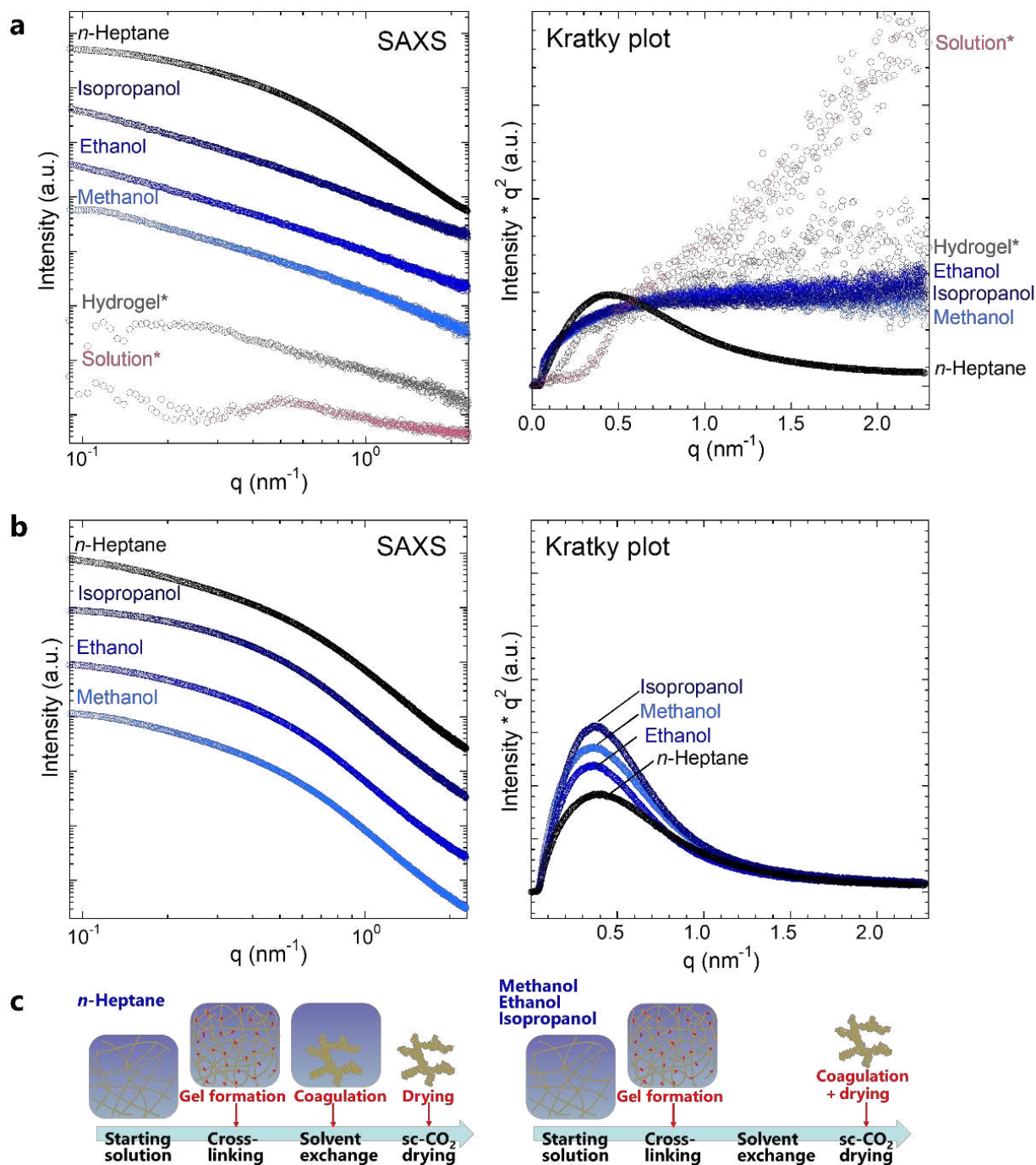
224

225 The size change before and after supercritical drying (Fig. 1) leads to the following
226 observations. For methanol-, ethanol- and isopropanol-exchanged samples, the final aerogel size
227 is 3–5% of the initial volume, regardless of the solvent, and the most of the shrinkage occurs during
228 supercritical drying. Exchange into heptane leads to less overall shrinkage, 6% of the initial
229 volume is retained, and the volume change occurs almost entirely during the solvent exchange,
230 with only minor shrinkage during subsequent supercritical drying.

231 **3.3 Relation between size and structure formation.** Fig. 4 represents the SAXS profiles and the
232 Kratky plots of wet gels and aerogels as a function of the pore solvents. The SAXS data of the
233 methanol-, ethanol- and isopropanol-exchanged wet gels (Fig. 4a) display a slope close to -2 ,
234 which is indicative of mainly Gaussian chain configurations. These plots resemble that of the as-
235 prepared hydrogel, which displays a Gaussian-chain-like profile from single polysaccharide chains
236 without evidence for solid structure formation (Takeshita et al., 2019b). The Kratky plots of these
237 samples represent plateaus, also consistent with Gaussian chain-like scattering behavior. They can
238 be fitted with the original Ornstein-Zernike equation (detailed fits are in SI). The correlation length
239 increases from 4.2 ± 0.1 to 6.2 ± 0.4 nm with increasing χ value, i.e. in the order of methanol,
240 ethanol, and isopropanol. On the other hand, the heptane-exchanged wet gel (the top plot in Fig.
241 4a) displays two scattering regimes with slopes of -1.25 at low q ($< \sim 0.5 \text{ nm}^{-1}$) and -3.53 at high
242 q ($> \sim 0.6 \text{ nm}^{-1}$), and share a striking resemblance to the aerogel profiles (Fig. 4b). In addition, the
243 scattering profile resembles that of nanofibrillated cellulose wet gels (Leppänen et al., 2010). In
244 the Kratky plot, a broad bell-shaped peak indicates collapsed chitosan chains. Qualitatively, the
245 heptane-exchanged gel has a much stronger scattering intensity of X-ray, indicative of the presence
246 of larger scattering objects. The SAXS curves of the aerogel samples are all quite similar, with two

247 different slopes, -1.4 to -1.6 at low q ($< \sim 0.5 \text{ nm}^{-1}$) and ~ -4 at high q ($> \sim 0.6 \text{ nm}^{-1}$). These types
248 of SAXS curves are strongly reminiscent to those of mesoporous silica and cellulose aerogels
249 (Santos et al., 1987; Plappert et al., 2018). The SAXS data verify that, in contrast to the alcohol-
250 exchanged wet gels, an aerogel-like nanostructure has been formed during the heptane-exchange
251 wet gel processing step, i.e. prior to supercritical CO_2 drying. (Fig. 4c). The stage at which the
252 structure forms for different gels is also reflected in their corresponding volumes: moderate
253 shrinkage during solvent exchange and high shrinkage during CO_2 processing for the alcohol
254 exchanged gels versus high shrinkage during heptane exchange followed by minimal shrinkage
255 during CO_2 processing.

256 In our previous in situ observations of supercritical drying, we demonstrated that large
257 shrinkage occurs at the initial stage of supercritical drying, i.e. when CO_2 is introduced to the
258 autoclave (Takeshita et al., 2019b). We interpreted this observation by considering
259 supercritical/liquid CO_2 as a “solvent”. The solubility parameters of CO_2 are a function of
260 temperature and pressure (see Table S1 for details), and the χ parameter of CO_2 at $80 \text{ }^\circ\text{C}$ and 160
261 bar is 15.7 (Hansen, 2007). This value is in line with that of *n*-heptane during solvent exchange (χ
262 = 18.1), but much larger than for methanol ($\chi = 1.15$), ethanol ($\chi = 1.86$) or isopropanol ($\chi = 3.24$).
263 Thus, heptane and CO_2 have a similar (low) affinity to chitosan. The similarity in solvent–
264 biopolymer interactions results in the very limited shrinkage during supercritical CO_2 drying of
265 heptane-exchanged gels. We note that the actual solvent composition and its state inside the gel
266 during drying are more complicated because CO_2 would be closer to liquid state at the beginning
267 of the CO_2 introduction step (e.g. liquid CO_2 at $25 \text{ }^\circ\text{C}$ and 60 bar has a larger χ of 60.5).



268

269 **Fig. 4.** SAXS profiles and their Kratky plots of a) wet gels (Kratky plots are normalized at the
 270 shoulder), and b) aerogels prepared with different solvent exchange protocols. The data for the
 271 chitosan solution and as-prepared hydrogel data are replotted from our previous study (Takeshita
 272 et al., 2019b). c) Schematic representation of structure formation processes of aerogels.

273

274 Based on the SAXS data and size change histories, we conclude that large volumetric changes
275 are accompanied with aerogel-like nanostructure formation through the interaction of the chitosan
276 chains with antisolvent leading to physical coagulation. Methanol, ethanol and isopropanol are
277 also known antisolvents for neat chitosan chains, so one would intuitively expect physical
278 coagulation and hence structure formation also in these solvents, but this idea can be discarded by
279 the SAXS data (Fig. 4a) that directly elucidate the molecular level interactions and nanoscale
280 structures formation. We suggest that the 3D network of formaldehyde-cross-linked chitosan,
281 and/or the chemical modification of chitosan with formaldehyde kinetically inhibits coagulation.

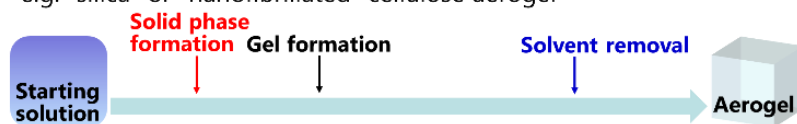
282 **3.4 Solvent control on shrinkage and structure formation for tailored structures and**

283 **processes.** The present results demonstrate that the gel/aerogel structure can be formed at any step
284 from gel making, solvent exchange to supercritical drying. To generalize this idea, we can describe
285 aerogel production protocols based on three key events: i) gel formation, ii) solid phase formation
286 and iii) solvent removal (Fig. 5). For example, silica aerogel preparation via a colloidal route
287 typically follows the order of ii) → i) → iii) (Fig. 5a), while the present cases are described as i)
288 → ii + iii) for the alcohol-exchanged gels and i) → ii) → iii) for the heptane-exchange protocol.

289 In contrast to alcohol-exchanged systems, the solid phase formation and the solvent removal steps
290 are clearly separated for the heptane-exchanged system (Fig. 5c and 5d). Moreover, the control on
291 the structural formation/shrinkage leads to a more efficient use of autoclave volume. We have
292 shown that heptane-exchange induced shrinkage (and structure formation) prior to drying
293 eliminates CO₂ induced shrinkage almost entirely, thereby increasing the volumetric yield of the
294 supercritical drying equipment by a factor of ~7. Another potential benefit would be a toolbox for
295 designing the fabrication process of biopolymer aerogels for more precise structural control.

296 Typical aerogels prepared via physical coagulation of chitosan (Valentin et al., 2007), pectin
 297 (Tkalec et al., 2015) and cellulose from solution (Pircher et al., 2016) have micrometer-sized
 298 structural features and opaque appearances (multiple light scattering). In contrast, formaldehyde-
 299 cross-linked chitosan aerogel, used in this study, has a highly homogeneous microstructure and
 300 only inhomogeneity in nanoscale. Such nanoscale properties lead to a system with high
 301 transparency, and the highest surface area and the lowest thermal conductivity among the
 302 biopolymer aerogels reported to date (Takeshita & Yoda, 2018). During synthesis, the concurrent
 303 appearance of i) gelation and ii) the solid phase formations occurs in the former systems (Fig. 5b),
 304 but are clearly separated in the latter one. We suggest that this is important to avoid micrometer-
 305 sized phase separation and increases the control on the formation of highly mesoporous transparent
 306 aerogels.

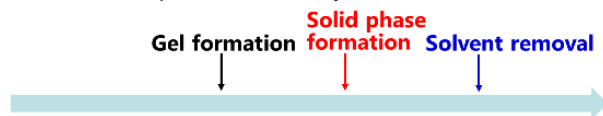
a From a sol with pre-existing nanoparticles or nanofibers,
 e.g. silica or nanofibrillated cellulose aerogel



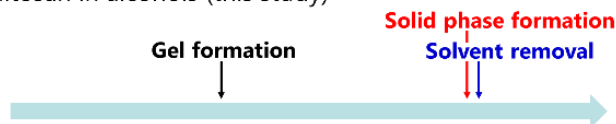
b Coagulation of dissolved (bio)polymers,
 e.g. regenerated cellulose, chitosan



c Coagulation of cross-linked (bio)polymers in organic solvent,
 e.g. chitosan in *n*-heptane (this study)



d Coagulation of cross-linked (bio)polymers in CO₂,
 e.g. chitosan in alcohols (this study)



307
 308 **Fig. 5.** Microstructure formation stages of aerogels with different orders of gel formation, solid
 309 phase formation, and solvent removal.

310

311 **4. CONCLUSIONS**

312 SAXS investigations revealed that the structure formation step in cross-linked chitosan aerogels is
313 controlled by the polymer–solvent affinity. For solvents with a relatively good affinity, such as
314 alcohols, the aerogel structure is not formed at the solvent exchange step, but during subsequent
315 drying step and interactions with CO₂. For a low affinity solvent such as *n*-heptane, the solvent
316 exchange itself induces the formation of an aerogel-like structure and a concomitant drastic
317 shrinkage of the gel. In this respect, the solvent parameters can be used to estimate the interactions
318 with biopolymer and predict the structure formation in aerogels. Finally, the generalization of the
319 aerogel production process proposed in this study helps to establish a strategy to precisely control
320 aerogel structure and to develop processing approaches with high degree of robustness. We
321 propose that other biopolymer and synthetic polymer systems that display drastic size changes
322 during solvent exchange or supercritical drying must be revisited from the viewpoint of structure
323 formation at the nanoscale. This would serve as a key approach to answer long-standing questions
324 for aerogel researchers, e.g. why transparent biopolymer aerogels are rare.

325

326 **ACKNOWLEDGMENTS**

327 This work was partially supported by JSPS KAKENHI Grant Number JP19K05193 and SNF grant
328 200021_179000 from the Swiss National Science Foundation.

329 **Note**

330 The cross-linked chitosan aerogel is the subject of a Japanese patent application by AIST.

331

332 **Appendix A. Supplementary data**

333 Additional experimental details and size change records, solubility parameters, SEM images,
334 SAXS fitting details can be found online at <https://doi.org/XXXXXXXXXX>.

335

336 REFERENCES

337 Aegerter, M. A., Leventis, N., & Koebel, M. M. Eds. (2011). *Aerogels Handbook*, Springer.

338 Baldino, L., Cardea, S., De Marco, I., & Reverchon, E. (2014). Chitosan scaffolds formation by a
339 supercritical freeze extraction process. *J. Supercrit. Fluids*, *90*, 27–34.

340 Buesch, C., Smith, S. W., Eschbach, P., Conley, J. F., & Simonsen, J. (2016). The Microstructure
341 of Cellulose Nanocrystal Aerogels as Revealed by Transmission Electron Microscope
342 Tomography. *Biomacromolecules*, *17*, 2956–2962.

343 Cai, J., Kimura, S., Wada, M., Kuga, S., & Zhang, L. (2008). Cellulose Aerogels from Aqueous
344 Alkali Hydroxide–Urea Solution. *ChemSusChem*, *1*, 149–151.

345 Caro-León, F. J., Argüelles-Monal, W., Carvajal-Millán, E., López-Franco, Y. L., Goycoolea-
346 Valencia, F. M., & Román del Barrio, J. S. (2018). Production and characterization of supercritical
347 CO₂ dried chitosan nanoparticles as novel carrier device. *Carbohydr. Polym.*, *198*, 556–562.

348 Craievich, A., Aegerter, M. A., dos Santos, D. I., Woignier, T., & Zarzycki, J. (1986). A SAXS
349 study of silica aerogels. *J. Non-Cryst. Solids*, *86*, 394–406.

350 De France, K. J., Hoare, T., & Cranston, E. D. (2017). Review of Hydrogels and Aerogels
351 Containing Nanocellulose. *Chem. Mater.*, *29*, 4609–4631.

352 El Kadib, A. (2020). Green and Functional Aerogels by Macromolecular and Textural Engineering
353 of Chitosan Microspheres. *Chem. Record*, in press. doi.org/10.1002/tcr.201900089.

354 El-Naggar, M. E. (2020). Synthesis, drying process and medical application of polysaccharide-
355 based aerogels. *Int. J. Biol. Macromol.*, *145*, 1115–1128.

356 Emmerling, A., & Fricke, J. (1992). Small angle scattering and the structure of aerogels. *J. Non-
357 Cryst. Solids*, *145*, 113–120.

358 Ganesan, K., Heyer, M., Ratke, L., & Milow, B. (2018). Facile Preparation of Nanofibrillar
359 Networks of “Ureido–Chitin” Containing Ureido and Amine as Chelating Functional Groups.
360 *Chem. Eur. J.*, *24*, 19332–19340.

361 Gurikov, P., Raman, S. P., Griffin, J. S., Steiner III, S. A., & Smirnova, I. (2019). 110th
362 Anniversary: Solvent Exchange in the Processing of Biopolymer Aerogels: Current Status and
363 Open Questions. *Ind. Eng. Chem. Res.*, *58*, 18590–18600.

364 Hansen, C. M. Ed. (2007). *Hansen Solubility Parameters: A User's Handbook* (2nd ed.), CRC
365 Press, Boca Raton.

366 Hasmy, A., Foret, M., Anglaret, E., Pelous, J., Vacher, R., & Jullien, R. (1995). Small-angle
367 neutron scattering of aerogels: simulations and experiments. *J. Non-Cryst. Solids*, *186*, 118–130.

368 Heath, L., Zhu, L., & Thielemans, W. (2013). Chitin Nanowhisker Aerogels. *ChemSusChem*, *6*,
369 537–544.

370 Hu, X., Littrel, K., Ji, S., Pickles, D. G., & Risen, Jr., W. M. (2001). Characterization of silica-
371 polymer aerogel composites by small-angle neutron scattering and transmission electron
372 microscopy. *J. Non-Cryst. Solids*, 288, 184–190.

373 Jelle, B. P. (2011). Traditional, state-of-the-art and future thermal building insulation materials
374 and solutions - Properties, requirements and possibilities. *Energy Build.*, 43, 2549–2563.

375 Joan, C. L.-I., Ardao, B. I., Monteiro, F. J., Alvarez-Lorenzo, C., Gómez-Amoza, J. L., & García-
376 González, C. A. (2018). Vancomycin-loaded chitosan aerogel particles for chronic wound
377 applications. *Carbohydr. Polym.*, 204, 223–231.

378 Koebel, M., Rigacci, A., & Achard, P. (2012). Aerogel-based thermal superinsulation: an
379 overview. *J. Sol-Gel Sci. Technol.*, 63, 315–339.

380 Le Goff, R., Mahé, O., Le Coz-Botrel, R., Malo, S., Goupil, J.-M., Brière, J.-F., & Dez, I. (2020).
381 Insight in chitosan aerogels derivatives -Application in catalysis. *React. Funct. Polym.*, 146,
382 104393-1–9.

383 Leppänen, K., Pirkkalainen, K., Penttilä, P., Sievänen, J., Kotelnikova, N., & Serimaa, R. (2010).
384 Small-angle x-ray scattering study on the structure of microcrystalline and nanofibrillated
385 cellulose. *J. Phys.: Conf. Ser.*, 247, 012030-1–4.

386 López-Iglesias, C., Barros, J., Ardao, I., Gurikov, P., Monteiro, F. J., Smirnova, I., Alvarez-
387 Lorenzo, C., & García-González, C. A. (2020). Jet Cutting Technique for the Production of
388 Chitosan Aerogel Microparticles Loaded with Vancomycin. *Polymers*, 12, 273-1–13.

389 Lours, T., Zarzycki, J., Craievich, A. F., & Aegerter, M. A. (1990). Textural characteristics of
390 silica aerogels from SAXS experiments. *J. Non-Cryst. Solids*, *121*, 216–220.

391 Merli, F., Anderson, A. M., Carroll, M. K., & Buratti, C. (2018). Acoustic measurements on
392 monolithic aerogel samples and application of the selected solutions to standard window systems.
393 *Appl. Acoust.*, *142*, 123–131.

394 Pahl, R., Bonse, U., Pekala, R. W., & Kinney, J. H. (1991). SAXS investigations on organic
395 aerogels. *J. Appl. Crystallogr.*, *24*, 771–776.

396 Perissinotto, A. P., Awano, C. M., Donatti, D. A., de Vicente, F. S., & Vollet, D. R. (2015). Mass
397 and Surface Fractal in Supercritical Dried Silica Aerogels Prepared with Additions of Sodium
398 Dodecyl Sulfate. *Langmuir*, *31*, 562–568.

399 Pierre, A. C., & Pajonk, G. M. (2002). Chemistry of Aerogels and Their Applications, *Chem. Rev.*,
400 *102*, 4243–4266.

401 Pircher, N., Carbajal, L., Schimper, C., Bacher, M., Rennhofer, H., Nedelec, J.-M., Lichtenegger,
402 H. C., Rosenau, T., & Liebner, F. (2016). Impact of selected solvent systems on the pore and solid
403 structure of cellulose aerogels. *Cellulose*, *23*, 1949–1966.

404 Plappert, S. F., Nedelec, J.-M., Rennhofer, H., Lichtenegger, H. C., & Liebner, F. W. (2017). Strain
405 Hardening and Pore Size Harmonization by Uniaxial Densification: A Facile Approach toward
406 Superinsulating Aerogels from Nematic Nanofibrillated 2,3-Dicarboxyl Cellulose. *Chem. Mater.*,
407 *29*, 6630–6641.

408 Plappert, S. F., Nedelec, J.-M., Rennhofer, H., Lichtenegger, H. C., Bernstorff, S., & Liebner, F.
409 W. (2018). Self-Assembly of Cellulose in Super-Cooled Ionic Liquid under the Impact of
410 Decelerated Antisolvent Infusion: An Approach toward Anisotropic Gels and Aerogels.
411 *Biomacromolecules*, *19*, 4411–4422.

412 Posselt, D., Pedersen, J. S., & Mortensen, K. (1992). A SANS investigation on absolute scale of a
413 homologous series of base-catalysed silica aerogels. *J. Non-Cryst. Solids*, *145*, 128–132.

414 Randall, J. P., Meador, M. A. B., & Jana, S. C. (2011). Tailoring Mechanical Properties of
415 Aerogels for Aerospace Applications. *ACS Appl. Mater. Interfaces*, *3*, 613–626.

416 Reidy, R. F., Allen, A. J., & Krueger, S. (2001). Small angle neutron scattering characterization
417 of colloidal and fractal aerogels. *J. Non-Cryst. Solids*, *285*, 181–186.

418 Rigacci, A., Ehrburger-Dolle, F., Geissler, E., Chevalier, B., Sallée, H., Achard, P., Barbieri, O.,
419 Berthon, S., Bley, F., Livet, F., Pajonk, G. M., Pinto, N., & Rochas, C. (2001). Investigation of the
420 multi-scale structure of silica aerogels by SAXS. *J. Non-Cryst. Solids*, *285*, 187–193.

421 Robitzer, M., David, L., Rochas, C., Di Renzo, F., & Quignard, F. (2008). Nanostructure of
422 Calcium Alginate Aerogels Obtained from Multistep Solvent Exchange Route. *Langmuir*, *24*,
423 12547–12552.

424 Rudaz, C., Courson, R., Bonnet, L., Calas-Etienne, S., Sallée, H., & Budtova, T. (2014).
425 Aeropectin: Fully Biomass-Based Mechanically Strong and Thermal Superinsulating Aerogel.
426 *Biomacromolecules*, *15*, 2188–2195.

427 Santos, D. I., Aegerter, M. A., Craievich, A. F., Lours, T., & Zarzycki, J. (1987). Structural studies
428 of fractal silica aerogels. *J. Non-Cryst. Solids*, 95–96, 1143–1150.

429 Smirnova, I., & Gurikov, P. (2018). Aerogel production: Current status, research directions, and
430 future opportunities. *J. Supercrit. Fluids*, 134, 228–233.

431 Tabata, M., Adachi, I., Kawai, H., Kubo, M., & Sato T. (2012). Recent Progress in Silica Aerogel
432 Cherenkov Radiator. *Phys. Proc.*, 37, 642–649.

433 Taberero, A., Baldino, L., Misol, A., Cardea, S., & Martín del Valle, E. M. (2020). Role of
434 rheological properties on physical chitosan aerogels obtained by supercritical drying. *Carbohydr.*
435 *Polym.*, 233, 115850-1–8.

436 Takeshita, S., & Yoda, S. (2015). Chitosan Aerogels: Transparent, Flexible Thermal Insulators.
437 *Chem. Mater.*, 27, 7569–7572.

438 Takeshita, S., Takebayashi, Y., Nakamura, H., & Yoda, S. (2016). Gas-Responsive
439 Photoluminescence of YVO₄:Eu³⁺ Nanoparticles Dispersed in an Ultralight, Three-Dimensional
440 Nanofiber Network. *Chem. Mater.*, 28, 8466–8469.

441 Takeshita, S., Konishi, A., Takebayashi, Y., Yoda, S., & Otake, K. (2017a). Aldehyde Approach
442 to Hydrophobic Modification of Chitosan Aerogels. *Biomacromolecules*, 18, 2172–2178.

443 Takeshita, S., & Yoda, S. (2017b). Translucent, hydrophobic, and mechanically tough aerogels
444 constructed from trimethylsilylated chitosan nanofibers. *Nanoscale*, 9, 12311–12315.

445 Takeshita, S., & Yoda, S. (2018). Upscaled Preparation of Trimethylsilylated Chitosan Aerogel.
446 *Ind. Eng. Chem. Res.*, 57, 10421–10430.

447 Takeshita, S., Akasaka, S., & Yoda, S. (2019a). Structural and acoustic properties of transparent
448 chitosan aerogel. *Mater. Lett.*, *254*, 258–261.

449 Takeshita, S., Sadeghpour, A., Malfait, W. J., Konishi, A., Otake, K., & Yoda, S. (2019b).
450 Formation of Nanofibrous Structure in Biopolymer Aerogel during Supercritical CO₂ Processing:
451 The Case of Chitosan Aerogel. *Biomacromolecules*, *20*, 2051–2057.

452 Takeshita, S., Zhao, S., Malfait, W. J., & Koebel, M. M. (2020). Chemistry of Chitosan Aerogels:
453 Three-Dimensional Pore Control for Tailored Applications. *Angew. Chem. Int. Ed.*, in press.
454 doi.org/10.1002/anie.202003053

455 Tkalec, G., Knez, Ž., & Novak, Z. (2015). Fast production of high-methoxyl pectin aerogels for
456 enhancing the bioavailability of low-soluble drugs. *J. Supercrit. Fluids*, *106*, 16–22.

457 Tripathi, A., Parsons, G. N., Khan, S. A., & Rojas, O. J. (2018). Synthesis of organic aerogels with
458 tailorable morphology and strength by controlled solvent swelling Hansen solubility. *Sci. Rep.*, *8*,
459 2106-1–12.

460 Valentin, R., Molvinger, K., Quignard, F., & Di Renzo, F. (2005). Methods to Analyse the Texture
461 of Alginate Aerogel Microspheres. *Macromol. Symp.*, *222*, 93–102.

462 Valentin, R., Bonelli, B., Garrone, E., Di Renzo, F., & Quignard, F. (2007). Accessibility of the
463 Functional Groups of Chitosan Aerogel Probed by FT-IR-Monitored Deuteration.
464 *Biomacromolecules*, *8*, 3646–3650.

465 Veronovski, A., Novak, Z., & Knez, Ž. (2012). Synthesis and Use of Organic Biodegradable
466 Aerogels as Drug Carriers. *J. Biomater. Sci. Polym. Ed.*, *23*, 873–886.

- 467 Wei, S., Ching, Y. C., & Chuah, C. H. (2020). Synthesis of chitosan aerogels as promising carriers
468 for drug delivery: A review. *Carbohydr. Polym.*, *231*, 115744-1–14.
- 469 White, R. J., Budarin, V. L., & Clark, J. H. (2010). Pectin-Derived Porous Materials. *Chem. Eur.*
470 *J.*, *16*, 1326–1335.
- 471 Woignier, T., Phalippou, J., Vacher, R., Pelous, J., & Courtens, E. (1990). Different kinds of fractal
472 structures in silica aerogels. *J. Non-Cryst. Solids*, *121*, 198–201.
- 473 Yoda, S., & Ohshima, S. (1999). Supercritical drying media modification for silica aerogel
474 preparation. *J. Non-Cryst. Solids*, *248*, 224–234.
- 475 Zhao, S., Malfait, W. J., Albuquerque, N. G., Koebel, M. M., & Nyström, G. (2018). Biopolymer
476 Aerogels and Foams: Chemistry, Properties, and Applications. *Angew. Chem. Int. Ed.*, *57*, 7580–
477 7608.