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1 Six modes of co-production for the sustainable development of ecosystems

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52 Abstract

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54 The promise of co-production to address complex sustainability challenges is compelling. Yet, co-55 production – the collaborative weaving of research and practice – encompasses diverse aims, 56 terminologies and practices, with poor clarity over their implications. To explore this diversity, we 57 systematically mapped differences in how 32 initiatives from six continents co-produce diverse 58 outcomes for the sustainable development of ecosystems at local to global scales. We found variation 59 in their purpose for utilising co-production, understanding of power, approach to politics, and 60 pathways to impact. A cluster analysis identified six modes of co-production: (1) researching 61 solutions; (2) empowering voices; (3) brokering power; (4) reframing power; (5) navigating 62 differences; (6) reframing agency. No mode is ideal - each holds unique potential to achieve 63 particular outcomes, but also poses unique challenges and risks. Our analysis provides a heuristic tool for researchers and societal actors to critically explore this diversity and effectively navigate trade-64 65 offs when co-producing sustainability.

66

67 Introduction

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69 Co-production is a rapidly growing endeavour, now widely applied in the fields of health, development, education, climate change, industrial production, and sustainability^{1,3–5,2,6}. It broadly 70 seeks to connect researchers with diverse societal actors to collaboratively and iteratively produce 71 72 knowledge, action and societal change¹. The promise is compelling: developing solutions through 73 legitimate processes that draw on diverse and credible expertise with, by, and for those best placed to use them^{5,7,8}. Sustainability is one important arena in which co-production has been increasingly 74 practiced and examined^{1,5,9}. Yet, both the meaning and outcomes of co-production remain ambiguous, 75 as efforts now employ diverse terminologies – for example, collaborative governance¹⁰, social 76 learning^{4,11}, co-design¹², transdisciplinarity^{13–15}, and participatory action research¹³ – linked to varied 77 approaches with different goals, theories, practices, capacities, and outcomes^{1,4,13,16-20}. Poor 78 conceptual clarity of distinct approaches and the lack of systematic empirical analysis of their 79 outcomes risks framing co-production as a panacea^{1,7,9}. Amidst growing concerns over how co-80 production efforts may in some cases entrench social inequalities and power relations^{7,17,21,22}, or fail to 81 achieve sustainability goals^{1,13,15,23,24}, scholarship points to the importance of exploring multiple 82 impact pathways, trade-offs among approaches, and cross-scalar dynamics^{13,23,25}. Yet, much empirical 83 guidance to date has been limited by scope, often including relatively few case studies^{15,18,26}, 84 geographical contexts^{25,27}, or methodological approaches^{28,29}. 85

86

87 The empirically-based analysis presented here of selected examples of co-production provides a 88 heuristic tool for researchers and societal actors to understand the growing diversity of approaches 89 and effectively navigate associated trade-offs when designing co-production processes. Our selected 90 examples focus on one domain of co-production scholarship and practice in sustainability – reshaping 91 how ecosystems can be managed for sustainability. To explore diverse co-production approaches in 92 this domain, we analysed 32 cases that sought to advance sustainable development by co-producing 93 sustainable interactions between people and terrestrial or marine ecosystems (hereafter, 'sustainable 94 development of ecosystems', or in short 'sustainability'). We conducted qualitative and quantitative 95 analyses to identify distinct choices in the design and implementation of co-production cases and

96 connect these choices to potential outcomes, challenges, and risks. We employed an informationoriented, maximum variation approach to sampling³⁰, with 32 cases selected to maximise diversity 97 for: sectoral involvement, researcher roles, co-production practices, and geographical/scalar locations. 98 99 These cases span six continents and operate across local, regional, national, transnational, and global 100 scales (Fig. 1). They interweave knowledge and action through diverse methods that combine both descriptive and normative aspects of co-production^{1,4}; for example, research-informed co-101 management processes^{31–33}, (trans)national learning networks^{34,35}, and global dialogues^{36,37}. All cases 102 103 sought to beneficially influence how ecosystems function towards sustainability; yet, aspirations 104 ranged from more modest goals of mainstreaming knowledge within established policies, to intentions 105 to fundamentally reshape narratives, practices, policies, and institutions.

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107 Our analysis emerged from a desire to examine the choices we knowingly or unknowingly make 108 when studying and participating in co-production efforts for the sustainable development of 109 ecosystems – both in the aims that are set, and the design choices and practices that shape resulting 110 outcomes. The iterative analyses identified six modes of co-production defined by how they frame the 111 *purpose* of co-production, conceptualise *power*, approach *politics*, and theorise impact *pathways*. 112 Below we describe the diversity of cases, and then explore these four themes. We then introduce the 113 six modes of co-production and their unique potential to produce particular outcomes and risks, 114 thereby elucidating when and how particular co-production approaches may be effective and 115 constructive. We conclude by highlighting some critical trade-offs that arise between different modes 116 of co-production, and some common features that enhance likelihood of achieving outcomes. We 117 encourage the use of our analysis, particularly as distilled in our graphical visualisations, as a useful 118 heuristic tool to guide researchers and societal actors towards more reflexive co-production design 119 and practice in pursuit of sustainability. The analysis and heuristic presented here are not intended to 120 serve as a generalisable checklist of 'how to co-produce'; rather, our approach seeks to cultivate 121 flexibility and reflexivity that enable researchers and practitioners to plan as well as improvise what 122 action is required in their situation.

123

124 Diversity of co-production cases

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126 The 32 cases engaged with diverse sustainability issues related to habitat degradation, climate change, 127 wildfire, and supply chains. All cases were implemented by and/or extensively researched by the 42 128 co-authors of this paper (case selection process detailed in Methods). Some cases worked either at 129 local or global scales, but the majority spanned multiple scales in locations around the world (Fig. 1). All cases fostered collaboration across at least three sectors, with research, government, NGO and 130 community actors involved in most cases. Societal actors ranged from business CEOs³⁶ and urban 131 planners^{38,39}, to indigenous leaders^{40,37} and artists³². The cases employed diverse approaches to co-132 producing knowledge and action, such as participatory modelling to inform resource management^{33,41}; 133 research-informed co-management institutions^{31,38,42,43}; learning networks or think tanks to guide 134 practice^{34,37}; and 'labs' to collectively rethink problems^{39,44}. Half the cases engaged people with 135 similar values and goals, while the other half navigated conflicting agendas, and in some cases, bitter 136 137 disputes.

139 The combined budget of the cases reached 120 million (median 500,000) USD, ranging from short 140 18-month projects to ongoing initiatives of 20+ years (Fig. 1). Leadership teams were typically 141 dominated by citizens of project localities, except when working in less developed countries. In 142 addition, two-thirds of all cases were led by at least 50% women. In some cases, research played a 143 minor role; however, in two-thirds of cases, researchers held relatively greater power over decisions. 144 The majority of cases spanned at least four disciplines such as ecology, social science, art, and 145 engineering. Some applied more 'realist' research methods (i.e. methods used to describe reality, such as hydrological modelling), while others emphasised 'relativist' methods (i.e. methods that study 146 'reality' as, in part, socially constructed, like discourse analysis)⁴⁵. 147

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Key differences across co-production cases

We conducted iterative qualitative analyses to identify key differences in how cases frame and 153 154 practice co-production, and pursue and achieve distinct outcomes. The analyses spanned 2017 - 2019155 and entailed multiple steps (see Methods for details): a) collaboratively producing a common inquiry 156 framework based on exploratory workshops of co-production cases and literature review; b) 157 systematically selecting diverse cases; c) applying the inquiry framework to gather data on each case 158 study in collaboration with a case expert; d) conducting a preliminary analysis to identify dimensions 159 of difference across cases, and then testing and refining a list of 72 dimensions in two participatory 160 workshops; e) systematically assigning numerical scores and qualitative justifications for each 161 dimension of difference for the 32 cases; f) conducting statistical and qualitative analyses to identify 162 and understand patterns. Four key themes emerged from the analyses; cases varied in their (1) purpose 163 for utilising co-production, (2) understanding of power, (3) approach to politics, and (4) intended 164 impact pathways. For each theme (below), cases typically followed one of two approaches, which can 165 be viewed as opposite ends of a spectrum that sit in tension (Fig. 2). However, some cases 166 demonstrated that these tensions are not inevitable and may be spanned in productive ways.

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170 Purpose

Why do actors co-produce? The motivations driving co-production efforts heavily shape them^{18,19,46}. 171 172 We identified two main motivations underlying co-production: to more effectively solve predefined 173 problems and to reframe problems. Examples of solving predefined problems include initiatives to fill knowledge gaps, such as land use impacts on ecosystem services⁴¹, or collectively manage problems, 174 such as river pollution³⁸. Examples of reframing problems include shifting people's focus on ensuring 175 176 sustainable production of a commodity, to becoming an active steward of the ecosystem on which that product depends – whether farmers/fishers in a local resource context^{44,33,31} or corporate actors with 177 global influence³⁶. Earlier problem definition facilitated quicker solutions, but also inhibited 178 179 participants from questioning their assumptions. For example, partnerships between researchers and 180 policymakers explored more topics over time, but rarely questioned their assumption that a lack of 181 knowledge was the primary barrier to change. Cases seeking to reframe problems engaged actors with 182 divergent views to either negotiate compromise, or facilitate reframing. Reframing was sometimes

pursued unidirectionally (i.e. using knowledge to convince actors), but others created spaces to collectively question multiple perspectives in ways that placed them on equal ground. Projects that emphasised reframing often struggled to engage solutions-oriented actors and produce concrete actions. Cases were therefore often challenged by an *apparent* paradox: they needed to stabilise problem framings to align actors and empower action, while also questioning problem framings to spark more diverse and innovative possibilities.

189

190 *Power*

How is human agency conceptualised? Power and agency are inherent to sustainability scholarship, 191 vet these concepts are interpreted in diverse ways^{47–49}. We identified two predominant approaches to 192 understanding human agency: focusing on the behaviour of actors directly linked to sustainability 193 194 problems (e.g. encouraging resource users to extract less or recuperate habitats^{41,50}), versus targeting more systemic aspects (e.g. addressing broader paradigms and systems that influence resource users' 195 agency^{37,51}). This echoes different definitions of 'power' in the literature, ranging from more direct 196 197 interactional forms (i.e. exercising 'power over' others), to diffuse, structural, and preconstituted 198 forms⁴⁷. While the direct approach was typically seen in cases focused on solving problems, the more 199 systemic approach often emerged from processes that used iterative dialogue and creative methods to reframe participants' perceptions of agency^{32,44}. Some cases realised contradictions over time between 200 201 their direct actions (e.g. supporting local communities to adapt to climate change) and failure to 202 address systemic issues that constrained those actions (e.g. policies and actors causing climate change)⁴⁰. Projects therefore struggled to navigate tensions between promoting a limited view of 203 204 agency to empower direct action, and expanding views to consider systemic issues that could leverage 205 wider impact. While the former risked failing to address the roots of sustainability problems, the latter 206 risked disempowering individual agency if people felt overwhelmed by 'big' systemic challenges.

207

208 Politics

How are power relations changed? Co-production literature increasingly explores the politics 209 inherent to reshaping relations of power among actors^{16,21,52}. Here we refer to politics as the work of 210 211 deciding who gets what, when and how, which refers to a broader sphere of engagement than the deliberation of official government processes⁵³. We identified two distinct ways that cases engage 212 213 with politics to reshape power relations: by empowering marginalised actors, such as grassroots 214 groups or local officials, or by influencing powerful actors, such as international policy makers or corporate executives, to yield power. Most cases focused on local actors; for example, by empowering 215 216 municipal officials through provision of science-based evidence to engage political decision-makers⁵⁴. 217 Some sought to persuade actors to change behaviours defined as problematic by actors external to the context (e.g. exploitation of natural resources by farmers⁵⁰), while others began with local 218 interpretations of problems⁴³. Several cases facilitated integration of local and external views to 219 220 develop new possibilities for action. Some took the further step of representing these views in 221 decision-making arenas, or gave local actors a seat in discussions with more powerful actors. Yet, 222 very few cases sought to reshape power relations through deep engagement with globally powerful 223 actors, or directly connected them to iterative bottom-up processes. Indeed, cases that directly 224 engaged powerful actors rarely questioned their control over particular actors. This dynamic played 225 out within some project teams, where actors from the global North held greater control over coproduction processes occurring in the global South, with no cases of the reverse⁵⁵. Cases therefore 226

tended either to not actively attempt to influence the politics that shaped power relations, or struggled to navigate the politics of shifting power by iteratively engaging one, or occasionally both sides of power differentials.

230

231 Pathways

How are impacts catalysed? Co-production initiatives exhibit diverse possible impact pathways^{23,56}. 232 233 Our cases employed two main pathways: by primarily producing scientific knowledge as a product 234 that is expected to shape policy and/or practice (i.e. 'by producing knowledge'; Fig. 2), or through 235 more integrated forms of knowing, relating and doing (i.e. 'by relating together'). Examples of 236 producing knowledge included developing new scientific research outputs like academic journal articles, or reports for policy-making and practice (e.g. guidelines for practitioners)^{54,57}. Examples of 237 238 relating together emphasised iterative dialogue to share practical experiences of actors involved^{36,37,39,44}, and direct action through co-managing ecosystems and creating new institutions and 239 policies^{31,32,34,38}. Many cases focused on producing knowledge, clearly linked to the dominant role of 240 241 researchers in our sample of cases. These cases often quickly stabilised notions of problems, such as 242 the need to fill particular knowledge gaps related to interventions, resource/information flows, or 243 actors' perceptions. The actors that this knowledge sought to influence were involved in varied ways, 244 from informing questions, to co-producing research, or engaging in social learning around the 245 produced knowledge. In contrast, cases that focused less on producing scientific knowledge outputs 246 typically emphasised relating together. This latter approach was associated with fewer predefined 247 goals and more space to enact emergent ideas.

248

249 Modes of co-production

250

The hierarchical clustering⁵⁸ analysis grouped cases that similarly approached purpose, power, 251 252 politics, and pathways into six clusters (Fig. 3). Clusters represent distinct modes of co-production 253 that employed particular designs and practices to pursue up to 14 types of identified outcomes. These 254 modes varied in their aims (Fig. 4); for example, some particularly sought to produce scientific 255 knowledge outputs (1, 2, & 4), reframe pre-existing beliefs and values (2-6), enhance policy uptake 256 (1, 2, 4, 5), or build institutions (3 & 5). For each mode, we discuss their main features and key 257 strengths and weaknesses in relation to the extent that cases pursued and achieved particular outcomes 258 (Fig. 4). We connect this discussion to the unique opportunities and critical risks associated with 259 different approaches to navigating purpose, power, politics, and pathways (Fig. 5).

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260

265 *Mode 1*

Researching solutions. Here, scientists and decision-makers employing more 'realist' investigative methods⁴⁵ like ecosystem modelling, produced practical scientific knowledge, with the goal to influence policies and interventions. Cases varied in the relative power of scientists or decisionmakers to define topics, but spanned relatively low social diversity of actors. These projects generated evidence that could inform or justify the approach of environmentally motivated decision-makers. 271 This was most effective within institutional contexts that supported actors to iteratively evolve relationships, questions, and methods over time, and adapt to shifting policy contexts⁵⁴. However, this 272 approach was less effective at shifting the strategies and priorities of decision-makers, who were more 273 274 receptive to knowledge that helped rather than opposed their plans. The emphasis of these projects on 275 lack of knowledge as the principal barrier to change therefore often limited their capacity to realise 276 broader recommended shifts in management and policy. Cases also struggled to support emergent 277 goals, such as addressing capacity needs. Effort to empower scientific knowledge risked 278 marginalising the voices of other actors (and knowledge systems) who were excluded from the 279 process but affected by resulting recommendations²¹.

280

281 *Mode 2*

282 **Empowering voices.** These cases also co-produced knowledge to address ecosystem management 283 and policy challenges, led by interdisciplinary scientists alongside community and/or government 284 actors. However, they differ from mode 1 through their explicit intention to empower relatively 285 marginalised actors and include greater social diversity, such as by supporting initiatives of local and 286 indigenous communities. Cases created meaningful solutions for local actors and produced science to confront broader narratives that furthered ecosystem degradation and social inequalities^{43,59}. Several 287 288 cases navigated a careful balance between neither suppressing nor romanticising local views by developing respectful ways to integrate external expertise and expand problem definitions^{40,43}. 289 290 Compared with mode 1, cases emphasised process and more actively engaged with/in policy and management contexts, producing greater impacts⁵⁷. Outcomes were enhanced for cases that prioritised 291 process learning and quality, fulfilled capacity needs, and built institutions to evolve multi-scalar 292 293 partnerships and activities over time. However, the strong emphasis on producing and empowering 294 particular knowledge forms constrained possibilities for reframing. This knowledge could also be 295 ignored by higher level decision-makers who were often not deeply involved, hindering initiatives' 296 broader desired social equitability outcomes.

297

298 Mode 3

299 Brokering power. This mode was the smallest, and highly unique. Both cases engaged relatively 300 powerful actors to develop long-term innovative institutions to address sustainability challenges. In 301 contrast to modes 1 and 2, these cases fostered *dialogue around synthesised knowledge* and took 302 direct policy and management actions, instead of mainly *producing scientific knowledge*. Both cases 303 also equally focused on reframing problems and pursuing solutions, yet did so in distinct ways. One 304 case created a safe space for international CEOs and scientists to reframe views of ocean sustainability problems to develop governance solutions³⁶. The other case took collective action to 305 restore a polluted river, using a shared concern as a pathway to build trust and reframe polarised 306 relations in a cross-border conflict³⁸. These cases used third party brokers and carefully controlled 307 308 participation to facilitate safe spaces for dialogue, given the high potential for conflicts among 309 identities and interests. Tracking process results was critical to fostering ongoing engagement and 310 navigating a balance between speaking the language of powerful actors while trying to reframe 311 thinking. Due to the stronger emphasis on scientific/technical expertise (over local knowledge and 312 concerns), these cases risked legitimising existing power relations.

313

314 *Mode 4*

315 **Reframing power.** These cases engaged both relatively marginalised *and* powerful actors (e.g. local communities, and national NGO and government actors) to reframe technocratic narratives and shift 316 practices and policies that marginalise social concerns^{37,51,60}. Like mode 3, they were led by 317 researchers alongside relatively powerful practitioners. However, in contrast, researchers explicitly 318 319 sought to shift power away from powerful actors and towards marginalised actors whose perspectives they explored using 'relativist' critical social science methods. As a result, some reframing of 320 dominant perspectives occurred; however, cases struggled to create 'safe enough spaces'²⁹ to do so. 321 322 This was partly due to spanning multiple cultures, sectors, and identities during relatively short 323 timelines³⁷, and partly related to their emphasis on producing knowledge (like modes 1 and 2) and little focus on institution building (unlike mode 3). This shifted the balance of power towards 324 325 researchers, who faced the challenge of keeping work critical of power relations, while also trying to relinguish power to solutions-oriented actors to foster practical relevance^{51,60}. Only cases with strong 326 existing institutional roots or multi-scalar networks managed to somewhat shift policy and 327 practice^{37,60}. 328

330 *Mode 5*

329

331 Navigating differences. This mode is distinguished from modes 1-4 through a stronger emphasis on 332 managing processes of relating together, learning, and empowerment over producing and transferring 333 scientific knowledge about human-ecosystem interactions. Researchers employed more 'relativist' methods⁴⁵, but in contrast to mode 4, they held less power. Facilitation techniques and boundary 334 objects⁶¹ were used to connect stakeholder groups to explore conflicts and reframe perspectives, while 335 336 allowing new institutions, regulations, and practices to emerge. These processes valued all forms of expertise, and effectively minimised hierarchies to directly engage with actors across power 337 differentials³¹. This model showed promising evidence of reframing, for example, by changing fixed 338 notions of 'stakes' to more dynamic 'stakeholding'34 and shifting scientific knowledge from a 339 dominant position to a more democratic one³². The early focus on reframing (instead of generating 340 solutions) allowed actors to navigate conflicting identities to build long-term (mean of 8 years) 341 complementary bottom-up and top-down processes^{32,42}. This resulted in higher attainment of policy 342 343 and management outcomes. Some cases additionally linked diverse local co-production efforts through learning networks^{34,35}. A few cases were blocked by unsupportive high-level actors, 344 underscoring the importance of managing those risks and building trust across power differentials. 345

346

347 *Mode 6*

348 Reframing agency. These cases focused the least on using co-production to solve pre-defined 349 problems. Led mostly by researchers with both highly relativist design and systems thinking backgrounds, they created safe spaces to identify collective forms of agency capable of addressing 350 systemic governance issues, such as through urban/transformation labs^{39,44}. These cases did not seek 351 352 to empower particular knowledge, but instead allowed diverse knowledge forms to be expressed. To 353 create safe spaces, they engaged environmentally-motivated change agents in local contexts, thereby 354 spanning fewer conflicting identities and cultures. They also explored emotional and psychological anxieties related to questioning power and beliefs³⁹. Despite their relatively short duration, these cases 355 356 most effectively triggered reframing, illustrating the value of creating spaces without explicit solution 357 agendas. For example, in one case participants realised an incongruence between their own narratives and practices, leading them to shift focus from agro-technologies to fostering social solidarity⁴⁴. These 358

cases also trained participants to employ co-production approaches in their own work. Few shifts in policy and practice have been documented; however, these projects did not explicitly seek these outcomes and also were among the most recently completed. These cases struggled most to engage powerful impact-motivated actors and risked creating echo chambers (i.e. spaces where people encounter views that mainly coincide with their own).

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- 367 The outcomes and future of co-production for sustainability

369 This analysis unpacks the diverse design and implementation choices that fall within the growing field 370 of co-production scholarship and practice for the sustainable development of ecosystems. The six co-371 production modes we identify show how distinct approaches to engaging with purpose, power, 372 politics, and pathways are suited to achieving different types of outcomes. Yet, approaches also differ 373 in their potential risks, such as creating echo chambers, reinforcing the status quo, and being co-opted 374 by powerful vested interests (Fig. 5). By clarifying the connections between co-production choices 375 and differential benefits and risks, we provide a heuristic tool to enhance understanding and design 376 considerations where researchers and societal actors interweave knowledge and action for 377 sustainability. For example, among our cases, design choices that prioritised relating together and 378 systemic interpretations of agency were crucial to reframing perspectives, while knowledge-focused 379 and solution-oriented approaches were better suited to influencing policy. Particular approaches may 380 also be appropriate in different stages of a change process, and further research may explore the role of certain approaches in varying contexts⁶². We therefore argue that this diversity is a strength – 381 different approaches contribute to change in distinct ways, if the associated risks are proactively 382 383 managed (Fig. 5).

384

In accordance with other studies^{13,16,63}, our analysis shows that co-production requires careful 385 facilitation to bridge diverse perspectives, values, and identities, and that multi-scalar and long-term 386 387 engagement is essential for achieving outcomes (Fig. 6). Our cases collectively highlight just how 388 varied the possible outcomes of co-production are, from informed policies and shifted narratives, to 389 reshaped relations and institutions. Yet, particular synergies and trade-offs emerged among these 390 outcomes (Fig. 6). For example, cases that fostered the most substantial reframing of perspectives and 391 feelings of empowerment (e.g. in Reframing Agency) did not demonstrate shifts in policies or 392 practices. Another notable trade-off is that the successful production of scientific knowledge was 393 consistently negatively associated with attaining most other outcomes (Fig. 6). Similar to recent studies^{13,15,64,65}, our analysis suggests that the tendency of researchers to direct co-production 394 395 resources to filling knowledge gaps – whether led by natural scientists (e.g. in Researching Solutions) 396 or critical social scientists (e.g. in Reframing Power) – may actually hinder the attainment of other 397 types of outcomes that inspire collective action, such as reframing narratives and building institutions. 398

- 399 ------ INSERT FIGURE 6 NEAR HERE ------
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401 Our study suggests that co-produced scientific knowledge can further *existing* policy goals; however, 402 when the goal is to fundamentally *reframe* policies, we found that cultivating dialogue and relations 403 that question problem definitions and explore systemic forms of agency are critical (e.g. Navigating 404 Differences & Reframing Agency; Fig. 4). Co-production efforts that demonstrated the largest shifts 405 in institutions and management practices directly supported researchers and diverse societal actors to 406 iteratively balance critically reflexive and solutions-oriented spaces (e.g. Brokering Power & 407 Navigating Differences; Fig. 4). This allowed actors to navigate different agendas for change to grow 408 ideas and actions which were unforeseen from the outset. While several local cases spanned these 409 dual reflexive and action-oriented purposes of co-production, we call for greater exploration of how to 410 do so across scales, and in particular with globally powerful actors.

411

412 Despite the promising outcomes of diverse co-production practices, their ultimate sustainability 413 impacts remain unclear since few cases monitored social (13%) and ecological (22%) aspects of 414 sustainability, such as improved human well-being amidst more sustainable resource levels. 415 Attributing such impacts to co-production processes is challenged by their often spatially and 416 temporally dispersed and unanticipated effects. We therefore support others' calls for approaches that 417 iteratively monitor impacts occurring within (and not just after) co-production processes to support 418 adaptive learning while acknowledging complex and unpredictable impact pathways^{1,9,12,19,66}. Indeed, 419 such monitoring was linked to enhanced achievement of outcomes across our cases (Fig. 6). For 420 example, one case examined people's cognitive maps, perception of agency, and social networks at 421 multiple stages during the process to facilitate and document the changes occurring⁴⁴ (see 422 Supplementary Table 4 for more approaches). We therefore echo existing concerns that funding 423 paradigms and policy orientations requiring predefined problem definitions and impact pathways may 424 constrain the full range of possible outcomes of co-production^{5,66}.

425

426 Undertaking this analysis enabled us to collectively and critically interrogate the diverse assumptions behind the purpose of our co-production practices, ways of navigating power and politics, and 427 428 presumed pathways to sustainability. We have produced a heuristic that can be used to support 429 researchers and practitioners to navigate the trade-offs and risks associated with different approaches 430 to weaving knowledge, action, and change. Given similar co-production challenges around power, impact, and scale experienced across diverse fields^{1,4,13,21,63}, this tool may help facilitate critical 431 432 reflection in other contexts, or otherwise inform the design of additional analyses that go even further 433 in depth and wider in scope. We encourage the application of our heuristic as a reflexive tool to open 434 up dialogue and strengthen transparency in design choices in co-production processes for 435 sustainability, and welcome future evaluations of its effectiveness for guiding scholarship and 436 practice.

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637

638 Author Contributions

639

J.M.C., C.W. and M.R. conceived the project and co-led the design of methods, with contributions
from R.S.R., M.R., A.S., N.B., C.C., M.E.F.G., K.G., B.G., N.K., M.T., A.T.B., K.C., R.G., T.P.
Authors J.M.C., M.R., C.W., R.S.R., and A.T.B organised and designed all workshops. J.M.C.
coordinated the study, gathered all data, and led the data analysis in collaboration with all authors.
J.M.C. and C.W. drafted the manuscript and all authors contributed and commented on drafts and the
final version.

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647 Competing Interests

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649 The authors declare no competing interests.
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651 Figure Captions
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^{613 81.} Harrell Jr, F. E. Hmisc: Harrell Miscellaneous Version 4.3-0. (2019).

Figure 1. Overview of the 32 cases. Cases are grouped by the scale(s) at which the co-production work took place, while the map shows the geographical locations. Colors indicate the mode identities in Fig. 3. Listed contributors provided case materials and interviews, and held a senior leadership position in the case and/or extensively researched it. Additional case details are available in Supplementary Table 1. See Methods for details on the case selection process.

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Figure 2. Eight key differences in how cases approach co-production. The number of cases that exhibited each approach at varying strengths is shown (lightest shade = no emphasis; darkest shade = very strong emphasis); E.g. 4 cases heavily focused on solving predefined problems, whereas 1 case did not define any solutions at the start. The correlations diagram shows the key tensions between blue and orange approaches, meaning that cases rarely managed to strongly employ both. See Methods for the scoring process of these approaches (dimensions) and Supplementary Table 2 for detailed 7-pt likert scale definitions and illustrative quotes.

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Figure 3. Six modes of co-production identified by approaches to purpose, power, politics and pathways. Spider diagrams show the value of each of the 8 approaches for all case members of each mode. Case IDs and mode colors correspond to those in Fig. 1, demonstrating the high geographic and scalar diversity within each mode. See Methods for further details on the Hierarchical Clustering process.

672

673 Figure 4. Comparison of co-production modes by main features and outcomes. The +/- symbols 674 indicate which mode means are significantly higher/lower for each dimension, with the overall 675 significance of comparisons denoted (Kruskal-Wallis tests; p < 0.10, p < 0.05, p < 0.01, p < 00.001). For example, modes 1, 2 and 4 achieved more knowledge production, whereas modes 5 and 6 676 677 achieved more reframing. Mode 3 rarely exhibited statistically significant differences due to its small 678 size. Outcomes are grouped into intercorrelated sets (see Fig. 6). Results are grayed out when missing 679 >50% of data for modes with 7-8 cases, and >25% data for modes with 2-4 cases. Descriptive 680 dimensions that did not exhibit notable differences are not shown. See Supplementary Tables 3 & 4 681 for definitions of all descriptive and outcome dimensions, respectively, and Supplementary Fig. 1 for 682 correlations among all descriptive dimensions.

683

684 Figure 5. The unique opportunities and critical risks of different modes of co-production. When 685 co-production initiatives strongly express particular combinations of approaches to purpose, power, 686 policies and pathways, they hold unique ability to achieve certain outcomes, but each approach is also 687 linked to critical risks. For example, mode 1 (researching solutions) and 6 (reframing agency) face the 688 opposite transformative potentials and risks. The ability of modes 3 and 5 to achieve multiple types of 689 outcomes (see Fig. 4; e.g. reframing, networks, management practices, institution building) is related 690 to their unique ability to span the dichotomies: purpose (problem solving and problem reframing) and 691 politics (influence powerful and empower marginalised), respectively. In contrast modes 1 and 6 692 achieved fewer types of outcomes (see Fig. 4).

693

Figure 6. Dimensions most strongly associated with higher attainment of sustainability outcomes. The green/pink grid shows how achieving some outcomes is positively/negatively linked to achieving other outcomes (*p < 0.05, **p < 0.01, ***p < 0.001). Knowledge production is the only 697 outcome that is not correlated with achieving any other outcomes, and even shows a consistent 698 negative trend. The descriptive dimensions that are most strongly correlated with each sub-group of 699 intercorrelated outcomes are listed in the gray boxes. The white box contains all dimensions that are 700 significantly linked to higher attainment of outcomes across the board. The definitions for all 701 descriptive and outcome dimensions, and common methods that cases used to assess them are 702 available in Supplementary Tables 3 and 4, respectively.

703

704 Methods

705

706 This study was collaboratively produced by contributors of the 32 cases through an iterative exploratory and analytical process during 2017 - 2019. We took a dialogical approach⁶⁷ in the overall study design by 707 708 deliberately iterating between analysis conducted by the lead author and interrogation of those analyses by case 709 contributors over multiple stages (further described below). This allowed the lead author to maintain 710 independence of interpretation by individually conducting all interviews and empirical analyses, while 711 conceptual framings and analytical interpretations of the study were iteratively improved by the in-depth 712 practical knowledge of the diverse range of co-production experts involved. Workshops were organised at two 713 different stages of the analysis to also foster an interactive space to co-develop the focus, scope, and methods for 714 the analysis (further described below). This iterative multi-stage analysis resulted in a highly robust 715 methodological approach to advance collective knowledge around diverse co-production framings, approaches, 716 and outcomes. Our choice to collaboratively produce this research is consistent with a growing number of 717 scholars who underscore the importance of researchers producing knowledge with those who are actually 718 implementing the work under study in order to produce rigorous knowledge of important practical 719 experiences68,26.

720

721 Collaboratively produced common inquiry framework

722 The research began with two participatory workshops, one convened at The Pew Charitable Trusts in 723 Washington D.C., United States (May 23-25, 2017) and the other at the 2nd Programme on Ecosystem Change 724 and Society (PECS) Conference in Oaxaca, Mexico (November 11-12, 2017). A number of scholars and 725 practitioners working on different aspects of 'co-production' were invited to the Pew workshop, while 726 participants self-selected into the PECS workshop, based on their interest and prior experience working on co-727 production. In these workshops, we grounded our analysis in the experience of the co-production cases. Case 728 contributors responded to a series of open questions regarding co-production aims, practices, and outcomes, 729 followed by participatory exercises to identify key differences and common challenges. The 25 participants in 730 these workshops collectively represented more than 200 years of cumulative practical experience managing co-731 production initiatives for the sustainable development of ecosystems in 15 countries. Participants were diverse 732 in terms of career stage, disciplinary background, and position, with half based in research institutes, and nearly 733 all in positions operating at the interface of research and policy/practice (i.e. "scholar practitioners"). However, 734 participants were predominantly women (80%) living in the Global North (85%), which undoubtedly shaped the 735 discussions in the workshops. In an effort to rectify these biases, we subsequently conducted a wider review of 736 relevant co-production literature to further develop the emerging common inquiry framework (i.e. a spreadsheet 737 to inquire about important differences across diverse co-production cases) to guide subsequent data collection 738 and analyses.

739

740 Systematic case selection

The 32 cases included some from the initial workshops (n=8) and others subsequently identified using Google Scholar (n=24). Our sampling approach followed an information-oriented, maximum variation approach to sampling – we selected cases that would give the greatest possible information about co-production for the

sustainable development of ecosystems - a sub-group in the domain of co-production for sustainability³⁰. This 744 745 selection strategy provided analytical power for exploring how different co-production aims, features, practices, 746 and outcomes are interlinked. To select a broad range of cases, we chose to maximise diversity for sectoral 747 involvement, the role of researchers, co-production practices, and geographical/scalar locations. While our 748 sample has proven effective for identifying patterns, including the six modes of co-production, it has inherent 749 limitations for attempting hypothetico-deduction. For example, we cannot determine which of these modes is 750 more prevalent across the whole population of co-production for the sustainable development of ecosystems, or 751 sustainability more broadly; nor can we draw general conclusions about the cause-effect linkages between 752 modes and outcomes. A larger stratified random sample would be more effective for such purposes.

753

754 The eight cases selected from the workshops had been running for longer than 2 years and employed different 755 co-production approaches to address challenges related to the sustainable development of ecosystems. The 756 Google Scholar searches individually paired 10 ecosystem-focused variants of the term 'sustainability' such as 757 'social-ecological', 'ecosystem services' and 'marine conservation' with 22 variants of 'co-production' such as 758 'co-design', 'co-management', 'social learning', 'action research' and 'transdisciplinary' (see Supplementary 759 Table 5 for a complete list of search terms). The co-production terms were selected by identifying key terminologies that appeared in co-production literature^{1,13,14,16}. The range of sustainability and co-production 760 761 terms ensured our selection of co-production initiatives that encompassed the diversity of approaches and 762 contexts we found in the literature. Since we used Google Scholar to expand the diversity of our initial set of 763 cases, our sample is biased towards co-production cases published in international peer-reviewed journals, as 764 this work is more likely to be well known and highly cited. We set a clear 'co-production' boundary for our set 765 of cases by excluding cases that were captured by our search terms, but were not interweaving knowledge, 766 action and change. For example, collaborative governance interventions were only included in this study if they 767 interweaved knowledge, action and change among participants; this meant excluding NGO or state led 768 participatory interventions that did not involve researchers.

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770 Despite the leading role of researchers in many cases, we sought to gather cases that spanned diverse research 771 roles, from practitioners conducting knowledge synthesis, to researchers generating knowledge or facilitating 772 dialogue. It was also difficult to engage local projects led by non-English speakers who do not have 773 international networks. We therefore actively sought to include cases from a diverse range of contexts, with 774 multiple cases in each broad geographical region, to incorporate some degree of cultural diversity. We 775 acknowledge, however, that we first prioritised diversity in terms of co-production approaches, and only one-776 fifth of our sample is both located in the Global South and led by citizens of those countries. We therefore 777 underscore the need for further work to examine approaches to co-production led by citizens of the Global 778 South. Only three potential case contributors that we contacted declined to be involved in the project.

780 **Data collection**

781 Applying the common inquiry framework developed in the initial stage of the research, we collected the 782 following qualitative data for each case: 1) how co-production and sustainability challenges are framed, 2) how 783 co-production is designed and implemented in practice, 3) the rationales underpinning decisions on how to co-784 produce, 4) capacities which support or hinder co-production, and 5) outcomes of co-production. The lead 785 author (J.M.C.) completed a qualitative spreadsheet in partnership with a key representative of each case. For 29 786 cases, this was a senior leader in the co-production process, while for 3 cases, this was someone who had 787 extensively researched the case. The lead author interviewed most case representatives for approximately an 788 hour to gather further qualitative information related to written responses in the spreadsheet. In a few cases, this 789 was not necessary, as we gained sufficient case detail through email correspondence. To understand a broader 790 range of perspectives of people involved in each project, we also reviewed a mean of 6 documents and 791 publications per case (186 total), reviewing a greater number if the case was not yet published in peer-reviewed

792 literature. The lead author worked individually with each case contributor (an expert in the particular co-793 production process) to gather relevant and reliable materials to inform interpretations of the case, which spanned 794 a mixture of scientific articles, grey literature, and internal documents. To ensure a robust assessment of 795 outcomes across different forms of evidence, the lead author scored the quality of the evidence for each reported 796 outcome by each case, and consulted with the case contributor to ensure further evidence was unavailable. The 797 22 missing data squares in Fig. 4 reflect the exercised judgment of the lead author that the quality of evidence 798 was insufficient to substantiate claims regarding those outcomes. Given the sensitive nature of reporting 799 outcomes and case difficulties, to enhance open exchange and accurate reporting, case contributors were given 800 the opportunity to indicate any parts of the data gathered about their project that they wish to remain anonymous 801 in any outputs related to this project.

802

803 Preliminary analysis and testing of dimensions of difference

804 The lead author (J.M.C.) conducted a preliminary analysis by qualitatively coding each of the five 805 aforementioned categories of data using NVivo Software, grounded in the data from and understanding about 806 each case from the case contributors⁶⁹. Two rounds of coding of the common inquiry spreadsheets (completed 807 for each case) and additional case documents and interviews led to the identification of numerous qualitatively 808 described dimensions of difference across all cases. These dimensions captured how the sample of co-809 production cases varied in how they were framed, designed, implemented, supported by certain capacities, and 810 pursued and produced particular outcomes. These findings were then presented in a 13,000-word report and 811 interrogated in an interactive two-day workshop in Colorado, United States (July 16-17, 2018) with 14 case 812 contributors and experts in co-production. Participants discussed the content and framing of the dimensions 813 through dynamic exercises, identified if important aspects were missing, and elaborated questions and methods 814 for further analysis. A subsequent half-day workshop was held with 15 practitioners/researchers in Cambridge, 815 United Kingdom (August 9, 2018) to explore the relevance and framing of the emerging list of dimensions of 816 difference across cases with people who were generally not as familiar with co-production terminology and 817 approaches. A final list of 72 specific dimensions therefore emerged from a rigorous iterative process that 818 inductively identified critical points of difference across all cases.

819

820 Secondary analysis based on final analytical dimensions

821 The final list of 72 dimensions of difference across all cases guided the secondary analysis. The dimensions 822 used in this analysis include different ways that co-production cases were framed (8), designed (16), and 823 implemented (16), capacities that shaped how co-production cases functioned (4), and distinct outcomes that 824 were intended (14) and produced (14). Each of the 14 outcomes were additionally scored on the extent to which 825 they were assessed, if assessment had occurred. If the assessment method was evaluated by the lead author (in 826 consultation with the case contributor) as being too poor of quality to assess particular outcomes, the 827 achievement score was recorded as missing in the data set. Supplementary Table 4 presents the typical methods 828 that were used to assess outcomes across the cases, including some of the most innovative approaches.

829

We employed fuzzy-set social science methods⁷⁰ to devise a scoring process to indicate the extent that cases 830 831 exhibited particular dimensions. Fuzzy-sets provide a flexible approach for translating relevant and at times 832 overlapping concepts that emerge from qualitative analysis into a quantitative framework that indicates the 833 extent to which cases exhibit each feature (usually on a scale from $0-1)^{70}$. In this case, we found it was more 834 straightforward to use a 7-point likert scale to define relevant steps for each dimension and then scored each 835 case for each dimension. This allowed us to document step-wise differences, without needing to provide non-836 overlapping categories (i.e. exhibiting either one dimension or another, rather than some of each) that would 837 lack precise meaning. To maintain a degree of independence of interpretation across all cases, for each of the 32 838 cases, the lead author (J.M.C.) then reviewed all case documents and interviews an additional time to score each 839 case on a 7-point likert scale for each of the 72 dimensions. A score of 1 indicated that the case did not exhibit

840 that dimension, whereas a score of 7 indicated that the case highly exhibited that dimension (e.g. for the 841 dimension 'intended networks'; 1 = no intention to reshape networks; 7; very strong intention to reshape 842 networks).

843

844 Qualitative descriptions were recorded to justify each quantitative score, alongside illustrative quotes from case 845 materials that further justified and explained those choices. Each case contributor reviewed and commented on 846 the full set of scores and justifications/quotes to enhance the accuracy of the scoring process. The lead 847 researcher also discussed dimensions that required further explanation with case contributors through a second 848 hour-long skype call, or in a few cases, email correspondences. Following the revision of all scores and 849 justifications, the lead researcher reviewed the qualitative descriptions for each numerical value of the likert 850 scales to refine the precise meaning of each distinct step in all of the 7-point likert scales. Some case scores 851 were then slightly adjusted according to the precise definitions to ensure consistency of the comparative scoring 852 across all cases. The full list of definitions for all 7-point likert scales used in this analysis are available in 853 Supplementary Tables 2, 3 and 4. The qualitative descriptions of all dimensions were coded and analysed in 854 comparison to emerging literature on co-production approaches in order to identify the key dimensions of 855 difference (the four 'Ps'). Quotes also helped explain the patterns that emerged in the statistical analyses.

856

865

857 The production of this study by 42 people, many of whom are leading scholars in co-production and 858 sustainability scholarship and practice, was essential for producing a salient and legitimate output that 859 accurately reflects a rich breadth of co-production experience and perspectives. Moreover, many of the authors 860 both conduct research on as well as practice co-production. Given the diversity of backgrounds, expertise, 861 and experiences of the authors who collaboratively produced this study, a key component of the analysis 862 focused on dialogue around different perspectives and approaches to co-production. This facilitated an 863 analytical design that was both rigorous and reflexive, in which all co-authors were able to question their 864 own views of co-production against others and draw collective insights.

866 Statistical analyses

All statistical analyses and visualisations were done using R version $3.6.1^{71}$. Given the large number of 867 868 descriptive dimensions (36) and outcome dimensions (14 intended and 14 achieved), with substantial missing 869 data for 5 achieved outcomes, we did not seek to determine causal patterns leading to specific outcomes. Rather, 870 we sought to identify important differences in the overall designs/goals of co-production cases, and then use 871 complementary quantitative and qualitative analyses to identify key features and broad patterns associated with 872 the full range of outcome dimensions. Our secondary analysis and review of related literature identified four 873 overarching themes (Fig. 2), with two alternate approaches to each, as being particularly important in directing 874 projects towards different types of practices and intended outcomes. These alternate approaches to co-875 production for each theme are: for purpose, either to more effectively solve predefined problems, or to reframe 876 problems; for power either focusing on the behaviour of actors directly linked to sustainability problems, or 877 targeting more systemic aspects; for politics either empowering marginalised actors, or influencing powerful 878 actors to yield power; and for pathways, either by primarily producing scientific knowledge as a product that is 879 expected to shape policy and/or practice, or through more integrated forms of knowing, relating and doing.

880

To identify distinct modes of co-production, we conducted Hierarchical Cluster Analysis (HCA) using the eight approaches to co-production outlined above (and in Fig. 2). The NbClust⁷² package in R identified six clusters as the optimal number, based on the peak of the Dindex second differences plot. The R Packages tidyverse⁷³, cluster⁷⁴, factoextra⁷⁵, and dendextend⁷⁶ were used to conduct the HCA, using Euclidian distance as the dissimilarity matrix coefficient and Ward's method of hierarchical clustering to minimise the error in sum of squares⁵⁸. The R package fmsb⁷⁷ was used to generate the radar charts displayed in Figure 3. The R stats package⁷¹ heatmap function was used to generate visual diagrams to show how case clusters differ (Fig. 4). The qualitative descriptions associated with the scores for each case were also reviewed to help describe each cluster
 (i.e. mode). The qualitative analysis confirmed that all modes were well defined by important differences, and
 that even though one mode was especially small (#3), its highly unique nature merited its separation from other
 modes.

892

893 Unique features of each mode were examined by testing for significant differences between mode means for 894 each descriptive dimension, using the stats package⁷¹ to conduct Kruskal-Wallis tests. Pairwise Wilcoxon 895 signed-rank tests revealed which pairs of modes were significantly different for each dimension. Relatively few 896 significant differences emerged between mode 3 and other modes due to there being only two cases in the mode. 897 To reduce the large number of descriptive dimensions, Principal Component Analyses (PCA) were conducted 898 on three different sets of highly inter-correlated dimensions using R packages stats⁷¹ and ggbiplot⁷⁸. For 899 example, the dimension 'coproduced process' is a principal component that explains 82.1% of the variance of 900 the extent to which the case was co-designed and co-practiced. Similarly, the dimension 'supportive context' 901 explains 81.5% of the variance for two dimensions that indicate how well cases were supported by external 902 funding and other contextual dynamics. Finally, the dimension 'expertly facilitated' explains 57.3% of the 903 variance of nine highly intercorrelated dimensions related to the extent that cases organised roles, facilitated 904 knowledge, and navigated issues of conflict and power.

905

The R packages $graph^{79}$. SemiPar⁸⁰ and Hmisc⁸¹ were used to visualise correlation networks among the eight 906 907 key dimensions (Fig. 2). To explore correlations between achieved outcomes, we calculated Spearman's Rank 908 correlation coefficients and their p-values. For intended outcomes, we used the direct 7-point likert scale scores 909 (see Supplementary Table 4). However, this was not possible for achieved outcomes because the meaning of an 910 outcome that was highly achieved (i.e. score = 7) greatly varied for a case that highly intended that outcome 911 versus one that weakly intended it and conducted few related activities. In order to calculate each achieved 912 outcome, we therefore multiplied the extent to which it was achieved (e.g. score of 5 = 5/7) by the intention 913 score (e.g. 5). In this way, a moderately achieved outcome that was highly intended was made equivalent to a 914 moderately intended outcome that was highly achieved. To identify the list of nine descriptive dimensions 915 significantly correlated to achieving outcomes across the board, we used the mean of eleven outcome 916 dimensions, as three outcomes had insufficient data across cases.

917

918 Data availability

919 The data analysed in this study can be made available upon request, with a few limitations. Quantitative data on 920 outcomes cannot be shared at the level of individual cases because some projects are still ongoing, and some 921 projects have not met their anticipated outcomes; thus, sharing this data could negatively impact projects and 922 their participants. However, complete quantitative data can be provided at the level of each of the six modes, 923 keeping the specific case identities anonymous. In addition, qualitative codes can be shared to provide further 924 details of the qualitative analytical process.

925

At the level of individual cases, if people wish to access data for a specific case, they will be put in touch with the contributor of that case (also a co-author of this manuscript), who must be informed of the data being requested and the intended use for it. Each case contributor will then provide the final say on whether they wish to share their own quantitative and qualitative case data on a case by case basis. These protective steps were critical for ensuring a safe environment for case contributors to share many critical perspectives related to the challenges and outcomes of their cases, thereby ensuring an ethical analysis with accurate results.

932

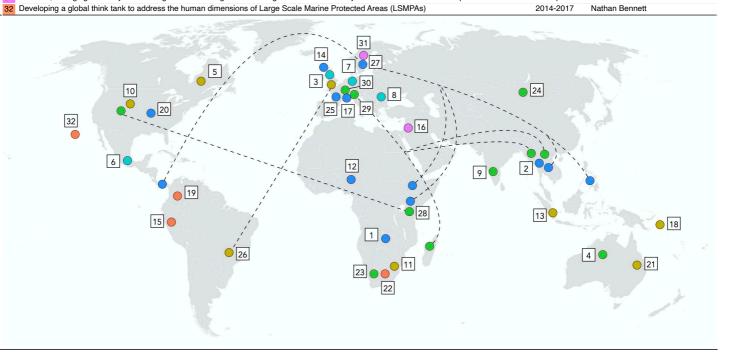
933 Code availability

934 The codes used in R to produce all statistics and figures can be made available upon request.

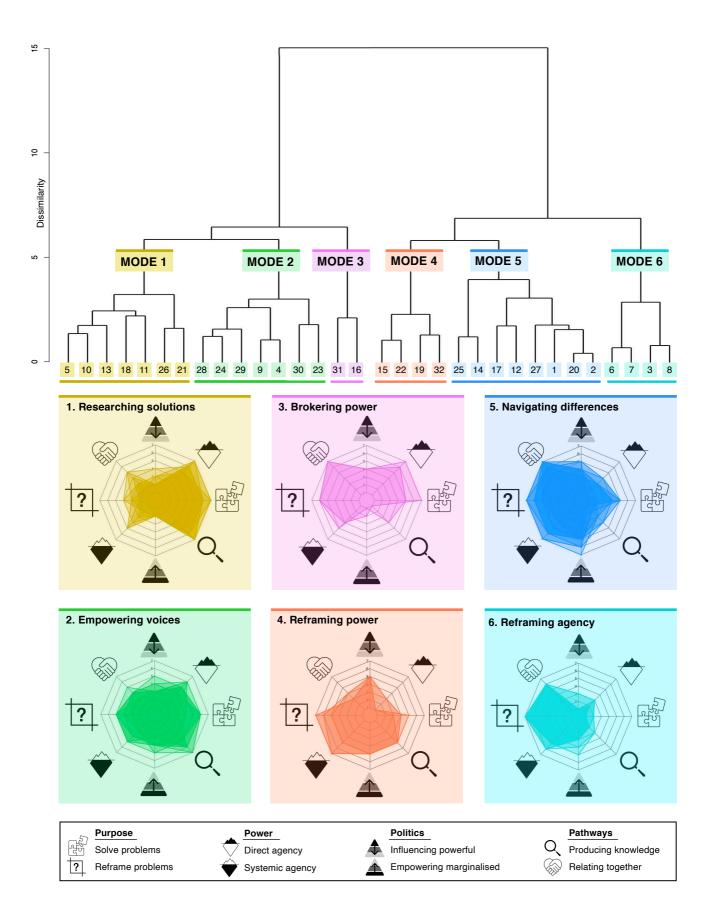
936 Ethics

937 The institution that hosted this study (Luc Hoffmann Institute) does not have a formal research ethics approval 938 process; however, we nevertheless developed our own procedure for this project, which complied with the 939 Department of Geography Ethics Review Group guidelines at the University of Cambridge. Furthermore, all 940 interviewees of this study are also co-authors on this manuscript, so they were involved in the decisions made 941 throughout the entire process related to ethical concerns, with active steps taken to recognise their individual 942 contributions, and to protect the confidentiality of their data. Each case contributor additionally communicated 943 with the main proponents of their initiative to seek informed consent for the inclusion of the case in this 944 research. In the majority of cases, case contributors were themselves a main project proponent, although in some 945 cases, they had extensively researched it. 946

| ld | Case description | Timeline | Contributor(s)* |
|----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------|
| tly Local scale | Crafting local ownership of institution-building processes (i.e. Constitutionality): The case of the Kafue Flats fisheries in Zambia | 2005-2010 | Tobias Haller |
| | Gaming and simulation for co-learning to mitigate conflict and support collective action in Doi Tiew village, Northern Thailand | 2007-2010 | Pongchai Dumrongrojwatthana |
| | Exploring radically different institutional personae to recast urban governance through co-production laboratories in Leeds, UK | 2015-2017 | Paul Chatterton |
| | Co-producing knowledge to manage Indigenous lands under a changing climate with an Arrernte community in Northern Australia | 2013-2019 | Rosemary Hill |
| | Montérégie Connection: Developing ecosystem models to improve land management in Mont-Saint-Hilaire, Quebec | 2011-2014 | Elena Bennett |
| Mostly | Promoting agency for social-ecological transformation through a Transformation-Lab in Xochimilco, Mexico City | 2016-2019 | Lakshmi Charli-Joseph |
| 7 | Favourite places: Exploring emotional responses to landscape change in Oldenburg, Germany through social landart (land art) | 2017-2018 | Maraja Riechers |
| 8 | Amplifying sustainability initiatives in Southern Transylvania through strengthening networks and analyzing leverage points | 2016-2019 | Andra Horcea-Milcu |
| Local | Assessing the socioeconomic and environmental implications of land sharing and land sparing strategies in the Western Ghats | 2013-2018 | Anca Serban |
| ے 1 | Developing climate scenarios and ecological response models to build social-ecological climate resilience in Colorado, US | 2013-2018 | Renee Rondeau & Carina Wyborn |
| ਕ <mark>1</mark> | Building the Durban Research Action Partnership to improve local land-use planning and management around Durban, South Africa | 2011-pres. | Jessica Cockburn |
| Sub-national | 2 GyaraYankari: Establishing inclusive participatory protected area management in Yankari Game Reserve, Nigeria | 2016-2018 | Salamatu Fada |
| ۲ par | 3 Co-producing knowledge to develop and negotiate payment for watershed services schemes in Indonesia | 2012-2015 | Beria Leimona |
| ମୁ 1 | Probing the cultural depths of a nature conservation conflict in the Outer Hebrides, Scotland | 2009-2015 | Ruth Brennan |
| 1 | F Reframing 'win-win' conservation and development theory and practice with conservation organizations in northeast Peru | 2013-2019 | Josephine Chambers |
| _ 1 | Alexander River Restoration Project: Restoring a heavily polluted river and fostering cooperation across the Israel-Palestine border | 1995-pres. | Amos Brandeis |
| Local | 7 Chasseral Regional Nature Park: Top-down and bottom-up institution building for landscape management in Switzerland | 1997-pres. | Jean-David Gerber |
| ⊸ ∞ 1 | Improving the uptake of climate models by South Pacific communities and NGOs to build adaptive capacity to climate change | 2013-2014 | Chris Cvitanovic |
| <u>اه</u> | Future-Proofing Conservation: Enabling adaptive governance in Colombia's protected areas amidst climate uncertainty | 2015-2018 | Claudia Munera & Carina Wyborn |
| Sub-national | Enhancing fire-adaptation capacity at multiple scales in the US through The Fire Adapted Community Learning Network (FAC-NET) | 2013-pres. | Bruce Goldstein |
| <u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u></u> | eWater Cooperative Research Centre: Developing a national eco-hydrological modeling and decision support platform in Australia | 2005-2012 | Melanie Ryan |
| ns 2 | 2 Addressing the socio-ecological impacts of conversions to game farming amidst post-Apartheid conflicts and power imbalances | 2007-2014 | Marja Spierenburg |
| la 2 | Co-producing knowledge and spanning boundaries to promote implementation of freshwater conservation plans in South Africa | 2008-2012 | Jeanne Nel |
| National, | 4 Mongolian Rangelands and Resilience (MOR2) Project: Examining pastoral social-ecological systems in rural Mongolia | 2008-2015 | María Fernández-Giménez |
| Ž 2 | SLIM project: Analyzing and developing social learning processes for integrated water management in 12 sites across Europe | 2001-2004 | Patrick Steyaert |
| | 6 Contacted: Developing a science-policy-practice framework to reduce environmental risks from production and trade of soy in Cerrado, Brazil | 2014-2018 | Paz Durán, Jonathan Green & Angela Guerrero |
| | 7 Connecting diverse knowledge systems at multiple scales in IPBES assessments and related science-policy contexts | 2011-pres. | Maria Tengö |
| Other scales | 3 Using science to support community-level and national-level action on conservation and pastoral development issues in East Africa | 1999-pres. | Robin Reid & Kathleen Galvin |
| ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | Innovating to secure ecosystem services and well-being in telecoupled landscapes in Madagascar, Myanmar and Laos | 2015-2020 | Julie Zähringer |
| oal 3 | Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES): Strengthening science-policy interfaces | 2012-pres. | Jasper Montana |
| Global a | SeaBOS, emerging from Keystone Dialogues: Connecting science with global seafood industry leaders for ocean stewardship | 2012-pres. | Henrik Österblom |
| 3 | 2 Developing a global think tank to address the human dimensions of Large Scale Marine Protected Areas (LSMPAs) | 2014-2017 | Nathan Bennett |

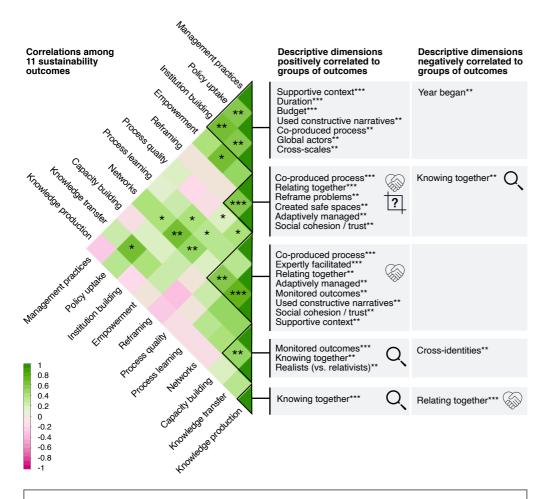


| | Approach | | | | | | | |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|--|
| Purpose Why do actors | To solve problems | | | | | | | |
| co-produce? | 1 5 2 5 6 9 4 Extent the case uses co-production to pursue Image: Comparison of the second | | | | | | | |
| | solutions to problems defined near the beginning | | | | | | | |
| | To reframe problems | | | | | | | |
| | Extent the case actively facilitates reframing of views | | | | | | | |
| Power | of problems and solutions during co-production | | | | | | | |
| How is human | Shaping direct agency 3 2 8 4 5 6 4 | | | | | | | |
| agency conceptualised? | Extent the case focuses on changing the behaviour of actors directly linked to sustainability problems | | | | | | | |
| | Shaping systemic agency | | | | | | | |
| | 1 2 3 4 10 6 6 | | | | | | | |
| | Extent the case focuses on challenging paradigms and goals at higher governance scales | | | | | | | |
| Politics How are power | Influencing powerful actors | | | | | | | |
| relations | 2 4 9 9 8 0 0 Extent the case engages powerful actors to reduce | | | | | | | |
| changed? | their own and peers' power over marginalised actors | | | | | | | |
| | Empowering marginalised actors | | | | | | | |
| | Extent the case engages marginalised actors to iteratively shift power relations with powerful actors | | | | | | | |
| Pathways | By producing knowledge | | | | | | | |
| How are impacts cataylsed? | 2 4 4 4 6 6 6 | | | | | | | |
| | Extent the case relies on expected transfer of scientific knowledge products to generate intended impacts | | | | | | | |
| | By relating together | | | | | | | |
| | | | | | | | | |
| | 0 1 6 8 3 6 8 | | | | | | | |
| | | | | | | | | |
| | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together | | | | | | | |
| relations | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together | | | | | | | |
| Dimension relations | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together | | | | | | | |
| 1 0.8 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together | | | | | | | |
| relations | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| 1 0.8 0.6 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations 1 0.8 0.6 0.4 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| 1 0.8 0.6 0.4 0.2 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations 1 0.8 0.6 0.4 0.2 0 -0.2 -0.2 -0.4 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations 1 0.8 0.6 0.4 0.2 0 -0.2 -0.4 -0.4 -0.4 -0.6 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations 1 0.8 0.6 0.4 0.2 0 -0.2 -0.2 -0.4 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations 1 0.8 0.6 0.4 0.2 0 -0.2 -0.4 -0.4 -0.6 -0.8 | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |
| relations | 0 1 6 8 3 6 8 Extent the case focuses on relating and doing together to create space for emergent outcomes | | | | | | | |



| | | esearch soluțior | | Broke pow | | laviga iffere | ating nces |
|----------------------|--------------------------------------|---------------------|-----------------|--------------|-------------------|------------------|------------------|
| | | E | mpowe voices | | Reframii power | יg | Reframing agency |
| | | 1 | 2 | 3 | 4 | 5 | 6 |
| | Year began* | — | — | | | - | + |
| Features | Duration+ | | | | | + | - |
| | Budget* | | + | | | | — |
| | Citizens | | | | — | | + |
| Leaders | Women | | | | | | |
| | Researchers | | | | | | |
| | Realists (vs. Relativists)** | + | + | | — | - | · - |
| | Cross-scales | | + | | | | - |
| | Cross-identities* | - | - | | | + | - |
| Social actor | cross-sectors | - | | | + | | |
| eeela aetei | Cross-cultures* | | + | | + | | - |
| | Local actors* | - | | | | + | |
| | Global actors+ | | + | | | + | |
| | Managed risks | | | | | | |
| | Coproduced process* | - | | | | + | + |
| | Created safe spaces* | - | | + | | + | |
| Practices | Safely spanned conflicts* | _ | - | + | + | | |
| | Used blame narratives+ | _ | + | | + | | _ |
| | Adaptively managed* | - | - | | - | + | + |
| | Expertly facilitated* | | | | I | + | |
| | Knowledge production* | + | + | - | · + | - | · _ |
| | Knowledge transfer* | + | + | - | | - | |
| | Capacity development | | + | | | _ | |
| | Networks | | | | | | |
| | Process learning | | | | | | |
| | Process learning Process quality* | _ | | | | + | · + |
| ا - ا م | | | _ | | + | + | |
| Intended outcomes | Reframing** | _ | | | - - | + | |
| outcomes | Empowerment** | | 1 | | + | + | |
| | Social equitability* | _ | + | | + | _ | |
| | Institution building+ | | | | | + | |
| | Policy uptake* | | + | | + | + | _ |
| | Management practices | | | | | | |
| | Ecological outcomes | | | | | | |
| | Social outcomes* | - | - | | | + | |
| | Knowledge production* | + | + | | + | - | - |
| | Knowledge transfer | | | _ | | | |
| | Capacity development | | | | | | |
| | Networks | | | | | | |
| | Process learning | | | | | | |
| | Process quality | | | | - | | + |
| Achieved | Reframing* | | - | | | + | + |
| outcomes | Empowerment | | | | | | |
| | Social equitability | | | | | | |
| | Institution building+ | | | | - | + | • |
| | Policy uptake+ | | + | | | + | · · · · · |
| | Management practices* | | + | | - | + | |
| | Ecological outcomes | | | | | | |
| | Social outcomes | | | | | | |
| | | | | | | | |

| Clusters | | Approach | Unique opportunities | Critical risks | | | | |
|--------------------------------------------|-----------------|-----------|----------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| utions | | | | Direct agency | Can achieve specific set goals (e.g. building an ecosystem model) | More likely to overly place blame on marginalized actors for sustainability problems Less attention to process and equitability can result in superficial engagement with marginalized actors Interventions may legitimize existing power relations and systems Projects may struggle to engage actors that they seek to influence due | | |
| Researching solutions Empowering voices | | | | Solve problems | Can produce and transfer knowledge to inform and justify policy changes that decision-makers already want to make | to prioritizing their own values and solutions More likely to reinforce already dominant views of problems and solutions and to obscure alternatives Can inhibit the potential to reframe perspectives May create echo chambers that fetishize the power of knowledge to | | |
| Emp | | | | Producing knowledge | Can produce and transfer knowledge | create desired impacts Emphasis on the value of scientific knowledge can crowd out alternative expertise and ideas Tying of budgets to fixed knowledge production activities may inhibit the pursuit of emergent goals | | |
| | g power | | | Empowering marginalised | to advocate for higher level policy changes, build capacities, and address local needs | May fetishize the role of speaking 'truth' to power to shift higher level views and policies Efforts to empower particular views can inhibit possibilities for reframing perspectives Powerful actors may block processes that are against their interests, especially if blame narratives are used May overlook power imbalances and politics occurring within local settings | | |
| | Reframing power | ferences | ncy | Systemic agency | Can fundamentally shift views to co- develop more creative and transformative possibilities | Expanding the problem frame can disempower actors if problems then feel too 'big' to handle May be less effective if emotional aspects such as anxieties about losing power are not addressed Efforts that span few identity conflicts and power differentials can result in echo chambers May result in talk shops that don't produce action Solution-oriented actors may not want | | |
| Brokering power | | 5 <u></u> | Herraming agency | Reframe problems | Can build legitimate and flexible processes to empower tangible changes to perspectives, networks, | to engage Reflexivity can inhibit developing concrete actions Successful reframing alone may fail to trigger shifts in policies and practices Emphasizing points of difference too soon can inhibit common ground Apparent cooperation and consensus can be the result of subjugation if power dynamics are poorly managed Social inequalities may be accelerated if voluntary contributions | | |
| Brok | | | | together Influencing powerful | institutions, policies, and practices | are not suitably valued Very risky without high legitimacy, strong networks and highly skilled facilitation and brokering to create safe spaces for reflection and dialogue Actors involved may try to co-opt the process to serve their own interests Exclusion of marginalized actors may lead to solutions that further marginalize them | | |



Dimensions significantly linked to higher attainment of outcomes across the board

1. Highly collaboratively designed and practiced process (co-produced process***)

2. Very effective facilitation across social-political differences (expertly facilitated***)

3. Very supportive funding arrangement and broader context (supportive context***)

4. Extensive effort to monitor process and results oriented outcomes (monitored outcomes***)

5. Strong levels of social cohesion and trust reached among actors (social cohesion/trust***)

6. Use of narratives that frame issues constructively (use constructive narratives^{**})
7. Engagement with actors that work at higher scales during the process (global actors^{**})

Engagement with actors that work at higher scales during the process (global actors)
 Collaborative processes that continue to engage over long periods (duration*)

9. Processes that connect work across local/regional and national/global scales (cross-scales*)