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1 **Research Article**

2 **Functional Active Microbiome in Supragingival Biofilms in Health and**
3 **Caries**

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14
15 Short Title: Healthy and caries biofilm bacteriome

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30 **Abstract**

31 The oral microbiome is unique at inter and intra- individual levels at various sites due to physical and
32 biological factors. This study aimed to compare the bacterial composition of supragingival biofilms
33 collected from enamel sites with different caries activity, from active and inactive-caries subjects,
34 and from caries-free subjects. Twenty-two individuals (aged between 13 and 76 years old; med=23.5
35 years old) were allocated into three groups: caries-active (CA) (n=10); caries-inactive (CI) (n=6); and,
36 caries-free (CF) (n=6). From the caries-active group, 3 sites were sampled: caries-active (ANCL),
37 caries-inactive (INCL), and sound enamel surface (S). From the subjects of the caries-inactive group,
38 biofilm from caries-inactive lesion was collected (INCL); while for the caries-free subjects (S), a pool
39 of biofilm from sound enamel surfaces were sampled. The total RNA was extracted, cDNA libraries
40 were prepared and paired-end sequenced (Illumina HiSeq 3000). The final dental biofilm samples
41 analysed from CA was 16 (ANCL-CA=6, INCL-CA=4, S-CA=6); from CI, three (INCL-CI=3); and from CF,
42 six (S-CF=6) (some samples were lost by insufficient genetic material). Read sequences were
43 processed and analysed using MG-RAST (Metagenomics Analysis Server). High-quality sequences
44 (3,542,190) were clustered into operational taxonomic units (OTUs) (97% identity; SILVA SSU),
45 representing 915 genera belonging to 29 phyla (higher abundant: *Actinobacteria*, *Firmicutes*,
46 *Bacteroidetes*, *Fusobacteria*). The presence of a core microbiome was observed (123 shared genus).
47 The alpha diversity analysis showed less bacterial diversity in disease (S-CA) compared to health (S-
48 CF). The dominant genera included *Actinomyces*, *Corynebacterium*, *Capnocytophaga*, *Leptotrichia*,
49 *Veillonella*, *Prevotella*, *Streptococcus*, *Eubacterium*, and *Neisseria*. *Veillonella* and *Leptotrichia* were
50 related with disease, and *Prevotella* with health. *Corynebacterium*, *Capnocytophaga*, and
51 *Actinomyces* clustered together presenting high abundance in health and disease. The Metric
52 Multidimensional Scaling Ordination analysis shows that sites from active subjects (ANCL-CA, INCL-CA
53 and S-CA) are closer to each other than either INCL-CI subjects or S-CF subjects. In conclusion,
54 supragingival bacterial communities presented intra-individual similarities, but inter-individual
55 diversity and difference in bacterial composition reveal that the subject's caries activity status
56 matters more than sites.

57 **Introduction**

58 The oral cavity harbours diverse niches for microbial colonization, supporting distinct site-specific
59 microbial consortia combination, which responds and reflects to ecological determinants at each site,
60 [Marsh, 1994; 2018; Aas et al., 2005]. Investigating the total microbial population, which is
61 metabolically active in dental health and disease sites is necessary to understand mechanisms leading
62 to dysbiosis [Benítez-Páez et al., 2014; Henne et al., 2016; Nascimento et al., 2017]. Although the
63 microbial composition of these biofilms remains stable over time, an increase in dietary fermentable
64 carbohydrate induces a shift in the microbial ecosystem leading to low pH in the biofilm environment,
65 and resulting in dental demineralization [Loesche, 1986; Marsh, 1994; Paes Lemes et al., 2006, Bjørndal
66 et al., 2019]. Microbial homeostasis can be restored if changes occur in the dental plaque ecosystem,
67 for instance by increasing pH to neutral conditions which could result in dental remineralization
68 [Marsh, 1994; Paes Lemes et al., 2006]. Both active and inactive conditions, as well as non-affected
69 sites, can be found in the same subject [Marsh, 1994; 2018; Aas et al., 2005; Filoche et al., 2010; Simón-
70 Soro et al., 2014; Arweiler and Netuschil, 2016].

71 Culture-based studies of dental caries [Orland et al., 1954; Fitzgerald and Keyes, 1960; Gibbons et al.,
72 1964; Krasse, 1966; Krasse et al., 1967; Loesche et al., 1975; Loesche, 1986] have failed to explain the
73 aetiology of the disease. Organism interactions are essential to understand ecosystem dynamics of
74 oral microbial communities are more than the sum of the individual species [Mark Welch et al., 2016;
75 Marsh, 2018] justifying biofilms communities' studies. Currently, culture independent molecular
76 biology methods, and recently, next-generation sequencing technologies (NGS) are improving
77 knowledge about diversity, composition and functional aspects of the dental biofilms microbial
78 communities in its natural habitats [Simón-Soro et al., 2013; 2014; Benitez-Páez et al., 2014; Johansson
79 et al., 2016; Xiao et al., 2016; Eriksson et al., 2017; Espinoza et al., 2018; Richards et al., 2017; He et
80 al., 2018; Jiang et al., 2019; Schoilew et al., 2019]. Moreover, the RNA sequencing (RNA-Seq) approach
81 allows the functional characterization of a complex microbial community (microbiome) under a
82 specific condition, revealing both the composition of the metabolically active microbiota and the gene
83 expression levels which provide an insight into the ongoing metabolic pathways within the microbial
84 community [Jiang et al., 2016; Hrdlickova et al., 2017].

85 Studies have demonstrated substantial differences in biofilm microbiota composition in caries lesions,
86 showing a community stability disruption, with acidogenic and acid-tolerant species enrichment [Aas
87 et al., 2008; Gross et al., 2010; Benitez-Páez et al., 2014; Simón-Soro et al., 2014; Johansson et al.,
88 2016; Xiao et al., 2016; Eriksson et al., 2017; Espinoza et al., 2018; Richards et al., 2017; Jiang et al.,
89 2019; Schoilew et al., 2019]. According to Marsh [2018] there is specificity in terms of caries aetiology
90 biochemical function, despite the lack of bacterial name specificity; but organisms are highly relevant
91 to understand the structure, function, and dynamics of the members in a microbial consortium [Mark
92 Welch, 2016]. Considering the dental caries polymicrobial aetiology and complex dental biofilm
93 ecosystem [Simón-Soro et al., 2014; Mark Welch, 2016; Xiao et al., 2016; He et al., 2017], and that
94 microbiota composition is not the same on different surfaces [Aas et al., 2008; Simón-Soro et al., 2014;
95 Richards et al., 2017; Espinoza et al., 2018; Schoilew et al., 2019], we proposed to characterize the
96 functional active microbiome composition and diversity of supragingival biofilms in caries-free, caries-
97 inactive, and in three different dental conditions from caries-active subjects.

98 **Subjects, Materials and Methods**

99 Study Population and subjects

100 Subjects (19.3±29.6 years old) were selected at the Federal University of Rio Grande do Sul (UFRGS,
101 Porto Alegre, Brazil). This research is a collaboration between the Biochemistry and Microbiology Oral
102 Laboratories (LABIM/UFRGS) and the Division of Oral Biology, at the University of Leeds, United
103 Kingdom. The inclusion criteria were the absence of using antimicrobial agents for at least two months
104 before sample collections, and complete permanent dentition (age≥12). In the first appointment,
105 caries diagnostic was performed by visual-tactile method (Nyvad criteria), after dental prophylaxis,
106 isolation (cotton rolls) and teeth air-drying, by two calibrated examiners (LDE and NDT). The lesion was
107 diagnosed as follow: Active non-cavitated lesion (ANCL): whitish/yellowish opaque surface, exhibiting
108 a chalky or white appearance; the surface felt rough by probing; Inactive non-cavitated lesion (INCL):
109 shiny and felt smooth surface on gentle probing, and colour varying from whitish to brownish or black
110 [Kidd and Fejerskov, 2004]. After caries diagnosis, subjects were allocated in the following groups,
111 according to their caries activity (Figure 1): CA (caries-active subjects) (n=10): DMF-T/S ≥ 1, presenting
112 at least one active non-cavitated caries lesions; CI (caries-inactive subjects) (n=6): DMF-T/S ≥ 1, but
113 presenting only inactive non-cavitated caries lesions and any active caries lesion; CF (caries-free
114 subjects) (n=6): DMF-T/DMF-S=0.

115 Sample collection and storage

116 The subjects were not treated with topical antimicrobial agents, and any recommendation to change
117 diet or dental hygiene method was done at the first appointment. After one week, subjects returned
118 to collect dental biofilm. They refrained from teeth brushing for 12 hours, and from eating and drinking
119 for at least one-hour prior sample collection. Samples of supragingival biofilm (SB) were collected with
120 sterilized Gracey curette. From CA, 3 conditions were sampled: sites presenting ANCL; sites presenting
121 INCL; and sound enamel surfaces (S) (samples were pooled from enamel surfaces/lesions with the
122 same characteristics from the same subject); from CI, only INCL were collected; and from CF, a pool of
123 biofilm from S were sampled. The samples were immediately treated into 1 mL RNA stabilization
124 solution (RNAlater, Ambion Inc., Cambridgeshire, UK) at room temperature (until 24 hours);
125 centrifuged (5 minutes; 10.000 rpm); pelleted, and frozen at -80°C until further processing.

126 RNA Extraction and Quantification

127 UltraClean® Microbial RNA Isolation kit (Mo-bio, San Diego, USA; DNase digestion Qiagen, Inc) as
128 described elsewhere [Damé-Teixeira et al., 2019], with a previous treatment with Lysozyme (10
129 minutes; 37°C). RNA measurement was performed (Quant-iT™ RiboGreen® RNA Reagent and Kit;
130 Invitrogen, Ltd.; spectrofluorometer with excitation ~480 nm, and emission ~520 nm). Samples with
131 total RNA concentration less than 30 ng/uL were excluded from analysis.

132 Library preparation and RNA-sequencing

133 The True Seq® Sample Preparation Guide, Low Sample (LS) Protocol Illumina (Illumina, Inc., San Diego,
134 CA) was used for genomic library preparations and Agilent Technologies 2200 TapeStation was used
135 for genomic library quality validation. The double strand DNA was quantified with Quant-iT™

136 PicoGreen® dsDNA Kit (Turner BioSystems, Inc., CA) before sequencing at the Illumina HiSeq3000
137 (Illumina Inc.) (2x150bp).

138 Bioinformatic analysis

139 The sequences were filtered, excluding the ones <150 bp and an expected error >0.5. The resulting
140 FASTA files were submitted to Metagenomics RAST Server (MG-RAST) [Meyer et al., 2008], that
141 generates taxonomic and functional categories graphs. The MG-RAST pipeline options included a
142 sequence data trimming to remove low quality reads (phred score=15) [Cox et al., 2011]. Artificial
143 replicate sequences produced by sequencing artifacts were removed (dereplication) [Gomez-Alvarez
144 et al., 2009]. A screening to remove human host sequences using DNA level matching with bowtie was
145 selected to *H. sapiens*, NCBI v36 [Langmead et al., 2009]. A phylogenetic reconstruction was computed
146 from a set of hits against SILVA SSU database, considering 97% of similarity to genus taxonomic level.

147 Statistical analysis

148 Kruskal-Wallis test, post-hoc Bonferroni and Nemenyi, compared the average number of reads for all
149 groups. Friedman test, post-hoc Nemenyi, compared the composition profile and bacterial diversity
150 among CA sites (paired analysis). Mann-Whitney U test with a Benjamini-Hockenberg correction for
151 multiple comparisons compared INCL-CA versus INCL-CI; S-CA versus S-CF; and CA versus CF subjects.
152 The significance level was 95%. Richness estimators (Chao-1; ACE: Abundance-based Coverage
153 Estimator), and indexes (Shannon-Wiener; Simpson; Pielou-Shannon) were used for alpha diversity
154 analysis. The K-means clustering beta-diversity analysis compared healthy and diseased conditions,
155 and metric Multidimensional Distance Scaling (mMDS/PCoA: Principal components analysis) using
156 average of log2 fold change (avg(logFC)) compared all groups. A Venn diagram was generated using
157 the gplots package (RStudio). Shared genera present in all subjects (100% core threshold) were defined
158 as the core microbiome (Xiao et al., 2016). All analyses were conducted in RStudio (version 3.5.0) using
159 packages for ecological data analysis (Vegan, BiodiversityR, Phyloseq).

160 Results

161 The characteristics of the included subjects are shown in Table 1. From 22 subjects, a total of 42 SB
162 samples were collected to analysis. However, lost of samples occurred due to insufficient total RNA
163 recovered, low quality of the library prep or insufficient reads recovered (Figure 1).

164 A good coverage >97% was obtained in the sequencing. The rarefaction curve considering the average
165 of sequences count can be observed is shown in the supplementary Figure 1. After data trimming and
166 quality filtering of reads by removing artifacts an average of 3,542,190 high-quality sequences with
167 147.7±3.7 base pairs (bp) were recovered, corresponding to 16.48% of sequences generated.

168 There were recovered reads from domain Archaea (mean= 2.76 reads), Bacteria (mean= 8602231.96
169 reads), Eukaryota (mean= 72118.12 reads), Viruses (mean= 758.28 reads) and unclassified sequences
170 (mean= 65841.56 reads) (supplementary Figure 2). Bacteria were the most abundant domain.

171 The ≥ 1% relative abundance of OTUs to phylum are detailed in Table 2. Of 29 phyla recovered
172 (supplementary Figure 3 and supplementary Table 1), *Bacteroidetes* was less abundant in INCL-CI
173 compared to INCL-CA (p<0.05) (Table 2; supplementary Table 1). We recovered 915 genera, 18

174 representing >1% relative abundance (Table 3); *Capnocytophaga* was less abundant in INCL-CI
175 compared to INCL-CA ($p < 0.05$) (Table 3). Also, 123 genera were shared in all sites and subjects,
176 revealing a common core microbiome (Figure 2; supplementary Table 2). The low abundant
177 microbiome ($\geq 0.1\%$ and $< 1\%$) corresponded to 74 genera (8.09%).

178 Paired analysis from sites of the CA (ANCL, INCL and S) revealed *Actinomyces* genera higher abundant
179 in CA-ANCL (relative abundance = 20.83%) compared to CA-S (relative abundance = 9.63%) (Friedman
180 test; Nemenyi post-hoc; $p < 0.05$). *Capnocytophaga* showed significant higher abundance in CA-INCL
181 (relative abundance = 14.88%) compared to CA-ANCL (relative abundance = 4.82%) (Friedman test;
182 Nemenyi post-hoc; $p < 0.05$). No statistical difference was observed for other genera in these group
183 (CA), considering relative abundance cutoff point of 0.1% (data not showed).

184 The Chao1, ACE (Abundance-based Coverage Estimator) did not reveal difference in OTU's richness
185 between the sample groups (Figure 3). Shannon-Wiener, Simpson, and Pielou-Shannon alpha diversity
186 indices revealed similar intrapersonal bacteriome among ANCL, INCL and S sites from CA subjects; and,
187 between INCL-CA and INCL-CI groups (Figure 4). The bacteriome between sound sites from CA (S-CA)
188 and CF (S-CF) subjects, and CA and CF groups was less diverse in diseased condition and showed less
189 evenness compared to the healthy ones (Figure 5).

190 Sites from CA were closer each other compared to CI and CF (Figure 6). The MDS1 explain 37.4% of the
191 variation observed, and MDS2 explain 28% of the variation. However, the samples did not form well-
192 separated clusters corresponding to the five groups, suggesting that the bacterial structures in healthy
193 and caries groups were similar. *Corynebacterium*, *Capnocytophaga*, and *Actinomyces* clustered
194 together presenting high abundance in health and disease conditions; *Veillonella* was associated with
195 disease, and *Prevotella* to health (supplementary Figure 4).

196 Functional analysis revealed 2,467 unique KO numbers found for all the genes. In the CA, 2,364 unique
197 KO numbers were expressed (ANCL-CA=1,877; INCL-CA=1,464; S-CA=2,100); in the CI, 1,325 from INCL;
198 and, in the CF, 1,662 (supplementary Tables 3 and 4). Essential components of the glycolytic pathway,
199 as glyceraldehyde 3-phosphate dehydrogenase, enolase, formate C-acetyltransferase, fructose-
200 bisphosphate aldolase and phosphoglycerate kinase, were among highly expressed genes in dental
201 biofilm microbiome from active group (supplementary Table 4).

202 Discussion/Conclusion

203 This study revealed, for the first time, the metabolically active bacteriome from whole SB in dental
204 health and enamel caries. We demonstrate the bacteriome composition and diversity from caries-
205 active, caries-inactive, and caries-free subjects. Our study confirms that high bacterial diversity in the
206 biofilm samples, identified from RNA-seq analysis (RNA-based) is related to live organisms in SB and
207 not due to dead/inactive species, highlighting polymicrobial dental caries aetiology, where
208 multispecies microbial consortia are metabolically active in lesions [Simón-Soro et al.; 2014]. The
209 functional analysis of unique KO numbers confirms a functionally active state of the microbiome in the
210 dental biofilms (supplementary data).

211 An average of 21,855,554 high-quality sequences were obtained from all groups, which was higher
212 than previously reported [Benítez-Páez et al., 2014; Simon-Soro et al., 2014]. The good coverage of

213 >97% suggested that sequencing depth was sufficient to full SB diversity estimation, indicating that
214 extremely low rare genera abundance could be detected. We observed few >1% relative abundance
215 genera in all groups, and a great portion of very low abundant genera. The functional redundancy
216 feature of microbiota, inherent to related species, may explain the human microbiome inter-individual
217 variability. The interpersonal microbial composition variability extension, within and across varied
218 dental plaque niches, is largely uncharacterized, but these factors are likely to directly contribute to
219 the disparate results obtained from various studies examining dental caries [Benítez-Páez et al., 2014;
220 Simon-Soro et al., 2014; Mark Welch et al., 2016, Xiao et al., 2016; Espinoza et al., 2018; Wolff et al.,
221 2019].

222 The abundance differences observed in microbial communities may result from real differences among
223 individuals, fluctuations within a single individual over time, or a combination of the two [Mark Welch
224 et al., 2016]. The dental plaque “hedgehog” structured consortia described by Mark Welch et al.
225 [2016], indicate that, its structure composition and organisms across many individuals are highly
226 relevant to understand organization, function, and dynamics of consortium members. In our research,
227 123 genera formed a “core microbiome”. Its existence was first proposed by Turnbaugh et al. [2007]
228 and referred to organisms, genes, or functions shared by all or most individuals in a given human
229 habitat, such as the oral cavity. Considering the polymicrobial aspect of caries we can suggest that a
230 shared community should be modulated during metabolic alterations in host and in local niche driving
231 to healthy or diseased conditions, corroborating with the ecological plaque hypothesis proposed by
232 Marsh [1991] and the extended concept proposed by Takahashi and Nyvad [2011].

233 Our study supports that supragingival ecological niche is a highly selective environment once we
234 observed four phyla higher than 10% abundance, among 29 phyla in these bacteriomes [Keijsers et al.,
235 2008; Benítez-Páez et al., 2014; Xiao et al., 2016; Eriksson et al., 2017; Jiang et al., 2019]. The dominant
236 phyla were *Actinobacteria*, *Firmicutes*, *Bacteroidetes* and *Fusobacteria*. *Bacteroidetes* was higher
237 abundant in INCL from CA than CI subjects. *Bacteroidetes* had been retrieved among abundant phyla
238 from dental plaque microbiome, but show a variable abundance, sometimes first or second most
239 abundant [Johansson et al., 2015; Xiao et al., 2016; Eriksson et al., 2017; Jiang et al., 2019], sometimes
240 moderate or low abundant [Gross et al., 2010; He et al., 2017], suggesting a high genera and species
241 adaptability that make up this phylum.

242 *Actinomyces*, *Corynebacterium*, and *Capnocytophaga* presented >10% relative abundance from all 915
243 recovered genera. *Capnocytophaga* was more abundant in INCL from CA than CI subjects, indicating
244 that this genus was the most abundant of *Bacteroidetes* phyla. In DNA-based approaches it has been
245 highly retrieved from health conditions [Aas et al., 2008; He et al., 2017; Jiang et al., 2019]. Eriksson et
246 al. [2018] demonstrated that dental plaque members, including *Capnocytophaga*, can be disease-
247 related in microbiomes with extreme low or no detectable *Streptococcus mutans*, suggesting microbial
248 community mutualistic relationship. When a metabolite is used by different microorganisms, a
249 metabolic communication that drives a positive or negative regulatory effect into the microbiome is
250 generated [Hojo et al., 2009]. The fact that biofilms are found in healthy and diseased subjects, the
251 presence of commensal bacteria, playing important role for the microbiome equilibrium, is suggested.
252 This fact can be an important explanation for high abundance of *Capnocytophaga* in both diseased and
253 healthy oral conditions. In the RNA-based study from Benítez-Páez et al. [2014], *Corynebacterium*,
254 *Actinomyces* and *Neisseria* were the most abundant genera in a community from a 24-hour dental

255 plaque in one dental healthy subject. Our RNA-based study also recovered *Corynebacterium* with high
256 abundance in health subjects. March Welch et al. [2016] suggest that *Corynebacterium* is the
257 foundation taxon of dental plaque bacterial consortium, structuring the environment, creating habitat
258 for other organisms and nucleating a plaque-characteristic consortium. Nyvad and Fejerskov [1987]
259 observed scattered filamentous cells oriented perpendicularly to the primarily coccus-covered surface
260 at 24-hour biofilm and a mixed community of abundant filamentous organisms by 48 hours, suggesting
261 that colonization with *Corynebacterium* may take place around the 24-hour stage in plaque
262 development. Our samples recovered high abundance of *Corynebacterium* from all sites, and can
263 represent a well-established microbial community, once the subjects were oriented to remain, at least,
264 12 hours without dental hygiene. *Actinomyces* was highly represented in ANCL than INCL in CA
265 subjects. Benítez-Páez et al. [2014] found *Actinomyces* overrepresented in healthy conditions from
266 RNA-based community. Eriksson et al. [2018] found *Actinomyces* related with disease in microbiome
267 with extreme low or no detectable *S. mutans*. These results can indicate that these genera have an
268 important capability for metabolic modulation, adapting itself in different host conditions.
269 *Streptococcus* and *Actinomyces* are among the early colonizers in dental biofilm formation [Keijsers et
270 al., 2008; Dige et al., 2009; Marsh and Zaura, 2017]. Mark Welch et al. [2016] demonstrated that
271 *Actinomyces* can be found near the “hedgehog” structure base, and that *Corynebacterium* attaches in
272 sites with pre-existent biofilm consisting of *Streptococcus* and *Actinomyces*, and not directly on the
273 tooth surfaces. This observation can explain the *Actinomyces* high abundance in both, diseased and
274 healthy conditions.

275 The richness estimators Chao1 and ACE did not differ among all sites and subjects, suggesting a similar
276 number of genera recovered from all sites. The richness indexes, Shannon-Wiener, Simpson and
277 Pielou-Shannon revealed similar alpha diversity among sites from CA subjects, and from INCL from CA
278 and CI subjects. However, sound sites from CA subjects were less diverse and presented less evenness
279 than CF-S. Higher diversity has been described in healthy sites by several ecologic studies [Gross et al.,
280 2010; Benitez-Paez et al., 2014; Simón-Soro et al., 2014; Xiao et al., 2016; Schoilew et al., 2019; Wolff
281 et al., 2019]. The lowering of the pH, from lactate produced by acid-producing species, could lead to
282 suppression of acid-sensitive species and overgrowth of acid-tolerant species, resulting in decreased
283 bacterial diversity in supragingival plaques as caries progresses, as well as a decreasing number of
284 species capable of surviving harsh conditions [Gross et al., 2010]. Bacteriome in diseased condition
285 presented significantly less diversity, higher dominance of rare genera, and showed less evenness than
286 healthy ones, suggesting interpersonal variability.

287 In our study, *Veillonella* was associated with disease, and *Prevotella* to health conditions. *Prevotella*
288 and *Veillonella* species could be recovered from both healthy and diseased conditions [Gross et al.,
289 2012; Richards et al., 2017; Wolff et al., 2019]. These findings support that the degree of dominance
290 of pathogens depends on environmental factors during progression of the disease and not only of the
291 disease status [Wolff et al., 2019]. *Corynebacterium*, *Capnocytophaga*, and *Actinomyces* clustered
292 together presenting high abundance in both conditions. Utter et al. [2016] described plaque
293 microbiome characterized by a community stability showing variability in the relative abundance of
294 members of the community and between individuals and over time. They found *Corynebacterium*,
295 *Capnocytophaga*, *Fusobacterium*, *Actinomyces* and *Streptococcus* relatively abundant and constant
296 among individuals. In the “hedgehog” structure, Mark Welch et al. [2016] observed nine taxa as regular
297 participants, including these above mentioned. The same nine genera presented higher abundance in

298 our supragingival microbiome analysis, proving that our analysis obtained a good picture of
299 supragingival active microbiome.

300 We observed a tendency for CA sites cluster together and distant from CI and CF subjects. The mMDS
301 (betadiversity analysis) could explain 37.4% of distance among groups, by the x-axis, and 28% by the
302 y-axis, exhibiting very similar communities' structures. However, it is important to analyse the
303 composition of genera into different microbiomes. Jiang et al. [2019] found a difference in niches but
304 did not observe clear differences among dental microbiome from active and caries free subjects.
305 Richards et al. [2017] observed more similar CA communities in different site-specific conditions than
306 communities from CF subjects. The authors highlight the concept of plaque communities as a part of
307 a larger ecosystem and that the changes in the structure of one community may eventually affect
308 another, reinforcing the importance of site-specific studies.

309 Conclusion

310 We concluded that the functional active microbiome in supragingival bacterial community profiles
311 show intra-individual similarities but were more diverse at inter-individual levels. The differences in
312 bacterial composition may indicate that the individual's healthy/diseased status matters more than
313 sites. We suggest that alterations from supragingival microbial communities should be analysed, from
314 a longitudinal way, in caries diseased subjects for a better comprehensive understanding of this
315 ecological process.

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325 **Statement of Ethics**

326 This study had Ethics Committee of Federal University of Rio Grande do Sul (UFRGS) approval (CAAE
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329 patients received dental treatment at UFRGS.

330 **Conflict of Interest Statement**

331 “The authors have no conflicts of interest to declare.”

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339 **Author Contributions**

340 DJ. Corralo, contributed to design, data analysis and interpretation, drafted and critically revised the
341 manuscript; LD. Ev, contributed to conception, design, samples acquisition, data analysis, and
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Figure Legends

Fig. 1. Flow chart showing the number of subjects enrolled and allocated to groups.

Fig. 2. Venn diagram showing shared and unique OTU abundances at 97% identity, for genus taxonomic level, among supragingival biofilm from caries active subjects (ANCL-CA: active non-cavitated lesion; INCL-CA: inactive non-cavitated lesion; S-CA: sound); caries inactive subjects (INCL-CI: inactive non-cavitated lesion); and caries-free subjects (S-CF: sound).

Fig. 3. Richness estimators Chao 1 and ACE (Abundance-based Coverage Estimator) among different dental surfaces from caries-active (CA) subjects (ANCL: active non-cavitated lesions; INCL: inactive non-cavitated lesions; S: sound surfaces) (A); between inactive sites (INCL) from CA and caries-inactive (CI) subjects (B); and between CA and caries-free (CF) subjects (C). Mann-Whitney U test, 95% confidence level.

Fig. 4. Alpha diversity indexes Shannon-Wiener, Simpson, and Pielou-Shannon among different dental surfaces from caries-active (CA) subjects (ANCL: active non-cavitated lesions; INCL: inactive non-cavitated lesions; S: sound surfaces) (A); and, between inactive sites (INCL) from CA and caries-inactive (CI) subjects (B). Mann-Whitney U test, 95% confidence level.

Fig. 5. Alpha diversity indexes Shannon-Wiener, Simpson, and Pielou-Shannon between sound sites from caries-active (S-CA) and caries-free (S-CF) subjects (A); and, from caries-active (CA) and caries-free (CF) groups (B). Mann-Whitney U test, 95% confidence level.

Fig. 6. Metric Multidimensional Scaling Ordination (mMDS/PCoA) among supragingival biofilms communities from all groups. Each sample is represented by a diamond. Black square represents the active non-cavitated lesions from caries active subjects (ANCL-CA). Horizontal black lines diamond represents the inactive non-cavitated lesions from caries active subjects (INCL-CA). Chess circle represents the sound surfaces from caries active subjects (S-CA). Black diamond represents the inactive non-cavitated lesions from caries inactive subjects (INCL-CI). Gray circle represents the sound surfaces from caries-free subjects (S-CF). mMDS using average of log2 fold change (avg(logFC)).