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1 Abstract

2 Many healthcare facilities (HCFs) in rural areas of low- and middle-income countries (LMICs)
3 lack safe, sufficient water supplies. We sought to understand which factors affect water quality in
4 rural HCF in LMICs. In Ethiopia, Ghana, Honduras, India, Kenya, Malawi, Mali, Mozambique,
5 Niger, Rwanda, Tanzania, Uganda, Zambia, and Zimbabwe, doctors and nurses were interviewed
6 at over 2,000 outpatient HCFs about their water source, staff training, and management practices.
7 Water samples were also tested for contamination with *E. coli*. We generated descriptive
8 analyses and logistic regressions. Overall, 52% of surveyed HCFs used at least a basic water
9 service, 23% used a limited water service, and 25% had no water service as defined by the
10 WHO/UNICEF Joint Monitoring Programme. Use of an improved water source type (OR \approx 1.4-
11 1.7), treatment of water (OR = 1.26), management by a person with medical training (OR \approx 3.4-
12 8.9), and presence of a protocol for operations and management (OR = 1.29) were associated
13 with safer water. These results suggest that in addition to addressing water source, storage, and
14 treatment, stakeholders can also target organizational factors in order to improve water quality in
15 HCFs.

17 Highlights

- 18 • 52% of healthcare facilities used a basic water service as defined by JMP
- 19 • 63% of facilities had water under WHO guideline value for *E. coli* (<1 per 100 mL)
- 20 • 31% of facilities used a basic water service free of *E. coli*
- 21 • Improved water source, water treatment associated with better water quality
- 22 • Manager with medical training, O&M protocol associated with better water quality

24 Keywords

25 environmental health; infection prevention and control (IPC); management; water, sanitation,
26 and hygiene (WaSH); water quality; sub-Saharan Africa

28 1. Introduction

29
30 Safe and sufficient water services are vital for protecting and maintaining health.
31 Consumption of contaminated water and having insufficient water for personal hygiene are
32 linked to diseases such as cholera, typhoid fever, helminth infections, and trachoma (Prüss-Ustün
33 et al. 2014). In 2015, the need for basic water services was reiterated in Goal 6 of the United
34 Nations' Sustainable Development Goals (SDGs), where target 6.1 calls for universal access to
35 "safe and affordable drinking-water for all" (UN 2017). However, achieving and maintaining
36 adequate water service can be difficult in low- and middle-income countries (LMICs), and in
37 rural areas (Bain et al. 2014).

38 The WHO/UNICEF Joint Monitoring Programme (JMP) in its SDG monitoring considers
39 "universal access" to drinking-water to include settings such as schools and healthcare facilities
40 (HCFs) (JMP 2019). Sufficient, safe water is particularly important within HCFs in order to
41 maintain a clean environment and prevent the spread of healthcare-associated infections such as
42 urinary tract, surgical-site, lower respiratory tract, and blood infections (Adams et al. 2008;
43 Mathai et al. 2010). In HCFs, water is consumed by patients and staff, and is used for hand
44 hygiene, food preparation, bathing patients, washing linens, sterilizing medical equipment, and
45 cleaning surfaces (Adams et al. 2008). When an HCF's water supply is of insufficient quantity or
46 unsafe quality, its ability to provide safe medical services is compromised. In a study of

47 healthcare workers in ten rural Indonesian clinics, observed hand hygiene compliance was only
48 20%, in part due to water scarcity: one worker commented, “We don’t even have water to drink
49 or cook; how could you expect us to bathe regularly, let alone wash our hands?” (Marjadi and
50 McLaws 2010). An inadequate water supply can also lead to negative perceptions of the HCF by
51 patients (Bhattacharyya et al. 2015; Karkee et al. 2014; Steinmann et al. 2015) and decrease
52 motivation of healthcare workers at the facility (Alhassan et al. 2013; Melberg et al. 2016).

53 An assessment of monitoring data on water supplies in HCFs from 78 LMICs estimated
54 that 50% of HCFs do not have a piped water source on-premises (Cronk and Bartram 2018),
55 which may limit the quantity and quality of water available. Data on microbial water quality in
56 LMIC HCFs are sparse: the authors are aware of five studies which document water quality in
57 LMIC HCFs, most in atypical conditions. One study documents water contamination after a
58 natural disaster (Mosley et al. 2004), while others test advanced water treatment methods such as
59 ozonation and ultrafiltration (Echeverry Ibarra et al. 2008; Huttinger et al. 2015). Other than
60 these studies, one non-random assessment of 17 HCFs in Rwanda found that 1 of 16 water
61 samples contained *E. coli* (Huttinger et al. 2017), and a cross-sectional study found that 15% of
62 water samples from HCFs in rural Uganda (n=144) and 30% of water samples from rural
63 Mozambique (n=172) contained *E. coli* (Guo et al. 2017).

64 No studies model the relationships between water quality in rural HCF in LMIC and
65 factors such as HCF management characteristics, characteristics of water source, water storage
66 and treatment practices. Information on these relationships would be useful in identifying
67 interventions and management solutions. We conducted surveys and water quality sampling at
68 HCFs which provided outpatient care only within 14 LMICs. Through descriptive statistics and
69 logistic regressions, we sought to determine:

- 70 1. What is the status of water service and water quality within rural HCFs in LMIC?
- 71 2. What factors (general HCF characteristics, training, water source characteristics, etc.)
72 have the greatest impact on water quality within rural HCFs in LMIC?

73

74 **2. Methods**

75

76 **2.1 Study population and design**

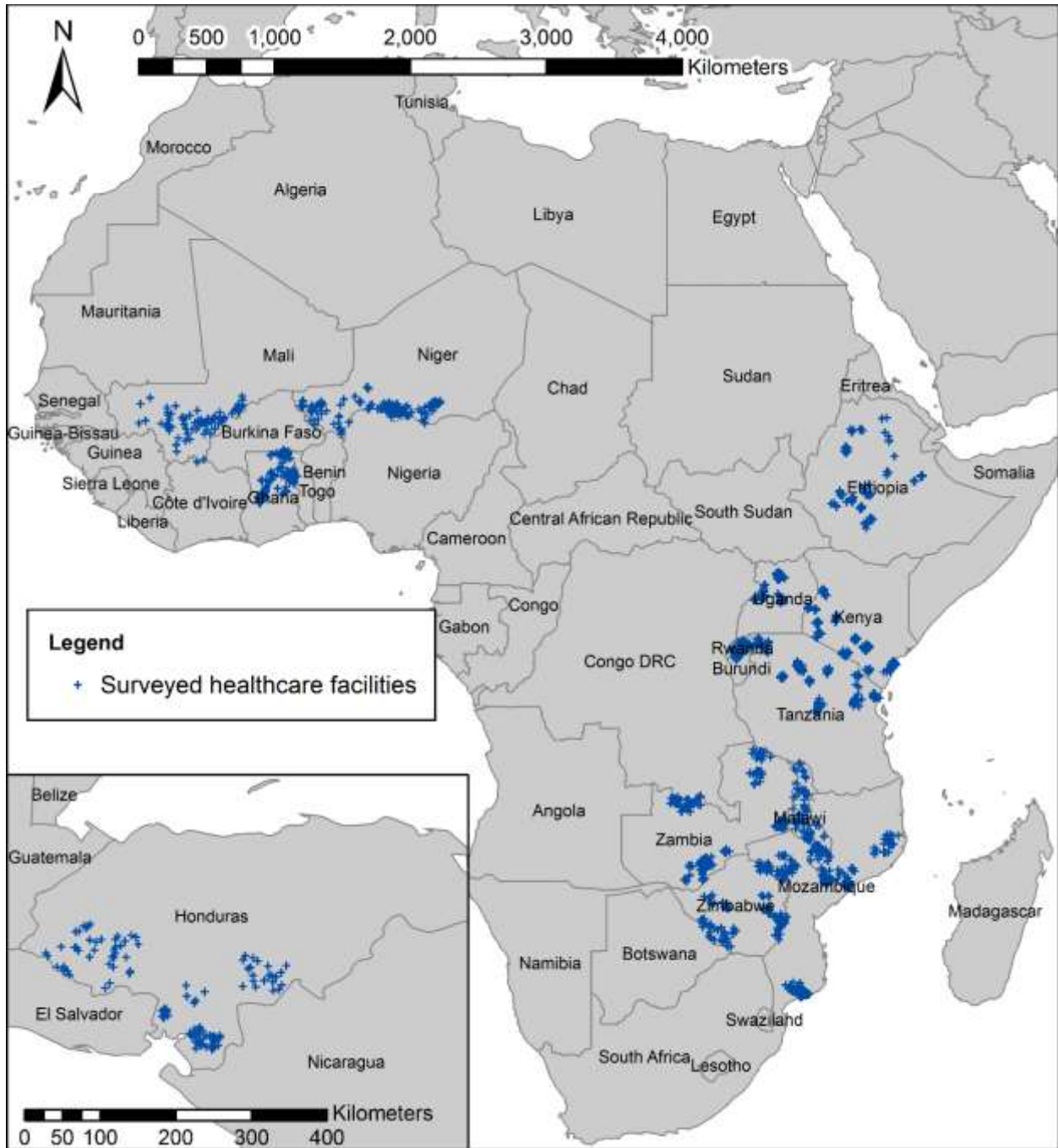
77

78 This study examines healthcare facilities (HCFs) in rural areas of 14 countries: Ethiopia,
79 Ghana, Honduras, India, Kenya, Malawi, Mali, Mozambique, Niger, Rwanda, Tanzania, Uganda,
80 Zambia, and Zimbabwe. It is part of a larger evaluation of water, sanitation, and hygiene
81 (WaSH) for an international non-governmental organization World Vision, which also examines
82 WaSH in households, communities, and schools. The HCF sample frame was nested within a
83 cluster-randomized household survey design, in which rural clusters were identified across each
84 country and classified as areas where World Vision has active WaSH programs or areas where
85 World Vision does not work. Within each stratum, 56 clusters were identified, where
86 households were mapped and surveyed.

87 A full list of healthcare facilities was compiled within the woredas (Ethiopia), cells
88 (Rwanda), sub-counties (Kenya, Uganda), districts (Tanzania, Zambia), wards (Zimbabwe),
89 Traditional Authorities (Malawi), communes (Mali, Niger), villages (India), and aldeas
90 (Honduras) where households had been surveyed. Facilities where inpatient care was provided,
91 such as hospitals, were excluded from this list. Simple random samples were taken from this list,
92 so that in each country, 100 HCFs were selected for surveys from World Vision programming

93 areas and 100 HCFs from areas where World Vision did not have programming. HCFs were
94 selected in Mozambique and Ghana using a similar process, but the initial list of all HCFs near
95 selected household clusters was obtained from a prior evaluation of WaSH in HCFs by World
96 Vision (Kayser et al. 2014).

97 The locations of surveyed healthcare facilities are displayed in Figure 1.



98
99

100 **Figure 1. Location of healthcare facilities visited in each country.** This map shows the
101 distribution of surveyed HCFs in 13 countries. GPS data were not collected in India by
102 requirement of local institutional review board.

103

104 **2.2 Survey instrument**

105

106 The survey instrument for this study was adapted from a baseline evaluation of WaSH for
107 World Vision (Kayser et al. 2014). It included verbal questions and observations of healthcare
108 facility characteristics; water (source type, distance to source, availability, water storage,
109 treatment), sanitation (type, functionality, condition, and use); hygiene (hand hygiene, sharps and
110 infectious waste segregation and treatment and disposal); and administration and training
111 (policies, budget, trainings on WaSH and infection prevention and control). These surveys were
112 translated into local languages for each country and verified by research supervisors or World
113 Vision staff in each country. Survey responses were recorded using the mobile survey tool
114 mWater (New York, NY, USA).

115

116 **2.3 Training and piloting**

117

118 Research supervisors and enumerators were hired in each country to conduct the surveys.
119 Research supervisors typically sought enumerators with the equivalent of a high-school
120 education, with preference given to women and to candidates with past surveying experience,
121 knowledge of WaSH, and/or expertise using mobile phones.

122 Staff from The Water Institute at UNC conducted five regional training workshops (East
123 Africa, Southern Africa, West Africa, Honduras, and India) in-person to familiarize research
124 supervisors with the survey, surveying techniques, use of the mWater mobile platform, protocol
125 for taking water samples, and quality checks for data. One training workshop was conducted via
126 video call for the supervisors in Honduras. Supervisors in each country held training workshops
127 for their enumerators just before data collection commenced.

128 After each regional training workshop, Water Institute staff worked with World Vision
129 staff, supervisors, and enumerators for a three-day piloting period. During this, enumerators
130 visited several villages and practiced all procedures for entering communities and completing
131 surveys, such as mapping of healthcare facilities and water sources in each area, process for
132 taking and reading water quality results, practice filling out and submitting surveys using the
133 mWater platform, and discussion of the verification and quality assurance and quality control
134 processes that should be performed on enumerators' surveys. Enumerators and supervisors were
135 also provided with manuals and contact information for in-field support via video calls, email,
136 text message, and messaging applications.

137

138 **2.4 Data collection**

139

140 Data were collected over two to three months in each country, with the earliest country
141 finishing data collection in July 2017 and the last country finishing data collection in December
142 2017. During the data collection period, teams of enumerators went to each selected facility and
143 attempted to interview the head doctor. If the head doctor was unavailable, they attempted to
144 interview the head nurse. If neither were unavailable, a nurse who had worked at the health
145 center for more than two years was interviewed. The respondent was allowed to decline to
146 respond to any question, and to stop the survey at any time.

147 In all visited HCFs, interviewees were asked to serve water in the manner someone
148 would usually take it for drinking. A 100 mL volume of this water was processed using the

149 Compartment Bag Test (CBT) (Aquagenx, Chapel Hill, NC, USA), a low-cost field test for
150 measuring *Escherichia coli*. (a commonly used indicator of fecal contamination in water
151 samples, which is relatively simple to measure using the CBT. In comparison, measurement of
152 other indicators such as viruses would require laboratory/technical capacity not feasible in the
153 field.) Guidelines for aseptic sampling were followed (Madsen and Guo 2017). Blank samples
154 were collected at 10% of HCFs by processing 100 mL of locally obtained bottled water, and
155 duplicate water samples were processed at another 10% of HCFs.

156 Water samples were processed immediately after each survey: these were incubated in a
157 CBT for 48 h at ambient temperature between 25 and 30°C, or 24 h at ambient temperature
158 above 30°C. Where the ambient temperature was below 25°C, water samples were stored in a
159 cooler with ice until the end of the day in the field, then were placed in a 35 to 37°C incubator
160 for 24 h.

161

162 **2.5 Quality assurance and control**

163

164 Quality checks were in place for multiple stages of data collection, including built-in
165 checks from the mWater platform for survey completeness, a list of checks for supervisors to
166 complete each week while reviewing new data (common-sense checks on number of surveys
167 completed, duration of surveys, number of water samples collected, location of GPS points in
168 country, verification of certain responses based on photos, review of blanks and duplicates), and
169 a final check of all data at the end of the data collection period.

170

171 **2.6 Data entry, processing, and analysis**

172

173 Datasets were exported from mWater into Stata/SE 14.2 (College Station, TX, USA) for
174 cleaning and analysis, including individual binary logistic regression models within each
175 country, as well as a mixed effects logistic regression model using the aggregated data from all
176 14 countries. Water quality was recorded as a binary outcome based on *E. coli* presence in a 100
177 mL water sample: “safe” (no *E. coli* present) or “unsafe” (*E. coli* present). For continuous
178 variables, outlier values were removed.

179 From the survey, 22 variables were selected *a priori* for testing based on evidence of
180 factors that influence water quality or plausible relationship (Supplementary Material S1).

181 Univariable logistic regressions were performed to identify which variables predicted
182 water quality within each country. All variables tested were run as univariate logistic models; we
183 identified variables that had a statistically significant Wald chi-squared value (associated $p <$
184 0.05) and report these.

185 For the multivariable mixed-effects logistic model, we clustered results based on country
186 and again ran univariate mixed-effects logistic models on the 22 variables in order to identify
187 variables with statistically significant relationships with the outcome variable (safe water). All
188 variables identified as significantly associated with the outcome ($p < 0.05$) were included in the
189 multivariable model. We verified that none of these variables were highly correlated using
190 Pearson’s correlation coefficient and the variance inflation factor.

191 The odds ratios in the resulting model correspond to the increase in likelihood of having
192 "safe" water with a 1-unit increase in the variable (continuous variables) or the increased
193 likelihood of having "safe" water for a specific group compared to a reference group (categorical

194 variables); a p-value under 0.05 indicates a statistically significant effect for that group, at the
195 95% confidence level.

196

197 **2.7 Compliance with Ethical Standards**

198

199 This study was approved by the UNC-Chapel Hill Institutional Review Board (IRB #17-
200 0663). Free and informed consent was obtained from all participants in their own language
201 before beginning the survey.

202 Ethical approval was also obtained by agencies within each of the countries. These were
203 the National Regional Government of Oromia Planning and Economic Development
204 Commission in Ethiopia (reference WVE/ORO/0393/2017), the Ministry of Water Resources in
205 Ghana (TJMSW), the Secretary of Energy and Natural Resources in Honduras (DMA-0220-
206 2017), the SRM University School of Public Health in India
207 (SRMSPH/IEC001/2017/24/07/2017), the Ministry of Water and Irrigation in Kenya
208 (MWI/PARAS/10/62/(31)), the Director of Irrigation and Water Development in Malawi
209 (IWD/CONF/1/1), the University of Bamako Medical School in Mali (2017/105/CE/FMPOS),
210 the National Institute of Statistics in Mozambique (2/DICRE/INE/900/2017), the Ministry of
211 Water Resources in Niger (000008/MH/A/DGH), the Ministry of Infrastructure in Rwanda
212 (ND/JOBD/WASH/IPD/20/03/17), the National Institute for Medical Research in Tanzania
213 (NIMR/HQ/R.8a/Vol. IX/2386), the Makerere University School of Biomedical Sciences ethics
214 committee in Uganda (SBS-HDREC-437), the Ministry of Local Government and Housing in
215 Zambia (MLGH/101/18/102), and the Medical Research Council in Zimbabwe (MRCZ/A/2223).

216

217 **3. Results**

218

219 **3.1 Final Sample**

220

221 A total of 2,035 healthcare facilities (HCFs) were visited for surveys, as compared to a
222 target of 2,800 (200 surveys per country); respondents at 2,002 HCFs consented to survey. At
223 these 2,002 HCFs, water samples were taken at HCFs where water was available on the day of
224 the survey. While some data were lost because of errors in recording the barcode numbers used
225 to identify each water sample, water sample results were successfully linked to 1,679 of the
226 HCFs (Supplementary Material S2).

227 Across all 14 countries, the most commonly surveyed type of HCF was the health center.
228 However, health posts were the most common type surveyed in Ethiopia and Tanzania; the
229 community/block health center was most common in Rwanda and Mali; the primary health
230 center was most common in Zimbabwe; and the sub-center was most common in India. The most
231 frequently indicated “Other” healthcare facilities were dispensaries (Kenya, Tanzania),
232 Community Health-based Planning and Services compounds (Ghana), and Case de Santé or
233 ‘health huts’ (Niger).

234

235 **3.2 Descriptive Analysis**

236

237 Across all countries, HCFs were most commonly managed by nurses. The most
238 frequently indicated “Other” levels of medical training for HCF managers were clinical/medical
239 assistants (Malawi), medical technicians (Mozambique, Mali), physician assistants (Ghana),

240 health officers (Mozambique, Niger), auxiliary nurse midwives/ANMs (India), accredited social
 241 health activist/ASHA workers (India), and nursing assistants (Honduras) (Table 1).

242 Across all surveyed HCFs, 86% of interviewees reported that the main water source used
 243 by people at the facility was of an improved water source type as defined by the WHO/UNICEF
 244 Joint Monitoring Programme (JMP), such as a piped connection or a borehole with handpump
 245 (JMP 2017). At 87% of HCFs, water was available from the main water source at the time of the
 246 survey. At 36% of HCFs, interviewees reported that their HCF’s main water source was off-
 247 premises (Table 2). Overall, 52% of the surveyed HCFs used at least basic water service, 23%
 248 used a limited water service, and 25% had no service as defined by the JMP. 31% of surveyed
 249 HCFs used a basic water service that tested free of *E. coli*.

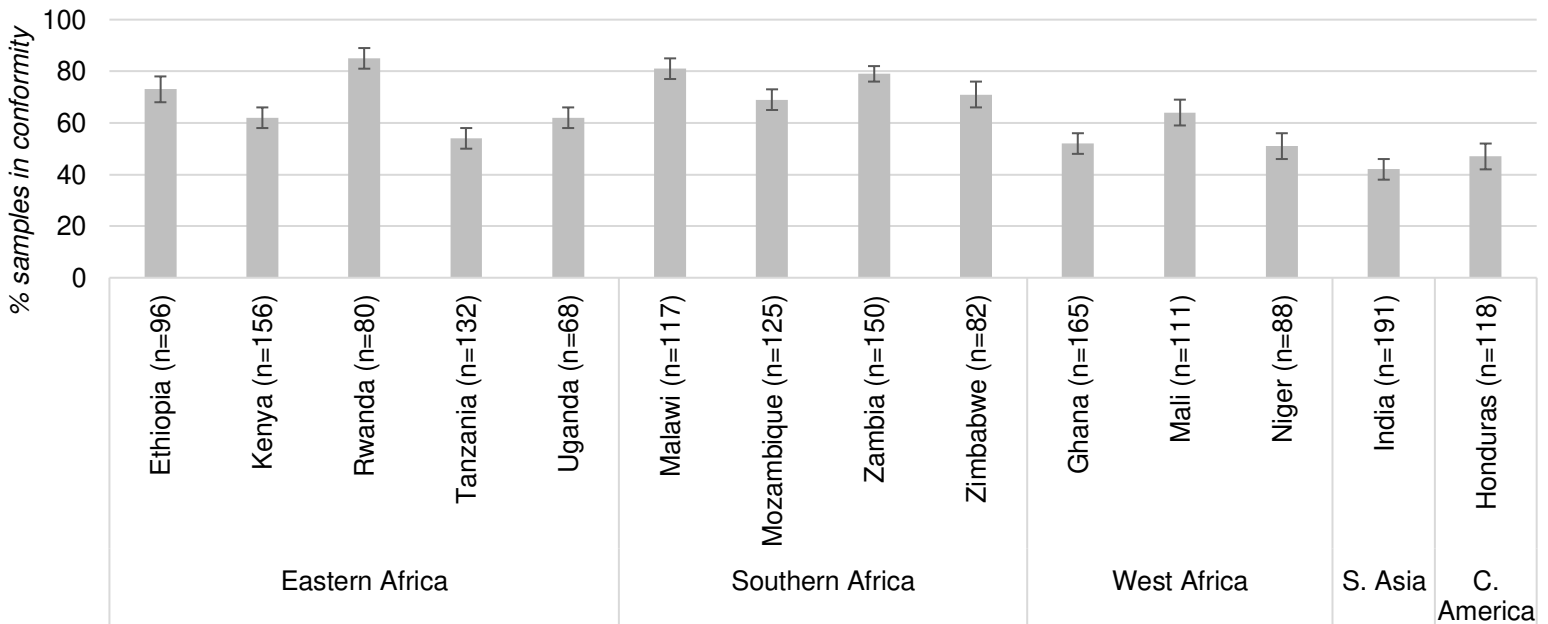
250 For healthcare facilities where water was stored in containers (n = 1,292), 96% reported
 251 that they used a container covered with a lid; 60% reported that water was extracted from the
 252 container using a safe method such as dispensing from a spigot, pouring, or scooping with a
 253 long-handled ladle; and 34% treated their water to make it safer (Table 2).

254 The prevalence of an infection prevention and control (IPC) policy in HCFs ranged from
 255 27% in Niger to 96% in Zimbabwe. Similar ranges existed for operation and management
 256 protocols (11 to 85%), water, sanitation, and hygiene (WaSH) committees (12 to 79%),
 257 community-composed oversight committees (17 to 88%), and designation of an IPC/WaSH focal
 258 person (15 to 85%) (Table 3).

259 At least half of the facilities in each country had held at least one IPC training event in
 260 the preceding year, with the exceptions of healthcare facilities in Malawi and Niger; these had
 261 usually been attended by nurses rather than doctors or other workers in the facility.

262 About a fifth of facilities overall and in most countries reported having sufficient budget
 263 for IPC/WaSH supplies, ranging from 1% of HCFs in Niger to 41% of HCFs in India.

264 In 63% of all HCFs where water samples were collected, the sample was in conformity
 265 with the World Health Organization (WHO) drinking-water quality guideline value for
 266 *Escherichia coli* (<1 *E. coli* per 100 mL water) (Figure 2).
 267



268

269 **Figure 2. Proportion of healthcare facilities where water was in conformity with the WHO**
270 **drinking-water quality guideline value for *E. coli* (<1 *E. coli* per 100 mL) at the time of survey,**
271 **by country.**

272

273 3.3 Logistic Regressions

274

275 Univariable logistic regression within each country demonstrate that treatment of water
276 was associated with safer water quality in four countries: Kenya (OR = 2.02), Rwanda (OR =
277 4.14), India (OR = 1.82), and Honduras (OR = 2.51). In one country (Ghana), treatment of water
278 was associated with worse water quality; this finding is likely due to collinearity with primary
279 water source type. In Ghana, HCFs with an improved primary water source type were more
280 likely to have safe water than HCFs with an unimproved type source of water (OR = 2.43, $p =$
281 0.071). However, 24% of HCFs with an unimproved primary water source type treated their
282 water, as opposed to 4% of HCFs with an improved primary water source type; this difference
283 was statistically significant ($p < 0.001$). This suggests that, of the 6% of Ghanaian HCFs where
284 water is treated, the treatment methods are insufficient to disinfect the water.

285 Storage of water was also associated with safer water quality in three countries (Rwanda,
286 Tanzania, Honduras). However, this is likely due to collinearity with treatment rather than the
287 effect of storage: HCFs where water was stored in a container were more likely to report
288 treatment of their water than respondents at HCFs where water was not stored ($p < 0.001$ in
289 Rwanda and Tanzania, $p = 0.015$ in Honduras).

290 Within the univariable logistic regressions, WaSH training for healthcare professionals
291 (Ghana), use of an improved water source type (Mozambique), and existence of an IPC policy
292 (India) also had a statistically significant association ($p < 0.05$) with safer water quality.
293 Treatment, storage, and WaSH training were generally associated with better water quality, but
294 treatment of water in Ghana and the existence of an official IPC policy in India were associated
295 with worse water quality (Supplementary Material S3).

296 In the multivariable mixed-effects logistic regression with the full 14-country dataset
297 (clustered by country) the interclass correlation (ICC) was 0.088 (95% CI: 0.039, 0.184),
298 meaning that about 9% of the variance in water quality was attributable to differences between
299 countries instead of HCF-level characteristics.

300 Use of an improved water source type was associated with safer water quality in the
301 multivariable mixed-effects logistic regression. The odds of having uncontaminated water for a
302 HCF with an improved main water source type were approximately 1.4 times those of an HCF
303 using an unimproved source type, with boreholes performing particularly well compared to
304 unimproved source types (OR = 1.742). Water treatment also appeared to be associated with
305 safer water quality (OR = 1.26), although this was borderline significant at the 95% confidence
306 level within the multivariable model ($p = 0.074$).

307 Water quality in HCFs was also influenced by organizational management and training.
308 Within the multivariable model, HCFs managed by a person with some medical training (doctor,
309 nurse, health extension worker, etc.) were three to four times as likely to have safe water than
310 HCFs managed by a person with no medical training. There were no statistically significant
311 differences between the different types of medical training, but the safe-to-unsafe odds ratios for
312 HCFs managed by community health workers/health extension workers (OR = 5.79) and
313 midwives (OR = 8.98) were particularly high. Finally, HCFs with a protocol for operation and

314 management of the facility (including procurement of WaSH supplies) were 1.29 times as likely
315 to have safe water compared to HCFs with no operation and management protocol ($p = 0.052$)
316 (Table 4).

317

318 **4. Discussion**

319

320 **4.1 Summary and Implications**

321

322 In this study, we explored water service and water quality in outpatient-focused
323 healthcare facilities (HCFs) in rural areas of 14 low- and middle-income countries (LMICs) by
324 analyzing over 2,000 surveys and over 1,600 water samples, using univariable logistic
325 regressions and a multivariable mixed-effects logistic regression.

326 We found that 86% of interviewed HCFs had an improved-type main water source. This
327 is consistent with studies in rural HCFs within the same countries, which found 74% of HCFs in
328 Ethiopia to 97% of HCFs in Rwanda and Uganda had an improved-type main water source (Guo
329 et al. 2017). This is also mostly consistent with estimates of water coverage in HCFs in 2016
330 from the WHO/UNICEF Joint Monitoring Programme (JMP); for 8 of the 11 countries in this
331 study for which JMP reported rural water estimates within HCFs, estimates of improved-type
332 water sources in HCFs from this study were within 7 percentage points of the JMP estimate
333 (JMP 2019).

334 We also found that water samples from 63% of HCFs contained less than 1 *E. coli* per
335 100 mL; and that certain water source and handling characteristics were associated with safer
336 water quality. These associations are logical extensions of current knowledge on water quality.
337 Improved water source types are, by definition constructed in a way less likely to have fecal
338 contamination, and piped supplies and boreholes tend to outperform other improved-type sources
339 (Bain et al. 2014; Shields et al. 2015). Treatment by boiling, adding chlorine, filtering, etc. kills
340 or removes bacteria and other microbes. This aligns with our findings, where water from
341 boreholes and piped sources and treated water were most likely to be *E. coli*-free.

342 Organization-level characteristics, including existence of a protocol for operations and
343 management of the HCF and management of HCFs by a person with medical training, were also
344 associated with better water quality. To our knowledge, this is the first time such a relationship
345 has been documented, and this has policy and practice implications.

346 Overall, we found 31% of HCFs had a basic water service with *E. coli*-free water
347 (roughly equivalent to "safely managed" water service in households); 21% used a basic water
348 service but water was not *E. coli*-free; 23% used a limited water service; and 25% had no water
349 service. This is an urgent concern: while safe and sufficient water is necessary for HCFs to
350 provide adequate care to their patients, these statistics suggest that many HCFs still have
351 insufficient water to maintain a hygienic environment, and the water available could cause illness
352 if consumed. Water sources for HCFs should be improved types and should also be on-premises,
353 as this allows for easier access to water, supports increased use of water for hygiene and
354 environmental cleaning, and can increase water quality (fewer opportunities for contamination
355 during collection and storage). Based on these results, implementers including government
356 agencies, public and private utilities, and non-governmental organizations should continue
357 working to increase access to improved water source types, preferably by piping water directly
358 into the facility, or providing a tap or borehole on the premises at minimum.

359 Water treatment should also be promoted in HCFs to ensure the best possible quality.
360 This should be prioritized in areas where water quality is already known to be poor, but should
361 eventually be practiced in all facilities. (While 63% of HCFs had *E. coli*-free water, this single
362 test is an optimistic measure of water quality and does not account for other robust pathogens,
363 such as *Giardia* and *Cryptosporidium* spp., or the potential for spikes in contamination due to
364 environmental fluctuations. These risks must be counteracted by treatment in order to protect
365 immunocompromised patients.) Water treatment activities could be integrated into programming
366 by health authorities/governments, supplemented by activity from non-governmental
367 organizations, and would likely require coordination with the private sector (ex. manufacturers of
368 chlorine tablets). These efforts would require additional attention in areas where treatment occurs
369 but is inadequate, such as the HCFs with unimproved-type sources surveyed in Ghana.
370 Promoting safe water in these HCFs requires a better understanding of current treatment
371 practices (frequency of treatment, dosing, upkeep of filters, etc.) and factors contributing to
372 successful water treatment over time. This may require interviews or additional research.

373 The relationship between water quality and manager background suggests that skills in
374 WaSH and medicine are needed at the managerial level in order to maintain a safe, hygienic
375 environment in HCFs. HCF administrators should look into the best means to ensure that these
376 skills are represented, and supervise activities in the HCF to see that action is being taken to
377 improve WaSH and IPC. This might be achieved by assigning specific WaSH and IPC
378 responsibilities or providing additional training to existing HCF staff. Alternatively, HCF
379 administrators might consider hiring additional staff to consult on plans for training and
380 programming within the HCF; provide feedback on operations and management policies and
381 whether they provide adequate WaSH and IPC supplies for the facility; help set internal
382 performance goals for safe water handling and treatment; etc.

383 Finally, these recommendations for water and HCF management should also be reflected
384 in national policies, plans and standards. Health sector plans for improving service delivery
385 should include specific, measurable, attainable, realistic, and time-bound (SMART) goals for
386 WaSH; designate actions for specific people or groups; monitor progress over time; and allocate
387 additional funding to achieve these goals if necessary. These goals will require support from
388 actors outside the health sector, including partners in water resources, environment, statistics,
389 rural development, and finance, and their involvement should be reflected in their respective
390 strategic plans as well. As an example, the Malawi Health Sector Strategic Plan for 2011 to 2016
391 stated that the Ministry of Health would work with the Ministry of Agriculture, Irrigation and
392 Water Development to provide potable water sources in all healthcare facilities and staff houses.
393 This included annual measurement of the percentage of health centers with water, electricity, and
394 communications working at time of visit as a core performance indicator (Government of
395 Malawi Ministry of Health 2011). By 2016, 100% of hospitals and 99% of other healthcare
396 facilities were using an improved water source type in Malawi (JMP 2019). In addition to service
397 delivery, Ministries of Health should also establish oversight over HCFs in order to ensure that
398 all providers are maintaining adequate conditions, provide guidance for improvement, and if
399 necessary, close unsafe facilities and provide alternative options for care. Within each Ministry,
400 units responsible for inspecting and enforcing environmental requirements should be separate
401 from units responsible providing services, so as to ensure more impartial oversight.

402

403 **4.2 Strengths and Limitations**

404

405 The cross-sectional design of the study means that we were not able to establish causality
406 (although we were able to demonstrate a correlation between these factors and differences in
407 water quality) and we were unable to gauge effects such as seasonality. These data were also
408 subject to social desirability bias. We minimized bias and variability by using a standardized
409 format to ask the questions and training all enumerators on impartial interview technique.
410 Because water samples were only taken at HCFs where water was available at the time of the
411 survey, the water quality results may underrepresent HCFs with intermittent or unreliable water
412 service, which are more likely to have contaminated water.

413 In this study, water quality was measured with a single water sample tested for *E. coli*.
414 This is a moderate indicator of water safety, because it omits some aspects of safety, such as
415 chemical contaminants and other microbial contaminants; will over-estimate safety because of
416 variability in quality over time, and does not account for safe management practices. While we
417 have presented statistically significant (or borderline significant) relationships, the pseudo-R²
418 value for all of the univariate regressions were low, with the largest R² value only 0.1125. This
419 indicates that most of the variability in water quality is unexplained by the variables tested. Some
420 of this variability might result from noise in the data, as measurements of *E. coli* can vary widely
421 by season, day and time of day even when taken from the same source. Future researchers
422 investigating water quality in HCFs might consider changing aspects of our existing study design
423 – for instance, increasing the number of samples taken from each HCF, measuring for other
424 types of contaminants such as viruses and protozoa, or using a longitudinal design rather than a
425 cross-sectional design – in order to reduce variability and noise in the data.

426

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434

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530 [eng.pdf?sequence=1](http://apps.who.int/iris/bitstream/handle/10665/254637/9789241549950-eng.pdf?sequence=1)

531 **Table 1. Characteristics of surveyed healthcare facilities and medical training of facility managers in each country.**

532

	Eastern Africa					Southern Africa				West Africa			Southern Asia	Central America
	Ethiopia (n=172)	Kenya (n=162)	Rwanda (n=81)	Tanzania (n=149)	Uganda (n=77)	Malawi (n=136)	Mozambique (n=133)	Zambia (n=163)	Zimbabwe (n=119)	Ghana (n=175)	Mali (n=118)	Niger (n=172)	India (n=209)	Honduras (n=136)
Type of HCF (%)														
Health post	66	5	12	57	1	2	2	15	<1	10	0	16	3	<1
Health center	30	49	0	17	94	86	96	75	19	34	35	26	18	87
Private clinic	1	3	0	1	5	5	0	2	5	6	0	23	0	<1
Sub center	<1	1	0	0	0	<1	0	0	<1	2	0	5	48	<1
Primary health center	1	2	1	7	0	2	0	1	71	6	4	2	27	2
Community/block health center	<1	6	86	9	0	<1	0	7	3	16	55	15	3	<1
Other	<1	35	0	9	0	4	2	<1	<1	26	6	15	<1	8
Level of medical training for HCF manager (%)														
Doctor	1	10	0	50	16	10	10	2	2	7	52	2	24	40
Nurse	55	71	98	36	53	19	61	77	93	61	24	59	50	54
Community health worker/health extension worker	28	0	0	0	0	0	0	6	0	2	0	28	<1	0
Midwife	0	0	0	0	5	0	0	4	3	13	0	0	0	0
Other	10	18	2	14	26	71	29	10	2	17	25	11	21	0
None	5	<1	0	<1	0	<1	<1	0	0	0	0	5	5	6
Median number of patients served each day	10	50	75	30	50	150	80	45	45	20	16.5	17	11	20

533

534

535 **Table 2. Proportion of healthcare facilities with an improved main water source type and safe storage and treatment practices.**
 536 *(Point estimates are shown ± standard error.)*
 537

	Eastern Africa					Southern Africa				West Africa			Southern Asia	Central America
	Ethiopia (n=172)	Kenya (n=162)	Rwanda (n=81)	Tanzania (n=149)	Uganda (n=77)	Malawi (n=136)	Mozambique (n=133)	Zambia (n=163)	Zimbabwe (n=119)	Ghana (n=175)	Mali (n=118)	Niger (n=172)	India (n=209)	Honduras (n=136)
The main water source used by people at the facility is an improved source type. (%)	84 ± 3	94 ± 2	96 ± 2	73 ± 4	94 ± 2	100	92 ± 2	97 ± 1	97 ± 1	87 ± 3	99 ± 0.9	70 ± 4	94 ± 2	89 ± 3
The main water source is available on premises. (%)	24 ± 3	68 ± 4	84 ± 4	54 ± 4	69 ± 4	84 ± 3	60 ± 4	78 ± 3	69 ± 4	51 ± 4	93 ± 2	35 ± 4	54 ± 3	63 ± 4
Water was available from the main water source at the time of survey. (%)	61 ± 4	94 ± 2	94 ± 3	82 ± 3	94 ± 2	93 ± 2	71 ± 4	78 ± 3	89 ± 3	92 ± 6	94 ± 2	68 ± 4	86 ± 2	66 ± 4
(Of facilities who store water) Water is stored in a safely covered container. (%)	86 ± 4	98 ± 1	100	97 ± 1	98 ± 1	99 ± 1	96 ± 2	100	95 ± 3	98 ± 1	97 ± 2	96 ± 2	95 ± 2	89 ± 4
People at the facility use some method to treat their water before drinking it. (%)	30 ± 4	57 ± 4	80 ± 4	48 ± 4	57 ± 4	21 ± 4	39 ± 4	37 ± 3	10 ± 3	6 ± 2	26 ± 4	26 ± 3	31 ± 3	46 ± 4
The main water source has continuous service (water available from the source 24 hours a day). (%)	49 ± 4	73 ± 4	85 ± 4	78 ± 3	73 ± 4	89 ± 3	89 ± 3	80 ± 3	88 ± 3	92 ± 2	89 ± 3	73 ± 3	79 ± 3	61 ± 4

538
539

540 **Table 3. Proportion of healthcare facilities where IPC and WaSH-related training or management programs were reported. (Point**
 541 **estimates are shown \pm standard error.)**
 542

	Eastern Africa					Southern Africa				West Africa			Southern Asia	Central America
	Ethiopia (n=172)	Kenya (n=162)	Rwanda (n=81)	Tanzania (n=149)	Uganda (n=77)	Malawi (n=136)	Mozambique (n=133)	Zambia (n=163)	Zimbabwe (n=119)	Ghana (n=175)	Mali (n=118)	Niger (n=172)	India (n=209)	Honduras (n=136)
Infection prevention and control (IPC) policy exists for the facility. (%)	58 \pm 4	73 \pm 3	90 \pm 3	92 \pm 2	73 \pm 3	56 \pm 4	53 \pm 4	80 \pm 3	96 \pm 2	71 \pm 3	52 \pm 5	27 \pm 3	62 \pm 3	86 \pm 3
Protocol for operation and management of the facility, including procurement of IPC/WaSH supplies, exists and is followed. (%)	16 \pm 3	60 \pm 4	85 \pm 4	68 \pm 4	60 \pm 4	28 \pm 4	31 \pm 4	56 \pm 4	73 \pm 4	63 \pm 4	22 \pm 4	11 \pm 2	56 \pm 3	50 \pm 4
IPC/WaSH focal person has been designated for the facility. (%)	31 \pm 4	67 \pm 4	85 \pm 4	56 \pm 4	67 \pm 4	70 \pm 4	55 \pm 4	60 \pm 4	80 \pm 4	50 \pm 4	37 \pm 4	15 \pm 3	47 \pm 3	58 \pm 4
WaSH committee exists at the facility, and has met in the past 6 months. (%)	22 \pm 3	44 \pm 4	79 \pm 5	51 \pm 4	44 \pm 4	41 \pm 4	50 \pm 4	39 \pm 4	45 \pm 4	29 \pm 3	26 \pm 4	12 \pm 2	50 \pm 3	43 \pm 4
Community-composed oversight committee exists at the facility, and has met in the past 6 months. (%)	17 \pm 3	59 \pm 4	88 \pm 4	55 \pm 4	59 \pm 4	66 \pm 4	65 \pm 4	69 \pm 3	71 \pm 4	53 \pm 4	47 \pm 5	23 \pm 3	46 \pm 3	56 \pm 4
At least one training on IPC has been held in the past year. (%)	88 \pm 3	54 \pm 4	57 \pm 6	88 \pm 3	54 \pm 4	36 \pm 5	44 \pm 5	54 \pm 4	65 \pm 5	63 \pm 4	63 \pm 5	28 \pm 4	94 \pm 2	79 \pm 4
WaSH training is provided for healthcare providers in the facility. (%)	32 \pm 4	52 \pm 4	42 \pm 6	62 \pm 4	52 \pm 4	34 \pm 4	44 \pm 4	43 \pm 4	61 \pm 1	50 \pm 4	36 \pm 4	23 \pm 3	63 \pm 3	67 \pm 4
A sufficient budget has been allocated for IPC/WaSH supplies. (%)	6 \pm 2	19 \pm 3	5 \pm 2	23 \pm 3	18 \pm 3	39 \pm 2	15 \pm 1	10 \pm 2	19 \pm 4	7 \pm 2	5 \pm 2	1 \pm 0.1	41 \pm 3	18 \pm 3

543

544

545 **Table 4. Factors associated with statistically significant changes in conformity with WHO guideline value for *E. coli*, across all 14**
 546 **aggregated countries in a multivariable logistic model. Overall model $p = 0.0030$, based on $n = 1,479$, and $\bar{\chi}^2$ for LR vs. logistic**
 547 **model < 0.0001 . Note: Asterisks (*) indicate statistically significant associations at the 95% confidence level, $p < 0.05$.**

Variable	Odds Ratio (95% CI)	p-value
Average daily number of patients served by the facility	1.001 (0.999, 1.003)	0.111
Facility manager's level of medical training		
None	1.000	
Doctor	3.415 (0.923, 12.558)	0.065
Nurse	3.686 (1.021, 13.313)	0.046*
Community health worker/health extension worker	5.789 (1.335, 25.110)	0.019*
Midwife	8.977 (1.862, 43.285)	0.006*
Other	4.140 (1.123, 15.177)	0.032*
Primary water source type		
Unimproved source	1.000	
Piped to facility or yard	1.459 (0.970, 2.194)	0.070
Borehole (with handpump/pump)	1.742 (1.161, 2.613)	0.007*
Other improved source	1.442 (0.961, 2.164)	0.077
Water treatment at the facility		
No, water is not treated	1.000	
Yes, treated with chlorine, boiling, filtration, etc.	1.257 (0.978, 1.616)	0.074
Number of sanitation facilities present	1.030 (0.991, 1.071)	0.130
Presence of a protocol for O&M of the facility		
Yes, a protocol exists	1.000	
No, a protocol does not exist	0.775 (0.599, 1.002)	0.052
WaSH training for healthcare providers at the facility		
No, healthcare providers are not trained in WaSH	1.000	
Yes, healthcare providers are trained in WaSH	1.148 (0.902, 1.461)	0.263