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1 Research Article

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3 Short title

4 Unravelling the transthylakoid proton motive force

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22	One sentence summary
23	Electrochromic shift absorption kinetics show the steady-state transthylakoid proton motive force
24	in plants is dominated by the proton concentration gradient under both low and high light conditions.
25	
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38

39 Abstract

The proton motive force (*pmf*) across the thylakoid membrane couples photosynthetic electron 40 transport and ATP synthesis. In recent years, the electrochromic carotenoid and chlorophyll 41 absorption band shift (ECS), peaking ~515 nm, has become a widely used probe to measure *pmf* in 42 leaves. However, the use of this technique to calculate the parsing of the *pmf* between the proton 43 44 gradient (ΔpH) and electric potential ($\Delta \psi$) components remains controversial. Interpretation of the ECS signal is complicated by overlapping absorption changes associated with violaxanthin de-45 epoxidation to zeaxanthin ($\Delta A505$) and energy-dependent non-photochemical quenching (qE) 46 (Δ A535). In this study, we used Arabidopsis (*Arabidopsis thaliana*) plants with altered xanthophyll 47 cycle activity and photosystem II subunit S (PsbS) content to disentangle these overlapping 48 contributions. In plants where overlap between $\Delta A505$, $\Delta A535$ and ECS is diminished, such as *npq4* 49 50 (lacking $\Delta A535$) and *npq1npq4* (also lacking $\Delta A505$), the parsing method implies the $\Delta \psi$ 51 contribution is virtually absent and *pmf* is solely composed of ΔpH . Conversely, in plants where 52 $\Delta A535$ and ECS overlap is enhanced, such as L17 (a PsbS overexpressor) and *npq1* (where $\Delta A535$ 53 is blue-shifted to 525 nm) the parsing method implies a dominant contribution of $\Delta \psi$ to the total 54 *pmf*. These results demonstrate the vast majority of the *pmf* attributed by the ECS parsing method to $\Delta \psi$ is caused by $\Delta A505$ and $\Delta A535$ overlap, confirming *pmf* is dominated by ΔpH following the 55 56 first 60 seconds of continuous illumination under both low and high light conditions. Further implications of these findings for the regulation of photosynthesis are discussed. 57

58 Introduction

70

Photosynthesis relies upon many interconnected bioenergetic and biochemical processes. Within 59 the chloroplast thylakoid membrane, light energy is used to drive charge separation in the 60 61 photosynthetic reaction centres, photosystem I and II (PSI; PSII). These photochemical reactions, and the subsequent operation of the Q-cycle within cytochrome b_{6f} (cytb₆f), result in the movement 62 63 of electrons and protons across the span of the thylakoid membrane bilayer, generating an electrical potential ($\Delta \psi$) and a chemical gradient of protons (ΔpH) (Kramer et al., 2003; Malone et al., 2021). 64 This electrochemical gradient is known as the proton motive force (pmf) and is utilised by the 65 thylakoid ATP synthase to drive the endergonic synthesis of ATP in the chloroplast stroma (Nelson 66 and Junge, 2015). According to Mitchell's chemiosmotic theory, $\Delta \psi$ and ΔpH are 67 thermodynamically and kinetically equivalent components of the *pmf* (Mitchell, 1961; Hangarter 68 69 and Good, 1982) that can be expressed as follows:

$$pmf = \Delta \psi_{i-o} - \frac{2.3 \text{RT}}{\text{F}} \cdot \Delta \text{pH}_{o-i}$$

where $\Delta \psi_{i-0}$ is the electrical gradient (lumen-*minus*-stroma), R is the ideal gas constant, T is the temperature, F is the Faraday constant, and ΔpH_{0-i} is the proton gradient (stroma-*minus*-lumen).

73 In addition to its central role in cellular energy conservation, the $\Delta \psi$ and ΔpH components of the *pmf* also play important roles in the regulation of photosynthesis (Armbruster et al., 2017). 74 75 Increased ΔpH acts as the trigger for the major rapidly-reversible component of nonphotochemical quenching (known as 'qE'), which protects PSII from photooxidative damage (Ruban and Wilson, 76 77 2020) and for 'photosynthetic control', which protects PSI from overreduction in excess light by regulating the rate of plastoquinol (PQH₂) oxidation at the cytb₆f complex (Suorsa et al., 2013). 78 79 Increased $\Delta \psi$, in contrast, has been shown to *cause* photodamage in thylakoids by promoting charge recombination between the primary and secondary radical pairs in the PSII RC, chlorophyll triplet 80 81 formation, and thus generation of singlet oxygen (Bennoun, 1994; Davis et al., 2016, Davis et al., 2017). Consistent with these contrasting effects, a wide range of experimental approaches, including 82 microelectrodes, pH sensitive dyes, and radiolabelling, concluded that the vast majority of *pmf* in 83 84 chloroplasts is stored as ΔpH due to rapid compensatory counterion movements that dissipate $\Delta \psi$ (Dilley and Vernon, 1965; Bulychev et al., 1972; Rottenberg et al., 1972; Schuldiner et al., 1972; 85 Barber et al., 1974; Pick et al., 1974; Chow et al., 1976; Vredenberg and Bulychev, 1976; Slovacek 86 and Hind, 1981; Bulychev, 1984; Van Kooten et al., 1986; Remiš et al., 1986; Vredenberg, 1997). 87 The preference of chloroplasts for ΔpH was in contrast to the situation in mitochondria where *pmf* 88 is stored mainly as $\Delta \psi$, due to the low ion permeability of the mitochondrial inner membrane, with 89 a ΔpH contribution of only ~0.5 units, approximately 25% of the total mitochondrial *pmf* (Mitchell, 90

91 1961; Lambert and Brand, 2004; Mitchell, 2011; Wolf et al., 2019). These differences were
92 rationalised on the basis that since mitochondria utilise chemical reductants, such as NADH and
93 succinate, and consume oxygen through respiration, avoiding charge recombination is unnecessary.

94 The consensus view that the steady-state transthylakoid *pmf* consists primarily of ΔpH , built largely on work with isolated chloroplasts, was later challenged by the emergence of the 95 electrochromic shift (ECS) signal as an *in vivo* probe of the *pmf* in intact leaves (Kramer and 96 97 Sacksteder, 1998; Cruz et al., 2001; Kramer et al., 2003). The $\Delta \psi$ component induces an electrochromic band shift (ECS) in the Soret peak absorption of chlorophylls and carotenoids in the 98 99 thylakoid membrane (Witt, 1971; Witt, 1979; Vredenberg, 1997; Bailleul et al., 2010). This results in the formation of a transient absorption peak ~515 nm upon illumination of leaves (Witt, 1971). 100 Since a significant proportion of the ECS signal persisted during continuous illumination, Kramer 101 102 and co-workers suggested that *in vivo*, a larger fraction of *pmf* is stored as $\Delta \psi$ than was suggested by the earlier *in vitro* work (Kramer and Sacksteder, 1998; Cruz et al., 2001; Kramer et al., 2003). 103 Interestingly, they found that the parsing of the *pmf* between the $\Delta \psi$ and ΔpH , implied by the ECS 104 105 measurements, was affected by light intensity and CO₂ availability (Kanazawa and Kramer, 2002; 106 Takizawa et al., 2007). More recently, the generation of mutants deficient in thylakoid-associated ion channels involved in counterion movements, such as the Cl⁻ channel VCCN1 and the H⁺/K⁺ 107 108 antiporter KEA3, have highlighted the crucial importance of *pmf* composition to plant fitness (Carraretto et al., 2013; Armbruster et al., 2014; Duan et al., 2016; Herdean et al., 2016a; Herdean 109 110 et al., 2016b).

However, while the ECS signal has proven itself a useful probe of the *pmf* amplitude, proton 111 112 flux and conductivity in leaves, its suitability for probing *pmf* parsing has been questioned (Johnson and Ruban, 2014). The complicating issue is the congested nature of the spectral region where the 113 114 ECS absorption changes are observed. Overlapping light-driven absorption changes include those due to the de-epoxidation of violaxanthin to zeaxanthin, which produces a large positive band at 115 116 ~505 nm, hereafter $\triangle A505$ (Yamamoto et al., 1971; Bilger et al., 1989: Ruban et al, 1993) and the qE-related absorption changes \sim 525 – 540 nm, often called Δ A535 (Bilger and Björkman, 1990). 117 Of these, the qE-related absorption changes are the most problematic since they form and relax 118 relatively rapidly and are thus more difficult to distinguish from the ECS signal. Whilst the cyt f 119 redox changes occur on similarly rapid timescales, the related absorption peak is narrow and centred 120 121 at ~554 nm, with little-to-no overlap with the ECS, Δ 505, or Δ 535 (Nishio and Whitmarsh, 1993; 122 Metzger et al., 1997). Initially attributed to light scattering changes caused by altered thylakoid 123 structure provoked by ΔpH formation (Murakami and Packer, 1970b; Murakami and Packer, 1970a; 124 Duniec and Thorne, 1977), they were later shown by Resonance Raman spectroscopy to reflect an

125 absorption change in a sub-population of zeaxanthin, which required the presence of photosystem II subunit S (PsbS) (Ruban et al., 2002). Theoretical work later showed that $\Delta A535$ may arise from 126 127 zeaxanthin J-dimers formed at the interface of aggregated LHCII proteins in the qE state (Duffy et 128 al., 2010). Interestingly, when zeaxanthin formation is blocked by inhibitors or through the absence 129 of violaxanthin de-epoxidase (VDE), the qE-related absorption peak shifts from 535 nm to 520 -130 525 nm, increasing its overlap with the ECS signal (Crouchman et al., 2006; Johnson et al., 2009). 131 These observations led Johnson and Ruban (2014) to use the ECS method to assess the parsing of 132 pmf in the lut2npq1 mutant of Arabidopsis (Arabidopsis thaliana), which fails to synthesise zeaxanthin and is deficient in qE, removing much of the signal contamination from the ECS 133 134 absorbance window. The data demonstrated that components of the ECS signal could be separated by their differing temporal, $\Delta \psi$, and ΔpH dependence. In wild-type (WT) leaves, the 515 nm signal 135 136 shows a sharp rise as illumination commences before decaying to less than 50% of its initial amplitude over the next 30 s, this was then followed by a slower secondary rise which stabilised at 137 ~60 - 70% of the initial amplitude, and according to the ECS parsing method, is attributed to steady-138 state $\Delta \psi$. The secondary rise of the ECS signal was completely absent in *lut2npq1* and could be 139 eliminated using the H^+/K^+ antiporter nigericin, which collapses ΔpH . These observations led 140 141 Johnson and Ruban (2014) to propose that the steady-state $\Delta \psi$ in the WT was caused by the 142 overlapping qE-related absorption change.

In the following study, we widened our investigation into the origin of the steady-state ECS signal to include a range of Arabidopsis plants with altered xanthophyll cycle and PsbS content. Unlike our previous measurements, these data were obtained on the widely used Walz Dual-PAM device with the P515 emitter/detector modules (Klughammer et al., 2013). The results support the original view in the literature that the steady-state $\Delta \psi$ contribution to the *pmf in vivo* is negligible (< 10%), and that the secondary rise in the ECS signal reflects the contribution of the overlapping qE-related absorption changes.

150

151 **Results**

152 Characterisation of the electrochromic shift, xanthophyll cycle, and qE-related signals in wild-

153 type Arabidopsis leaves

154 According to the ECS parsing method (Kramer et al., 2003) the light-to-dark transients of 155 the 550 - 515 nm absorption difference signal provide information on the relative contributions of 156 the $\Delta \psi$ and ΔpH to the *pmf*. However, this section of the absorption spectrum is heavily congested 157 with light-induced absorption changes. Fig. 1A shows a selection of such changes. De-epoxidation 158 of violaxanthin to zeaxanthin causes the appearance of a large positive band at ~505 nm, whilst the 159 PsbS-dependent red-shift of a sub-population of zeaxanthin during qE causes an absorption peak at ~535 nm (Ruban et al., 2002; Johnson et al., 2009; Johnson and Ruban, 2009). The ECS-related 160 peak is formed within microseconds of illumination and has its peak at ~515 nm. The qE-related 161 peak forms in seconds to minutes depending on the pre-illumination history of the leaf and can vary 162 in magnitude and position, according to the xanthophyll content of the leaf, as shown in Fig. 1B 163 (Johnson et al., 2009). Whilst the WT peak appears at 534 nm, in the absence of zeaxanthin in the 164 npq1 mutant, this peak becomes blue-shifted, here shown to be at 523 nm. In the npq2 mutant, where 165 zeaxanthin is constitutively present, the qE peak becomes red-shifted relative to WT, with its peak 166 167 appearing at 538 nm. The PsbS-overexpressor, L17, possesses a much greater qE response, and this 168 is reflected in the larger magnitude of its qE-related peak at 532 nm.

169 Fig. 1C shows an expanded and annotated view of a light-to-dark transition in the ECS 170 signal. After the cessation of illumination, an initial sharp trough forms, which slowly relaxes (~30 171 s) to a pseudo-baseline in the dark. The total amplitude of the initial trough has been assumed to be 172 proportional to the total *pmf*, as here is termed ECSt (Klughammer et al., 2013). In WT leaves, the post relaxation pseudo-baseline is at a level between the maximal light signal and the minima of the 173 174 ECSt. According to the ECS parsing method, the difference between the pseudo-baseline and the 175 ECS_t will be representative of the total ΔpH and is hereafter termed ECS_{inv}, where the subscript 176 denotes a transient inverse $\Delta \psi$ generated when the continuing efflux of protons through the ATP is 177 not rapidly matched by the movement of other ions upon cessation of illumination (Cruz et al., 2001; Kramer et al., 2003). Finally, the difference between the pseudo dark baseline and the steady state 178 level of the ECS signal just prior to the cessation of illumination is attributed to the $\Delta \psi$ and is termed 179 ECSt-inv. 180

181 To investigate this further, WT Arabidopsis leaves were exposed to 8 steps of 3 min 182 illumination followed by 3 min of darkness at intensities of 71, 151, 308, 417, 548, 708, 1128, 1396 183 µmol photons m⁻² s⁻¹. Here, the ECS (ΔA 550 – 515 nm) and ΔA 535 signals can be measured in 184 parallel, as previously described (Klughammer et al., 2013). Fig. 2A shows a representative ECS 185 kinetic trace of the light titration. Under continuous light flux below the growth light intensity (i.e.

 $< 190 \mu$ mol photons m⁻² s⁻¹; the first two steps), the steady-state ECS signal rises to a maximum 186 187 level after ~60 s, before relaxing to a level above the subsequent pseudo-dark baseline (Fig. 2A; 188 Fig. S1). This kinetic behaviour is also observed in the $\Delta A535$ signal, shown in Fig. 2B. During 189 these initial two light stages, the ECSt reaches a level up to ~50% of its maxima, whilst the ECSinv and ECS_{t-inv} remain in approximately a 1:1 stoichiometry. At 71 µmol photons m⁻² s⁻¹, the ECS_{inv} 190 accounts for $67 \pm 13\%$ of the total ECS_t, whilst the ECS_{t-inv} accounts for $33 \pm 31\%$ (P > 0.05, 191 Student's *t*-test). At 158 μ mol photons m⁻² s⁻¹, the ECS_{inv} accounts for 61 ± 7% of the total ECS_t. 192 whilst the ECS_{t-inv} accounts for $39 \pm 8\%$ (P > 0.05, Student's *t*-test). According to the ECS parsing 193 method, this would imply an approximately equal partitioning of the *pmf* between ΔpH and $\Delta \psi$. At 194 308 μ mol photons m⁻² s⁻¹ and above, the Δ A535 signal ceases to relax in the light phase, as does the 195 steady-state ECS in the light, which shows a stark upward rise in the light. Between 308 and 548 196 μ mol photons m⁻² s⁻¹, the ECS_t also reaches its maxima. Again, here the ECS_{inv} and ECS_{t-inv} 197 components remain at similar levels (each ~50% of the maximum ECSt) with no significant 198 199 differences between the two (P > 0.05; Student's *t*-test). At light intensities of 708 μ mol photons m⁻ 2 s⁻¹ and higher, the ECS_t starts to decrease, with the minimum under high light being achieved at 200 1396 µmol photons m⁻² s⁻¹, with an ECS_t 84.9 \pm 1.7% of the maximum. Furthermore, as the light 201 202 intensity increases, the $\Delta A535$ signal continues to rise to a maximum level, 42.9% higher at 1396 μ mol photons m⁻² s⁻¹ than at 308 μ mol photons m⁻² s⁻¹. Under high light, the ECS_{inv} proportion 203 continues to rise with respect to the ECS_{t-inv}, as shown in Fig. 2C. However, it is worth noting that 204 even under 1396 μ mol photons m⁻² s⁻¹, the ECS_{t-inv} is still 29.3 ± 5% of the ECS_t, implying a 205 substantial $\Delta \psi$ contribution to *pmf* even under high light in the WT. 206

- It is worth noting the overall 'signal drift' of the ECS kinetics recorded on the WT leaves, with the overall ECS signal rising to a maximum at around 548 μ mol photons m⁻² s⁻¹, approximately half-way through the assay. This has been proposed to be due to the overlap of the relatively slowly forming Δ A505 signal (half time 6 - 8 minutes) with the ECS (Johnson et al., 2009; Klughammer et al., 2013; Wilson and Ruban, 2020).
- 212

Disentangling the impact of xanthophyll cycle activity on the electrochromic shift signal changes

To disentangle the impact of the xanthophyll cycle on the ECS signal, npq1, a mutant lacking violaxanthin de-epoxidase activity was measured. This mutant is unable to synthesise zeaxanthin, and lacks the corresponding $\Delta A505$ absorption increase (Niyogi et al., 1998; Johnson et al., 2009). Interestingly, the ECS and $\Delta A535$ signals for npq1 show sharp differences with respect to the WT (Fig 3A and B). Firstly, the ECS signal contains no general upward signal drift, confirming this feature is related to the $\Delta A505$ change. Furthermore, $\Delta A535$ absorption change in npq1 is greatly

221 diminished, consistent with the fact that in the absence of zeaxanthin the qE-related absorption 222 changes are smaller and now peak at 525 nm (Fig 1B) (Johnson et al., 2009; Ilioaia et al., 2011; 223 Johnson and Ruban, 2014). Under light intensities lower than the growth intensity (< 190 µmol photons $m^{-2} s^{-1}$), there is an initial sharp rise in the ECS signal, which, after ~60 s, decays to a level 224 225 slightly above the following dark pseudo-baseline, similar to WT (Fig. 3A; Fig. S1). However, in 226 *npq1*, there is little to no upward drift in the light or downward signal drift in the dark away from 227 the baseline. The similar levels and kinetics of the $\Delta A535$ signal between WT and *npq1* at these low light intensities, particularly at 71 μ mol photons m⁻² s⁻¹, suggests that signal drift is therefore likely 228 associated with zeaxanthin synthesis, and not the wavelength of the qE-related peak. At more 229 moderate light intensities $(308 - 548 \mu mol \text{ photons } \text{m}^{-2} \text{ s}^{-1})$, the ECS_t again reaches its maximum. 230 However, the balance between ECS_{inv} and ECS_{t-inv} differs from the observed behaviour in WT 231 leaves. After illumination at 548 μ mol photons m⁻² s⁻¹, the ECS_{t-inv} is ~50% higher than in WT leaves 232 (P < 0.01). Interestingly, there is a maintained offset of the npq1 ECS_{t-inv} of about 50% throughout 233 the rest of the light titration, relative to the WT ECS_{t-inv}. According to the ECS parsing method, this 234 would imply that in the absence of zeaxanthin, $\Delta \psi$ becomes the dominant component of the *pmf* 235 under light intensities > 308 μ mol photons m⁻² s⁻¹ in *npq1*. Alternatively, the blue shift of the qE-236 237 related peak to 525 nm and the lack of a $\Delta A505$ absorption change is responsible for the skewing 238 of the ECS_{inv} and ECS_{t-inv} kinetics relative to WT. It is interesting to note in *npq1* that the ECS_t 239 follows a nearly identical relationship to light intensity as in the WT, as shown in Fig. 3C. This is 240 in agreement with studies showing that absence of violaxanthin de-epoxidation in *npq1* has no effect on the total *pmf* amplitude or ΔpH relative to the WT (Crouchman et al., 2006; Johnson et al., 2012). 241 242 We next examined the npq2 mutant lacking the zeaxanthin epoxidase. Since npq2constitutively accumulates zeaxanthin during development in place of violaxanthin, it lacks the 243 244 light-induced $\Delta A505$ (Nivogi et al., 1998; Pérez-Bueno et al., 2008; Johnson et al., 2009). Consistent 245 with this the baseline of the ECS signal shows no upward drift during illumination cycles as seen in 246 the WT (Fig. 4A). The qE-related $\Delta A535$ remains in this mutant (Fig. 4B), though it is red-shifted, peaking at 540 nm (Fig. 1B; Johnson et al., 2009). If amplitude of the ECSt-inv signal is influenced 247 by the degree of overlap with the qE-related absorption change then it should be affected in this 248 mutant. In Fig. 4C, this effect is observed. At light intensities up to 151 µmol photons m⁻² s⁻¹, the 249 250 ECS signal forms a larger ECSt-inv component than the WT (Fig. 4A). Again similar to WT, the partitioning between the ECS_{inv} and ECS_{t-inv} is approximately 1:1 at 308 μ mol photons m⁻² s⁻¹ (P > 251 252 0.05). Interestingly, at the top three light intensities used (708, 1128, and 1396 μ mol photons m⁻² s⁻ ¹), the ECS_{inv} signal rises to a level where it now exceeds the ECS_t (Fig 4A & C). This effect can be 253 explained by increased positive contribution of the qE-related absorption change (Fig. 1B; ~540 nm 254 255 in *npq2*) to the 550 nm signal that is used for calculation of the ECS signal (ΔA 550 - 515 nm). The

result is that using the ECS parsing method, at light intensities $\geq 417 \,\mu$ mol photons m⁻² s⁻¹, virtually

all *pmf* in *npq2* is present as ΔpH (Fig. 4C).

258

259 **PsbS-mediated modulation of qE and its effect on the electrochromic shift signal**

260 The amplitude and kinetics of qE also depend on the levels of the PsbS protein, which interacts with 261 LHCII altering its ΔpH sensitivity by promoting its aggregation (Li et al., 2002; Crouchman et al., 262 2006) (Johnson and Ruban, 2011; Sacharz et al., 2017). The npg4 mutant which lacks PsbS, still 263 displays the $\Delta A505$ associated with zeaxanthin synthesis but lacks qE (Horton et al., 2000; Li et al., 2000). In line with this, we find the slow rise of the baseline of the ECS signal during illumination 264 is still present in *npq4* (Fig. 5A), though the $\Delta A535$ is greatly diminished at all light intensities 265 measured (Fig. 5B). In line with the virtual absence of the $\Delta A535$ signal, the ECS_{t-inv} in *npq4* is 266 smaller at light intensities ≥ 308 µmol photons m⁻² s⁻¹ compared to the WT (Fig 5A). According to 267 the ECS parsing method at 417 μ mol photons m⁻² s⁻¹, 73.61 ± 5% of the total maximum ECS_t is 268 present as ΔpH (ECS_{inv}) in *npq4*, versus just 50.43 ± 4% in the WT plants (P < 0.01). Indeed, at the 269 maximum light intensity tested here (1396 μ mol photons m⁻² s⁻¹), the ECS_{inv} reaches 94.13 ± 6% of 270 the ECS_t in *npq4*, compared to 70.67 \pm 3% in the WT (P < 0.01). 271

272 To further test our hypothesis that the ECS signal is polluted by the qE-related $\Delta A535$, we 273 investigated the PsbS-overexpressor plants, L17, which show a two-fold larger qE-response compared to the WT (Li et al., 2002; Crouchman et al., 2006). Increased qE in L17 should result in 274 275 a larger $\triangle A535$ signal and a corresponding increase in the extent of the overlap with the ECS signal. Consistent with this, ECS and $\Delta A535$ kinetics in L17 display stark differences compared to the WT 276 277 (Fig. 6A & B). In L17, the $\triangle A535$ signal is ~2.15 times that of the WT and ~10 times that of npq4 at 1396 μ mol photons m⁻² s⁻¹. The larger amplitude of the Δ A535 signal in *L17* results in a much 278 279 larger overlap with the ECS signal and therefore a much larger ECS_{t-inv} signal persists at the highest 280 light intensities used compared to the WT (Fig 6A & C). Therefore, according to the ECS parsing 281 method, $\Delta \psi$ (ECS_{t-inv}) in L17 comprises 77.0 ± 0.04% of the total *pmf* at 1396 µmol photons m⁻² s⁻¹ 282 (Fig 6C), the reverse of the situation described above for *npq4* (Fig 5C).

283

284 The nature of the electrochromic shift signal in the absence of PsbS and zeaxanthin

While the ECS_{t-inv} signal in *npq4* is lower than that observed in the WT under moderate and high illumination (\geq 308 µmol photons m⁻² s⁻¹; Fig. 5C), it is still not completely absent. One possibility is that the remaining signal reflects the gradual rise of the ECS baseline due to the Δ A505 associated with zeaxanthin synthesis. To test this idea further we investigated the *npq1npq4* double mutant that lacks both zeaxanthin synthesis and qE (Li et al., 2000). In the *npq1npq4* mutant, the ECS and Δ A535 kinetics (Fig. 7A & B) display very different behaviour to WT leaves. Up to 417 µmol

photons $m^{-2} s^{-1}$, there appears to be a small contribution (< 10%) of ECS_{t-inv} to the total ECS_t, which 291 may reflect the true contribution of $\Delta \psi$ to the total *pmf*, free from overlapping $\Delta A505$ and $\Delta A535$ 292 signals. However, as the ECSt signal reaches its maxima at 308 µmol photons m⁻² s⁻¹, the ECS_{inv} 293 accounts for 89.7 \pm 8.3 % of the total *pmf* rising to 100% above this intensity. These ECS kinetics 294 295 closely match those previously reported for the *lut2npq1* mutant that also lacks both $\Delta A505$ and 296 Δ A535 (Johnson and Ruban 2014). Therefore, in the absence of these overlapping signals the ECS 297 parsing method would conclude that the majority of the steady-state *pmf* is comprised of ΔpH at all 298 light intensities measured and that any $\Delta \psi$ contribution to the *pmf* is dissipated within 60 seconds.

299

300 Discussion

301 **Overlap, origin of absorption changes**

302 Over the past 20 years, much work has been focussed on trying to measure the amplitude, kinetics and parsing of the *pmf* non-invasively in leaves using the ECS signal peaking at 515 nm (Kramer et 303 304 al., 1999). This method has been widely adopted by the photosynthesis research community and has provided a useful tool for comparing the *pmf* phenotypes of a wide-range of photosynthetic mutants. 305 306 Nonetheless from the inception of the ECS method it was recognised that the overlapping absorption changes associated with qE (Δ A535) and zeaxanthin formation (Δ A505) could influence the ECS 307 308 signal. Since these absorption changes form and relax relatively slowly ($\Delta A505$, minutes timescale; 309 Δ A535, seconds to minutes timescale) they will have relatively little effect on parameters calculated 310 from the rapid (ms) light-to-dark transition changes in the ECS signal (e.g. proton conductivity (gH^+) , proton flux (vH^+) and total *pmf* (ECS_t). Indeed, the constancy of the total *pmf* across the 311 312 different mutants used in this study supports this view. However, it is worth noting that rapid fluxes 313 of ions on a ms timescale may affect the ECS signal and cause a potential underestimation of the 314 total *pmf*. In contrast, the parsing of ECS is calculated based on the relatively slower ECS signal changes occurring in the time following the first 60 s illumination or in the 60 s following cessation 315 316 of illumination where clearly the overlap presents more of an issue. Early work attributed the $\Delta A535$ 317 to selective light scattering, and thus Kramer and co-workers attempted to remove its contribution 318 through pre-scattering the incident light (Kramer and Sacksteder, 1998; Cruz et al., 2001). However, later work using resonance Raman spectroscopy showed that the $\Delta A535$ arose from a genuine 319 320 absorption change and hence this approach fails (Ruban et al., 2002; Duffy et al., 2010; Ilioaia et 321 al., 2011). Johnson and Ruban (2014) highlighted the potential extent of this overlap issue by 322 showing that any ECS_{t-inv} signal is missing from the *lut2npq1* mutant that lacks the $\Delta A505$ and 323 $\Delta A535$ changes. Since *lut2npq1* chloroplasts showed identical quenching of 9-aminoacridine (9AA) 324 fluorescence compared to the WT, this suggested that *pmf* is entirely composed of ΔpH and that the

ECS_{t-inv} signal arises from the overlap with the Δ A505 and Δ A535 in the WT. This idea was further corroborated by the fact that the ECS_{t-inv} signal in the WT could be abolished with an uncoupler. Nevertheless, perhaps because the Johnson and Ruban (2014) study was carried out using a mutant with quite divergent carotenoid composition compared to the WT, and only at a single high light intensity (700 µmol photons m⁻² s⁻¹) where the contribution of the $\Delta\psi$ to the *pmf* has been argued to be small (Klughammer et al., 2013), this work has been largely overlooked and the ECS parsing method has remained in widespread use.

In the current study, we lay bare the full extent of the overlap issue across the full range of 332 light intensities from low (72 μ mol photons m⁻² s⁻¹) to high (1396 μ mol photons m⁻² s⁻¹) using a 333 wide range of Arabidopsis plants with altered $\Delta A535$, $\Delta A505$, or both absorption changes. From 334 335 our data, it is apparent that the ECS_{t-inv} signal corresponds closely with the extent of $\Delta A535$. As 336 more and more zeaxanthin is synthesised as the light intensity increases, the qE-related signal shifts 337 from 523 nm towards 535 nm and hence the extent of the overlap with the ECS signal is reduced 338 (Fig 1B) (Johnson and Ruban, 2014). Consistent with this in *npq1*, which lacks zeaxanthin, the ECSt-339 inv remains large under high light intensities unlike in the WT since the qE-related signal remains 340 'stuck' at 523 nm (Fig. 1B) (Johnson et al., 2009). A similar situation is seen in the PsbS overexpressor L17, where zeaxanthin is present, but the greatly increased amplitude of the $\Delta A535$ 341 342 absorption change increases the extent of overlap with the ECS, resulting in a large ECSt-inv 343 contribution, particularly at high light. Application of the ECS parsing method to these mutants 344 would suggest a greatly enhanced $\Delta \psi$ and diminished ΔpH contribution to the total *pmf*. If true, such 345 a large $\Delta \psi$ would lead to significant photoinhibition of PSII through promotion of charge 346 recombination (Bennoun, 1994; Davis et al., 2016; Davis et al., 2017). Moreover, the extremely small ΔpH would preclude the formation of the large qE observed in L17 and the normal 347 photosynthetic control observed in both (Roach and Krieger-Liszkay, 2012; Tikkanen et al., 2015). 348 Indeed, previous studies have shown that the level of 9AA quenching and so ΔpH in isolated 349 chloroplasts of L17 is unchanged compared to the WT (Crouchman et al., 2006). Likewise, the 350 results from the *npq4* mutant highlight that when qE is inhibited by the absence of PsbS, the qE-351 352 related absorption changes are largely lost and then ECSt-inv contribution seen in the WT is 353 accordingly greatly diminished. The residual ECS_{t-inv} in npq4 can be largely attributed to the $\Delta A505$ 354 absorption change and its elimination in the npq1npq4 mutant allows us to see that pmf is 355 predominantly composed of ΔpH once the steady-state has been established via counter ion-356 movements in the 10 - 60 s that follow illumination. Once again, the ECS parsing method would suggest that ΔpH is enhanced in the *npq4* and *npq1npq4* compared to the WT, yet the photosynthetic 357 control phenotypes of these mutants confirm it is unchanged (Horton et al., 2000; Roach and 358 359 Krieger-Liszkay, 2012; Tikkanen et al., 2015). Our conclusion of a dominant ΔpH contribution to

360 *pmf* is in agreement with the recent theoretical model of Lyu and Lazár (2017), which suggested a 361 steady-state $\Delta \psi$ of just 14 mV, which is ~10 - 15% of the total *pmf* value required to drive ATP 362 synthesis given a H⁺/ATP of 4 - 4.67, as suggested by both functional (Steigmiller et al., 2008; 363 Petersen et al., 2012) and structural studies (Daum et al., 2010; Hahn et al., 2018). Furthermore, Lyu 364 and Lazár (2017) show that whilst high light intensities promote *pmf* storage as ΔpH , even under 365 low light intensities the contribution of $\Delta \psi$ remains small, again in agreement with the 366 measurements here on the *npq1npq4* mutant.

How might our conclusion that *pmf* is dominated by ΔpH in both low and high light be 367 reconciled with the work carried out in the last decade on thylakoid ion channels? To date, three 368 classes of thylakoid ion channels have been reported, the TPK3 K⁺ transporter (Carraretto et al., 369 370 2013), the VCCN1 Cl⁻ transporter (Duan et al., 2016; Herdean et al., 2016a; Herdean et al., 2016b) and the KEA3 H⁺/K⁺ antiporter (Armbruster et al., 2014). The partial absence of counterion channels 371 372 in the thylakoid would be anticipated to alter the WT situation, where ΔpH dominates, leaving a 373 larger $\Delta \psi$ that would diminish qE. Indeed, the vccn1 and tpk3 mutants show lower qE and an 374 increase in ECS_{t-inv}, while the extent of total *pmf* is similar (Carraretto et al., 2013; Herdean et al., 375 2016a; Herdean et al., 2016b). In contrast, overexpressors of VCCN1 (oeVCCN1) show a complete absence of ECS_{t-inv}, and therefore 100% ΔpH , which the authors used as an argument for the 376 377 existence of a steady-state $\Delta \psi$ component in the WT (Herdean et al., 2016b). However, the oeVCCN1 plants also showed an increase in the total *pmf* and zeaxanthin synthesis, both of which 378 379 reduce the overlap of qE-related absorption changes with the ECS as seen here for npq2, and in our 380 previous study (Johnson and Ruban, 2014). Plants lacking KEA3 (kea3) show slower recovery from 381 qE upon dark-to-low light or high light-to-low light transitions, and a corresponding penalty in terms of PSII efficiency and CO₂ fixation (Armbruster et al., 2014; Armbruster et al., 2016). ECSt-382 383 inv is decreased in *kea3* compared to the WT suggesting some steady-state $\Delta \psi$ in the latter. However, the lower ECS_{t-inv} could also be explained by reduced overlap between $\Delta A535$ and the ECS due to 384 increased zeaxanthin formation in kea3 (Armbruster et al., 2014; Armbruster et al., 2016). Upon 385 high-to-low transitions in light intensity, a sudden drop in proton-coupled electron transfer, but 386 continued H⁺ efflux through the ATPase leads to a transient inverse $\Delta \psi$ (Kramer et al., 2003). The 387 388 inverted field limits the rate of H⁺ efflux and therefore qE relaxation, thus, an electroneutral 389 antiporter, such as KEA3, would allow more rapid dissipation of *pmf* than would be possible by the 390 ATPase alone. The slower counterion movements would then subsequently restore the steady-state domination of ΔpH at a new lower level of *pmf*. To our knowledge, Arabidopsis mutants lacking 391 Ca²⁺/H⁺ or Mg²⁺/H⁺ antiporters are yet to be generated and characterised, despite evidence of both 392 393 being identified in the thylakoid membrane (Barber et al., 1974; Ettinger et al., 1999). In the future,

394 crossing the ion-channel mutants into the *npq1npq4* background has the potential to clarify their net 395 contributions to the dissipation of $\Delta \psi$ in the steady-state.

396 Domination of *pmf* in low and high light by the ΔpH and its apparent saturation at 308 µmol photons m⁻² s⁻¹ in the *npq1npq4* mutant raises a series of interesting issues for the regulation of 397 photosynthesis. If *pmf* is saturated at moderate light (between 308 and 548 µmol photons m⁻² s⁻¹) in 398 399 the WT (Fig. 2C), why then is qE (and $\Delta A535$) still seen to increase gradually up to the maximum 400 light intensity used of 1396 (Fig. 2B)? A similar early saturation of ΔpH formation is observed in 401 isolated chloroplasts using 9AA (Schuldiner et al., 1972; Oxborough and Horton, 1988; Ruban and 402 Horton, 1999; Evron and McCarty, 2000; Johnson and Ruban, 2011; Roach and Krieger-Liszkay, 2012; Johnson and Ruban, 2014; Yamamoto and Shikanai, 2020). This discrepancy can be explained 403 404 by the relatively slow synthesis of zeaxanthin, which shows a half-time of $\sim 6 - 8$ minutes under high illumination (Bilger et al., 1989; Johnson et al., 2009; Townsend et al., 2018; Wilson and 405 406 Ruban, 2020). Thus, despite the saturation of pmf at moderate light, qE continues to increase since 407 de-epoxidation of violaxanthin to zeaxanthin shifts the pKa of the qE response from ~5.0 to 6.0 408 (Horton et al., 1991; Horton et al., 2000; Ruban et al., 2012). This type of allosteric control is particularly crucial since it allows maximal rates of LET and qE to co-exist. Similar to qE, 409 measurements of photosynthetic control, using the proxy of P700⁺ accumulation, suggest it reaches 410 411 a maximum at high rather than moderate light intensities (Suorsa et al., 2013). However, there is evidence that photosynthetic control is also regulated by the redox state of the NADP⁺/NADPH 412 413 pool, with reducing conditions increasing pH sensitivity of the cytb₆ complex (Johnson, 2003; Hald 414 et al., 2008). Redox regulation may therefore work synergistically with ΔpH to regulate 415 photosynthetic control as the xanthophyll cycle regulates qE. Such complex regulation of photosynthesis is necessary because, otherwise, the excessively large ΔpH that would be required 416 417 to give the requisite downregulation of cytb₆f, and increase in qE, would lead to the inhibition of the oxygen-evolving complex of PSII (Krieger and Weis, 1993; Spetea et al., 1997; Zaharieva et al., 418 2011; Wilson and Ruban, 2019). 419

420 Conclusion

421 Our data show that the slow secondary rise of the ECS signal during illumination in the WT 422 is caused by the strongly overlapping absorption changes associated with zeaxanthin synthesis and 423 qE. In Arabidopsis mutants lacking an active xanthophyll cycle or qE activity, where Δ A505 and 424 Δ A535 signals are absent it is clear that the $\Delta \psi$ component of the *pmf* is dissipated almost completely 425 (< 10% contribution) within 60 s of illumination. The data here are therefore in agreement with the 426 wide range of existing experimental data in the literature derived from microelectrodes, pH sensitive 427 dyes, and radiolabelling experiments (Dilley and Vernon, 1965; Bulychev et al., 1972; Rottenberg

- 428 et al., 1972; Schuldiner et al., 1972; Barber et al., 1974; Pick et al., 1974; Chow et al., 1976;
- 429 Vredenberg and Bulychev, 1976; Slovacek and Hind, 1981; Bulychev, 1984; Van Kooten et al.,
- 430 1986; Remiš et al., 1986; Vredenberg, 1997) all of which show a dominant ΔpH contribution to *pmf*
- 431 in both low and high light.
- 432

433 Materials and methods

434 Plant growth conditions

435 Wild-type (WT) Arabidopsis (Arabidopsis thaliana) (Col-0), the violaxanthin de-epoxidase knockout (npq1; Niyogi et al., 1998), the PsbS knockout (npq4; Li et al., 2000), the PsbS 436 overexpressor (L17; Li et al., 2002), and the violaxanthin de-epoxidase and PsbS double-knockout 437 438 mutant (*npq1npq4*; Havaux and Niyogi, 1999) were used in this study. Seeds were sterilised in 50% (v/v) ethanol and 0.1% (v/v) Triton-X 100 and were stored for 48 h at 4 °C before being sown on a 439 6:6:1 ratio of Levington M3 compost, John Innes No. 3 soil, and Perlite (Scotts U.K., Ipswich, 440 U.K.). All measurements were carried out on 4-5-week-old plants, grown at 190 µmol photons m⁻² 441 s⁻¹ with a 10-hour photoperiod at 22 °C. Plants were grown in a Percival AR-75L3 plant growth 442 cabinet (Percival Scientific Inc., U.S.A.), equipped with Phillips MASTER TL-D Super 80 36 443 444 W/840 bulbs, which emit a cool white light (Koninklijke Philips N.V., Netherlands). Before each measurement, plants were dark adapted for 30 min. 445

446

447 Absorption measurements in leaves

448 Electrochromic shift and 535 nm absorption kinetics were measured in parallel on attached 449 leaves on a Walz DUAL-PAM-100 (Walz, Germany) and its P515/535 emitter-detector modules (Schreiber and Klughammer, 2008), with the measuring light set to a frequency of 1000 Hz. To 450 451 calibrate each measurement to account for varying leaf thickness and chlorophyll content, the ECS signal wavelengths ($\Delta A 550 - 515$ nm) were balanced using the inbuilt software and the ECS 452 453 kinetics from a single-turnover pulse. Leaves were illuminated for 3 min, followed by 3 min darkness over a total of 8 steps of increasing red actinic light ($\lambda = 635$ nm). The actinic light 454 intensities used were 0, 71, 151, 308, 417, 548, 708, 1128, 1396 µmol photons m⁻² s⁻¹. ECS_t, ECS_{inv}, 455 and ECS_{t-inv} were calculated as previously described (Sacksteder and Kramer, 2000; Klughammer 456 457 et al., 2013), and as shown in Fig. 1C.

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Absorption spectra in the 410-560 nm region were measured using a SLM DW2000 dual wavelength spectrophotometer (Olis Inc., U.S.A.), as previously described (Johnson et al., 2009). Whole Arabidopsis leaves were detached from plants dark-adapted for 30 min and the petioles wrapped in moist filter paper. The leaves were inserted into a 1 cm^2 transparent cuvette at 45° to the DW2000 measuring light path. An optic fiber, at 90° to the DW2000 measuring light, delivered red actinic light (700 µmol photons m⁻² s⁻¹) illuminating the leaf at 45°, and was defined using a Corning 2-58 filter. The photomultiplier was protected using a Corning 4-96 filter and an OCL1 Cyan T400-570 466 mirror. The instrument slit-width was 5 nm and the scan rate was 4 nm s⁻¹. The sample compartment 467 was water-cooled to maintain the leaf temperature at 22°C.

468

469 Accession numbers

The sequence data from this article can be found in The Arabidopsis Information Resource database
(https://www.arabidopsis.org/) under the following accession numbers: *npq1* (AT1G08550); *npq4/L17* (AT1G44575).

473

474 Supplemental Data

475 Supplemental Figure S1. Expanded view of low and high illumination effect on the ECS signal in
476 each plant line.

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481 Figure legends

- 482 Fig. 1 Difference spectra and the light-to-dark transition in the electrochromic shift signal.
- 483 (A) Difference spectra of qE (black) 5 min light-minus-5 min dark recovery; zeaxanthin synthesis
- 484 (red) dark adaptation-minus-5 min light; ECS (blue) 15 s light-minus-5 min dark recovery.
- 485 (B) qE spectra (5 min light-*minus*-5 min dark recovery) for a range of Arabidopsis transformants.
- 486 WT (black); *npq1* (blue); *npq2* (red); *L17* (grey).
- 487 (C) ECS kinetic signal ($\Delta A 550 515$ nm) measured on a WT Arabidopsis leaf. The magnitude of
- 488 the trough formed upon cessation of illumination is the ECS_t. The difference between the minima
- 489 of the ECSt and the steady-state signal in the dark is termed the ECS_{inv}. Thus, the difference between
- 490 ECS_t and ECS_{inv} is termed the ECS_{t-inv}.
- 491 ECS, electrochromic shift; AL, actinic light.
- 492 **Fig. 2 Electrochromic shift and 535 nm measurements on WT leaves.** (A) Representative ECS
- 493 (ΔA 550 515 nm) and (B) ΔA 535 nm kinetics. Each assay consisted of 8 steps of 3 min 494 illumination (white bars) and 3 min darkness (black bars). The illumination increased at each step
- 495 using 0, 71, 151, 308, 417, 548, 708, 1128, and 1396 µmol photons m⁻² s⁻¹. (C) ECS_t, ECS_{inv}, and
- 496 ECS_{t-inv} at each light intensity. Here, measurements are normalised to the maximum ECS_t. Error
- 497 bars represent SEM (n = 7). ECS, electrochromic shift.
- 498 Fig. 3 Electrochromic shift and 535 nm measurements on *npq1* leaves. (A) Representative ECS
- 499 ($\Delta A 550 515$ nm) and (B) $\Delta A 535$ nm kinetics. Each assay consisted of 8 steps of 3 min 500 illumination (white bars) and 3 min darkness (black bars). The illumination increased at each step 501 using 0, 71, 151, 308, 417, 548, 708, 1128, and 1396 µmol photons m⁻² s⁻¹. (C) ECS_t, ECS_{inv}, and 502 ECS_{t-inv} at each light intensity. Here, measurements are normalised to the maximum ECS_t. Error 503 bars represent SEM (*n* = 8). ECS, electrochromic shift.
- Fig. 4 Electrochromic shift and 535 nm measurements on *npq2* leaves. (A) Representative ECS (ΔA 550 – 515 nm) and (B) ΔA 535 nm kinetics. Each assay consisted of 8 steps of 3 min illumination (white bars) and 3 min darkness (black bars). The illumination increased at each step using 0, 71, 151, 308, 417, 548, 708, 1128, and 1396 µmol photons m⁻² s⁻¹. (C) ECS_t, ECS_{inv}, and ECS_{t-inv} at each light intensity. Here, measurements are normalised to the maximum ECS_t. Error bars represent SEM (*n* = 6). ECS, electrochromic shift.
- 510 Fig. 5 Electrochromic shift and 535 nm measurements on *npq4* leaves. (A) Representative ECS
- 511 (ΔA 550 515 nm) and (B) ΔA 535 nm kinetics. Each assay consisted of 8 steps of 3 min
- 512 illumination (white bars) and 3 min darkness (black bars). The illumination increased at each step
- 513 using 0, 71, 151, 308, 417, 548, 708, 1128, and 1396 µmol photons m⁻² s⁻¹. (C) ECSt, ECS_{inv}, and
- 514 ECS_{t-inv} at each light intensity. Here, measurements are normalised to the maximum ECS_t. Error
- 515 bars represent SEM (n = 8). ECS, electrochromic shift.

- 516 Fig. 6 Electrochromic shift and 535 nm measurements on L17 leaves. (A) Representative ECS 517 $(\Delta A 550 - 515 \text{ nm})$ and (B) $\Delta A 535 \text{ nm}$ kinetics. Each assay consisted of 8 steps of 3 min 518 illumination (white bars) and 3 min darkness (black bars). The illumination increased at each step using 0, 71, 151, 308, 417, 548, 708, 1128, and 1396 µmol photons m⁻² s⁻¹. (C) ECSt, ECSinv, and 519 ECSt-inv at each light intensity. Here, measurements are normalised to the maximum ECSt. Error 520 521 bars represent SEM (n = 8). ECS, electrochromic shift. Fig. 7 Electrochromic shift and 535 nm measurements on *npq1npq4* leaves. (A) Representative 522 ECS ($\Delta A 550 - 515$ nm) and (B) $\Delta A 535$ nm kinetics. Each assay consisted of 8 steps of 3 min 523 524 illumination (white bars) and 3 min darkness (black bars). The illumination increased at each step using 0, 71, 151, 308, 417, 548, 708, 1128, and 1396 µmol photons m⁻² s⁻¹. (C) ECS_t, ECS_{inv}, and 525
- 526 ECS_{t-inv} at each light intensity. Here, measurements are normalised to the maximum ECS_t. Error
- 527 bars represent SEM (n = 5).
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