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1 **ASTHMA-RELATED SUDDEN DEATH IN ATHLETES:**

2 **A RETROSPECTIVE ANALYSIS OF THE U.S. NCCSIR DATABASE (1982-2018)**

3 Oliver J. Price^{1,2} PhD, Kristen L. Kucera³ MSPH, PhD, Hannah M. Price³ BS, Jonathan A. Drezner⁴ MD,

4 Andrew Menzies-Gow⁵ PhD, FRCP, James H. Hull^{5,6} PhD, FRCP

5 ¹Clinical Exercise and Respiratory Physiology Research Group, Carnegie School of Sport, Leeds
6 Beckett University, Leeds, United Kingdom (UK); ²Leeds Institute of Medical Research at St. James's,
7 University of Leeds, Leeds, UK; ³National Center for Catastrophic Sport Injury Research in the
8 Department of Exercise and Sport Science at the University of North Carolina at Chapel Hill, United
9 States (US); ⁴Department of Family Medicine, Sports Medicine Section, University of Washington, US;
10 ⁵Department of Respiratory Medicine, Royal Brompton Hospital, London, UK; ⁶Institute of Sport,
11 Exercise and Health (ISEH), University College London (UCL), London, UK

12
13 **Corresponding author:**

14 Dr Oliver J. Price BSc (Hons.) MRes PhD FHEA

15 Clinical Exercise and Respiratory Physiology Research Group

16 Leeds Beckett University, Leeds, Headingley Campus, LS6 3QT, UK

17 tel: +44 (0)113 8123 532

18 e-mail: o.price@leedsbeckett.ac.uk

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22 To the Editor,

23 Asthma is the most common medical condition in athletes with a reported prevalence of
24 approximately 20% [1]. Over the past two decades, the impact of asthma on athletic performance has
25 been extensively studied [2], however, research focussing specifically on asthma-related death in
26 athletes is limited to one study; with Becker and colleagues reporting a series of sixty-one deaths over
27 a seven year period (1993-2000), either during or in close proximity with a sporting event [3]. We
28 therefore undertook this study to provide comprehensive insight into the incidence of asthma-related
29 mortality in competitive athletes.

30

31 This study was conducted as a longitudinal retrospective analysis of the United States (U.S.) National
32 Center for Catastrophic Sport Injury Research (NCCSIR) database between 1982-2018. The NCCSIR
33 evaluates catastrophic events including sudden death in U.S. youth, high school, collegiate, and
34 professional athletes and has previously been employed to identify and characterise sudden cardiac
35 death in athletes. Information concerning sudden death was obtained via autopsy reports and/or news
36 and media reports. Fatal asthma-related cases were identified using two methods; firstly, asthma as
37 primary diagnosis and/or cause of death, and secondly, "asthma" appearing in database text fields and
38 subsequent review. To identify susceptible cohorts and highlight potential risk factors, athlete age,
39 sex, sporting discipline/event, athletic standard, date of death and cause of death were examined. A
40 systematic evaluation of prior studies reporting asthma-related deaths was conducted to consolidate
41 findings. Data are reported descriptively and presented as absolute and percentage of total deaths. A
42 data use and distribution agreement were granted by the NCCSIR and the study was approved by the
43 local research ethics committee (ethics ID: 50286).

44

45 In total, one thousand two hundred and ninety-seven cases of sudden death were identified over the
46 thirty-six-year study period. Of these, asthma was the sixth most identified cause of sudden death,
47 with twenty-seven cases (2.1%). Twenty cases (74.1%) occurred during sporting activity (practice: n =
48 14; 51.9% or competition: n = 6; 22.2%), four cases (14.8%) during non-athletic activity (i.e., fatal event
49 occurred outside sporting activity or vigorous physical exertion) and three cases (11.1%) remained
50 unclassified. Asthma-related deaths occurred most frequently in male athletes (n = 25; 96%) (age
51 range: 12-22 years) regularly participating in high-intensity intermittent-based sports: American
52 football (74.1%); basketball (7.4%); soccer (3.7%), swimming (3.7%), wrestling (3.7%), volleyball (3.7%)
53 and cheerleading (3.7%). Other causes of sudden death included seven-hundred and sixty-five (59%)
54 attributed to a cardiac aetiology; one hundred and ninety-nine (15.3%) to catastrophic traumatic brain
55 injury; ninety-one (7%) to heat stroke; forty-four (3.4%) to other traumatic injury; thirty-two (2.5%) to
56 commotio cordis; twenty-two (1.7%) to cervical spine injury, and 9% to all other causes. Of note, an
57 additional ten cases (male: n = 6) (age range: 15-18 years) of sudden death attributed to a cardiac
58 aetiology (primary cause listed) also had a confirmed asthma diagnosis: American football (n = 2);
59 basketball (n = 3); soccer (n = 3); swimming and other (n = 1), respectively. Overall, thirty-seven
60 asthma-related cases (2.9%) were identified via autopsy reports: n = 13; media reports: n = 34; autopsy
61 + media report: n = 12.

62
63 This longitudinal analysis of a national database revealed that asthma is the sixth most common cause
64 of death in young competitive athletes. Although comparatively rare when compared with cardiac
65 aetiologies, asthma still accounted for approximately one death per year over almost four decades of
66 study. This finding highlights the need for on-going work to understand the factors underpinning
67 asthma mortality in young athletes, but at the same time, should act to provide data to allow clinicians
68 to have a balanced and informed discussion with asthma patients regarding the low risk of undertaking
69 exercise.

70 It is now widely recognised that regular exercise plays an important role in asthma management [4].
71 Despite this, some individuals with asthma may be actively discouraged from participating in sport
72 because of a perceived risk of serious adverse events. Indeed, most scientific publications reviewing
73 asthma in athletes cite a recognised morbidity and mortality in any introductory text. Our data aligns
74 with prior data in the sport-related literature [3, 5-10] (Table 1), indicating that sudden death
75 associated with asthma is uncommon (range of total deaths: 0.8-4.9%). It is also consistent with prior
76 retrospective case analyses, such as the UK National Review of Asthma Deaths (NRAD). Specifically,
77 one hundred and ninety-five asthma-related deaths were identified over a one-year period [11], yet
78 none were associated with sporting activity or vigorous physical exertion (personal communication
79 with Dr Mark Levy - Clinical Lead for NRAD 2011-2014).

80

81 On this basis, it would be scientifically unjustified to amplify the risk of asthma-related complications
82 to discourage sporting engagement. Irrespective, any death in a young otherwise healthy individual is
83 tragic and thus moving forward there is a need to prospectively record and interrogate events in a
84 more robust way, to help determine pathophysiological mechanism(s) and identify factors that might
85 mitigate risk (e.g., measurement of inflammatory patterns to determine whether treatment strategies
86 are in line with modern Global Initiative for Asthma Management (GINA) recommendations etc.) [12].
87 Our ability to draw robust conclusions in this respect and evaluate temporal change is confounded by
88 the small number of events, however we found that cases of asthma-related death occurred most
89 frequently within the past ten years in association with sporting events characterised by vigorous
90 intensity with repeated sprint activity, notably in male adolescent or young adults. That being said, the
91 incidence of asthma-related death according to sporting discipline may be impacted by the popularity
92 and frequency of participation in certain countries. For example, the number of young athletes
93 participating in American football and basketball is significantly greater in the US in comparison to

94 mainland Europe, whereas epidemiological studies conducted over the past decade consistently
95 report a higher prevalence of asthma in winter and pool-based athletes [13]. A further potential
96 limitation of our analysis is the paucity of accessible details regarding treatment, prior severity of
97 disease and other clinical characteristics (e.g., markers of airway inflammation). We were also unable
98 to interrogate or re-analyse pathological findings and for the most part reliant on the attending
99 pathologist statement.

100

101 In summary, asthma remains a rare but important cause of sudden death in young athletes. Over the
102 study period, approximately one athlete with asthma died per year (amongst an estimated 8-million
103 high school and college athletes), thus highlighting that with appropriate medical management, sports
104 participation for people with asthma is generally safe and associated with a low risk of serious adverse
105 outcome. Further prospective evaluation remains a priority to improve our understanding of
106 associated risk factors and to prevent future fatalities.

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114 Association, the National Operating Committee on Standards for Athletic Equipment, and the
115 American Medical Society for Sports Medicine.

116

117 **Competing interests**

118 AMG reports grants and personal fees from Astra Zeneca, personal fees and other from Teva, personal
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121 interest in respect of this manuscript.

122

123 **Contribution statement**

124 Conception and design: OP, KK, JD, JH; analysis and interpretation: OP, JH; drafting the manuscript for
125 important intellectual content: OP, KK, HP, JD, AMG, JH.

126

127 **Guarantor statement**

128 OP and JH confirm full responsibility for the content of the manuscript.

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Table 1. Studies reporting asthma-related deaths in athletes.

First author (ref)	Year	Method and study population	Key findings
Van Camp et al. [5]	1995	Analysis of non-traumatic deaths in high school and college athletes obtained by NCCSIR between 1983-1993	<ul style="list-style-type: none"> Total cases of sudden death (n = 160) (n = 136 with adequate information available to review death); cardiovascular aetiology (n = 99; 73%); non-cardiovascular (n = 29; 21.3%); cardiovascular + non-cardiovascular (n = 1; 0.7%); undetermined cause (n = 7; 5%); asthma: n = 4; 2.9%
Maron et al. [6]	1996	Analysis of clinical information and circumstances associated with sudden death in US athletes between 1985-1995	<ul style="list-style-type: none"> Total cases of sudden death (n = 158); cardiovascular aetiology (n = 134; 85%); non-cardiovascular (n = 24; 15%); asthma: n = 3; 1.9%
Becker et al. [3]	2004	Analysis of a US news release service and autopsy reports to identify subjects who had died during or immediately after a sporting or athletic event between 1993-2000	<ul style="list-style-type: none"> Total cases of asthma-related death (n = 61) Age: n = 49/61 (81%) of the cohort were younger than 21 years Sex: n = 42/61 (69%) of the cohort were male Race: white deaths n = 39 (64%); black deaths n = 20 (33%); others n = 2 (3%) Standard: n = 35/61 (57%) competitive athletes; n = 26/61 (43%) recreational athletes Event: n = 18/35 (51%) competitive athletes had a fatal event during sport Sport: basketball (21%) and track events (12%) most common activities associated with asthma-related death
Maron et al. [7]	2009	Analysis of the US National Registry of Sudden Death in Athletes between 1980-2006	<ul style="list-style-type: none"> Total cases of sudden death (n = 1866); cardiovascular aetiology (n = 1049; 56%); non-cardiovascular (n = 817; 44%); asthma: n = 15; 0.8%
Boden et al. [8]	2013	Analysis of football fatalities reported to the NCCSIR between 1990-2010	<ul style="list-style-type: none"> Total cases of sudden death (n = 243); cardiovascular aetiology (n = 100; 41%); non-cardiovascular (n = 143; 59%); asthma: n = 7; 2.9%
Maron et al. [9]	2016	Analysis of the US National Registry of Sudden Death in Athletes between 1980-2011	<ul style="list-style-type: none"> Total cases of sudden death (n = 2406); unknown cause (n = 214; 9%); cardiovascular aetiology (n = 842; 35%); collapse without diagnosis (n = 464; 19%); non-cardiovascular (n = 886; 37%); asthma: n = 20; 0.8%
Boden et al. [10]	2020	Analysis of non-traumatic fatalities in football players using the National Registry of Catastrophic Sports Injuries (NRCSI) between 1998-2018	<ul style="list-style-type: none"> Total cases of sudden death (n = 191) (n = 182 included for comprehensive review); cardiovascular aetiology (n = 105; 57.7%); non-cardiovascular (n = 77; 42.3%); asthma: n = 9; 4.9%
*Price et al.	2020	Analysis of NCCSIR database between 1982-2018	<ul style="list-style-type: none"> Total cases of sudden death (n = 1297); cardiovascular aetiology (n = 765; 59%); non-cardiovascular (n = 532; 41%); asthma: n = 27; 2.1%