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1 **Title: CT Morphometric analysis of Medial Tibial condyles:**  
2 **Are the currently available designs of Unicompartmental**  
3 **Knee Arthroplasty suitable for Indian knees?**

4

5 **Background**

6 The main purpose of this study is to assess the compatibility of medial tibial condyle (MTC)  
7 morphometry of Indian population with that of six contemporary UKA prostheses tibial  
8 components. We hypothesized that from the currently available UKA designs at least one  
9 would fit the MTC morphometry optimally as per the manufacturer's recommendation.

10 **Methods**

11 We used CT morphometric data of 100 (66 males and 34 females) consecutive nonarthritic  
12 adult knees with reference to the MTC to assess the compatibility of currently available (in  
13 India) UKA prostheses. Each MTC was measured the anteroposterior dimension,  
14 mediolateral at pre-defined points and the MTC aspect ratio calculated. Proportion of knees  
15 which could be optimally fitted with the existing UKA tibial components was calculated.

16 **Results**

17 The mean age was 39.6 (SD: 15.9) years. Anteroposterior and mediolateral dimensions in  
18 males were higher as compared to females ( $p < 0.001$ ). As the anteroposterior dimension  
19 increased, the MTC aspect ratio decreased. There was asymmetry of anteroposterior halves  
20 with maximum mediolateral width being posterior to the central mediolateral width by 5.5

21 (SD: 2.8) mm. Optimal anteroposterior fit ranged from 66% to 93%. However, optimal  
22 mediolateral fit as well, ranged from 5% to 37% with underhang present in 17% to 61% and  
23 >2 mm medial overhang present in 0% to 35% cases. In 23% of cases not a single implant  
24 could be fitted optimally.

## 25 **Conclusion**

26 Currently available UKA implants do not provide optimal tibial fit in nearly 25% of Indian  
27 patients. A surgeon needs to be aware of these limitations of existing implants when  
28 considering UKA.

29

30 **Keywords:** unicompartmental knee arthroplasty, medial tibial condyle, CT morphometry,  
31 Indian knees, implant size and shape mismatch.

32

## 33 **1. INTRODUCTION**

34 For optimal UKA results, selection of an appropriately sized tibial component is essential.

35 Majority of the complications post-UKA are related to the tibia due to a) faulty surgical  
36 technique leading to valgus subsidence with increased posterior slope [1], overhang  
37 causing soft tissue irritation and pain whereas underhang leading to loosening with  
38 subsidence [2], tibial plateau fracture secondary to anything that weakens or overloads the  
39 proximal tibia [3] or b) suboptimal component fit leading to similar issues of pain, fracture,  
40 loosening and subsidence [4,5]. Indeed, the reported variability in the clinical outcomes  
41 and implant survival with UKA [6,7] is higher than that with TKA. This has contributed to  
42 UKA usage being restricted to around 10% [6,7] although UKA can be used in up to 50% of

43 cases presenting with end-stage symptomatic osteoarthritis [8]. Optimal coverage of  
44 cortical bone in particular the tibia is relevant especially in UKA cases. This provides  
45 adequate support and reduces the risk of implant subsidence.

46 Various studies across the globe have uncovered the differences in morphologic features of  
47 the knee among patients of different races [9,10,11], between male: female gender [12],  
48 between medial: lateral condyles [13] and between anatomic: non-anatomic tibial  
49 component designs [14] in context of different designs of total knee arthroplasty  
50 prostheses. Studies have also been conducted in Indian subjects [15,16] to draw attention  
51 to the differences between their morphometry and the resultant mismatch with  
52 contemporary TKA prostheses designs. However, studies with respect to UKA prostheses  
53 designs are lacking with reference to the tibial components [17].

54 The primary objective of this study is to assess the compatibility of medial tibial condylar  
55 morphometry of Indian population with that of six contemporary UKA prostheses tibial  
56 components. We aimed to answer these following questions: 1. What percentage of knees  
57 had at least one implant which could fit optimally? 2. Was there a difference in the  
58 percentage of optimal fit cases in men vs. women?

59

## 60 **2. METHODS**

61 Institutional ethics committee (IRB) approval for study protocol and waiver of informed  
62 consent was taken (Project no. EC/173/2018). We studied computed tomography (CT) data  
63 of 100 skeletally mature Indian knees. These patients had undergone CT scan of their knee  
64 for various clinical indications excluding pathologies which could alter the morphometry of

65 Medial tibial condyle i.e. fractures, neoplasia, congenital anomalies, old physeal injuries,  
66 arthritis. The medial tibial condyle dimensions were measured by a single surgeon using  
67 RadiAnt™ DICOM (**Digital Imaging and Communications in Medicine**) viewer software for  
68 Windows (Version - 5.5.0, Poznan, Poland).

69

### 70 **2.1. Steps for morphometric measurements of the medial tibial condyle:**

71 In coronal plane, an axis was drawn on the tibial plateau which was equidistant from  
72 medial and lateral epicondyles of femur (with reference to femoral epicondylar axis)[Fig  
73 No. 1]. In sagittal plane, an axis was drawn which was 6 mm below the medial tibial plateau  
74 and 90 degrees to the long axis of tibia. Another axis was drawn which was 7 degrees  
75 posterior to the above mentioned axis, mimicking the conservative resection of tibia for  
76 doing UKA [Fig No. 2]. These simulated cuts were chosen as per the manufacturers'  
77 recommendations to accommodate minimum thickness of polyethylene bearing. The axial  
78 section obtained through above mentioned planes was used for further measurements of  
79 different dimensions [Fig No. 3]. A line drawn in the plane which was equidistant from  
80 medial and lateral epicondyles of femur over the tibia, and this was designated as ML. A  
81 bisector line was drawn to the line ML, this was considered as Y. In an attempt to align the  
82 tibial component with the femoral mechanical axis, a line X was drawn medially and  
83 subtending an angle of 6 degrees anteriorly to the line Y [18]. In a bid to prevent damaging  
84 the ACL footprint on tibia, a line AP was drawn side by side to line X medially with 3 mm  
85 apart from each other. The AP line was divided into four equal parts and perpendicular  
86 lines were drawn from the points dividing AP line anteroposteriorly into  $\frac{1}{4}$ th and  $\frac{3}{4}$ th,  $\frac{1}{2}$   
87 and  $\frac{1}{2}$ ,  $\frac{3}{4}$ th and  $\frac{1}{4}$ th, these lines were designated as D(25%), B(50%) and C(75%)  
88 respectively. The line A measures the widest dimension of the medial tibial condyle and ab

89 is the distance between the lines A and B. It was also recorded if line A is anterior or  
90 posterior to line B [Fig No. 4]. The medial tibial condyle aspect ratio was computed from  
91 the formula  $A/AP \times 100$ . [19]

92 Different sizes of the currently available (In Indian market) UKA tibial component are as  
93 shown in Table 1 (in cm).

94 Optimal fit is defined as Anterior fit: Flush or <3 mm overhang, Posterior fit: Flush or <2  
95 mm overhang, Medial fit: Flush or  $\leq 2$  mm and Lateral fit – Flush, no gap [20].

96 Statistical analysis was performed using Student's Independent *t*-test and Pearson's  
97 correlation by using SPSS software for Windows (Version 20.0, SPSS, Chicago, IL, USA). A *p*-  
98 value of <0.05 was considered significant. Pearson's correlation coefficient was  
99 represented as *r*.

100

### 101 **3. RESULTS**

102 The mean age of the cohort was 39.6 (SD: 15.9, range: 20 to 70 years) and included 66 male  
103 and 34 female subjects. Average mediolateral (A) and Anteroposterior (AP) dimensions  
104 were significantly higher among males when compared with females ( $p < 0.001$ ) [Table 2].

105

#### 106 **3.1. Mediolateral dimensions [A, B(50%), C(75%) and D(25%)]**

107 The line A was posterior to the line B (50%) in all the study subjects irrespective of gender.

108 The average distance between line A and B, i.e *ab* was  $5.5 \pm 2.6$  mm and  $5.5 \pm 3.0$  in males  
109 and females, respectively [Table 2].

110

111 **3.2. Comparison of the optimal anteroposterior and mediolateral fit of UKA tibial**  
112 **components.**

113 With the contemporary UKA tibial components, optimal anteroposterior fit (Anterior fit –  
114 Flush or <3 mm overhang, Posterior fit – Flush or <2 mm overhang) ranged from 66% (Link  
115 Sled prosthesis – Metal backed) to 93% (Stryker Triathlon). Among those with optimal  
116 anteroposterior fit, those with optimal mediolateral fit (Medial fit -- Flush to  $\leq 2$  mm  
117 overhang, Lateral fit – Flush, no gap) ranged from 5% (Link Sled prosthesis – Metal backed)  
118 to 37% (Zimmer Biomet Oxford). Overall, out of 100 knees, only 77 knees could have at  
119 least one implant which could provide an optimal anteroposterior and mediolateral fit. The  
120 underhang was estimated to be from 17% (Biomet Oxford) to 61% (Link Sled prosthesis –  
121 All poly) and >2 mm medial overhang ranged from 0 (Link Sled prosthesis – All poly) to 35%  
122 (Zimmer Biomet Oxford) [Fig No. 5,6].

123 The optimal fit (both AP and ML), in males ranged from 7.6% (Link Sled – Metal back) to  
124 34.8% (Zimmer Biomet Oxford) whereas in females ranged from 0% (Link Sled – Metal  
125 back) to 55.9% (Smith and Nephew Journey) [Fig No. 7].

126

127 **3.3. Comparison of the medial tibial condyle aspect ratio ( $A/AP \times 100$  in %) of**  
128 **morphometric data with that of UKA tibial components.**

129 Although there was positive correlation between anteroposterior and mediolateral  
130 dimensions [Fig No. 8,9], we found that the morphometric data showed a progressive  
131 decline in the medial tibial condyle aspect ratio ( $A/AP \times 100$ ) as the AP dimension increased  
132 in all the study subjects.

133

134 **4. DISCUSSION**

135 This study highlights the limitations in optimal tibial component sizes for UKA when used in  
136 Indian patients. Not a single implant could have been used with optimal fit in around one in  
137 four cases. In addition, out of the six implants studied, on an average no more than two  
138 implants could fit optimally for a patient when the optimal fit was possible.

139 The long-term survival results of UKA are encouraging [21] with designer surgeons reporting  
140 98% survival at 10 years [22,23]. This procedure also provides quicker functional recovery,  
141 an improved range of motion, and is more cost-effective than TKA [24]. The success of UKA  
142 rely on the surgical technique, the post-operative physiotherapy and the design of  
143 prosthesis [25,26]. The match between resected surface of tibia and the tibial component is  
144 crucial. In TKA, if there is a smaller size component on tibial side, there will be inadequate  
145 support by the cortical rim and the implant can subside and loosen [27]. If it is too large, the  
146 overhang will cause soft tissue irritation and pain. The amount of cortical rim support in UKA  
147 is less than half of that available for TKA. Matching the shape and size of the implant to the  
148 resected surface is crucial especially in UKA to ensure optimal load transfer and this is  
149 particularly the case for tibial implant as majority of mechanical complications with UKA are  
150 tibia related. Although one can ascertain the best size that can fit a resected tibial plateau  
151 by using tibial baseplate templates intra-operatively, by that time the surgeon has  
152 committed to using a particular company's implant for that particular case. It is difficult to  
153 intra-operatively change to use of another company's implant. Preoperative CT scans are  
154 not routinely performed in patients undergoing UKA. It is therefore difficult if not impossible  
155 to predict actual tibial size and shape at the site of desired resection without the aid of a  
156 cross-sectional imaging and this can lead to intra- and/or post-operative complications.



157

158 Most of the UKA implants are designed based on anthropometric measurements of  
159 Caucasian population. As compared with the western population, Indians have smaller built  
160 and shorter stature [15]. There is lack of literature on the fit of different designs of the tibial  
161 component for UKA, based on the morphometry of medial tibial condyle in the Indian  
162 population. The shape of the components is as critical as the anteroposterior and  
163 mediolateral dimensions to match the resected surface. The tibial component rotation was  
164 matched to that of femur component by using epicondylar axis of femur as reference while  
165 measuring the length of mediolateral dimension [28]. The assessment of shape of medial  
166 tibial condyle was done by measuring mediolateral dimensions at four different points as  
167 described by Surendran S et al. [18].

168

169 The widest part in mediolateral plane was present in the posterior half of the medial tibial  
170 condyle and the mediolateral width measured in posterior half was more than the one  
171 measured in anterior half of the medial tibial condyle in all the study subjects. This supports  
172 the hypothesis by Surendran S et al [18] that long hours of flexion attitude of knees during  
173 various activities of daily routine might create more stress on posterior half of the condyles.  
174 This higher stress, as per Wolff's law stimulates hypertrophy in mediolateral dimension in  
175 the posterior half of tibial condyles in Indian population. This suggests asymmetry in the  
176 anterior and posterior halves of the medial tibial condyles. Hence the design having an  
177 antero-posterior asymmetry with the widest mediolateral width present in the posterior  
178 half of the tibial component is suitable for our population.

179 Our results are similar to studies carried out in other non-Caucasian populations. Cheng et al  
180 assessed the tibial fit in Chinese population for five different UKA implant designs [29]. The  
181 authors analyzed 3D-CT of 172 normal knees obtained from 94 males and 78 females. They  
182 concluded that the majority of the prostheses currently employed in China showed a  
183 tendency towards over sizing in the widest dimension of the tibia. Surendran S et al  
184 conducted a similar study in the Korean population [18]. They assessed 50 male and 50  
185 female Korean 200 cadaveric knees using 3D-CT and examined tibial fit for 5 different UKA  
186 designs. The authors noticed the tendency towards mediolateral overhang. Another author  
187 Koh et al, assessed the misfit of existing UKA designs in Korean population [30]. The author  
188 concluded that frequency of having smaller medial tibial condylar dimensions were more in  
189 women than in men and there was mediolateral overhang in three out of five prostheses in  
190 the medial tibial condyles, leading to a mediolateral overhang when trying to optimize the  
191 AP coverage. A decrease in the medial tibial condyle aspect ratio with an increasing AP  
192 dimension was found for both the male and female population. Lastly Küçükdurmaz et al  
193 assessed knee MRIs of 260 Turkish patients (150 women and 110 men) to establish the fit  
194 for four different UKA designs [31]. The authors concluded that there are significant  
195 differences between the anthropometric measurements of Turkish tibiae when compared  
196 with Western population. All these above mentioned studies used tibial resection level  
197 same as used in the current study (6 mm below the upper MTC) and reached similar  
198 conclusions to the current study in Indian population.

199 The strengths of this study include use of CT scan data for precision, making sure that soft  
200 tissues didn't interfere in measurements. None of the patients suffered from knee arthritis  
201 or any other pathology which could potentially have affected the size and shape of proximal  
202 tibia. The limitations of our study included smaller sample size, height of the patient was not

203 recorded so the correlation of height and morphometry of MTC couldn't be opined,  
204 measurement of MTC morphometry only at one level (6 mm below articular surface) and  
205 using guidance from one manufacturer (Zimmer Biomet) only to define radiological criteria  
206 for optimal fit [20]. No other manufacturer guidance to define radiological criteria for  
207 optimal fit of that particular prostheses design was available in the public domain. We  
208 measured the dimensions of the medial tibial condyle at 6 mm below the articular surface  
209 with 7° posterior slope. This is a conservative tibial resection and therefore is likely to be the  
210 best-case scenario. If indeed, the tibial cut is more distal, the bone shape and dimensions  
211 will vary further and make it more difficult to fit even the smallest tibial component without  
212 a risk of posterior cortical blow out or significant anterior and/or medial overhang. Further  
213 studies are recommended to analyze morphometric data at different levels of cutting  
214 thickness and angles of slope.

215

## 216 **5. CONCLUSIONS**

217 Currently available UKA implants do not provide optimal tibial fit in nearly 25% of Indian  
218 patients. A surgeon needs to be aware of these limitations of existing implants when  
219 considering UKA.

220

## 221 **6. DECLARATIONS**

222 **6.1. Funding:** No funds, grants, or other support was received.

223 **6.2. Conflict of interest:** Author C reports grants and personal fees from Zimmer  
224 Biomet , personal fees from Smith and Nephew, grants and personal fees from  
225 Depuy Synthes, personal fees from Medacta International, personal fees from

226 Meril Life, grants from Invibio, grants and personal fees from GSK, personal fees  
227 from JRI , outside the submitted work.

228 **6.3. Availability of data and material:** The data generated during and analyzed  
229 during the current study are available from the corresponding author on  
230 request.

231

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324

## 325 **8. Figure legends**

326 **Fig No. 1:** Coronal CT showing axis parallel and collinear to the clinical  
327 epicondylar axis of femur mediolaterally.



328 **Fig No. 2:** Sagittal CT showing axis passing through upper tibial cut of 6 mm  
329 thickness, perpendicular to the mechanical axis of the tibia with 7° posterior  
330 slope.

331 **Fig No. 3:** Axial section obtained for measurements of different dimensions.

332 **Fig No. 4:** Anteroposterior and mediolateral dimensions at well-defined points

333 **Fig No. 5:** Chart comparing optimal anteroposterior fit or no fit (in  
334 percentage) of UKA tibial components with respect to morphometric data.

335 **Fig No. 6:** Chart comparing optimal anteroposterior fit and optimal  
336 mediolateral fit, underhang or >2 mm overhang (in percentage) of UKA tibial  
337 components with respect to morphometric data.

338 **Fig No. 7:** Chart comparing the Optimal fit (both anteroposteriorly and  
339 mediolaterally) of UKA tibial components in male and female subjects.

340 **Fig No. 8:** Scatter plot of anteroposterior against mediolateral dimensions  
341 (in cm) of male subjects. Coefficient of correlation is 0.72 ( $r > 0.7$ ),  
342 suggestive of High positive correlation between the two dimensions.

343 **Fig No. 9:** Scatter plot of anteroposterior against mediolateral dimensions  
344 (in cm) of female subjects. Coefficient of correlation is 0.57 ( $r > 0.5$ ),  
345 suggestive of Moderate positive correlation between the two dimensions.