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Danneels, Bram, Viruel, Juan, Mcgrath, Krista et al. (4 more authors) (2021) Patterns of transmission and horizontal gene transfer in the Dioscorea sansibarensis leaf symbiosis revealed by whole-genome sequencing. Current Biology. pp. 2666-2673. ISSN: 0960-9822

https://doi.org/10.1016/j.cub.2021.03.049

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- 1 TITLE: Patterns of transmission and horizontal gene transfer in the Zanzibar yam leaf
- 2 symbiosis revealed by whole genome sequencing
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## Summary

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19 Leaves of the wild yam species Dioscorea sansibarensis display prominent acumens or "driptips" filled with extracellular bacteria of the species Orrella dioscoreae<sup>1</sup>. This species of yam 20 is native to Madagascar and tropical Africa, and reproduces mainly asexually through aerial 21 22 bulbils and underground tubers, which also contain a small population of *O. dioscoreae*<sup>2,3</sup>. 23 Despite apparent vertical transmission, the genome of O. dioscoreae does not show any of the hallmarks of genome erosion often found in hereditary symbionts (e.g. small genome 24 size and accumulation of pseudogenes<sup>4–6</sup>). We investigated here the range and distribution 25 26 of leaf symbiosis between D. sansibarensis and O. dioscoreae using preserved leaf samples 27 from herbarium collections that were originally collected from various locations in Africa. We recovered DNA from the extracellular symbiont in all samples, showing that the 28 29 symbiosis is widespread throughout continental Africa and Madagascar. Despite the degraded nature of this DNA, we constructed 17 de novo symbiont genomes from short DNA 30 fragments, without having to rely on reference sequences. Phylogenetic and genomic 31 analyses revealed that horizontal transmission of symbionts and horizontal gene transfer 32 shape the evolution of the symbiont. These mechanisms could help explain why the 33 34 symbiont genomes do not display clear signs of reductive genome evolution despite an 35 obligate host-associated lifestyle. Furthermore, phylogenetic analysis of *D. sansibarensis* plastid genomes revealed a strong geographical clustering of samples and provided evidence 36 that the symbiosis originated at least 13 Mya, earlier than previously estimated. 37 38 Keywords: Symbiosis, herbarium, evolution, plant-microbe interactions, yam, phylogeography, bacterial genomics 39

#### 40 Results

- 41 Recovery of DNA from preserved Dioscorea sansibarensis leaf glands
- Leaf gland weights and yields from DNA extraction greatly varied, with an average of 1.15  $\mu$ g of DNA recovered, and ranging from 1 ng up to 5.5  $\mu$ g (Table S1). We did not detect any significant correlation between the size of the glands and DNA yield, even after leaving out 10 specimens for which we had processed only a fragment of the gland (Spearman correlation p-value > 0.1). In addition, specimen age did not correlate with the amount of DNA extracted

- 47 (Spearman correlation p-value > 0.1), or with the number of reads from shotgun sequencing
- 48 (Table S1).

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- 49 Taxonomic composition of D. sansibarensis leaf glands
- On average, 60.5% of sequencing reads per sample mapped to the O. dioscoreae LMG 29303<sup>T</sup> 50 51 reference genome, 7.92% mapped to a draft version of the D. sansibarensis genome, 0.64% mapped to the *D. sansibarensis* chloroplast, and 5.24% mapped to the human genome (Table 52 S1). We could detect *O. dioscoreae*-specific markers in all samples using Metaphlan3<sup>7</sup>, except 53 in the low-output sample MK024. In all but two samples (MK010 and MK018), the only 54 bacterial gene marker sequences corresponded to O. dioscoreae (Table S2). MK010 and 55 56 MK018, however, contained a large proportion of other bacterial species, mostly human 57 commensals. This correlated with high levels of human DNA contamination (Table S1). Reads mapping to the human genome were longer on average, and did not show elevated levels of 58 C-to-T conversions, as is typical for aDNA. This contamination most likely occurred during 59 collection of the samples from the herbarium or processing of the DNA samples and we did 60 61 not analyse these samples further. Interestingly, only 10% of the reads from sample Herb2, a herbarium specimen with characteristics that did not fully match the taxonomic morphotype 62 63 (Table S3), showed significant homology to sequences in the database. Nearly 40% of the 64 classified reads did not map to taxa related to either *D. sansibarensis* or *O. dioscoreae*.
- DNA damage patterns vary between chloroplast and symbiont DNA but are consistent with long-term preservation in historical specimens
  - Assessment of DNA damage patterns in historical specimens is critical for validating their authenticity. Leaf glands of *D. sansibarensis* are populated by clonal bacteria<sup>8</sup> as well as plant cells and plastids. We observed an average read length of 52.5 bp in our historical specimens, a degree of fragmentation that is similar to previously reported herbarium DNA<sup>9–11</sup>, although reads mapping to the chloroplast tended to be larger than bacterial reads (55.10 bp vs 37.10 bp, Wilcoxon paired rank sum test *p*-value < 0.001; Figure S1A). Read length was not significantly correlated to the age of the specimens in the chloroplast or the symbiont (Pearson correlation *p*-values > 0.1). Consistent with patterns typical of aDNA, the first base of sequencing reads is enriched in C-to-T mismatches in both the chloroplast and symbiont genomes (Figure 1). The absolute proportion of C-to-T mismatches showed significant

correlation with the age of the specimens (Pearson correlation *p*-values < 0.01). Similarly, purines were enriched before strand breaks in both *O. dioscoreae* and plastid DNA, a common feature of ancient DNA, although in different relative proportions (Figure 1, Figure S1C-D). The proportion of purines before strand breaks was larger in the *O. dioscoreae* genome compared to the *D. sansibarensis* plastome. (66% vs. 17% increase, Wilcoxon signed-rank test *p*-value < 0.001) (Figure S1D).

83 Herbarium specimens provide insight into the dispersal of D. sansibarensis over continental

84 Africa

Most plastid sequences were nearly identical (168 SNPs over an alignment of 121 366 bp), resulting in a phylogenetic topology with very short branches (Figure 2A). Concordant with the haplotype network (Figure 3), specimens from Madagascar form distinct clades, with continental specimens originating from a single ancestor. In contrast, the plastid sequence of the Herb2 sample is very divergent from the rest and constitutes a basal branch in the phylogenetic tree. Samples collected in Madagascar all clustered together, and according to the sampling region, which is in accordance with what we reported previously<sup>3</sup>. Because postmortem base transitions can affect the phylogenetic signal and introduce artefacts, we also analyzed a dataset keeping only transversions. Although less well resolved, transversion-only phylogenies and haplotype networks are consistent with the results of analyses using the full dataset (Figure S2B). Phylogenetic dating revealed that our *D. sansibarensis* specimens diverged about 13.54 million years ago (95% confidence interval: 4.93 Mya – 25.19 Mya). This high age estimate is mostly due to the very divergent nature of the Herb2 specimen. The remaining specimens share their most recent common ancestor at 3.31 million year ago (95% confidence interval: 0.63 Mya – 7.71 Mya).

High specificity of leaf symbiosis without phylogenetic congruence

The genomes of the symbionts are more diverse, with two main phylogenetic clades (clade I and clade II, Figure 2B). Samples do not cluster according to location of specimen collection, and the phylogenies of host and symbiont are not congruent (Figure S2A). To gain insight into the population structure of *O. dioscoreae*, we assembled *de novo O. dioscoreae* genomes from 17 out of 36 herbarium specimens. Analysis using CheckM<sup>12</sup> indicated low levels of contamination (< 1%) in the metagenome-assembled genomes (MAGs). Most *O. dioscoreae* 

MAGs could be reconstructed in less than 100 contigs, and were of similar sizes to the reference genome (4.7 to 5.2 Mbp) (Table S4). Whole genome alignment using Mauve<sup>13</sup> showed high synteny, without large-scale rearrangements. Average nucleotide identity (ANI) values confirmed that all symbiont MAGs belonged to the same species, with a minimum of 96.02% ANI, well above the commonly accepted 95% threshold for species delineation<sup>14</sup>. Interestingly, two MAGs from specimens collected 35 years apart in different phytoregions of the DR Congo were almost identical (Herb9 and MK003, 2 SNPs out of 4 846 400 bp). Cross-sample contamination is unlikely since these samples were processed in different facilities and sequenced at a different sequencing centre. In contrast, some glands from plants collected at the same site in Madagascar contained bacteria belonging to distinct phylogenetic clusters, highlighting the distributed biogeography of *O. dioscoreae*<sup>3</sup>.

Comparative genomics of wild-collected and herbarium-assembled O. dioscoreae genomes

The total amount of predicted genes is approximately the same in all MAGs (4300-4700, Table S4), with a core genome taking up an average of 77% of the gene inventory (3541 genes). The pan genome of O. dioscoreae is large given the narrow range of ANI values, consisting of 7406 genes over 28 genomes. The accessory genome mostly consists of genes that are unique to one, or very few samples (30% of orthogroups only consist of three or less members, Figure S3). There is a general trend towards gene loss, with most lineages having lost on average 1024 genes, while only gaining an average of 380 genes, for an average net gene loss of 644 genes per lineage (Figure 4). Most frequently occurring patterns of gene loss involved long branches (e.g. in MK020), or genes that are specific to a certain (sub)clade in the phylogeny. Most genes are lost as single genes or in small clusters and correspond to hypothetical proteins, indicating that gene loss is unlikely to be adaptive. An exception is a large gene cluster that is lost in some lineages: a cluster of 34 genes related to Type III secretion. This cluster is present all MAGs of clade I, but has been lost multiple times in lineages of clade II (Figure 4). In contrast, functions highly expressed in the D. sansibarensis leaf gland and linked to specialized metabolism and type VI secretion are conserved in all O. dioscoreae MAGs<sup>3</sup>. Despite this high degree of conservation, the phylogenetic trees of several genes from one of the two Type VI secretion systems of O. dioscoreae are incongruent with the species tree, as reflected by significantly higher weighted Robinson-Fould distance than average (0.35 in T6SS-2 vs 0.20 in T6SS-1, Wilcoxon rank sum test p-value < 0.01). Among these, three putative VgrG-

domain effector proteins (ODI\_R0793, ODI\_R0797 and ODI\_R0809) were likely subjected to gene conversion or HGT. In addition, a pair of Rhs/VgrG proteins putative T6SS effector proteins was encoded in all genomes of clade II, but in none of the genomes of clade I. Apart from those, additional Rhs and/or VgrG proteins domains were also detected in 4 other MAGs (AMP9, BER1, BER2, and MK019).

#### Discussion

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Herbarium samples provide a reliable source of symbiont DNA

Herbarium specimens are seeing applications going beyond taxonomic and systematic studies and are an increasingly useful resource for studies of plant biology and evolution<sup>15</sup>. Here, we leveraged herbarium specimens to gain insights into the genome evolution and transmission mode of the symbiosis between *D. sansibarensis* and *O. dioscoreae*. Preserved samples of leaf acumens mostly yielded DNA of sufficient quality for high-throughput shotgun sequencing analysis, which demonstrated the ubiquity of the association with O. dioscoreae in a broad cross-section of *D. sansibarensis'* range. Moreover, we did not find consistent or unambiguous evidence for the presence of other microorganisms in the leaf gland. Only one sample (Herb2) contained a large proportion of reads assigned to bacterial taxa other than O. dioscoreae. Specimen Herb2, collected in Cameroon, formed a divergent basal branch in the chloroplast phylogeny (Figure 2A). Interestingly this herbarium specimen did not fully fit the taxonomic morphotype of the species, and was tentatively identified as "Dioscorea cf. sansibarensis". These observations indicate that this specimen represents an early-diverging lineage of the species, a sub-species, or even an entirely new species. Further investigation and sampling will be necessary to confirm the exact taxonomic placement of this specimen, and link it to the evolution of *D. sansibarensis*. Nevertheless, the presence of the symbiont *O. dioscoreae* in the Herb2 specimen suggests that the symbiosis might not be confined to the *D. sansibarensis* species and is possibly established much earlier than expected. The fact that the bacterial communities in this sample were more complex could indicate that it represents an older branch of *D. sansibarensis* where strict specificity or vertical transmission has not yet evolved.

Phylogeography of Dioscorea sansibarensis

Most plastid sequences across *Dioscorea sansibarensis* representative of the distribution range were highly similar, which resulted in a phylogenetic topology containing many

unresolved branches. There is however a strong biogeographic separation of samples, with specimens from the same region clustering together. Continental African specimens form a clade separated from specimens from Madagascar, which is in concordance with the earlier hypothesis that *D. sansibarensis* originated in Madagascar and was dispersed to Africa<sup>16</sup>. Dioscorea sansibarensis appears to rely largely, or in places exclusively, on vegetative reproduction for propagation and dispersal. Despite extensive field research collecting Dioscorea in Africa and Madagascar, one author (PW) has never seen mature seeds or juvenile plants not arising from bulbils (axillary perennating organs) in situ, even in areas where it is abundant and flowers extensively such as the far North of Madagascar. Wilkin<sup>17</sup> reported that no seed bearing plants had been seen among all the herbarium specimens collected in southern Africa, although they were occasionally encountered elsewhere in Africa. This suggests that O. dioscoreae would be most likely to move between plants via bulbil-mediated vertical transmission<sup>3</sup>. It also suggests that patterns of genetic variation within D. sansibarensis would reflect its mode of reproduction, with low levels of within-population genetic divergence in local clones that are occasionally further dispersed. This is congruent with the plastid tree topology, and haplotype network (Figure 2B, Figure 3) with an eastern, a western and a mixed East-West Africa clade. Furthermore, there is some variation in bulbil traits, which tend to be black or purple and smooth in Africa and brown or green and warty in Madagascar.

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Insights into the evolutionary history of O. dioscoreae from de novo assembly of genomes

Despite direct evidence of vertical transmission of *O. dioscoreae* through vegetative propagules<sup>3</sup>, the phylogenetic trees of *D. sansibarensis* and *O. dioscoreae* are highly incongruent (Figure S2A). This indicates a mixed mode of transmission, where symbionts can occasionally transfer from one plant lineage to another. Horizontal transmission, for example by insect vectors, could result in phylogenetic incongruence between host and symbionts. Acquisition from an environmental reservoir seems unlikely since we could not reliably detect the symbiont anywhere outside of the plant<sup>3</sup>, but cannot be fully ruled out.

While bacterial genomes have been assembled from archaeological remains and herbaria accessions before<sup>18,19</sup>, these methods almost exclusively relied on either mapping reads to a reference, or on prior taxonomic classification of reads. The binary nature of the symbiosis, and genomic differences between *D. sansibarensis* and *O. dioscoreae* allowed us to construct

qualitative *de novo* genome sequences from herbarium material suitable for detailed comparative genomics analyses. Functions thought to play a role in the symbiotic lifestyle of *O. dioscoreae*, such as specialised metabolism and T6SS, are conserved in all samples<sup>3</sup>. Interestingly, we found differences in the complement of putative T6SS effector genes, in addition to evidence of intra-clade HGT or gene conversion. Effector repertoires define the target specificity of the T6SS, and these could play an important role in the ecology of *O. dioscoreae*<sup>20</sup>. For example, we found a combination of Rhs and VgrG domain-containing genes that is conserved in all genomes of clade II, but is not present in genomes of clade I. As T6SS plays important roles in microbe-microbe interactions<sup>20,21</sup>, this could indicate that effector inventories partially diverged in response to different threats from competitors or alternatively may play some role in signalling and adaptation to a new host<sup>22</sup>.

The *O. dioscoreae* core genome accounts for 78% of the gene complement in *O. dioscoreae*, while the pangenome is much larger, being approximately twice the size of the core genome. The membership distribution of genes of the pangenome is bimodal, with a strong bias towards genes only found in very few genomes. This either indicates that new genes can still be acquired, or more likely that genes affected by genetic drift are quickly purged<sup>23</sup>. In general, *O. dioscoreae* genomes show an overall trend toward gene loss, following a general trend in prokaryotes<sup>4,24,25</sup>. Net gene loss can lead to genome erosion, a feature commonly found in host-restricted bacteria, including leaf symbionts<sup>5,26–30</sup>. For example, a cluster of 34 genes containing most T3SS genes is conserved in clade I, but has been lost multiple times in genomes of clade II. Genes of the T3SS of *O. dioscoreae* LMG29303<sup>T</sup> were not upregulated *in planta*<sup>3</sup>, suggesting that loss of T3SS genes is likely due to genetic drift rather than adaptive selection<sup>31</sup>. Despite this apparent gene volatility, *O. dioscoreae* do not display the hallmarks of genome reduction, such as accumulation of pseudogenes and insertion elements, or AT-bias<sup>4,5,30</sup>. Occasional horizontal transmission of the symbiotic bacteria may slow down or alleviate entirely reductive genome evolution, and may explain these patterns.

In conclusion, our data demonstrate that aDNA and metagenomics methods are a powerful combination to probe dynamic associations between plants and microorganisms from herbarium samples. The discovery that symbiont switching or horizontal transfer occurs frequently between *D. sansibarensis* and *O. dioscoreae* despite up to 13 Mya of co-evolution suggests a degree of plasticity not previously seen in vertically-transmitted leaf symbioses.

230 This illustrates the potential of leaf symbioses as model systems to understand the 231 mechanisms of host-microbe specificity in the leaf. Acknowledgments 232 We would like to thank Mathijs Deprez, who helped out with herbarium DNA-extractions as 233 234 a part of his work in preparation of his master dissertation. This work was supported by the Flemish Fonds Wetenschappelijk Onderzoek under grant G017717N to AC. AC also 235 acknowledges support from the French National Research Agency under grant agreement 236 ANR-19-TERC-0004-01 and from the French Laboratory of Excellence project "TULIP" (ANR-237 10-LABX-41; ANR-11-IDEX-0002-02). The funders had no role in study design, data collection 238 239 and analysis, decision to publish, or preparation of the manuscript. We thank the Danish 240 National High-throughput Sequencing Centre and the Oxford Genomics Centre at the Wellcome Centre for Human Genetics for assistance in generating and initial processing of 241 242 the sequencing data. **Author Contributions** 243 244 Conceptualization, B.D. and A.C.; Methodology, B.D., K.M., N.W.; Investigation, B.D. and J.V.; Resources, S.J. and A.C.; Writing – Original draft, B.D., J.V., P.W. and A.C.; Writing – Review & 245 Editing, B.D., J.V., N.W., S.J., P.W. and A.C; Supervision, A.C.; Funding Acquisition, A.C. 246 247 **Declaration of Interests** The authors declare no conflict of interest. 248 249

#### FIGURE LEGENDS

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Figure 1: DNA damage patterns in O. dioscoreae and D. sansibarensis chloroplast. Output graphs from MapDamage 2.0<sup>32</sup> of sample MK023, showing different DNA damage patterns. (A-B) Frequency of bases around read ends (grey brackets) mapped to the Orrella dioscoreae (A) and *Dioscorea sansibarensis* chloroplast (B) reference genomes. Numbers on the x-axis represent the relative position from the read end. The dotted lines on the chloroplast plot show the higher variability due to lower sequencing coverage (C-D) Frequency of mismatches along mapped reads. Numbers on the x-axis represent the position along the mapped read, lines represent the observed frequency of certain mismatches. Red: C-to-T mismatch; Blue: G-to-A mismatch; Grey: Other mismatches. Figure 2: SNP-based phylogenies of *D. sansibarensis* chloroplast (A) and *O. dioscoreae* (B). SNP-based phylogenies based on the alignment of 121 366 nucleotides of the plastome (containing 168 variant sites) and 188 138 non-recombinant variant sites for O. dioscoreae. Branch support values are given as % (bootstrap). Branches with support < 50% were collapsed. Font colours correspond to where the specimens were collected originally. Plants from the botanical gardens of Meise and Ghent were originally collected in DR Congo, and are annotated as such on the tree. The chloroplast SNP-based phylogeny contains fewer samples than the symbiont phylogeny as samples with fewer than ten thousand reads mapped to the plastome were excluded from the analysis. Figure 3: D. sansibarensis specimen sampling locations and haplotype network of plastid sequences. (A) Sampling locations of *D. sansibarensis* specimens. Samples derived from the same country share the same colour. Numbers on sample locations depict the number of specimens sampled in that region. Abbreviations: BI – Burundi; CG – Republic of Congo; GQ – Equatorial Guinea; MG – Madagascar; MZ – Mozambique; RW – Rwanda; (B) Haplotype network based on the chloroplast alignment used for phylogenetic analysis, constructed using the TCS algorithm<sup>33</sup>. Samples with more than 25% gaps were excluded. Colours represent geographical origin of the samples (see panel A). Circle size in the haplotype network are scaled by the number of samples of that haplotype. Ticks on connecting lines represent point mutations between nodes.

281	Figure 4: Gene gains and losses in the <i>Orrella dioscoreae</i> genome. Reconstruction of gene
282	gain and loss based on Dollo's parsimony principle. Numbers on branches represent gained
283	(+) and lost (-) genes. Numbers in black, bold font above branches represent the estimated
284	size of the ancestral gene pool (left), or represent the current number of genes in a certain
285	genome (right).
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287 288	SUPPLEMENTARY FIGURES AND TABLES
289 290 291	Figure S1: Differences in DNA damage parameters between <i>D. sansibarensis</i> chloroplast and <i>O. dioscoreae</i>
292 293 294	Figure S2: SNP-based phylogenies and haplotype network of <i>D. sansibarensis</i> and <i>O. dioscoreae</i>
295 296	Figure S3: Prevalence of orthogroups with certain number of genomes
297 298	Figure S4: Manual binning of metagenome contigs derived from <i>O. dioscoreae</i>
299 300 301 302	Table S1: DNA and sequencing yields, and mapping results of herbarium specimens to <i>D. sansibarensis nuclear and plastid genome</i> , <i>O. dioscoreae genome</i> , and the human reference genome, related to figure 3A and STAR Methods
303 304	Table S2: Presence of bacterial markers in the trimmed sequencing reads
305 306 307	Table S3: Herbarium specimen metadata, as recorded in the archives of the Meise Botanic Garden herbarium collection, related to STAR Methods
308 309 310	Table S4: Genome statistics of <i>O. dioscoreae</i> genomes

312	STAK Methods
313	KEY RESOURCE TABLE
314	RESOURCE AVAILABILITY
315	Lead contact
316	Further information and requests for resources and reagents should be directed to and will
317	be fulfilled by the Lead Contact, Aurélien Carlier ( <u>aurelien.carlier@inrae.fr</u> ).
318	Materials Availability
319	This study did not generate new unique reagents.
320	Data and code availability
321	Sequencing reads generated from herbarium specimens of <i>Dioscorea sansibarensis</i> are
322	deposited under SRA project PRJNA646369. Generated metagenome-associated genomes of
323	Orrella dioscoreae are deposited in the Zenodo repository, accession 3946545. The draft
324	genome of <i>D. sansibarensis</i> used in this paper is not yet published, but can be requested by
325	contacting the lead author. Scripts used for analysis in this paper are available at
326	https://github.ugent.be/brdannee/DioscoreaHerbarium.
327	EXPERIMENTAL MODEL AND SUBJECT DETAILS
328	Plants
329	Leaf nodules of herbarium specimens of <i>Dioscorea sansibarensis</i> were provided by the Meise
330	Botanic Garden. Glands from wild D. sansibarensis specimens from Madagascar were
331	collected under research permit 158/16/MEEF/SG/DGF/DSAP/SCB.Re issued by the Ministry
332	of Environment, Ecology and Forests of the Republic of Madagascar. Collection information
333	for all samples is available in Table S3.
334	METHOD DETAILS
335	Sampling and DNA-extraction
336	Leaf glands of 36 herbarium specimens (Table S3) of the Meise Botanic Garden herbarium
337	(Belgium) were dissected and tissues were stored at 4°C with silica until further processing.

Total DNA-isolation and genomic library preparation of ten specimens (Herb1-Herb10), representing various geographic locations, different ages, and diverse gland sizes were performed in the palaeogenomics facility at the Department of Archaeology of the University of York (UK). Twenty-six specimens (MK001-MK026) were processed at the department of Microbiology of Ghent University in a room disinfected with bleach and under a disinfected PCR cabinet (AirClean 600 PCR Workstation, Starlab, Hamburg, Germany). All utensils were disinfected using bleach and/or followed a UV treatment prior to their usage. When possible, sterile disposable items were used. Extraction blanks were included to monitor possible DNAcontamination. Total genomic DNA from leaf nodules was extracted using the protocol described in Gilbert et al.<sup>34</sup>, which was found to perform well on botanical specimens<sup>35,36</sup>. The leaf glands were cut into small pieces using a sterile scalpel and placed into sterile 2ml Eppendorf Lo-bind microfuge tubes. The samples were incubated on a shaker overnight at 55°C in 1200 μl of extraction buffer (10mM Tris-HCl pH 8, 10mM NaCl, 2% SDS, 5mM CaCl<sub>2</sub>, 2.5mM EDTA pH 8, 0.5mg/ml Proteinase K, and 40mM DTT). Supernatants were extracted twice with an equal volume of 25:24:1 phenol/chloroform/isoamylalcohol. The resulting DNA was diluted in 13x binding buffer (5M guanidine hydrochloride, 40% isopropanol, 0.05% Tween-20, and 90mM Sodium Acetate pH 5.2)<sup>37</sup> and purified using a MinElute PCR purification kit (Qiagen, Hilden, Germany) following the manufacturer's recommendation. Extractions blanks yielded no detectable amounts of DNA (Table S1)

## 357 Library preparation and sequencing

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Genomic libraries adapted for ancient DNA were constructed for all samples, the extraction blanks, and library blanks containing molecular biology-grade water, following the double-stranded protocol from Wales *et al.*<sup>38</sup>, and using the adapters described in Meyer & Kircher<sup>39</sup>. DNA fragment ends were repaired using the NEBNext End Repair module (New England BioLabs, Ipswich, MA, USA), and purified on MinElute (Qiagen, Hilden, Germany) columns, followed by adapter ligation using the NEBNext Quick Ligation module (New England BioLabs, Ipswich, MA, USA) and purification using QiaQuick (Qiagen, Hilden, Germany) columns. Gaps were filled using *Bst* DNA polymerase (New England Biolabs, Ipswich, MA, USA). PCR-amplified DNA libraries were quantified using either a Quantus (Promega, Madison, WI, USA) or Qubit (Invitrogen, Carlsbad, CA, USA) fluorometer with respective dsDNA kits. Libraries were pooled in equimolar concentrations and sequenced at the National High-throughput DNA Sequencing

Centre, Copenhagen, Denmark (Illumina HiSeq 4000, samples Herb1 to 10) or at the Wellcome Trust Human Center for Human Genetics, Oxford, UK (Illumina NovaSeq 6000, samples MK001-MK026), yielding single-ended 80 bp reads. Library blanks containing molecular grade water showed no library amplification and yielded only a few thousands of reads (Table S1). Samples with sequencing output below their respective extraction blanks (Herb10, MK001, and MK022) were not used in further analysis. Raw sequencing reads were deposited in the SRA archive under bioproject PRJNA646369.

# Read processing and mapping

Sequencing adapters were removed using Cutadapt v2.10<sup>40</sup>, and low-quality bases were removed using Trimmomatic v0.39<sup>41</sup>. Trimmed reads were mapped to the *Dioscorea sansibarensis* chloroplast sequence (NCBI accession GCA\_900631875.1) and a draft version of the nuclear genome (unpublished) from a plant obtained from the Botanical Garden of Ghent University, to the associated *Orrella dioscoreae* LMG 29303<sup>T</sup> genome (NCBI accession GCA\_900089455.2), and to the human reference genome GRCh38 (NCBI accession GCF\_000001405.39) using BWA aln v0.7.17, with seeding disabled<sup>42</sup>. Coverage for every genome was calculated using BEDTools v2.27.1 *genomecov* command<sup>43</sup>. Taxonomic composition of samples was determined using Metaphlan 3<sup>7</sup>, Kraken v1.1.1<sup>44</sup> (using a custom database of bacterial and chloroplast sequences<sup>8</sup>), and blastn<sup>45</sup> searches against the NCBI non-redundant nucleotide database (accessed 03/2020), summarized using BASTA and Krona<sup>46,47</sup>.

## DNA damage analysis

Mapping files created by BWA aln against the *O. dioscoreae* LMG 29303<sup>T</sup> genome, the *D.* plastome, and the human HRCh38 reference genome were dereplicated using Samtools MarkDup<sup>48</sup>, and used as input for MapDamage 2<sup>32</sup> to rescale quality scores of likely damaged bases, and estimate DNA damage patterns. To increase the reliability of the DNA damage analysis, only samples with average coverage above 5x for both plastid and symbiont genomes were considered for statistical analysis (17 samples in total). DNA damage was assessed by measuring: (i) the absolute number of C-to-T mismatches on the first base of the reads; (ii) the relative amount of C-to-T mismatches (calculated by subtracting the background amount of C-to-T mismatches, estimated as the average C-to-T mismatches on bases 10 to 20, from the C-to-T mismatches on the first base); (iii) the relative increase of purine bases before strand

breaks, calculated by dividing the proportions of purine bases in the reference genome at position -1 and at position -5 relative to the start of the mapped read.

# Genome assembly

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De novo assembly of bacterial genomes was performed in 2 steps using SPAdes v3.1449 as described previously<sup>3</sup>. First, a low-stringency assembly was done in unpaired mode using kmer sizes of 21, 25, 33, 37, and 45. Bacterial contigs were visually binned according to base composition (% G+C) and average coverage (Figure S4), as O. dioscoreae has significantly higher G+C content than the plant, and is present in high numbers in leaf glands. Reads mapping to the selected contigs (mapped using SMALT<sup>50</sup> and extracted using Samtools<sup>48</sup>) were reassembled using SPAdes v3.14 in careful mode, using k-mer sizes of 21, 27, 33, and 41. The final assemblies were filtered to remove contamination, by removing contigs assigned as eukaryotic or with discordant taxonomic assignment by Kraken v1.1.144 and blastn searches to the NCBI nr database, analysed and visualised using BASTA<sup>46</sup> and Krona<sup>47</sup> as described previously<sup>3</sup>. Assembly quality, completeness and contamination were determined using Quast $^{51}$ , BUSCO v4.0.6 $^{52}$  and CheckM v1.1.2 $^{12}$  respectively. Variants between the aDNA reads and the assembled genomes were called using bcftools v1.11<sup>53</sup>, to assess overrepresentation of problematic transition mutations (C-to-T or G-to-A) in the genomes. The herbarium metagenome-assembled genomes are available on the Zenodo repository (DOI: 10.5281/zenodo.3946545)

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# Phylogenetic analyses

SNP-based phylogenies of both plastid and symbiont genome of the herbarium specimens, previously sequenced fresh leaf glands from Madagascar<sup>3</sup>, and a specimen collected from the living collection of the Meise Botanic Garden, Belgium (accession CD-0-BR-1960001), containing O. dioscoreae strain R-67584, were constructed using Realphy v.122<sup>54</sup>. Sequencing reads with quality scores rescaled to account for DNA damage (see above) were used as input for the Realphy pipeline, allowing at most 10% of disagreement on mapped bases, allowing gaps in at most 20% of the samples, and requiring a minimum coverage of 5 reads for bacterial alignments and 3 for the chloroplast. The aforementioned Dioscorea sansibarensis plastome and the Orrella dioscoreae LMG 29303<sup>T</sup> genome were used as references for mapping. Samples with fewer than 10 000 mapped reads were discarded from further analysis.

Phylogenetic trees based on plastid data were constructed using PhyML v3.3.3<sup>55</sup> using the F81 model (selected using the CLC Main Workbench (Qiagen) model testing tool), 100 bootstrap replicates and the plastid sequence of Dioscorea elephantipes (NCBI accession NC\_009601) as outgroup. For the O. dioscoreae phylogeny, Gubbins<sup>56</sup> was used to remove regions with elevated rates of base substitutions from the alignment, to mitigate the effect of recombination on whole genome or SNP-based phylogenies. A maximum-likelihood phylogeny was created using RAxML v8.2.12<sup>57</sup> (rapid bootstrapping and best-scoring ML mode, using 100 bootstrap replicates and the GTRGAMMA substitution model). The genome sequence of Achromobacter xylosoxidans ADAF13 (NCBI accession GCA\_001566985) was used as outgroup to root the phylogeny. A haplotype network of the plastid sequences was created with the TCS algorithm, implemented in POPART<sup>33,58</sup>, using the SNP-based alignment used for the phylogeny as input. Samples with more than 25% of gaps in their sequence were removed from downstream analyses. To further control for the effect of aDNA damage (mainly transitions) on the low-coverage plastome analysis, the phylogenetic analysis and haplotype network were recalculated using only transversions (89 transversions out of 168 SNPs). In an effort to assess the phylogeny of *D. sansibarensis* based on its nuclear genome, the sequence of the high-copy plant marker ITS (internal transcribed spacer) was extracted by searching metagenome sequences with blastn<sup>45</sup>. As a query, a known 18S-5S-28S region of *D*. sansibarensis (NCBI accession DQ267929.1) was used. The matching sequences were extracted from the metagenome contigs, including 250 extra flanking base pairs. Using this method, 20 herbarium ITS sequences could be extracted. Sequences were aligned using Muscle<sup>59</sup> and the alignment was trimmed using trimAL<sup>60</sup> to remove columns with >80% gaps.

The resulting alignment resulted in no variable sites between samples

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Age estimation of the common ancestor of all investigated specimens was performed using BEAST v1.10.4 <sup>61</sup> based on Viruel *et al.*<sup>16</sup>, and as described previously<sup>3</sup>. Gene alignments for three chloroplast genes (*matK*, *rbcL*, *atpB*) were constructed, as high-quality sequences for these genes are available for many *Dioscorea* species<sup>16</sup>. the *trnLF* (trnL intron–trnL exon–trnL/trnF spacer) region was not used, as this region could not be reliably extracted from the herbarium plastid sequences. Markers of *Dioscorea* species described in Viruel *et al.*<sup>16</sup>, three herbarium specimens with enough coverage and representing most variety in the SNP-based phylogeny (MK014, MK017, MK023), and the chloroplast sequences obtained from a

specimen kept in the botanical garden of Ghent University were used to construct the phylogeny. The same parameters (uncorrelated relaxed molecular clock and Yule speciation model) and calibration points as described in Viruel *et al.*<sup>16</sup> were used to run the dating analysis: two calibrations using a normal distribution were used for Dioscoreaceae (*Dioscorea*, *Tacca*, *Trichopus* and *Stenomeris*; mean = 108.0, stdev = 10.0) and for Burmanniaceae and Dioscoreaceae node (mean = 115.0, stdev = 4.0); and two calibrations using a lognormal distribution for *D. cochleari-apiculata*, *D. dregeana* and *D. dumetorum* clade (mean = 27.32, stdev = 1.0), and Dioscorea clade (mean = 48.2, stdev = 1.0).

Comparative genomics of O. dioscoreae genomes

Average Nucleotide Identity (ANI) values between available *O. dioscoreae* genomes were calculated using PyANI v0.3<sup>62</sup>. Orthologs between herbarium genomes, genomes assembled from fresh glands<sup>3</sup>, and the R-67584 strain isolated from a *D. sansibarensis* specimen from the Botanic Garden of Meise, were predicted using Orthofinder v2.3.9<sup>63</sup>. Patterns of gene gain and loss were computed based on the gene presence/absence output of Orthofinder, using the Dollo analysis implement in Count<sup>64</sup>. The gains and losses were mapped on a pruned phylogeny created using the ete3 python package<sup>65</sup>, only including non-redundant genomes (<99% identical). Weighted Robinson-Fould distances were calculated using the DendroPy python package<sup>66</sup>, comparing the gene and species tree as reported by Orthofinder. To assess if assembly errors could affect the detection of gene losses, reads of herbarium specimens were mapped to the closest reliable fresh-specimen genome, and compared the proportions of unmapped sequence to the amount of observed gene losses. This showed no suspicious discrepancies between gaps in the genome and regions without mapped reads.

Python3<sup>67</sup> scripts used for summarizing DNA damage data, automating and filtering genome

assemblies, and constructing the core-genome phylogeny can be found on Github:

https://github.com/DanneelsBram/DioscoreaOrrellaHerbarium

# **QUANTIFICATION AND STATISTICAL ANALYSIS**

- Statistical analysis on differences in DNA decay parameters were performed in Rstudio<sup>68</sup>
- using R v4.0.2<sup>69</sup> and the *dplyr* and *ggpubr* packages for visualisation of the results.
- 488 Comparisons of average read length, absolute and relative enrichment of C-to-T
- substitutions on the first base of the reads, and proportion of purines before strand breaks

between *D. sansibarensis* plastome and *O. dioscoreae* genome were performed only on samples with high enough coverage (at least 5x on both genomes; n=17). Comparisons were performed using the Wilcoxon signed-rank test to compare paired data, and the significance was assessed at a significance level of 0.05.

Correlations between average read length, absolute and relative enrichment of C-to-T substitutions on the first base, and proportion of purines before strand breaks with sample age (for both *D. sansibarensis* plastome and *O. dioscoreae* genome) were performed on samples with high enough coverage (at least 5x on both genomes), and that had an annotated collection date on the herbarium sheet (n=12). Correlations were calculated using the Pearson method, at a significance level of 0.05.

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