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# Virgin and extracted soots in premixed methane flames: A comparison of surface functional groups, graphitization degree and oxidation reactivity

Ye Liu, Chonglin Song\*, Gang Lv, Xiaowei Wang, Na Li

State Key Laboratory of Engines, Tianjin University, Tianjin 300072, China

**Abstract:** This study reports the similarities and differences in the physicochemical properties of virgin soot generated in premixed methane flames and the corresponding extracted soot following removal of its soluble organic fraction (SOF). In addition, the correlations between these physicochemical properties and the SOF content are investigated. Soot samples were acquired at varying heights above the burner using a probe sampling technique, and surface functional groups (SFGs) and degree of graphitization were analyzed by Fourier transform infrared and Raman scattering spectroscopy. The oxidation reactivities of both the virgin and extracted soots were evaluated in terms of the characteristic oxidation temperatures and apparent activation energies, based on thermogravimetric analysis. Both materials undergo similar changes in the concentrations of aliphatic and aromatic C–H,  $A_{D1}/A_G$  and  $A_{D3}/A_G$  ratios, and apparent activation energy with increasing height above the burner, but differ in their  $A_{D4}/A_G$  ratios. The presence of the SOF does increase the relative concentrations of aliphatic and aromatic C–H groups. Moreover, at the same sampling position, the  $A_{D1}/A_G$ ,  $A_{D3}/A_G$  and  $A_{D4}/A_G$  ratios of the virgin soot are greater than those of the extracted soot, while the apparent activation energy values of the virgin soot are lower. These data indicate that the virgin soot possesses less graphitic organization and lower resistance to oxidation relative to the

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\* Corresponding author. Tel.: +86-22-27406840-8020; fax: +86-22-27403750

Email address: [songchonglin@tju.edu.cn](mailto:songchonglin@tju.edu.cn) (C.-L. Song)

21 extracted soot. In addition, there is a definite correlation between the oxidation reactivity and the SOF  
22 content.

23 **Keywords:** Premixed flame; Virgin soot; Extracted soot; Surface functional group; Graphitization  
24 degree; Oxidation reactivity

25

## 26 **1. Introduction**

27 It is known that the soluble organic fraction (SOF) adsorbed on particulate matter (PM)  
28 generated by combustion processes results from unburned hydrocarbons.<sup>1,2</sup> Typically, the SOF  
29 accounts for 5%–60% of the overall PM mass,<sup>2,3</sup> depending on the fuel composition and combustion  
30 conditions. The SOF consists primarily of aliphatic hydrocarbons, polycyclic aromatic hydrocarbons  
31 (PAHs), and nitric- or oxi-PAHs,<sup>4</sup> including a large number of aromatic and aliphatic C–H groups.  
32 The physicochemical properties of soot particles have been studied extensively, owing to their  
33 association with soot formation and oxidation processes.<sup>5–8</sup> As a major component of PM, the SOF  
34 exerts a non-negligible effect on the physicochemical properties of soot. Ishiguro et al.<sup>9</sup> discovered  
35 that virgin soot possesses higher oxidation reactivity than heat-treated soot, and that diesel soot has a  
36 highly porous structure following the release of the SOF occluded within the soot particles. They  
37 interpreted these results to mean that the increased specific surface area of soot following release of  
38 the SOF facilitates the access of oxygen to pores in the soot, thus enhancing the soot oxidation  
39 reactivity. Collura et al.<sup>10</sup> compared the variations of the graphitization degree of diesel soot. They  
40 determined that the presence of SOF on soot reduces the graphitic organization, and the thermal  
41 decomposition of SOF absorbed on soot leads under inert atmosphere to the formation of a  
42 microporous carbonaceous layer. Chong et al.<sup>4</sup> examined the effects of volatile component of SOF on

43 the oxidation of diesel PM. They found that the oxidation characteristics of diesel PM are only  
44 weakly influenced by the volatile components of SOF. In contrast, the heavier SOF components and  
45 thermal aging seem to have a strong influence on diesel soot oxidation. In the study of Lee et al.,<sup>11</sup> it  
46 was revealed that the diesel PM with a higher content of SOF oxidizes more rapidly. However,  
47 Ahlström et al.<sup>12</sup> suggested that the SOF adsorbed on diesel soot preferentially vaporizes before the  
48 soot reaches its ignition temperature and thus exerts a limited impact on soot reactivity. Up to the  
49 present time, many investigations have been carried out related to the combustion-generated soot, but  
50 there is no consensus about the effect of SOF on soot oxidation feature. Moreover, the information  
51 concerning the effect of SOF on surface functional groups and graphitization degree of soot is still  
52 limited.

53 Motivated by the above considerations, the purpose of the present research was to obtain further  
54 insights into the effects of the SOF on the physicochemical properties of soot, including surface  
55 functional groups (SFGs), graphitization degree and oxidation reactivity. Due to the complexity of  
56 diesel combustion and diesel component, the SOF on diesel soot is more complicated than that on  
57 flame-generated soot in compositions. Moreover, the vaporized lubricating oil during the diesel  
58 combustion process can make the metallic species deposit on the soot particles<sup>13</sup>, and these metallic  
59 species appear to be good catalysts in the soot oxidation<sup>13-15</sup>. Thus, the soot generated from a  
60 methane premixed flame was employed to investigate the effects of SOF on the SFGs, graphitization  
61 degree and oxidation reactivity. A probe sampling technique was employed to obtain soot samples  
62 formed at different heights above the burner (HAB). The separation of SOF on these soot samples  
63 was performed by means of the Soxhlet extraction technique. The SFGs and graphitization degree of  
64 each soot sample were characterized using Fourier transform infrared (FT-IR) and Raman

65 spectroscopy. The characteristic oxidation temperatures and oxidation reactivities of soot samples  
66 were assessed by thermogravimetric analysis (TGA). In addition, an attempt was made to determine  
67 the correlation between various physicochemical properties and the SOF content.

## 68 **2. Experimental**

### 69 *2.1. Burner and sampling system*

70 The schematic diagram of the experimental system is as shown in Fig. 1. A laminar premixed  
71 flame was produced at atmospheric pressure by employing a 25 mm diameter sintered bronze  
72 McKenna burner (Holthuis & Associates, Sebastopol, USA). The burner was mounted on a  
73 motorized translation stage with a positional accuracy of 0.01 mm, allowing vertical movement  
74 relative to the fixed sampling system. A constant temperature cooling water tank was used to  
75 maintain the initial mixture gas temperature at 300 K. The central tube of the burner supplied a  
76 mixture of methane (40.65 mol%), air (32.25 mol%) and oxygen (27.1 mol%), while the concentric  
77 porous ring was used to generate a N<sub>2</sub> shield (at a flow rate of 30 L/min) that eliminated any effect of  
78 the surrounding air on the flame. Three independent mass-flow controllers, each with an accuracy of  
79  $\pm 0.02\%$ , controlled the flow of methane, air, oxygen and the shielding gas, respectively. The fuel/air  
80 equivalence ratio in the flame was maintained at 2.4 and the velocity of the cold mixture gas was  
81 held constant at 4 cm/s.

82 Soot samples were acquired at various heights (5, 8, 12, 16 and 25 mm) along the axis of the  
83 premixed flame using a probe sampling technique.<sup>16,17</sup> A stainless steel probe with a diameter of 3.175  
84 mm and a wall thickness of 0.125 mm was positioned horizontally over the burner, and a sampling  
85 orifice with a diameter of 0.15 mm was drilled in the middle of the probe using a laser, facing  
86 downward toward the incoming burning gas. This probe, now with a sampling orifice, was connected

87 to a vacuum system with an in-line Teflon filter to collect the PM. We used cold N<sub>2</sub> at a rate of 26.8  
88 L/min (STP) to dilute the sucked flame gas for the purpose of effectively quenching chemical  
89 reactions in the sampling line.<sup>18</sup> On the other hand, the use of dilution can make sure to harvest the  
90 soot samples at a relatively low temperature. The used dilution ratio of sucked flame gas was  
91 determined by means of scanning mobility particle sizer (SMPS) measurements.<sup>17</sup> The calculation  
92 method of dilution ratio follows what was proposed by Zhao et al.<sup>17</sup> The particle size distribution  
93 functions (PSDFs) for the dilution ratios of the flame gas in the sample probe were shown in Fig. 2.  
94 There are significantly different shapes in the PSDF between the dilution ratios of 20 and 300. When  
95 further increasing the dilution ratio to 500, however, the PSDFs show a very similar shape to those at  
96 the dilution ratio of 300, that is, both of PSDFs are unimodal at low HAB and then change to be  
97 bimodal as the HAB increased. Zhao et al.<sup>17</sup> concluded that when a critical dilution ratio is reached,  
98 the shape of the PSDFs should become independent of the dilution ratio. In the present work, this  
99 similarity in the shape of the PSDF suggests that chemical reactions of soot particles can be  
100 prevented at the dilution ratio of 300. Thus, a dilution ratio of 300 was used in our experiment.

101 The collection time for each trial was varied from 10 to 20 min. Generally, sampling at a lower  
102 flame position required much more time than at higher positions. After sampling, the soot samples  
103 were scraped from one filter and collected for analysis without any pre-treatment. This material is  
104 termed the virgin soot. The same soot samples on the other filter were extracted with  
105 dichloromethane using the Soxhlet method.<sup>19</sup> The SOF mass was calculated by the difference in the  
106 mass of the filter paper before and after Soxhlet-extracting virgin soot, using an electronic  
107 microbalance (Sartorius ME 95 5-F) with an accuracy of 0.001 mg. The virgin soot mass was  
108 calculated by the difference in the mass of the filter paper before and after sampling. The ratio of

109 SOF mass to virgin soot mass is the SOF percentage. The soot was subsequently separated from the  
110 Teflon filter by ultrasonication in dichloromethane, followed by centrifugal separation.<sup>20</sup> The  
111 resulting soot samples, termed extracted soot, were dried under nitrogen and then sealed in glass  
112 bottles while awaiting analysis. The temperature along the axial direction of the flame was measured  
113 using an R-type thermocouple (Pt/Pt-13%Rh) with a 30  $\mu\text{m}$  wire and a bead diameter of  
114 approximately 150  $\mu\text{m}$ . This device was attached to an electrically powered cylinder (Festo,  
115 DNCE-32-320-LAS) that allowed the rapid insertion and withdrawal of the thermocouple so as to  
116 reduce the thermocouple exposure time in the flame. The temperature values thus obtained were  
117 corrected for radiative heat loss,<sup>21</sup> and the uncertainty in the flame temperature measurements was  
118 determined to be no greater than  $\pm 50$  K.

## 119 2.2. *Fourier transform infrared spectroscopy (FT-IR)*

120 FT-IR spectroscopy was applied to identify the SFGs and to quantify the relative amounts of  
121 aliphatic and aromatic C–H groups on the sample surfaces. FT-IR spectra in the 4000-400  $\text{cm}^{-1}$  range  
122 were acquired with a Nicolet Nexus 470 FT-IR spectrometer with a resolution of 1  $\text{cm}^{-1}$ , using  
123 samples prepared by mixing and grinding the soot with KBr at a concentration of 0.5 wt% and  
124 pressing into pellets under 10 tons for 5 min. Spectra were baseline-corrected and smoothed prior to  
125 analysis and a continuous background was subtracted from each sample spectrum. Three spectra  
126 were acquired for each sample to allow an estimation of the reproducibility of the method. In general,  
127 the uncertainty in the FT-IR measurements was found to be less than 5%.

## 128 2.3. *Raman scattering spectroscopy*

129 A Raman scattering spectrometer (Renishaw RM1000) was used to characterize the degree of  
130 graphitization of the virgin and extracted soots. Spectra were acquired over the wavelength range

131 from 900–2000  $\text{cm}^{-1}$  with an Ar laser excitation source operating at 514.5 nm and 20 mW, and a  
132 sample scanning area 2  $\mu\text{m}$  in diameter. Spectra were obtained at approximately 10 positions with an  
133 exposure time of 60 s, and average data were calculated with an uncertainty of less 5%. Curve fitting  
134 for the Raman spectra was carried out using the Peak Fitting Module in the Origin 9.0 software  
135 package.

#### 136 2.4. Thermogravimetric analysis (TGA)

137 The virgin and extracted soot reactivities were estimated from the apparent activation energy  
138 values obtained by TGA (Mettler-Toledo TGA/DSC1) using platinum crucibles. Experiments were  
139 performed in ultra-high purity air at a flow rate of 40 mL/min and samples exposed to this air flow  
140 were heated from 50 to 800  $^{\circ}\text{C}$  at 10  $^{\circ}\text{C}/\text{min}$ . Each analysis was repeated three times to ensure  
141 reproducibility. The apparent activation energies for the virgin and extracted soots were calculated  
142 using the Mettler-Toledo STARe software (version 9.20) via the Friedman method, as suggested by  
143 Muller et al.<sup>22</sup>

### 144 3. Results and discussion

#### 145 3.1. Surface functional groups

146 As noted, FT-IR spectroscopy was employed to identify and quantify the SFGs on the soot  
147 surface. Fig. 3 shows typical baseline-corrected and smoothed FT-IR spectra of the virgin and  
148 extracted soots. Both spectra display weak peaks around 3050  $\text{cm}^{-1}$ , corresponding to aromatic C–H  
149 stretching. The three well-defined peaks at 770, 840 and 880  $\text{cm}^{-1}$  are assigned to the out of plane  
150 aromatic hydrogen bending modes of three-adjacent, two-adjacent and isolated hydrogens,  
151 respectively.<sup>23</sup> In addition, three characteristic aliphatic C–H stretching peaks are observed at 2960,  
152 2920 and 2860  $\text{cm}^{-1}$ . Aliphatic C–H groups are primarily due to methyl, methylene and methine



153 groups bonded to aromatic rings on PAHs, or to methylene bridges (fluorene types) maintaining the  
154 interconnection between PAHs within a network.<sup>24,25</sup> Other characteristic peaks are also evident in  
155 the region between 1720 and 1280  $\text{cm}^{-1}$ . The weaker ones around 1600  $\text{cm}^{-1}$  are assigned to C=C  
156 aromatic stretching, while the C=O stretching of carboxylic acids appears at 1720  $\text{cm}^{-1}$ ,<sup>24,25</sup> and the  
157 aliphatic C–H plane deformations of  $\text{CH}_2/\text{CH}_3$  groups appears at 1440 and 1380  $\text{cm}^{-1}$ .

158 For the purposes of SFG quantification, the FT-IR spectra were deconvoluted into different  
159 Gaussian functions, and the peak positions and band widths obtained from the best fitting Gaussian  
160 functions are listed in Table 1. Because quantitation based on peak area is more reliable than that  
161 based on peak height,<sup>26</sup> this process was used to quantify the concentration of each SFG. According  
162 to Mckinnon et al.,<sup>24</sup> Russo et al.<sup>26</sup> and our previous work,<sup>20</sup> the ratios of the aliphatic C–H peak area  
163 at 2920  $\text{cm}^{-1}$  and the sum of aromatic C–H peak area at 770, 840 and 880  $\text{cm}^{-1}$  to the aromatic C=C  
164 peak area at 1600  $\text{cm}^{-1}$  ( $A_{2920}/A_{1600}$  and  $A_{\text{aro}}/A_{1600}$ ) can be used to determine the relative  
165 concentrations of aliphatic and aromatic C–H groups, respectively. This method is unaffected by the  
166 thickness and concentration of the KBr pellet. Fig. 4 plots the  $A_{2920}/A_{1600}$  and  $A_{\text{aro}}/A_{1600}$  ratios as  
167 functions of the HAB. The  $A_{2920}/A_{1600}$  and  $A_{\text{aro}}/A_{1600}$  values for the virgin soot are evidently larger  
168 than those for the extracted soot at each sampling position, especially at low flame positions (below  
169 8 mm), implying that the SOF contains a large number of aliphatic and aromatic C–H groups.

170 The relative concentrations of aliphatic C–H groups in the virgin and extracted soot samples in  
171 Fig. 4a exhibit a decrease as HAB increases, following a sharp increase on going from HAB = 5 to 8  
172 mm, whereas the relative concentrations of aromatic C–H groups plotted in Fig. 4b increase  
173 continuously along the axial position of the flame. In the study of premixed *n*-heptane flames  
174 performed by Anna et al.,<sup>27</sup> it was found that fuel and oxygen are consumed quickly at low flame

175 positions, and at the same time H<sub>2</sub> is detected and increase steeply. Russo et al.<sup>28</sup> reported that in a  
176 premixed methane flame, approximately 70% volume fraction of molecular hydrogen is found in the  
177 HAB range of 5-8 mm. The presence of large amounts of molecular hydrogen deactivates soot and  
178 soot precursor radicals,<sup>28</sup> which prevents the rapid consumption of aliphatic hydrogen, such that the  
179 quantity of aliphatic hydrogen generated by thermal decomposition exceeds the amount consumed.  
180 This increase in aliphatic hydrogen is evidenced by the elevation in the  $A_{2920}/A_{1600}$  ratio over the  
181 HAB range of 5 to 8 mm, as shown in Fig. 4a. However, Cain et al.<sup>16</sup> attributed the increase in  
182 aliphatic hydrogen to the reaction of unsaturated hydrocarbons (e.g., acetylene) with the surface of  
183 an initially graphitic-like core, leading to bonding of aliphatic compounds on this core to form the  
184 aliphatic shell. As the HAB increases further, the persistent decrease in the  $A_{2920}/A_{1600}$  ratio suggests  
185 that the abundant aliphatic hydrogen on soot surface is gradually consumed. This behavior is likely  
186 due to the enhanced carbonization reactions on the soot surface. Dobbins and his colleagues<sup>29-31</sup>  
187 studied the chemical evolution of soot precursor particles on the centerline of the laminar ethene  
188 diffusion flame. They found that carbonization on the centerline of flame occurs abruptly between 30  
189 and 40 mm above the burner, where the hydrogen mole fraction on soot decreases from 0.36 to 0.15.  
190 Vander Wal.<sup>32</sup> claimed that the soot carbonization process in the diffusion flame accounts for the  
191 decrease in hydrogen mole fraction. Moreover, the energy required for the abstraction of the first  
192 hydrogen of methylene groups is lower than that for methyl groups and much lower than that for  
193 aromatic hydrogen.<sup>28</sup> Over the HAB range of 5–8 mm, therefore, methylene groups are readily  
194 removed to generate more active sites available for the further formation of aromatic compounds,  
195 resulting in a sharp increase in the  $A_{\text{aro}}/A_{1600}$  ratio. At higher HAB values, the gradual increase in the  
196  $A_{\text{aro}}/A_{1600}$  ratios occurs because the enhanced carbonization reactions reduce the concentration of

197 available radical sites, decreasing the reactivity required for the additional formation of aromatic  
198 compounds. Russo et al.<sup>28</sup> calculated the concentrations of aliphatic and aromatic hydrogen tethered  
199 to soot samples by multiplying their hydrogen weight fraction, measured by FT-IR analysis, by soot  
200 concentration. As the HAB increases, the aliphatic hydrogen concentration exhibits rise-decay trend  
201 along the flame axis, whereas the aromatic hydrogen concentration shows an increase. In contrast,  
202 the aliphatic and aromatic hydrogen weight fractions both decrease along the flame axis with an  
203 increase in HAB. However, Santamaria et al.<sup>33</sup> reported that the concentration of aromatic groups on  
204 soot from an inverse ethylene diffusion flame increases with an increase in the HAB. They  
205 concluded that the increased quantities of aromatic compounds can be ascribed to the fact that  
206 aliphatic structures undergo de-alkylation and/or cyclization reactions.

### 207 *3.2. Graphitization degree*

208 The degrees of graphitization of soot samples were characterized by Raman spectroscopy  
209 because this technique is sensitive to the fundamental atomic vibrations of the graphite lattice. Fig. 5  
210 shows typical baseline-corrected, smoothed Raman spectra of virgin and extracted soots from the  
211 premixed methane flames. These spectra exhibit two broad and highly overlapped peaks at  
212 approximately 1345 (D peak) and 1590  $\text{cm}^{-1}$  (G peak). To allow a more accurate analysis, the spectra  
213 were deconvoluted and fitted by three Lorentian and one Gaussian functions,<sup>34</sup> and the obtained  
214 results are shown in Fig. 6. The Lorentian functions correspond to the D1 band arising from the  
215 carbon atoms at the edge of the graphene layers,<sup>13</sup> the D4 band from the C–C and C=C bonds in  
216 polyene-like structures<sup>35,36</sup> and the G band from the ideal graphitic lattice,<sup>34</sup> while the D3 band  
217 assigned to the amorphous carbon fraction of the soot<sup>35</sup> corresponds to the Gaussian function. Table  
218 2 lists the fitted data, including full width at half maximum (FWHM) values and peak positions. For

219 both the virgin and extracted soots, the FWHMs of the G bands are narrower than those of the other  
220 three bands, demonstrating that the G peak corresponds to the crystalline phase of carbon.

221 The relative D peak to G peak intensity ( $I_D/I_G$ ) is directly relates to the size and defects in the  
222 basal plane of the graphene layers, i.e., follows the equation:  $I_D/I_G = C/L_a$ , where C is a constant.<sup>37</sup>  
223 But when  $L_a$  is smaller than 2 nm, Ferrari et al.<sup>38,39</sup> proposed that such linear relationship between  
224  $1/L_a$  and  $I_D/I_G$  breaks down. The relationship between  $I_D/I_G$  ratio and average crystallite size  $L_a$   
225 follows a new equation:  $I_D/I_G = C'(\lambda) L_a^2$ . In other words, the increase in  $I_D/I_G$  ratio indicates an  
226 increase in graphitization degree of soot. However, some research results cannot be explained with  
227 the equation suggested by Ferrari et al. For instance, Vander Wal et al.<sup>40,41</sup> discovered that in the case  
228 of  $L_a < 2$  nm, the soot structure becomes more graphitic with the decrease in  $I_D/I_G$  ratio. Rohani et  
229 al.<sup>42</sup> found that the diesel exhaust soot obtained from the conventional combustion mode had lower  
230  $I_{D1}/I_G$  and  $A_{D1}/A_G$  than those from premixed charge compression ignition (PCCI) combustion mode.  
231 They claimed that the conventional mode soot possesses more graphitic organization than the PCCI  
232 mode soot. Seong and Boehman<sup>13</sup> asserted that the equation proposed by Ferrari et al. is not  
233 applicable for soot samples because soot samples are different from carbon materials. Because the  
234 FWHM cannot be reflected in the peak intensity ratio<sup>34,43</sup> and the D and G peaks of Raman spectrum  
235 are the cumulative results of D1, D3, D4 and G peaks<sup>42</sup>, the peak area ratio ( $A_{D1}/A_G$ ) was used to  
236 characterize the graphitization degree of soot in the present study. The decrease in  $A_{D1}/A_G$  ratio  
237 indicates an increase in graphitization degree of soot. The peak area ratios of  $A_{D1}/A_G$ ,  $A_{D3}/A_G$  and  
238  $A_{D4}/A_G$  against the HAB are plotted in Fig. 7. The  $A_{D1}/A_G$  and  $A_{D3}/A_G$  ratios of the virgin soot are  
239 greater than those of the extracted soot at each sampling position, implying that the virgin soot  
240 possesses a more disordered, amorphous structure compared to the extracted soot. This is believed to

241 result from the presence of an appreciable amount of amorphous soot precursors in the SOF<sup>13,44</sup>. In  
242 contrast, the higher  $A_{D4}/A_G$  values for the virgin soot indicate that there are high concentrations of  
243 C–C and C=C bonds related to polyene-like structures in the SOF.<sup>36,45</sup> Collura et al.<sup>10</sup> found the  
244 diesel exhaust soot exhibited relatively ordered graphitic organization after thermal decomposition  
245 process of SOF. Especially, when using an oxidation catalyst on the diesel engine, the soot after  
246 thermal decomposition becomes even more ordered graphitic organization than the virgin soot.  
247 Moreover, both the virgin and extracted soots exhibit similar trends in terms of changes in the  
248  $A_{D1}/A_G$  and  $A_{D3}/A_G$  ratios as the HAB increases. In the region of  $HAB < 8$  mm, there are dramatic  
249 increases in the  $A_{D1}/A_G$  and  $A_{D3}/A_G$  ratios for both the virgin and extracted soots, suggesting a  
250 decrease in the graphitization degree of the soot. Over the HAB range of 12 to 25 mm, increased  
251 rates of carbonization reactions and longer residence time enhance the degree of graphitization, and  
252 consequently decrease the  $A_{D1}/A_G$  and  $A_{D3}/A_G$  ratios. Russo et al.<sup>46</sup> reported that methane soot  
253 undergoes a progressive dehydrogenation process with the increase in the residence time, which  
254 leads to an increase in the degree of graphitization. Dobbins et al.<sup>29-31</sup> discovered that carbonization  
255 reaction occurs abruptly at relative high flame positions, accompanying with the significant  
256 consumption of hydrogen mole fraction and the improved degree of graphitization. The  $A_{D4}/A_G$  value  
257 steadily decreases in the case of the virgin soot as the HAB increases, while the extracted soot shows  
258 a decrease after an initial increase. At low flame positions, the difference in the variations of the  
259  $A_{D4}/A_G$  ratios between both soots is likely due to the presence of species with C–C and C=C bonds of  
260 the polyene-like structures in the SOF of virgin soot, which play an important role in the soot growth  
261 process.<sup>36,45</sup>

262

### 263 3.3. Characteristic oxidation temperatures and reactivity

#### 264 3.3.1. Peak and burnout temperatures

265 Figure 8 presents a typical smoothed TGA-differential thermal gravimetry (DTG) plot (showing  
266 differential mass loss) generated by the virgin and extracted soots. Two characteristic temperatures  
267 were obtained from these DTG curves:<sup>5,47</sup> the peak temperature ( $T_p$ ) and the burnout temperature ( $T_b$ ).  
268  $T_p$  refers to the temperature at which the maximum rate of weight loss occurs, and a lower  $T_p$   
269 suggests easier ignition.  $T_b$  represents the temperature at which the oxidation of soot is complete. The  
270  $T_p$  and  $T_b$  values for both the virgin and extracted soots are presented in Table 3. Over the range of  
271 experimental flame positions, the  $T_p$  and  $T_b$  values for the virgin soot are shifted to lower  
272 temperatures by 5–27°C relative to the data for the extracted soot. These results indicate that the  
273 virgin soot ignites more easily and that its combustion is completed sooner than that of the extracted  
274 soot. That is, the oxidation reactivity of the virgin soot is increased because of the presence of the  
275 SOF. Similar results were reported by Yehliu et al.<sup>48,49</sup> and Xu et al.,<sup>50</sup> who found that the presence of  
276 SOF on soot increases the oxidation reactivity. For the virgin soot, considerable SOF resides inside  
277 the micropores or between the primary particles. During the heating process, the SOF is preferential  
278 evaporated. Although the SOF does not participate in the soot oxidation process, larger porous  
279 surface is exposed into the oxidation environment, which propagates more surface available for  
280 oxidation reaction.<sup>51,52</sup>

#### 281 3.3.2. Oxidation reactivity

282 The oxidation reactivity of flame-formed soot is evaluated in terms of the apparent activation  
283 energy ( $E_a$ ). The calculation of the apparent reactivation energy is based on the Arrhenius equation:

$$284 \quad -\frac{dm}{dt} = k \cdot m^n = Ae^{\frac{-E_a}{RT}} m^n, \quad (1)$$

285 where  $m$  is the actual mass of the sample undergoing reaction,  $t$  is the reaction time,  $k$  is the specific  
286 rate constant,  $T$  is the absolute temperature of the sample,  $A$  and  $E_a$  are the Arrhenius pre-exponential  
287 factor and apparent activation energy, respectively, and  $n$  is the reaction order. It was assumed that  $n$   
288 = 1 during sample oxidation in this work.<sup>5</sup>

289  $E_a$  values were calculated from the slopes of plots of  $\ln(-\frac{dm}{dt} \frac{1}{m})$  against  $1/T$  and are plotted as  
290 functions of the HAB in Fig. 9. The  $E_a$  values of the extracted soot are in the range of 132.25 to  
291 153.20 kJ mol<sup>-1</sup>, and these values are greater than those of 124.22 to 151.38 kJ mol<sup>-1</sup> for the virgin  
292 soot, implying that the virgin soot is more readily oxidized. This observation can be explained by the  
293 difference in the specific surface areas of these materials. During the ongoing oxidation, the SOF on  
294 the virgin soot surface will evaporate and/or decompose, which increases the specific surface area.<sup>9,10</sup>  
295 The resulting greater specific surface area exposes more edge active positions to oxygen attack.<sup>53</sup>  
296 Chong et al.<sup>4</sup> examined the effects of volatile component of SOF on the oxidation of diesel exhaust  
297 PM collected directly from the filter membrane of a DPF. They found that the oxidation  
298 characteristics of diesel PM were weakly influenced by the volatile components of SOF. In contrast,  
299 the heavier SOF components and thermal aging seemed to have a strong effect on diesel soot  
300 oxidation. Lee et al.<sup>11</sup> analyzed the oxidation properties of the diesel PM from the high-temperature  
301 exhaust stream of a single-cylinder direct-injection diesel engine. They pointed out that the PM with  
302 a higher content of SOF was oxidized more rapidly. Moreover, both the virgin and extracted soots  
303 exhibit similar trends in terms of changes in  $E_a$  with increasing HAB values. The oxidation reactivity  
304 of soot is related to the amounts of SFGs and the degree of graphitization, and a high concentration  
305 of SFGs and a low degree of graphitization can improve the reactivity.<sup>20,54</sup> Over the range of HAB  
306 values from 5 to 8 mm, the decreased  $E_a$  values of the virgin and extracted soots are attributed to

307 greater amounts of SFGs and more amorphous structures. As the HAB increases further, from 8 to  
308 25 mm, the increase in  $E_a$  for both soots can be ascribed to the evolution of a more graphitic  
309 nanostructure and the presence of fewer aliphatic and aromatic C–H groups, as demonstrated in Figs.  
310 4 and 7.

#### 311 *3.4. Relationship between physicochemical properties and SOF content*

312 To establish a possible correlation between the physicochemical properties and the SOF content,  
313 the  $A_{2920}/A_{1600}$ ,  $A_{\text{aro}}/A_{1600}$  and  $A_{\text{DI}}/A_{\text{G}}$  ratios and  $E_a$  values of the virgin soot were normalized with  
314 respect to those of the extracted soot. The normalized values for the soot samples at different HAB  
315 values are plotted against the SOF content in Fig. 10. It is evident that, as the SOF content increases,  
316 the normalized concentrations of aliphatic and aromatic C–H and  $A_{\text{DI}}/A_{\text{G}}$  ratios increase, whereas the  
317 normalized  $E_a$  values decrease. To assess the strength of the linear relationship between these  
318 variables, the linear correlation coefficient,  $R^2$ , was calculated by means of simple linear regression.  
319 The  $R^2$  values are 0.01 between the normalized concentrations of aliphatic groups and the SOF  
320 content, 0.33 between the normalized concentrations of aromatic C–H groups and the SOF content,  
321 and 0.18 between the normalized  $A_{\text{DI}}/A_{\text{G}}$  ratio and the SOF content. While a value of 0.97 was found  
322 for the relationship between the normalized  $E_a$  and the SOF content. These results suggest that the  
323 SOF content has a limited correlation with the concentrations of aliphatic and aromatic C–H and  
324 graphitization degree, but has a definite correlation with the normalized  $E_a$ . Therefore, the SOF  
325 content can serve as an indicator of the oxidation reactivity of soot. This result is in accordance with  
326 the findings of Stratakis et al.,<sup>55</sup> who reported that an increase in the SOF content coincides with a  
327 decrease in  $E_a$ . Collura et al.<sup>10</sup> also observed that larger amounts of SOF are associated with higher  
328 oxidation reactivity. Lee et al.<sup>11</sup> also reported that soot having higher SOF fraction oxidizes more



329 rapidly relative to those with lower SOF fraction.

#### 330 **4. Conclusions**

331 A comparative study of the physicochemical properties of virgin and extracted soots was carried  
332 out, employing a premixed methane flame. Compared with the extracted soot, the virgin soot shows  
333 relatively high concentrations of aliphatic and aromatic C–H groups, larger  $A_{D1}/A_G$ ,  $A_{D3}/A_G$  and  
334  $A_{D4}/A_G$  ratios, as well as smaller  $E_a$  values at all axial flame positions. Moreover,  $T_p$  and  $T_b$  of the  
335 virgin soot are shifted to lower temperatures by 5–27 °C compared with those of the extracted soot,  
336 suggesting that the virgin soot should ignite more readily at a lower temperature and its combustion  
337 should be complete sooner. However, the variations in the amounts of aliphatic and aromatic C–H  
338 groups, the  $A_{D1}/A_G$  and  $A_{D3}/A_G$  ratios, and the  $E_a$  values for the virgin soot are similar to those for the  
339 extracted soot throughout the flame. The exception is in the case of the  $A_{D4}/A_G$  ratio. In both the  
340 virgin and extracted soots, the relative amounts of aliphatic C–H groups increase over the range of  
341 HAB values from 5 to 8 mm and then decrease as the HAB is further increased, while the relative  
342 amounts of aromatic C–H groups increase continuously as the HAB increases. The  $A_{D1}/A_G$  and  
343  $A_{D3}/A_G$  ratios for both virgin and extracted soots were observed to initially increase, then to decrease  
344 throughout the axial sampling positions. In contrast, the  $E_a$  values for both soots increase at HAB  
345 values over 8 mm after a sharp decrease in the lower HAB positions. Finally, the SOF content was  
346 found to exhibit a clear correlation with the normalized  $E_a$ , while no such correlation was identified  
347 with the normalized  $A_{2920}/A_{1600}$ ,  $A_{aro}/A_{1600}$  and  $A_{D1}/A_G$  ratios.

#### 348 **Acknowledgments**

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471 **Figure Captions:**

472 Fig. 1. Schematic diagram of the experimental system.

473 Fig. 2. Normalized particle size distribution functions (PSDFs) obtained at various dilution ratios.

474 Fig. 3. Typical infrared spectra of virgin and extracted soots (HAB = 8 mm).

475 Fig. 4. Relative concentrations of aliphatic and aromatic C–H groups as functions of HAB for virgin  
476 and extracted soots. The error bars represent the standard error.

477 Fig. 5. Typical Raman spectra of virgin and extracted soots (HAB = 8 mm).

478 Fig. 6. Four-band fitting of typical Raman spectra of extracted soot (HAB = 8 mm).

479 Fig. 7.  $A_{D1}/A_G$ ,  $A_{D3}/A_G$  and  $A_{D4}/A_G$  ratios as functions of HAB. The error bars indicate the standard

480 error.

481 Fig. 8. Typical TG-DTG plots for the virgin soot (HAB = 8 mm).

482 Fig. 9. Apparent activation energy ( $E_a$ ) values as functions of HAB. The error bars indicate the  
483 standard error.

484 Fig. 10. Normalized concentrations of aliphatic and aromatic C–H,  $A_{DI}/A_G$  and apparent activation  
485 energy ( $E_a$ ) values as functions of the soluble organic fraction (SOF) content. The error bars indicate  
486 the standard error.

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502 Table 1 Data obtained from the curve fitting of the FT-IR spectrum.

	Aliphatic C–H			Aromatic C–H			C=O	C=C	
Peak Positions (cm <sup>-1</sup> )	2857±5	2921±4	2958±4	3047±5	778±6	823±6	890±8	1710±8	1600±9
FWHM (cm <sup>-1</sup> )	56±6	46±3	28±2	48±3	24±4	23±2	25±4	55±6	40±6

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506 Table 2 Data obtained from the curve fitting of the Raman spectrum.

	Virgin soot				Extracted soot			
	D4	D1	D3	G	D4	D1	D3	G
Fitting Function	Lorenz	Lorenz	Gaussian	Lorenz	Lorenz	Lorenz	Gaussian	Lorenz
Peak Position (cm <sup>-1</sup> )	1209±7	1341±2	1518±8	1595±5	1190±6	1340±3	1500±9	1587±5
FWHM (cm <sup>-1</sup> )	160±12	150±13	210±8	70±6	120±10	210±12	100±7	80±5

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511 Table 3 Peak and burnout temperatures of the virgin and extracted soots.

HAB (mm)	Virgin soot		Extracted soot	
	<i>T<sub>p</sub></i> (°C)	<i>T<sub>b</sub></i> (°C)	<i>T<sub>p</sub></i> (°C)	<i>T<sub>b</sub></i> (°C)
5	589	637	615	643
8	578	632	605	639
12	594	644	617	652
16	602	660	623	665
25	611	667	635	672

512 *T<sub>p</sub>*: peak temperature from DTG curve of soot sample oxidation513 *T<sub>b</sub>*: burnout temperature from DTG curve of soot sample oxidation

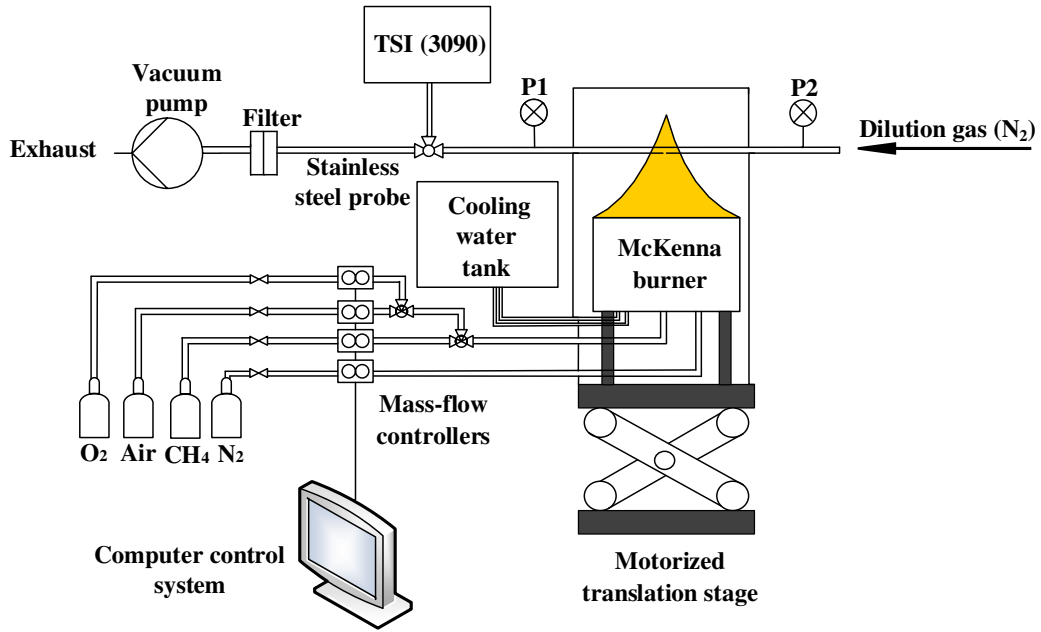


Fig. 1. Schematic diagram of the experimental system.

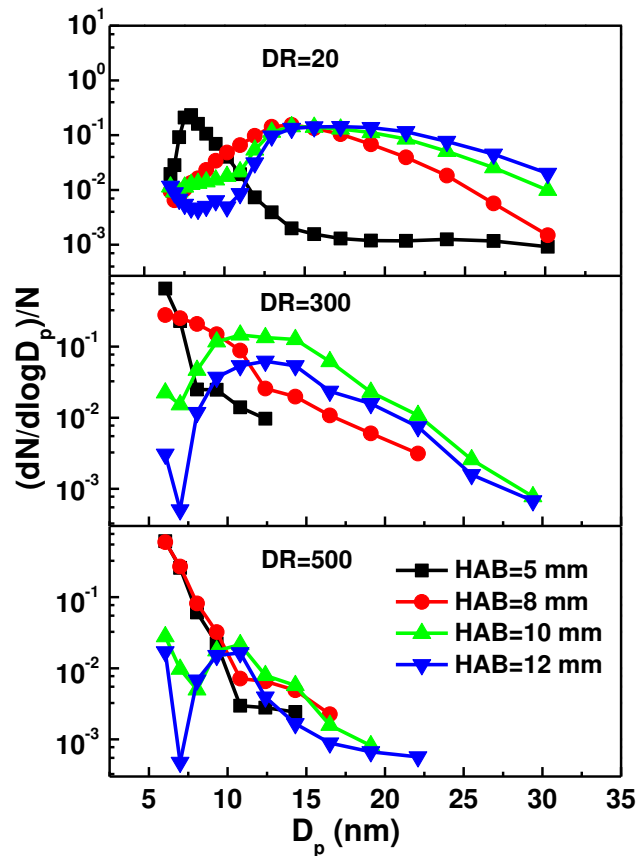


Fig. 2. Normalized particle size distribution functions obtained at various dilution ratios.

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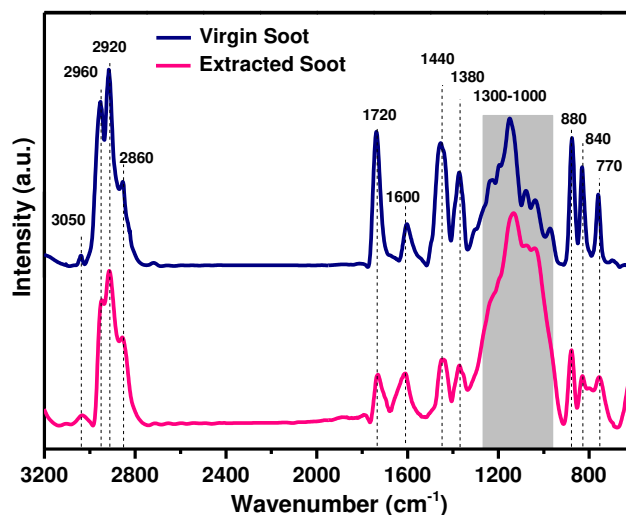


Fig. 3. Typical infrared spectra of virgin and extracted soots (HAB = 8 mm).

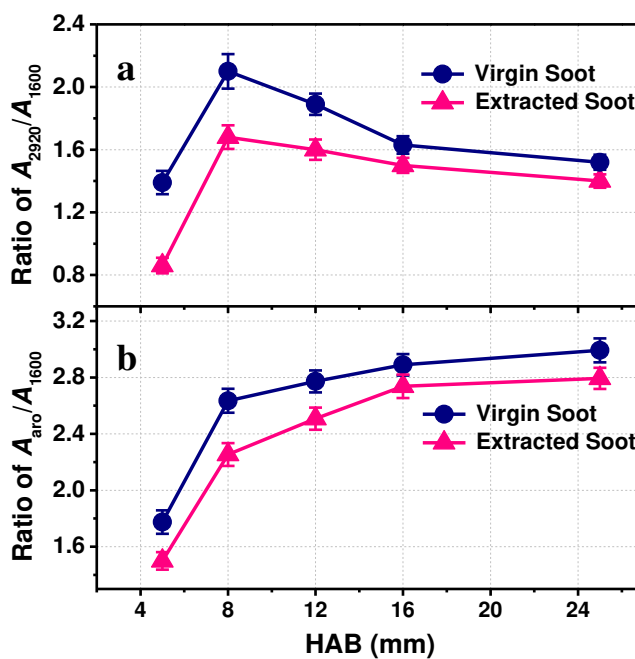
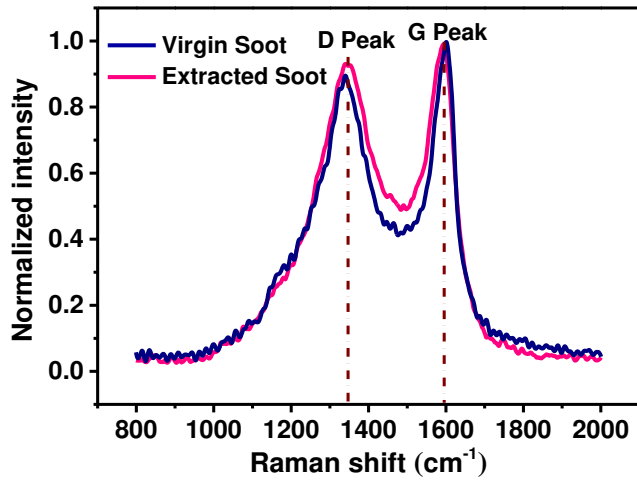


Fig. 4. Relative concentrations of aliphatic and aromatic C–H groups as functions of HAB for virgin and extracted soots. The error bars represent the standard error.



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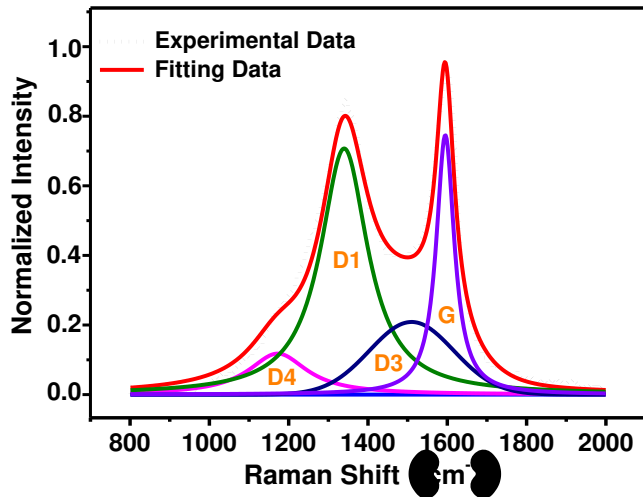
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Fig. 5. Typical Raman spectra of virgin and extracted soots (HAB = 8 mm).



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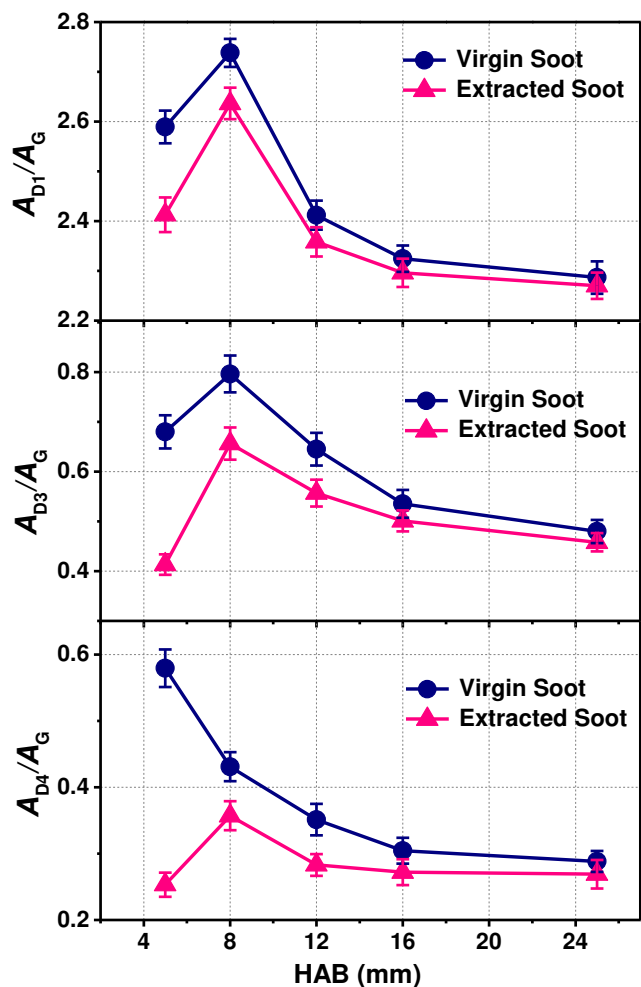
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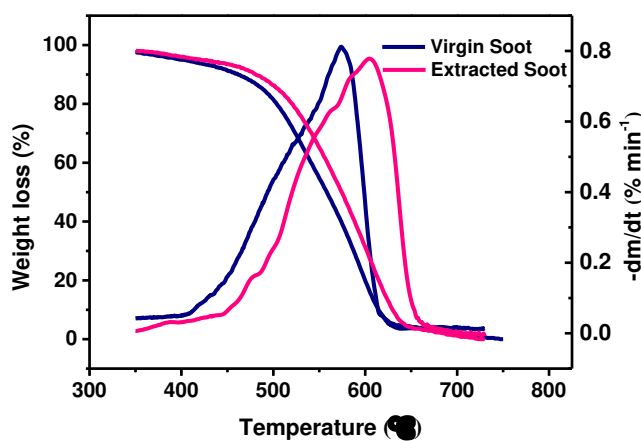
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Fig. 6. Four-band fitting of typical Raman spectra of extracted soot (HAB = 8 mm).



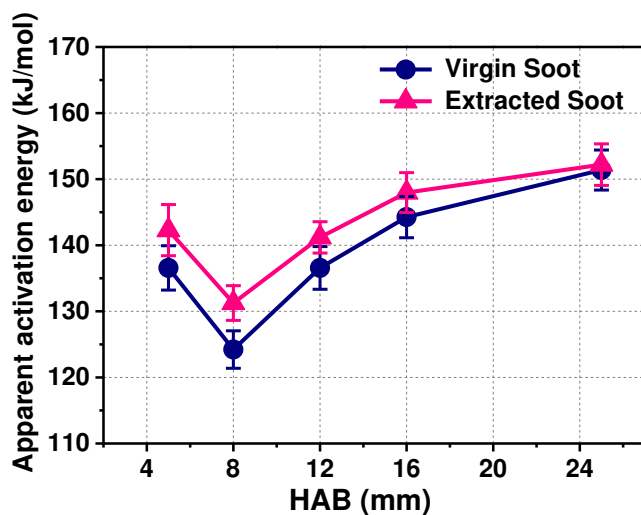
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Fig. 7.  $A_{D1}/A_G$ ,  $A_{D3}/A_G$  and  $A_{D4}/A_G$  ratios as functions of HAB. The error bars indicate the standard error.



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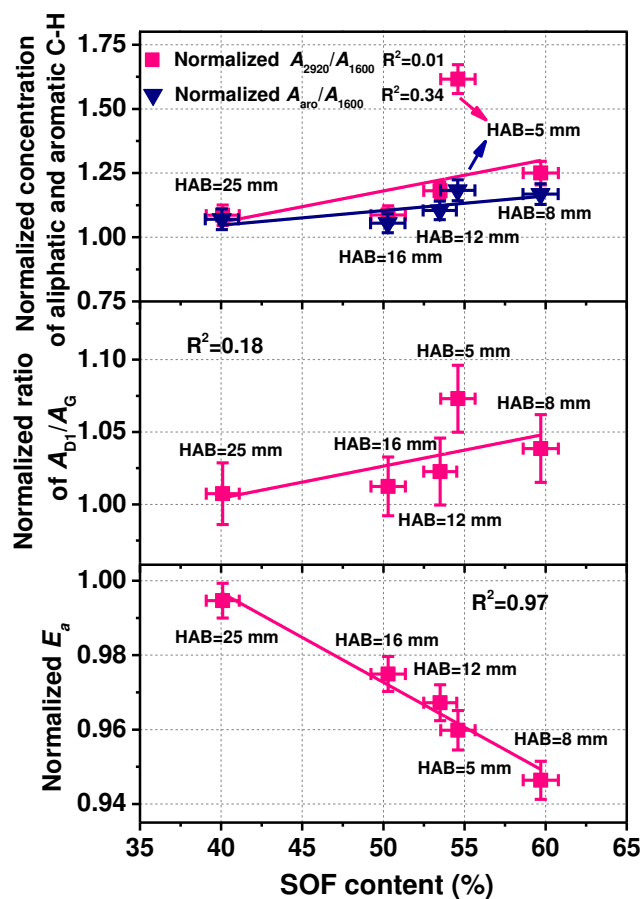
Fig. 8. Typical TG-DTG plots for the virgin and extracted soots (HAB = 8 mm).



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558 Fig. 9. Apparent activation energy ( $E_a$ ) values as functions of HAB. The error bars indicate the  
 559 standard error.

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562 Fig. 10. Normalized concentrations of aliphatic and aromatic C-H,  $A_{D1}/A_G$  and apparent activation  
 563 energy ( $E_a$ ) values as functions of the soluble organic fraction (SOF) content. The error bars indicate  
 564 the standard error.

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