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1	SHAKEDOWN AND DYNAMIC BEHAVIOUR OF MASONRY ARCH RAILWAY BRIDGES
2	
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7	
8	Abstract
9	Masonry arch bridges form an integral part of our rail infrastructure network and their safety is important
10	for the functioning of our society. Although, there have been several studies to understand the in-service
11	condition of masonry arch bridges, these are mainly focusing on static analyses. However, it is well
12	known that moving vehicles exert a dynamic force on bridges as they cross them. This paper investigates

- 13 the shakedown and dynamic behaviour of railway masonry arch bridges under traffic load conditions.
 14 A nonlinear, mixed discrete-finite element numerical model was developed to investigate static and
- 15 dynamic response on a masonry arch bridge. Each voussoir of the masonry arch was represented by a 16 distinct block, while the mortar joints were modelled as zero thickness interfaces which can open and 17 close depending on the magnitude and direction of the stresses applied to them. Both static and real 18 dynamic analyses were carried out investigate the effects of moving traffic loads. In addition, 19 investigations into the train to bridge interaction were undertaken and the dynamic amplification factors 20 (DAFs) were estimated. From the evaluation of the results, it was shown that as the external load passes 21 through the bridge, plastic deformations and residual stresses exist in the arch barrel. Also, the dynamic 22 amplification depends on the magnitude of the external load. As the load increases, non-linearity in the
- structure is evident, which decreases the natural frequency of the bridge. Hence the critical speed is decreasing. Observations provided here reveal new insight into the residual and load carrying capacity
- 25 of masonry arch bridges.
- 26
- Keywords: Masonry arch bridge, dynamic analysis, plastic shakedown, moving load, discrete element
 method, railway bridges
- 29 30 31 32 33 34 35 36 37 38 39

40 **1. Introduction**

41 Europe is sustained by a highly complex and interconnected network of transport infrastructure. 42 Masonry arch bridges forms the backbone of European transport infrastructure network (e.g. there are 43 approximately 200,000 masonry arch bridges still in use on the European railway network [1]) and their 44 reliability and integrity is vital for ensuring economic activity and prosperity. The majority of masonry arch bridges were built in the 19th century, in parallel with the industrial revolution [2]. Today, these are 45 still in-service but showing significant signs of distress. Weathering, demands of increasing axle loads 46 47 and train velocities [3], plus factors such as increased frequency of flood events due to climate change 48 have introduced extreme uncertainty in the long-term performance of such infrastructure assets. Also, 49 much of our masonry infrastructure has significant heritage and cultural value and in many countries 50 have a policy to "retain and repair", rather than "demolish and replace" them [4]. Failure of such infrastructure could lead to direct and indirect costs to the economy and society and hamper rescue and 51 52 recovery efforts. From the above, there is an imperative need to better understand the mechanical 53 behaviour of masonry arch bridges and provide detailed and accurate data that will better inform 54 maintenance programmes and asset management decisions. Without a strategic approach to caring for 55 our ageing masonry infrastructure, we run the risk of over-investing in some areas while neglecting 56 others that are in need of our attention.

57 However, assessing the structural performance of ageing masonry infrastructure is a complex task. Previous research has clearly demonstrated that the assessment methods currently used by the industry 58 59 are antiquated and/or over-simplistic. For example, for the assessment of masonry arch bridges, the 60 Military Engineering Experimental Establishment (MEXE) method of assessment is still in use especially in UK. This is a semi-empirical approach based on an elastic analysis by Pippard et al. [5] 61 62 who modelled the arch barrel as linear elastic, segmental in shape, pinned at its support and carrying a 63 central point load. The method dates back to the 1940s, has very limited predictive capability, and offers 64 little scope for future enhancement [6]. Other assessment approaches used by the industry (particularly in the UK) are: a) the static theorem of plastic limit analysis (developed into the Archie-M software) 65 which uses simple equilibrium calculations (the self-weigh of the arch barrel and live loads are balanced 66 67 by forces between the blocks); and b) the RING software which is based on the rigid block theory and 68 uses the kinematic theorem of limit analysis to identify the collapse state with the smallest external 69 loading and hence predict the ultimate load [7]. Although the primary focus of these methods has been 70 on the prediction of structural failure of ageing masonry infrastructure, prediction of the service load 71 above which incremental damage occurs is now a key priority for infrastructure owners, who are under 72 increasing pressure to provide transport networks which are secure and resilient [8].

73 Over the last three decades, significant efforts have been devoted to the development of numerical 74 models to represent the complex and non-linear in-service behaviour and limit state capacity of masonry 75 structures subjected to external loads. Such models range from considering masonry as a continuum (macro-models) to the more detailed ones that consider masonry as an assemblage of units and mortar 76 77 joints (micro-models/meso-scale models); see Boothby [9] and Sarhosis et al. [10]. In particular, Choo 78 and Gong [11] have successfully used the Finite Element Method (FEM) to developed models of 79 masonry arch bridges to predict their ultimate load carrying capacity. However, in macro-models based 80 on the FEM, the description of the discontinuity is limited since they consider the arch as a continuum element [10, 12]. An overview of such models can be found in Boothby [13] and Sarhosis et al. [10]. 81 Given the importance of the masonry unit-to-mortar interface [14, 15] on the structural behaviour of 82 83 aged masonry arch bridges, micro-modelling approaches (i.e. those based on Discrete-Finite Element 84 Method) are better suited to simulating their serviceability and load carrying capacity [16-18]. 85 Sophisticated FEM approaches (e.g. those based on the contact element techniques) were able to reflect 86 the discrete nature of masonry e.g. those presented by Fanning and Boothby [9], Gago et al. [19], Ford 87 et al. [20] and Drosopoulos et al. [21]. However, such methods require high computational cost, are 88 unable to realistically predict the crack development at serviceability limit state and have convergence 89 difficulties when blocks fall or slide excessively. Another modelling approach is the one described by 90 the fibre-beam approach which can predict the collapse mechanism of masonry and to account for the 91 effective material behaviour with acceptable computational effort. According to the method, the 92 masonry arch can be modelled as a segmental fibre-beam [22]. The approach has been successfully used 93 to study the behaviour of masonry arches and arch bridges under static and dynamic conditions [23]. 94 Despite the simplifications in the representation of structural geometry, it was found a promising 95 approach for preliminary assessment of the seismic capacity of masonry arch bridges.

96 An alternative and attractive method in which the discrete nature of the masonry can be more realistically 97 represented is the Discrete Element Method (DEM). The advantage of the DEM is that it considers the 98 arch as a collection of separate voussoirs able to slide and rotate relative to each other. The DEM was 99 developed by Cundall [24] to model blocky-rock systems and sliding along rock mass. The approach 100 was recently implemented to simulate the mechanical response of masonry structures including arches 101 [14, 16, 25-27] in which failure occurs along mortar joints. From past studies carried out using DEM to simulate the mechanical response of masonry arch bridges, it was found that the method is suitable and 102 103 reliable especially in the case in which failure is dominated at masonry unit-to-mortar interface [12]. 104 Also, an important finding from past literature review studies presented by Sarhosis et al. [10] is that the 105 majority, if not all, of the past research is focusing on the behaviour of masonry arch bridges subjected 106 to static loads. In such studies, to reach conclusions related to the load carrying capacity of masonry 107 arch bridges, an increasing in magnitude point load is applied at the quarter and/or at mid-span of the 108 masonry arch bridge.

109 However, vehicles crossing masonry arch bridges are exerting dynamic loads on them. Most of the standards and industry guidelines [1, 3, 28, 29] suggest the use of dynamic amplification factors to take 110 111 into account such effects. In this case, static analysis can be carried out, while the static response of the 112 structure (e.g. displacements, internal forces, stresses) should be multiplied by the dynamic amplification factor. In this way, real dynamic analysis can be avoided. Also, there are several analytical 113 [30], numerical [31-34] and experimental [35] studies investigating the dynamic response of masonry 114 115 arch bridges. Smith and Acikgoz [30] investigated the dynamic behaviour of linear elastic curved beams. Partial differential equations of the vibration were derived and solved numerically. Dynamic 116 amplification factors were determined. According to the authors, codified procedures can significantly 117 118 underestimate the dynamic amplification. In addition, Ataei at al. [35] estimated the dynamic 119 amplification factors of eleven multi-span masonry arch bridges. Vertical deflection of the crown was 120 measured when different in speed and weight train crossed the bridge. Moreover, due to the importance 121 of this subject, many guidelines are provided by various codes and design standards (e.g ERRI-D214 [36]) for designing and performance assessment on the dynamic characteristics of bridges. However, 122 123 from the above studies it is evident that assessing the in-service condition of masonry arch bridges is a 124 rather difficult task. This is mainly due to the complexity of the problem and that recent studies have 125 reported contradictory results.

126 This paper aims to study the dynamic phenomena on masonry arch bridges due to vehicle load. A 127 numerical model has been developed to analyse both static and dynamic response of masonry arch bridges with the purpose of estimating the traffic effects by means of moving loads. As a case study, the 128 129 geometrical characteristics of the Prestwood bridge have been adopted in the investigations. The structural assessment and numerical analyses of the bridge were performed based on a detailed finite-130 131 discrete element code. Suitable constitutive laws were considered for the mortar joints and for the 132 backfill. The numerical results were compared against field test results. The interaction between the train and the track is considered through a simplified methodology. Two different types of static analyses 133 134 (incrementally increased load at fixed points and quasi-static moving load) and real dynamic analysis 135 were carried out. In addition, dynamic amplification factors (DAFs) were estimated. Results of this study 136 were used to assess how train load and train speed affect the DAF on a masonry arch bridge.

137 2. Current dynamic amplification factors for railway bridges

138 The passage of trains on bridges exerts dynamic effects on them. Dynamic effects are able to change the 139 structural response (e.g. the displacements, internal forces etc.) of a bridge. According to Eurocode [28],

140 the extent of the dynamic effects on bridges depends mainly on: a) the velocity of the train; b) the number

- 141 and weights of the axles; c) the span of the bridge; and d) the natural frequency (mass and stiffness) of
- the bridge. Other factors which may influence the dynamic effect in bridges are the railway track to train interaction and the dynamic characteristics of the ballast; but these effects are out of scope of this work.
- 144 The simplified method of Eurocode (EN 1991-2:2003) for railway bridges enables the engineer to carry
- 145 out static analysis with LM71 vertical load model and multiply the structural response with the dynamic
- 146 amplification factors calculated as:

$$\Phi_2 = \frac{1.44}{\sqrt{L_{\phi}} - 0.2} + 0.82 \qquad 1.00 \le \Phi_2 \le 1.67 , \tag{1}$$

$$\Phi_{3} = \frac{2.16}{\sqrt{L_{\phi}} - 0.2} + 0.73 \qquad 1.00 \le \Phi_{3} \le 2.00, \qquad (2)$$

147 where L_{ϕ} is the determinant length in meters (see EN 1991-2: Traffic load on structures), while Φ_2

148 and Φ_3 are the dynamic factors for carefully maintained tracks and tracks with standard maintenance,

149 respectively. These formulas can only be used if: a) the first natural frequency of the structure does not

exceeds the lower and upper-limit for natural frequency defined in the standard; and b) the train velocity
does not exceed 200 km/h (56 m/s). It should be noted that the dynamic amplification of EN 1991-2

152 simplified method does not depend on the velocity of the train.

EN 1991-2 Annex C [28], Network Rail [29] and UIC suggest another method to calculate the dynamic amplification factor (Eq. (3) and (4)). This method takes into account not just the span and the first natural frequency of the structure, but the velocity of the train as well. The dynamic enhancement can be calculated as:

$$\varphi' = \frac{K}{1 - K + K^4} \tag{3}$$

$$K = \frac{v_x}{2n_0 L_{\phi}} \tag{4}$$

157 where v_x is the velocity of the train in meters per second, L_{ϕ} is the determinant length in meters and

158 n_0 the first natural frequency of the bridge in Hz. Equations (3) and (4) were determined in the 60's to

159 conservatively characterize the dynamic response of simple supported concrete and steel bridges [37].

160 To handle the different mode shapes of the different structural systems, determinant length (L_{a}) was

161 introduced. In the case of single span arch bridges, the determinant length should be the half of the span.

162 In Figure 1, the DAF were calculated for a 6.55 m single span arch bridge with various natural 163 frequencies according to Eqs (3) and (4).



164 165

Figure 1 – Dynamic Amplification Factor according to Network Rail [29]

166 3. The proposed mixed discrete-continuum approach to evaluate the dynamic response of 167 masonry arch bridges

168 Understanding the mechanical behaviour of masonry arch bridges is a challenging task for an engineer. 169 Even under static conditions, the mechanical behaviour of masonry arch bridges is complex and the 170 analytical tools available by engineers to assess the life expectancy of such bridges needs refinement. 171 The selection of the most appropriate computational method to use for the analysis of masonry 172 structures, among other factors, should include representation of joint opening between voussoirs in the 173 arch; sliding between the arch barrel and the soil, plastic response in the backfill above the arch etc. In 174 case of dynamic analysis, in addition to the aforementioned factors, the computational model should 175 include inertial and vehicle structure interaction effects

include inertial and vehicle-structure interaction effects.

To computationally evaluate the dynamic response of masonry arch bridges, the mixed discretecontinuum element code UDEC, developed by ITASCA has been used in this study. Within UDEC, voussoirs in the barrel vault were represented by distinct linear-elastic deformable blocks separated by zero thickness interfaces at each mortar joint. The voussoirs were subdivided into finite elements so that stresses can be calculated. Backfill was represented as a linear elastic-perfectly plastic material. Deformability and non-linear behaviour of backfill was approximated with finite element discretization. The model makes use of an explicit dynamic solution scheme, which makes it able to carry out real

183 dynamic analysis.

184 **3.1. Contact formulation and solution procedure**

The discrete elements can interact with each other through zero-thickness interface elements. At the interfaces, blocks are connected kinematically to each other by sets of point contacts [38, 39], along the outside perimeter of the blocks, at locations where corners or edges meet [16]. In the model, large block movements are allowed, including cases of complete detachment and re-closure when external forces are applied to them, with no attempt to obtain a continuous stress distribution through the contact surface.

At each contact point, there are two spring connections. These can transfer either a normal force or a
shear force from one block to the other. In the normal direction, the mechanical behaviour of the joints
(i.e. the zero-thickness contact interface) is governed by the following equation (Figure 2a):

$$\Delta \sigma_n = k_n \Delta u_n \,, \tag{5}$$

194 where k_n is the normal stiffness of the contact and Δu_n is the increment in normal contact displacement, 195 i.e., the relative displacement between the blocks at the contact point. Similarly, in the shear direction, 196 the mechanical behaviour is controlled by the constant shear stiffness k_s using the following expression 197 (Figure 2b):

$$\Delta \tau_a = k_a \Delta u_a \,, \tag{6}$$

198 where $\Delta \tau_s$ is the change in shear stress, and Δu_s is the increment in shear displacement.

199 In the present research work, the contacts are assumed to follow the Mohr-Coulomb failure criterion, 200 commonly used to represent shear failure in soils and rocks. The criterion has a limiting tensile strength, f_t . If the contact normal stress exceeds the tensile strength, then the normal stress is set to zero and the 201 interface opens. Alternatively, at those contacts undergoing compression, a small overlap will occur 202 between block edges (Figure 2a). The amount of overlap is controlled by the normal stiffness. Similarly, 203 204 in shear, in the elastic range, the response is controlled by contact shear stiffness (Figure 2b). In addition, 205 in the shear direction, slippage between blocks occurs when the tangential or shear stress at a contact 206 exceeds a critical value $\tau_{\rm max}$ defined by:

$$\left|\tau_{s}\right| \leq c + \sigma_{n} \tan\left(\varphi\right) = \tau_{\max} , \qquad (7)$$

- 207 where $\mu = \tan(\varphi)$ is the friction coefficient and φ the angle of friction and *c* the cohesive strength.
- 208 After slip takes place, the shear stress is reduced according to the Mohr-Coulomb criterion, but using
- 209 residual values for cohesion (c_{res}) and friction (φ_{res}), as shown in Figure 2b. Non-associative flow rule
- 210 is applied therefore the dilation angle (ψ) is set to zero. After a contact breaks or slips, forces are
- 211 redistributed, and it might cause adjacent contacts to break.





Figure 2 – Mechanical behaviour of contacts in (a) normal and in (b) shear direction

215 In the presented model, the Newtonian equations of motion are solved directly by the UDEC with an 216 explicit time stepping algorithm. The explicit scheme applies the central difference method. As a result, 217 velocity of each node can be calculated. With the help of nodal velocities, displacements and location of the nodes can be updated. After the new position of the elements is known, contact locations and 218 219 orientation can be calculated. Contact forces are updated by invoking the contact constitutive law, as 220 described in the previous section. For the internal finite elements, nodal displacements lead to new 221 strains, from which zone stresses ensue by applying the assumed material constitutive model. In this 222 way, nodal forces can be assembled for the next calculation step.

The central difference method is only conditionally stable. To avoid numerical instabilities arising from calculation of block deformation, a limiting timestep is evaluated for each node. This limiting timestep is depend on the mass associated with block node; the elastic properties of the block material and the size of the finite element. Moreover, another limiting timestep for the inter-block relative displacement should be calculated and it depends on the mass of the smallest block and the maximum contact stiffness in the system. The geometry of the finite elements can change during the mechanical process, hence the controlling timestep for the analysis needs to be recalculated in every calculation step.

In the case of static analysis, artificial damping is applied to reach equilibrium as fast as possible. Here the role of the damping is a numerical servo-mechanism to absorb the unwanted elastic oscillations of the system. While in the case of dynamic simulation, the role of the damping is to model the energy loss of materials. Energy absorption can develop in plastic material behaviour and with frictional sliding as well. During dynamic simulations, additional Rayleigh damping was not applied.

235 **3.2.** Vehicle-structure interaction

Vehicle-structure interaction was implemented into UDEC via FISH programming (embedded
 programme language of Itasca software) as a single degree of freedom system (see Figure 3). The
 differential equation of the vehicle's motion can be written as:

$$m\ddot{y}(t) + \alpha(t) \Big[c \big(\dot{y}(t) - \dot{u}(t) \big) + k \big(y(t) - u(t) \big) \Big] = mg , \qquad (8)$$

where *m* is the mass of the vehicle. Spring stiffness and damping coefficient was obtained from [31]:

240 k = 159500 N/m and $c = 0.2 \times 2\sqrt{k \times m}$, respectively. $y(t), \dot{y}(t), \ddot{y}(t)$ are the vertical displacement,

241 velocity and the acceleration of the vehicle, while u(t), $\dot{u}(t)$ are the vertical displacement, and velocity

242 of the track. $\alpha(t)$ is intended to represent the possibility of detachment between the vehicle and the

track as follows:

$$\alpha(t) = \begin{cases} 0 & \text{if } k\left(y(t) - u(t)\right) + c\left(\dot{y}(t) - \dot{u}(t)\right) \ge 0\\ 1 & \text{if } k\left(y(t) - u(t)\right) + c\left(\dot{y}(t) - \dot{u}(t)\right) < 0 \end{cases},$$
(9)

From Equation (9), if the force between the vehicle and the track is in tension, then the differential equation is reduced to the differential equation of free fall, while the contact force is set to zero.



247 Figure 3 – Single degree of freedom mass-spring-damper model for vehicle-structure interaction

The ordinary differential equation described in Equation (8) is solved numerically with the forwardEuler method. The initial conditions are:

$$y(0) = \frac{-mg}{k} \qquad u(0) = 0 \qquad (10)$$
$$\dot{y}(0) = 0 \qquad \dot{u}(0) = 0$$

The timestep used during solution is equal to the critical timestep determined by UDEC for solution. The vertical displacement and the velocity of the vehicle are calculated with Equation (11) and (12), respectively. This calculation process was done simultaneously with the built-in UDEC solution algorithm applying the same timestep.

$$y(t) = y(t - \Delta t) + \dot{y}(t - \Delta t)\Delta t \quad , \tag{11}$$

$$\dot{y}(t) = \dot{y}(t - \Delta t) + \alpha(t - \Delta t) \left(\frac{mg - c\left(\dot{y}(t - \Delta t) - \dot{u}(t - \Delta t)\right) - k\left(y(t - \Delta t) - u(t - \Delta t)\right)}{m} \right) \Delta t .$$
(12)

254

246

4. Development of the numerical model

257 Although several research, including the one from the authors of this manuscript [40, 41], have been done in the past to computationally model and understand the three dimensional mechanical behaviour 258 259 of masonry arch bridges, the work presented herein uses a 2D mixed discrete-finite element approach. 260 The reason for adopting a 2D model in this study was to understand better the basic nature of the 261 investigated phenomena, while the released computational needs enables the authors to use more accurate (more dense) finite element mesh, and more parametric studies to be carry out in a 262 263 computationally efficient manner. By using a 2D model, the possibility to analyse transverse behaviour (e.g. effect of spandrel walls, transverse load distribution) is dismissed. Moreover, other elements of a 264 265 railway bridge like ballast, sleepers and rail were neglected in the model.

4.1. Geometry and materials used for the development of the numerical model

The geometry and material properties of the investigated structure was taken to represent the Prestwood Bridge, UK. There was no intention to model the foundations and the subsoils in detail. Page [42] carried out full scale experimental tests on Prestwood Bridge to determine the load bearing capacity of the structure. The validation of the adopted model against the field scale results is presented in [39]. Details of the geometry of the model are shown in Figure 4 and in Table 1.





274

Figure 4 – Geometrical characteristic of the numerical model

Table 1 – Geometrical characteristic of the bridge				
Span	Rise	Barrel thickness	Height of the backfill	
6.550 m	1.428 m	0.220 m	0.400 m	

275 The foundation of the bridge and the voussoirs of the arch ring were assumed to behave in a linear elastic 276 manner. The backfill of the arch bridge was simulated as linear elastic-perfectly plastic material, 277 according to Mohr-Coulomb failure criterion. Material properties of typical limestone were used for the 278 voussoirs. In addition, well-compacted sandy gravel were applied as backfill material. The material 279 parameters were summarized in Table 2. Although geotechnical materials show significant variability, there was no intention to incorporate this effect in the present paper. Mortar joints between voussoirs 280 281 were represented as zero thickness interfaces. In this study, considering that we are dealing with ageing low bond strength masonry, the tensile and cohesive resistance of the mortar was neglected and assumed 282 283 equal to zero. Only frictional sliding between the voussoirs was allowed to occur. Similarly, only 284 frictional resistance was allowed at the interface between the voussoirs and the backfill material. Table 285 3 shows the material properties used for the development of the contact model. Contact normal stiffness was chosen sufficiently high value to avoid significant interpenetration between the elements. Friction 286 287 angles between voussoirs and for the voussoir-soil interface were chosen according to guidelines [3].

288

289

Table 2 – Material parameters used in the numerical model.

Material	Density	Young modulus	Poisson's ratio	Friction angle	Cohesion	Tensile strength
Voussoirs	2500 kg/m ³	20 GPa	0.20	-	-	-
Foundation	2500 kg/m^3	20 GPa	0.20	-	-	-
Backfill	2000 kg/m^3	0.20 GPa	0.25	37°	5 kPa	5 kPa
Subsoil	2000 kg/m ³	5 GPa	0.25	50°	500 kPa	500 kPa

291

Table 3 – Contact parameters at the interfaces				
Contact location	Contact stiffness	Friction angle		
Voussoir to voussoir	100 GPa/m	40°		
Backfill to arch barrel	100 GPa/m	20°		
Backfill to subsoil	100 GPa/m	20°		

292

293 **4.2.** Finite Element discretization and boundary conditions

UDEC is using a constant-strain triangular finite elements by default. These elements can behave excessively stiff in plane-strain problems where plastic failure occurs. Plane-strain geometries can introduce a kinematic restrain in the out of plane direction, often giving rise to overprediction of the collapse load. To eliminate the non-physical hourglass modes of deformation, a discretization scheme proposed by Marti and Cundall [43] was used. In the applied discretization scheme, the discretization for the isotropic part of the strain and stress tensors differs from the discretization for the deviatoric part.

Moreover, to obtain accurate stress distribution in the voussoirs, a detailed discretization of the voussoirs implemented. In particular, the number of point contacts between the voussoirs was set high to ensure the accurate calculation of contact stresses. Convergence tests were carried out on the model to determine the appropriate number of finite elements for the voussoirs and for the backfill (Figure 5a). As a result, every voussoir was divided into 8×8×4 finite elements (Figure 5b), while the density of the FE mesh for backfill was assigned to be more dense above the crown (i.e. edge length ~5 cm) and coarser

towards the sides of the model (i.e. edge length ~ 20 cm). The applied discretization is marked with red

307 colour in Figure 5a.



- 308
- 309
- 310Figure 5 Finite element mesh for voussoirs and for backfill used for the development of the
numerical model
- 312 On the external boundaries, the velocity of the finite element nodes was set to zero. The boundaries of 313 the model were defined sufficiently far from the structure to avoid the reflection of stresses from the
- boundaries during dynamic simulations. Moreover, non-reflecting viscous boundary was applied at the
- boundaries of the model.

- 316 The present paper neglects the presence of the track. In reality, it can be assumed that the train transmits
- 317 its concentrated loads to the rail. These loads are dispersed by the sleepers and the ballast. It is assumed
- that the load is distributed on a $d_{load} = 1.0$ m loaded length. Triangular distribution was selected to ensure
- 319 numerical stability (Figure 6).
- 320 The maximum intensity of distributed load was calculated using the equation below:

$$p_{\max} = \frac{2R_y}{d_{load}},$$
(13)

321 where R_y is the resultant of the external load, d_{load} is the length where the resultant force was 322 distributed.





324

Figure 6 – Distribution of the external load

325 **4.3. Types of analysis performed**

Both static and dynamic analysis were carried out. The aim of dynamic analysis was to determine the 326 327 dynamic response of the bridge. Moreover, investigations on the effect of magnitude of the external load 328 on the dynamic amplification were made. In every simulation, as an initial step, the self-weight of the 329 structure was assigned and equilibrated. Criterion for equilibrium was defined as the ratio of the average 330 unbalanced mechanical force magnitude divided by the average applied mechanical force magnitude for 331 all grid-points in the model. When this ratio was lower than 1.0e-6, the structure was considered to be 332 in equilibrium. At this stage, nodal velocities typically lower than 2.0e-6 m/s. Near to the state of failure, 333 convergence of the numerical model decreases significantly. Therefore, another limit was introduced as: 334 if the state of equilibrium cannot be reached within 300,000 calculation cycles in a single loading step, 335 then the simulation was stopped and the corresponding load was considered as the failure load.

336 4.3.1. Incrementally increased load at fixed positions along the span of the bridge (Type 1) 337 Experimental and field tests carried out on full-scale masonry arch bridges are typically using vertical loads at quarter span to gain information about the structural stiffness and load bearing capacity. The 338 339 advantage of using numerical simulations is that models can be developed in which parametric studies 340 can be carried out e.g. load can be applied in several loading positions in the bridge. In this study, 341 numerical models have been carried out in which the load position has been varied along the span of the bridge. The procedure was as follows (Figure 7b): After a fixed x/s load position was selected (in which 342 343 x is the distance from the edge of the arch ring of the bridge and s is the span of the bridge); the 344 distribution of the load was defined according to Figure 6, while the magnitude of the vertical load was 345 incrementally increased (i.e. load increment: 1.0 kN/m) until the structure failed. The simulation was repeated in nine different loading positions, i.e. from x/s = 0.0 until x/s = 8/16. In this way, load 346

347 bearing capacity versus load position were plotted. The global minimum in the load bearing capacity

348 versus the load position relationship can be considered as the load bearing capacity of the bridge. The

349 flowchart for this type of simulation can be seen in Figure 7a.



351 352

353

350

Figure 7 – Flowchart for incrementally increased load at fixed positions (Type 1)

4.3.2.Quasi-static moving load along the span of the bridge (Type 2)

354 It is believed that in the numerical model, the movement of a train axle might be better represented if the magnitude of the external load is kept constant while the position of the load is changing step-by-355 356 step, as the load is passing through the bridge. The load model defined in Section 4.2 (Equation 16) was applied in this case as well. After the structure reached the equilibrium, the load was moved by 0.10 m. 357 358 If the load could cross the bridge without causing failure of the structure, the external load magnitude 359 was increased. If the structure reaches its ultimate state (i.e. failure) during simulation, a new simulation was started with a decreased load magnitude. The simulations were repeated until the load bearing 360 361 capacity of the structure was determined with sufficient precision (+/- 1.0 kN/m). Figure 8 shows the 362 flowchart for this type of simulation.







Figure 8 – Flowchart for quasi-static moving load analysis (Type 2)

4.3.3.Dynamic analysis (Type 3) 365

During the dynamic analysis the artificial damping and mass scaling were not applied during 366 simulations. Energy dissipation can develop within the model via frictional sliding (e.g. at the extrados 367 of the arch barrel where backfill can slide upon the voussoirs) or via the plastic deformations of the 368 369 backfill material. As a conservative assumption, Rayleigh damping was not applied during the analysis. 370 The external load was dragged through on the bridge with constant horizontal velocity (investigated range was between 10 to 120 m/s). Simulations were ended when: (i) the external load could cross the 371 372 bridge and reached x/s = 1.60 without causing failure; or (ii) during the simulation the bridge failed. 373 These simulations were repeated with different magnitude of external load.

374 During the analysis, radial displacements of each voussoir, maximal contact stresses at the inner and the 375 outer side of the bed joints were recorded and plotted against the position of the external load. Dynamic 376 response of the structure was compared to the static response and dynamic amplification factors obtained. Two types of dynamic amplification factor was evaluated. These are: (a) global dynamic 377 amplification factor (DAF_{global}), where the highest dynamic response of the structure was selected and 378 379

compared with the highest static response; and (b) local dynamic amplification ($DAF_{local,i}$) was defined

for every voussoir as the highest dynamic response of the selected element compared to the static 380 381 response of the same element:

$$DAF_{global} = \frac{\max_{i} |y_{dyn,i,\max}|}{\max_{j} |y_{stat,j,\max}|} \qquad i, j = \{1...number of voussoirs\},$$
(14)

$$DAF_{local,i} = \frac{\left| y_{dyn,i,\max} \right|}{\left| y_{stat,i,\max} \right|} \qquad i = \{1...number \text{ of voussoirs}\},$$
(15)

where $y_{dyn,i,max}$ is the maximal dynamic and $y_{stat,i,max}$ is the maximal static response of the i^{th} element 382 383 in a single simulation, respectively.

385 **5. Results**

5.1. Results of the static analysis (Type 1 and 2)

To get a first impression of the structural behaviour of the masonry arch bridge under investigation, the failure load under static conditions was determined. With respect to the load bearing capacity, Type 2

analysis of moving load showed lower ultimate load by 7% (~71 kN/m) compared to Type 1 analysis

390 (~76 kN/m). The difference might be attributed partly due to the precision of the loading procedure, i.e.

- 391 the load increment was 1.0 kN/m; which can cause +/-1.5% error difference. Moreover, in the case of
- 392 quasi-static moving load, the load path/load history could cause weaker behaviour by non-elastic
- deformation of the system. Type 2 simulation at ultimate load stopped at x/s = 0.14, which is close to the critical position obtained from Type 1 simulation (x/s = 0.125).



395 396

Figure 9 – Ultimate load bearing capacity of the bridge

397 With respect to Type 1 analysis, load-deflection curves were obtained. Such analyses can be used to 398 determine the stiffness of the bridge. On the other hand, Type 2 analysis can provide valuable 399 information about the response (e.g. stresses, displacements) of the structure when the load of the vehicle 400 is passing from the bridge. Figure 10a-c shows the influence lines for radial displacements and contact normal stresses at ³/₄ span of the bridge. With the increasing magnitude of external loads, contacts can 401 402 open (the normal stress decreases to 0 MPa) and close. Maximum contact stresses from influence lines 403 in Figure 10b-c were plotted against the ratio of external load magnitude divided by the ultimate load 404 (Figure 11). It was found that the maximum of the contact stress increases exponentially as the load 405 increases.



413Figure 10 - Influence lines for voussoir at ¾ span: (a) radial displacements; (b) contact stresses414extrados side and (c) contact stresses at intrados side (Type 2 analysis)





Figure 11 – Maximum contact normal stress at ³/₄ span.

417 After the axle load passed through the bridge, the stresses within the structure went through 418 redistribution: e.g. at $0.55R_{ult}$ (40 kN/m) and above a crack appeared (normal stress decreased to 0 Pa) 419 and remained open at ³/₄ span intrados after the load left the bridge. Figure 12 represents the residual 420 stress state of the arch barrel and the backfill after one cycle of external load passed through the structure 421 when loaded under a quasi-static manner. The residual stress state depends on the magnitude of the 422 external load. The arch barrel of the "never loaded" structure shows uniform normal stress distribution 423 and the stresses between the voussoirs of the arch are in compression. As the magnitude of the external load increases, the residual stress state of arch barrel contains significant bending as well. 424





Figure 12 – Residual stress state after one cycle of external load crossed the structure (negative values mean compression – Type 2 analysis)

- 425 Axle loads follow each other simultaneously and trains would cross the bridge typically in both
- 426 directions (i.e. left to right and right to left). Figure 13 shows the normal stresses at $\frac{3}{4}$ span (extrados
- 427 side), while the axle load ($R_y = 30 \text{ kN/m} = 0.42R_{ult}$) crossed the bridge 10 times. The distance between
- the loads was chosen sufficiently large to avoid interaction between the loads). According to Figure 13,
- 429 after the third cycle, additional redistribution of the stresses cannot be observed. Also, when the moving
- 430 load is in backward direction, we have redistribution of stresses and maximum displacement occurs at
- different load position, see Figure 14a. Convergence to the shakedown state was slower in case of two
- 432 directional and faster in case of one directional loading.



434 Figure 13 – Repeated loading (R_y =30 kN/m = 0.42 R_{ult}): influence lines for contact normal stress at ³/₄ 435 span extrados: (a) two-directional; (b) one directional load path





439 Simulations with repeated, one directional quasi-static loading were done with several external load 440 magnitudes. If the magnitude of the external load does not exceed the $\sim 50\%$ of the ultimate load, then plastic deformations cease after 2-3 initial cycles and the response of the structure goes back to pure 441 442 elastic with some state of residual stresses (Figure 15a-b). Similar shakedown phenomena was observed 443 previously during the experimental test of masonry arches [44, 45]. Above ~50% of R_{ult} , additional 444 plastic deformations were observed in every cycle of repeated loading. Also, at 85% of R_{ult} , equilibrium was not reached after the forth cycle which means that the structure has failed. It is worth to mention, 445 that according to Figure 15a, the bridge which seemed to have sufficient resistance for $0.83R_{ult}$, 446

447 collapsed after the forth cycle of loading with the same loading magnitude.



450 Figure 15 – Plastic shakedown of masonry arch bridge: (a) cumulative plastic deformation at ³/₄ span,
451 (b) additional plastic deformations in a single load cycle

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448 449

453 **5.2.** Results of dynamic analysis (Type 3)

In the dynamic analysis, the effect of vehicle-structure interaction was investigated. From the results analysis it was found that the vehicle-structure interaction is not significant i.e. the contact force between the track and the vehicle does not change significantly as the load passing through (Figure 16). This finding is in accordance with the EN 1991-2 and can be explained with significantly higher mass of the structure compared to the mass of the vehicle. As the magnitude of the external load gets closer to the ultimate load bearing capacity, the difference between the static and the dynamic contact force is increasing.





Figure 16 – Dynamic contact forces between the track and the vehicle

Also, as described in Section 4.3.3, dynamic analysis was carried out to obtain dynamic amplification factors and compared them with the multiplication factors calculated according to Network Rail standards. The investigated range of horizontal velocities was 10 m/s to 120 m/s. To decouple the phenomena of the plastic shakedown and the dynamic enhancement and exclude plastic deformations in the structure, all of the dynamic simulation was repeated 5 times, see Figure 17a-b. Moreover, 468 influence lines for radial displacements at $\frac{3}{4}$ span ($R_y = 40$ kN/m=0.55 R_{ult}) were plotted and are shown

in Figure 17b. As the velocity of the load increased and reached 100 m/s, radial displacements increased

470 as well. On the other hand, for train speeds greater than 100 m/s, displacements in the structure

471 decreased. The maximum response of the structure has a "delay" as the velocity increases compared to 472 quasi-static analysis. The maximum value of outward (negative) radial displacement occurs when the

- 473 load is at x/s = 0.33 in case of quasi-static analysis, while it is around x/s = 0.55 when the velocity
- 474 is 120 m/s.





479 Radial displacement of every voussoir in the arch barrel was recorded and local dynamic amplification 480 factors according to Eq (15) were calculated. From Figure 18 the DAF values are different at different 481 parts of the arch barrel. The difference is increasing as the velocity of the external load is increasing. At 482 Voussoir ID 1-8, significantly higher local DAFs were calculated. It should be noted, that the static 483 response of the structure was very low at this part of the bridge.





475 476

Figure 18- Local dynamic amplification factors for displacements at $R_y = 40$ kN/m

486 Dynamic amplification factors for displacements were calculated from Figure 17b and plotted in Figure 487 19. Moreover, simulations were repeated with different magnitude of external loads. The highest value 488 of global DAF was around 210%. Critical speed – where the DAF has the highest value at a given 489 magnitude of external load – is decreasing as the magnitude of the external load gets close to the ultimate 490 load. Similarly, the highest value of DAF is slightly decreasing at higher level of external loads.

To compare the numerically obtained DAF with the ones given in guidelines, the natural frequency of the structure investigated in this work was determined with modal analysis. From the investigations, it was shown that the first natural frequency was ~30,5 Hz, which is between the limits of EN 1991-2

494 simplified method, hence the code is applicable $\Phi_2 = 1.67$ and $\Phi_3 = 2.00$. The dynamic enhancement

495 according to the Network Rail standard (Eqs. 3 and 4) was calculated and shown in Figure 19. In the 496 case of lower load levels (<40% R_{ult}), the formulas of the Network Rail provides a reasonably precise 497 and safe estimate for DAFs. It should be noted, that if the external load is closer to the ultimate load 498 bearing capacity, then the standard can underestimate the dynamic enhancement. From Figure 19 and 499 Table 4, it is evident, that the critical speed (where the DAF value is the highest) is decreasing as the magnitude of the external load is increasing. This phenomena can be explained by the nonlinear 500 behaviour of the structure: at higher load levels, the bridge starts to behave softer, hence the natural 501 502 frequency of it is decreasing which is resulted in lower critical speeds.



503
 504 Figure 19 – Global Dynamic Amplification Factors for displacements at different level of external load

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Table 4 – Critical speed at various load levels

External load level	Critical speed [m/s]
$0.14R_{ult}$	>120 m/s
$0.28R_{ult}$	>120 m/s
$0.41R_{ult}$	110 m/s
$0.55R_{ult}$	90 m/s
$0.69R_{ult}$	70 m/s

508

510 6. Conclusions

511 The structural assessment of masonry arch bridges is of great importance due to their long service life and deterioration condition over time. Dynamic amplification factor (DAF) is a parameter which 512 513 accounts for the dynamic impact of moving trains on structures by relating the static to the dynamic 514 characteristics of a bridge. Although, accurate prediction of the DAF can provide valuable information 515 related to sustainable management of bridges, the structural assessment of the dynamic characteristics 516 of masonry arch bridges and predictions of DAFs are rather difficult to be obtained. This is mainly due 517 to the complexity of the problem and that recent studies have reported contradictory results. This paper 518 focuses on the shakedown and dynamic behaviour of railway masonry arch bridges under traffic load 519 conditions. A nonlinear, mixed discrete-finite element was developed to investigate the static and 520 dynamic response of the Prestwood masonry arch bridge. Each voussoir of the masonry arch was 521 represented by a distinct block. Mortar joints were modelled as zero thickness interfaces which can open 522 and close depending on the magnitude and direction of the stresses applied to them. The numerical 523 model was calibrated based on field full-scale experimental test results. The bridge was subjected to two 524 different types of static analysis and a real dynamic analysis to simulate the effects of moving load. Investigations into the train to bridge interaction was also undertaken. Finally, the local and global 525 526 Dynamic Amplification Factors were studied. The major findings of the work can be summarized as 527 follows:

- Failure load of the investigated structure was determined in two different ways i.e. with
 monotonically increased loads at fixed positions and with quasi-static moving loads. From the
 results analysis it was shown that the latter reflects better the characteristic of real traffic since
 can take into account the interaction between the adjacent load positions. The load bearing
 capacity was 7% lower (71 kN/m) in case of "quasi-static moving load" type loading.
- As the external load passes through the bridge, plastic deformations and residual stresses exist
 in the arch barrel. If the magnitude of the external load does not exceed the 50% of the ultimate
 load bearing capacity, the plastic deformations cease after 2-3 cycles of external load and the
 structure is in a shakedown state. If the magnitude of the external load exceeds the 50% of the
 ultimate load, continuous plastic deformations were experienced in the loading cycles.
- With increasing load magnitude, the maximum contact normal stresses between the voussoirs
 are increasing exponentially.
- A single degree of freedom vehicle-structure interaction was developed and integrated within
 the developed code. Numerical experiences suggested, that vehicle-structure interaction has a
 negligible effect on the global behaviour of the bridge.
- Local and Global Dynamic Amplification Factors were introduced to have deeper insight into
 the dynamic enhancement. At different parts of the arch barrel, different magnitude of DAF was
 measured. It was shown that the dynamic amplification depends on the magnitude of the
 external load. As the load increases, non-linearity in the structural behaviour is evident, which
 decreases the natural frequency of the bridge. Hence the critical speed (i.e. where the highest
 DAF value can be measured) is decreasing.
- 549 In the case of typical service load levels ($<40\% R_{ult}$), the formulas of the Network Rail provides 550 a reasonably precise and safe estimate for DAFs. For this particular type of structure, for a 551 service load greater than $40\% R_{ult}$ the Network Rail formulas underestimate DAFs.

Limitation of the current work is that it neglects those structural element of a masonry bridge (e.g spandrel walls) which makes the structural behaviour three-dimensional. Moreover, further experimental studies will be needed to investigate the effect of geometry on the dynamic behaviour of masonry arch bridges to obtain more general results. Results presented from this study can improve understanding of the dynamic behaviour of masonry arch bridge and inform repair and maintenance schemes.

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