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# SYMMETRIC SHIFT-INVARIANT SUBSPACES AND HARMONIC MAPS

ALEXANDRU ALEMAN, RUI PACHECO, AND JOHN C. WOOD

ABSTRACT. The Grassmannian model represents harmonic maps from Riemann surfaces by families of shift-invariant subspaces of a Hilbert space. We impose a natural symmetry condition on the shift-invariant subspaces that corresponds to considering an important class of harmonic maps into symmetric and  $k$ -symmetric spaces. Using an appropriate description of such symmetric shift-invariant subspaces we obtain new results for the corresponding extended solutions, including how to obtain primitive harmonic maps from certain harmonic maps into the unitary group.

## 1. SUMMARY OF RESULTS

We characterize shift-invariant subspaces which are  $k$ -symmetric in terms of certain filtrations (Proposition 3.1 and Proposition 3.2). In Theorem 4.2, we give a general form for the corresponding *extended solutions*. In Theorem 5.1 we see how  $k$ -symmetric extended solutions correspond to *primitive harmonic maps into a  $k$ -symmetric space*. The combination of these results shows how to obtain primitive harmonic maps from certain harmonic maps into the unitary group, thus reversing a well-known [10, Ch. 21, Sec. IV] construction (see Remark 6.3). Finally, in Theorem 7.1, we see how our correspondences are given in terms of holomorphic potentials.

## 2. INTRODUCTION AND PRELIMINARIES

Recall that a smooth map  $\varphi$  between two Riemannian manifolds  $(M, g)$  and  $(N, h)$  is said to be *harmonic* if it is a critical point of the energy functional

$$E(\varphi, D) = \frac{1}{2} \int_D |d\varphi|^2 \omega_g$$

for any relatively compact  $D$  in  $M$ , where  $\omega_g$  is the volume measure, and  $|d\varphi|^2$  is the Hilbert–Schmidt norm of the differential of  $\varphi$ ; this functional being the natural generalization of the classical Dirichlet integral.

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In this paper we continue our study [1] of harmonic maps from a Riemann surface  $M$  into the group  $U(n)$  of unitary matrices of order  $n$  and their relation with shift-invariant subspaces of Hilbert space. For background, largely aimed at the functional analysis community, see [1]; see also [9, 20] for the general theory and [18, 21] for some background relevant to this paper.

Recall that K. Uhlenbeck introduced [19] the notion of an *extended solution*, which is a smooth map  $\Phi : S^1 \times M \rightarrow U(n)$  satisfying  $\Phi(1, \cdot) = I$  and such that, for every local (complex) coordinate  $z$  on  $M$ , there are  $\mathfrak{gl}(n, \mathbb{C})$ -valued maps  $A_z$  and  $A_{\bar{z}}$  for which

$$(2.1) \quad \Phi(\lambda, \cdot)^{-1} d\Phi(\lambda, \cdot) = (1 - \lambda^{-1})A_z dz + (1 - \lambda)A_{\bar{z}} d\bar{z}.$$

We can consider  $\Phi$  as a map from  $M$  into the *loop group* of  $U(n)$  defined by  $\Omega U(n) = \{\gamma : S^1 \rightarrow U(n) \text{ smooth} : \gamma(1) = I\}$ . If  $\Phi$  is an extended solution, then  $\varphi = \Phi(-1, \cdot)$  is a harmonic map with the matrix-valued 1-form  $\frac{1}{2}\varphi^{-1}d\varphi := A_z^\varphi dz + A_{\bar{z}}^\varphi d\bar{z}$  given by  $A_z^\varphi = A_z$  and  $A_{\bar{z}}^\varphi = A_{\bar{z}}$ . Conversely, for a given harmonic map  $\varphi : M \rightarrow U(n)$ , an extended solution with the property that

$$\Phi^{-1}(\lambda, \cdot) d\Phi(\lambda, \cdot) = (1 - \lambda^{-1})A_z^\varphi dz + (1 - \lambda)A_{\bar{z}}^\varphi d\bar{z}$$

is said to be *associated* to  $\varphi$ , and we have

$$\Phi(-1, \cdot) = u\varphi$$

for some constant  $u \in U(n)$ . If  $M$  is simply connected, the existence of extended solutions is equivalent to harmonicity, see [19]; the solution is unique up to multiplication from the left by a *constant loop*, i.e., a  $U(n)$ -valued function on  $S^1$ , independent of  $z \in M$ . Moreover (see [19, Thm 2.2] and [1, §3.1]) the extended solution can be chosen to be a smooth map, or even holomorphic in  $\lambda \in \mathbb{C} \setminus \{0\}$  and real analytic in  $M$ .

We again use the *Grassmannian model* [17], which associates to an extended solution  $\Phi$  the family of closed subspaces  $W(z)$ ,  $z \in M$ , of the Hilbert space  $L^2(S^1, \mathbb{C}^n)$ , defined by

$$(2.2) \quad W(z) = \Phi(\cdot, z)\mathcal{H}_+,$$

where  $\mathcal{H}_+$  is the usual Hardy space of  $\mathbb{C}^n$ -valued functions, i.e., the closed subspace of  $L^2(S^1, \mathbb{C}^n)$  consisting of Fourier series whose negative coefficients vanish. Note that the subspaces  $W(z)$  form the fibres of a smooth bundle  $W$  over the Riemann surface (which is, in fact, a *subbundle* of the *trivial bundle*  $\underline{\mathcal{H}} := M \times L^2(S^1, \mathbb{C}^n)$  see, for example, [1, §3.1]).

We denote by  $S$  the forward shift on  $L^2(S^1, \mathbb{C}^n)$ :

$$(Sf)(\lambda) = \lambda f(\lambda), \quad \lambda \in S^1,$$

and by  $\partial_z$  and  $\partial_{\bar{z}}$  differentiation with respect to  $z$  and  $\bar{z}$  respectively, where  $z$  is a local coordinate on  $M$ ; note that all equations below will be independent of the choice of local coordinate. If  $f : S^1 \times M \rightarrow \mathbb{C}^n$  is differentiable in the

second variable and satisfies  $f(\cdot, z) \in W(z)$ ,  $z \in M$ , it follows from (2.1) that

$$(2.3) \quad S\partial_z f(\cdot, z) \in W(z), \quad \partial_{\bar{z}} f(\cdot, z) \in W(z),$$

i.e., in terms of differentiable sections we have

$$(2.4) \quad S\partial_z W(z) \subseteq W(z), \quad \partial_{\bar{z}} W(z) \subseteq W(z),$$

which we shall often abbreviate to  $S\partial_z W \subseteq W$  and  $\partial_{\bar{z}} W \subseteq W$ ; in fact, these equations are equivalent to (2.1) see [17, 10].

The Iwasawa decomposition of loop groups [16, Theorem (8.1.1)] implies that  $W(z) = \Phi(\cdot, z)\mathcal{H}_+$ , with  $\Phi : S^1 \times M \rightarrow U(n)$  smooth; given such a  $\Phi$ , (2.3) implies that  $\Phi \Phi^{-1}(1, \cdot)$  is an extended solution.

We continue to explore the connection between harmonic maps which possess extended solutions, and the associated infinite-dimensional family (i.e., bundle)  $W = W(z)$  of shift-invariant subspaces (2.2). By extension we shall call the family  $W(z)$  an *extended solution* as well.

In our previous paper [1] we studied a new criterion for finiteness of the unton number; in the present paper we turn our attention to *symmetry*. Specifically, we impose the following symmetry condition on  $W$ :

$$(2.5) \quad \text{if } f \in W \text{ then } f_\omega \in W, \text{ where we set } f_\omega(\lambda) = f(\omega\lambda) \text{ for } \lambda \in S^1;$$

here  $\omega = \omega_k$  is the primitive  $k$ th root of unity for some  $k \in \{2, 3, \dots\}$ . A shift-invariant subspace  $W$  is said to be *k-symmetric* if it satisfies condition (2.5) for  $\omega = \omega_k$ ;  $W$  is said to be *S<sup>1</sup>-invariant* if it satisfies (2.5) for any  $\omega \in S^1$ .

The  $k$ -symmetric extended solutions correspond to an important class of harmonic maps into symmetric spaces and a generalization of those, the *primitive harmonic maps* into *k-symmetric spaces* [4, 10]. In §3, we establish a one-to-one correspondence between  $k$ -symmetric shift-invariant subspaces and filtrations  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$  of shift-invariant subspaces satisfying  $SV_{k-1} \subseteq V_0$ . Moreover, we prove (see Proposition 4) that this correspondence induces a one-to-one correspondence between  $k$ -symmetric extended solutions  $W$  and  $\lambda$ -cyclic superhorizontal sequences of length  $k$ , that is, sequences  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$  of extended solutions satisfying the superhorizontality condition

$$(2.6) \quad \partial_z V_j \subseteq V_{j+1} \quad \text{for } j = 0, \dots, k-2,$$

and the further condition  $S\partial_z V_{k-1} \subseteq V_0$ . This leads to Theorem 4.2, where we give a new general form for  $k$ -symmetric extended solutions. Theorem 4.2 also explains (see Remark 6.3) under what conditions a well-known method [10, Ch. 21, Sec. IV] of obtaining harmonic maps into  $U(n)$  from primitive harmonic maps can be reversed in order to obtain primitive harmonic maps from certain harmonic maps into  $U(n)$ . Finally, in §7 we describe this construction in terms of holomorphic potentials (Theorem 7.1), and some examples are given.

3.  $k$ -SYMMETRIC SHIFT-INVARIANT SUBSPACES

In this section, we describe all  $k$ -symmetric shift-invariant subspaces which are relevant for this work, for any  $k \in \{2, 3, \dots\}$ . The description will follow from the general form for shift-invariant subspaces [11] and some algebraic manipulations.

As before,  $\mathcal{H}_+$  stands for the usual Hardy space of  $\mathbb{C}^n$ -valued functions, and  $S$  for the shift. As we did before, we sometimes write, by abuse of notation,  $\lambda f$  instead of  $Sf$ ,  $f \in L^2(S^1, \mathbb{C}^n)$ . Recall from §2 that a  $k$ -symmetric shift-invariant subspace  $W$  is one which is invariant with respect to the unitary map  $\hat{\omega} : L^2(S^1, \mathbb{C}^n) \rightarrow L^2(S^1, \mathbb{C}^n)$ , induced by the primitive  $k$ th root of unity  $\omega$ , and defined by  $\hat{\omega}(f)(\lambda) = f_\omega(\lambda) = f(\omega\lambda)$ . The following result gives the spectral theorem for the restriction  $\hat{\omega}|_W$ .

**Proposition 3.1.** *Let  $W$  be a  $k$ -symmetric shift-invariant subspace.*

(i) *For  $0 \leq j \leq k-1$ , the subspace*

$$W_j = \{f \in W : f_\omega = \omega^j f\} = \{g \in W : g(\lambda) = \sum_{l=0}^{k-1} \omega^{-lj} f(\omega^l \lambda), f \in W\}$$

*is closed and*

$$(3.7) \quad W = \bigoplus_{j=0}^{k-1} W_j.$$

(ii) *For  $0 \leq j \leq k-1$ , there exist closed shift-invariant subspaces  $V_j$  of  $L^2(S^1, \mathbb{C}^n)$  such that  $SV_{k-1} \subseteq V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$ , and*

$$(3.8) \quad W_j = S^j \{g \in W : g(\lambda) = f(\lambda^k), f \in V_j\}.$$

(iii) *If  $W = \Phi\mathcal{H}_+$  with  $\Phi$  measurable and  $U(n)$ -valued a.e. on  $S^1$ , then  $V_{k-1} = \Psi\mathcal{H}_+$  with  $\Psi$  measurable and  $U(n)$ -valued a.e. on  $S^1$ . Moreover, there exist subspaces  $\alpha_0 \subseteq \alpha_1 \subseteq \dots \subseteq \alpha_{k-2} \subseteq \mathbb{C}^n$  with orthogonal projections  $\pi_{\alpha_j}$ ,  $0 \leq j \leq k-2$ , such that*

$$V_j = \Psi(\pi_{\alpha_j} + \lambda\pi_{\alpha_j}^\perp)\mathcal{H}_+ = \Psi(\alpha_j + \lambda\mathcal{H}_+),$$

*and*

$$W = \Psi(\lambda^k, \cdot)(\alpha_0 + \lambda\alpha_1 + \dots + \lambda^{k-2}\alpha_{k-2} + \lambda^{k-1}\mathcal{H}_+).$$

*Proof.* Part (i) is straightforward, as well as the representation of  $W_j$  in (ii). The rest of (ii) follows directly from the shift-invariance of  $W$ . To see (iii), note that the representation  $V_{k-1} = \Psi\mathcal{H}_+$ , with  $\Psi$  unitary-valued a.e., follows (see [11, Lecture VI]), once we show that  $V_{k-1}$  is not invariant for the inverse of the shift and

$$(3.9) \quad \overline{\bigvee_{n \geq 0} S^{-n}V_{k-1}} = L^2(S^1, \mathbb{C}^n).$$

If  $V_{k-1}$  is invariant for the inverse of the shift, then  $SV_{k-1} = V_{k-1}$ ; hence by (ii),  $V_{k-1} = V_0 = V_j$ ,  $0 < j < k-1$ , and thus  $W_j = S^jW_0$ , and we arrive

easily at the contradiction  $S^{-1}W \subseteq W$ . Moreover, if (3.9) fails, there exists a  $g \in L^2(S^1, \mathbb{C}^n) \setminus \{0\}$  with inner product

$$\langle h(\lambda), g(\lambda) \rangle = 0,$$

a.e., for all  $h \in V_{k-1}$ . This leads to

$$\langle f(\lambda), g(\lambda^k) \rangle = 0,$$

a.e., for all  $f \in W$  and contradicts the hypothesis  $W = \Phi\mathcal{H}_+$ . Thus  $V_{k-1} = \Psi\mathcal{H}_+$  with  $\Psi$   $U(n)$ -valued a.e., and from the inclusions  $\lambda V_{k-1} \subseteq V_j \subseteq V_{k-1}$  we obtain that  $\Psi^{-1}V_j$  consists of functions whose first Fourier coefficient lies in a given subspace  $\alpha_j$  of  $\mathbb{C}^n$ . These subspaces  $\alpha_j$  are nested since the subspaces  $V_j$  are. Then

$$\Psi^{-1}V_j = \alpha_j + \lambda\mathcal{H}_+,$$

and the remaining assertions follow.  $\square$

**Proposition 3.2.** *With the notations of Proposition 3.1, the correspondence between  $k$ -symmetric shift-invariant subspaces  $W$  and filtrations  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$  satisfying  $SV_{k-1} \subseteq V_0$  is one-to-one.*

*Proof.* If  $W$  and  $W'$  are two  $k$ -symmetric shift-invariant subspaces with the same filtration  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$ , then by (3.7) and (3.8), we must have  $W = W'$ .

Conversely, if  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$  is a filtration satisfying  $SV_{k-1} \subseteq V_0$ , consider the subspace  $W$  defined by (3.7) and (3.8). Clearly,  $W$  is shift-invariant and  $k$ -symmetric. Moreover, the eigenspace decomposition of  $W$  induces the given filtration.  $\square$

As pointed out in [1, §3.1], the unitary-valued function  $\Psi$  in Proposition 3.1 is unique up to multiplication from the right by a constant unitary matrix (see [13]), which affects the subspaces  $\alpha_j$  as well. However, if  $W = \Phi\mathcal{H}_+$ , there is a natural choice of  $\Psi$  which relates it to the function  $\Phi$ , as follows.

**Proposition 3.3.** *Let  $W$  be a  $k$ -symmetric shift-invariant subspace such that  $W = \Phi\mathcal{H}_+$  with  $\Phi$  measurable and  $U(n)$ -valued a.e. on  $S^1$ . Then there exists a constant  $\varphi_k \in U(n)$  with  $\varphi_k^k = I$  such that*

$$(3.10) \quad \Phi(\omega\lambda) = \Phi(\lambda)\varphi_k.$$

If  $\beta_j = \ker(\varphi_k - \omega^j I)$ , and  $\pi_j$  denotes the orthogonal projection from  $\mathbb{C}^n$  onto  $\beta_j$ , then

$$(3.11) \quad \Phi_k(\lambda) = \Phi(\lambda) \sum_{j=0}^{k-1} \pi_j \lambda^{-j}$$

is a function of  $\lambda^k$  and Proposition 3.1(iii) holds with  $\Psi(\lambda) = \Phi_k(\lambda^{1/k})$  and

$$(3.12) \quad \alpha_j = \bigoplus_{l=0}^j \beta_l.$$

In particular, if  $W = \Phi(\cdot, z)\mathcal{H}_+$ , where  $\Phi : S^1 \times M \rightarrow U(n)$  is smooth,  $k$ -symmetric and has  $\Phi(1, \cdot) = I$ , then  $\Psi$  is a smooth map on  $S^1 \times M$  with  $\Psi(1, \cdot) = I$ , and  $\alpha_j$ ,  $0 \leq j < k-1$ , are smooth subbundles of the trivial bundle  $\underline{\mathbb{C}}^n := M \times \mathbb{C}^n$  on  $M$ .

*Proof.* The equality (3.10), with  $\varphi_k$  constant, follows as above from [13] and  $\Phi(\lambda)\mathcal{H}_+ = \Phi(\omega\lambda)\mathcal{H}_+$ . A repeated application of it gives  $\varphi_k^k = I$ . Since  $\varphi_k\pi_j = \omega^j\pi_j$ ,  $\Phi_k$  defined by (3.11) is clearly a function of  $\lambda^k$ .

From the identity (3.10) it follows that the subspaces  $W_j$ ,  $0 \leq j \leq k-1$ , introduced in Proposition 3.1(i) can be written as

$$W_j = \{f \in W : f_\omega = \omega^j f\} = \Phi\{g \in \mathcal{H}_+ : \varphi_k g_\omega = \omega^j g\}.$$

A function  $g \in \mathcal{H}_+$  with Fourier coefficients  $g_m$ ,  $m \geq 0$ , satisfies  $\varphi_k g_\omega = \omega^j g$  if and only if, for  $m = sk + l$ ,  $0 \leq l \leq k-1$ , we have

$$\varphi_k g_m = \omega^{j-l} g_m,$$

or equivalently,  $g_m \in \beta_{j-l}$  when  $j \geq l$  and  $g_m \in \beta_{k+j-l}$  when  $l > j$ . For  $m = sk + l$ ,  $0 \leq l \leq k-1$ , set

$$h_s = \sum_{l=0}^{k-1} g_{ks+l}$$

and note that, since the  $\beta_l$  are pairwise orthogonal, we have

$$g(\lambda) = \left( \sum_{l \leq j} \pi_{j-l} \lambda^l + \sum_{l > j} \pi_{k+j-l} \lambda^l \right) \sum_{s \geq 0} h_s \lambda^{ks}.$$

The argument is clearly reversible and we obtain

$$\{g \in \mathcal{H}_+ : \varphi_k g_\omega = \omega^j g\} = \left( \sum_{l \leq j} \pi_{j-l} \lambda^l + \sum_{l > j} \pi_{k+j-l} \lambda^l \right) \{h(\lambda^k) : h \in \mathcal{H}_+\}.$$

Consequently,

$$W_j = \lambda^j \Phi \left( \sum_{l \leq j} \pi_{j-l} \lambda^{l-j} + \sum_{l > j} \pi_{k+j-l} \lambda^{l-j} \right) \{h(\lambda^k) : h \in \mathcal{H}_+\}.$$

In particular,

$$W_{k-1} = \lambda^{k-1} \Phi_k \{h(\lambda^k) : h \in \mathcal{H}_+\}.$$

Set  $\Psi(\lambda) = \Phi_k(\lambda^{1/k})$ . Using again the pairwise orthogonality of the  $\beta_l$ ,  $0 \leq l \leq k-1$ , we see that  $\Phi_k(\lambda^{1/k})$  is  $U(n)$ -valued a.e. and

$$\Psi(\lambda^k)^{-1} \Phi \left( \sum_{l \leq j} \pi_{j-l} \lambda^{l-j} + \sum_{l > j} \pi_{k+j-l} \lambda^{l-j} \right) = \sum_{l \leq j} \pi_{j-l} + \sum_{l > j} \pi_{k+j-l} \lambda^k.$$

On the other hand, in view of Proposition 3.1, we have

$$\lambda^{-j} \Psi(\lambda^k)^{-1} W_j = \alpha_j + \lambda^k \mathcal{H}_+,$$

and equation (3.12) follows.

Finally, if  $\Phi$  is smooth on  $S^1 \times M$  then  $\varphi_k$  is smooth on  $M$ , hence each  $\pi_j$ ,  $0 \leq j \leq k-1$ , is smooth on  $M$  since it is a polynomial in  $\varphi_k$ :

$$\prod_{\substack{i=0 \\ i \neq j}}^{k-1} (\varphi_k - \omega^i I) = \prod_{\substack{i=0 \\ i \neq j}}^{k-1} (\omega^j - \omega^i) \pi_j.$$

The result follows.  $\square$

#### 4. $k$ -SYMMETRIC EXTENDED SOLUTIONS

We assume throughout that

$$W = \Phi \mathcal{H}_+,$$

with  $\Phi : S^1 \times M \rightarrow \mathrm{U}(n)$  smooth and  $\Phi(1, \cdot) = I$ . As we said before,  $\Phi$  can be considered as a map from  $M$  into the loop group  $\Omega \mathrm{U}(n)$ .

We are interested in the case when  $W$  is an extended solution corresponding to a harmonic map defined on a Riemann surface  $M$ . We use the same notations as in Proposition 3.1.

**Proposition 4.1.** *Let  $W$  be  $k$ -symmetric. The following are equivalent:*

- (i)  $W$  is an extended solution;
- (ii)  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$  is a  $\lambda$ -cyclic superhorizontal sequence, that is,  $V_j$ ,  $0 \leq j \leq k-1$ , are extended solutions,  $\partial_z V_j \subseteq V_{j+1}$ ,  $0 \leq j < k-1$ , and  $\lambda \partial_z V_{k-1} \subseteq V_0$ .

*Proof.*  $W$  is an extended solution if and only if each  $W_j$ ,  $0 \leq j \leq k-1$ , satisfies  $\partial_z W_j \subseteq W$ ,  $\lambda \partial_z W_j \subseteq W$ . But by the definition of  $W_j$  this is equivalent to  $\partial_z W_j \subseteq W_j$ ,  $\lambda \partial_z W_j \subseteq W_{j+1}$  if  $0 \leq j < k-1$ , and  $\lambda \partial_z W_{k-1} \subseteq W_0$ . Clearly, this is equivalent to (ii).  $\square$

An immediate consequence is that the function  $\Psi$  defined in Proposition 3.3 must be an extended solution if  $\Phi$  is. Moreover, the general form of an extended solution  $\Phi$  with the property that  $\Phi(\omega \lambda, z) = \Phi(\lambda, z) \varphi_k(z)$  (that is,  $W = \Phi \mathcal{H}_+$  is  $k$ -symmetric) is

$$(4.13) \quad \Phi(\lambda, z) = \Psi(\lambda^k, z) \sum_{j=0}^{k-1} \pi_j \lambda^j,$$

where  $\pi_j$  is the orthogonal projection onto the subbundle  $\beta_j$ , defined pointwise as in Proposition 3.3, and

$$\sum_{j=0}^{k-1} \pi_j \lambda^j \mathcal{H}_+ = \alpha_0 + \lambda \alpha_1 + \dots + \lambda^{k-2} \alpha_{k-2} + \lambda^{k-1} \mathcal{H}_+$$

is  $S^1$ -invariant (see §2), but not necessarily an extended solution. In fact, we can characterize this situation in terms of the function  $\Psi$  and the subbundles  $\alpha_j$ , as follows; see, for example, [1, §4.3] for more information on the operator  $D_{\bar{z}}^\psi$ .



**Theorem 4.2.** *Let  $\Psi : S^1 \times M \rightarrow \mathrm{U}(n)$  be an extended solution (with  $\Psi(1, \cdot) = I$ ), let  $\psi = \Psi(-1, \cdot)$ , and*

$$A_z^\psi = \frac{1}{2}\psi^{-1}\partial_z\psi.$$

*If  $\alpha_0 \subseteq \dots \subseteq \alpha_{k-2}$  are smooth subbundles of the trivial bundle  $\underline{\mathbb{C}}^n = M \times \mathbb{C}^n$ , then*

$$(4.14) \quad W = \Psi(\lambda^k, \cdot)(\alpha_0 + \lambda\alpha_1 + \dots + \lambda^{k-2}\alpha_{k-2} + \lambda^{k-1}\mathcal{H}_+)$$

*is an extended solution if and only if the following conditions hold:*

- (i) *for  $0 \leq j < k - 2$  we have  $\partial_z\alpha_j \subseteq \alpha_{j+1}$ ;*
- (ii)  *$\alpha_{k-2} \subseteq \ker A_z^\psi$  and  $\mathrm{Im} A_z^\psi \subseteq \alpha_0$ ;*
- (iii) *for  $0 \leq j \leq k - 2$ ,  $\alpha_j$  is closed under  $D_{\bar{z}}^\psi := \partial_{\bar{z}} + A_{\bar{z}}^\psi$ .*

*Proof.* Note that  $V_j = \Psi(\alpha_j + \lambda\mathcal{H}_+)$ ,  $0 \leq j \leq k - 2$ , and  $V_{k-1} = \Psi\mathcal{H}_+$ . The condition  $\mathrm{Im} A_z^\psi \subseteq \alpha_0$  is equivalent to  $\lambda\partial_z V_{k-1} \subseteq V_0$  and, if it holds, then  $\partial_z V_j \subseteq V_{j+1}$ ,  $0 \leq j \leq k - 2$ , become equivalent to  $\alpha_j \subseteq \ker A_z^\psi$ ,  $\partial_z\alpha_j \subseteq \alpha_{j+1}$ . Finally, condition (iii) is equivalent to  $\partial_{\bar{z}} V_j \subseteq V_j$ ,  $0 \leq j \leq k - 2$ . Indeed, a direct calculation shows that, for  $0 \leq j \leq k - 2$ , we have  $\partial_{\bar{z}} V_j \subseteq V_j$  if and only if, for every section  $s$  in  $\alpha_j$ , we have  $\partial_{\bar{z}} s + A_{\bar{z}}^\psi s \in \alpha_j$ .  $\square$

**Remark 4.3.** (a) By taking the adjoints, we see that the condition (iii) in Theorem 4.2 is equivalent to the following: for  $0 \leq j \leq k - 2$ , we have  $A_z^\psi = \partial_z \pi_{\alpha_j}$  on  $\alpha_j^\perp$ . If  $k = 2$ , condition (i) is empty.

(b) In Theorem 4.2, if  $\Psi = I$ , then conditions (i)–(iii) are equivalent to  $(\alpha_i)$  is a sequence of holomorphic subbundles which satisfies the superhorizontality condition (2.6). In that case, the extended solution  $W = \Phi\mathcal{H}_+$  given by (4.14) is  $S^1$ -invariant.

(c) The harmonic map  $\varphi = \Phi(-1, \cdot)$  is given by  $\varphi = \varphi_k^{k/2}$  if  $k$  is even (if  $k$  is odd this is more complicated), where  $\varphi_k = \Phi(\omega, \cdot) = \sum_{j=0}^{k-1} \pi_j \omega^j$ , as defined pointwise in Proposition 3.3. In §5 we shall see that  $\varphi_k$  corresponds to a primitive harmonic map into a certain flag manifold and that  $\varphi$  corresponds to a harmonic map into a certain complex Grassmannian. In Theorem 5.1, we shall consider the more general case  $\varphi_k^{k/s}$ , with  $s$  a divisor of  $k$ .

(d) Condition (ii) in Theorem 4.2 implies that

$$(4.15) \quad (A_z^\psi)^2 = 0;$$

thus its trace also vanishes, which is easily seen to be the condition for (weak) conformality (cf. [21]) of  $\psi$ .

(e) Conditions (ii) and (iii) imply that each  $\alpha_j$  is a basic and antibasic uniton with respect to  $\psi$ , i.e.,  $\alpha_j \subseteq \ker A_z^\psi$  and  $\mathrm{Im} A_z^\psi \subseteq \alpha_j$  (cf. [18, Example 3.2]).

(f) The extended solution  $W = \Phi\mathcal{H}_+$  given by (4.14) is always  $k$ -symmetric. If  $k > 2$  and  $\Psi$ ,  $\alpha_j$  are as above, we can easily construct  $l$ -symmetric extended solutions for  $2 \leq l < k$ . We simply choose  $0 \leq j_0 < j_1 < \dots < j_{l-2} \leq$

$k - 2$  and set

$$(4.16) \quad W = \Psi(\lambda^l, \cdot)(\alpha_{j_0} + \lambda\alpha_{j_1} + \dots + \lambda^{l-2}\alpha_{j_{l-2}} + \lambda^{l-1}\mathcal{H}_+).$$

In Remark 5.2(b) we shall discuss the corresponding primitive harmonic maps.

If  $\psi$  satisfies (4.15), we shall say that  $\psi$  is *2-nilconformal*. A slightly different notion of ‘nilorder’ is given by F.E. Burstall [3] for maps into Grassmannians. In the next proposition, we give a complete characterization of 2-nilconformal harmonic maps into a Grassmannian. We first recall some definitions for such maps, see [1, §4.3], [6] and the references therein for more details.

We represent smooth maps  $\psi : M \rightarrow G_m(\mathbb{C}^n)$  from a surface into the Grassmannian of complex  $m$ -dimensional subspaces of  $\mathbb{C}^n$  as subbundles, denoted by the same letter, of the trivial bundle  $\underline{\mathbb{C}}^n = M \times \mathbb{C}^n$ . We define the *second fundamental form*  $A'_\psi$  by  $A'_\psi(s) = \pi_{\psi^\perp} \partial_z s$ ,  $s \in \Gamma(\psi)$ ; this formula defines a linear bundle map from  $\psi$  to  $\psi^\perp$ . We can embed the Grassmannian  $G_m(\mathbb{C}^n)$  into  $U(n)$  via the *Cartan embedding*, cf. [1, §4.3], then a map  $\psi : M \rightarrow G_m(\mathbb{C}^n)$  is harmonic if and only if its composition  $\psi : M \rightarrow U(n)$  with the Cartan embedding is harmonic. Further, the above second fundamental form for a map  $\psi : M \rightarrow G_m(\mathbb{C}^n)$  is related to  $A_z^\psi$  (see §2) by  $A'_\psi = -A_z^\psi | \psi$ , and  $A'_{\psi^\perp} = -A_z^\psi | \psi^\perp$ .

By a *harmonic diagram*, we shall mean a *diagram* in the sense of [6] of mutually orthogonal subbundles  $\psi_i$  with sum  $\underline{\mathbb{C}}^n$  and arrows between them; the arrow from  $\psi_i$  to  $\psi_j$  represents the  $\psi_j$ -component  $A'_{\psi_i, \psi_j} := \pi_{\psi_j} \circ A'_\psi$  of  $A'_\psi$ , the absence of that arrow indicating that  $A'_{\psi_i, \psi_j}$  is known to be zero. For a *harmonic* map  $\psi$ , we define the *Gauss bundle*  $G^{(1)}(\psi) = G'(\psi)$  as the image of  $A'_\psi$  completed to a bundle by *filling out zeros* [6]; we iterate this construction to give the  *$i$ th Gauss bundle*  $G^{(i)}(\psi)$  for  $i = 1, 2, \dots$ . Then the *isotropy order* of a harmonic map  $\psi : M \rightarrow G_m(\mathbb{C}^n)$  into a (complex) Grassmannian is defined to be the greatest value of  $t \in \{1, 2, \dots, \infty\}$  such that  $\psi$  is orthogonal to  $G^{(i)}(\psi)$  for all  $i$  with  $1 \leq i \leq t$ .

Note that *any 2-nilconformal harmonic map  $\psi$  into a Grassmannian has isotropy order at least 2*; indeed, the image of  $(A_z^\psi)^2 | \psi$  is  $\pi_\psi(G^{(2)}(\psi))$ .

**Proposition 4.4.** *Suppose that we have a harmonic diagram of the form*

$$(4.17) \quad \begin{array}{ccccccc} \psi_0 & \xleftrightarrow{A'_{\psi_0}} & \psi_1 & \xleftrightarrow{A'_{\psi_1}} & \cdots & \xleftrightarrow{A'_{\psi_{t-2}}} & \psi_{t-1} & \xleftrightarrow{A'_{\psi_{t-1}}} & \psi_t \end{array}$$

where  $t \geq 3$  (possibly infinite) and, for  $0 \leq i \leq t$ , the bundle  $\psi_i$  corresponds to a harmonic map  $M \rightarrow G_{m_i}(\mathbb{C}^n)$  into a Grassmannian.

Then  $\psi := \psi_0 \oplus \psi_1 : M \rightarrow G_{m_0+m_1}(\mathbb{C}^n)$  is a 2-nilconformal harmonic map of isotropy order at least  $t - 1$ . Moreover, all 2-nilconformal harmonic maps into a Grassmannian are given this way.

*Proof.* If we have a diagram (4.17) with  $t \geq 3$ , then  $\psi := \psi_0 \oplus \psi_1$  has a diagram

$$(4.18) \quad \psi = \tilde{\psi}_0 \begin{array}{c} \longleftarrow \\ \longrightarrow \end{array} \tilde{\psi}_1 \longrightarrow \cdots \longrightarrow \tilde{\psi}_{t-2} \longrightarrow \tilde{\psi}_{t-1}$$

with  $\tilde{\psi}_i = \psi_{i+1}$  for  $1 \leq i \leq t-1$ . Since  $A'_\psi|_{\psi_1} = A'_{\psi_1}$  and  $A'_\psi|_{\psi_0} = 0$ ,  $A'_\psi$  is holomorphic if  $A'_{\psi_1}$  is (see [6, Proposition 1.2(iii)]), and so the harmonicity of  $\psi$  follows directly from [6, Lemma 1.3 (b)]. Moreover,  $\psi$  has isotropy order at least  $t-1$  and clearly satisfies (4.15).

Conversely, suppose that  $\psi$  is 2-nilconformal. Then, as remarked above, it has isotropy order at least 2 and so has a diagram (4.18) with  $t \geq 3$ . As  $\psi$  is 2-nilconformal,  $\text{Im } A'_{\tilde{\psi}_{t-1}} \subseteq \ker A'_{\tilde{\psi}_0}$ . Write  $\tilde{\psi}_0 = \psi_0 \oplus \psi_1$ , where  $\psi_0 = \ker A'_{\tilde{\psi}_0}$ . It follows from [6, Theorem 2.4] that the subbundles  $\psi_0$  and  $\psi_1$  of  $\tilde{\psi}_0$  correspond to harmonic maps into Grassmannians. Clearly,  $\text{Im } A'_{\psi_0} \subseteq \psi_1$  and we have a diagram of the form (4.17), with  $\psi_{i+1} = \psi_i$  for  $i \geq 1$ .  $\square$

**Remark 4.5.** (a) For any diagram of the form (4.17) with  $t \geq 2$ , the maps represented by the subbundles  $\psi_i$  are automatically harmonic by [6, Proposition 1.6].

(b) Given any harmonic map  $\psi_0$  of finite isotropy order  $t \geq 2$ , there is a diagram (4.17) with the  $\psi_i = G^{(i)}(\psi)$  for  $i = 0, \dots, t-1$ , cf. [1, §4.3]. If  $\psi_0$  has infinite isotropy order, there are diagrams (4.17) with varying values of  $t$  and some subbundles or arrows zero.

**Example 4.6.** Given a harmonic diagram (4.17), and an integer  $d$  with  $1 \leq d \leq t-2$ , we can combine the vertices  $\psi_1 + \dots + \psi_d$  to give a subbundle and a diagram (4.17) with  $t-d+2 \geq 4$  vertices. By [6, Proposition 1.6]  $\psi_1 + \dots + \psi_d$  represents a harmonic map. The construction in Proposition 4.4 then gives a 2-nilconformal harmonic map  $\psi = \psi_0 + \dots + \psi_d$ . Then, for any  $k$  with  $2 \leq k \leq \min(d+1, t-d)$ , the subbundles

$$\alpha_j := \sum_{i=0}^j \psi_i \oplus \psi_{d+i+1}, \quad i = 0, \dots, k-2$$

satisfy the conditions of Theorem 4.2 for the harmonic map  $\psi$ .

**Example 4.7.** Suppose that  $\psi_0 : \mathbb{C} \rightarrow \mathbb{C}P^{n-1}$  is a Clifford solution (see [1, Example 4.14] and references therein). In homogeneous coordinates we have  $\psi_0 = [F]$  where  $F = (F_0, \dots, F_{n-1}) : \mathbb{C} \rightarrow \mathbb{C}^n$  is given by

$$F_i(z) = (1/\sqrt{n}) e^{\omega^i z - \bar{\omega}^i \bar{z}}$$

with  $\omega = e^{2\pi i/n}$ . This is a harmonic map with isotropy order  $t = n-1$ . Consider the harmonic diagram with vertices  $\psi_i = G^{(i)}(\psi)$  for  $i = 0, \dots, n-1$ , as in (b) of Remark 4.5.

In view of Example 4.6, if we take  $n \geq 4$  and  $d = 1$ , we must have  $k = 2$ . We then construct the 2-nilconformal harmonic map  $\psi = \psi_0 \oplus G^{(1)}(\psi_0)$ . The subbundle  $\alpha_0 = \psi_0 \oplus G^{(2)}(\psi_0)$  satisfies the conditions of Theorem 4.2.

For  $n \geq 5$  and  $d = 2$ , we obtain the 2-nilconformal harmonic map  $\psi = \psi_0 \oplus G^{(1)}(\psi_0) \oplus G^{(2)}(\psi_0)$ . In this case, if  $n = 5$ , we must have  $k = 2$ . But if  $n > 5$ , we can take  $k = 2$  or  $k = 3$ . For  $n > 5$  and  $k = 3$ , the subbundles

$$(4.19) \quad \alpha_0 = \psi_0 \oplus G^{(3)}(\psi_0), \quad \alpha_1 = \psi_0 \oplus G^{(1)}(\psi_0) \oplus G^{(3)}(\psi_0) \oplus G^{(4)}(\psi_0)$$

satisfy the conditions of Theorem 4.2.

**Example 4.8.** Let  $\psi : M \rightarrow \mathbb{C}P^{n-1} \hookrightarrow U(n)$  be a full holomorphic, and so harmonic map. We clearly have  $(A_z^\psi)^2 = 0$ . Observe that we can consider a harmonic diagram of the form (4.17) with  $\psi_0 = 0$ ,  $\psi_1 = \psi$  and  $\psi_i = G^{(i-1)}(\psi)$  for  $2 \leq i \leq n$ . Now we have no arrow from  $\psi_n$  to  $\psi_0$  nor from  $\psi_0$  to  $\psi_1$ . Following the procedure of Proposition 4.4, we write  $\psi = \psi_0 \oplus \psi_1$ . Moreover, the bundles

$$\alpha_j = G^{(1)}(\psi) \oplus G^{(2)}(\psi) \oplus \dots \oplus G^{(j+1)}(\psi),$$

with  $0 \leq j \leq k - 2$  satisfy the conditions of Theorem 4.2 for any  $k$  with  $2 \leq k \leq n$ .

Recall from [1, 19] that a harmonic map  $\varphi : M \rightarrow U(n)$  has *finite uniton number* if there exists an extended solution  $\Phi$  associated to  $\varphi$  which is defined on the whole of  $M$  and is a trigonometric polynomial in  $\lambda \in S^1$ . Regarding this issue, we have the following.

**Proposition 4.9.** *Let  $\Phi$  be a  $k$ -symmetric extended solution, and let  $\Psi$  be the extended solution given by Proposition 3.3. Then  $\varphi = \Phi(-1, \cdot)$  has finite uniton number if and only if  $\psi = \Psi(-1, \cdot)$  has.*

*Proof.* It follows directly from the equality (4.13) that  $\Phi$  is polynomial up to left multiplication by a constant loop if and only if  $\Psi$  is also polynomial up to left multiplication by a constant loop.  $\square$

## 5. PRIMITIVE HARMONIC MAPS INTO $k$ -SYMMETRIC SPACES

A (regular)  $k$ -symmetric space of a compact semisimple Lie group  $G$  is a homogeneous space  $G/K$  such that  $(G^\tau)_0 \subseteq K \subseteq G^\tau$  for some automorphism  $\tau : G \rightarrow G$  of finite order  $k \geq 2$ ; here  $G^\tau$  denotes the fixed point set of  $\tau$  and  $(G^\tau)_0$  its identity component. For  $k = 2$ , this is just a *symmetric space* of  $G$ . In this section we shall explain how  $k$ -symmetric extended solutions correspond to primitive harmonic maps into a  $k$ -symmetric space. For further details on primitive harmonic maps, we refer the reader to [4].

Given positive integers  $r_0, \dots, r_{k-1}$  with  $r_0 + \dots + r_{k-1} = n$ , let  $F_{r_0, \dots, r_{k-1}}$  be the flag manifold of ordered sets  $(A_0, \dots, A_{k-1})$  of complex vector subspaces of  $\mathbb{C}^n$ , with  $\mathbb{C}^n = \bigoplus_{i=0}^{k-1} A_i$  and  $\dim A_i = r_i$ . The unitary group  $U(n)$  acts transitively on  $F = F_{r_0, \dots, r_{k-1}}$  with isotropy subgroups conjugate to  $U(r_0) \times \dots \times U(r_{k-1})$ . Fix a point  $x_0 = (A_0, \dots, A_{k-1}) \in F$ . For each

$i \in \{0, \dots, k-1\}$ , let  $\pi_{A_i}$  denote the orthogonal (Hermitian) projection onto  $A_i$ . Let  $s \in \Omega U(n)$  be defined by

$$(5.20) \quad s(\lambda) = \sum_{i=0}^{k-1} \lambda^i \pi_{A_i}$$

and consider the loop  $\sigma(\lambda) = \text{Ad}_{s(\lambda)}$  of inner automorphisms of  $\mathfrak{u}(n)$  defined by

$$\sigma(\lambda)(X) = s(\lambda)Xs(\lambda)^{-1}, \quad X \in \mathfrak{u}(n).$$

Set  $\omega = e^{2\pi i/k}$  and  $\tau = \sigma(\omega)^{-1}$ .

The automorphism  $\tau$  induces an eigenspace decomposition  $\mathfrak{gl}(n, \mathbb{C}) = \bigoplus_{i \in \mathbb{Z}_k} \mathfrak{g}^i$ , where

$$(5.21) \quad \mathfrak{g}^i = \bigoplus_{j \in \mathbb{Z}_k} \text{Hom}(A_j, A_{j-i})$$

is the  $\omega^i$ -eigenspace of  $\tau$ . Clearly,  $\overline{\mathfrak{g}^i} = \mathfrak{g}^{-i}$ . The automorphism  $\tau$  exponentiates to give an order  $k$  automorphism of  $U(n)$ , also denoted by  $\tau$ , whose fixed-set subgroup  $U(n)^\tau$  is precisely the isotropy group at  $x_0$ . Hence,  $F$  has a canonical structure of a  $k$ -symmetric space. Moreover,  $F$  can be embedded in  $U(n)$  as a connected component of  $\sqrt[k]{I}$  via the (*generalized*) *Cartan embedding*  $\iota : F \rightarrow \sqrt[k]{I} \subseteq U(n)$  defined by  $\iota(gx_0) = gs(\omega)g^{-1}$  (note that when  $k > 2$ , this is not totally geodesic).

A smooth map  $\varphi : M \rightarrow F$  is said to be *primitive* (see [4] for further details) if, given a lift  $\psi : M \rightarrow U(n)$  with  $\varphi = \psi x_0$  (such lifts always exist locally), the following holds:  $\psi^{-1}\psi_z$  takes values in  $\mathfrak{g}^0 \oplus \mathfrak{g}^{-1}$ . Since such a lift is unique up to right multiplication by some smooth map  $K : M \rightarrow U(n)^\tau$ , this definition of primitive map does not depend on  $\psi$ . If  $k \geq 3$ , then any primitive map  $\varphi : M \rightarrow F$  is harmonic with respect to the metric on  $F$  induced by the Killing form of  $\mathfrak{u}(n)$  (as a matter of fact,  $\varphi$  is harmonic with respect to all invariant metrics on  $F$  for which  $\mathfrak{g}^{-1}$  is isotropic [2]). For  $k = 2$ , all smooth maps into  $F$  are primitive. By *primitive harmonic map* into  $F$  we mean a primitive map if  $k \geq 3$  and a harmonic map if  $k = 2$ .

Let  $\varphi : M \rightarrow F$  be a primitive harmonic map and  $\psi : M \rightarrow U(n)$  a lift. Consider the  $\mathfrak{gl}(n, \mathbb{C})$ -valued 1-form  $\alpha = \psi^{-1}d\psi$  on  $M$  and let  $\alpha = \alpha' + \alpha''$  be the type decomposition of  $\alpha$  into a  $(1, 0)$ -form and a  $(0, 1)$ -form on  $M$ . Since  $\varphi$  is primitive, we can write uniquely  $\alpha' = \alpha'_{-1} + \alpha'_0$  and  $\alpha'' = \alpha''_1 + \alpha''_0$  where  $\alpha'_0, \alpha'_{-1}$  are  $\mathfrak{g}^0, \mathfrak{g}^{-1}$ -valued, respectively, and  $\alpha''_0, \alpha''_1$  are  $\mathfrak{g}^0, \mathfrak{g}^1$ -valued, respectively. The loop of 1-forms  $\alpha_\lambda = \alpha'_{-1}\lambda^{-1} + \alpha'_0 + \alpha''_1\lambda$ , with  $\alpha_0 = \alpha'_0 + \alpha''_0$ , takes values in the Lie algebra of the infinite-dimensional Lie group

$$(5.22) \quad \Lambda_\tau U(n) = \{\gamma : S^1 \rightarrow U(n) \text{ smooth} : \tau(\gamma(\lambda)) = \gamma(\omega\lambda)\}$$

and satisfies the integrability condition  $d\alpha_\lambda + \frac{1}{2}[\alpha_\lambda \wedge \alpha_\lambda] = 0$ . This means that we can integrate to obtain a smooth map  $\Psi : M \rightarrow \Lambda_\tau U(n)$  such that  $\Psi(1, \cdot) = \psi$  and, for each  $\lambda \in S^1$ ,  $\varphi_\lambda = \Psi(\lambda, \cdot)x_0$  is a primitive harmonic map;  $\Psi$  is called an *extended framing* associated to  $\varphi$ .

Moreover, as in [7],  $\Phi = s\Psi\Psi(1, \cdot)^{-1}$  is an extended solution, and a short calculation shows that the original map is recovered via the Cartan embedding by evaluating  $\Phi$  at  $\lambda = \omega$ , that is,  $\iota \circ \varphi = \Phi(\omega, \cdot)$ . Observe that this extended solution takes values in

$$(5.23) \quad \Omega^\omega \mathbf{U}(n) = \{\gamma \in \Omega \mathbf{U}(n) : \gamma(\lambda)\gamma(\omega) = \gamma(\omega\lambda)\}.$$

Clearly, given  $\gamma \in \Omega^\omega \mathbf{U}(n)$ , the corresponding shift-invariant subspace satisfies the symmetry condition (2.5). Then the extended solution  $W = \Phi\mathcal{H}_+$  is  $k$ -symmetric.

Conversely, by Theorem 4.2, we see that any  $k$ -symmetric extended solution  $W$  corresponds to a smooth map  $\Phi : M \rightarrow \Omega^\omega \mathbf{U}(n)$  of the form

$$\Phi(\lambda, \cdot) = \Psi(\lambda^k, \cdot) \sum_{j=0}^{k-1} \pi_j \lambda^j,$$

where  $\pi_j$  is the orthogonal projection onto  $\beta_j = \alpha_j \cap \alpha_{j-1}^\perp$  (here we take  $\alpha_{-1}$  to be the zero vector bundle and  $\alpha_{k-1}$  to be the trivial bundle  $M \times \mathbb{C}^n$ ).

Evaluating at  $\lambda = \omega$ , we obtain the map

$$\Phi(\omega, \cdot) = \sum_{j=0}^{k-1} \pi_j \omega^j,$$

which can be identified via the Cartan embedding with the map  $\varphi$  with values in  $F_{r_0, r_1, \dots, r_{k-1}}$  given by

$$\varphi = (\beta_0, \beta_1, \dots, \beta_{k-2}, \beta_{k-1}),$$

where  $r_i = \dim \beta_i$ . Conditions (i)–(iii) in Theorem 4.2 imply that  $\varphi$  is primitive harmonic map. This can be slightly generalized as follows.

**Theorem 5.1.** *Let  $W = \Phi\mathcal{H}_+$  be a  $k$ -symmetric extended solution and let  $l$  be a divisor of  $k$ . Consider the vector bundles  $\beta_i^l = \bigoplus_{j=i \pmod l} \beta_j$ , and set  $s_i = \dim \beta_i^l$ . Then*

$$(5.24) \quad \varphi_l = (\beta_0^l, \beta_1^l, \dots, \beta_{l-1}^l) : M \rightarrow F_{s_0, \dots, s_{l-1}}$$

*is a primitive harmonic map.*

*Proof.* If  $W = \Phi\mathcal{H}_+$  is a  $k$ -symmetric extended solution associated to the primitive harmonic map  $\varphi$ , then for any divisor  $l$  of  $k$ ,  $W = \Phi\mathcal{H}_+$  can also be seen as an  $l$ -symmetric extended solution. Let  $\omega_l := \omega^{k/l}$  be the primitive  $l$ th root of unity. Then the smooth map

$$\varphi_l := \Phi(\omega_l, \cdot) = \sum_{i=0}^{l-1} \omega_l^i \sum_{j=i \pmod l} \pi_j$$

takes values in a connected component of  $\sqrt[l]{I}$  and can be identified, via the Cartan embedding of  $F_{s_0, \dots, s_{l-1}}$ , with  $\varphi_l$  given by (5.24). By the previous discussion,  $\varphi_l$  is a primitive harmonic map.  $\square$

**Remark 5.2.** (a) If  $k$  is even, the smooth map

$$\varphi_2 = \Phi(\omega, \cdot)^{k/2} = \sum_{j=0}^{k/2-1} (\pi_{2j} - \pi_{2j+1})$$

corresponds to a harmonic map  $\varphi_2$  into the complex Grassmannian  $G_m(\mathbb{C}^n)$ , with  $m = \sum r_{2j}$ . In this case, we have  $\varphi_2 = p \circ \varphi$ , where  $p$  is the *canonical homogeneous projection* (see [5, Ch. 4]) of the  $k$ -symmetric space  $F_{r_0, r_1, \dots, r_{k-1}}$  onto the 2-symmetric space  $G_m(\mathbb{C}^n)$  of  $U(n)$ .

(b) We point out that, in general, the primitive maps  $\varphi_l$  are different from those of Remark 4.3(f). As a matter of fact, for any  $l \leq k$ , choose  $0 \leq j_0 < j_1 < \dots < j_{l-2} < j_{l-1} = k - 1$ . The primitive harmonic map  $\tilde{\varphi}_l$  associated to the  $l$ -symmetric extended solution (4.16) is given by  $\tilde{\varphi}_l = (\tilde{\beta}_0^l, \tilde{\beta}_1^l, \dots, \tilde{\beta}_{l-1}^l) : M \rightarrow F_{\tilde{s}_0, \dots, \tilde{s}_{l-1}}$  where

$$\tilde{\beta}_i^l = \bigoplus_{j=j_{i-1}+1}^{j_i} \beta_j, \quad \tilde{s}_i = \dim \tilde{\beta}_i^l.$$

Observe that the isotropy subgroup  $U(\tilde{s}_0) \times \dots \times U(\tilde{s}_{l-1})$  of  $F_{\tilde{s}_0, \dots, \tilde{s}_{l-1}}$  contains the isotropy subgroup of  $F_{r_0, \dots, r_{k-1}}$  and that  $\tilde{\varphi}_l = \tilde{p} \circ \varphi$ , where  $\tilde{p} : F_{r_0, \dots, r_{k-1}} \rightarrow F_{\tilde{s}_0, \dots, \tilde{s}_{l-1}}$  is the corresponding homogeneous projection.

**Example 5.3.** Consider a full holomorphic map  $\psi : M \rightarrow \mathbb{C}P^3 \hookrightarrow U(4)$ , and let  $\pi_\psi$  denote the orthogonal projection onto  $\psi$ . The corresponding extended solution is  $\Psi(\lambda, \cdot) = \pi_\psi + \lambda \pi_\psi^\perp$  and we have  $(A_z^\psi)^2 = 0$ . Set  $\alpha_0 = G^{(1)}(\psi)$  and  $\alpha_1 = G^{(1)}(\psi) \oplus G^{(2)}(\psi)$ . As observed in Example 4.8, these subbundles satisfy the conditions of Theorem 4.2 with  $k = 3$ . Then we get a 3-symmetric extended solution

$$W = (\pi_\psi + \lambda^3 \pi_\psi^\perp) (G^{(1)}(\psi) + \lambda(G^{(1)}(\psi) \oplus G^{(2)}(\psi)) + \lambda^2 \mathcal{H}_+).$$

Writing  $W = \Phi \mathcal{H}_+$ , on putting  $\lambda = \omega_3$  we get

$$\Phi(\omega_3, \cdot) = \pi_{G^{(1)}(\psi)} + \omega_3 \pi_{G^{(2)}(\psi)} + \omega_3^2 \pi_{\psi + G^{(3)}(\psi)}$$

which corresponds to the primitive harmonic map

$$\varphi : M \rightarrow F_{1,1,2}, \quad \varphi = (G^{(1)}(\psi), G^{(2)}(\psi), \psi \oplus G^{(3)}(\psi)).$$

However,  $W$  is  $S^1$ -invariant; in fact, multiplying out we see that

$$W = \lambda^2 \{ \psi + \lambda(\psi \oplus G^{(1)}(\psi)) + \lambda^2(\psi \oplus G^{(1)}(\psi) \oplus G^{(2)}(\psi)) + \lambda^3 \mathcal{H}_+ \},$$

hence  $W = \Phi \mathcal{H}_+$  is  $k$ -symmetric for any  $k \geq 2$ . Now, for any  $n$  and  $k$  with  $2 \leq k \leq n$ , there are  $k$ -symmetric quotients of  $U(n)$  given by flag manifolds and we can interpret  $\Phi$  as the Cartan embedding of a primitive harmonic map into such a flag manifold. In the present example, with  $k = 4$ ,  $\Phi(\omega_4, \cdot)$  is the primitive harmonic map

$$\varphi : \mathbb{C} \rightarrow F_{1,1,1,1}, \quad \varphi = (\psi, G^{(1)}(\psi), G^{(2)}(\psi), G^{(3)}(\psi));$$

with  $k = 2$ ,  $\Phi(\omega_2, \cdot)$  is the (primitive) harmonic map given by  $\psi \oplus G^{(2)}(\psi)$ , in accordance with Remark 5.2(a).

**Example 5.4.** Let  $\psi_0 : \mathbb{C} \rightarrow \mathbb{C}P^5$  be a Clifford solution, as in Example 4.7. Fix  $\psi = \psi_0 \oplus G^{(1)}(\psi_0) \oplus G^{(2)}(\psi_0)$  and the bundles  $\alpha_0$  and  $\alpha_1$  given by (4.19), which satisfy the conditions of Theorem 4.2 with respect to  $\psi$  and  $k = 3$ . By applying Theorem 5.1 with  $l = k$ , these define the primitive harmonic map

$$\varphi = (\psi_0 \oplus G^{(3)}(\psi_0), G^{(1)}(\psi_0) \oplus G^{(4)}(\psi_0), G^{(2)}(\psi_0) \oplus G^{(5)}(\psi_0)) : \mathbb{C} \rightarrow F_{2,2,2}.$$

## 6. LOOP GROUP DESCRIPTION

Recall the definitions of  $\Lambda_\tau U(n)$  and  $\Omega^\omega U(n)$  given by (5.22) and (5.23) respectively. There is a well-known method for obtaining harmonic maps into Lie groups from primitive harmonic maps (see [10, Ch. 21, Sec. IV] and references therein) which makes use of the isomorphism (see also [14, Lemma 5.1])  $\Gamma_\tau : \Lambda U(n) \rightarrow \Lambda_\tau U(n)$  given by

$$\Gamma_\tau(\gamma)(\lambda) = \text{Ad}_{s(\lambda)^{-1}}\gamma(\lambda^k) = s(\lambda)^{-1}\gamma(\lambda^k)s(\lambda)$$

with inverse  $\Gamma_\tau^{-1} : \Lambda_\tau U(n) \rightarrow \Lambda U(n)$  given by

$$\Gamma_\tau^{-1}(\gamma)(\lambda) = \text{Ad}_{s(\lambda^{1/k})}\gamma(\lambda^{1/k}) = s(\lambda^{1/k})\gamma(\lambda^{1/k})s(\lambda^{-1/k}).$$

We shall now establish how the subspace  $V_{k-1}$  associated to a shift-invariant  $k$ -symmetric space  $W$  as in Proposition 3.1 can be expressed in terms of  $\Gamma_\tau$ . We denote by  $\Omega_\tau U(n)$  the subset of  $\Omega^\omega U(n)$  defined by:  $\Phi \in \Omega_\tau U(n)$  if  $\Phi(\omega, \cdot)$  lies in the connected component of  $\sqrt[k]{I}$  containing  $s(\omega)$ .

**Lemma 6.1.** *The correspondence  $\Theta$  between left cosets of  $U(n)^\tau$  in  $\Lambda_\tau U(n)$  and loops in  $\Omega_\tau U(n)$  given by  $\Theta(\tilde{\Phi} U(n)^\tau) = s\tilde{\Phi}\tilde{\Phi}(1)^{-1}$  is bijective.*

*Proof.* Given  $\Phi \in \Omega_\tau U(n)$ , there exists  $g \in U(n)$  such that  $\Phi(\omega) = gs(\omega)g^{-1}$ . It is easy to check that  $\tilde{\Phi} = s^{-1}\Phi g$  is a loop in  $\Lambda_\tau U(n)$  and  $\Theta(\tilde{\Phi} U(n)^\tau) = \Phi$ . Thus  $\Theta$  is surjective.

If  $\tilde{\Phi}, \tilde{\Phi}' \in \Lambda_\tau U(n)$  are such that  $\Theta(\tilde{\Phi} U(n)^\tau) = \Theta(\tilde{\Phi}' U(n)^\tau)$ , then we have  $\tilde{\Phi}(1)^{-1}\tilde{\Phi}'(1) = \tilde{\Phi}^{-1}(\lambda)\tilde{\Phi}'(\lambda)$  for each  $\lambda \in S^1$ . Applying  $\tau$  to both sides, we get

$$\tau(\tilde{\Phi}(1)^{-1}\tilde{\Phi}'(1)) = \tilde{\Phi}^{-1}(\omega\lambda)\tilde{\Phi}'(\omega\lambda) = \tilde{\Phi}(1)^{-1}\tilde{\Phi}'(1),$$

hence  $\tilde{\Phi}(1)^{-1}\tilde{\Phi}'(1) \in U(n)^\tau$ . This implies that  $\tilde{\Phi} U(n)^\tau = \tilde{\Phi}' U(n)^\tau$ , that is,  $\Theta$  is injective.  $\square$

**Proposition 6.2.** *Let  $W = \Phi\mathcal{H}_+$  be a  $k$ -symmetric shift invariant subspace with  $\Phi \in \Omega_\tau U(n)$ . Take  $\tilde{\Phi} \in \Lambda_\tau U(n)$  such that  $\Phi = \Theta(\tilde{\Phi} U(n)^\tau)$ . Then  $V_{k-1} = \Gamma_\tau^{-1}(\tilde{\Phi})\mathcal{H}_+$ .*

*Proof.* For  $W = \Phi\mathcal{H}_+$  with  $\Phi \in \Omega_\tau U(n)$ , the element  $\varphi_k$  in Proposition 3.3 is precisely  $\Phi(\omega)$  and, by Lemma 6.1, we can write  $\Phi = s\tilde{\Phi}\tilde{\Phi}(1)^{-1}$  for some  $\tilde{\Phi} \in \Lambda_\tau U(n)$ .



Since  $\tilde{\Phi} \in \Lambda_\tau \mathbf{U}(n)$ , it satisfies  $\tau(\tilde{\Phi}(\lambda)) = \tilde{\Phi}(\lambda\omega)$ . Evaluating at  $\lambda = 1$ , we get  $s(\omega)^{-1}\tilde{\Phi}(1)s(\omega) = \tilde{\Phi}(\omega, \cdot)$ . Hence,  $\Phi(\omega, \cdot) = \tilde{\Phi}(1)s(\omega)\tilde{\Phi}(1)^{-1}$ , and we have

$$\sum_{j=0}^{k-1} \pi_{\beta_j} \lambda^{-j} = \tilde{\Phi}(1)s(\lambda)^{-1}\tilde{\Phi}(1)^{-1}$$

with the  $\beta_j$  as in Proposition 3.3. Using this, we obtain

$$\begin{aligned} V_{k-1} &= \Phi_k(\lambda^{1/k})\mathcal{H}_+ = \Phi(\lambda^{1/k})\tilde{\Phi}(1)s(\lambda^{-1/k})\tilde{\Phi}(1)^{-1}\mathcal{H}_+ \\ &= s(\lambda^{1/k})\tilde{\Phi}(\lambda^{1/k})s(\lambda^{-1/k})\mathcal{H}_+ = \Gamma_\tau^{-1}(\tilde{\Phi})\mathcal{H}_+. \end{aligned}$$

□

**Remark 6.3.** It was already known [10, Ch. 21] that  $\Gamma_\tau^{-1}$  is well-behaved with respect to harmonic maps, in the sense that if  $\tilde{\Phi} : M \rightarrow \Lambda_\tau \mathbf{U}(n)$  is an extended framing (corresponding to a certain primitive harmonic map), then, setting  $F := \Gamma_\tau^{-1}(\tilde{\Phi})$ , the smooth map  $FF_1^{-1} : M \rightarrow \Omega \mathbf{U}(n)$  is an extended solution (corresponding to a harmonic map into the group  $\mathbf{U}(n)$ ). Our results of §3 and §4 provide a more complete picture of this. In fact, on using Proposition 6.2 to interpret  $\Gamma_\tau^{-1}$  in terms of the Grassmannian model and setting  $V_{k-1} = \Gamma_\tau^{-1}(W)$ , we have the following: The isomorphism  $\Gamma_\tau^{-1}$  can be extended to an one-to-one correspondence between  $k$ -symmetric shift-invariant subspaces and filtrations  $V_0 \subseteq V_1 \subseteq \dots \subseteq V_{k-1}$  satisfying  $\lambda V_{k-1} \subseteq V_0$ ; this correspondence induces a one-to-one correspondence between  $k$ -symmetric extended solutions and  $\lambda$ -cyclic superhorizontal sequences of length  $k$ ; Theorem 4.2 explains under what conditions the method of obtaining harmonic maps into  $\mathbf{U}(n)$  from primitive harmonic maps by making use of  $\Gamma_\tau^{-1}$  can be reversed in order to obtain primitive harmonic maps from 2-nilconformal harmonic maps into  $\mathbf{U}(n)$ .

## 7. HOLOMORPHIC POTENTIALS.

In this section, we shall describe how the extended solutions  $V_j$  arise via the Dorfmeister, Pedit and Wu [8] method of obtaining harmonic maps from certain holomorphic forms.

Consider the following space of loops:

$$\Lambda_{-1,\infty} = \{\xi \in \Lambda \mathfrak{gl}(n, \mathbb{C})\} : \lambda \xi \text{ extends holomorphically to } |\lambda| < 1\}.$$

A  $\Lambda_{-1,\infty}$ -valued holomorphic 1-form  $\mu$  on a simply connected Riemann surface  $M$  is called a *holomorphic potential* [8]. In terms of a local coordinate  $z$ , we can write  $\mu = \xi dz$ , for some holomorphic function

$$\xi = \sum_{i=-1}^{\infty} \xi_i \lambda^i : M \rightarrow \Lambda_{-1,\infty}.$$

The holomorphicity of  $\mu$  is equivalent to  $\bar{\partial}\mu = 0$ . On the other hand, since  $\partial\mu$  and  $[\mu \wedge \mu]$  are  $(2, 0)$ -forms on a surface, they are both zero. Hence,

$d\mu + \frac{1}{2}[\mu \wedge \mu] = \bar{\partial}\mu = 0$ . This means that we can integrate

$$(7.25) \quad (g^\mu)^{-1}dg^\mu = \mu, \quad g^\mu(0) = I$$

to obtain a unique holomorphic map  $g^\mu : M \rightarrow \Lambda\mathrm{GL}(n, \mathbb{C})$ .

Consider the Iwasawa decomposition

$$(7.26) \quad \Lambda\mathrm{GL}(n, \mathbb{C}) = \Omega\mathrm{U}(n)\Lambda^+\mathrm{GL}(n, \mathbb{C}),$$

where  $\Lambda^+\mathrm{GL}(n, \mathbb{C})$  is the subgroup of loops  $\gamma \in \Lambda\mathrm{GL}(n, \mathbb{C})$  which extend holomorphically to  $|\lambda| < 1$ . We can decompose  $g^\mu = \Phi^\mu b^\mu$  according to the Iwasawa decomposition; then  $\Phi^\mu : M \rightarrow \Omega\mathrm{U}(n)$  is an extended solution (see [7, 8]).

The holomorphic potential  $\mu = \sum_{i=-1}^{\infty} \xi_i \lambda^i dz$  is called  $\tau$ -twisted if

$$\tau(\xi(\lambda)) = \xi(\omega\lambda).$$

This condition is independent of the choice of local coordinate and equivalent to the following:  $\xi_i \in \mathfrak{g}^{i \bmod k}$  for all  $i \geq -1$ . Now, if we start with a holomorphic  $\tau$ -twisted potential and proceed as above, we obtain an extended solution  $\Phi^\mu$  satisfying

$$\tau(\Phi^\mu(\lambda, \cdot)) = \Phi^\mu(\omega\lambda, \cdot) (\Phi^\mu(\omega, \cdot))^{-1}.$$

Hence,  $\Phi = s\Phi^\mu$  takes values in  $\Omega^\omega\mathrm{U}(n)$ . Since  $\Phi$  is obtained from  $\Phi^\mu$  by left multiplication by a constant loop in  $\Omega\mathrm{U}(n)$ ,  $\Phi$  is also an extended solution. Moreover, since  $\Phi^\mu(\cdot, 0) = I$ , then  $\Phi(\omega, 0) = s(\omega)$ , which implies that  $\Phi(\omega, \cdot)$  takes values in the connected component of  $\sqrt[k]{I}$  containing  $s(\omega)$ , that is, it corresponds via the Cartan embedding to a primitive harmonic map in  $F = F_{r_0, \dots, r_{k-1}}$ , as explained in §5. Observe that, since  $b^\mu \mathcal{H}_+ = \mathcal{H}_+$ , then the corresponding shift-invariant subspaces are given by

$$(7.27) \quad W = \Phi \mathcal{H}_+ = s g^\mu \mathcal{H}_+.$$

**Theorem 7.1.** *Consider the  $k$ -symmetric space  $F = F_{r_0, \dots, r_{k-1}}$  with base point  $x_0 = (A_0, \dots, A_{k-1})$ ,  $s \in \Omega\mathrm{U}(n)$  as in (5.20) and canonical automorphism  $\tau$ . Let  $\mu$  be a  $\tau$ -twisted potential and let  $W = \Phi \mathcal{H}_+$  be the corresponding  $k$ -symmetric extended solution, with  $\Phi = s\Phi^\mu$ . For each  $0 \leq j \leq k-1$ , the  $V_j$  of Proposition 4.1 are given by*

$$V_j = \gamma_j \Phi^{\bar{\mu}_j} \mathcal{H}_+$$

where  $\bar{\mu}_j = \gamma_j^{-1} \bar{\mu} \gamma_j$ ,

$$(7.28) \quad \bar{\mu}(\lambda) = s(\lambda^{1/k}) \mu(\lambda^{1/k}) s(\lambda^{-1/k})$$

and  $\gamma_j(\lambda) = \pi_{\bar{A}_j} + \lambda \pi_{\bar{A}_j}^\perp$ , with  $\bar{A}_j = A_0 \oplus A_1 \oplus \dots \oplus A_j$ . In particular, taking  $j = k-1$ , since  $\gamma_{k-1} = I$ , the map  $\Psi$  defined pointwise by Proposition 3.1 is given by  $\Psi = \Phi^\mu$ .

*Proof.* In a local coordinate write  $\mu = \xi dz$  where  $\xi = \sum_{i \geq -1} \xi_i \lambda^i$ . For each  $i$ , we can write uniquely  $i = a_i + m_i k$ , with  $a_i \in \{0, 1, \dots, k-1\}$  and  $m_i \in \mathbb{Z}$ .

If  $a_i \neq 0$ , we can decompose  $\xi_i = \xi_i^+ + \xi_i^-$  accordingly to the decomposition  $\mathfrak{g}^{a_i} = \mathfrak{g}_{a_i} \oplus \mathfrak{g}_{a_i-k}$ , where

$$\mathfrak{g}_{a_i} = \bigoplus_{j=a_i}^{k-1} \text{Hom}(A_j, A_{j-a_i}), \quad \mathfrak{g}_{a_i-k} = \bigoplus_{j=0}^{a_i-1} \text{Hom}(A_j, A_{j+k-a_i}).$$

The automorphism  $\sigma(\lambda) = \text{Ad}_{s(\lambda)}$  acts as  $\lambda^{-a_i}$  on  $\mathfrak{g}_{a_i}$  and as  $\lambda^{k-a_i}$  on  $\mathfrak{g}_{a_i-k}$ . Hence,

$$s(\lambda)\xi(\lambda)s^{-1}(\lambda) = \sum_{i \neq m_i k} (\lambda^{m_i k} \xi_i^+ + \lambda^{(1+m_i)k} \xi_i^-) + \sum_{i=m_i k} \lambda^{m_i k} \xi_{m_i k}.$$

Since  $m_i \geq -1$  (with equality if and only if  $i = -1$ ), we see that  $\bar{\mu}$  as defined above is well defined and takes values in  $\Lambda_{-1, \infty}$ . The bottom term of  $\bar{\mu}$  is given by  $\xi_{-1}^+$ .

We also have

$$(7.29) \quad g^{\bar{\mu}}(\lambda) = s(\lambda^{1/k})g^\mu(\lambda^{1/k})s(\lambda^{-1/k}).$$

Let  $f(\lambda) \in W_j$ . Taking Proposition 3.1(i) and equation (7.27) into account, we see that, for some  $h \in \mathcal{H}_+$ ,

$$\begin{aligned} f(\lambda) &= \sum_{l=0}^{k-1} \omega^{-lj} s(\lambda \omega^l) g^\mu(\omega^l \lambda) h(\omega^l \lambda) \\ &= \sum_{l=0}^{k-1} \omega^{-lj} s(\lambda) s(\omega^l) g^\mu(\omega^l \lambda) s(\omega^{-l}) s(\lambda^{-1}) s(\lambda) s(\omega^l) h(\omega^l \lambda) \\ (7.30) \quad &= g^{\bar{\mu}}(\lambda^k) \sum_{i=0}^{k-1} \omega^{-lj} s(\lambda) s(\omega^l) h(\omega^l \lambda). \end{aligned}$$

For the last equality we have used (7.29) and the fact that  $g^\mu$  is  $\tau$ -twisted, which implies that  $s(\omega^l)g^\mu(\omega^l \lambda)s(\omega^{-l}) = g^\mu(\lambda)$ . Now, writing  $\pi_{A_i} h(\lambda) = \sum_{r \geq 0} h_{ir} \lambda^r$ , we have

$$\begin{aligned} \sum_{l=0}^{k-1} \omega^{-lj} s(\lambda) s(\omega^l) h(\omega^l \lambda) &= \lambda^j \sum_{i,l=0}^{k-1} \omega^{l(i-j)} \lambda^{i-j} \pi_{A_i} h(\omega^l \lambda) \\ &= \lambda^j \sum_{r \geq 0} \sum_{i=0}^{k-1} \lambda^{i-j+r} h_{ir} \sum_{l=0}^{k-1} \omega^{l(i-j+r)}. \end{aligned}$$

Since  $\sum_{l=0}^{k-1} \omega^{l(i-j+r)}$  equals  $k$  if  $i-j+r$  is a multiple of  $k$  and 0 otherwise, we see that

$$(7.31) \quad \sum_{l=0}^{k-1} \omega^{-lj} s(\lambda) s(\omega^l) h(\omega^l \lambda) = \lambda^j (\pi_{\bar{A}_j} + \lambda^k \pi_{\bar{A}_j}^\perp) \tilde{h}(\lambda^k)$$

for some  $\tilde{h} \in \mathcal{H}_+$ . Hence, from (7.30) and (7.31), we see that any  $f(\lambda) \in W_j$  can be written as

$$f(\lambda) = \lambda^j g^{\bar{\mu}}(\lambda^k) (\pi_{\bar{A}_j} + \lambda^k \pi_{\bar{A}_j}^\perp) \tilde{h}(\lambda^k)$$

for some  $\tilde{h} \in \mathcal{H}_+$ . According to the definition of  $V_j$ , this means that

$$V_j = g^{\bar{\mu}}(\lambda) (\pi_{\bar{A}_j} + \lambda \pi_{\bar{A}_j}^\perp) \mathcal{H}_+.$$

Finally, observe that  $\gamma_j^{-1} \bar{\mu} \gamma_j$  takes values in  $\Lambda_{-1, \infty}$ . In fact, the  $\lambda^{-2}$ -Fourier coefficient of  $\gamma_j^{-1} \bar{\mu} \gamma_j$  is  $\pi_{\bar{A}_j}^\perp \xi_{-1}^+ \pi_{\bar{A}_j}$ , which is zero since

$$\xi_{-1}^+ \in \mathfrak{g}_{k-1} = \text{Hom}(A_{k-1}, A_0).$$

Hence,  $V_j = \gamma_j g^{\gamma_j^{-1} \bar{\mu} \gamma_j} \mathcal{H}_+$ .  $\square$

Assume now that  $M$  is an open subset of  $\mathbb{C}$  and consider the class of holomorphic potentials  $\mu = \xi dz$  with  $\xi \in \Lambda_{-1, \infty}$  constant. In this case,  $g^\mu = \exp(\xi z)$ . If additionally  $\xi$  has a finite Fourier expansion, then the corresponding harmonic map is said to be of *finite type*. The harmonic maps of finite type can also be obtained by using integrable systems methods from a certain Lax-type equation [4, 10] and they play an important role in the theory of harmonic maps from tori into symmetric spaces. For example, it is known (see [15] and references therein) that all non-constant harmonic tori in the  $n$ -dimensional Euclidean sphere  $S^n$  or the complex projective space  $\mathbb{C}P^n$  are either of finite type or of finite uniton number. The following is a direct consequence of Theorem 7.1.

**Corollary 7.2.** (i)  *$W$  corresponds to a constant potential if and only if each  $V_j$  corresponds to a constant potential.*  
 (ii)  *$W$  is of finite type if and only if each  $V_j$  is of finite type.*

**Example 7.3.** Consider the harmonic map  $\varphi : \mathbb{C} \rightarrow \mathbb{C}P^2$  defined in homogeneous coordinates by  $\varphi = [F]$  where  $F = (F_0, F_1, F_2) : \mathbb{C} \rightarrow \mathbb{C}^3$  is given by  $F_i(z) = (1/\sqrt{3}) e^{\omega^i z - \bar{\omega}^i \bar{z}}$  with  $\omega = e^{2\pi i/3}$ .

This is the Clifford solution discussed in [12], see [1, Example 4.14]. A simple calculation shows that the first and second  $\partial'$ -Gauss bundles of  $\varphi$  are given by  $G^{(1)}(\varphi) = [F^{(1)}]$  and  $G^{(2)}(\varphi) = [F^{(2)}]$ , respectively, where  $F^{(j)}$  stands for the  $j$ th derivative of  $F$  with respect to  $z$ . Moreover,  $G^{(3)}(\varphi) = \varphi$ .

Let  $\mathbf{u}_0, \mathbf{u}_1, \mathbf{u}_2$  be the canonical basis of  $\mathbb{C}^3$ . For each  $j = 0, 1, 2$ , let  $A_j$  be the one-dimensional complex subspace spanned by  $\mathbf{u}_j$ . Consider the 3-symmetric space  $F_{1,1,1}$  with base point  $x_0 = (A_0, A_1, A_2)$ ,  $s \in \Omega U(n)$  and canonical automorphism  $\tau$ , as defined in §5. Let  $g(z)$  be the  $3 \times 3$  matrix whose  $(j+1)$ st column is  $F^{(j)}(z)$ ; this defines a lift  $g : \mathbb{C} \rightarrow U(3)$  for  $\varphi$ , that is,  $\varphi = [g\mathbf{u}_0]$ . Moreover, by a direct calculation we see that  $A_z^g (= \frac{1}{2} g^{-1} g_z)$  is the constant normal matrix  $A$  whose only non-zero entries are  $a_{ij} = 1/2$  when  $i - j = 1 \pmod{3}$ . Hence  $A_z^g$  lies in the eigenspace  $\mathfrak{g}^{-1}$  (see (5.21)) of

$\tau$ , which means that the map  $\phi : \mathbb{C} \rightarrow F_{1,1,1}$  given by

$$\phi = gx_0 = (\varphi, G^{(1)}(\varphi), G^{(2)}(\varphi))$$

is a primitive harmonic map associated to the potential  $\mu = \lambda^{-1}Adz$ . The map  $g^\mu$  satisfying (7.25) is given by  $g^\mu(z) = \exp(\lambda^{-1}zA)$  and the corresponding extended solution is the *vacuum solution* (as in [1, §4.2]) given by

$$\Phi^\mu(\lambda, z) = \exp(z(\lambda^{-1} - 1)A - \bar{z}(\lambda - 1)A^*).$$

We recall from §5 that by evaluating  $\Phi := s\Phi^\mu$  at  $\lambda = \omega$  we obtain the Cartan embedding of the primitive harmonic map  $g(0)^{-1}\phi : \mathbb{C} \rightarrow F_{1,1,1}$ , and

$$(7.32) \quad g(0)^{-1}\phi(z) = \exp(zA - \bar{z}A^*)x_0.$$

The constant holomorphic potentials  $\bar{\mu}_j$  of Theorem 7.1, associated to the extended solutions  $V_j = \gamma_j \exp(z\xi_j)\mathcal{H}_+$ , with  $j = 0, 1, 2$ , are then given by  $\bar{\mu}_j = \xi_j dz$  where

$$\xi_0 = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ \lambda^{-1} & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \quad \xi_1 = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & \lambda^{-1} & 0 \end{pmatrix}, \quad \xi_2 = \frac{1}{2} \begin{pmatrix} 0 & 0 & \lambda^{-1} \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix};$$

note that  $\xi_j(1) = A$ . In particular, with the notations of Theorem 4.2 and Theorem 7.1, we can find the Iwasawa decomposition (7.26)  $g^\mu = \Phi^{\bar{\mu}}b^{\bar{\mu}}$  with extended solution

$$(7.33) \quad \Psi(\lambda, z) = \Phi^{\bar{\mu}}(\lambda, z) = \exp(z\xi_2 - \bar{z}\xi_2^*) \exp(-zA + \bar{z}A^*),$$

where  $\bar{\mu} = \bar{\mu}_2$ . Consider the corresponding harmonic map  $\psi = \Psi(-1, \cdot) : \mathbb{C} \rightarrow \mathbb{U}(3)$ . From (7.33), we compute  $A_z^\psi = \frac{1}{2}\psi^{-1}\partial_z\psi$ :

$$(7.34) \quad A_z^\psi = \exp(zA - \bar{z}A^*) \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \exp(-zA + \bar{z}A^*).$$

On the other hand, the smooth subbundles  $\alpha_0 \subseteq \alpha_1$  of the trivial bundle  $\mathbb{C} \times \mathbb{C}^3$ , as defined in Theorem 4.2, are necessarily given by  $\alpha_0 = \text{Im } A_z^\psi$  and  $\alpha_1 = \ker A_z^\psi$ . Hence, in view of (7.32) and (7.34), we have

$$\alpha_0 = g(0)^{-1}\varphi, \quad \alpha_1 = g(0)^{-1}(\varphi \oplus G^{(1)}(\varphi)).$$

In order to find the holomorphic potential  $\tilde{\mu} = \tilde{\xi}dz$  of the Clifford solution  $\varphi : \mathbb{C} \rightarrow \mathbb{C}P^2$ , we can either (i) consider the type decomposition  $\alpha = \alpha' + \alpha''$  of  $\alpha = g^{-1}dg$ , write  $\alpha' = \alpha'_{-1} + \alpha'_0$  accordingly to the decomposition of  $\mathfrak{gl}(n, \mathbb{C})$  induced by the structure of 2-symmetric space of  $\mathbb{C}P^2$ , as in §5, and take  $\tilde{\mu} = \lambda^{-1}\alpha'_{-1} + \alpha'_0$ , or (ii), in view of Remark 4.3(f) and Remark 5.2(b), with  $l = 2$  and  $j_0 = 0$ , we can start with the potential  $\bar{\mu}_2 = \frac{1}{2}\xi_2 dz$  associated to  $\psi$  and reverse (7.28). This gives

$$\tilde{\xi} = \gamma_0(\lambda)^{-1}\xi_2(\lambda^2)\gamma_0(\lambda) = \frac{1}{2} \begin{pmatrix} 0 & 0 & \lambda^{-1} \\ \lambda^{-1} & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}.$$

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