

This is a repository copy of *The nucleation sequence of*  $\alpha$ *-Al on TiB*<sup>2</sup> *particles in Al-Cu alloys*.

White Rose Research Online URL for this paper: https://eprints.whiterose.ac.uk/170863/

Version: Accepted Version

#### Article:

Li, J, Hage, FS, Ramasse, QM orcid.org/0000-0001-7466-2283 et al. (1 more author) (2021) The nucleation sequence of  $\alpha$ -Al on TiB<sub>2</sub> particles in Al-Cu alloys. Acta Materialia, 206. 116652. ISSN 1359-6454

https://doi.org/10.1016/j.actamat.2021.116652

© 2021 Acta Materialia Inc. Published by Elsevier Ltd. All rights reserved. Licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License (http://creativecommons.org/licenses/by-nc-nd/4.0/).

#### Reuse

This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs (CC BY-NC-ND) licence. This licence only allows you to download this work and share it with others as long as you credit the authors, but you can't change the article in any way or use it commercially. More information and the full terms of the licence here: https://creativecommons.org/licenses/

#### Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk https://eprints.whiterose.ac.uk/

#### The nucleation sequence of α-Al on TiB<sub>2</sub> particles in Al-Cu alloys

Jiehua Li<sup>1</sup>\*, Fredrik S. Hage<sup>2,3</sup>, Quentin M. Ramasse<sup>2,4</sup>, Peter Schumacher<sup>1, 5</sup>

<sup>1</sup> Institute of Casting Research, Montanuniversität Leoben, Leoben, A-8700, Austria

<sup>2</sup> SuperSTEM Laboratory, SciTech Daresbury Campus, Keckwick Lane, Daresbury,

WA4 4AD, UK

<sup>3</sup> Department of Materials, University of Oxford, Oxford OX1 3PH, UK

<sup>4</sup> School of Chemical and Process Engineering & School of Physics and Astronomy,

University of Leeds, Leeds LS2 9JT, UK

<sup>5</sup> Austrian Foundry Research Institute, Leoben, A-8700, Austria

\*Corresponding Author. Post address: Institute of Casting Research, Montanuniversität

Leoben, A-8700, Leoben, Austria.

Tel.: +43-3842-402-3304; Fax: +43-3842-402-3302.

Email address: jiehua.li@unileoben.ac.at (Jiehua Li)

#### Abstract

The refinement of Al alloys by  $TiB_2$  has been extensively investigated for decades, both in industry and academia. In order to achieve higher grain refinement potency, it is known that other solutes must be added alongside  $TiB_2$ , thus tailoring the heterogeneous nucleation at the interface between the  $TiB_2$  particles and the Al matrix. Here, we report results from an atomic-scale experimental investigation of the heterogeneous nucleation interface of  $TiB_2$  in Al-Cu based alloys as well as in an Al-5Ti-1B grain refiner employed in these Al-Cu based alloys. We focus on the effect of segregation of the main solute elements (Ti, Cu) to the interface of  $TiB_2$  and attempt to disentangle this effect from other factors affecting the nucleation and growth. Significant Ti segregation in the form of a Ti-rich layer, identified as an Al<sub>3</sub>Ti two-dimensional compound, was unambiguously observed on the basal plane of TiB<sub>2</sub> particles in the Al-5Ti-1B grain refiner, in agreement with prior literature. In the Al-Cu alloy system, prevalent Ti segregation was also observed on the basal plane of TiB<sub>2</sub>, accompanied in cases when the Cu concentration is high by the presence of a further atomic-scale Cu-rich layer. Based on these observations, a possible nucleation sequence for the Al-Cu based alloys with the addition of Al-5Ti-1B grain refiner is proposed whereby Al nucleation occurs on an Ti-rich layer on the surface of TiB<sub>2</sub>, which can then be preserved from a subsequent peritectic transformation by a surrounding eutectic reaction that forms a Cu-rich layer when sufficient Cu is present. This proposed nucleation sequence should help to link the absence or presence of Al<sub>3</sub>Ti layers on the basal plane of TiB<sub>2</sub> to processing conditions in post-solidification studies. Although it is difficult to know with absolute certainty if a TiB<sub>2</sub> particle observed in the post-solidification microstructure was active or inactive for heterogeneous nucleation during the solidification process, this experimental study highlights the possible role of Cu segregation on grain refinement of Al-Cu alloys by the Al-5Ti-1B grain refiner.

**Keywords:** Al alloy; Heterogeneous nucleation; Growth restriction; Grain refinement; HAADF-STEM; EELS

#### **1. Introduction**

The grain refinement of Al alloys has been extensively investigated [1-4] with a view to improve their mechanical properties and castability, in particular for mass feeding. The addition of Al-Ti-B grain refiners such as Al-5Ti-1B in Al alloys has been widely used due to their higher nucleation potency and wider potential for industrial applications. However, the so-called Zr poisoning [5, 6] very often occurs in many different types of Al alloys (i.e. Al-Cu-Mg, Al-Zn-Mg-Cu alloys or Al-Si based alloys) where the Al-5Ti-1B grain refiner is employed. More importantly, the so-called Si poisoning [7] also occurs in Al-Si based alloys when the Si concentration is higher than 3.5 wt. %. This is of crucial importance as most foundry alloys are based on Al-Si based alloys with a Si concentration of about 7wt. %. A better understanding of the mechanisms on which the Al-5Ti-1B grain refiner relies is thus of great importance to further enhance its grain refinement efficiency in Al alloys.

Various theories regarding the mechanisms by which Al-Ti-B-based grain refinement occurs have been proposed. These include the so-called particle theory, phase diagram theory, duplet nucleation theory or peritectic hulk theory, as reviewed in Ref. [1]. Further theories such as the free growth theory [8-12], a modified free growth theory [13], the interdependence theory [4], an epitaxial model for heterogeneous nucleation on potent substrates [14], and a new concept for growth restriction during solidification [15] have also been put forward. Of note, the liquid structure (clustering and / or ordering) prior to nucleation [16] and the importance of the substrate (chemistry and structure) [17, 18] have been highlighted as important parameters for the heterogeneous nucleation and subsequent growth, which significantly affect the grain refinement.

Despite the differences among all these theories, it is generally accepted that Ti has multiple roles within the Al melt. Ti is present within  $TiB_2$  particles, which act as the nucleation substrate. Excess Ti segregates at the surface of  $TiB_2$  and thereby creates an enriched Ti region leading to the formation of a crystalline layer a few atoms thick identified as an Al<sub>3</sub>Ti

compound, which has been shown to be of importance for the heterogeneous nucleation of Al on the stable boride substrates (TiB<sub>2</sub>) [19-25]. Furthermore, excess Ti also acts as an effective growth restrictor [2, 4, 10, 11, 13, 19]. It is thought the combined effects of the enhancement of the heterogeneous nucleation potency and the growth restriction result in the formation of desirable, small uniform equiaxed Al grains.

The growth restriction can be defined as a physical quantity proportional to the initial rate of constitutional undercooling development and its value can be used directly as a criterion for the effectiveness of grain refinement in Al alloys containing strong potential nucleation particles [2, 15]. It is generally accepted that Ti has a stronger effect on the growth restriction in Al alloys than any other element such as Cu or Si, among others [2]. Furthermore, Ti is more likely to segregate to the TiB<sub>2</sub> / liquid-Al interface, affecting its structure as well as the constitutional undercooling at the solid-liquid interface [19, 24].

To date, more attention has been paid to cases where both Ti content and growth restriction increase concurrently [2, 11, 23]. By contrast, fewer studies have focused on cases where in spite of a decrease of Ti content the growth restriction remains unchanged. This latter aspect is of great importance for disentangling the multiple roles of excess Ti in Al alloys.

The present work focuses on an atomic-scale characterization of the interface between TiB<sub>2</sub> grain refiner particles and  $\alpha$ -Al matrix in the Al-Cu alloy system produced by conventional die castings (rather than in metallic glasses, as studied elsewhere [24]). The reasons for the choice of the Al-Cu alloy system for this study are fivefold: (i) Cu is an important alloying element for industrial aluminum alloys (for instance, in 2xxx Al alloys); (ii) Cu is generally believed to have no significant poisoning action on Al-Ti-B refiners [2], in contrast to Si (thus precluding the use of the Al-Si-Ti system for our purposes [6, 7]); (iii) Cu has a wide hypoeutectic range (up to 33 wt. %), making it possible to adjust the growth restriction over a wide range [2] as a function of other parameters such as the Ti solute excess; (iv) Cu has little

solubility in a potential Ti-rich layer structure [2]; (v) the effect of Cu segregation on grain refinement of Al-Cu alloys by Al-5Ti-1B is not clear yet.

This work builds on prior atomic-scale experimental studies of the heterogeneous nucleation interface of TiB<sub>2</sub> in a commercial Al-5Ti-1B grain refiner, where a Ti-rich layer with a structure differing from that of "bulk" TiB<sub>2</sub> was observed on the basal plane of TiB<sub>2</sub> particles; this structure was previously identified as a so-called Al<sub>3</sub>Ti two-dimensional compound (TDC) [25]. Models have been proposed for the effect of excess Ti on the interface of TiB<sub>2</sub> [26-28] and different excess Ti levels are believed to significantly affect the stability of Al<sub>3</sub>Ti and therefore the solidification path [24]. In a number of highly efficient commercial Al-5Ti-1B grain refiners, about 2.8 wt.% excess Ti is present, resulting in conditions where Al<sub>3</sub>Ti TDC is expected to be stable through the solidification process [24]. In contrast, in usual commercial Al-based alloys, less than 0.15 wt.% excess Ti is added, resulting in conditions where the Al<sub>3</sub>Ti TDC is no longer expected to be stable [24]. To date, atomic-scale experimental observations of the heterogeneous nucleation interface of TiB<sub>2</sub> subjected to these low Ti excess industrial solidification conditions used in conventional die casting are still lacking. It should be noted here that no TiB<sub>2</sub> particle observed in post solidification microstructure can be identified with certainty as having been active in the nucleation process. Even for a TiB<sub>2</sub> particle observed in the center of an Al grain with a well-defined orientation relationship with the surrounding Al matrix, the possibility remains that it was entrained within the grain ahead of the solidification front during the solidification process instead of initiating the grain nucleation. This is in particular the case of very high TiB<sub>2</sub> concentrations, such as in the commercial AI-5Ti-1B grain refiner, where only a small proportion of particles is known to be active [2], but it may also hold more generally for post-solidification electron microscopy observations of these alloys.

Here, we use atomic resolution high angle annular dark field (HAADF) imaging and electron energy loss spectroscopy (EELS) in the scanning transmission electron microscope (STEM) to address a number of these questions and to characterize the heterogeneous nucleation interface of TiB<sub>2</sub> particles in Al-Cu based alloys (and for reference in the commercial Al-5Ti-1B grain refiner), where the Ti solute excess is varied whilst keeping the growth restriction constant. This is achieved by carefully adjusting the overall chemical composition of the alloy, and the Cu and Ti concentrations in particular. We focus on the possible segregation of noninteracting solute elements, Ti and Cu, at the surface of TiB<sub>2</sub>. The results confirm the formation of a Ti-rich layer on the basal plane of TiB<sub>2</sub> in the commercial Al-5Ti-1B grain refiner, with an atomic structure consistent with the previously identified Al<sub>3</sub>Ti TDC. In the case of the Al-Cu alloy with high Cu content, a complex atomically-ordered structure was observed: the basal plane surface is covered by initial Ti-rich layers of a structure consistent with the Al<sub>3</sub>Ti TDC observed in the reference grain refiner. Here, however, a further Al-rich (but Ti-poor) layer, followed by several ordered atomic planes of a Cu-rich atomically ordered structure are also observed covering both prism and basal planes. Based on these observations, a nucleation sequence in this Al-Cu alloy on the basal plane of TiB<sub>2</sub> is then proposed.

#### 2. Experimental

#### 2.1 Casting experiments

A series of experiments was designed to investigate the effect of Cu content on the grain refinement of the Al-Cu alloys by the Al-5Ti-1B grain refiner when the growth restriction remains constant, and to provide evidence of concurrent atomic-level structural changes as a function of Cu composition at the interface between the  $\alpha$ -Al matrix and the TiB<sub>2</sub> grain-refiner particles. Al-Cu alloys with a precisely defined growth restriction of 19.6 K but

different overall compositions (corresponding to alloys labelled 1-3) were prepared using commercial purity Al ingots (99.7%) and an Al-25Cu master alloy pre-prepared in induction melting using high purity Al (99.99%) and high purity Cu (99.999%). Compositions in wt. % are used throughout this work unless otherwise noted.

Each batch, weighing about 6 kg, was melted in a resistance furnace at 720 °C. A reference sample was taken from the melt (before the addition of the grain refiner) in order to identify the grain size before inoculation. The nucleant particles (TiB<sub>2</sub>) were added using a commercial grain refiner rod (Al-5Ti-1B). Additional excess Ti was added using an Al-10Ti master alloy. The concentrations of the nucleant particles (TiB<sub>2</sub>) remained unchanged at about 0.01 wt. % TiB<sub>2</sub>, which is much higher (10 times) than the conditions used in realistic industrial applications where concentrations are about 0.001 wt. %. The reason for a higher addition level of  $TiB_2$  is twofold: (i) to ensure that there is enough  $TiB_2$  in the Al melt for grain refinement, and (ii) to increase the probability of finding a representative number of TiB<sub>2</sub> particles in the TEM samples. The melt was stirred with a graphite rod for 20 s after inoculation to avoid settling. Samples were taken from the melt after 2, 5, 10, 20, 60, 90 and 120 min, to ensure sufficient time was given for the formation of the expected Ti-rich layers observed in previous literature reports at the surface of the boride particles. The samples were tested using a standard TP-1 test. The samples for grain size measurement were sectioned 38 mm away from the bottom surface. Standard metallographic procedures were followed to prepare these sections for grain size measurements. The samples were electro-polished using a mixture of 13 g boric acid, 35 g HF, 800 ml H<sub>2</sub>O at a voltage of 20 V for 45 seconds. All images used for grain size measurements were taken from the centre of the samples using optical microscopy in a polarized mode at the same magnification. The reported grain sizes were measured from at least 20 images and 60 measurements using the line-intersect method. According to the grain size measurement results, the samples taken from the melt after 5 minutes were chosen as representative for further atomic resolution electron microscopy investigation.

#### 2.2 TEM characterisation

Samples for electron microscopy observation were first mechanically polished until thin enough (about 50 µm in thickness) for a small 3mm diameter disc to be punched out, before being thinned to electron transparency using Ar ion polishing at 4 kV, with a 6 ° ion beam incidence. HAADF STEM imaging and EELS measurements were carried out using either a Nion UltraSTEM100 or a Nion UltraSTEM100MC aberration corrected STEM, equipped with a Gatan Enfina or Enfinium ERS EEL spectrometer, respectively. Specifically, a sample of the highest Cu-content Al-Cu alloy (alloy 1, taken from the melt after 5 minutes) was investigated using the UltraSTEM100 microscope operated at an acceleration voltage of 100 kV with an electron probe convergence semi-angle of 31 mrad, and an estimated minimum electron probe size of 0.8 Å. The commercial Al-5Ti-1B grain refiner was subsequently investigated as a reference using the UltraSTEM100MC microscope, operated at an acceleration voltage of 60 kV with an electron probe convergence semi-angle of 31.5 mrad, and an estimated electron probe size of 1.0 Å. The native energy spread of the cold field emission gun of both microscopes is 0.35 eV. The spectrometer collection semi-angle was 36 mrad (60 mrad) and the HAADF detector semi-angles were 83 - 185 mrad (100 – 230 mrad) for the UltraSTEM100 (UltraSTEM100MC) microscope. The HAADF images were produced by averaging several shorter exposure images (acquired consecutively, each with a  $\sim 1 \mu s$  pixel time) after rigid registration using the SDSD plug-in [29] for Gatan's Digital Micrograph (DM) software. All EELS data was de-noised using Principal Component Analysis (PCA) as implemented in the MSA plugin [30] for DM. All datasets de-noised using the PCA method were scrutinized for possible artefacts introduced by this process. EELS maps were created by subtracting the decaying background preceding each edge using a fitted power-law function and subsequent integration of the EELS edge intensity over a 50 eV window from each edge onset (B K (188 eV), Ti  $L_{2,3}$  (456 eV), Cu  $L_{2,3}$  (931 eV) and Al K (1560 eV) [31]). The intensities of the EELS maps are displayed on a false colour scale; a low intensity (black) corresponds to a lower relative concentration and an increased contrast (colour) corresponds to a relative increase in elemental concentration.

#### 3. Results

Before turning our attention to the effect of Cu, it is important to revisit, as a reference, the case of the commercial Al-5Ti-B grain refiner, studied at length in the literature [25].

# 3.1 Heterogeneous nucleation interface of TiB<sub>2</sub> in the commercial Al-5Ti-1B grain refiner

Figure 1 shows HAADF STEM images (Figure 1a, b, f), EELS maps of Ti and B (Figure 1c), B (Figure 1d), Ti (Figure 1e) and Al (Figure 1g), and normalised HAADF and EELS (Al, Ti and B) line profiles (Figure 1h, i) of the basal plane of one TiB<sub>2</sub> particle in the commercial Al-5Ti-1B grain refiner. In a wider context, the basal plane of TiB<sub>2</sub> particle investigated in Figure 1b-i is indicated on the overview image in Figure 1a and Figure S1. Contrast in HAADF STEM images scales to a good approximation as Z<sup>1.7</sup>, where Z is the atomic number [32]. This means for instance that in atomic-resolution images, columns containing Ti atoms within the Al matrix are distinguishable by a relative increase in HAADF image intensity compared to pure Al columns.

EELS maps and line profiles show the presence at the surface of the  $TiB_2$  corresponding to its basal plane of an interfacial atomically ordered structure containing a significant amount of Ti. The outermost layer of the  $TiB_2$  "bulk" crystal structure is indicated by blue arrows, while grey arrows indicate Ti rich columns (out of registry with the atomic structure of the rest of

9

the TiB<sub>2</sub> particle) that appear to be part of this ordered surface layer, several atoms (3-4 atoms) thick. A gradual decrease in both HAADF and Ti signal suggests that the relative thickness (in the direction of the electron beam) of the Ti-rich structure decreases significantly with increasing distance from the nominal TiB<sub>2</sub> "bulk" surface. The results in Figure 1 are consistent with electron microscopy data supporting previous reports [25] of the presence of a so-called Al<sub>3</sub>Ti TDC at the basal plane surface of TiB<sub>2</sub>.

It should be noted that this Al<sub>3</sub>Ti TDC was found to be quite sensitive to electron beam irradiation, see Figure S2. In order to mitigate beam-induced knock-on damage, HAADF images and EELS data were obtained at 60 kV (compared to the other STEM results presented below, obtained at 100 kV), and successive EELS datasets were not acquired from the exact same regions. Thus, the datasets used to generate Figure 1 a-e and Figure 1 f-g were acquired from neighbouring but not identical regions.

Figure 2 shows HAADF STEM images (Figure 2a, b, f), EELS maps of Ti and B (Figure 2c), B (Figure 2d), Ti (Figure 2e) and Al (Figure 2g), and normalised HAADF and EELS (Al, Ti and B) line profiles (Figure 2h, i) of the prism plane of the same TiB<sub>2</sub> particle as shown in Figure 1 (overview image show in Figure 2a). In contrast to the basal plane data in Figure 1, the HAADF image contrast and Ti EELS signal on the prism plane lack the prominent and ordered features of the Al<sub>3</sub>Ti TDC, suggesting no strong segregation of Ti on this surface has taken place (the gradual decay of the HAADF and Ti intensity EELS line profiles, in Figure 2h, can likely be attributed to projection / thickness effects). Some weak, partially ordered structures were occasionally observed on the prism plane of the TiB<sub>2</sub> particles: for completeness, an example image is shown in Figure S3, although the sensitivity to electron beam irradiation of these structures made atomically resolved EELS impractical.

The structural difference between the basal and prism planes of  $TiB_2$ , the former possessing a smaller mismatch to the proposed structure of the Al<sub>3</sub>Ti TDC, is very likely to play a role in the observed difference in Ti segregation on these surfaces. Although, as noted above, it is

difficult to establish with certainty the active role of any particle in heterogeneous nucleation from post solidification atomic-scale observation, the presence of an Al<sub>3</sub>Ti TDC structure is thought to significantly increase the heterogeneous nucleation potency, thus resulting in preferential nucleation of  $\alpha$ -Al on the basal plane of TiB<sub>2</sub>. In what follows we therefore focus mainly on the structure and chemistry of the basal plane of TiB<sub>2</sub>.

#### 3.2 Grain refinement of Al-Cu based alloys with the Al-5Ti-1B grain refiner

Figure 3 shows the effect of excess Ti on the grain refinement of commercial purity Al-Cu alloys with different Cu concentrations (Alloys 1-3), where the growth restriction is kept constant at 19.6 K. The introduction of excess Ti (from 0.08 wt.% for Alloy 1 to 0.12 wt.% for Alloy 3) indeed refines the grain size overall. However, increasing the amount of excess Ti above 0.08 wt.% (as illustrated by Alloy 2 and Alloy 3 at 0.1 and 0.12 wt.%, respectively) results here in an increase in grain size: this is shown more clearly in Figure 3b. As both Cu and Ti concentrations are adjusted simultaneously to keep the growth restriction constant, there are several possible explanations for this observation. One the one hand, the increase in grain size may be attributable to the possible formation of a primary Al<sub>3</sub>Ti phase (which is predicted to be thermodynamically favourable at these excess Ti concentrations), thus depleting the growth restriction. It should be noted here that the primary Al<sub>3</sub>Ti phase is different from the Al<sub>3</sub>Ti TDC on the surface of TiB<sub>2</sub>. The formation of a primary Al<sub>3</sub>Ti phase was indeed predicted using the Thermo-Calc software with the TTAL5 database for compositions corresponding to Alloy 2 and Alloy 3, respectively, as shown in Figures 4b, c. However, this was not the case for Alloy 1, as shown in Figure 4a. These predictions agree with the experimentally observed grain sizes (Figure 3). In order to avoid the effect of the formation of an Al<sub>3</sub>Ti phase on the heterogeneous nucleation sequence, Alloy 1 is chosen for further detailed characterisation as it corresponds to an optimum Ti excess (0.08 wt.%) in which the formation of an Al<sub>3</sub>Ti primary phase is not expected. It should be noted here that,

apart from Alloy 3 with low Cu concentration, metastable Al<sub>2</sub>Cu-Al eutectic is predicted in the late stage of solidification, as shown in Figures 4a, b.

Figure 5 shows an HAADF STEM image (Figure 5a) and EELS maps of B (Figure 5c), Ti (Figure 5d), Cu (Figure 5e), and Al (Figure 5f) obtained in Alloy 1, revealing a typical area seen in this sample with a large TiB<sub>2</sub> particle and of two smaller particles in its vicinity. A composite RGB chemical map, Figure 5b, further illustrates the relative Al, Cu and Ti compositions. In addition to the expected Ti and B content of the refiner particles (although the exact composition was not quantified here, it is reasonable to conclude that these are TiB<sub>2</sub> particles), it is interesting to note that the Cu solute in this sample seems to have formed Curich aggregates with much higher relative Cu concentration than the surrounding Al matrix on both the prism and basal planes of the larger TiB<sub>2</sub> particle. The distribution of Cu must therefore be investigated in more detail.

Intermediate magnification chemical maps of the basal (Figure S4) and prism (Figure S5) planes of the TiB<sub>2</sub> particle shown in Figure 5 indicate that, in addition to the larger Cu-rich aggregates, there is a  $\sim$  2 nm Cu-rich layer covering the particle. As this Cu-rich layer may play a significant role in grain refinement, probing the atomic-scale structure and chemistry of the basal and prism plane TiB<sub>2</sub>-Al interface is highly desirable. Figure 6 shows atomic resolution HAADF STEM images (Figure 6a, d), EELS maps for Ti and B (Figure 6b), Al and Cu (Figure 6e), and normalised line profiles of HAADF images and the Ti and B (Figure 6c) and Cu and Al EELS signals (Figure 6f) of the basal plane of one of these TiB<sub>2</sub> particles. Here, and in what follows, although all maps were acquired in the very same region (and in fact immediately next to each other, as suggested by the white rectangles on Figures 5a and 5d depicting the region over which the EELS spectrum images were recorded), the wide energy loss range required to document all ionisation edges made it necessary to obtain two consecutive EELS maps, for B and Ti on the one hand, and for Cu and Al on the other. It is however reasonable to assume that the chemical profiles are representative of the interface

chemistry, such that the two datasets can be interpreted together to infer the relative spatial localisation and relative composition of the observed structures. These maps reveal that on top of the outermost TiB<sub>2</sub> surface layer (indicated by blue arrows as a guide to the eye), there is an Al and Ti rich region (assumed to be an Al<sub>3</sub>Ti TDC layer, by analogy with the case of the Al-5Ti-1B case studied earlier; it is indicated by a grey arrow as a guide to the eye), followed by an Al-rich layer with a much lower relative Ti content (red arrows), and finally a Cu-rich layer, several atomic planes (2-3 atoms) thick, exhibiting a clear ordered crystal structure. The various surface layers appear not to fully cover the entire basal plane of the TiB<sub>2</sub> particles: this is illustrated further in Figure S6 which shows how the Cu-rich layer is imaged more clearly using a different defocus value, taking advantage of the depth sectioning capabilities provided by the large convergence angle probe used here. This partial coverage is unlikely to be due to beam damage, as the structures in this sample seemed less prone to damage (see Figure S7; this is possibly due to a thicker sample) than in the case of the Al-5Ti-1B grain refiner sample (see Figure S2).

For completeness, Figure 7 shows EELS maps and HAADF images of the prism plane of the same TiB<sub>2</sub> particle shown in Figure 6. Similar to the basal plane, an Al-rich layer is covered by a Cu-rich layer. However, in general agreement with our observations of the prism plane of TiB<sub>2</sub> in the commercial Al-5Ti-1B grain refiner (Figure 2), Figure 7 suggests that no Al<sub>3</sub>Ti TDC is present in this case. In spite of the difference between the two alloys, this would seem to be consistent with the conclusion that in both cases the heterogeneous nucleation of Al occurs preferentially on the basal plane of TiB<sub>2</sub>. We attribute this to the segregation of Ti on the basal plane forming an Al<sub>3</sub>Ti TDC, thanks to a favourable structural match at this interface.

#### 4. Discussion

As demonstrated in Figure 3, the presence of excess Ti (0.8 wt.%) results in a smaller grain size when the growth restriction is kept constant (19.6 K). It is generally accepted that the grain refinement of Al alloys can be attributed to two key factors: nucleation potency and growth restriction. Nucleation potency is believed to be dependent on the number, size and size distribution of the nucleants (TiB<sub>2</sub> in the present investigation). For the grain refinement of the Al-Cu based alloys studied here, the nucleation potency of TiB<sub>2</sub> can be regarded as unchanged because we used the same nominal amounts of  $TiB_2$  (0.01 wt. %) throughout and Al-5Ti-1B grain refiner rods. Furthermore, it has been reported [2, 8] that only the largest TiB<sub>2</sub> particles (*i.e.*, less than 2 % of the total number of particles in a typical case) can be activated during the refinement of Al grains. We surmise therefore that the number of TiB<sub>2</sub> particles with a large size [12] is not a dominant factor in the grain refinement we report here because a sufficient number of TiB<sub>2</sub> particles (0.01 wt. %) were added in all three samples during Al melting. Growth restriction on the other hand, is believed to depend mainly on the alloying elements themselves. Of all the alloying elements, Ti has the strongest growth restriction effect (15.38 K for 0.1 wt. % Ti) [2, 8]. Cu is also known to have a positive, albeit small, growth restriction effect (0.21 K for 0.1 wt. % Cu) [2, 8]. Increasing the Cu content therefore increases the growth restriction. By increasing the Cu content, it is therefore possible to compensate for a smaller amount of Ti excess while retaining identical growth restriction characteristics, and in turn to observe good grain refinement, as shown in Figure 3. It has also been reported [2, 11, 15] that when there is no strong interaction between the elements, the total growth restriction for all elements ( $\Sigma Q$ , used here as the main measure of growth restriction, listed in Table 1) is additive. However, it has also been reported [11, 33] that the prediction of the total growth restriction from the additive elements ( $\Sigma Q$ ) is not applicable in cases where the primary phase is not  $\alpha$ -Al. A phase other than  $\alpha$ -Al forming initially may impair the grain refinement by poisoning the nucleation on inoculants particles and / or by removing solute from solution thus reducing the growth restriction [2]. While this may seem to be a concern here, it should be noted that the respective contents of Ti in samples Alloy 2 (0.1 wt.%) and Alloy 3 (0.12 wt.%) are typical of industrial applications for the grain refinement of cast Al alloys. The excess Ti remaining in the melt (*i.e.*, apart from that consumed through the formation of Al<sub>3</sub>Ti primary phases) must therefore promote, rather than poison, the nucleation on inoculants particles. This suggestion stems from the observation of much smaller grain sizes (about  $62 \pm 4.9 \mu m$ ) for samples such as Alloy 1 compared to the grain size reported in the case of other Al alloys with less excess Ti and fewer inoculants particles (e.g. 1 ppm, 10 ppm, with grain sizes of about 150  $\mu m$  [1,3]). Therefore, the formation of a bulk primary Al<sub>3</sub>Ti phase can be expected to mainly reduce the growth restriction but not to affect the heterogeneous nucleation sequence on TiB<sub>2</sub> particle.

Given the set of parameters explored in this study, it is possible to propose three different scenarios about the heterogeneous nucleation sequence on TiB<sub>2</sub> particle. Firstly, the presence of Cu but the absence of any excess Ti results in a lack of an Al<sub>3</sub>Ti TDC structure on the surfaces of the TiB<sub>2</sub> particles. The heterogeneous nucleation of Al then occurs via the formation of a heterogeneous cap of Al at higher undercooling, followed by a progressive to total Al coverage of the TiB<sub>2</sub> particle surface. In this case, the solute Cu acts as a growth restrictor and is not involved in the heterogeneous nucleation. Secondly, in the presence of both excess Ti and solute Cu the solidification path can be described as follows: firstly, an Al3Ti TDC forms respectively survives from the surface of the  $TiB_2$  particles, covering its basal plane (not the prism plane). Subsequently, the Al<sub>3</sub>Ti TDC transforms *via* a peritectic reaction with the liquid and Al and subsequent peritectic transformation. The presence or absence of an Al<sub>3</sub>Ti TDC in the post-solidification microstructure could be dependent on the completion of this peritectic transformation. In most cases, the Al<sub>3</sub>Ti TDC will have been involved in a peritectic reaction to form an Al-rich layer. Figure 1 shows clearly that the Al<sub>3</sub>Ti TDC comprises 3-4 atomic layers; the Al-rich layer is most locally indistinguishable from the surrounding Al matrix. In contrast, when the TiB<sub>2</sub> particle was an active nucleation site, the peritectic transformation will completely consume the Al<sub>3</sub>Ti TDC so that none remains in the post solidification microstructure, which could explain the absence of any observed Al<sub>3</sub>Ti TDC in some literature reports [2, 4]. The solute Cu acts here as a growth restrictor and is not involved in the heterogeneous nucleation. This scenario may be more likely to apply to cases with lower Cu concentrations in the Al-Cu alloys (e.g. Alloy 3), by comparison with third case below. Thirdly, in the presence of excess Ti and of a large concentration of solute Cu facilitating the observation of metastable eutectic Al-Cu, an Al<sub>3</sub>Ti TDC layer (only 1-2 atomic layers as shown in Figure 6b, c, thinner than that in Figure 1 (3-4 atomic layers)) covers the basal plane of the TiB<sub>2</sub> particle, but in contrast to the second case, while a peritectic reaction does occur between the Al<sub>3</sub>Ti TDC and the Al liquid, forming an initial Al-rich layer, a subsequent eutectic reaction then results in the formation of a Cu-rich layer (metastable Al<sub>2</sub>Cu eutectic, only 1-2 atomic layers as shown in Figure 6e, f) on the top of this Al-rich layer (only 1 atomic layer as shown in Figure 6e, f), effectively stopping any complete peritectic transformation from consuming the underlying Al<sub>3</sub>Ti TDC and facilitating the observation of Al-rich layer. Although many parameters are at play, and a completely unambiguous validation of this third scenario is difficult, a similar eutectic reaction sequence was proposed in the Al-Zn-Mg-Cu based alloy with Sc, Ti and Zr additions [34]. Here primary Al<sub>3</sub>(ZrTi) firstly forms, followed by a subsequent eutectic reaction  $(L \rightarrow Al + Al_3Sc)$  forming a Al-rich layer for each Sc-rich layer eutectic structure until the size of the nucleated particle (Al<sub>3</sub>(ScTiZr)) is large enough so that Al can grow from its surface. In this case, the eutectic reaction  $(L \rightarrow Al + Al_3Sc)$  is involved in the heterogeneous nucleation. By analogy it is reasonable to propose in our case that the eutectic reaction  $(L \rightarrow Al + Cu$ -rich layer) can also occur at the interface of TiB<sub>2</sub>. The occurrence of the peritectic reaction between the Al<sub>3</sub>Ti TDC layer and the Al liquid, combined with the absence of the subsequent peritectic transformation, would also be consistent with the observation of an Al<sub>3</sub>Ti TDC structure in metallic glass experiments (which, due to high cooling rates, cannot sustain a peritectic transformation) [24]. In this case, the Al<sub>3</sub>Ti TDC remains present in the post-solidification microstructure, which can help rationalize the observation of this Al<sub>3</sub>Ti TDC in previous reports [8-12, 21-24] and in the present investigation (Figure 6). In the third case, solute Cu not only acts as a growth restrictor but it is also involved in the heterogeneous nucleation sequence. Higher Cu concentrations in the Al-Cu alloys (*i.e.* Alloy 1) may make this scenario more likely. Regardless, for all three cases, the TiB<sub>2</sub> particle would be regarded as active for grain refinement. Once more, however, the observation of particles consistent in their structure or chemistry with these three cases does not rule out the possibility that they could have been pushed ahead of the solidification front and finally be located along grain boundaries, or been entrained within the  $\alpha$ -Al grain and located inside the  $\alpha$ -Al grain. In such scenarios, these TiB<sub>2</sub> particles were not be active as heterogeneous nucleation sites.

Whether the TiB<sub>2</sub> particle is active or not mainly depends on the particle size [8, 9]. When the solidification temperature is in contact with liquidous, all Al<sub>3</sub>Ti TDC covered TiB<sub>2</sub> particle will form an Al-rich layer via a peritectic reaction. Subsequently, when the solidification temperature decreases below liquidous, depending on the size of TiB<sub>2</sub> particles, some larger TiB<sub>2</sub> particles will become active as nucleation sites and lead to free growth of Al. This will facilitate the peritectic transformation of the remaining Al<sub>3</sub>Ti TDC, which leads to the absence of Al<sub>3</sub>Ti TDC in the post solidification microstructure. While, for the smaller TiB<sub>2</sub> particles are not nucleation sites, the Al<sub>3</sub>Ti TDC and Al-rich layer will remain, however the Al-rich layer will become indistinguishable from the surrounding Al matrix and the observation of the Al<sub>3</sub>Ti TDC will greatly depend on the experimental TEM techniques used. In contrast, when the smaller TiB<sub>2</sub> particles are nucleation sites for subsequent eutectic reaction in Al-Cu alloys, the Al-rich layer acts as a nucleation site for the eutectic reaction (Al-Cu eutectic) and thereby can become clearly distinguishable using suitable TEM techniques.

#### **5.** Conclusion

We have presented an atomic-scale experimental investigation of a number of key parameters controlling heterogeneous nucleation at the interface of  $TiB_2$  grain refiner particles in the Al-Cu alloy system, with particular focus on the segregation of solute elements (Ti, Cu). Some conclusions can be drawn as follow:

- As a starting point, we were able to unambiguously confirm the segregation of Ti on the basal plane of TiB<sub>2</sub>, but not on the prism plane, in the commercial Al-5Ti-1B grain refiner which was used in the creation of three Al-Cu based alloys studied here. This segregation is shown to consist mainly in the formation of a structure consistent with the so-called Al<sub>3</sub>Ti TDC in earlier literature reports, located on the basal plane of the TiB<sub>2</sub> particles.
- 2. As excess solute Ti can enhance the heterogeneous nucleation and act as a growth restrictor, two related and interdependent, yet distinct roles, three Al-Cu alloys were designed to vary the excess Ti at the same overall growth restriction (19.6 K). In the case of an Al-Cu alloy with a high solute Cu and a relatively low amount of excess Ti (i.e. Alloy 1), similar Ti segregation in the form of an Al<sub>3</sub>Ti TDC structure on the basal plane of TiB<sub>2</sub> is accompanied by the formation of an additional Cu-rich layer covering the entire particle. On the basis of this observation, one possible heterogeneous nucleation sequence has been proposed, which can interpret the absence and presence of the Al<sub>3</sub>Ti TDC on TiB<sub>2</sub> particle in Al alloys, in particular to Al-Cu alloys here.
- 3. The formation of this Cu-rich layer may be closely dependent on the Cu concentration, and future studies varying this parameter while attempting to keep other factors constant would thus be required. However, the present investigation provides a clearer picture of the heterogeneous nucleation sequence of  $\alpha$ -Al on TiB<sub>2</sub> in Al-Cu alloys.

### Acknowledgements

J. L. gratefully acknowledges the financial support from Austrian Science Fund (FWF) (P 32378-N37) and BMBWF (KR 06/2020). The SuperSTEM Laboratory is the U.K. National Research Facility for Advanced Electron Microscopy, supported by the Engineering and Physical Sciences Research Council (EPSRC).

## References

#### References

- M. Easton, D. StJohn, Grain refinement of aluminum alloys: Part I. the nucleant and solute paradigms—a review of the literature, Metall. Mater. Trans. A. 30 (1999) 1613-1623.
- [2] M. Easton, D. StJohn, Grain refinement of aluminum alloys: Part II. Confirmation of, and a mechanism for, the solute paradigm, Metall. Mater. Trans. A. 30 (1999) 1625-1633.
- [3] M. Easton, D. StJohn, An analysis of the relationship between grain size, solute content, and the potency and number density of nucleant particles, Metall. Mater. Trans. A. 36 (2005) 1911-1920.
- [4] D. StJohn, M. Qian, M. Easton, P. Cao, The Interdependence Theory: The relationship between grain formation and nucleant selection, Acta Mater. 59 (2011) 4907-4921.
- [5] Y. Wang, C.M. Fang, L. Zhou, T. Hashimoto, X. Zhou, Q.M. Ramasse, Z. Fan, Mechanism for Zr poisoning of Al-Ti-B based grain refiners. Acta Mater. 164 (2019) 428-439.
- [6] Y. Wang, Z.P. Que, T. Hashimoto, X.R. Zhou, Z. Fan, Mechanism for Si poisoning of Al-Ti-B grain refiners in Al alloys. Metall. Mater. Trans. A. 51 (2020) 5743-5757.
- [7] Y. Li, B. Hu, B. Liu, A. Nie, Q.F. Gu, J.F. Wang, Q. Li, Insight into Si poisoning on grain refinement of Al-Si/Al-5Ti-B system. Acta Mater. 187 (2020) 51-65.
- [8] A.L. Greer, A.M. Bunn, A. Tronche, P.V. Evans, D.J. Bristow, Modelling of inoculation of metallic melts: application to grain refinement of aluminium by Al–Ti–B, Acta Mater. 48 (2000) 2823-2835.
- [9] T.E. Quested, A.L. Greer, The effect of the size distribution of inoculant particles on ascast grain size in aluminium alloys, Acta Mater. 52 (2004) 3859-3868.

- [10]T.E. Quested, A.L. Greer, Grain refinement of Al alloys: Mechanisms determining ascast grain size in directional solidification, Acta Mater. 53 (2005) 4643-4653.
- [11]T.E. Quested, A.T. Dinsdale, A.L. Greer, Thermodynamic modelling of growthrestriction effects in aluminium alloys, Acta Mater. 53 (2005) 1323-1334.
- [12]T.E. Quested, A.L. Greer, Athermal heterogeneous nucleation of solidification, Acta Mater. 53 (2005) 2683-3692.
- [13]D. Shu, B.D. Sun, J. Mi, P.S. Grant, A quantitative study of solute diffusion field effects on heterogeneous nucleation and the grain size of alloys, Acta Mater. 59 (2011) 2135-2144.
- [14]Z. Fan, An epitaxial model for heterogeneous nucleation on potent substrates. Metall.Mater. Trans. A. 44 (2013) 1409-1418.
- [15]Z. Fan, F. Gao, L. Zhou, S.Z. Lu, A new concept for growth restriction during solidification. Acta Mater. 152 (2018) 248-257.
- [16]H. Men, Z. Fan, Atomic ordering in liquid aluminium induced by substrates with misfits.Computational Materials Science. 85 (2014) 1-7.
- [17]C.M. Fang, H. Men, Z. Fan, Effect of substrate chemistry on prenucleation. Metall. Mater. Trans. A. 49 (2018) 6231-6242.
- [18]H. Men, Z. Fan, Prenucleation induced by crystalline substrates. Metall. Mater. Trans. A.49 (2018) 2766-2777.
- [19]H. Men, Z. Fan, Effects of solute content on grain refinement in an isothermal melt, Acta Mater. 59 (2011) 2704-2712.
- [20]H.T. Li, M. Xia, Ph. Jarry, G.M. Scamans, Z. Fan, Grain refinement in a AlZnMgCuTi alloy by intensive melt shearing: A multi-step nucleation mechanism, Journal of Crystal Growth. 314 (2011) 285-292.

- [21]N. Iqbal, N.H. Van Dijk, T. Hansen, L. Katgerman, G.J. Kearley, The role of solute titanium and TiB<sub>2</sub> particles in the liquid–solid phase transformation of aluminum alloys, Mater. Sci. Eng. A 386 (2004) 20-26.
- [22]N. Iqbal, N.H. Van Dijk, S.E. Offerman, N. Geerlofs, M.P. Moret, L. Katgerman, G.J. Kearley, In situ investigation of the crystallization kinetics and the mechanism of grain refinement in aluminum alloys, Mater. Sci. Eng. A 416 (2006) 18-32.
- [23] N. Iqbal, N.H. Van Dijk, S.E. Offerman, M.P. Moret, L. Katgerman, G.J. Kearley, Realtime observation of grain nucleation and growth during solidification of aluminium alloys, Acta Mater. 53 (2005) 2875-2880.
- [24]P. Schumacher, A.L. Greer, Heterogeneously nucleated α-Al in amorphous aluminium alloys, Mater. Sci. Eng. A 178 (1994) 309-313.
- [25]Z. Fan, Y. Wang, Y. Zhang, T. Qin, X.R. Zhou, G.E. Thompson, T. Pennycook, T. Hashimoto, Grain refining mechanism in the Al/Al–Ti–B system, Acta Mater. 84 (2015) 292-304.
- [26]J.S. Wang, A.P. Horsfield, U. Schwingenschlögl, P.D. Lee, Heterogeneous nucleation of solid Al from the melt by TiB2 and Al3Ti: An *ab initio* molecular dynamics study, Phys. Rev. B, 82 (2010) 184203.
- [27]D. Wearing, A.P.Horsfield, W.W. Xu, P.D. Lee, Which wets TiB<sub>2</sub> inoculant particles: Al or Al<sub>3</sub>Ti? Journal of Alloys and Compound 664 (2016) 460-468.
- [28]Y.J. Xu, D. Casari, R.H. Mathiesen, Y.J. Li, Revealing the heterogeneous nucleation behavior of equiaxed grains of inoculated Al alloys during directional solidification. Acta Mater. 149 (2018) 312-325.
- [29]B. Schaffer, W. Grogger, G. Kothleitner, Automated spatial drift correction for EFTEM image series. Ultramicroscopy. 102 (2004) 27–36.
- [30] C. C. Ahn, O. L. Krivanek, EELS Atlas Gatan Inc (1983).

- [31]M. Watanabe, M. Kanno, D. Ackland, C. Kiely, D. Williams, Applications of Electron Energy-Loss Spectrometry and Energy Filtering in an Aberration-Corrected JEM-2200FS STEM/TEM. Microscopy and microanalysis. 13 (2007) 1264–1265.
- [32]P. Goodhew, General introduction to transmission electron microscopy, in: R. Brydson (Ed.), Aberration-Corrected Analytical Transmission Electron Microscopy, John Wiley & Sons Ltd., Chichester, U.K., 2011, pp. 1-17.
- [33]R. Schmid-Fetzer, A. Kozlov, Thermodynamic aspects of grain growth restriction in multicomponent alloy solidification, Acta Mater. 59 (2011) 6133-6144.
- [34]J.H. Li, M. Wießner, B. Sartory, S. Wurster, B. Oberdorfer, P. Schumacher, Correlative characterization of primary Al<sub>3</sub>(Sc,Zr) phase in an Al-Zn-Mg based alloy, Materials Characterisation 102 (2015) 62-70.