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1 Adjoint based optimisation for efficient VAWT blade aerodynamics using
2 CFD
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10 **Abstract**

11 The objective of this work is to demonstrate the viability of applying Adjoint methods
12 to aerodynamic optimisation of VAWTs. Adjoint methods are very powerful
13 optimisation techniques which have been implemented effectively in other fields, yet
14 there is an absence of such work within VAWT literature.

15 A 'semi-transient' optimisation process is proposed, using Adjoint optimisation data
16 from single instances in time to improve VAWT performance. This is challenging
17 due to the unsteady nature of VAWT aerodynamics. A pitching aerofoil model
18 approximates the VAWT flow field, drastically reducing computational cost. Details
19 are given on the necessary CFD model(s), Adjoint solver settings, and optimisation
20 philosophy.

21 The optimisation process was applied to a typical VAWT in the commercial CFD
22 software ANSYS Fluent. A high tip-speed-ratio case is chosen to minimise unsteady
23 flow affects. The results show novel blade geometries which improve the VAWT
24 average power coefficient when compared to the original NACA0018 blade.

25 Such a method is novel in the field of VAWTs, and the use of Adjoint methods with
26 low cost CFD models provides an efficient optimisation methodology that can be
27 readily adopted by the VAWT design community. This work sets the foundation for
28 a new and very promising avenue for VAWT research.

29

30

31 **Keywords:**

32 Adjoint

33 Aerodynamics

34 CFD

35 Optimisation

36 VAWT

37 Vertical Axis Wind Turbine

38 1 INTRODUCTION

39 Vertical Axis Wind Turbines (VAWTs) are comparatively underdeveloped compared
40 to their Horizontal Axis Wind Turbine (HAWT) counterparts due to lack of research
41 over the years (Bhutta *et al.*, 2011). VAWTs have some significant advantages over
42 HAWTs and can be more useful in certain situations and conditions; for example in
43 regions of unsteady winds and varying wind direction (Zhu *et al.*, 2015). They can
44 be mechanically simpler with easier deployment and maintenance, and carry
45 reduced demand on the support structure (Tjiu *et al.*, 2014). VAWTs should be
46 explored further to pursue their full potential and improve the competitiveness of
47 wind power in general. This would make it easier for investors, policy makers, and
48 green thinking businesses to favour deployment of this renewable resource. In the
49 future, VAWTs could play a very important role in offshore applications (Sutherland
50 *et al.*, 2012), urban environments, and remote regions/micro-grids (Vassberg, et al.,
51 2005).

52 The analysis and design of VAWT aerodynamics is more challenging than HAWTs
53 due to difficulties in predicting the complex flow phenomena (Wang *et al.*, 2010).
54 VAWT blades experience a constantly changing relative flow velocity, as well as a
55 range of positive and negative Angles of Attack (AoA) over each revolution. At low
56 Tip Speed Ratios (TSRs), dynamic stall is observed which comprises the complex
57 formulation of vortices, followed by their development and separation into wakes
58 (Wang *et al.*, 2010). Such time dependent flow physics have proven difficult to
59 predict accurately making aerodynamic design and optimisation of VAWTs a
60 significant challenge. Design methods for VAWT blades typically revolve around a
61 set of conventional geometrical parameters (camber, thickness, fixing angle, solidity
62 etc.) inherited from the field of aviation (Tjiu *et al.*, 2014).

63 While numerous authors have attempted to characterise the impact of these
64 parameters on VAWT performance, geometry/performance trends are still not well
65 understood over the full operating range (Edwards, 2012). Research to date is
66 inconclusive in providing generalised trends for the effects of camber on VAWT
67 performance. Some authors have claimed that introducing camber should be
68 generally beneficial to VAWT power output such as Baker (1983) and Islam *et al.*
69 (2007). Others find that camber can deteriorate the performance such as
70 Worasinchai *et al.* (2016), calling symmetrical NACA sections a “simple and
71 attractive choice for Darrieus rotors”. The appropriate camber is subject to the
72 individual geometry and instantaneous operating conditions of each turbine.

73 Islam *et al.* (2007) states that a large blade thickness is beneficial at low TSR such
74 as for self-starting, because thicker blades help delay stall at low Reynolds number.

75 Thickness is understood to be less desirable at higher TSR where separation issues
76 become less prominent.

77 Fixing angle is the angle made between the blade chord and the blades tangential
78 velocity (which is at right angles to the turbine connecting arm). Having a constant
79 non-zero fixing angle causes a permanent skew to the range of AoA experienced
80 by the blade. Klimas & Worstell (1981) investigated symmetrical aerofoils at different
81 fixing angles and found small variations in fixing angle can exhibit great changes in
82 the cut-in TSR, efficiency and peak power coefficient. Coton *et al.* (1996) states
83 small non-zero fixing angles were found to reduce power output at low TSR but an
84 improvement at high TSR, while large fixing angles were found to reduce the
85 performance across all TSRs considered. At high TSR the AoA converges to the
86 fixing angle, so the performance is more greatly influenced when the turbine is at
87 operating speed (Hill *et al.*, 2008).

88 The solidity, σ , of a VAWT depends on the number of blades (N), blade chord (c)
89 and rotor radius (R) and is defined as follows:

$$90 \quad \sigma = \frac{Nc}{R} \quad (1.1)$$

91 Solidity has a strong effect on the performance of a VAWT. High solidity turbines
92 operate more efficiently at low TSR and exhibit a sharp loss of efficiency away from
93 the optimum. Low solidity turbines exhibit a smoother power curve, and experience
94 maximum efficiency at higher TSRs (Edwards, 2012). Howell *et al.* (2009)
95 experimented with solidity by varying the number of blades. It was found that the 3-
96 bladed machine drastically outperformed the lower solidity 2-blade machine over
97 the majority of the operating range considered.

98 Previous investigations into VAWT blade geometry parameters are useful but do not
99 provide general performance trends over the full operating range (Edwards, 2012).
100 Furthermore, designing VAWTs within these set of parameters is somewhat
101 restrictive. Optimisation processes typically require large CFD (Computational Fluid
102 Dynamics) campaigns in order to explore the variations of just a few parameters
103 (Bianchini *et al.*, 2014). Therefore, efficient and powerful aerodynamic optimisation
104 methods are desirable to aid more rapid development of VAWT technology.

105 VAWT optimisation in the literature tends to employ the Design of Experiments
106 approach (Bianchini *et al.* 2014), whereby performance is evaluated after making
107 semi-arbitrary changes to the blade geometry. This method is simple but is
108 computationally inefficient and only a small number of design variables can be
109 studied within a reasonable time frame. More refined forms of this method, namely
110 Response Surface Methods (RSM) have been applied to VAWTs with success, but
111 these also are lacking in efficiency as they disregard many poor system

112 configurations during the process. RSMs also need to conduct an initial sampling
113 stage of the solution space, which is akin to the design of experiments approach (Le
114 Moigne, 2003).

115 An alternative form of optimisation method called genetic algorithms. These work by
116 producing a multitude of semi-random design variations, and then eliminating those
117 that perform poorly. The surviving designs are used to formulate the next range of
118 test cases. These methods are also computationally wasteful due to many
119 disregarded intermediary designs (Daróczy *et al.* 2018). None of these gradient-free
120 methods mentioned so far use flow field data explicitly to determine the geometry
121 change for the next iteration. On the other hand, gradient-based methods exist that
122 calculate ‘sensitivity gradients’ from the flow field. These describe how the
123 performance will vary for a given change in the blade geometry. Such sophisticated
124 techniques therefore minimise computational wastage. Gradient-based methods
125 have been developed for aerospace applications and hold great potential for VAWT
126 technology.

127 Computing the sensitivity gradients directly can be very computationally expensive
128 for problems with a large number of input variables, such as for an aerofoil.
129 However, the Adjoint sensitivity analysis offers a unique advantage. Rather than
130 performing additional sensitivity calculations for every input variable, the Adjoint
131 variables are computed just once (per objective function) regardless of the number
132 of input variables (Le Moigne, 2003). This Adjoint solution then provides the
133 sensitivity gradients for all inputs which can be used in the gradient based
134 optimisation process. For problems with many input variables this becomes an
135 enormous benefit of Adjoint sensitivity analysis; the highly efficient computation of
136 the sensitivity gradients produces a vastly more powerful gradient based
137 optimisation process. As long as the number of objectives functions is less than the
138 number of variables the Adjoint method is beneficial (Le Moigne, 2003). This is
139 indeed the case for the problem of VAWT aerodynamics with a single objective
140 function (of the moment coefficient or power coefficient), and complex blade
141 geometries comprising hundreds of input variables in the form of nodal coordinates
142 around the blade surface. It should be noted that other applications of Adjoint
143 sensitivity analysis exist, but for the present work the combination of Adjoint
144 sensitivity analysis along with gradient based optimisation will be referred to as
145 ‘Adjoint based optimisation’.

146 Using these methods a turbine blade can therefore be optimised in high resolution,
147 rather than being constrained to a small number of shape parameters in order to
148 limit computational cost. Adjoint based optimisation allows decoupling from the
149 limitations of conventional aerofoil parameterisation, since the sensitivity gradients

150 can be computed cheaply for every node; non-intuitive and unexpected solutions
151 are possible.

152 Little research has been found in the literature on applying Adjoint methods
153 specifically to wind turbine design problems although there has been some relating
154 to HAWTs (Dhert *et al.*, 2016). The wider literature (mainly for aerospace) presents
155 much in regard to Adjoint optimisation methods from a mathematical viewpoint, but
156 not in direct application of Adjoint methods to the aerodynamic design problem of
157 the VAWT.

158 The present work chooses to implement the Adjoint method for several reasons.
159 Adjoints have not been used in conjunction with VAWTs in published research but
160 they are potentially a very fruitful and exciting development in VAWT optimisation.
161 Furthermore, popular CFD codes such as ANSYS Fluent have an Adjoint solver
162 module, so that the methods developed here are widely accessible to the general
163 CFD/VAWT community which increases the potential adoption and impact of this
164 research.

165 One of the major obstacles of applying Adjoint methods to VAWTs is that their
166 unsteady flow aerodynamics makes the use of transient Adjoint methods are
167 extremely complex and time consuming, although some success has been shown
168 in turbomachinery applications for transient Adjoints (Li *et al.*, 2011), (Walther &
169 Nadarajah, 2015), (Luo *et al.*, 2011).

170 The current work constructs and presents an “engineering approach” which carefully
171 applies a steady-state Adjoint solver to the transient problem of VAWT
172 aerodynamics. This can be done despite the unsteady nature of the VAWT flow
173 field. The focus of the paper is on the application of the Adjoint method rather than
174 the complex inner workings of the mathematical formulation. There is a wealth of
175 literature which the reader may consult for such insights, such as Errico (1997), Le
176 Moigne (2003), Carpentieri (2009), and Coppin (2014).

177 This paper discusses the proposed methodology along with details of its application
178 to a sample VAWT. This VAWT operates at a constant TSR of 4.5 and its
179 performance is judged by the average power coefficient (C_P) achieved over a
180 revolution. Larger scale VAWTs such as offshore turbines tend to have higher
181 operating TSRs than small scale VAWTs, making a medium/high TSR VAWT a
182 sensible choice for the present work (Worstell, 1978; Ashwill, 1992). Choosing a
183 high TSR reduces the levels of flow unsteadiness as will be discussed in Section
184 2.1. An approximation model is used to reproduce the VAWT flow field via a single,
185 isolated pitching blade. This significantly reduces computation cost, and details of
186 this model are given in Section 2.1. 2D CFD simulations are used for the
187 optimisation process and to quantify the performance of the baseline VAWT, which

188 is validated against Rezaeiha *et al.* (Vol 107, 2017), and subsequently the VAWT
189 fitted with candidate (optimised) blades. The validity of 2D vs 3D models for VAWT
190 analysis is postulated by authors across the field and it is generally agreed that 2D
191 analyses commonly overestimate the power coefficient (Howell *et al.*, 2009),
192 (Almohammadi *et al.*, 2015) and (Jin *et al.*, 2014). This discrepancy is attributed to
193 the spanwise flow components and over tip vortices which occur in reality and
194 cannot be inferred by 2D simulations. Despite this, VAWT CFD research has
195 predominantly consisted of 2D simulations using RANS turbulence models to
196 alleviate high computational costs (Balduzzi *et al.*, 2015). The method described
197 here could be extended to 3D simulations for greater accuracy (see planned future
198 work in Section 5).

199 It is important to note that because the resulting optimised blade geometry is novel,
200 no experimental data yet exists to provide additional validation of the CFD Results.
201 However, validation of the CFD results is provided where appropriate.

202 2 METHODS

203 An Adjoint based optimisation method is developed in this paper which is illustrated
204 using the widely known CFD code ANSYS Fluent. The method can however be
205 reproduced and developed in other CFD codes that contain a steady Adjoint solver.
206 As will be discussed, this method is described as ‘semi-transient Adjoint
207 optimisation’ since it applies a steady Adjoint solver to unsteady aerodynamics
208 problems.

209 A typical VAWT is used to illustrate the application of the method. This VAWT is as
210 described in Rezaeiha *et al.* (Vol 107, 2017) with the turbine details presented in
211 Table 1.

Turbine Blade Profile	NACA0018
Number of Blades	2
Blade Chord Length	0.06m
Blade Length	1m
Blade Fixing Angle	0 degrees
Turbine Diameter	1m
Rotational Velocity, ω	83.8 rad/s
Free-stream Wind Speed, U_{wind}	9.3 m/s
Tip Speed Ratio, λ	4.5

212 **Table 1 - Details of the VAWT, Rezaeiha *et al.* (Vol 107, 2017).**

213 This VAWT is selected due to its high TSR and due to the availability of data which
214 can be used for CFD model validation. It should be noted that the CFD work of
215 Rezaeiha *et al.* (Vol 107, 2017) is based on an experimental study conducted by
216 Tescione *et al.* (2014). The following subsections describe the workings of the
217 method.

218 2.1 Single-Blade Approximation CFD Model

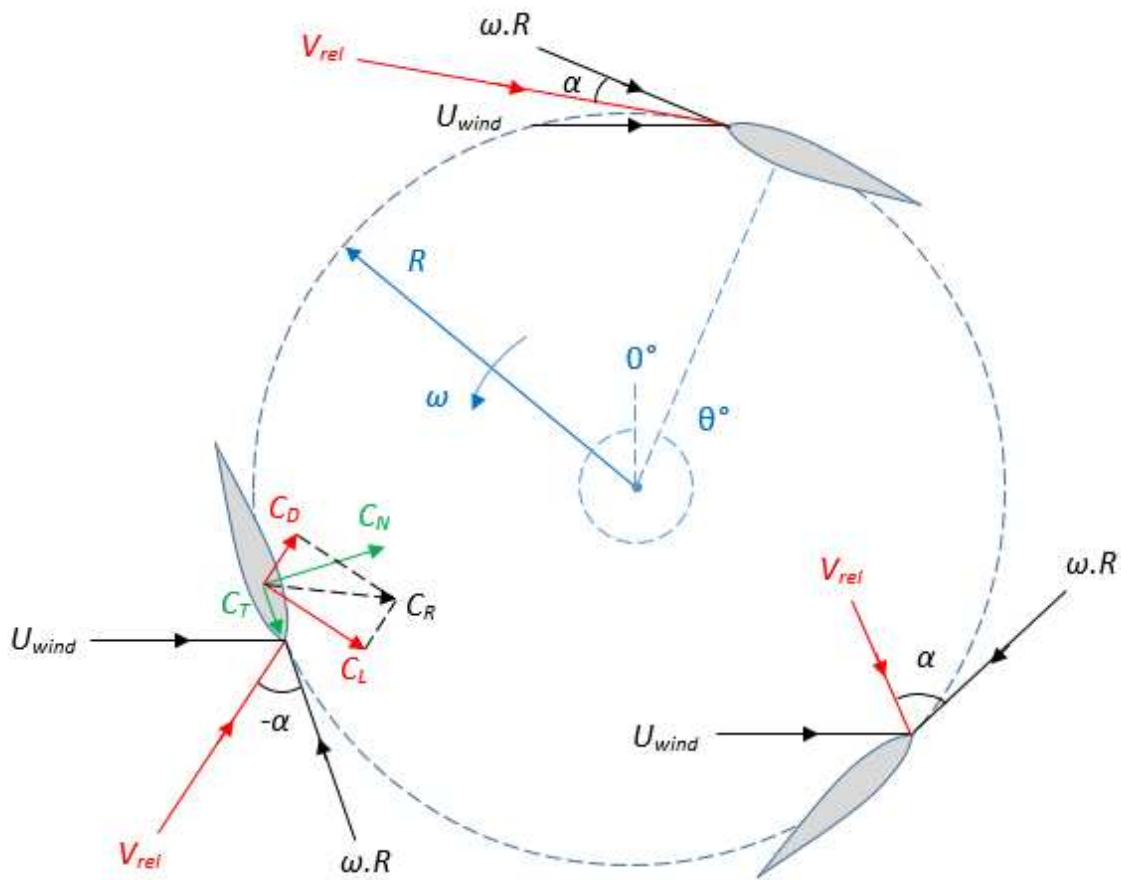
219 To reduce computational cost of the optimisation process, a model with a single
220 pitching blade is used to approximate the VAWT blade flow field. At the end of the
221 optimisation, the resulting geometry is tested on a normal VAWT model (see Section
222 2.5). In this section, some VAWT theory is given followed by a description of the
223 Single-Blade model which is based on this theory.

224 **2.1.1 Turbine Blade Convention**

225 The blades of a VAWT experience a flow velocity over a range of Angles of Attack
 226 (AoA) as the blades of the turbine revolve. Figure 1 shows the convention used for
 227 the aerodynamic force coefficients. The lift and drag force components produce a
 228 resultant force which has a blade normal component (C_N) and a
 229 tangential/chordwise component (C_T) which provides the useful torque:

230
$$C_T = C_L \sin(\alpha) - C_D \cos(\alpha) \quad (2.1)$$

231



232

233 **Figure 1 – Schematic of the Aerodynamic Coefficients of an Oscillating Aerofoil.**

234 The TSR (λ) relates the wind speed and rotational velocity:

235
$$\lambda = \frac{\omega.R}{U_{wind}} \quad (2.2)$$

236 The relative flow velocity seen by a blade with zero fixing angle can be calculated in
 237 terms of the TSR (λ) and azimuthal angle of the blade θ (Ferrer & Montlaur, 2015):

238
$$V_{rel} = U_{wind} \sqrt{\lambda^2 + 2\lambda \cos\theta + 1} \quad (2.3)$$

239 The corresponding angle of attack is defined as (Ferrer & Montlaur, 2015):

240
$$\tan \alpha = \frac{\sin\theta}{\lambda + \cos\theta} \quad (2.4)$$

241 As the TSR increases, the AoA variation reduces and approaches the blade fixing
 242 angle. For the present work, a high TSR VAWT is used, which mitigates the severe
 243 flow unsteadiness associated with the dynamic stall phenomena at low TSR.

244 The terms moment coefficient (C_M) and power coefficient (C_P) are also introduced:

245
$$C_M = \frac{M_F}{\frac{1}{2}\rho \overline{V_{rel}}^2 S R} \quad (2.5)$$

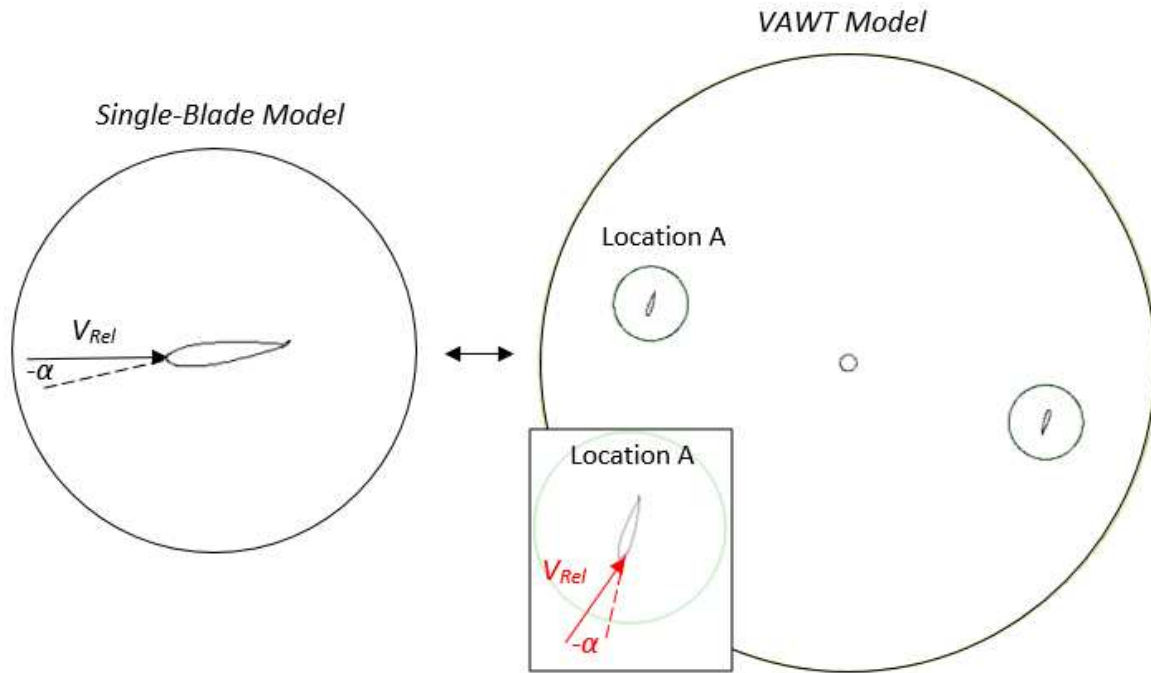
246 Where M_F , is blade moment of force (N.m), S is area, R is turbine radius, $\overline{V_{rel}}$ is the
 247 average relative blade velocity ($\omega.R$), and T is torque.

248
$$C_P = \frac{T.\omega}{\frac{1}{2}\rho U_{wind}^3 A} \quad (2.6)$$

249 **2.1.2 Single-Blade Modelling Philosophy**

250 The Adjoint based optimisation methodology uses a simplified CFD model to
 251 approximate the VAWT blade aerodynamics. This significantly reduces computation
 252 time and improves the stability/convergence of the Adjoint solver. This simplified
 253 model is a single, isolated aerofoil with an oscillating pitch (AoA). The AoA variation
 254 is similar to that experienced by the blades of a VAWT. A variable inlet velocity is
 255 applied to the Single-Blade model to emulate the blade relative velocity experience
 256 on a VAWT. These elements combined, produce a reasonable approximation to the
 257 VAWT blade flow field, although vortex/wake interactions and some plunging motion
 258 components are neglected (Wang *et al.*, 2010). The rotation axis is located at one
 259 quarter chord length from the leading edge.

260 To illustrate this, the link between the Single-Blade model and the VAWT, which it
 261 represents, is shown in Figure 2. V_{rel} is the relative velocity of the flow seen by the
 262 blade at location A. It should be noted that under the sign convention defined in
 263 Figure 1, the blade of Figure 2 shown in the upwind region has a negative AoA.



264

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Figure 2 – AoA Similarity (link) Between the Single-Blade Model and the VAWT.

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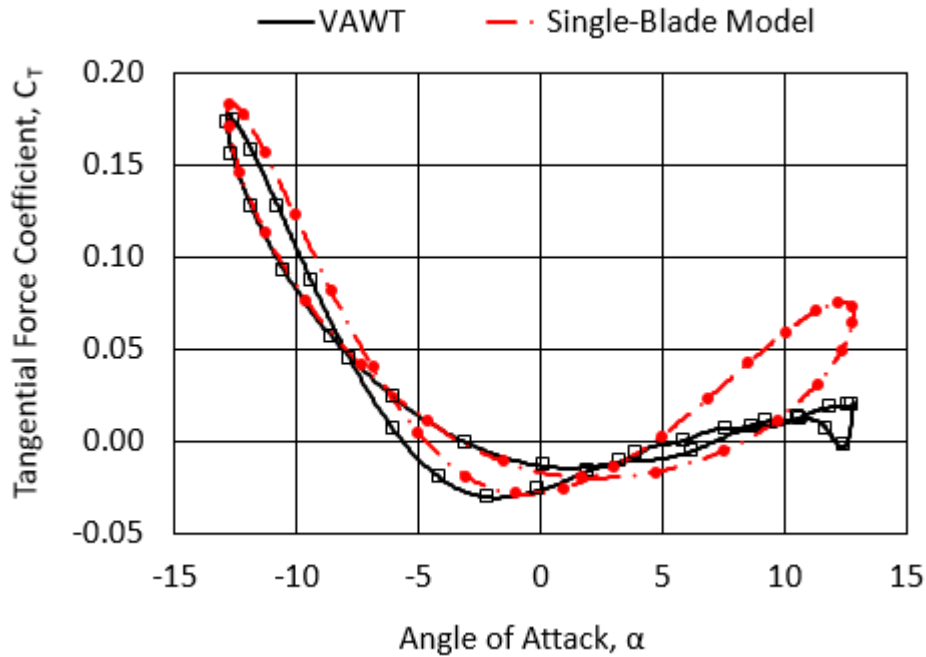
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Figure 3 illustrates the agreement in the modelling results between a Single-Blade model and the VAWT which it represents (for the typical VAWT of Rezaeiha *et al.*, Vol 107, 2017). As will be discussed later, the discrepancy in the downwind part does not affect the optimisation process, since the optimisation data used in this work is taken from the upwind part of the cycle only. This approximation model can therefore be of sufficient accuracy to replace a full VAWT model during the optimisation process. Due to the iterative nature of the process, this means significant computing time savings overall (Zhang *et al.*, 2019).



274

275

Figure 3 – Comparison of the Single-Blade Model Data and the VAWT Model Data.

276

2.1.3 Single-Blade Model CFD Setup/Validation

277

The sliding mesh technique was used with a User Defined Function (UDF) to provide the Single-Blade CFD model with the VAWT theoretical AoA profile, Equation (2.4). This technique consists of a circular non-conformal interface between the exterior mesh and the rotating subdomain, where mass/momentum exchange takes place. This practice is common within the literature Hand *et al.*, 2017. To more accurately represent the VAWT flow field, a knockdown factor of 0.5 was applied to the AoA in the downwind part of the cycle to account for transverse velocity components arising from energy extraction in the upwind and associated slow-down of the flow across the rotor (Gosselin *et al.*, 2013). Variation in the blade relative velocity at the inlet is also prescribed via a UDF as per Equation (2.3).

287

The SST $k-\omega$ turbulence model is used for all the CFD simulations. Numerous authors have conducted investigations of turbulence model suitability for VAWTS using CFD and experimental data. Many authors deem that the basic 2 equation models, standard $k-\epsilon$ and standard $k-\omega$ incapable of predicting VAWT flows, while the SST $k-\omega$ variant is favoured over other models of similar complexity/cost and can adequately reproduce the VAWT flow fields (Wang *et al.*, 2010), (Balduzzi *et al.*, 2015), (Hand *et al.*, 2017). Rezaiha *et al.* (2019) conducted a comprehensive study of the Spalart-Allmaras (S-A), RNG $k-\epsilon$, realizable $k-\epsilon$, $k-\omega$ SST, $k-\omega$ SST with intermittency, $k-k_l-\omega$, and Transitional SST. A range of flow conditions were studied, with the conclusion that the SST models can provide reasonable predictions of VAWT flows including dynamic stall.

297

298 Although other high fidelity models, such as the k- ω SST with intermittency,
 299 Transition SST and even LES (Large Eddy Simulation) are recommended for better
 300 accuracy in transitional flows, the SST k- ω model is used in the present work. This
 301 is due to accuracy/cost considerations keeping in mind that the method here is
 302 presented in a basic form for demonstrating the feasibility. SST k- ω models are the
 303 most accurate type supported by ANSYS Fluent's Adjoint module that are also
 304 deemed suitable for VAWTs across the literature. In addition, for the high TSR case
 305 adopted here, it is judged that this turbulence model will be satisfactory as dynamic
 306 stall effects are minimal. The method presented can also be implemented in
 307 alternative open source CFD codes if Adjoint calculations using high fidelity
 308 turbulence models are required.

309 In the CFD simulations a value of 5% is used for the turbulence intensity, in
 310 accordance with the research paper of the VAWT (Rezaeiha *et al.*, Vol 107, 2017).
 311 The turbulence length scale, in lieu of specified values, is set as the turbine diameter
 312 (Rezaeiha *et al.* Vol 156, 2018).

313 For the Single-Blade CFD model (VAWT approximation model), the adopted domain
 314 and meshing strategy is close to that used in Hand *et al.* (2017), utilising a circular
 315 far-field zone and circular subdomain. This makes producing a high quality
 316 structured mesh easier, with the ability to make rapid amendments and refinements.
 317 A near-wall refined zone allows a first cell thickness to achieve a y^+ of approximately
 318 1 which is sufficiently small to resolve the viscous sublayer without the necessity of
 319 a wall function (Wang *et al.*, 2010).

320 The CFD simulations are solved using the Coupled Numerical Scheme. The
 321 pressure based solver is used with the second-order upwind scheme for spatial
 322 discretisation, and the bounded second-order implicit scheme for the transient
 323 formulation. A limit of 30 iterations per time step is used and minimum convergence
 324 criteria of 1×10^{-5} is set for all residuals. These settings are common across the
 325 VAWT CFD literature in order to achieve sufficient solution convergence (Li *et al.*,
 326 2018, Wang *et al.*, 2010, Rezaeiha *et al.*, Vol 107, 2017, and Guo *et al.*, 2019).

327 To validate the Single-Blade model, grid, and time-step independence studies were
 328 performed for a constant domain size. The range of meshes and time-steps are
 329 presented in Table 2 and 3, respectively.

Mesh I.D.	M1	M2	M3	M4	M5
Total cells (k = 1000 cells)	54 k	102 k	150 k	245 k	345 k
Num. cells around aerofoil surface	310	380	475	570	680

330

Table 2 - Range of Meshes Used in the Validation Study.

Time Step I.D.	T1	T2	T3	T4	T5	T6	T7
Num. steps per turbine revolution	100	200	400	800	1200	1600	2000

331

Table 3 – Range of Time-Steps Used in the Validation Study.

332 Over the range of time-steps studied the medium-fine time-steps (T4, T5) showed
333 near perfect agreement with each other, and closely matched the finest time-steps
334 (T6, T7). Taking consideration of required accuracy and computation costs of the
335 optimisation process, time-step T4 (800 time-steps/rev) was deemed suitable. In a
336 similar fashion, the range of meshes were tested at the chosen time-step (T4). The
337 finest mesh had near perfect agreement with the coarsest mesh, along with the
338 other cases in between but has around 7 times the number of cells It was concluded
339 that the coarsest mesh (M1) is suitable. A range of domain sizes were then tested
340 for a constant mesh density (see Table 4).

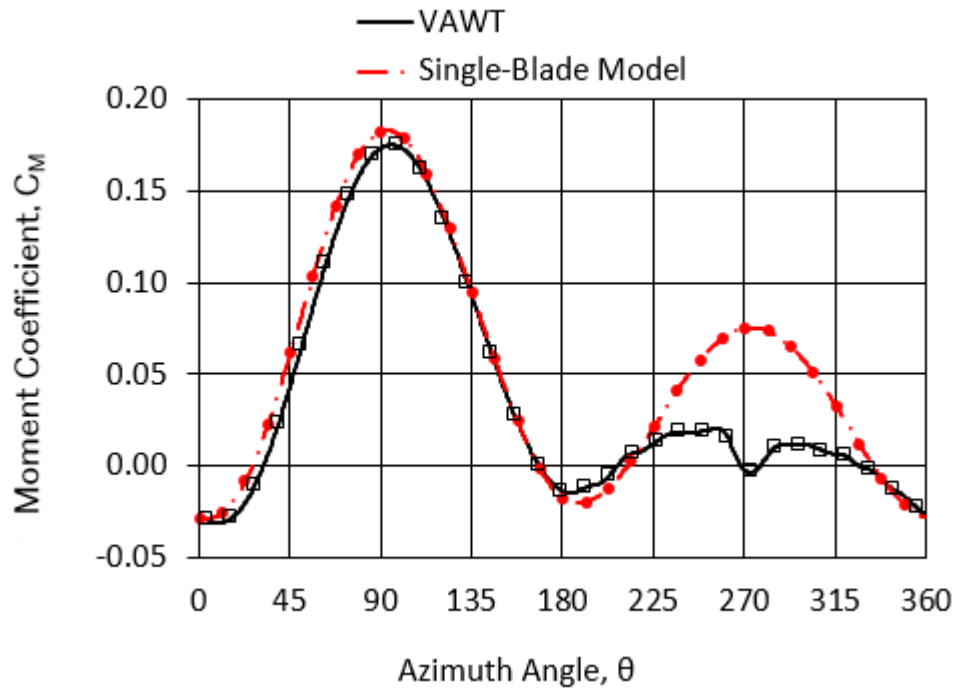
Domain I.D.	D1	D2	D3	D4	D5
Total cells (k = 1000 cells)	33 k	43 k	54 k	64 k	73 k
Diameter of rotating subdomain (as a multiple of chord length)	2c	4c	6c	8c	10c
Diameter of far-field zone (as a multiple of chord length)	15c	25c	40c	65c	100c

341

Table 4 – Range of domains used in the validation study.

342 There was relatively close agreement across all the domain sizes. Only minor
343 disagreements were observed between D3, D4 and D5, and considering that D4
344 and D5 have 19% and 37% more cells compared to D3, respectively, it was judged
345 that D3 is the most appropriate domain size to use

346 Having demonstrated mesh, time-step and domain size convergence of the solution,
347 it is then necessary to check the flow field of the Single-Blade model against the
348 VAWT blade flow field. To allow a direct comparison (see Figure 3), the VAWT blade
349 moment data is converted to C_T and the theoretical AoA is determined according to
350 Equation (2.4). In a similar fashion, the Single-Blade data is converted to a
351 theoretical C_M as a function of the azimuthal angle curve, allowing this comparison
352 to be viewed in a more typical VAWT format (see Figure 4).



353

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Figure 4 – Comparison of Single-Blade Model Data and VAWT Model Data (C_M).

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Figure 3 and Figure 4 show that the Single-Blade model is successful in providing an approximate flow field of the VAWT blades, although the representation in the downwind part of the cycle is somewhat inaccurate. The inaccuracies are due to neglecting the blockage effects and shaft/blade wake interactions in the downwind region. However, this is not detrimental to the optimisation method since sensitivity data is taken only from the upwind part in the present work. The Single-Blade model is therefore a suitable approximation to use in the optimisation process. The new aerofoil derived from the optimisation process will be further validated through a full turbine simulation.

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2.2 Philosophy of the Semi-Transient Optimisation

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As described in Section 2.1, the Single-Blade model provides a platform upon which the Adjoint based optimisation can take place. The optimisation makes use of an Adjoint solver configured for steady-state flows. Steady Adjoint solutions *can* however be of value and of use for engineering approaches for unsteady problems (Eggenspieler, 2012). The method/optimisation process developed here is such an engineering approach for the transient problem of VAWT aerodynamics. Further details of the process are presented in Section 2.4.

372

2.2.1 Objective

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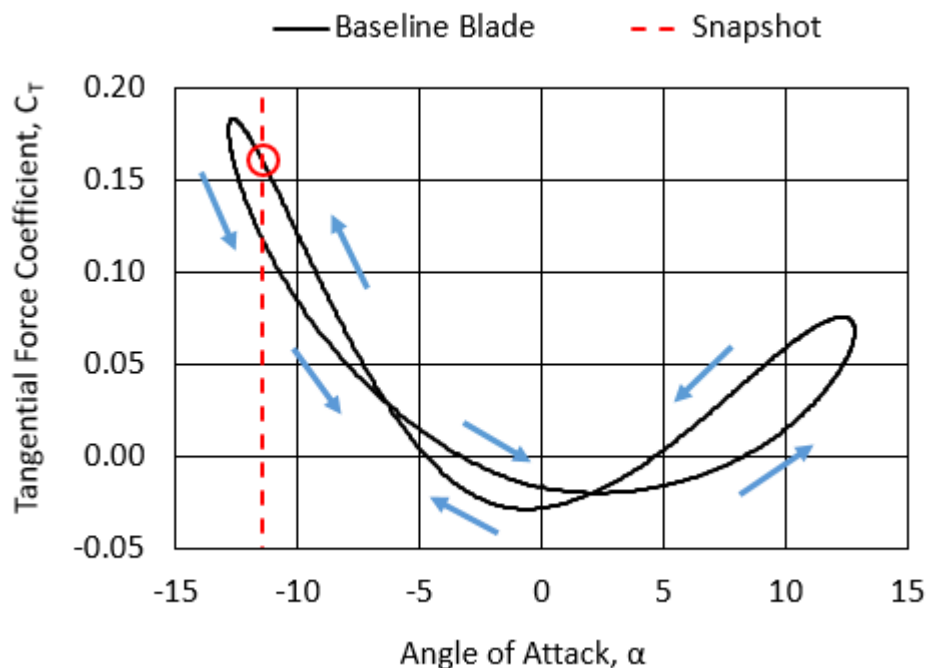
Any optimisation process aims to produce a solution that approaches the extrema of a given objective function. With regard to VAWTs, one can generally assume that the objective is to maximise the average power coefficient, but since this quantity

376 does not depend on an instantaneous flow field, the tangential blade force
 377 coefficient (C_T) is selected (see Figure 1). A blade geometry once optimised for C_T
 378 in the Single-Blade model, will give higher power output when placed on a VAWT.
 379 The sensitivity gradients (described in Section 1) resulting from the Adjoint solver in
 380 this case describe the change in C_T that would arise from a given change to the
 381 blade geometry.

382 2.2.2 Snapshots

383 Despite the unsteadiness of VAWT aerodynamics, a steady Adjoint solver can be
 384 applied to an instantaneous snapshot of the transient flow field. A snapshot
 385 corresponds to a single point in time, when the aerofoil is at a given angle during its
 386 oscillation cycle. The overall optimisation can thus be considered to be a ‘semi-
 387 transient’ method – the flow field solution arises from a fully transient CFD
 388 simulation, but the Adjoint solutions are limited to consider data from one (or several
 389 individual) instance in time.

390 Figure 5 shows the baseline Single-Blade performance curve, with the position of
 391 an arbitrary snapshot marked in terms of AoA. The example snapshot shown in this
 392 case is taken during the upwind part of the cycle as the negative AoA is increasing
 393 towards its extrema. The arrows indicate the direction of the pitching motion.



394
 395 **Figure 5 – Single Blade Performance Curve with the Snapshot Location Marked.**

396 The successful application of the semi-transient method hinges on the choices of
 397 the AoA for which the flow field snapshot(s) are taken. The snapshot(s) used could
 398 be located at any point during the cycle. The present work considers the use of just
 399 1 snapshot for each cycle of the blades oscillation. To investigate the effects of

400 snapshot location on the outcome of the Adjoint based optimisation process, a range
 401 of 1-snapshot cases were tested, with each one corresponding to a snapshot
 402 position 30 degrees greater than the previous case. Although the snapshot choice
 403 is a fundamental element of the semi-transient method, the results of this
 404 investigation are deferred to Section 3 so that other details of the method can first
 405 be discussed.

406 **2.3 Adjoint Module Setup**

407 The present work employed the Adjoint solver in ANSYS Fluent which has a range
 408 of settings which should be configured in order for this optimisation process to
 409 operate successfully. Very little guidance exists in the literature on what Adjoint
 410 module settings to use, and so for the current work the values/choices made have
 411 been derived mainly from preliminary studies.

412 Table 5 shows a summary of the settings in the ANSYS Fluent Adjoint module, the
 413 discussion of which is provided in the following sub sections.

Objective function:	Tangential blade force coefficient (C_T)
Target performance change:	+3% (of the objective function)
Adjoint solution iteration limit	1000 iterations
Adjoint solution stability scheme	Automatic
Geometric constraint	Constant chord length
Size of mesh morphing zone as a multiple of chord length	1.8c (x), 1.1c (y)
Number of control points in mesh morphing zone	100 (x), 100 (y)
Freeform Scaling Scheme	Objective reference change
Freeform Scale Factor	1

414 **Table 5 – Adjoint Module Settings Summary.**

415 **2.3.1 Solver settings**

416 A limit of 1000 iterations is applied for when the Adjoint solution is calculated. This
 417 offers a balance between solution convergence, and computational cost. The
 418 convergence criteria values for the Adjoint equations are set as the default values.
 419 Stabilisation scheme options are offered for the Adjoint solver when the standard
 420 advancement scheme is unstable. The current work uses the ‘auto-assign’ option
 421 which chooses the most appropriate scheme automatically if numerical divergence
 422 is detected during the calculation of the Adjoint solution. The Adjoint solutions have

423 generally reached convergence well within the 1000 iteration limit, and thus do not
424 require stabilisation.

425 The Adjoint solution, once obtained, merely provides the gradient of the objective
426 function (C_T) with respect to the input variables (blade geometry). This in itself is not
427 the solution to the aerodynamic design problem, and these sensitivity gradients are
428 used later to perform mesh morphing to produce an improved geometry.

429 **2.3.2 Objective Target**

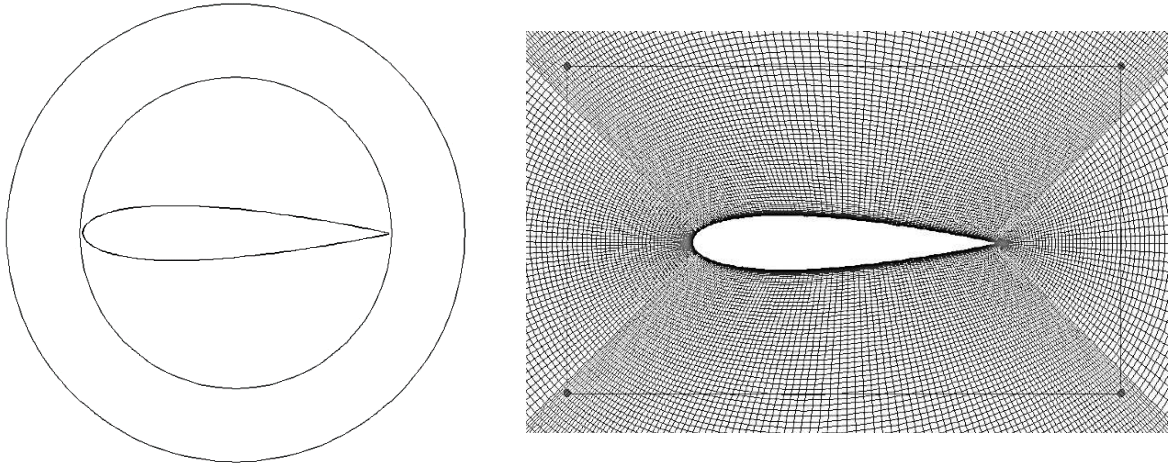
430 The target of performance/objective improvement can be specified by the user,
431 acting as a level of aggression in the optimisation process. In the present work a
432 target of + 3% in the value of C_T is used. The mesh morpher attempts to implement
433 this target by scaling the projected geometry changes of the blade.

434 **2.3.3 Geometry Constraints & Mesh Morphing Settings**

435 Before applying mesh morphing to the blade geometry, constraints can be specified
436 which limit the deformation. To give the VAWT optimisation process a more real-
437 world applicability, constraints should be involved that represent requirements from
438 other engineering disciplines outside those of pure aerodynamics. For the present
439 work where the focus is aerodynamic optimisation, the constraints have been
440 approached simply with just a chord constraint being implemented. A chord change
441 would alter the turbine solidity. To avoid this kind of ‘false’ optimisation, the
442 optimisation process should operate at a constant solidity (chord) in order to
443 produce a valuable outcome. A constant fixing angle is *not* imposed as a constraint
444 as this could restrict performance improvements unnecessarily; the optimisation can
445 provide the optimum fixing value implicitly after the geometry changes have been
446 made.

447 To implement a chord constraint whilst allowing freedom of the fixing angle and other
448 geometry changes, a circular boundary is used. This boundary envelopes the blade
449 as shown in Figure 6 (a). Consideration must be given to whether the constraint is
450 designated as “strict” or not. Preliminary studies showed that using strict conditions
451 can produce negative cell volumes after the morphing operation takes place. Using
452 non-strict conditions alleviates this issue but permits some non-conformance at the
453 constraint boundary (i.e. the blade geometry may partially enter the boundary). The
454 degree of this non-conformance can be limited by using appropriate values for Free
455 Form Scaling Factor, and Number of Control Points (see ANSYS user manual,
456 ANSYS Help §35.2.5.5, 2017). The present work uses non-strict conditions. No
457 geometrical parameterisation takes place or is required. The sensitivity gradients
458 are computed on a node by node basis, and the mesh morphing is performed on a
459 similar basis via the set of Control Points.

460 The user can also define the region of the mesh in which mesh morphing is
461 permitted to occur. This relates to cells surrounding the blade which must move to
462 accommodate the geometry changes of the blade wall. The region used in the
463 present work surrounds the blade wall and no other features/boundaries/interfaces,
464 see Figure 6 (b).



465

466

Figure 6 – (a) Constraint Boundary (Left) and (b) Mesh Morphing Zone (Right).

467

2.4 Optimisation Process

468 A concise general overview of the Adjoint optimisation procedure is given in Section
469 2.4.1 before a discussion is given on the semi-transient Adjoint based optimisation
470 process developed here for VAWTs (Section 2.4.2),. The level of detail provided
471 aligns with the ANSYS Fluent Adjoint module, but the process is similar for other
472 CFD codes but more steps may be involved.

473

2.4.1 General Adjoint Optimisation

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Figure 7 shows a flow chart of the Adjoint optimisation procedure in general terms.

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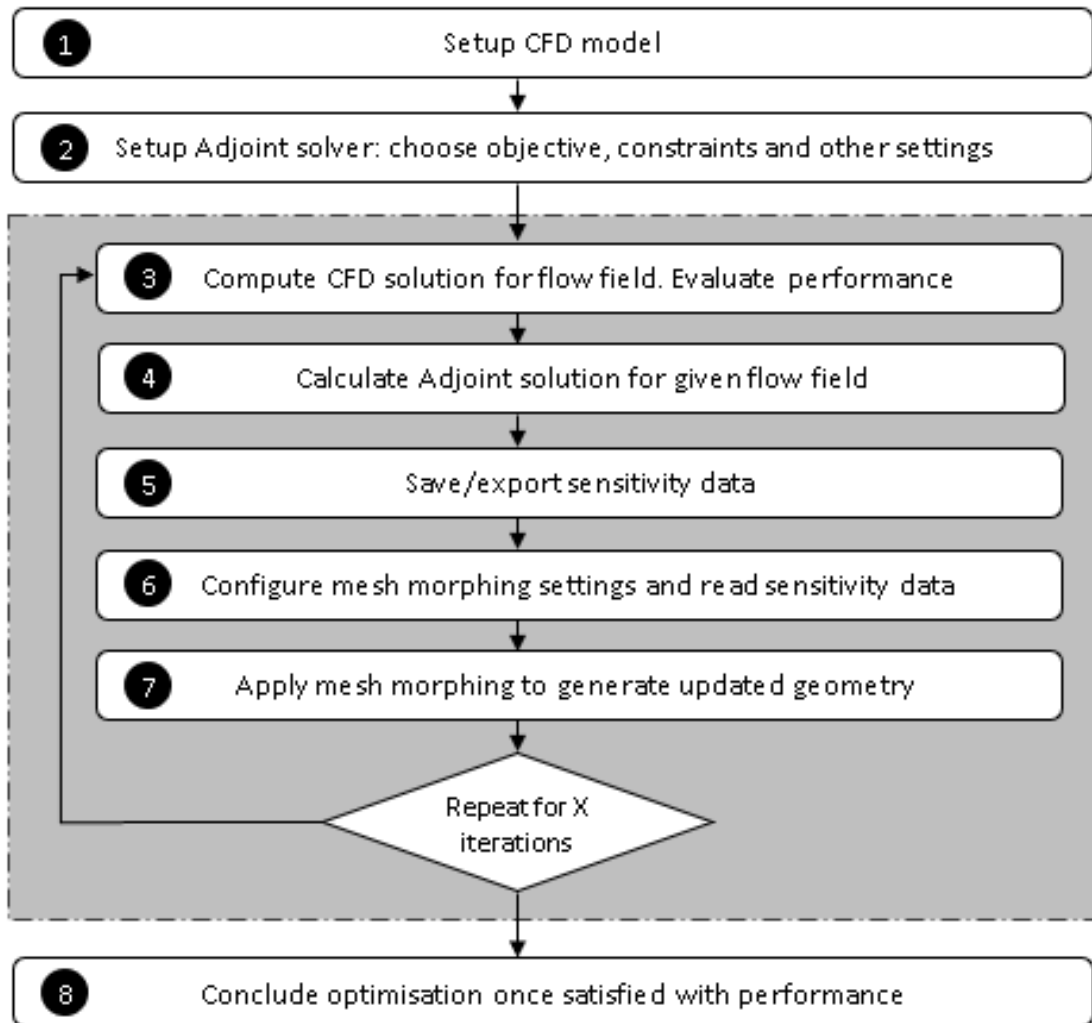
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487

The Adjoint solution requires a standard CFD flow field solution to have been computed (step 3). The flow field solution is used by the Adjoint solver to compute the sensitivity gradients at each node on the blade surface (step 4). These data describe how a deformation at each surface point effects the overall performance. As previously stated the performance or ‘objective function’ is specified by the user. An example set of sensitivity vectors can be seen in Figure 10. The mesh morpher determines an appropriate incremental change to each surface node position in the direction of improvement (step 7). The degree of movement here depends on the constraints, and ‘aggression’ settings within the mesh morphing tool, as well as the sensitivity data itself. With the updated geometry the standard flow field solution must be recomputed such that the performance can be re-evaluated. An optimisation process would typically be run for as many iterations as required to reach convergence of the objective function. In this work a candidate blades true

488 performance cannot be known until a candidate VAWT is produced. To mitigate the
 489 need for constructing many candidate VAWT models for each case, preliminary
 490 studies were made to decide an appropriate number of iterations to run the single
 491 blade optimisation. 10 iterations were chosen and used in the present work, as this
 492 approximated the optimum number of iterations for the range of cases tested.



493

494

Figure 7 – General Overview of Adjoint Optimisation Procedure

495

2.4.2 Semi-transient Adjoint Based Optimisation for VAWTs

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Figure 8 shows a visual representation of the Adjoint based semi-transient optimisation process in general terms. The goal is to produce a VAWT with an improved average power coefficient compared to the baseline. Graphs of power coefficient C_P are shown for the baseline VAWT (on the left), and for the VAWT with candidate blades (on the right).

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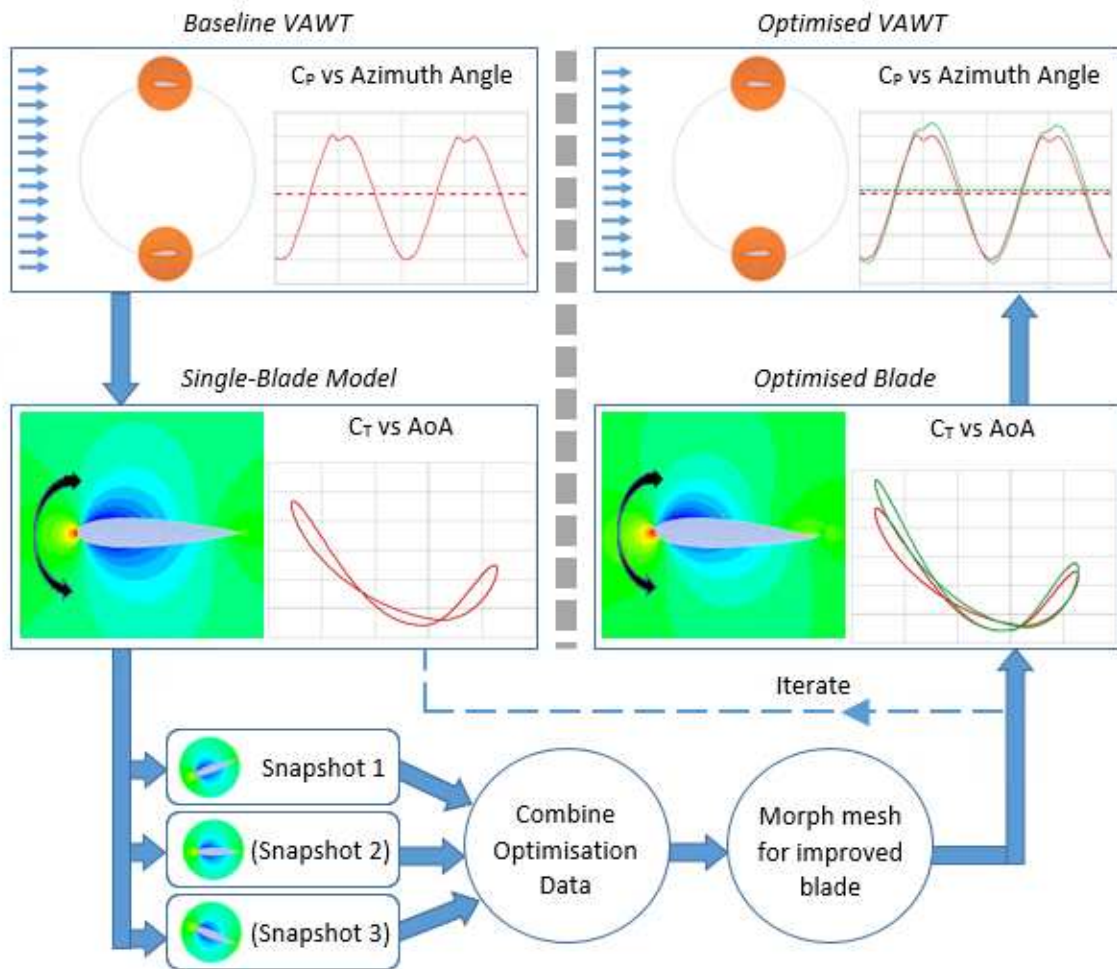
502

As previously described, a Single-Blade model provides an approximate flow field to the VAWT blade. When the blade reaches the snapshot location, the transient

503 CFD simulation is paused, and an Adjoint solution is taken which generates
504 sensitivity data.

505 The algorithm produced here is capable of combining the sensitivity data from
506 several snapshots over the cycle. The Adjoint module can then combine these data
507 to produce a single set of sensitivity data that the blade morphing process will use.
508 For illustrative purposes Figure 8 shows three snapshots being used. In the present
509 work however a single snapshot is used per cycle, so no combining of sensitivity
510 data is required (see the planned future work in Section 5).

511 The mesh morphing tool is then used to update the blade geometry according to the
512 sensitivity data. The new transient flow field is then produced for the updated blade
513 by running further cycles of the CFD model. Several iterations of the Adjoint
514 optimisation process are applied to the Single-Blade model in this fashion which
515 produces an improved blade geometry, referred to as the candidate blade. The
516 candidate blade geometry is then used to produce a VAWT model, so that the VAWT
517 performance improvement can be evaluated. The results of applying the
518 optimisation process to the sample VAWT are shown in Section 3.



520

521

Figure 8 - General Schematic of the Optimisation Process.

522

2.5 VAWT CFD Models

523

Once a candidate blade geometry is formed by applying the Single-Blade Adjoint based optimisation process, a VAWT can be constructed to evaluate the blades performance. A VAWT with the baseline blade geometry was also constructed to provide the baseline performance data.

527

For validation of the baseline VAWT CFD model, independence studies of mesh and time-step were conducted. For the example VAWT of Rezaeiha *et al.* (Vol 107, 2017) used presently, the reference paper contains a thorough domain size study. It is therefore deemed unnecessary for the present works to recount or reconstruct this domain independence study; the final dimensions used here are as recommended by Rezaeiha *et al.* (Vol 107, 2017), and are presented in Table 6.

532

Dimension	*
dc, Rotating subdomain diameter (as a multiple of turbine diameter)	1.5

di, Distance from turbine centre to inlet (as a multiple of turbine diameter)	10
do, Distance from turbine centre to outlet (as a multiple of turbine diameter)	10
$\frac{1}{2}w$, Half height of domain (from bottom/top boundary to turbine centre)	10

533

Table 6 - Domain Dimensions (*as a multiple of turbine diameter).

534

This domain is meshed in a similar way to that described for the Single-Blade model.

535

The same size of near-wall boundary zone is used and the same y^+ is achieved.

536

The present mesh independence study therefore uses a range of cases with about

537

400,000 cells as a medium/fine model (see Table 7).

Mesh I.D.	M1	M2	M3	M4	M5
Total cells (k = 1000 cells)	99 k	174 k	259 k	461 k	689 k
Num. cells around aerofoil surface	310	380	475	570	680

538

Table 7 – Range of Meshes Used in the Validation Study.

539

To study the range of meshes, a constant time step of 800 steps/rev was chosen

540

since this was recommended by the Single-Blade validation studies. The coarsest

541

meshes (M1, M2) exhibited a small disagreement with the finer meshes (M3, M4,

542

M5) in the downwind part of the cycle. M3, M4 and M5 shared near perfect

543

agreement demonstrating mesh convergence. The conclusion is therefore that the

544

coarsest mesh in this converged group (M3) is suitable.

545

The range of time-steps studied are presented in Table 8 and each of them were

546

run with the chosen mesh (M3).

Time Step I.D.	T1	T2	T3	T4	T5	T6	T7
Num. steps per turbine revolution	100	200	400	800	1200	1600	2000

547

Table 8 – Range of Time-Steps Used in the Validation Study.

548

The coarser time steps (T1, T2, and T3) were outliers from the finer time steps (T4,

549

T5, T6 and T7) which showed near perfect agreement with each other. The

550

conclusion is therefore that the time-step T4 (800 time-steps/rev) is suitable.

551

Figure 9 shows how the baseline blade VAWT CFD model agrees with Rezaeiha *et*

552

al. (Vol 107, 2017). Rezaeiha *et al.* (Vol 107, 2017) also uses 2D simulations but

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employs the Transition SST turbulence model, which more accurately describes

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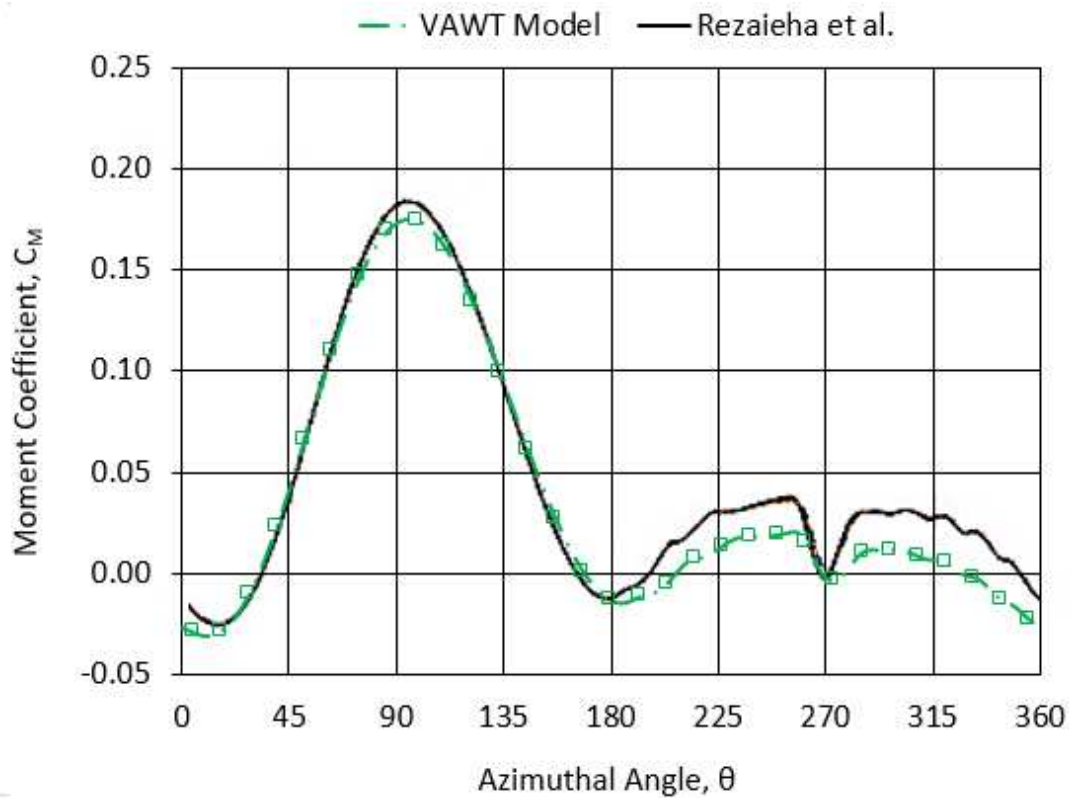
flow transition compared to the $k-\omega$ SST model. Therefore some disagreement is

555

found in the downwind part of the cycle, but overall there is good level of agreement

556

which provides confidence in the accuracy of the model used in the present work.



557

558

559

Figure 9 – Baseline VAWT CFD Results and Those Obtained by Rezaieha *et al.*, (Vol 107, 2017).

560

The candidate VAWT model is constructed in the same way as the baseline VAWT model described above.

562

Since the candidate blade geometry in this work is entirely novel, there does not exist any experimental or computational data to validate candidate VAWT data with.

563

Validity of the candidate VAWT model is therefore ensured by its consistency with the validated baseline VAWT model. The candidate VAWT results are shown in

564

Section 3.

565

566

567 **3 RESULTS**

568 The investigation of the snapshot location constitutes the cases shown in Table 9.
 569 In each case, 10 iterations of the semi-transient Adjoint based optimisation process
 570 were conducted, where a single snapshot was taken at the AoA shown in the table.
 571 Also provided are the maximum and average increase in C_T achieved by the final
 572 candidate blade. Such values are given as a percentage increase relative to the
 573 baseline blade. See Figure 11 for example figures illustrating the changes to the C_T
 574 curves.

575 It should be noted that a knockdown factor is applied to the AoA in the downwind
 576 part of the cycle. This is achieved via the UDF, as described in Section 2.1. The AoA
 577 knockdown is the reason for the lower values of snapshot AoA in the downwind
 578 (between 180 and 360 degrees azimuthal position).

579 Where a negative number occurs for the “max C_T improvement (%)” in Table 9, this
 580 tends to indicate that the upwind performance has deteriorated. Such cases tend to
 581 show an improvement in the downwind performance.

582

Case Name /Azimuthal Angle (degrees)	AoA of Snapshot (degrees)	Max C_T improvement	Average C_T Improvement
0	0	-21.3 %	+6.0 %
30	-5.3	-12.5 %	+2.6 %
60	-9.8	+4.9 %	+8.1 %
90	-12.5	+6.9 %	+9.5 %
120	-12.2	+14.6 %	+11.9 %
150	-7.9	+4.5 %	+7.5 %
180	0	-1.1 %	+8.1 %
210	+3.9	-28.6 %	+1.2 %
240	+6.1	-17.7 %	+2.2 %
270	+6.3	-22.3 %	+2.3 %
300	+4.9	-20.1 %	+2.3 %
330	+2.7	-16.4 %	+2.1 %

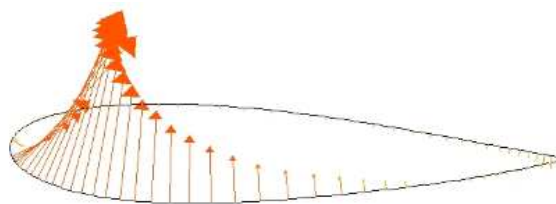
583
 584

Table 9 – List of Test Cases and Results after 10 Optimisation Loops are Applied to the Single-Blade Model.

585 The results of the cases tested in Table 9 can be viewed in greater detail using data
586 of C_T as a function of AoA, along with the aerofoil geometry (see Figure 11 (a) to
587 (c)). This allows visualisation of how the snapshot position corresponds to the blade
588 geometry and performance. This would be too much data to present for each of the
589 12 cases, so some representative cases are chosen for discussion. Figure 11 (a)
590 shows a typical case with the snapshot located in the upwind region (90° is shown).
591 The results for this case are relatively similar to the others which have snapshot
592 positions between 60° - 180° . Such cases are generally characterised by an
593 improvement in the upwind performance, and a relatively unchanged downwind
594 performance. It is noted that the optimised blade geometry shows a toe-out fixing
595 angle and a negative camber. Figure 11 (b) shows a case with the snapshot located
596 at 210° in the downwind region. Such cases show a slight positive camber and
597 improvement in downwind performance, but a reduction in upwind performance.
598 These observations are similar for cases with snapshot located between 210° - 330° .
599 Figure 11 (c) shows a case with the snapshot located at 0° . The 0° and 30° cases
600 show a slight negative camber akin to the other upwind cases, but also suffer a
601 reduced upwind performance, and this is possibly due to hysteresis.

602 To illustrate the role of the Adjoint solution/sensitivity data, Figure 10 shows an
603 example set of sensitivity data for case 90. Figure 10 shows vectors of the shape
604 sensitivity at the 5th (of 10) iteration during the optimisation process. These are
605 directly linked to the resulting geometry shown in Figure 11 (a). The vector arrows
606 indicate the direction for which wall deformation produces an improvement to the
607 objective (C_T). The length of the vector arrows indicates the magnitude of the
608 sensitivity at that location.

609 Note that there are some large sensitivity vectors that are not shown, which appear
610 at only a few nodes around the geometry. These correspond to inflections in
611 pressure at the leading edge, and the sharp geometry of the trailing edge. The mesh
612 morpher provides smoothing such that these highly sensitive regions do not cause
613 discontinuities in the geometry. It can be observed from Figure 10 that the
614 predominating factor in the shape sensitivity is to raise the leading edge, which
615 manifests as the increased fixing angle and negative camber exhibited in Figure 11
616 (a).

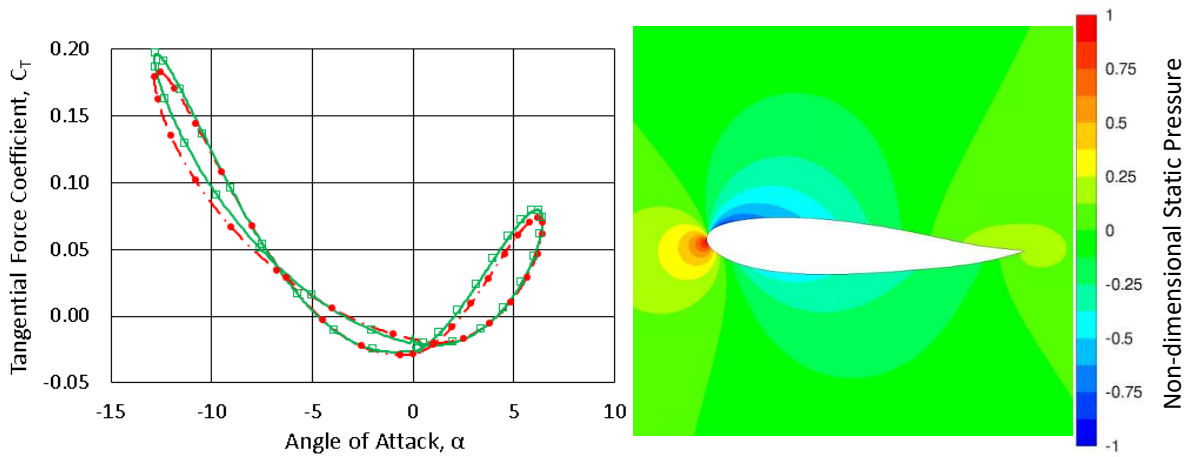


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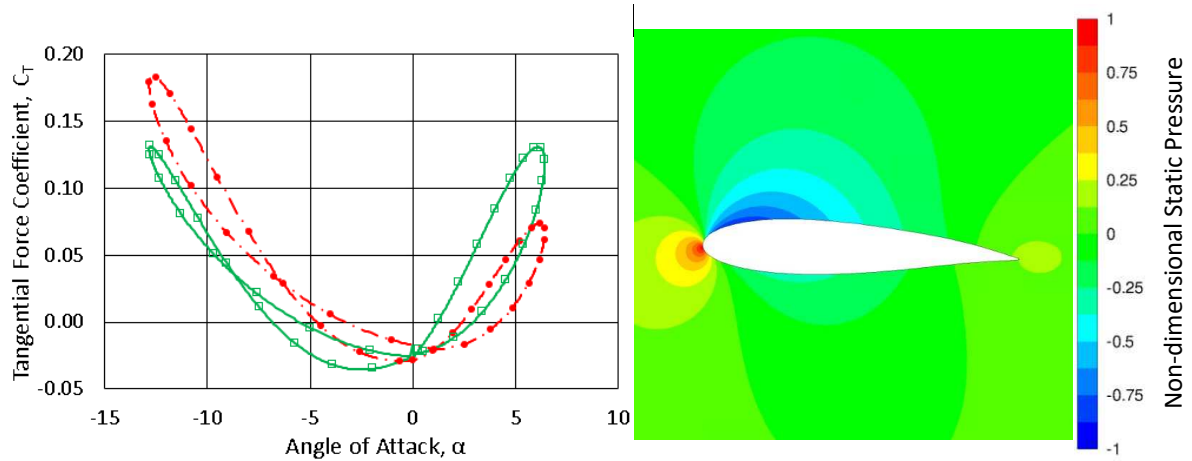
Figure 10 – Vectors of the Shape Sensitivity, Case 90.

619 (a - snapshot $\theta = 90^\circ$)



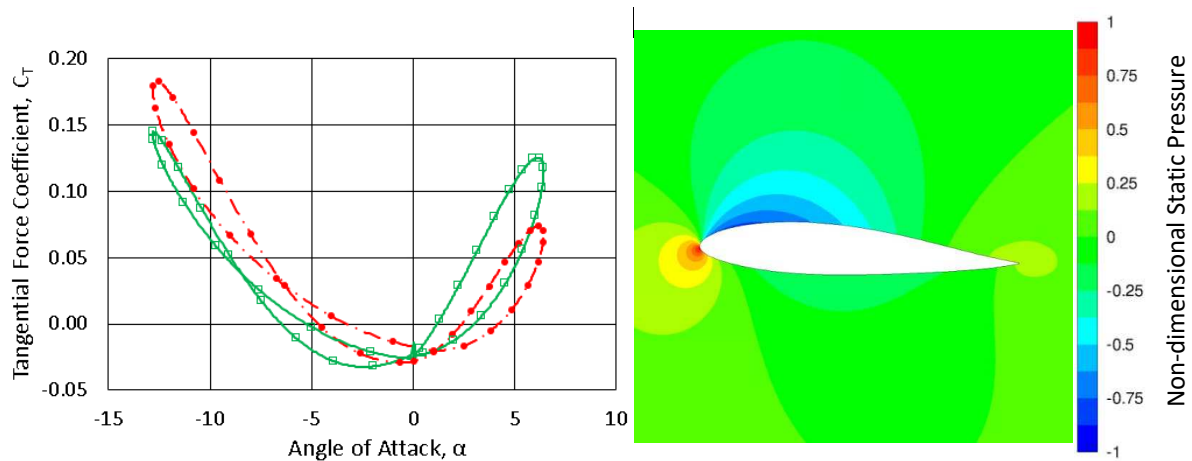
620

621 (b - snapshot $\theta = 210^\circ$)



622

623 (c - snapshot $\theta = 0^\circ$)



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625

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627

628

Figure 11 (a) to (c) – 1-Snapshot Investigation Results.

Note: (Left) C_T as a function of AoA - red and green curves represent the baseline and candidate blade performance, respectively. (Right) Candidate blade shape geometries, with contours of the non-dimensional static pressure.

629 From the results of the Single-Blade model shown in Figure 11 it can be seen that
 630 varying levels of performance improvement have been achieved across the cases.
 631 The true overall performance is determined by the average C_P which is achieved by
 632 the candidate VAWT. As such, the candidate blade geometries were used to
 633 construct candidate VAWTs to fully evaluate their performance. Candidate VAWT
 634 models are needed because although the Single-Blade model provides a
 635 reasonable approximation, it does not accurately reflect the VAWT flow field
 636 specifically in the downwind part of the cycle (see Figure 3 and Figure 4). Table 10
 637 summarises the results for these candidate VAWTs accounting for the contribution
 638 of both turbine blades and the values are relative to the baseline VAWT.

Case Name /Azimuthal Angle (degrees)	AoA of Snapshot (degrees)	Max VAWT C_M improvement	Average VAWT C_P Improvement
0	0	-5.1 %	+3.5 %
30	-5.3	-3.2 %	+1.4 %
60	-9.8	+2.2 %	+2.4 %
90	-12.5	+2.4 %	+2.1 %
120	-12.2	+6.0 %	+2.3 %
150	-7.9	+1.8 %	+2.2 %
180	0	+0.3 %	+3.0 %
210	+3.9	-7.6 %	+1.5 %
240	+6.1	-4.7 %	+1.3 %
270	+6.3	-5.7 %	+1.3 %
300	+4.9	-5.2 %	+1.3 %
330	+2.7	-4.3 %	+1.3 %

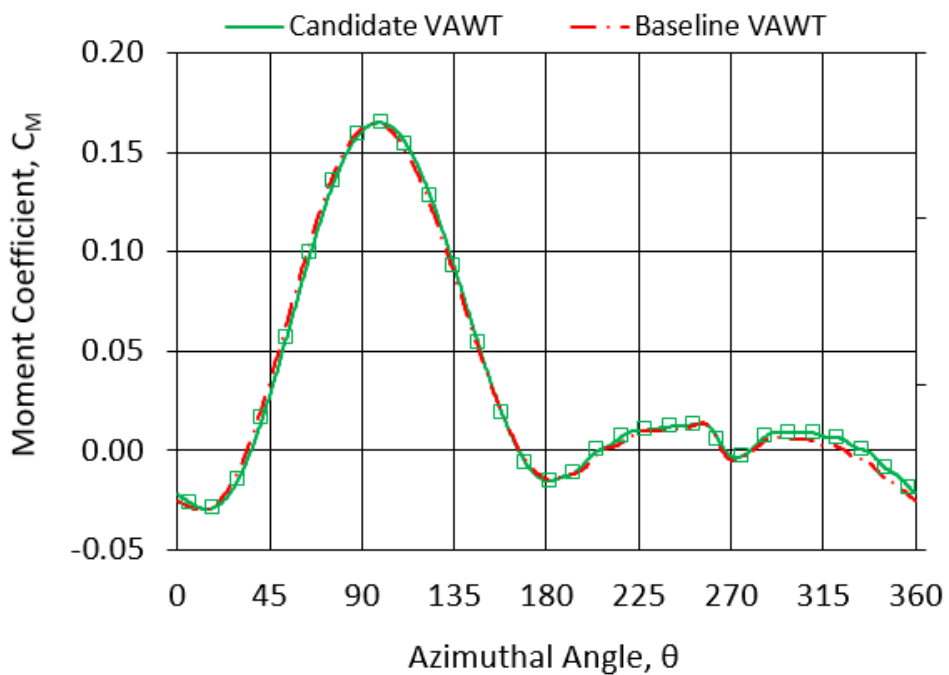
639 **Table 10 – Candidate VAWT, List of Test Cases and Results.**

640 From these results it can be seen that Case 0 produces the greatest improvement
 641 to average C_P , of 3.5%. Several simulations were also carried out using the
 642 Transition SST model to verify the data from the k-w SST model (Table 10). The
 643 3.5% improvement using the k-w SST model was increased to 5.1% when using the
 644 Transition SST model and similar improvements were found in other investigations.
 645 Therefore it can be concluded that the encouraging results obtained in Table 10 are
 646 a conservative estimate of the improvement that can be obtained

647 It is also observed that in general, the single snapshot optimisation has resulted in
 648 an improvement in the average C_P for all the cases. There is significant variation
 649 however on how this is achieved, upwind snapshots tend to improve the upwind
 650 performance slightly, and parts of the downwind also remain similar or improve
 651 slightly. Downwind snapshots produce a more severe effect on the performance

652 curve, where the downwind performance improves significantly, but the upwind
653 performance deteriorates significantly. Downwind snapshots therefore tend to
654 produce a more even generation of power over the cycle which would offer
655 significantly reduced demand on the electrical generator and lower fatigue loading
656 on the structure.

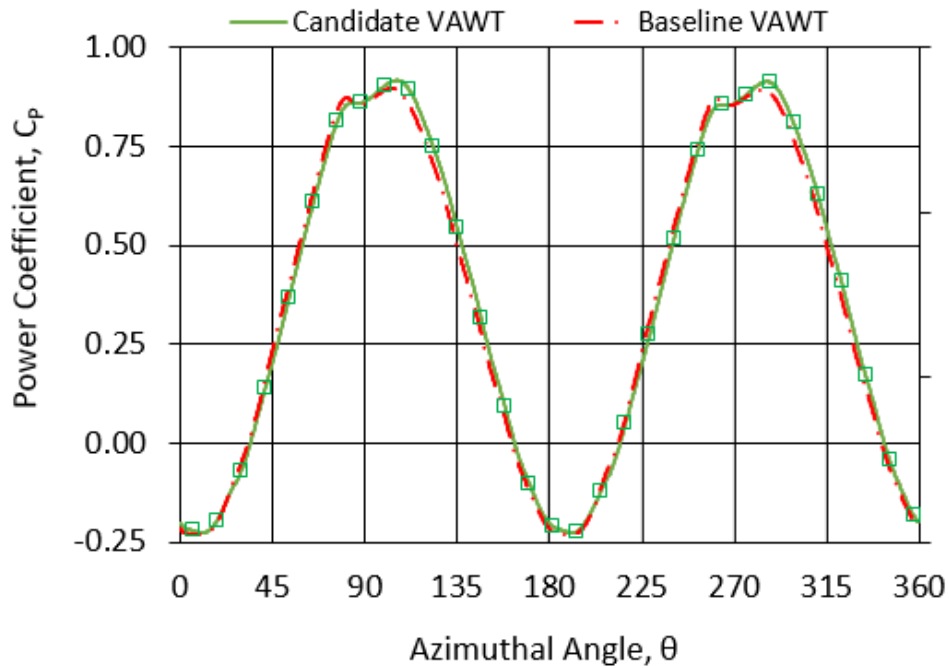
657 For discussion/illustration purposes in the remainder of this work, the 90° case will
658 be used. Although case 90 does not provide the greatest improvement, it represents
659 a typical upwind snapshot case. Figure 12 shows the graph of the C_M as a function
660 of the azimuthal angle for a typical upwind snapshot case (case 90), the contribution
661 from only one of the two blades is shown.



662

663

Figure 12 – Candidate VAWT Blade Performance Evaluation (Case 90).



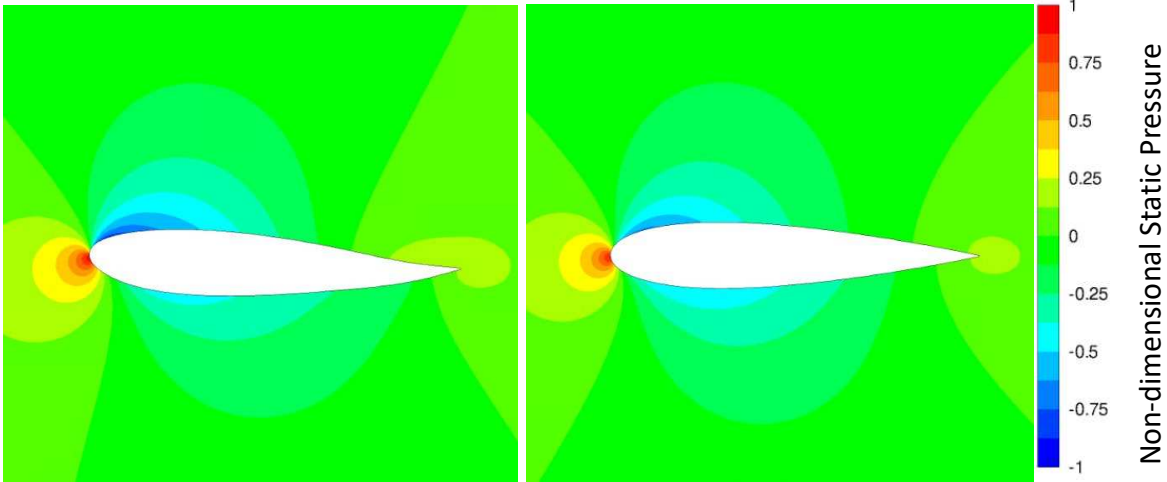
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Figure 13 – Candidate VAWT Performance Evaluation (Case 90).

666 Figure 13 shows the instantaneous power coefficient over one turbine revolution.
 667 Note that the power generated is correlated to the moment coefficient, by calculating
 668 the total moment of force (contribution of both blades) according to Equation 2.5,
 669 and using this value as the torque in Equation 2.6.

670 A 2.1% improvement to the average C_p was achieved for the typical upwind case
 671 (case 90) after 10 iterations of the Adjoint based optimisation process. The
 672 candidate blade geometry that produced this improvement is shown in Figure 14 via
 673 a static pressure contour plot taken at zero azimuthal angle. Alongside is the
 674 baseline (NACA0018) blade geometry for visual comparison. The candidate blade
 675 has a toe-out fixing angle of 2° , a maximum camber of 2.0% chord positioned at
 676 80% along the chord (towards the trailing edge), and a maximum thickness of 1%
 677 greater than the baseline NACA0018.



678

679

680

Figure 14 – Blade geometry and contours of the non-dimensional static pressure. (Left) Candidate Blade Case 90, (Right) Baseline Blade.

681 4 DISCUSSION

682 The results in Section 3 show that the semi-transient Adjoint based optimisation
683 process applied to a Single-Blade model can be successful. The method shown is
684 in possibly its crudest form using just 1 Adjoint snapshot per cycle, yet after 10
685 process iterations a candidate blade can be produced that improves the turbine
686 performance. The success of the optimisation process is measured by the average
687 C_P increase of the candidate VAWT. The following are some general observations
688 from the range of results:

- 689 • After applying the semi-transient optimisation process to the Single-Blade
690 model, the performance curve (C_T as a function of AoA) is improved in the
691 region around the location at which the snapshot is taken.
- 692 • All cases have resulted in improvement to VAWT average C_P .
- 693 • When the Single-Blade model predicts a large max C_T increase in the upwind
694 part of the cycle, this also translates to a max C_P increase in the candidate
695 VAWT model, although not of the same magnitude.
- 696 • The *average* C_T improvements seen in the Single-Blade model do *not* translate
697 to similar improvements in the *average* C_P in the VAWT model. This is due to
698 the inaccuracies in the downwind flow field that the Single-Blade model
699 provides (see Figure 3).
- 700 • A negative camber is correlated with improvements to the upwind part of the
701 cycle, and a positive camber to the downwind part.
- 702 • Upwind snapshot cases tend to increase the moment coefficient over the
703 majority of the revolution but only by a small amount.
- 704 • Downwind snapshot cases tend to improve the moment coefficient in the
705 downwind, reduce it in the upwind, and generally provide a smoother power
706 curve that also has a slight average C_P improvement.

707 The candidate blade geometry has a negative (toe-out) fixing angle of around 2° . A
708 maximum negative camber of around 2% is also present, located at around 80%
709 chord length. The candidate blade is 1% thinner than the baseline NACA0018.

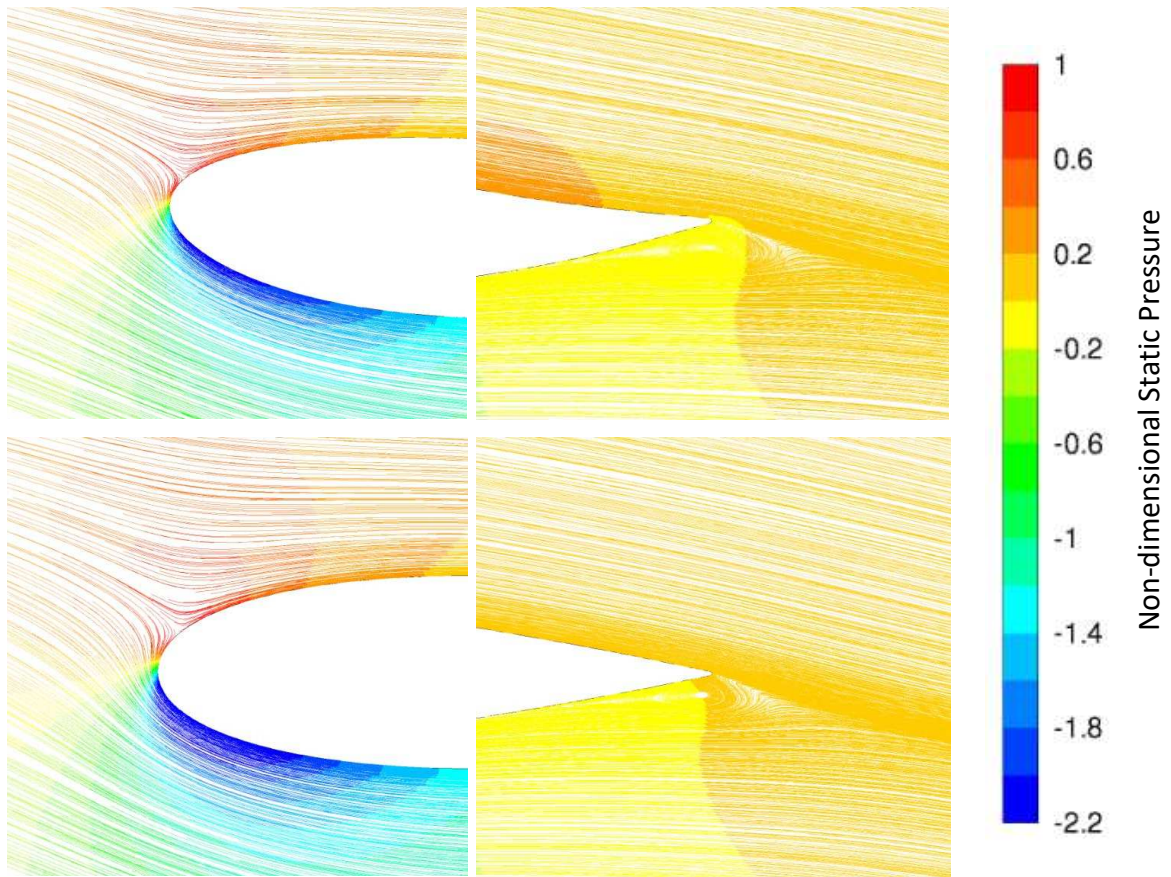
710 Figure 15, shows the streamlines (coloured by static pressure) over the candidate
711 blade and baseline blade when at 90° azimuthal angle. Figure 16 shows the
712 corresponding surface pressure coefficients. The surface pressures of the
713 candidate blade exhibit a weaker negative pressure on the suction side of the blade
714 at the leading edge. The candidate blades increased fixing angle means that less
715 curvature is demanded from the flow to pass around the leading edge, and while
716 this reduces leading edge suction it allows a greater suction to be maintained along
717 the mid-chord and towards the trailing edge. Towards the aft of the blade the
718 magnitude of positive pressure on the top surface is also increased due to the

719 introduced camber. At the trailing edge where the camber is most pronounced, a
720 high pressure zone can be observed on the top surface of the candidate blade. This
721 is coupled with a greater suction also towards the trailing edge, such that a more
722 favourable magnitude and direction of pressure gradient is achieved compared to
723 the baseline blade. At this location the trailing edge geometry slightly changes the
724 size and shape of the small recirculating region but this has only minimal effect on
725 the surface pressures.

726 Figure 17 shows streamlines (coloured by static pressure), and Figure 18 shows
727 surface pressures for the position of 270° azimuthal angle. The surface pressures
728 of the candidate blade exhibit a higher suction peak on the top surface of the blade
729 at the leading edge. This is due to the fixing angle of the candidate blade; at this
730 point in the revolution the fixing angle demands more curvature from the flow around
731 the LE. The camber effect produces higher pressure gradients compared to the
732 baseline blade, moving from the mid-chord towards the trailing edge. The large
733 suction and pressure region located at 0.8 chord (Figure 18) corresponds to the
734 position of maximum camber.

735 The candidate blade geometry is therefore aerodynamically advantageous over the
736 majority of the turbine cycle, producing a greater average C_P compared to the VAWT
737 with baseline (NACA0018) blades.

738



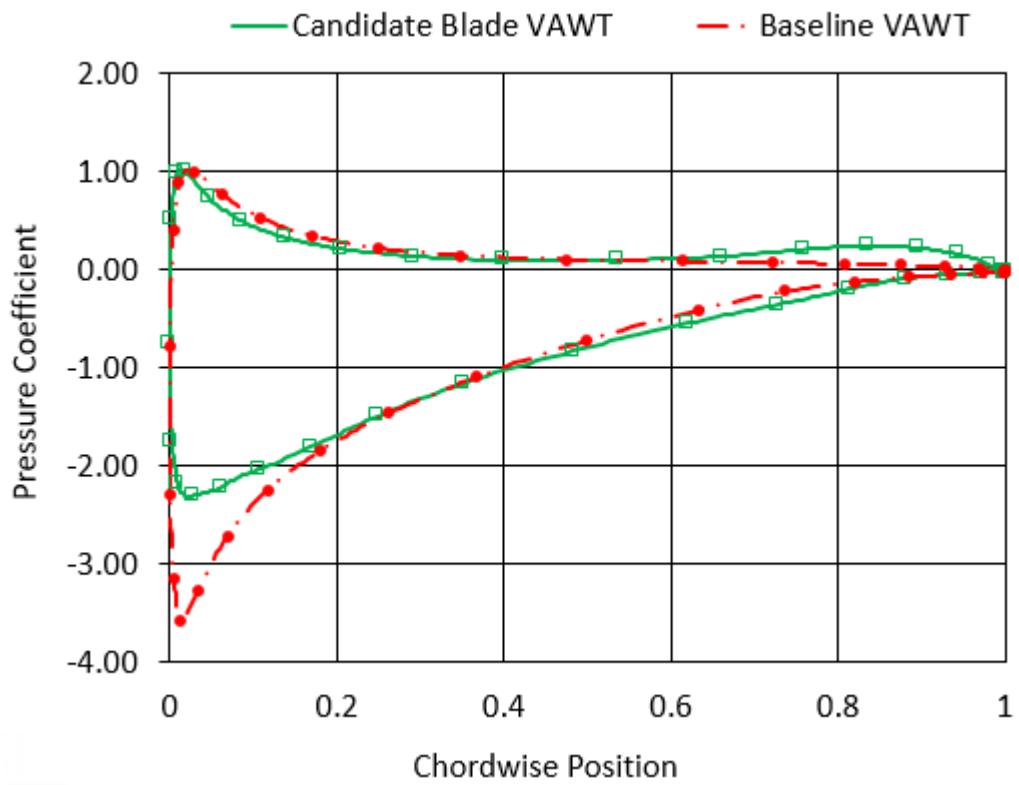
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Figure 15 - VAWT blade streamlines (coloured by the non-dimensional static pressure) at 90° azimuthal angle. (Top) Candidate blade from Case 90. (Bottom) Baseline blade).



743

744

Figure 16 - VAWT blade surface pressure coefficient at 90° azimuthal angle.

745

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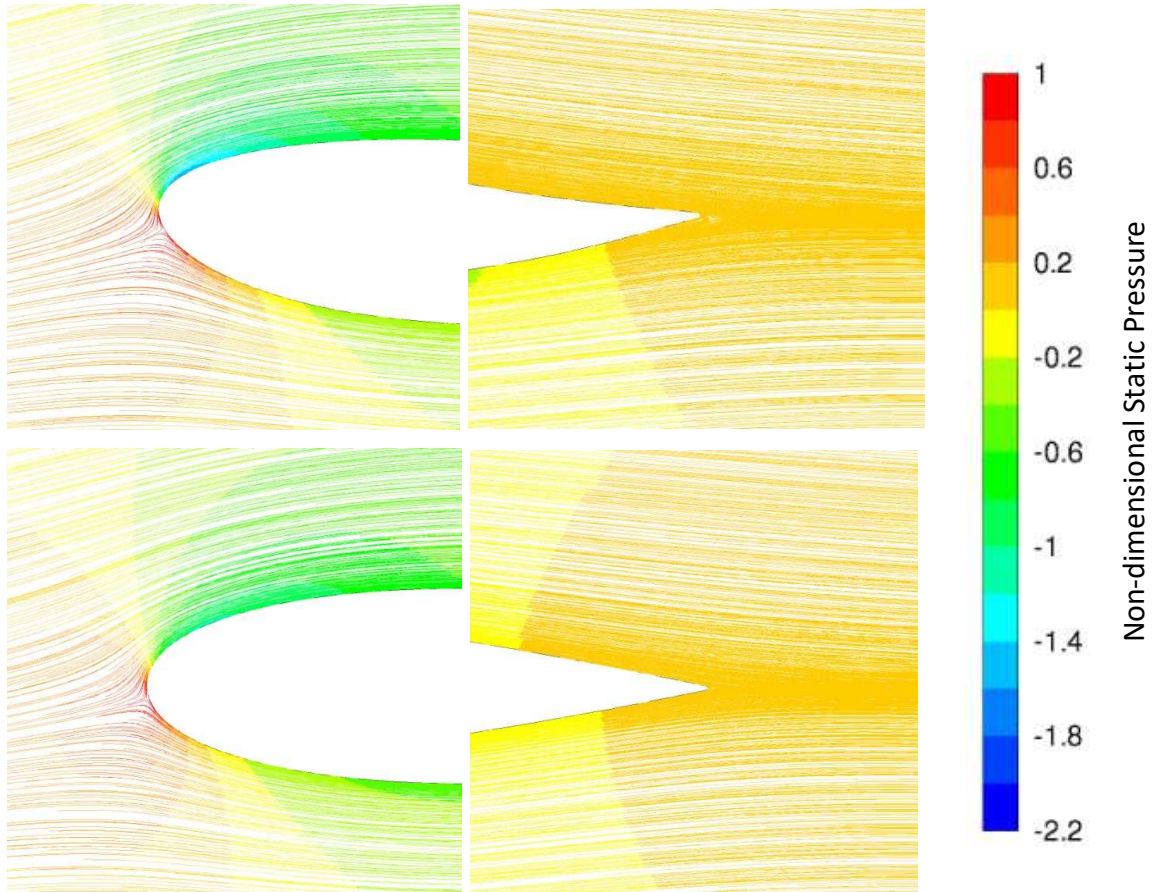
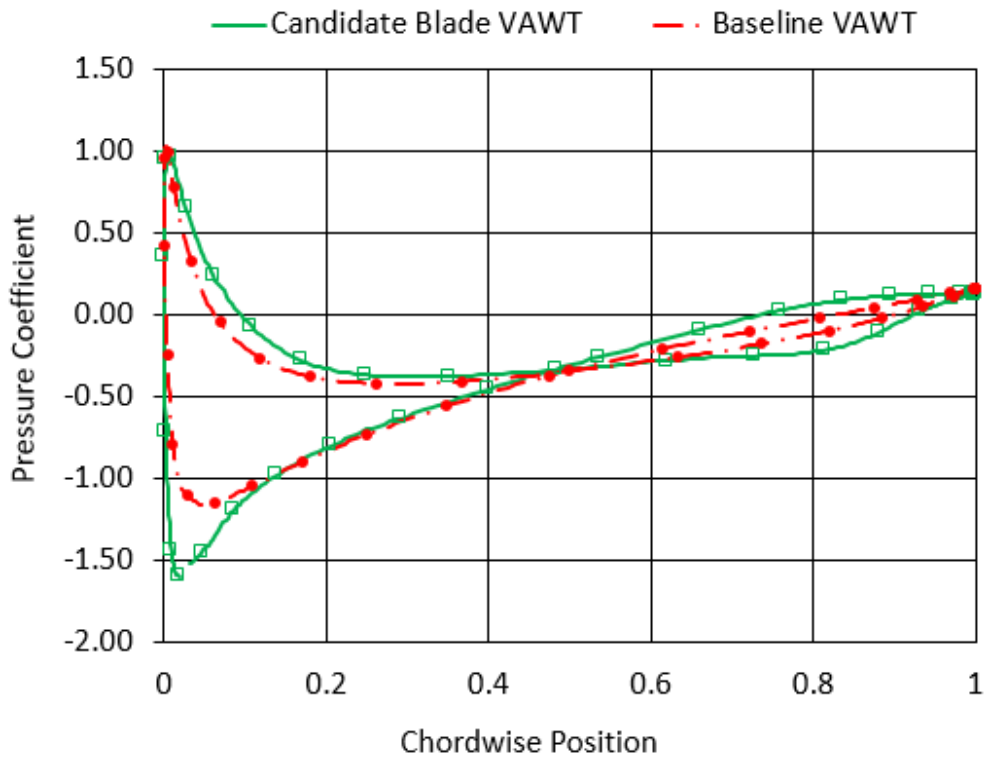


Figure 17 - VAWT blade streamlines (coloured by the non-dimensional static pressure) at 270° azimuthal angle. (Top) Candidate blade from Case 90. (Bottom) Baseline blade.



751

752

Figure 18 - VAWT blade surface pressure coefficient at 270° azimuthal angle.

753 The presented results are significant because they demonstrate the successful
754 application of Adjoint methods to VAWTs, using commercial CFD software and a
755 promising semi-transient optimisation process. The method presented is in a
756 fundamental/basic form using just 1 Adjoint snapshot per revolution for the
757 optimisation. This leaves great scope for development of these methods and further
758 improvement to VAWT performance. In addition, the discussion has explored a
759 novel VAWT blade geometry, and the associated links to performance
760 characteristics and improvement. Also it should be noted that the case illustrated in
761 this paper is for a high TSR (4.5) turbine and that sensitivity data from the downwind
762 part of the cycle is not considered; the method may require further development for
763 compatibility with low TSR cases that carry greater levels of flow unsteadiness.

764 **5 CONCLUSION**

765 This paper set out to apply powerful Adjoint methods to the problem of VAWT
766 aerodynamics to produce a low-cost optimisation process. In the absence of
767 literature on this topic, a semi-transient Adjoint based optimisation process was
768 developed which was limited to use just 1 Adjoint solution (or 'snapshot') per turbine
769 revolution to demonstrate its feasibility.

770 The optimisation process was applied to a typical turbine with a TSR of 4.5, and a
771 range of permutations of the method were tested. The results demonstrate that a
772 steady Adjoint solver incorporating unsteady CFD simulations can successfully
773 optimise VAWT blade geometry using just 1 Adjoint snapshot per revolution.
774 Furthermore, an approximation model with a single, pitching blade can be used
775 during the optimisation process to approximate the VAWT flow field whilst reducing
776 computation cost.

777 This paper demonstrates the viability of the method using the ANSYS Fluent CFD
778 code, but the method can be implemented in alternative codes which have an
779 Adjoint solver. A Single-Blade approximation model is used which provides sufficient
780 flow field accuracy for Adjoint solutions to be taken in the upwind region, but poor
781 accuracy in the downwind. Furthermore, CFD analysis is conducted using the SST
782 k- ω turbulence model throughout rather than a more accurate approach such as
783 LES. The modelling uncertainty can therefore be not insignificant but is aligned with
784 much of the existing literature where low computation cost is needed. Despite the
785 modelling assumptions, the effectiveness of the semi-transient Adjoint optimisation
786 method has been shown. Furthermore, the method even in a basic formulation has
787 shown positive results where VAWT performance can be quickly improved. This is
788 therefore a promising avenue of research and it is envisaged that improved results
789 could be seen when possible areas of refinement are explored. It is likely that a
790 substantially improved, and more generalised optimisation process can be
791 developed in future. The combining of multiple snapshots over each cycle could
792 provide a blade that performs better over a range of azimuthal angles, thereby
793 improving the average power coefficient. Improvements could be made to the
794 Single-Blade approximation model such that it more closely reproduces the VAWT
795 flow field. A range of turbines and operating conditions could be examined, in order
796 to inform a generalised approach for choosing the appropriate number/location of
797 snapshots to use for optimising a new VAWT. The CFD modelling, specifically of
798 the candidate VAWT models could be refined by using more advanced turbulence
799 models to give better accuracy in predicting VAWT performance. The method could
800 also be extended to 3D simulations, which could offer turbine blades optimised with
801 spanwise geometry variations.

802

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805 Sciences Research Council (EPSRC) for financially supporting this work.

806 **6 APPENDIX A – UDF FOR SINGLE BLADE MODEL**

807 The UDF used to provide the oscillation profile and variable inlet velocity profile is
808 shown here. Note that ANSYS Fluent requires the AoA profile to be specified as a
809 function of rotational velocity, omega.

```
810 #include "udf.h"
811 #define TSR 4.5 /* constants , tip speed ratio*/
812 #define velocity_free 9.3 /*free flow velocity*/
813 #define thetamax 0.22393
814 #define w 83.7
815
816 DEFINE_TRANSIENT_PROFILE(angular_velocity,time)
817 {
818     real omega, theta;
819     theta = (w*time) - 6.283185307*floor((w*time)/6.283185307);
820     if (theta > 3.141592654 && theta < 6.283185307)
821         omega =0.5*w*(1+TSR*cos(w*time))/(1+2*TSR*cos(w*time)+(TSR*TSR));
822     else
823         omega =w*(1+TSR*cos(w*time))/(1+2*TSR*cos(w*time)+(TSR*TSR));
824     return omega;
825 }
826
827 DEFINE_PROFILE(unsteady_velocity, thread, position)
828 {
829     face_t f;
830     real t = CURRENT_TIME;
831     real theta = w*t;
832     real alpha = atan(sin(theta)/(TSR+cos(theta)));
833     begin_f_loop(f, thread)
834     {
835         F_PROFILE(f, thread, position) =
836         velocity_free*sqrt(1+pow(TSR,2)+2*TSR*cos(theta));
837     }
838     end_f_loop(f, thread)
839 }
840
```


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