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# Transformation Technologies for CO<sub>2</sub> Utilisation: Current Status, Challenges and Future Prospects

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## Abstract

To prevent global warming and climate change caused by CO<sub>2</sub> emissions, the Intergovernmental Panel on Climate Change (IPCC) recommends lowering CO<sub>2</sub> emissions to limit the global temperature to 1.5°C. In addition to carbon capture and storage (CCS) technologies, there is a growing interest to explore CO<sub>2</sub> utilisation. Several review papers exist in the literature either focusing on one or two CO<sub>2</sub> transformation technologies or covering only experimental studies. This review paper addresses the gap by classifying CO<sub>2</sub> transformation technologies and looking at products from CO<sub>2</sub> conversion. It reviews experiment and modelling/simulation-based studies for CO<sub>2</sub> biological and chemical conversion processes to assess their technical barriers. A detailed analysis of their technology readiness level, cost, market and environmental benefits are also elaborated. Finally, the research trend and projects for CO<sub>2</sub> transformation technologies worldwide as well as the key challenges hindering their commercial deployments are carefully outlined. The analysis of the research trend shows a significant increase in research for CO<sub>2</sub> utilisation with hydrogenation and electrochemical reduction being the most studied technologies since 2016. 53% of the projects are laboratory projects whereas, only 14% account for commercial projects. There

1 is currently no commercial project for plasma catalysis, photochemical, electrochemical and non-  
2 photosynthetic technologies. The USA holds the highest number of 45 projects including 8, 6, 10  
3 and 21 commercial, demonstration, pilot and laboratory projects, respectively. The development of  
4 improved catalysts and process intensification techniques are highly needed for successful scale-  
5 up of CO<sub>2</sub> transformation technologies.

## 6 **Keywords**

7 CO<sub>2</sub> utilisation; Chemical conversion; Biological conversion; Catalyst; Modelling and simulation;  
8 Process intensification

## 9 **1. Introduction**

### 10 **1.1. Background**

11 Various anthropogenic activities (e.g. burning fossil fuels and transportation) result in CO<sub>2</sub>  
12 emissions into the atmosphere. Global CO<sub>2</sub> emissions have increased from roughly 16 GT in 1970  
13 to 37.5 GT in 2018 [1]. This has led to major concerns about global warming and climate change.  
14 The importance of reducing CO<sub>2</sub> emissions to prevent global warming consequences such as rising  
15 sea levels and melting glaciers has been widely recognised. It is recommended that CO<sub>2</sub> emissions  
16 should be lowered to net-zero around 2050 to limit the global temperature increase below 1.5°C by  
17 2100 [2]. Several approaches have been considered for mitigating CO<sub>2</sub> emissions among which  
18 carbon capture and storage (CCS) are viewed as a viable approach for meeting CO<sub>2</sub> emission  
19 reduction [3].

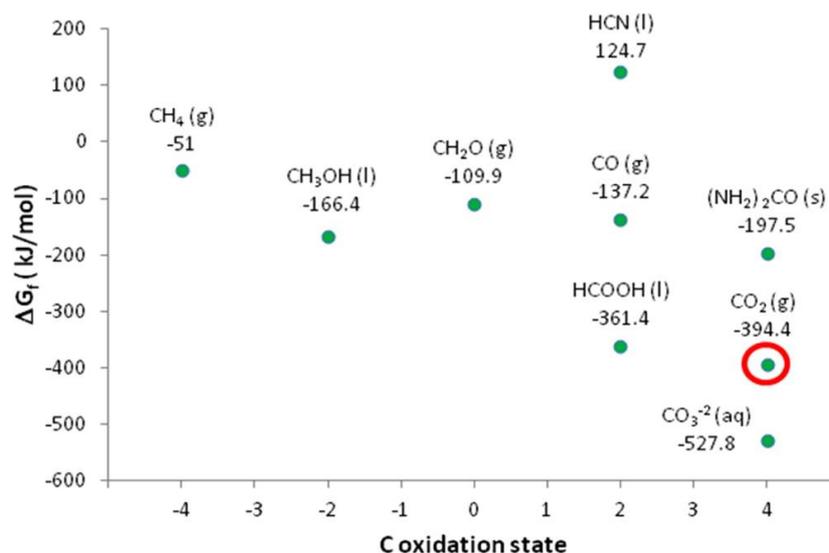
20 As of 2019, 19 large-scale CCS facilities are operating worldwide with 4 more under construction.  
21 These facilities have an annual capture and storage capacity of around 40 million tonnes of CO<sub>2</sub>  
22 which corresponds to only 0.1% of global CO<sub>2</sub> emissions [4]. Furthermore, the reported facilities  
23 are lower than the 60 CCS commercial projects predicted by the International Energy Agency (IEA)  
24 in 2011 [5]. This is due to the high costs of CCS technologies which have made their commercial  
25 deployment quite difficult [6]. As a result, the term CCS has become CCUS (Carbon capture,

1 utilisation and storage) wherein the economic value of the captured CO<sub>2</sub> is promoted through  
2 utilisation [7].

3 It is worth emphasizing that CO<sub>2</sub> utilisation technologies alone cannot mitigate enough CO<sub>2</sub>  
4 emissions. To illustrate, the cumulative total of CO<sub>2</sub> used for the global chemical industry in the  
5 period 2010–2050 is estimated to 15.42 GTCO<sub>2</sub> which represents about 2% of CO<sub>2</sub> reduction  
6 targets by 2050 [8]. The economic advantage of CO<sub>2</sub> utilisation, together with CCS for permanent  
7 CO<sub>2</sub> storage has made CCUS a more acceptable and lucrative concept for achieving CO<sub>2</sub> reduction  
8 targets. However, CCS technologies are beyond the scope of this review paper and several good  
9 discussions can be found somewhere else [6,9]. Only CO<sub>2</sub> utilisation technologies are addressed in  
10 this paper. CO<sub>2</sub> utilisation is classified into direct and indirect uses. Direct use involves using CO<sub>2</sub>  
11 at its pure state or suspended in a solution. For instance, in enhanced oil recovery (EOR),  
12 carbonated drinks, food preservation and fire extinguishers [10]. Whereas, indirect CO<sub>2</sub> utilisation  
13 converts CO<sub>2</sub> into chemicals, materials and fuels through different chemical and biological  
14 processes [11].

## 15 **1.2. CO<sub>2</sub> molecule and its challenges for transformation**

16 CO<sub>2</sub> is a linear molecule constituted by an atom of carbon which gives up all its four electrons to  
17 covalently double bond to two atoms of oxygen (O=C=O). Thermodynamically, the oxygen bonds  
18 with carbon are very strong making CO<sub>2</sub> molecule highly stable. Moreover, CO<sub>2</sub> Gibbs free energy  
19 ( $\Delta G^\circ = -394$  kJ/mol) is much lower than that of the products from CO<sub>2</sub> conversion (Figure 1) [12].  
20 Therefore, CO<sub>2</sub> stability must be overcome for the synthesis of value-added products. This leads to  
21 three main scientific challenges namely (1) the *need for substantial energy input* which must come  
22 from carbon-neutral sources to avoid further CO<sub>2</sub> emissions [11], (2) the *need for active catalysts*  
23 to lower the activation energy of CO<sub>2</sub> conversion processes and (3) *high temperatures and/or*  
24 *pressures* to weaken the CO<sub>2</sub> stability [13].



**Figure 1:** Gibbs free energy of formation for some products from CO<sub>2</sub> conversion [12].

### 1.3. Motivation for CO<sub>2</sub> utilisation via transformation

The motivations behind CO<sub>2</sub> utilisation seem obvious in the context of global warming and climate change. Table 1 presents the current estimates of CO<sub>2</sub> utilisation through direct and indirect routes. These estimations are based on data for CO<sub>2</sub> used in 2016 [14] and estimated data for the next ten years [15]. The total current amount of CO<sub>2</sub> for direct uses worldwide is 42.4 MT/yr which represents nearly 18% of CO<sub>2</sub> consumed for indirect uses. Furthermore, CO<sub>2</sub> demand for direct uses is predicted to remain constant since its application in the industry is quite stable [15,16]. On the other hand, the efficient use of CO<sub>2</sub> as feedstock is forecasted to be above 332 MT/yr by 2030 [14]. Though CO<sub>2</sub> utilisation via transformation presents some challenges, its potential of contributing to climate change mitigations while at the same time turning waste CO<sub>2</sub> emission into a wide range of value-added products is a powerful driving force. Therefore, it is paramount to summarize the recent advances in CO<sub>2</sub> transformation technologies and discuss their challenges and future research needs.

**Table 1:** Current estimates of CO<sub>2</sub> utilisation [14,15].

Utilisation mode	Application/Product	CO <sub>2</sub> used (MT/yr)	Production (MT <sub>product</sub> /yr)
	Enhanced oil & gas recovery	25.0	25.0

Direct uses	Food preservation	8.2	8.2
	Industrial gases	6.3	6.3
	Carbonated drinks	2.9	2.9
	Total	42.4	-
Indirect uses	Urea	132.0	180.0
	Inorganic carbonates	70.0	250.0
	Methanol	10.0	60.0
	Formaldehyde	5.0	25.0
	Dimethyl ether (DME)	5.0	20.0
	Tertiary butyl methyl ether	3.0	40.0
	Algae	2.0	1.0
	Polymers	1.5	15.0
	Acrylates	1.5	3.0
	Carbamates	1.0	6.0
	Formic acid	0.9	1.0
	Organic carbonates	0.5	5.0
	Total	232.4	-

#### 1 **1.4. The aim and novelty of this review paper**

2 This paper aims to critically review the current status of CO<sub>2</sub> utilisation via transformation. Several  
3 good review papers on CO<sub>2</sub> utilisation can be found in the literature. An analysis of some recent  
4 reviews is presented as follows:

- 5 ➤ Alper and Yuksel Orhan [13] reviewed CO<sub>2</sub> conversion to C1-building chemicals via  
6 hydrogenation, dry reforming, carboxylation, electrochemical and photochemical reduction.
- 7 ➤ Zheng et al. [17] reviewed the mechanisms and energy involved in thermochemical,  
8 electrochemical and photochemical CO<sub>2</sub> conversion processes.

- 1 ➤ Jarvis and Samsatli [10] compared the cost, CO<sub>2</sub> consumption and TRL of CO<sub>2</sub> conversion  
2 technologies such as electrochemical reduction to formic acid, Fischer-Tropsch synthesis, urea  
3 production, hydrogenation to methane, formic acid and methanol.
- 4 ➤ Ye et al. [18] discussed the mechanisms and heterogeneous catalysts of CO<sub>2</sub> hydrogenation to  
5 hydrocarbons and oxygenated compounds.
- 6 ➤ Centi et al. [19] reviewed CO<sub>2</sub> conversion to syngas, methane, methanol, formic acid and C<sub>2</sub>-  
7 C<sub>3</sub> olefins using renewable energy.
- 8 ➤ Hepburn et al. [20] analysed the scale and economics for the conventional (chemicals, fuels,  
9 microalgae, building materials and EOR) and non-conventional (BECCS, enhanced  
10 weathering, forestry, land management and biochar) uses of CO<sub>2</sub>.
- 11 ➤ de Vasconcelos and Lavoie [21] assessed the recent advances in chemicals and fuels from CO<sub>2</sub>  
12 via hydrogenation and electrochemical reduction.
- 13 ➤ Grim et al. [22] focused on the technical barriers of CO<sub>2</sub> conversion to C<sub>1</sub>-C<sub>3</sub> compounds via  
14 hydrogenation, electrochemical, bioelectrochemical and plasma techniques.
- 15 ➤ Zhang et al. [23] discussed the key challenges of CO<sub>2</sub> utilisation via direct and indirect routes.  
16 They also analysed the trend of CO<sub>2</sub> utilisation projects in USA, China, UK, Australia, Norway  
17 and Germany.
- 18 ➤ Salehizadeh et al. [24] reviewed the microbial CO<sub>2</sub> fixation and conversion into chemicals and  
19 fuels.
- 20 ➤ Mustafa et al. [25] looked into the catalysts and operating conditions of CO<sub>2</sub> electrochemical,  
21 plasma, biochemical, photochemical and solar thermochemical conversion into high-value  
22 products.

23 Some of the review papers aforementioned only focus on one or two specific CO<sub>2</sub> conversion  
24 methods [18,19,21,24]. Others looked into CO<sub>2</sub> conversion to specific products  
25 [10,13,19,20,22,25]. Furthermore, none of these papers reviewed the recent modelling and  
26 simulation-based studies for CO<sub>2</sub> transformation technologies. Modelling and simulation

1 approaches can help for process design and optimisation at a lower cost. Therefore, it is important  
2 to analyse the current advancement in the proposed modelling and simulation studies to assess the  
3 role they can play in improving the performance of CO<sub>2</sub> transformation technologies.

4 For beginners, this manuscript offers a clear definition of CO<sub>2</sub> transformation technologies  
5 according to first principles and recapitulates the different products from CO<sub>2</sub> conversion. For  
6 experienced researchers, this paper reviews the recent progress in both experimental and  
7 modelling/simulation studies of CO<sub>2</sub> transformation technologies and proposes future research  
8 directions. The present article is different from most of the previous review papers in the following  
9 ways: (1) it classifies and defines CO<sub>2</sub> transformation technologies according to first principles  
10 then links the products from CO<sub>2</sub> conversion and CO<sub>2</sub> transformation technologies; (2) it critically  
11 reviews the current advancement in modelling/simulation studies and draws the readers' attention  
12 on process optimisation and process intensification for CO<sub>2</sub> transformation technologies; (3) it  
13 presents a detailed analysis of the different laboratory, pilot, demonstration and commercial  
14 projects for CO<sub>2</sub> utilisation via transformation worldwide and (4) finally, it tries to identify the key  
15 challenges hindering their commercial implementation and predict possible prospects.

## 16 **2. CO<sub>2</sub> transformation technologies**

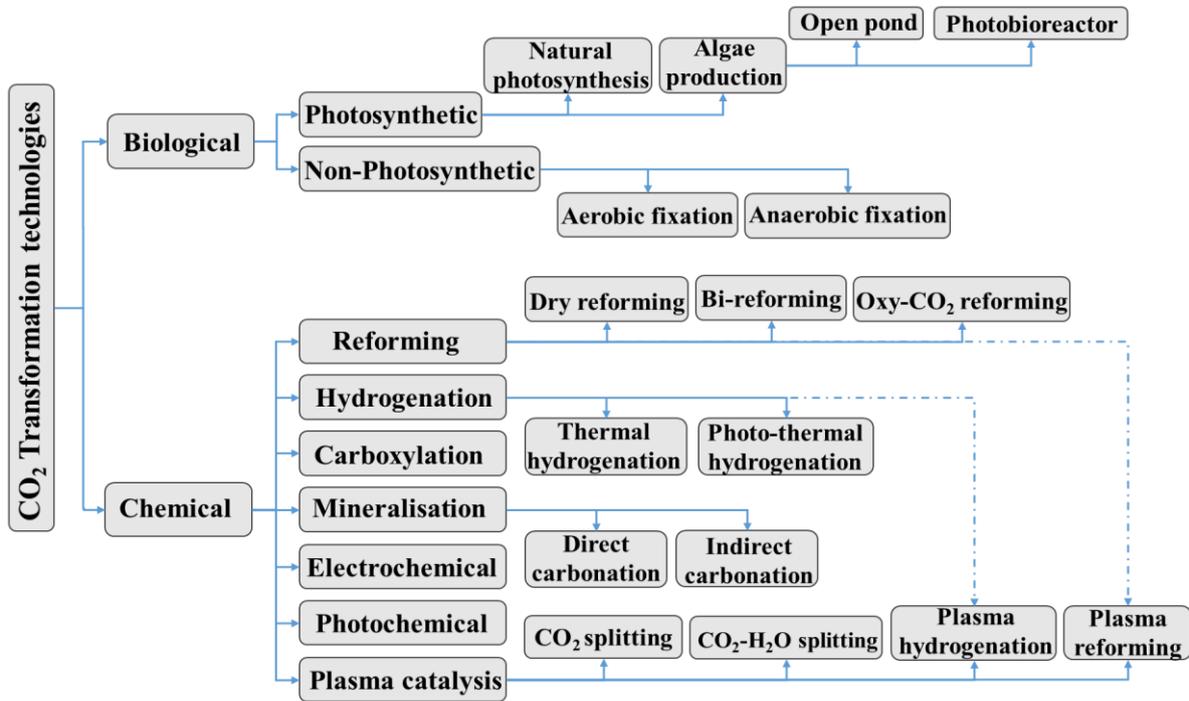
17 CO<sub>2</sub> transformation technologies are classified into biological and chemical transformations which  
18 are then respectively subdivided into two and seven CO<sub>2</sub> conversion processes (Figure 2).

### 19 **2.1. Biological transformation**

#### 20 **2.1.1. Photosynthetic CO<sub>2</sub> fixation**

21 Photosynthetic CO<sub>2</sub> fixation is divided into two methods: (1) *natural photosynthesis* wherein green  
22 plants absorb sunlight energy to convert CO<sub>2</sub> and water into energy-rich components such as  
23 glucose [26]; (2) *algae production* (e.g. cyanobacteria and eukaryotic microalgae) using CO<sub>2</sub> as  
24 carbon source, light energy, inorganic nutrients and water [27]. The natural photosynthesis is not  
25 taken into consideration in this review since it is a natural process that helps to maintain life on

1 Earth. Therefore, the photosynthetic method will refer to as algae production. There are two most  
 2 significant systems for algae production including open or raceway pond (RP) systems which are  
 3 open to the air (Figure 3a) and photobioreactors (PBRs) wherein algae cultivation is enclosed in a  
 4 transparent array of tubes (Figure 3b) [27,28].



5  
6 **Figure 2:** Chemical and biological CO<sub>2</sub> transformation technologies.



7  
8 **Figure 3:** Algae production in (a) open ponds and (b) photobioreactors [28].

9 **2.1.2. Non-photosynthetic CO<sub>2</sub> fixation**

10 The non-photosynthetic method uses microorganisms (such as methanogens and acetogens) and a  
 11 source of high-energy electrons for CO<sub>2</sub> reduction into useful bio-products. The process is  
 12 performed either under aerobic or anaerobic conditions. In *aerobic fixation*, microorganisms access

1 oxygen directly from the surrounding environment while during *anaerobic fixation*, oxygen is  
 2 prevented from entering the system [29,30].

## 3 2.2. Chemical transformation

### 4 2.2.1. Reforming

5 Reforming is a strongly endothermic process wherein a hydrocarbon-containing gas (e.g. natural  
 6 gas) is heated in the presence of a metal-based catalyst to produce a gas, commonly called syngas  
 7 (CO+H<sub>2</sub>). Because methane (CH<sub>4</sub>) is the main component of natural gas, the process is often  
 8 referred to as methane reforming. In the CO<sub>2</sub> utilisation context, there are three types of methane  
 9 reforming processes including *dry reforming* (DRM), *bi-reforming* (BRM) and *Oxy-CO<sub>2</sub> reforming*  
 10 (ORM) [31]. Table 2 details the chemical reaction and enthalpy of formation at 298K ( $\Delta H_{298K}$ ) of  
 11 each reforming process. The main issue with the reforming is the coke or carbon deposition (Table  
 12 2) on the catalyst surface leading to catalyst deactivation due to blockage of active sites [31,32].

13 **Table 2:** Different types of reforming processes and coke formation reactions [31,32].

Reforming type	Chemical reaction	$\Delta H_{298K}$ (kJ/mol)
Dry reforming of CH <sub>4</sub>	$CH_4 + CO_2 \rightarrow 2CO + 2H_2$	247.3
Bi-reforming of CH <sub>4</sub>	$3CH_4 + 2H_2O + CO_2 \rightarrow 4CO + 8H_2$	220
Oxy-CO <sub>2</sub> reforming of CH <sub>4</sub>	$3CH_4 + CO_2 + O_2 \rightarrow 4CO + 6H_2$	175.1
<b>Formation of coke (side reactions)</b>		
CH <sub>4</sub> decomposition	$CH_4 \rightarrow C + 2H_2$	75
CO <sub>2</sub> hydrogenation	$CO_2 + 2H_2 \rightarrow C + 2H_2O$	-90
CO reduction	$H_2 + CO \rightleftharpoons C + H_2O$	-131
Boudouard reaction	$2CO \rightleftharpoons C + CO_2$	-172.4

### 14 2.2.2. Hydrogenation

15 CO<sub>2</sub> hydrogenation is simply defined as the addition of H<sub>2</sub> to CO<sub>2</sub>. Because H<sub>2</sub> has a higher Gibbs  
 16 free energy ( $\Delta G^\circ = 0$ ) than CO<sub>2</sub>, CO<sub>2</sub> activation via hydrogenation is, therefore, more favourable.

1 However, the H<sub>2</sub> source is among the key challenges for CO<sub>2</sub> hydrogenation as it must come from  
2 renewable sources [33] to prevent further CO<sub>2</sub> emissions. Addition of H<sub>2</sub> to CO<sub>2</sub> is performed using  
3 heat (*thermal hydrogenation*), a combination of light and heat (*photothermal hydrogenation*) and  
4 plasma (*plasma hydrogenation*). Combining light and heat or using plasma has the advantage of  
5 reducing the intensive heat required for thermal CO<sub>2</sub> hydrogenation [34,35].

6 Looking at the products, CO<sub>2</sub> hydrogenation is usually divided into *direct* and *indirect*  
7 *hydrogenation*. Direct CO<sub>2</sub> hydrogenation mostly synthesizes C<sub>1</sub> products including CO, methane,  
8 methanol and formic acid [18,35]. Since direct CO<sub>2</sub> hydrogenation to C<sub>2+</sub> hydrocarbons (HCs) and  
9 oxygenates (e.g. dimethyl ether, olefins, liquid fuels and higher alcohols) is more kinetically  
10 challenging due to the high C–C coupling barrier, CO<sub>2</sub> hydrogenation to C<sub>2+</sub> compounds is mainly  
11 performed through *modified Fischer-Tropsch synthesis* (FTS) or *methanol-mediated* process  
12 [18,33]. The modified CO<sub>2</sub>-FTS combines CO<sub>2</sub> reduction to CO/syngas through the reverse water  
13 gas shift (RWGS) reaction and CO hydrogenation to C<sub>2+</sub> products via FTS. The methanol-mediated  
14 route consists of CO<sub>2</sub> hydrogenation to methanol followed by methanol dehydration or coupling to  
15 C<sub>2+</sub> compounds [18].

### 16 **2.2.3. Carboxylation**

17 The carboxylation process consists of attaching functional CO<sub>2</sub> molecule to another reactant for the  
18 production of organic carbonates (ROC(O)OR), ureas (RRNCONRR), carbamates (R<sub>1</sub>R<sub>2</sub>NCOOR<sub>3</sub>)  
19 and polymers (the latter also called polymerization). Another form of carboxylation is the insertion  
20 of CO<sub>2</sub> into C–H bond of olefins, aromatics or alkanes for the synthesis of carboxylic acids such as  
21 acetic acid and toluic acid [13,36].

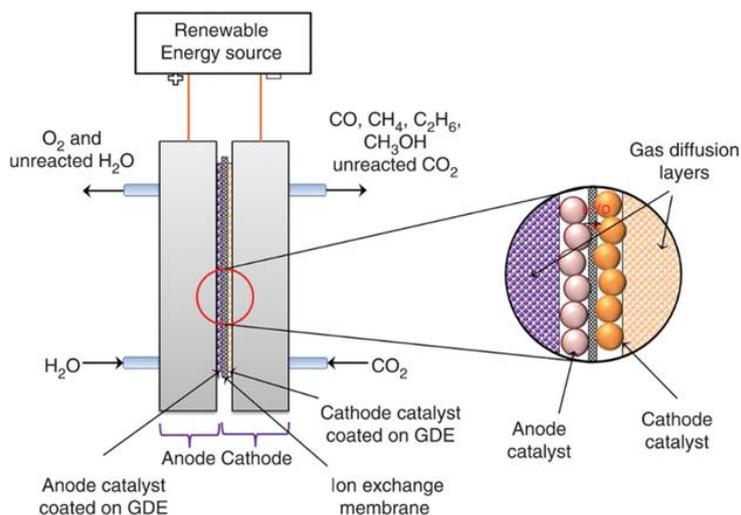
### 22 **2.2.4. Mineralisation**

23 CO<sub>2</sub> mineralisation or carbonation refers to as CO<sub>2</sub> reaction with chemical components containing  
24 alkaline earth oxides (e.g. CaO and MgO) to produce corresponding inorganic carbonates (calcium  
25 and magnesium carbonates). The process is called *indirect carbonation* when there is first  
26 extraction of Mg or Ca from minerals then carbonate precipitation in different reactors [37].

1 Thermodynamically, inorganic carbonates have a lower Gibbs free energy than CO<sub>2</sub>. Therefore, in  
 2 theory, the mineralisation process can release energy as shown in Reaction 1 wherein Me stands  
 3 for alkali and alkaline-earth metals for example, Na, Mg and Ca [13,38].



## 5 2.2.5. Electrochemical reduction



6

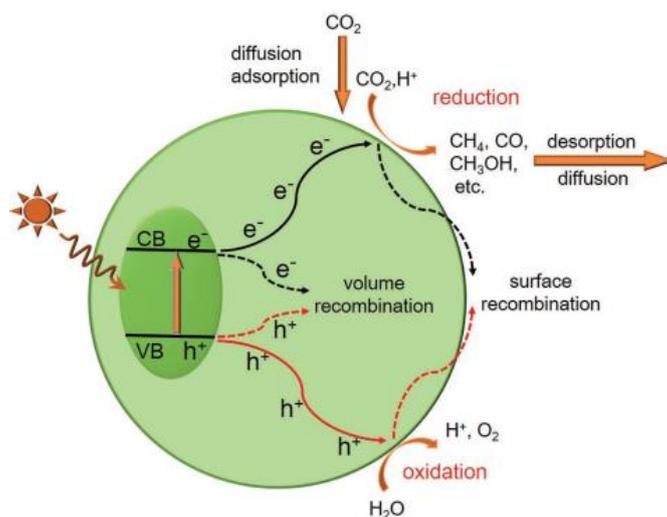
7 **Figure 4:** Schematic of a typical electrochemical reduction of CO<sub>2</sub> [39].

8 CO<sub>2</sub> electrochemical reduction is defined as CO<sub>2</sub> conversion to chemicals and fuels in an  
 9 electrolytic cell using electrical energy. The electrolytic cell consists of three fundamental  
 10 elements: the cathode or negative electrode, electrolyte and anode or positive electrode. During  
 11 CO<sub>2</sub> electrochemical reduction (Figure 4), there is H<sub>2</sub>O oxidation at the anode to produce O<sub>2</sub> and  
 12 electrons/protons (e<sup>-</sup>/H<sup>+</sup>) whereas, at the cathode, there is CO<sub>2</sub> reduction into value-added products  
 13 [39]. However, in high-temperature electrolyser such as solid oxide electrolysis cells (SOEC), there  
 14 is CO<sub>2</sub> electrolysis or CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis at the cathode for CO or syngas synthesis and  
 15 oxygen ions (O<sup>2-</sup>). O<sup>2-</sup> ions are transported to the anode through the electrolyte, where they combine  
 16 and generate O<sub>2</sub> [40].

## 17 2.2.6. Photochemical reduction

18 Photochemical reduction aims to mimic natural photosynthesis. Hence, CO<sub>2</sub> photochemical  
 19 reduction is an artificial photosynthesis process wherein photocatalysts absorb light for CO<sub>2</sub>

1 reduction into high-energy products [41]. It is important not to confuse with the photosynthetic  
 2 method which uses microorganisms for CO<sub>2</sub> fixation [26]. Figure 5 depicts the five steps of a typical  
 3 CO<sub>2</sub> photochemical reduction process: (1) Light/Photons absorption to produce electrons (e<sup>-</sup>) and  
 4 holes (h<sup>+</sup>), (2) separation of the generated holes and electrons, (3) adsorption of CO<sub>2</sub> on the  
 5 photocatalyst surface, (4) CO<sub>2</sub> and e<sup>-</sup> photoreduction and H<sub>2</sub>O oxidation, and (5) desorption of the  
 6 formed products from the photocatalyst [42].



7  
 8 **Figure 5:** Steps of CO<sub>2</sub> photochemical reduction [42].

9 **2.2.7. Plasma catalysis**

10 Various forms of energy - Electrical discharges (such as plasma jet and microwave discharge), heat  
 11 (e.g. electrically heated furnaces) and light (from laser or UV light) - can be used to sufficiently  
 12 heat a gaseous substance until its electrons are stripped from their respective atoms to create a set  
 13 of free electrons and ions called ionized gas. The ionized gas is in the 4<sup>th</sup> state of matter known as  
 14 plasma. Since there is an equal amount of opposite charges, substances in plasma state are neutral  
 15 overall. In non-thermal plasma (NTP) technology, the ionized gas is activated to create highly  
 16 energetic electrons (energy between 1-10 eV) which can activate highly stable molecules such as  
 17 CO<sub>2</sub> [43].

18 However, the use of NTP alone showed low selectivity towards desired products. Lately, an  
 19 increasing interest has been devoted to combining heterogeneous catalysis with NTP, known as

1 plasma catalysis, which has demonstrated better process efficiency, higher adsorption on the  
2 catalyst surface, lower activation barriers and reduction in catalyst's operating temperatures  
3 [43,44]. CO<sub>2</sub> plasma catalysis is usually performed either with pure CO<sub>2</sub> (*CO<sub>2</sub> splitting*) or in  
4 reaction with hydrogen-containing gas such as CH<sub>4</sub> (*plasma reforming*), H<sub>2</sub> (*plasma*  
5 *hydrogenation*) and H<sub>2</sub>O (*CO<sub>2</sub>-H<sub>2</sub>O splitting*) [43].

## 6 **2.3. Energy required and typical operating conditions**

### 7 **2.3.1. Biological transformation**

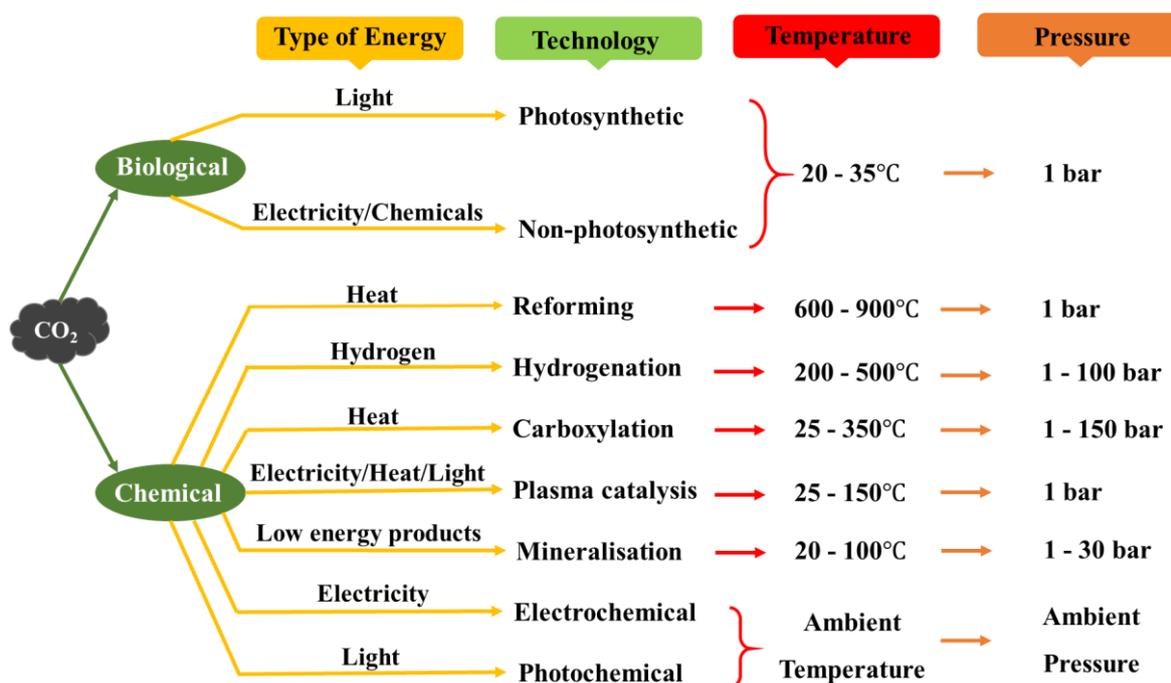
8 The photosynthetic method requires light as energy whilst the non-photosynthetic CO<sub>2</sub> fixation  
9 uses electrons as an energy source. Electrons are provided either directly from an electrode by  
10 applying electricity or indirectly using chemicals such as formate (HCOO<sup>-</sup>) and H<sub>2</sub> [27,29,30]. Both  
11 methods are commonly carried out at atmospheric pressure and temperatures ranging from 20 to  
12 35°C (Figure 6) [45,46].

### 13 **2.3.2. Chemical transformation**

14 Photochemical and electrochemical processes are commonly performed at ambient conditions [42].  
15 Although the electrochemical process is performed at ambient temperature, SOECs used for  
16 CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis operate at high temperatures (above 700°C) which lower the process needs  
17 in electricity [47]. Plasma catalysis is usually performed at atmospheric pressure with temperatures  
18 ranging from 25 to 150°C [43,48]. The reforming and hydrogenation processes are typically carried  
19 out at 600–900°C and 200–500°C, respectively. While the reforming operates at ambient pressure,  
20 the hydrogenation has a broad operating pressure range of 1–100 bar [31,33,49]. The carboxylation  
21 process also has a wide pressure range of 1–150 bar with operating temperatures between 25–350°C  
22 [41,50].

23 An explanation of these large pressure ranges could be the wide range of products from CO<sub>2</sub>  
24 hydrogenation and carboxylation as elaborated in Section 3. Regarding the mineralisation,  
25 operating temperatures and pressures are around 20–100°C and 1–30 bar [37]. However, CO<sub>2</sub>

1 mineralisation using silicate rocks such as serpentine and olivine operates at temperatures and  
 2 pressures up to 500°C and 150 bar [37,38]. The type of energy required for each CO<sub>2</sub> chemical  
 3 transformation is shown in Figure 6. It is estimated that 5,630 TWh of electricity will be required  
 4 to produce 2,680 TWh (roughly 491 GT) of gaseous and liquid fuels from CO<sub>2</sub> in 2060 [51]. The  
 5 development of carbon-neutral energies is therefore critical to provide climate benefits for CO<sub>2</sub>  
 6 conversion technologies.



7  
8 **Figure 6:** Type of energy required and typical operating conditions for CO<sub>2</sub> transformation technologies.

### 9 3. Products from CO<sub>2</sub> conversion

10 Because CO<sub>2</sub> utilisation is a vast domain, not every possible product can be mentioned. Only the  
 11 most common products are highlighted in this section. Products from CO<sub>2</sub> conversion are divided  
 12 into five groups (Figure 7): *bio-products*, *solid materials*, *fuels*, *chemicals & materials* and *fuels-*  
 13 *chemicals* (i.e. components used either as fuels or chemicals). The wide range of fuels, chemicals  
 14 and materials from CO<sub>2</sub> conversion are already available in today's market and have an application  
 15 in the current industry (Table 3) including transportation (e.g. diesel, jet fuel and methanol),  
 16 agriculture (e.g. urea), cosmetics (e.g. algae and formic acid), construction (e.g. cement) and  
 17 aviation (e.g. polycarbonates and polyurethanes). Given the predicted growth of CO<sub>2</sub> utilisation via

- 1 transformation, it is therefore sensitive to believe that CO<sub>2</sub>-derived products could have a large
- 2 impact not only on climate change mitigation but also on many aspects of daily life and industry.

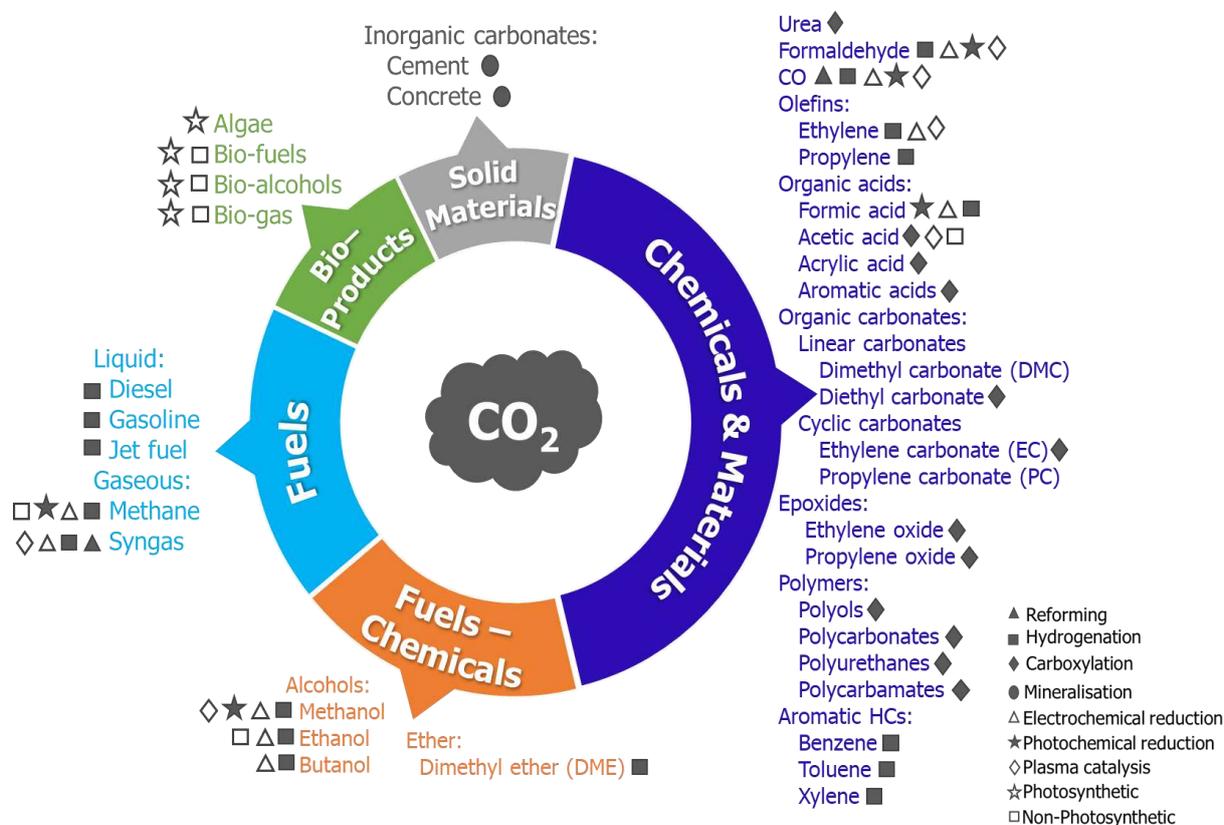
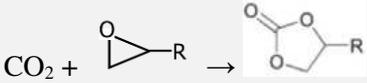
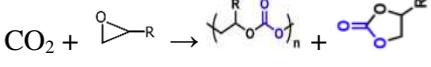
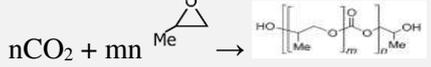


Figure 7: Products from CO<sub>2</sub> conversion.

Table 3: Application and chemical reaction of products from CO<sub>2</sub> conversion.

Product	Technology	Chemical reaction	Application	Source
Syngas	Reforming	See Table 2	Intermediate for the synthesis of several chemicals and fuels via FTS.	[31,43,47]
	Hydrogenation (RWGS)	$\text{CO}_2 + \text{H}_2 \rightleftharpoons \text{CO} + \text{H}_2\text{O}$		
	Plasma catalysis	$\text{CH}_4 + \text{CO}_2 \rightarrow 2\text{CO} + 2\text{H}_2$		
	Electrochemical (SOEC)	$\text{CO}_2 \rightarrow \text{CO} + 0.5\text{O}_2$ $\text{H}_2\text{O} \rightarrow \text{H}_2 + 0.5\text{O}_2$		
Urea	Carboxylation	$\text{CO}_2 + 2\text{NH}_3 \rightarrow \text{NH}_2\text{CONH}_2 + \text{H}_2\text{O}$	N <sub>2</sub> source for fertilizers, H <sub>2</sub> source, feedstock for adhesives, plastics and resins.	[52]

Methanol	Hydrogenation	$\text{CO}_2 + 3\text{H}_2 \rightarrow \text{CH}_3\text{OH} + \text{H}_2\text{O}$	Alternative transportation fuel, additive, H <sub>2</sub> storage, feedstock for DME, formaldehyde, acetic acid and DMC.	[13,43,53]
	Plasma catalysis			
	Electrochemical	$\text{CO}_2 + 6\text{H}^+ + 6\text{e}^- \rightarrow \text{CH}_3\text{OH} + \text{H}_2\text{O}$		
	Photochemical			
Algae	Photosynthetic	–	Synthesis of bio-diesel, bio-gas, bio-fuel, bio-alcohols, bio-H <sub>2</sub> , cosmetics.	[27,45]
DME	Hydrogenation	$\text{CO}_2 + 6\text{H}_2 \rightarrow \text{CH}_3\text{OCH}_3 + 3\text{H}_2\text{O}$	Fuel alternative for power plants and diesel engines, intermediate for the synthesis of olefins, gasoline and aromatics.	[54]
Methane	Hydrogenation	$\text{CO}_2 + 4\text{H}_2 \rightarrow \text{CH}_4 + 2\text{H}_2\text{O}$	Production of compressed natural gas and syngas, feed gas purification in ammonia production.	[13,33,46]
	Non-photosynthetic			
	Electrochemical	$\text{CO}_2 + 8\text{H}^+ + 8\text{e}^- \rightarrow \text{CH}_4 + 2\text{H}_2\text{O}$		
	Photochemical			
Higher HCs	Hydrogenation (FTS process)	$n\text{CO} + (2n+1)\text{H}_2 \rightarrow \text{C}_n\text{H}_{2n+2} + n\text{H}_2\text{O}$ $n\text{CO} + 2n\text{H}_2 \rightarrow \text{C}_n\text{H}_{2n} + n\text{H}_2\text{O}$ $n\text{CO} + (2n-1)\text{H}_2 \rightarrow \text{C}_n\text{H}_{2n+1}\text{OH} + (n-1)\text{H}_2\text{O}$	Fuels for transport and combustible engines, heating, additives, manufacturing of plastics etc.	[55]
Formic acid	Hydrogenation	$\text{CO}_2 + \text{H}_2 \rightarrow \text{HCOOH}$	Disinfection and cleaning solution, raw material for perfumes and chemicals such as amides, ketones and aldehydes.	[13,18]
	Electrochemical	$\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \rightarrow \text{HCOOH}$		
	Photochemical			
Acetic acid	Carboxylation	$\text{CH}_4 + \text{CO}_2 \rightarrow \text{CH}_3\text{COOH}$	Antiseptics, dyeing, food processing (e.g. vinegar)	[56,57]
	Plasma catalysis			

			synthesis of ester and acetic anhydride.	
Cyclic carbonates	Carboxylation		Monomers for polycarbonates, diluents for resins, electrolytes in secondary batteries.	[13,14]
Linear carbonates		$\text{CO}_2 + 2\text{ROH} \rightarrow (\text{RO})_2\text{CO} + \text{H}_2\text{O}$	Medicines, additive to gasoline, cosmetics, solvent, pesticides, polymers.	
Inorganic carbonates	Mineralisation	$\text{CaO} + \text{CO}_2 \rightarrow \text{CaCO}_3$ $\text{CaSiO}_3 + \text{CO}_2 \rightarrow \text{CaCO}_3 + \text{SiO}_2$ $\text{MgO} + \text{CO}_2 \rightarrow \text{MgCO}_3$ $\text{Mg}_2\text{SiO}_4 + 2\text{CO}_2 \rightarrow 2\text{MgCO}_3 + \text{SiO}_2$	Dusting powder, drying agent, dyeing, manufacturing of bricks and construction materials, fire extinguishers, detergents.	[37,38]
Polycarbonates	Carboxylation		Plastics, medicines, automotive and aircrafts components, electronic devices such as phones, batteries and DVDs.	[58]
Polyurethane			Coatings, sealants, adhesives, foams and elastomers.	

## 1 4. Experiment-based studies

### 2 4.1. Biological transformation

#### 3 4.1.1. Algae production

4 Algae cultivation has the advantages of operating at mild conditions and using free sunlight as an  
5 energy source. However, using free light might limit its application in zones with high solar  
6 radiation or its production rate might be affected by seasonal changes, weather conditions and/or  
7 day/night cycles. Indeed, a microalgae productivity of 39 g/m<sup>2</sup>.day was reported during June–July  
8 using PBR of 7.5L at 22 °C under natural light in a greenhouse whereas, only 10 g/m<sup>2</sup>.day

1 productivity was achieved in December [59]. Artificial lighting (such as light-emitting diodes and  
2 fluorescent tubes) showed continuous algae production but at higher costs due to additional energy  
3 requirement [60,61]. In addition to the light source, algae production requires rigorous control of  
4 several parameters including light intensity, pH and nutrients [62].

5 Overall, microalgae growth increases with the light intensity till a certain intensity threshold above  
6 which the productivity decreases due to photoinhibition [63–65]. The technical challenge is to  
7 evaluate the effect of light intensity on microalgae growth and lipid content (source of biofuel  
8 synthesis from algae) on a specie-by-specie basis. For instance, *Chlorella* sp. reached its maximum  
9 growth at 8,000 lux while *Nannochloropsis* sp. keeps growing at a light intensity of 10,000 lux  
10 [63]. The lipid contents of some species such as *Chlorella* sp. and *Nannochloropsis* sp. decrease  
11 with a rise in light intensity [63] whereas, higher or no effect on lipid contents was observed for  
12 other species including *Ettlia* sp., *Scenedesmus* sp. and *Desmodesmus* sp. [64,66,67].

13 In many studies, pH was kept between 6.5–10.5 by regulating CO<sub>2</sub> concentration or using acidic  
14 solutions [64,66–70]. The effect of pH on microalgae growth also depends on the microalgae strain.  
15 *N. salina* optimum growth rates were observed at pH around 8 [68] while high *Ettlia* sp.  
16 productivities were achieved at pH of 6.5 [64]. Deficiency in nutrients (N<sub>2</sub> or P) can lower algae  
17 productivity by up to 32% [71]. Although effective nutrients supply can increase algae growth by  
18 up to 150% [71], the cost for nutrients raises additional concerns. Simultaneous nutrient-rich  
19 wastewater treatment and microalgae cultivation was recently investigated to provide a more  
20 sustainable option [72,73]. Nevertheless, CO<sub>2</sub> loss and/or microalgae adaptation to higher N<sub>2</sub>  
21 loading is still an open challenge.

#### 22 **4.1.2. Non-photosynthetic CO<sub>2</sub> fixation**

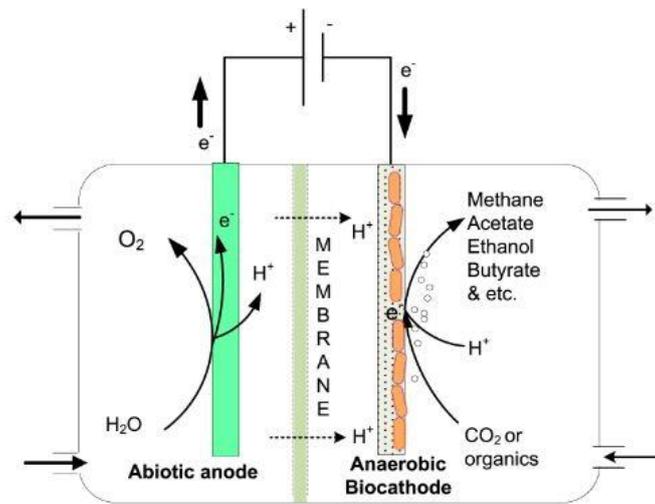
23 Non-photosynthetic CO<sub>2</sub> fixation has the advantages of operating at mild conditions and securing  
24 a large range of bio-products. So far, seven biological pathways have been proposed for CO<sub>2</sub>  
25 fixation including the reductive pentose phosphate (Calvin-Benson-Bassham), citric or  
26 tricarboxylic acid (Arnon-Buchanan), Acetyl-CoA (Wood–Ljungdahl), 3-hydroxypropionate

1 (Fuchs-Holo), 3-hydroxypropionate/4-hydroxybutyrate, dicarboxylate/4-hydroxybutyrate and  
2 glycine pathways [24,74]. Highest product yields and energy efficiency are commonly observed  
3 for CO<sub>2</sub> fixation pathways which need low ATP for occurring bio-reactions [24]. This may explain  
4 why most studies focused on CO<sub>2</sub> fixation via the Wood–Ljungdahl (WL) pathway (consumes less  
5 than 1 ATP molecule per pyruvate) using acetogenic and methanogenic microorganisms under  
6 anaerobic conditions [46,75–80]. The main products for methanogens and acetogens are  
7 respectively methane and acetate. Other chemicals such as ethanol, formate, butyrate, butanol and  
8 2,3-butanediol were also reported [46,75–77,81].

9 Using H<sub>2</sub> as an electron donor for anaerobic CO<sub>2</sub> fixation, the key controlling parameters are  
10 temperature, pH, H<sub>2</sub> partial pressure and hydraulic retention time [75,82]. Increasing the retention  
11 time increased both CO<sub>2</sub> conversion and product yield [46,76,77] while high H<sub>2</sub> pressure lowered  
12 methane production [46]. Leu et al. [75] observed that CH<sub>4</sub> production increased till up to 21  
13 μmol/ml when pH and temperature increased until a certain value (respectively 8 and 40°C) above  
14 which CH<sub>4</sub> production starts decreasing due to inhibition of methanogen activity. Some studies  
15 investigated inorganic compounds (Na<sub>2</sub>S, Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> and NaNO<sub>2</sub>) as electron donors under aerobic  
16 [83] and anaerobic [84] conditions. Under both conditions, Na<sub>2</sub>S and Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> showed higher CO<sub>2</sub>  
17 fixation efficiency than NaNO<sub>2</sub> as they released a higher amount of energy during oxidation.

18 The use of electricity has been commonly studied via abiotic anode configuration under anaerobic  
19 conditions (Figure 8) [78–80]. The mechanism through which electrons are provided from the  
20 electrode by applying electricity is yet to be clarified. Some studies suggested that microorganisms  
21 can directly accept electrons from electrode [85,86] whilst others argued that there is first H<sub>2</sub>  
22 formation which acts as intermediary electron carrier since operating potentials are more negative  
23 than H<sub>2</sub> evolution potential [78,87]. Different cathode materials have been investigated to enhance  
24 the current density thus CO<sub>2</sub> reduction rate including Ni-nanoparticle coated graphite (-1.7 A/m<sup>2</sup>)  
25 [88], carbon-felt (-5 A/m<sup>2</sup>) [87,89], Ni-nanowire coated graphite (-8.9 A/m<sup>2</sup>) [88], gas diffusion  
26 electrode (-11 A/m<sup>2</sup>) [90] and multiwalled carbon nanotube (-200 A/m<sup>2</sup>) [91]. These studies

1 reported a Faraday efficiency (FE) between 70-99% for acetate and methane whereas, other  
 2 products such as formate, ethanol and isopropanol only achieved FE between 4-22% [87,89–91].



3  
 4 **Figure 8:** Abiotic anode configuration for microbial  $CO_2$  reduction [78].

## 5 4.2. Chemical transformation

### 6 4.2.1. Reforming

7 The reforming process has the advantage of turning two greenhouse gases ( $CO_2$  and  $CH_4$ ) into  
 8 valuable compounds. However, coke formation leading to rapid catalyst deactivation is still of great  
 9 concerns for its commercial application. A comparison of noble metals (Rh, Ru, Pd, Pt and Ir), Ni  
 10 and Co-based catalysts over  $Al_2O_3$  support for DRM at  $800^\circ C$  showed that Ni and Co achieved  
 11 maximum  $CO_2$  conversions of 77.1% and 66.0%, respectively with a coke deposition between 24.0-  
 12 49.4  $mg/g_{catalyst}$ . On the other hand, noble metals showed almost no coke formation due to their  
 13 efficient dispersion on  $Al_2O_3$  support with up to 64.4%  $CO_2$  conversion [92]. Nevertheless, noble  
 14 metals are limited in use due to their low availability and high costs [93]. The morphology and  
 15 nature of supports also have an impact on Ni catalyst stability. An analysis of different supports at  
 16  $400^\circ C$  revealed the following classification of activity performance:  
 17  $Ni/SiO_2 < Ni/Al_2O_3 < Ni/MgO < Ni/TiO_2 < Ni/Siral10 < Ni/PuralMG30 < Ni/ZrO_2 < Ni/La_2O_3-ZrO_2$   
 18 [94].  $Ni/La_2O_3-ZrO_2$  achieved 180 hours of stability due to stronger Ni interaction with mesoporous

1 La<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> [94]. Ni-Mo/MgO nanocatalyst recently achieved the highest stability of 850 hours  
2 with no coke formation at 800°C due to its very low particle size of 2.9 nm [95].

3 A comparison between BRM and DRM using Co-Pt/Al<sub>2</sub>O<sub>3</sub> catalyst at temperatures between 300-  
4 800°C indicated that due to H<sub>2</sub>O presence, BRM has lower operating temperatures and coke  
5 deposition than DRM. Furthermore, BRM achieved H<sub>2</sub>/CO of 1.3 while H<sub>2</sub>/CO of 0.84 was  
6 observed for DRM [96]. Li et al. [97] compared Ni/ZrO<sub>2</sub> and LA-NiO/ZrO<sub>2</sub> catalysts for BRM at  
7 850°C. LA-NiO/ZrO<sub>2</sub> exhibited higher stability and activity (92% CO<sub>2</sub> conversion and 84-95%  
8 selectivity towards CO and H<sub>2</sub>) than Ni/ZrO<sub>2</sub> due to enlarged oxygen vacancies and intensified Ni-  
9 support interaction.

#### 10 **4.2.2. Hydrogenation**

11 CO<sub>2</sub> hydrogenation has the advantage of converting CO<sub>2</sub> to a wide range of fuels and chemicals.  
12 Another challenge for the hydrogenation process is to identify the catalysts which promote product  
13 selectivity and how their performance can be enhanced to achieve the desired product selectivity  
14 and CO<sub>2</sub> conversion. An analysis of the recent progress for the most common products is presented  
15 below.

##### 16 **➤ CO via RWGS reaction**

17 The thermodynamic analysis shows that RWGS is favoured in excess H<sub>2</sub> and at high temperatures  
18 (around 500-700°C) due to its endothermic nature [98,99]. Hence, improving catalyst activity and  
19 CO selectivity at low temperatures is a key challenge for RWGS. At temperatures below 400°C,  
20 RWGS competes with exothermic reactions (methane synthesis and WGS reactions) since they are  
21 more prominent under these conditions [98–101]. Therefore, appropriate catalysts should also  
22 promote RWGS activity with little to no CH<sub>4</sub> selectivity. Fe and Cu-based have been widely studied  
23 for RWGS due to their good absorption of intermediates and sintering tolerance. However, Cu  
24 catalysts were found more adequate for low operating temperature [99]. Formate dissociation  
25 mechanism was reported as the key route for CO formation mechanism over Cu/Al<sub>2</sub>O<sub>3</sub> [102]. A  
26 recent study achieved 100% CO selectivity with 20% CO<sub>2</sub> conversion using Cu nanoparticles over

1 CeO<sub>2</sub> support at 300°C and 1 bar [103]. Noble metals (e.g. Pt/La-ZrO<sub>2</sub> [98] and Au/TiO<sub>2</sub> [104]),  
2 metal alloys (e.g. Fe-Cu/Al<sub>2</sub>O<sub>3</sub> [99] and Pd-In/SiO<sub>2</sub> [105]) and metal-oxides (e.g. Ni-FeO<sub>x</sub>/ZrO<sub>2</sub>  
3 [106] and Fe-oxide nanoparticles [107]) were also investigated. The noble metals were reported at  
4 temperatures between 150 – 250°C while the other catalysts operated at 400-750°C. All catalysts  
5 achieved 90-100% CO selectivity with 15-60% CO<sub>2</sub> conversion. Nevertheless, the catalyst stability  
6 is reported for less than 25 hours which might mask the unstable nature of catalysts.

### 7 ➤ **Methane**

8 Methane synthesis is favoured at temperatures below 350°C (exothermic reaction) and can achieve  
9 99% CH<sub>4</sub> selectivity using adequate catalysts [33]. Some studies have explored methane synthesis  
10 at temperatures as low as 100-200°C to reduce heat requirement of the process. However, active  
11 catalysts that can achieve acceptable CH<sub>4</sub> selectivity is still an open challenge [108]. Au, Mo, Pt  
12 and Pd-based catalysts were reported as less reactive since methanation reaction competes with CO  
13 and CH<sub>3</sub>OH synthesis while Ru, Rh and Ni-based catalysts produce nearly only CH<sub>4</sub> [33,109].  
14 Although Ni catalysts have lower activity than Ru and Rh catalysts and are easily deactivated due  
15 to sintering, Ni-subcarbonyl formation, interaction with CO intermediates and coke deposition,  
16 they are preferred due to their easy availability and low cost [109,110]. Various supports have been  
17 investigated to overcome these limitations including Ni/Al<sub>2</sub>O<sub>3</sub> [110,111], Ni/ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> [112],  
18 Ni/Al<sub>2</sub>O<sub>3</sub>-HT [113] and Ni/γ-Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub>-TiO<sub>2</sub>-CeO<sub>2</sub> [114] with 80-82.5% CO<sub>2</sub> conversion and 84–  
19 99.5% CH<sub>4</sub> selectivity at 220-350°C.

### 20 ➤ **Methanol**

21 Considerable progress has been made for methanol synthesis using Cu catalysts. Current industrial  
22 processes reach 70% methanol selectivity using H<sub>2</sub>/CO<sub>2</sub>=3 and Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>, CuO/ZnO/Ga<sub>2</sub>O<sub>3</sub>  
23 and CuO/ZnO/ZrO<sub>2</sub> at 200-300°C and 70-100 bar [33,115]. In addition to low CO<sub>2</sub> conversion, high  
24 operating pressures are still a barrier for its application in industry. Some recent studies were  
25 reported at 30–50 bar with 5-20% CO<sub>2</sub> conversion. Cu/ZnO<sub>x</sub> nanoparticles in MOFs showed strong  
26 structural interaction resulting in 100% methanol selectivity with high catalyst stability for more

1 than 100 hours at 250 °C and 40 bar [116]. Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> was mixed with hydrotalcite  
2 (60wt.%CZA-40wt.%HT) and achieved 73.4% methanol selectivity with 6% CO<sub>2</sub> conversion at  
3 250°C and 30 bar [117]. Other promising catalysts include In<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub> and Pd/In<sub>2</sub>O<sub>3</sub> [118,119].  
4 In<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub> reached 100% methanol selectivity and 5.2% CO<sub>2</sub> conversion with catalyst stability of  
5 1000 hours [118] whereas, Pd/In<sub>2</sub>O<sub>3</sub> exhibited enhanced CO<sub>2</sub> adsorption due to easy creation of  
6 oxygen vacancies leading to 20% CO<sub>2</sub> conversion and 70% methanol selectivity at 300°C and 50  
7 bar [119].

#### 8 ➤ **Dimethyl ether (DME)**

9 For DME synthesis, adequate catalysts must simultaneously promote CH<sub>3</sub>OH synthesis and  
10 dehydration of CH<sub>3</sub>OH to DME. The process mostly suffers from excessive water production via  
11 RWGS which lowers methanol synthesis rate hence DME yield [18,120]. DME selectivity varies  
12 between 19-52% with up to 20% CO<sub>2</sub> conversion using H<sub>2</sub>/CO<sub>2</sub>=3-5 and Cu-hybrid catalysts at  
13 250-300°C and 30-50 bar [54,120]. Due to their acid sites and acid strength, using zeolites (HZSM-  
14 5) as promoter improved DME selectivity (up to 77%) [120]. A drastic increase in pressure to 360  
15 bar and H<sub>2</sub>/CO<sub>2</sub>=10 achieved 89% DME selectivity with 97% CO<sub>2</sub> conversion using CZA/HZSM-  
16 5 hybrid catalyst at 300°C [121].

#### 17 ➤ **Olefins and liquid HCs**

18 The methanol-mediated route has been mostly studied using Cu-Zn catalysts. Although significant  
19 advances have been made in catalysts development, the process usually synthesizes light alkanes  
20 which are subsequently converted into olefins [122]. By creating surface oxygen vacancies and  
21 acid sites, bifunctional catalysts composed of metal oxide and zeolites (e.g. ZnGa<sub>2</sub>O<sub>4</sub>/SAPO-34  
22 [123], ZnZrO/SAPO-34 [124] and In<sub>2</sub>O<sub>3</sub>/HZSM-5 [125]) achieved 80-90% and 78% selectivity  
23 towards C<sub>2</sub>-C<sub>4</sub> olefins and gasoline, respectively at 330-380°C and 20-30 bar.

24 Co and Fe-based catalysts are the most used catalysts for FTS. The FTS process is changed into  
25 methane synthesis when using Co-based catalysts and feed gas with high CO<sub>2</sub> content whilst Fe  
26 catalysts promote WGS activity thus the synthesis of light olefins and C<sub>5+</sub> HCs with no excessive

1 CH<sub>4</sub> [126–129]. Some studies explored metal alloys such as Fe-Mn [130] and Mn-Zr-Co [131] at  
2 360°C and 240°C, respectively. Fe-Mn achieved 59.2% and 20.5% selectivity towards C<sub>2</sub>-C<sub>4</sub> olefins  
3 and C<sub>5+</sub> HCs respectively whereas, Mn-Zr-Co reached 80% selectivity to liquid fuels. The  
4 importance of surface oxygen vacancies and acid sites through bifunctional catalysts was also  
5 demonstrated by Wei et al. [132]. The authors reported 78% gasoline selectivity using Na-  
6 Fe<sub>3</sub>O<sub>4</sub>/HZSM-5 nanocatalyst and H<sub>2</sub>/CO<sub>2</sub> ratio of 1/1 at 320°C and 30 bar.

### 7 **4.2.3. Carboxylation**

8 Carboxylation technology also offers a wide range of accessible products. The most common  
9 products include urea, carboxylic acids, polymers and inorganic carbonates. Their recent progress  
10 and challenges are discussed below.

#### 11 ➤ **Urea**

12 Urea represents the largest use of CO<sub>2</sub> (Table 1) and is commercially produced at roughly 150–  
13 210 °C and 150–250 bar with up to 90% CO<sub>2</sub> conversion [10,133,134]. Electron-attaching  
14 techniques have been recently investigated for urea synthesis at mild conditions. Xiang et al. [133]  
15 found that under negative corona discharge, NH<sub>3</sub> radicals and anions reduce CO<sub>2</sub> to urea at 1 bar  
16 and 20 °C with 82% CO<sub>2</sub> conversion and 51% urea selectivity. Chen et al. [134] studied  
17 electrochemical urea synthesis by coupling CO<sub>2</sub> and N<sub>2</sub> in H<sub>2</sub>O using PdCu/TiO electrocatalyst in  
18 an H-cell at ambient conditions. The authors reported 8.92% FE at -0.4V. Although these studies  
19 might overcome the harsh operating conditions of the conventional urea synthesis process, the  
20 energy efficiency associated with electron-coupling methods is yet to be clarified.

#### 21 ➤ **Carboxylic acids**

22 Various transition-metal complexes (such as (Triphos)MoH<sub>4</sub>PPh<sub>3</sub> and Ru(CO)<sub>4</sub>PPh<sub>3</sub>) and metal  
23 alloys (e.g. Pd-Rh/TiO<sub>2</sub>, V<sub>2</sub>O<sub>5</sub>-PdCl<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> and Co-Cu) have been studied for the synthesis of  
24 acetic acid and acrylic acid [57,135]. However, no satisfactory results have been made for industrial  
25 practices. Aromatic carboxylic acids have been successfully produced using Lewis acids as  
26 catalysts [136,137]. 88% benzoic acid yield was reported for the first time by Olah et al. [136] at

1 70°C and 50 bar using AlCl<sub>3</sub>/Al. Two reaction mechanisms were suggested via formation of CO<sub>2</sub>-  
2 (AlCl<sub>3</sub>)<sub>n</sub> complexes using AlCl<sub>3</sub>/Al [136] and synthesis of CO<sub>2</sub>-AlCl<sub>3</sub>-R<sub>4</sub>Si using Si/Al-based  
3 catalysts [137]. The incubation technique (mixing CO<sub>2</sub> and Lewis acid for 1 hour before adding  
4 toluene) showed that various Lewis acids including AlCl<sub>3</sub>, MoCl<sub>5</sub> and TiCl<sub>4</sub> promote toluic acid  
5 with up to 95% yield at 69 bar and 80°C [138].

#### 6 ➤ **Polymers**

7 Inoue et al. [139] reported the first study for polypropylene carbonate (PPC) synthesis with very  
8 low selectivity using ZnEt<sub>2</sub>/H<sub>2</sub>O catalyst at 25°C. Since then, considerable progress has been made  
9 using metals complexes for instance, Zn-Co-dmc [140], ZnGA [141], SalenCo(III) complexes  
10 [142,143] and dinuclear Zn [144]. Up to 90% PPC and Polycyclohexene carbonate selectivity were  
11 achieved at roughly 60-120°C with some amounts of cyclic carbonates as by-products. Due to its  
12 high co-polymerisation activity, Zn-Co-dmc is preferred for the synthesis of CO<sub>2</sub>-polyols which  
13 further react with isocyanate for polyurethane production [58,145,146]. In addition to Zn-Co-dmc,  
14 using starters as initiation-transfer agents promote a higher catalytic activity with better carbonate  
15 unit (CU) content [147]. At 80°C and 40 bar, 1,3,5-benzenetricarboxylic acid [147], oligomeric  
16 alcohol [145] and dicarboxylic acid [146] starters achieved 54%, 62.5% and 75% CU content,  
17 respectively.

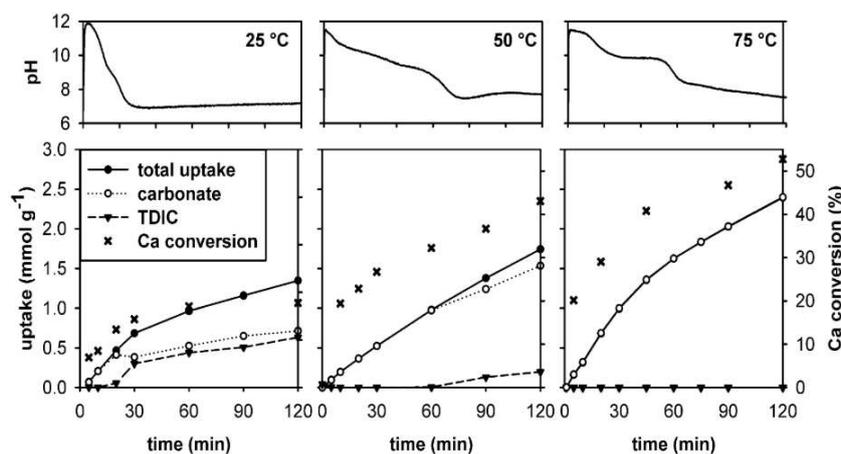
#### 18 ➤ **Linear and cyclic carbonates**

19 Due to its equilibrium nature, DMC synthesis mostly suffers from low yield [148]. Greish et al.  
20 [149] explored SnO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> catalyst and observed 17.8% DMC yield at 130°C and 16 bar. An  
21 increase in pressure to 120 bar achieved 44% DMC yield at 110°C using Fe-Zr catalyst [150].  
22 Depending on whether CO<sub>2</sub> or methanol is first adsorbed on the catalyst, the reaction mechanism  
23 is either via the synthesis of carboxyl/carbonyl groups or methoxy compounds [149]. Synthesis of  
24 cyclic carbonates have been investigated using lanthanide oxychlorides (LnOCl) [151], metal  
25 complexes [152,153] and organic bases [154,155] as catalysts reaching 70% propylene carbonate  
26 selectivity at 50-140°C and 10-100 bar. Though organic base catalysts are easily accessible, higher

1 activity was observed for metal complex catalysts as they provided higher surface area [150]. Ionic  
 2 liquid (IL) were also studied for linear and cyclic carbonate production. Results indicated that  
 3 combining ILs with a super base or Lewis basic anion enhanced the catalyst activity hence  
 4 improved CO<sub>2</sub> conversion with up to 76% propylene carbonate yield [156,157].

#### 5 4.2.4. Mineralisation

6 The main challenge for CO<sub>2</sub> mineralisation is to enhance reaction kinetics as the process is naturally  
 7 very slow [37,38]. Kinetic tests during lignite fly ash production and steel slag carbonation  
 8 suggested that carbonation rate increased with temperature [158,159]. Furthermore, the highest Ca  
 9 conversion is reached at the highest temperature of 75°C (Figure 9) using 10-30vol.% CO<sub>2</sub> [158].



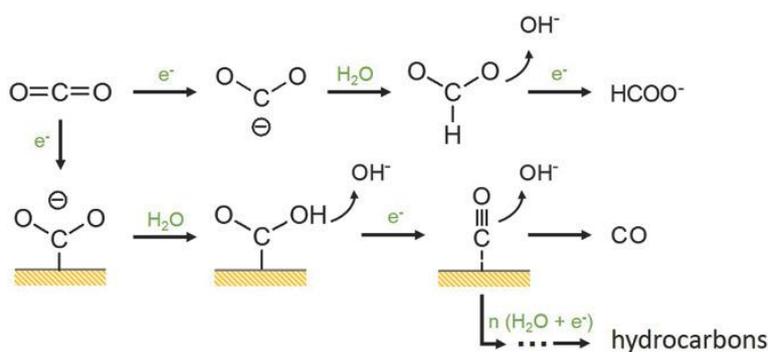
10  
11 **Figure 9:** Dependence of CO<sub>2</sub> carbonation on temperature [158].

12 Therefore, energy input is required to speed up the process. Since the reaction is exothermic  
 13 (Reaction 2), heat integration can be performed to improve the process efficiency [13,38]. Ebrahimi  
 14 et al. [160] investigated carbonated fly ash production from CO<sub>2</sub> mineralisation at 30-70°C and  
 15 reported 83.5% carbonation efficiency with CO<sub>2</sub> utilisation of 73 kg<sub>CO<sub>2</sub>-eq</sub>/t<sub>cement</sub> and 42 kg<sub>CO<sub>2</sub>-  
 16 eq</sub>/GWh. Another study performed CO<sub>2</sub> mineralisation using EAFRS (mostly CaO and SiO<sub>2</sub>) and  
 17 achieved 86% carbonation efficiency with CO<sub>2</sub> utilisation of 0.38 t<sub>CO<sub>2</sub>-eq</sub>/t<sub>EAFRS</sub> [161].

#### 18 4.2.5. Electrochemical reduction

19 Two possible reactions mechanisms were proposed for CO<sub>2</sub> electrochemical reduction on metal  
 20 electrodes (Figure 10). CO<sub>2</sub><sup>-</sup> formation is followed by either HCOO\* or \*COOH via protonation of

1 carbon atom or oxygen atom.  $\text{HCOO}^*$  is then reduced to  $\text{HCOO}^-$  whereas,  $^*\text{COOH}$  is converted to  
 2 CO which is why  $\text{CO}_2$  electrochemical reduction mostly leads to  $\text{HCOO}^-$ /formic acid and CO  
 3 [42,162]. CO has been successfully synthesized with FE up to 90% using noble metals such as Pd,  
 4 Au and Ag at the moderate potential of -0.6V. However, their high costs impede large-scale  
 5 applications [163].



6  
 7 **Figure 10:** Reaction mechanisms of  $\text{CO}_2$  electrochemical reduction on metal electrodes [42,162].

8 **➤ Formic acid**

9 Due to its non-toxicity and low cost, Sn is the most interesting metal cathode for formic acid  
 10 synthesis [164,165]. However, excess potential is needed to achieve high FE ( $>70\%$ ). Using Sn  
 11 electrode, different electrolytes ( $\text{KHSO}_4$ ,  $\text{KCl}$ ,  $\text{KHCO}_3$ , and  $\text{KOH}$ ) were tested at  $20^\circ\text{C}$ . It was  
 12 observed that high  $\text{Cl}^-$  nucleophilicity in the electrolyte lowered the overpotential hence  $\text{KCl}$   
 13 achieved the highest FE of 69.5% at 1.4V [165]. Gas diffusion electrode (GDE) combined with Sn  
 14 electrocatalyst was studied by Wang et al. [166] using  $\text{KHCO}_3$  electrolyte. The authors reported  
 15 that the total area of gas-liquid-solid phase interface increased with Nafion and Sn fractions.  
 16 Although FE of 73% was achieved at -1.8V and  $13.45 \text{ mA/cm}^2$ , the process depicted serious liquid  
 17 flooding issues. To solve this problem, an anion exchange membrane and imidazole nanoparticle  
 18 Sn catalyst was added at the cathode and for the first time pure formic acid (94% FE) was obtained  
 19 at 3.5V and  $140 \text{ mA/cm}^2$  [167]. Other metals, such as  $\text{Pd}_x\text{Pt}_{(100-x)}/\text{C}$  nanoparticles [168] and  $\text{PbO}_2$   
 20 electrode with ionic liquid catholyte [169] were also studied and achieved FE above 88% at 40.8  
 21 and  $5 \text{ mA/cm}^2$ , respectively.

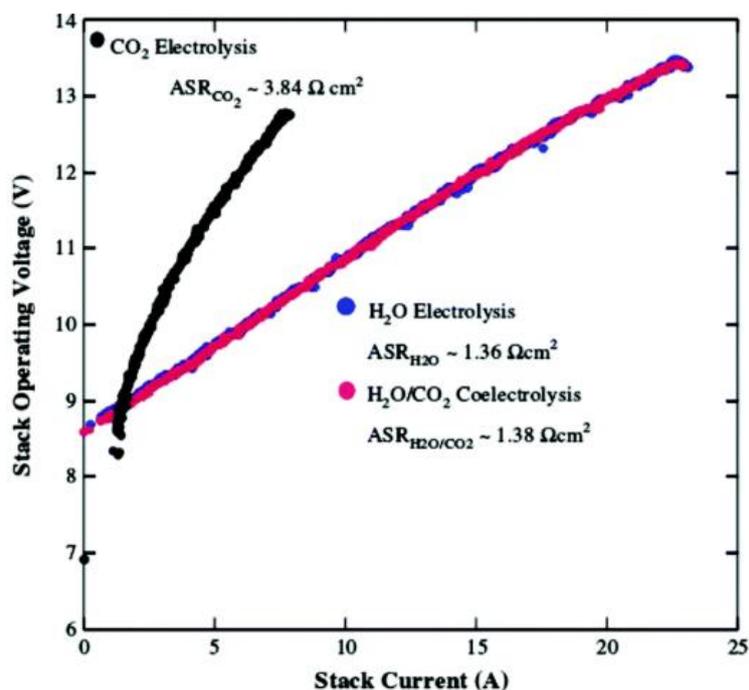
22 **➤ Above  $2e^-/2\text{H}^+$  products**

1 Limited catalytic materials and/or electrodes have been able to further reduce CO (Figure 10) to  
2 above  $2e^-/2H^+$  transfer, such as methanol ( $6e^-/6H^+$ ), methane ( $8e^-/8H^+$ ) and ethylene ( $12e^-/12H^+$ ).  
3 Due to their high binding energy towards the CO intermediate, only Cu and Co were successfully  
4 reported for the synthesis of above  $2e^-/2H^+$  products [170–172]. However, these products usually  
5 have low FE or require high cell voltage to achieve adequate current densities or CO<sub>2</sub> conversion  
6 [22,162]. For instance, at -1.44V and 5 mA/cm<sup>2</sup>, polycrystalline Cu electrocatalyst achieved FE of  
7 33.3%, 25.5% and 5.7% for methane, ethylene and ethanol, respectively [162]. At -1.2V, 30-40  
8 mA/cm<sup>2</sup> and using Co protoporphyrin-coated PG electrode, a methane FE of 2.5% was obtained  
9 with evidence of methanol but below the detection limit of gas chromatography measurements  
10 [172]. Although current accumulative FE to above  $2e^-/2H^+$  products has achieved up to 80% at 275  
11 mA/cm<sup>2</sup> using advanced electrode materials and state-of-the-art technology cell configuration [22],  
12 high FE for single compounds is yet to be achieved. A recent study demonstrated the perspective  
13 for CO<sub>2</sub> electrochemical reduction to methanol by reporting for the first time 77.6% methanol FE  
14 at 41.5 mA/cm<sup>2</sup> and -2.1V using Cu<sub>2-x</sub>Se(y) nanoparticles [173].

#### 15 ➤ Syngas via SOEC

16 The main challenges for SOEC are low CO<sub>2</sub> conversion/activation and stack degradation. Since the  
17 process operates at high temperatures, RWGS also occurs making CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis reaction  
18 pathways difficult to elucidate [47]. Ni-YSZ/YSZ/LSM-YSZ materials have been commonly  
19 studied as cell configuration (cathode/electrolyte/anode) at 800-850°C and 1 bar [174–177]. As the  
20 results indicated similar specific resistances between CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis and H<sub>2</sub>O electrolysis  
21 (Figure 11), it is widely believed that the performance for CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis and H<sub>2</sub>O co-  
22 electrolysis are comparable [47]. Furthermore, Stoots et al. [174] concluded that CO<sub>2</sub> is mainly  
23 reduced via RWGS but not through electrolysis. Nevertheless, some studies reported that CO was  
24 synthesized through both CO<sub>2</sub> electrolysis and RWGS [175,178]. It was also observed that for 0.25  
25 A/cm<sup>2</sup> current density, cell degradation mostly occurred at Ni-YSZ electrode whilst above 1.0  
26 A/cm<sup>2</sup>, both LSM-YSZ and Ni-YSZ electrodes contributed to the total cell degradation due to

1 [174–177]. To improve Ni-YSZ/YSZ/LSM-YSZ limitations (such as Ni oxidation to NiO and  
 2 LSM-YSZ delamination), other SOEC material configurations were explored including Ni-  
 3 YSZ/ScSZ/LSM-ScSZ [178], Ni-SDC-YSZ/YSZ/LSM-SDC-YSZ [179], Ni-YSZ/YSZ/LSCF  
 4 [180] and Ni-YSZ/ScSZ/LSCF-GDC [181]. The highest CO<sub>2</sub> conversion of 76% was achieved  
 5 using Ni-YSZ/YSZ/LSCF and 40%CO<sub>2</sub>/40%H<sub>2</sub>O/20%H<sub>2</sub> feed gas at 1.0 A/cm<sup>2</sup> and 800°C [180].



6  
 7 **Figure 11:** Polarization characterization of CO<sub>2</sub> electrolysis, H<sub>2</sub>O electrolysis and CO<sub>2</sub>/ H<sub>2</sub>O co-  
 8 electrolysis [174].

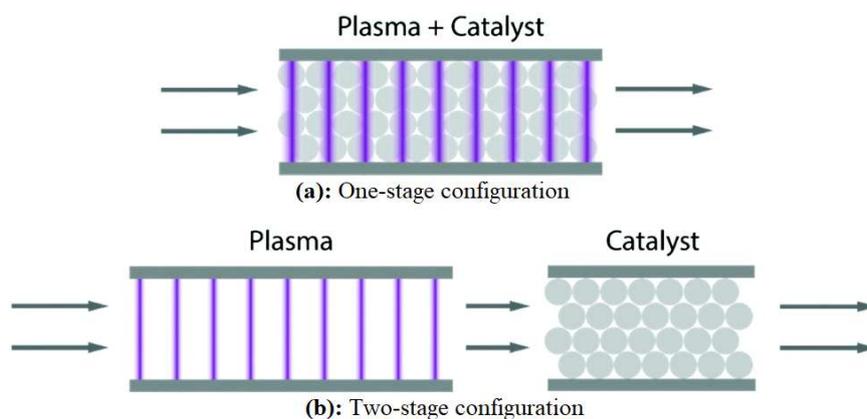
#### 9 4.2.6. Photochemical reduction

10 Several photocatalysts can be used for CO<sub>2</sub> photochemical reduction including In<sub>2</sub>O<sub>3</sub>, ZnS, Ga<sub>2</sub>O<sub>3</sub>  
 11 and TiO<sub>2</sub>. TiO<sub>2</sub> is the most commonly used due to its ease to prepare, good stability, low cost and  
 12 low toxicity [41,42,182]. Studies have shown that TiO<sub>2</sub> can be enhanced by modifying its surface  
 13 or doping with novel metals [183,184]. For instance, Zhang et al. [183] studied a series of Pt loaded  
 14 TiO<sub>2</sub> at 50°C with CO<sub>2</sub>/H<sub>2</sub>O ratio of 5 and observed no activity for TiO<sub>2</sub> alone while 0.15Pt/TiO<sub>2</sub>  
 15 led to CH<sub>4</sub> yield of 0.34 μmol/h.g<sub>cat</sub>. The process still suffers from low selectivity/yield due to low  
 16 photon absorption and slow reactions. Some studies focused on nanocomposites to enhance CO<sub>2</sub>  
 17 activation and facilitate product synthesis. Different Ag concentrations on TiO<sub>2</sub> nanocomposite

1 were studied by Zhao et al. [185] at 20°C using 97.2%CO<sub>2</sub>/2.2%H<sub>2</sub>O/0.6%CH<sub>3</sub>OH. Results showed  
2 that 2% Ag concentration synthesizes CO and CH<sub>4</sub> with production rates of 87 and 10 μmol/h.g<sub>cat</sub>,  
3 respectively. The CH<sub>4</sub> formation rate of 100.22 ppm/h.cm<sup>2</sup> was achieved by Li et al. [186] using Pt  
4 loaded on MgO/TiO<sub>2</sub> nanotubes as photocatalyst at 25°C. Cheng et al. [184] achieved a methanol  
5 yield of 454.6 μmol/h.g<sub>cat</sub>, using porous TiO<sub>2</sub> film in an alkaline environment at 25°C. By  
6 combining reduced graphene oxide with TiO<sub>2</sub> nanoparticles, Olowoyo et al. [187] observed an  
7 upward shift of TiO<sub>2</sub> bands by 0.2eV and achieved the highest methanol rate of 2.33 mmol/h.g.

#### 8 4.2.7. Plasma catalysis

9 Plasma catalysis is typically carried out in two configurations as shown in Figure 12. One-stage  
10 (mostly for dielectric barrier discharge (DBD)) and two-stage (using gliding arc (GA) and  
11 microwave (MW) discharges) [43]. Combination of plasma and catalyst has shown both physical  
12 and chemical advantages on the system formed (Section 2.2.7). The catalyst packing method also  
13 affects the chemical and physical interactions between plasma and catalyst. Tu and Whitehead  
14 [188] observed that fully packing Ni/γ-Al<sub>2</sub>O<sub>3</sub> in DBD significantly reduced the discharge volume  
15 which inhibited the formation of filamentary discharge. Whereas, partial catalyst packing showed  
16 large void fraction in the discharge gap with strong filamentary microdischarge which considerably  
17 improved the chemical and physical interactions between plasma and Ni/γ-Al<sub>2</sub>O<sub>3</sub>.



18 **Figure 12:** Schematic of plasma catalysis configurations [43].

19  
20 Most studies used DBD combined with metal-based catalysts (Ni, Cu, Au and Pt) since DBD is the  
21 most mature plasma technology and operates at low temperatures and atmospheric pressure

1 [22,35,56,188–192]. The process suffers from low CO<sub>2</sub> conversion, energy efficiency and product  
2 selectivity/yield. However, CO and syngas synthesis achieved 40-70% CO<sub>2</sub> conversion and 80-  
3 90% product selectivity with a low energy efficiency of 0.14-3.7 mmol/kJ using 12%wt Ni/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>  
4 [193] and NiFe<sub>2</sub>O<sub>4</sub>/SiO<sub>2</sub> [190] catalysts. 40.2% acetic acid, 11% methanol and 7.5% ethanol  
5 selectivities were reported during plasma reforming using Cu/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> [56]. Zhang et al [192]  
6 obtained 32.1% ethane selectivity with 7.5% CO<sub>2</sub> conversion via plasma reforming with zeolite  
7 HY. Another study achieved 53.7% methanol selectivity, 21.2% CO<sub>2</sub> conversion and 0.1 mmol/kJ  
8 energy efficiency using Cu/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> for plasma hydrogenation at ambient conditions [35]. On the  
9 other hand, GA and MW discharges (sometimes referred to as warm plasma) have shown 40-50%  
10 energy efficiency. However, high efficiencies were observed for low CO<sub>2</sub> conversion (below 20%)  
11 and in some cases where CO<sub>2</sub> conversion reached 80-83%, the energy efficiency dropped to 5% or  
12 less [43]. This is mostly due to high power discharges which negatively affect energy efficiency  
13 but enhance CO<sub>2</sub> conversion by improving the electric field and electron density [194].

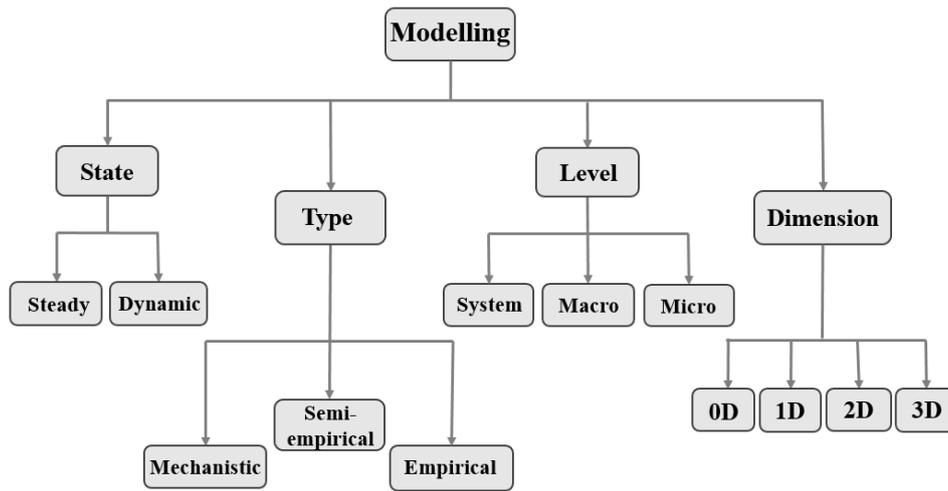
## 14 5. Modelling and simulation-based studies

15 The aforementioned experimental studies provide a good understanding of reaction mechanisms,  
16 catalyst activity and general process performance. However, to achieve commercial  
17 implementation, further insights into the effects of operating conditions, component properties and  
18 how different components (reactors, heat exchangers, pumps etc.) interact and influence the process  
19 performance are required. In this respect, modelling and simulation are irreplaceable methods for  
20 process design and optimisation enabling scale-up from laboratory to commercialisation.

### 21 5.1. Categories of process modelling

22 Modelling of any process depends on the aim of the specific study. Therefore, there are several  
23 ways to carry out a modelling and simulation study (Figure 13). The basic distinction is between  
24 mechanistic and empirical models. Mechanistic models are based on physics laws and first  
25 principles. Hence, they require prior knowledge of the process. Mechanistic models are used to

1 explain the physical meaning of reactions and the reason why a process behaves the way it does.  
 2 Empirical or data-driven modelling does not require full knowledge of physics behind the process.  
 3 However, considerable experimental data are needed for model calibration and it can only describe  
 4 the correlation between data. Combination of both types is known as semi-empirical modelling  
 5 [195,196].



6  
 7 **Figure 13:** Categories of process models [195].

8 Other ways to differentiate models is by state, level and dimension. Steady-state models are usually  
 9 developed in early stages of modelling for initial process analysis and performance prediction  
 10 whereas, dynamic modelling is used to understand the actual operations such as control strategies  
 11 and safety considerations in transient operations. At the system level, the model is a simple  
 12 thermodynamic system whereas, macro and microscale modelling is used for process optimisation  
 13 at component and microstructure levels, respectively. Dimensional modelling varies from 0D to  
 14 3D wherein each number represents the number of space variables considered. In general, the  
 15 model complexity increases with the number of dimensions [195]. Based on this classification  
 16 criteria, some recent modelling and simulation studies carried out for CO<sub>2</sub> transformation  
 17 technologies are elaborated in Table 4. Unless specified in the description, the models are system-  
 18 level and 0D.

## 1 **5.2. Current status of modelling CO<sub>2</sub> transformation technologies**

2 Except for algae production, most studies have reported mechanistic and steady-state models.  
3 Though several modelling/simulation studies on microbial fuel and electrolysis cells are available  
4 in the literature [197], the first “model-for-learning” on non-photosynthetic CO<sub>2</sub> fixation in abiotic  
5 anode cell was recently proposed by Samarakoon et al. [198] in 2019. Regarding transformation  
6 technologies that lead to several products such as carboxylation, hydrogenation and  
7 electrochemical reduction, it was found that studies mostly focused on urea and DMC, methanol  
8 and CO/syngas, respectively. For liquid fuel synthesis from CO<sub>2</sub>, steady-state models of integrated  
9 SOEC-FTS and RWGS-FTS systems have been reported based on Anderson-Schulz-Flory (ASF)  
10 equation and Langmuir–Hinshelwood–Hougen–Watson (LHHW) adsorption theory for FTS  
11 product distribution and reaction kinetics, respectively [55,199–205].

12 The popularity of steady-state models could translate the early development stage of most CO<sub>2</sub>  
13 transformation technologies which are still not commercial. This would suggest algae production  
14 [206–211], CO<sub>2</sub> hydrogenation to methanol [212] and SOEC [213] are promising CO<sub>2</sub>  
15 transformation technologies since they are being optimized in dynamic mode and take into  
16 consideration operational disturbances. Nevertheless, very few of these models were validated in  
17 dynamic mode [207,211,212]. On the other hand, very limited studies were found for technologies  
18 such as mineralisation and carboxylation to urea which are quite mature processes showing that  
19 dynamic modelling does not necessarily illustrate the technology maturity.

## 20 **5.3. Process analysis and process optimisation**

21 During process analysis, one parameter is varied and its effects on process performance are  
22 assessed while the other parameters remain unchanged. On the other hand, process optimisation  
23 consists of analysing the effect of a set of operating parameters on the process performance [214].  
24 It was observed from Table 4 that very limited studies carried out process optimisation  
25 [208,212,213,215]. Most studies performed process analysis at system or component level by  
26 varying operating parameters, for example, temperature, pressure, flowrate and feed composition

1 to evaluate their effects on the conversion and production efficiency. Furthermore, In-depth process  
2 analysis of microstructural properties was only reported for reforming [216,217] and SOEC  
3 [218,219] technologies probably due to the complexity of micro-modelling. Although considerable  
4 progress has been reported with individual parameter analysis, the net contribution to improving  
5 the underlying technology is still difficult to assess. Further studies on process optimisation are  
6 required to meaningfully evaluate the interaction among different operating parameters which  
7 cannot be obtained with the “one parameter at a time” approach.

#### 8 **5.4. Process intensification**

9 Process intensification aims at reducing the equipment size of processing plants without  
10 compromising their production rates. This can be realised through improved and targeted mixing,  
11 heat and mass transfer rates resulting in enhanced product selectivity and better energy efficiency  
12 [220]. Some greater levels of intensification can be achieved by combining two or more conversion  
13 processes and/or combining the synergies of equipment and processes [221]. Therefore, if  
14 successfully implemented, intensified systems present the potential to lower capital costs as well  
15 as improve process safety, response times and in some cases be more environment-friendly  
16 [220,221]. Very limited studies on process intensification of CO<sub>2</sub> transformation technologies were  
17 found in the open literature. Some examples include CO<sub>2</sub> hydrogenation to methanol [53,222] and  
18 DMC synthesis via carboxylation [223,224] (modelling and simulation details are provided in  
19 Table 4).

20 To illustrate, the traditional CO<sub>2</sub> hydrogenation is a multi-stage process wherein H<sub>2</sub>O is actively  
21 removed in-between stages to improve CO<sub>2</sub> conversion and product selectivity. A recent modelling  
22 study proposed a process intensification for CO<sub>2</sub> hydrogenation to methanol with in-situ water  
23 sorption [222]. By adding zeolite-A4 in the methanol reactor, the process operates without a distillation  
24 column for methanol recovery. Furthermore, the proposed model overcomes the severe thermodynamic  
25 limitations observed with the traditional process (Section 4.2.2) and achieved 99.98% CO<sub>2</sub> conversion  
26 and 97.76% methanol yield at 230°C and 50 bar. Al-Kalbani et al. [53] carried out heat integration on

1 CO<sub>2</sub> hydrogenation to methanol. Compared to the conventional method, the cooling and heating  
 2 utilities of the integrated process are reduced by 59.7% and 67.3%, respectively. These studies  
 3 demonstrated the potential of process intensification for CO<sub>2</sub> transformation technologies. However,  
 4 further R&D on stable catalysts, reactor design and configuration that can withstand continuous  
 5 operation and reaction is highly required.

6 **Table 4:** Examples of modelling and simulation studies for CO<sub>2</sub> transformation technologies.

<b>Algae production</b>				
<b>Production system</b>	<b>Model description</b>	<b>Software</b>	<b>Simulations performed</b>	<b>Ref.</b>
Open pond	Mechanistic and steady state model based on mass balance and kinetic of an open algal pond.	gPROMS®	Effect of pond depth, CO <sub>2</sub> mole fraction, gas flowrate and O <sub>2</sub> demand on algae growth.	[225]
Open pond	Dynamic and mechanistic model based on mass balance for gas-liquid transfer and light intensity to study algae production.	MATLAB®	1) Model validation for dissolved O <sub>2</sub> , algae biomass, pH, inorganic N <sub>2</sub> and carbon. 2) Effects of O <sub>2</sub> demand, dilution and pond depth on algae growth.	[206]
PBR	Semi-empirical and dynamic modelling based on data and mass balance for prediction of algae productivity rate under varying light intensity and nutrients.	MATLAB®	Model validation in terms of algae, lipid, glucose and glycine concentration as a function of time.	[207]
PBR	Dynamic and mechanistic modelling based on mass transfer between gaseous and liquid phases for algae growth in photo-autotrophic and photo-mixotrophic environment.	Python and IPOPT	1) Dynamic model validation for algae concentration and pH. 2) Effects of nutrients, reactor thickness and light intensity on algae growth (Python)	[208]

			3) Process optimization (IPOPT): Variation of algae productivity with recycle ratio and dilution rate.	
PBR	A dynamic and semi-empirical model based on kinetics was developed to assess microalgae growth and describe the effects of photorespiration and photosynthesis.	COMSOL Multiphysics™	1) Model validation in term of algae growth and ammonium concentration. 2) Influence of pH, irradiance and temperature on algae growth.	[209]
PBR	Dynamic and mechanistic model based on mass balance equations and kinetics was used to evaluate the interaction between bacteria and algae during culture.	MATLAB®	1) Dynamic model validation for O <sub>2</sub> , algae, bacteria, NO <sub>3</sub> <sup>-</sup> and NH <sub>4</sub> <sup>+</sup> concentration. 2) Sensitivity analysis of O <sub>2</sub> mass transfer, NO <sub>3</sub> <sup>-</sup> and NH <sub>4</sub> <sup>+</sup> concentration. 3) Calibration using Monte Carlo simulation.	[211]
PBR	Dynamic and mechanistic modelling of algae growth based on kinetics of nutrient consumption and light intensity.	Mathematica®	1) Model validation for NO <sub>3</sub> <sup>-</sup> and algae concentration, N <sub>2</sub> quota, fluorescence and FAME yield. 2) Sensitivity analysis of the above-mentioned parameters on the system efficiency	[210]
<b>Non-Photosynthetic</b>				
<b>Cell type</b>	<b>Model description</b>	<b>Software</b>	<b>Simulations performed</b>	<b>Ref.</b>
Abiotic anode cell	Dynamic and mechanistic model based on material balance equations	AQUASIM 2.1	Effect of applied voltage on CH <sub>4</sub> production, methanogen growth rate and pH of the digester.	[198]

	and growth rate kinetics to assess CO <sub>2</sub> fixation by anaerobic methanogens.			
<b>Reforming</b>				
<b>Reactor</b>	<b>Model description</b>	<b>Software</b>	<b>Simulations performed</b>	<b>Ref.</b>
Annular reactor	Mechanistic, steady state and micro model for analysis of DRM process based on reaction kinetics on Rh-based catalyst.	Not specified	1) Model validation for the outlet composition and conversion rate at different temperatures. 2) Sensitivity analysis of temperature and conversion rate.	[216]
Fire-steam reformer	1D, steady-state and mechanistic model for DRM process based on energy conservation and reaction kinetics.	Not specified	Effects of feed gas composition and temperature on the process conversion rate.	[226]
Contact-bubble reactor	Mechanistic, steady state and micro modelling of DRM process based on reaction kinetics Ni-based catalysts.	GRI-Mech 3.0	1) Model validation for CH <sub>4</sub> and CO <sub>2</sub> conversion at various temperature. 2) Effect of inlet flowrate, feed gas ratio, active surface area and residence time on CH <sub>4</sub> and CO <sub>2</sub> conversion.	[217]
Fixed-bed reactor	A mechanistic and steady state model based on mass/energy balance and kinetics was built to investigate the	UniSim and MATLAB®	1) Model validation for CO/H <sub>2</sub> ratio, CH <sub>4</sub> and CO <sub>2</sub> conversion (UniSim). 2) Effect of temperature, pressure and gas space velocity on CO/H <sub>2</sub> ratio, CH <sub>4</sub> and CO <sub>2</sub> conversion (UniSim).	[215]

	non-equilibrium behaviour of DRM using Ni- based catalyst.		3) Process optimization (MATLAB®): Evolution of CO rate and CO <sub>2</sub> conversion with temperature, inert fraction and gas space velocity.	
Not specified	Semi-empirical and steady state modelling based on experimental data and mass conservation principle of DRM process using solar energy.	Aspen Plus®	1) Model validation for CO and H <sub>2</sub> outlets and temperature. 2) Process analysis for different irradiations.	[227]

### Hydrogenation

Reactor	Model description	Software	Simulations performed	Ref.
Plug flow reactor	A mechanistic and steady state model was developed based on kinetics and mass/energy balance principle to study methanol synthesis using H <sub>2</sub> from chlor-alkali.	Aspen Plus®	1) Model validation for CO <sub>2</sub> conversion. 2) Sensitivity analysis of temperature, pressure, CO/H <sub>2</sub> ratio and GSV on CO and methanol yield.	[228]
Multi-tubular reactor	Mechanistic and steady state model of CO <sub>2</sub> and CO hydrogenation to methanol based on mass/energy balance and reaction kinetics using Cu catalyst.	Aspen Plus®	Influence of feed gas ratio on total CO <sub>2</sub> conversion and energy efficiency.	[229]
Lurgi-type reactor	1) 1D and mechanistic modelling of methanol synthesis based on kinetics, heat transfer energy balance and continuity principles.	Not specified	1) Dynamic model validation in term of methanol production. 2) Simultaneous and dynamic optimization of recycled CO <sub>2</sub> and shell temperature.	[212]

	2) Dynamic and empirical modelling of Lurgi-type reactor using genetic algorithm (GA).			
Lurgi-type reactor	Steady state and mechanistic model based on kinetic equations to investigate methanol synthesis using H <sub>2</sub> from water electrolysis.	Aspen HYSYS®	1) Heat integration between cold and hot streams for process intensification. 2) Comparison of energy requirement and climate impact.	[53]
Not specified	A mechanistic and steady state model based on minimization of Gibbs free energy was built to evaluate DME synthesis.	Aspen Plus®	Influence of H <sub>2</sub> /CO <sub>2</sub> ratio, temperature and pressure on CO <sub>2</sub> conversion and DME selectivity.	[230]
Fixed-bed reactor	Mechanistic and steady state modelling of methanol synthesis based on mass balance and Gibbs free energy minimization	Aspen Plus®	1) Addition of water sorbent (zeolite-A4) in methanol reactor for process intensification. 2) Effect of temperature, pressure, feed ratio and sorbent volume on methanol yield. 3) Comparison between traditional and sorption-enhanced processes.	[222]
<b>Electrochemical reduction</b>				
<b>Electrode material</b>	<b>Model description</b>	<b>Software</b>	<b>Simulations performed</b>	<b>Ref.</b>
GDE	Mechanistic and steady state model based on gas transport, material	COMSOL Multiphysics™	1) Model validation for voltage versus current density at different feed flowrates.	[231]

	balance and charge transfer kinetics to study CO synthesis.		2) Effect of CO <sub>2</sub> concentration, flowrate, electrode porosity and channel length on cell performance.	
Cu and Ag	1) 1D, mechanistic and steady state modelling of CO synthesis based on mass conservation, charge transfer kinetics to study species transport and surface reactions. 2) Macro model based on Ohm's law and Butler-Volmer equation to assess polarization losses.	COMSOL Multiphysics™	1) Effect of current density on species concentration and polarisation losses. 2) Effect of pH, conductivity, buffer and boundary layer thickness on polarisation losses.	[232]
GDE	1D, macro, mechanistic and steady state model based on mass balance, charge transfer kinetics, Ohm's law and charge conservation was built for CO production	COMSOL Multiphysics™	1) Model validation for FE of CO Vs voltage and voltage Vs current density. 2) Analysis of electrode properties on local CO <sub>2</sub> concentrations and CO partial current density.	[233]
GDE	1D, macro, mechanistic and steady state model based on mass/charge conservation, charge transfer kinetics, Butler-Volmer equation, energy and gas transport to assess flooding and dehydration issue in membrane electrode assembly cell.	COMSOL Multiphysics™	1) Effect of current density on CO <sub>2</sub> conversion and utilisation efficiency. 2) Analysis of temperature and membrane thickness for water issue management.	[234]

**Electrochemical Reduction – SOEC**

Cell Assembly	Model description	Software	Simulations performed	Ref.
Ni-YSZ/YSZ/LSM	A macro, steady state and mechanistic SOEC model was built based on mass/energy balances for chemical equilibrium and Faraday's law for O <sup>2-</sup> flow.	UniSim	1) Model validation for outlet composition and temperature. 2) Evaluation of syngas production efficiency as a function of current density for various specific resistances.	[235]
Ni-YSZ/YSZ/LSM-YSZ	1) 2D, steady state and mechanistic model based on energy, mass and momentum conservation to study mass transfer and fluid flow between electrodes. 2) macro modelling based on Nernst potential, Ohm's law and Butler-Volmer equation to assess SOEC required voltage.	FLUENT	1) CFD, electrochemical and chemical model validation. 2) Influence of temperature, inlet gas composition and operating voltage on the SOEC performance.	[236]
Ni-YSZ/YSZ/LSM	Mechanistic and steady state model based on mass, momentum, charge and energy conservation was developed to analyse the surface electrolysis reactions.	COMSOL Multiphysics™	1) Model validation for voltage versus current density. 2) Effects of temperature, voltage and feed gas composition on gas distribution and adsorbed species within the electrodes.	[237]
	2D, mechanistic, steady state and micro modelling of SOEC based on		1) Model validation for voltage versus of current density at different CO <sub>2</sub> /H <sub>2</sub> O ratios and temperatures.	

Ni-YSZ/ScSZ/LSM-ScSZ	energy/mass balance, fluid flow, charge and momentum conservation principles.	COMSOL Multiphysics™	2) Sensitivity analysis of temperature, CO <sub>2</sub> /H <sub>2</sub> O ratio and gas flow velocity on polarisation distribution. 3) Effect of operating voltage on conversion ratio.	[218]
Ni-YSZ/YSZ/LSM	A quasi 2D, mechanistic, steady state and micro model was built based on Butler-Volmer equation, charge conservation and mass transfer	DETCHEM	1) Model validation for voltage versus current density using different feed compositions 2) Influence of temperature, inlet gas velocity and micro-structural properties on species distribution and electrochemical performance. 3) Flow analysis of surface reaction mechanism.	[219]
Ni-GDC/YSZ/LSM-YSZ	Dynamic, mechanistic and 3D model based on energy conservation, charge transport and kinetics to investigate SOEC scale up from lab to commercial scale.	DETCHEM	1) Model validation of voltage and outlet composition as a function of current density for different temperature and inlet feed composition. 2) Process optimisation: Variation of syngas efficiency with unit length and inlet gas velocity for different temperatures.	[213]
<b>Photochemical reduction</b>				
<b>Photo-Reactor</b>	<b>Model description</b>	<b>Software</b>	<b>Simulations performed</b>	<b>Ref.</b>

Optical fiber monolith reactor	2D, steady-state and mechanistic model based on mass transfer, reaction kinetics and species transport to study CH <sub>3</sub> OH synthesis using TiO <sub>2</sub> with 1% NiO/InTaO <sub>4</sub> .	COMSOL Multiphysics™	<ol style="list-style-type: none"> <li>1) Model validation for outlet CH<sub>3</sub>OH concentration.</li> <li>2) Effect of H<sub>2</sub>O content, gas inlet velocity and UV intensity on CH<sub>3</sub>OH concentration.</li> <li>3) Impact of optical fiber installation on light intensity distribution.</li> </ol>	[238]
Double-skin sheet reactor	3D, steady-state and mechanistic model based on mass transfer, reaction kinetics and species transport to study CH <sub>3</sub> OH synthesis using TiO <sub>2</sub> with 1% NiO/InTaO <sub>4</sub> .	COMSOL Multiphysics™	<ol style="list-style-type: none"> <li>1) Model validation for outlet CH<sub>3</sub>OH concentration.</li> <li>2) Analysis of CH<sub>3</sub>OH concentration on X-Y and X-Z sections.</li> <li>3) Effect of H<sub>2</sub>O content, gas inlet velocity, reactor surface area and width/height ratio on CH<sub>3</sub>OH concentration.</li> </ol>	[239]
Bubbling twin reactor	3D, dynamic and mechanistic model based on mass transfer, reaction kinetics and species transport to assess CH <sub>3</sub> OH synthesis using Pt/CuAlGaO <sub>4</sub> and Pt/SrTiO <sub>2</sub> : Rh.	FLUENT and COMSOL Multiphysics™	<ol style="list-style-type: none"> <li>1) Effect of inlet gas velocity, inlet gas number, diameter and pitch on CH<sub>3</sub>OH concentration.</li> <li>2) Performance comparison between tradition and bubbling twin reactors.</li> </ol>	[240]
Optical fiber monolith	3D, steady-state and mechanistic model based on mass transfer, reaction kinetics and species transport	COMSOL Multiphysics™	<ol style="list-style-type: none"> <li>1) Model validation for outlet CH<sub>3</sub>OH concentration.</li> </ol>	[241]

reactor with glass balls	to study CH <sub>3</sub> OH synthesis using TiO <sub>2</sub> with 1% NiO/InTaO <sub>4</sub> .		2) Effect of ball location and numbers, layer and circle number on CH <sub>3</sub> OH concentration.	
<b>Carboxylation</b>				
Reactor type	Model description	Software	Simulations performed	Ref.
Plug-flow reactor	Steady-state and mechanistic model based on mass balance and thermal transfer principles was developed to study urea synthesis process.	Aspen Plus®	Integration of heat and steam recovery systems to improve process thermal balance.	[52]
Fixed-bed reactor	Dynamic and mechanistic model based on material and heat balance, mole fraction normalisation and kinetics was built to investigate process intensification of DMC synthesis.	gPROMS®	1) In-situ hydration of ethylene oxide and integrated gas-phase side distillation reactor for process intensification. 2) Effect of feed composition, pressure, column number of stages and temperature on process conversion and DMC selectivity.	[223]
Continuous Stirred-tank	Steady-state and mechanistic modelling of DMC synthesis based on mass/energy balance and reaction kinetics.	Aspen Plus®	1) Heat integration and addition of butylene oxide as dehydrating agent for process intensification. 2) Economic and net CO <sub>2</sub> emission analysis.	[224]
<b>Mineralisation</b>				
Reactor type	Model description	Software	Simulations performed	Ref.

Autoclave reactor	Mechanistic and steady state model based on reaction kinetics of indirect carbonation of red gypsum to calcium carbonate.	PHREEQC	<p>1) Model validation in term of reaction rate and constant for different temperature, liquid-solid ratio, stirring rate and Ca dissolution.</p> <p>2) Effect of temperature, stirring rate, Ca dissolution and liquid-solid ratio on the carbonation process.</p> <p>3) Kinetic analysis of Ca dissolution.</p>	[242]
<b>Plasma catalysis</b>				
<b>Plasma reactor</b>	<b>Model description</b>	<b>Software</b>	<b>Simulations performed</b>	<b>Ref.</b>
DBD reactor	Empirical and steady state model based on hybrid artificial neural network and genetic algorithms was built to investigate plasma reforming on CaO-MnO/CeO <sub>2</sub> catalyst.	MATLAB®	<p>1) Model validation for H<sub>2</sub>/CO ratio, CH<sub>4</sub> conversion, H<sub>2</sub> and C<sub>2+</sub> selectivities.</p> <p>2) Influence of flowrate, temperature, discharge voltage and CH<sub>4</sub>/CO<sub>2</sub> ratio on the reactor performance.</p>	[243]
Packed bed DBD reactor	A 2D, steady state and mechanistic model was developed based on continuity equations of electron energy and density to assess micro-	COMSOL Multiphysics™	1) Analysis of spatial distribution of electron ionization rate, electric field, plasma density and electron temperature for different voltages and pore sizes.	[244, 245]

	discharge formation inside the catalyst pores.		2) Effect of required voltage and pore size on the microdischarge behaviour.	
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## 1 **6. Comparison of CO<sub>2</sub> transformation technologies**

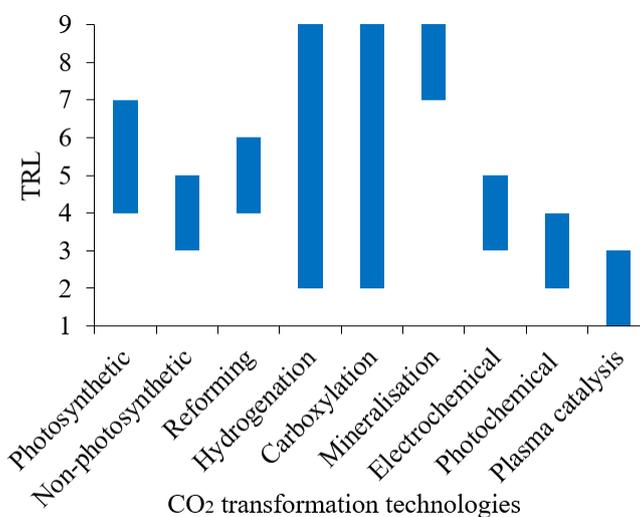
2 Each CO<sub>2</sub> transformation technology has distinctive characteristics that make it more appropriate  
3 for a specific utilisation and help to identify the most suitable technology in any given  
4 circumstance. This section compares CO<sub>2</sub> transformation technologies in terms of maturity, cost,  
5 market and net CO<sub>2</sub> usage.

### 6 **6.1. Technological maturity**

7 The technology readiness level (TRL) tool is used to assess each CO<sub>2</sub> technological maturity as  
8 illustrated in Figure 14. TRL is a common tool used in EU and USA for measuring the maturity of  
9 any technology. Further explanation on TRL can be found here [\[246\]](#). Plasma catalysis and  
10 photochemical reduction methods have been attributed TRL of 1-3 and 2-4, respectively as they  
11 are still being validated in a laboratory environment [\[22,247\]](#). TRL of 3-5 has been allocated to  
12 electrochemical reduction and non-photosynthetic processes since they are tested at pilot scale in  
13 projects such as CELBICON and BioPower2Gas, respectively [\[248,249\]](#). Details on these projects  
14 are given in Table 7. Reforming, photosynthetic and mineralisation technologies have higher TRLs  
15 of 4-6, 4-7 and 7-9, respectively [\[10,247,250\]](#).

16 Hydrogenation and carboxylation technologies have a broad-ranged TRL of 2-9 because they lead  
17 to a wide range of products with different TRLs (Table 5). For example, methanol and methane  
18 synthesis have achieved high TRL of 7-9 whilst CO<sub>2</sub> hydrogenation to formic acid and DME is still  
19 at an early stage with TRL of 3-5 and 2-3, respectively [\[250,251\]](#). The FTS process operates at  
20 commercial scale in some plants, for instance, Pearl GTL plant in Qatar with a production of  
21 140,000 GTL/day [\[252\]](#). However, it has a TRL of 5-8 since sustainable FTS is usually integrated  
22 with lower maturity processes such as reforming and SOEC for syngas production [\[250\]](#). Urea

1 synthesis has achieved high TRL of 7-9 whilst production of polymers, cyclic carbonates and  
 2 carboxylic acids through carboxylation have TRLs of 6-7, 5-7 and 2-4, respectively [250,253].



3  
 4 **Figure 14:** TRL of CO<sub>2</sub> transformation technologies.

5 **Table 5:** TRL of hydrogenation and carboxylation based on their products [10,250,253].

Technology	Product	TRL
Hydrogenation	Methanol	7-9
	Methane	7-9
	Liquid fuels via FTS	5-8
	Formic acid	3-5
	DME	2-3
	Formaldehyde	2-3
Carboxylation	Urea	7-9
	Polymers	6-7
	Cyclic carbonates	5-7
	Carboxylic acids	2-4

6 **6.2. Cost considerations**

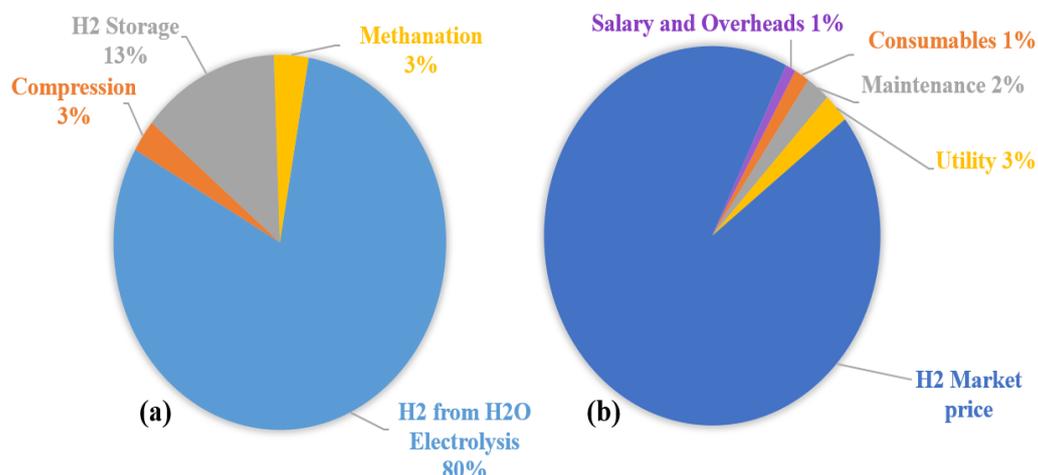
7 One of the most important factors for commercial deployment is the cost. Assessment of total cost  
 8 for a given CO<sub>2</sub> conversion technology should include both capital and operational expenditures

1 (CAPEX and OPEX). CAPEX corresponds to costs for designing, purchasing equipment and  
 2 building the plant. OPEX includes fixed costs (for plant operation and maintenance) and variable  
 3 costs (for raw materials, catalysts, utilities and disposal of by-products) [254]. Table 6 presents the  
 4 normalized values of CAPEX and OPEX, together with the utility consumption for some CO<sub>2</sub>  
 5 transformation technologies. The utility can be electricity, heating and/or cooling consumption. It  
 6 should be noted that plasma catalysis, photochemical reduction and non-photosynthetic  
 7 technologies are not included as no economic studies were found in the open literature.

8 **Table 6:** Key performance indicators of some CO<sub>2</sub> transformation technologies

CO <sub>2</sub> conversion technology	CAPEX	OPEX	Utility consumption	Net CO <sub>2</sub> used	Plant life (Year)	Source
<b>Reforming</b>	23.9 £/t <sub>methanol</sub>	100.6 £/t <sub>methanol</sub>	0.7 MWh/t <sub>methanol</sub>	1.86 t <sub>CO2</sub> /t <sub>methanol</sub>	20	[10,255]
<b>Hydrogenation</b>	853 – 924 £/t <sub>methane</sub>	6,854 – 10,281 £/t <sub>methane</sub>	15.2 MWh/t <sub>methane</sub>	1.0 t <sub>CO2</sub> /t <sub>methane</sub>	20	[10,256]
	93.2 – 206.3 £/t <sub>liquid fuel</sub>	1304.3 – 2173.9 £/t <sub>liquid fuel</sub>	11.93 MWh/t <sub>liquid fuel</sub>	2.6 t <sub>CO2</sub> /t liquid fuel	20	[199,257]
	22.0 £/t <sub>methanol</sub>	586.1 £/t <sub>methanol</sub>	1.5 MWh/t <sub>methanol</sub>	1.23 t <sub>CO2</sub> /t <sub>methanol</sub>	20	[254]
	59.1 £/t <sub>FA</sub>	1,335 £/t <sub>FA</sub>	9.8 MWh/t <sub>FA</sub>	0.67 t <sub>CO2</sub> /t <sub>FA</sub>	20	[251]
<b>Carboxylation</b>	9.5 £/t <sub>urea</sub>	144.2 £/t <sub>urea</sub>	0.02 – 0.16 MWh/t <sub>urea</sub>	0.74 t <sub>CO2</sub> /t <sub>urea</sub>	20	[10]
	3.1 £/t <sub>polyol</sub>	1,026.4 £/t <sub>polyol</sub>	0.01 MWh/t <sub>polyol</sub>	0.23 t <sub>CO2</sub> /t <sub>polyol</sub>	25	[258]
<b>Mineralisation</b>	7.5 – 11 £/t <sub>CO2 seq.</sub>	56.3 – 75.7 £/t <sub>CO2 seq.</sub>	0.001 – 0.17 MWh/t <sub>CO2 seq.</sub>	0.36 – 0.42 t <sub>CO2</sub> /t <sub>CaCO3</sub>	10	[259]

<b>Electrochemical reduction</b>	680.7 £/t <sub>FA</sub>	858.4 £/t <sub>FA</sub>	1.1 MWh/t <sub>FA</sub>	0.5 t <sub>CO2</sub> /t <sub>FA</sub>	25	[10,260]
<b>Photosynthetic</b>	417.5 £/t <sub>algal oil</sub>	1,457 – 2,217 £/t <sub>algal oil</sub>	1.6 MWh/t <sub>algal oil</sub>	2.24 t <sub>CO2</sub> /t <sub>algal oil</sub>	20	[261]



1

2 **Figure 15:** Cost breakdown for CO<sub>2</sub> hydrogenation to (a) methane and (b) methanol [254,256].

3 In terms of operating cost per ton of product, mineralisation technology depicts the lowest range  
4 with the least utility consumption. Likewise, the hydrogenation process presents the highest ranges  
5 for both OPEX and utility consumption. Götz et al. [256] evaluated the costs of CO<sub>2</sub> hydrogenation  
6 to methane at 35.8 €/M for a production rate of 591 m<sup>3</sup>/hr. Cost breakdown (Figure 15a) shows that  
7 80% of costs account for H<sub>2</sub> production via water electrolysis. Hence, high OPEX of hydrogenation  
8 is directly related to its high electricity demand for H<sub>2</sub> synthesis. The utility demands for methanol  
9 synthesis via hydrogenation seems lower but still have a high OPEX. Cost breakdown analysis  
10 revealed that H<sub>2</sub> was purchased and represented 93% of OPEX (Figure 15b) [254]. On the other  
11 hand, raw materials for the reforming technology are fairly inexpensive with CO<sub>2</sub> sometimes  
12 negatively priced [10], this could explain its low OPEX. In addition to utility, high OPEX for algae  
13 production is also due to cost for nutrients, water and CO<sub>2</sub> supply [261]. Mature technologies such  
14 as mineralisation and carboxylation for urea synthesis which have undergone considerable  
15 development over the decades are in the low range for both operating costs and utility consumption.

1 Similarly, high OPEX of electrochemical reduction and hydrogenation to formic acid could be due  
2 to their low TRLs.

### 3 **6.3. Market analysis**

4 Another main difference among CO<sub>2</sub> transformation technologies is the value of products since  
5 they have different market values. CO<sub>2</sub>-derived fuels (such as diesel, gasoline, methanol and  
6 methane) are already in use in today's market and mainly produced from fossil fuels. The market  
7 price for CO<sub>2</sub>-derived fuels via hydrogenation are currently estimated 2-7 times higher than fossil  
8 fuel-routes mostly owing to intensive energy/electricity and cost for H<sub>2</sub> production [51]. Similarly,  
9 methane, ethylene and methanol synthesis via electrochemical reduction were respectively  
10 estimated 20, 7 and 3 times higher than the current market price [262]. In addition to electricity,  
11 high costs of electrochemical reduction could also be due to its low TRL (3-5). Therefore, CO<sub>2</sub>-  
12 derived fuels may be competitive if low-cost feedstock and carbon-neutral energies are available.

13 On the other hand, the market for CO<sub>2</sub>-derived polymers is competitive as they require relatively  
14 low energy. It was demonstrated that some polymers could be synthesized at 15-30% lower cost  
15 than the conventional methods if CO<sub>2</sub> used were cheaper than the conventional method-based  
16 feedstock [263]. Similar results were observed for CO<sub>2</sub>-derived concrete and aggregates [51]. By  
17 volume, CO<sub>2</sub>-derived fuels (hence hydrogenation, electrochemical reduction and biological  
18 technologies) have the greatest potential for CO<sub>2</sub> utilisation as their market demand is estimated  
19 above 5 GT/yr whereas, market demand for CO<sub>2</sub>-derived concrete, aggregates, chemicals and  
20 polymers is between 1-5 GT/yr [51]. However, regarding the cost and TRL, the greatest potential  
21 would be CO<sub>2</sub>-derived concrete and aggregates (mineralisation) followed by CO<sub>2</sub>-derived polymers  
22 and chemicals (via carboxylation and hydrogenation). Market analysis for plasma catalysis and  
23 photochemical reduction is yet to be provided due to their very low TRL range (1-4).

### 24 **6.4. Amount of CO<sub>2</sub> used**

25 Table 6 also provides the net CO<sub>2</sub> used for some CO<sub>2</sub> transformation technologies. Algae  
26 production and hydrogenation to liquid fuels via FTS have the highest net CO<sub>2</sub> used of 2.24 t<sub>CO2</sub>/t<sub>algal</sub>

1 oil and 2.6 t<sub>CO<sub>2</sub></sub>/t<sub>liquid fuel</sub>, respectively. The reforming, hydrogenation to methane and methanol  
2 consume a range of 1.0–0.86 t<sub>CO<sub>2</sub></sub>/t<sub>product</sub>. By incorporating high amounts of CO<sub>2</sub> into products, these  
3 transformation technologies offer great potential for effectively contributing to CO<sub>2</sub> reduction  
4 targets. The remaining conversion processes present a net CO<sub>2</sub> utilisation rate between 0.23–0.74  
5 t<sub>CO<sub>2</sub></sub>/t<sub>product</sub> with the lowest value for polyol synthesis via carboxylation. In comparison to the  
6 conventional methods, these conversion processes still have the advantage of consuming more CO<sub>2</sub>  
7 than they release. It is worth specifying that a full lifecycle analysis (including, for example, CO<sub>2</sub>  
8 emitted during transport and combustion of derived-products that were not taken into consideration  
9 in this paper) will be needed to provide a more accurate indication of each technology's  
10 environmental benefits.

## 11 **7. Example of projects for CO<sub>2</sub> utilisation via transformation**

12 In this section, the projects are divided into 4 groups according to their scale: laboratory, pilot,  
13 demonstration and commercial. Figure 16 illustrates the definition and capacity of each scale.  
14 Laboratory projects refer to as academic research programme whereas, pilot, demonstration and  
15 commercial projects are conducted in testing facilities at their corresponding scales [264]. Table 7  
16 gives examples of laboratory, pilot, demonstration and commercial projects carried out worldwide  
17 for CO<sub>2</sub> utilisation via transformation. The Smart CO<sub>2</sub> Transformation (SCO<sub>2</sub>T) database was  
18 launched in 2016 as part of the EU Seventh Framework Programme to gather research & innovation  
19 information on CO<sub>2</sub> biological and chemical transformations [264]. As of 2019, the SCO<sub>2</sub>T  
20 database shows a total of 189 projects for CO<sub>2</sub> utilisation via transformation. A detailed analysis of  
21 the SCO<sub>2</sub>T database reveals that 53% of projects are laboratory projects whilst pilot, demonstration  
22 and commercial projects account for 23%, 10% and 14%, respectively (Figure 17).

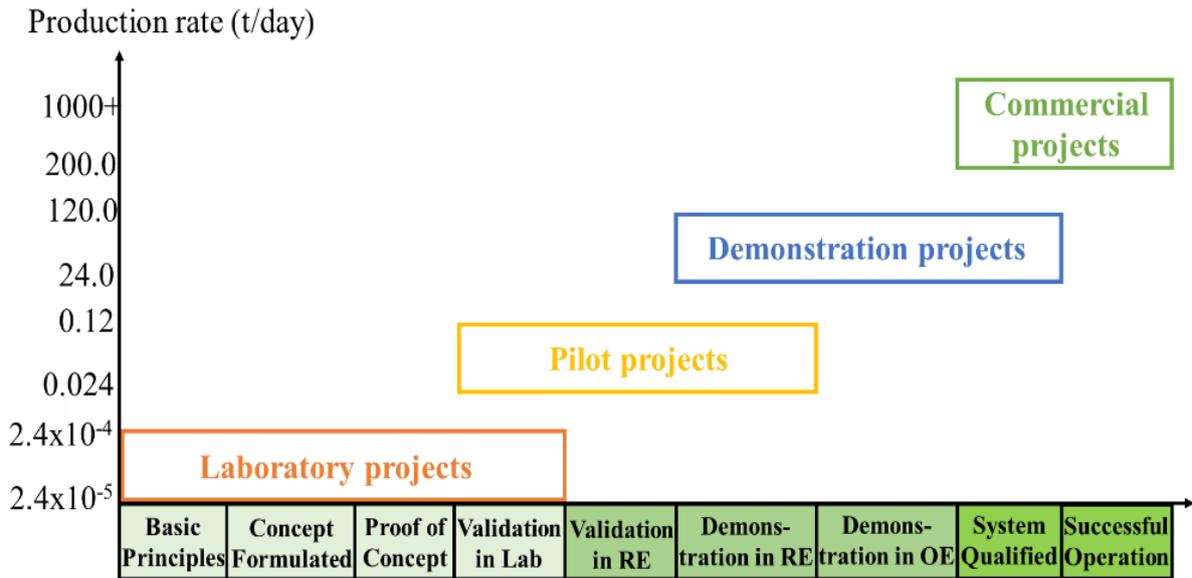


Figure 16: Definition of project types [265].

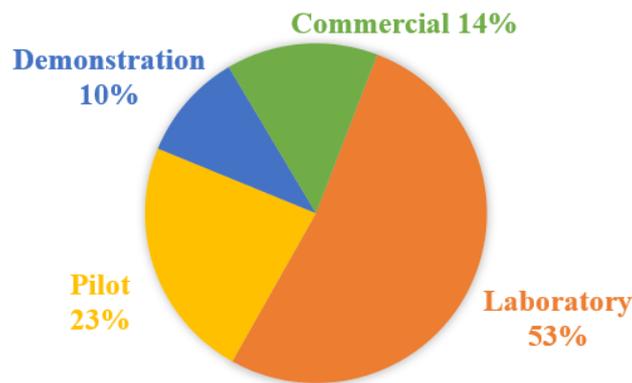


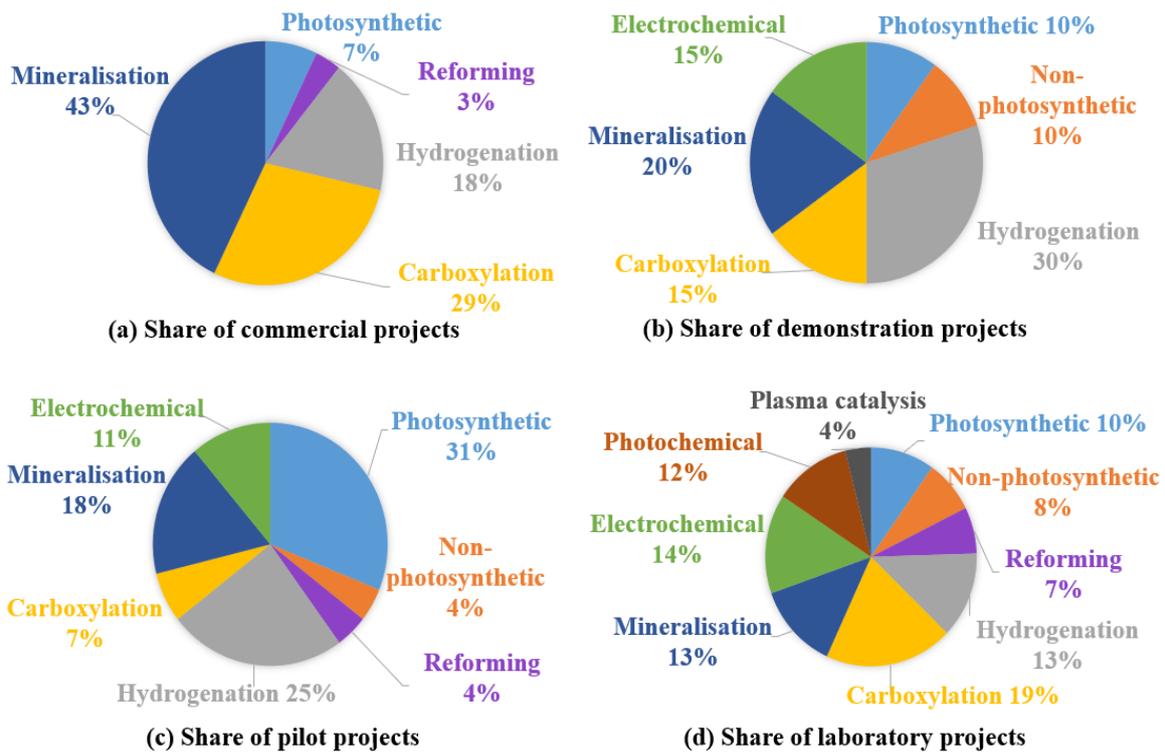
Figure 17: Repartition of projects for CO<sub>2</sub> transformation technologies according to their scales.

In terms of project scales, mineralisation technology accounts for 43% of commercial projects (Figure 18a). Hence, mineralisation seems to be the most favourable option among the technologies. The analysis shows that there is currently no commercial project for plasma catalysis, photochemical, electrochemical and non-photosynthetic technologies which is in good agreement with their low TRLs. Indeed, plasma catalysis and photochemical reduction are only laboratory projects (4 and 12, respectively) as shown in Figure 19. These technologies are still emerging and will likely have a better efficiency due to their lower operating conditions and diversity of accessible chemical and fuels.

**Table 7:** Examples of projects for CO<sub>2</sub> utilisation via transformation

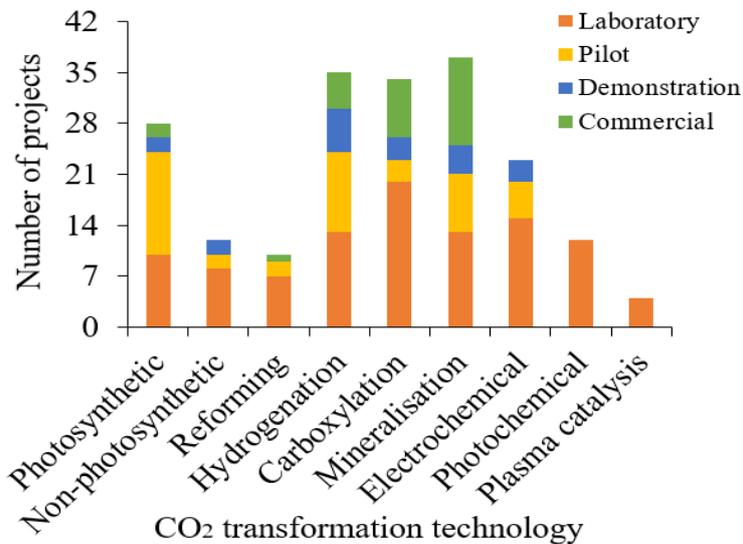
Technology	Project name	Location	Start year	Duration (year)	Products	Scale	Status	Source
Photosynthetic	ALGAENET	Madrid – Spain	2012	4	Microalgae, biogas	Laboratory	Completed	[266]
	PhotoFuel	Wolfsburg – Germany	2015	5	Algae, biofuels	Pilot	Ongoing	[267]
	Algenol IBR	Florida – US	2010	5	Algae, bioethanol	Demonstration	Operating	[268]
Non-photosynthetic	BioPower2Gas	Allendorf – Germany	2013	3	Methane	Pilot	Operating	[249]
Reforming	Shell-Sari-Lu’An joint	Shanxi Province - China	2011	n/s	Syngas	Demonstration	Ongoing	[269]
	Sunexus CO <sub>2</sub> reforming	California – USA	2010	1	Syngas, diesel	Laboratory	Completed	[270]
Hydrogenation	MefCO <sub>2</sub>	Niederaussem – Germany	2014	7	Methanol	Pilot	Ongoing	[271]
	Methanol+	Alberta – Canada	2014	2	Methanol	Pilot	Completed	[264]
	Audi e-diesel	Dresden – Germany	2014	n/s	Diesel	Demonstration	Ongoing	[272]
	Chemical CO <sub>2</sub> immobilisation	Osaka – Japan	2008	n/s	Methanol, olefins	Pilot	n/s	[264]
Carboxylation	CyclicCO <sub>2</sub> R	The Hague – Netherlands	2013	3	Cyclic carbonates	Pilot	Operating	[264]
	E <sup>3</sup> Tec	Michigan – USA	2013	4	DMC	Pilot	Completed	[273]
	Carbon4PUR	Germany	2017	3	Polyols, polyurethane	Demonstration	Ongoing	[274]
	Carbon8 Aggregates	Brandon – UK	2012	n/s	Concrete	Commercial	Operating	[275]

Mineralisation	MiC	Newcastle – Australia	2013	5	Inorganic carbonates	Pilot	Completed	<a href="#">[276]</a>
	SkyMine®	Texas – USA	2010	5	Sodium bicarbonate	Commercial	Completed	<a href="#">[264]</a>
	SOLID Life	Weimar – Germany	2016	3	Cement, concrete	Demonstration	Ongoing	<a href="#">[277]</a>
Electrochemical	CELBICON	Turin – Italy	2016	3.5	Syngas, formic acid	Pilot	Completed	<a href="#">[248]</a>
	LOTER.CO2M	Cologne – Germany	2018	3	Methanol	Pilot	Ongoing	<a href="#">[278]</a>
	Rheticus	Marl – Germany	2018	2	Butanol, hexanol	Laboratory	Ongoing	<a href="#">[279]</a>
Photochemical	PROPHECY	Karlsruhe – Germany	2016	3	C <sub>1</sub> chemicals	Laboratory	Completed	<a href="#">[280]</a>
Plasma catalysis	PIONEER	Sorbonne – France	2019	3	n/s	Laboratory	Ongoing	<a href="#">[281]</a>



1  
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**Figure 18:** Repartition of project scales for different technologies.



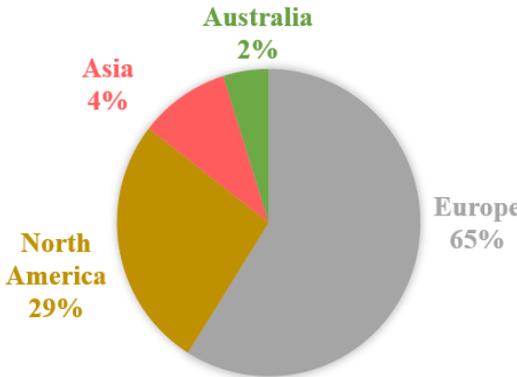
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**Figure 19:** Number of projects for different CO<sub>2</sub> transformation technologies.

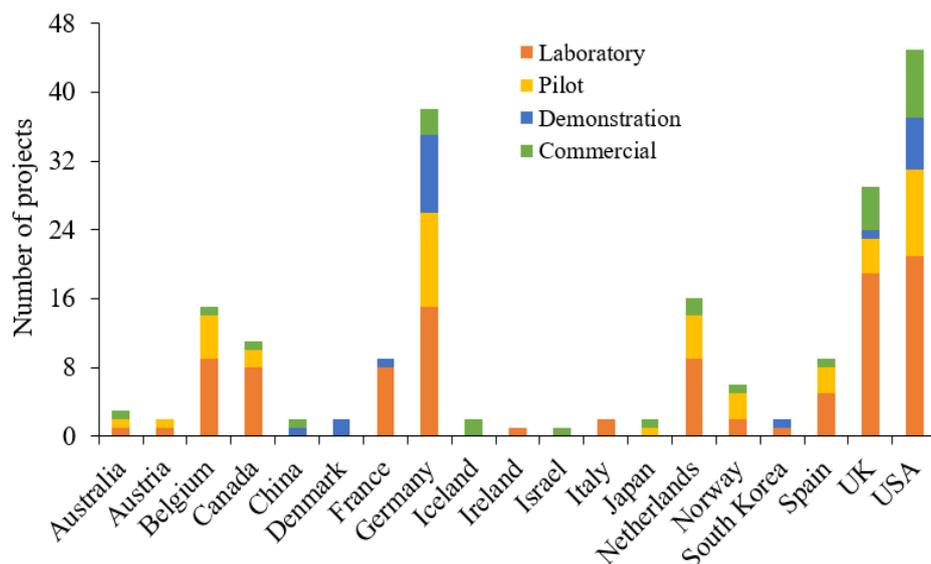
5 The highest number of projects was observed for mineralisation (37) closely followed by  
 6 hydrogenation (35) and carboxylation (34) technologies (Figure 19). Hydrogenation and  
 7 carboxylation technologies also represent respectively 18% and 29% of commercial projects with  
 8 45% cumulative share of demonstration projects (Figures 18a and b). Therefore, when fully mature  
 9 these technologies will dominate the CO<sub>2</sub> utilisation sector due to high market demand for CO<sub>2</sub>-

1 derived fuels, chemicals and materials. 28 projects were found for algae production. Despite its  
2 TRL of 4-7, algae production accounts for 7% of commercial projects with 10% and 31% share of  
3 demonstration and pilot projects, respectively (Figures 18a, 18b and 18c) confirming its suitability  
4 for large-scale deployment.

5 Analysis of projects for CO<sub>2</sub> transformation technologies in different continents (Figure 20) shows  
6 the highest share of projects in Europe (65%) followed by North America (29%). Asia and Australia  
7 only account for 4% and 2%, respectively. This percentage seems quite small knowing that Asia is  
8 responsible for more than 50% of the world's CO<sub>2</sub> emissions [4]. The latest roadmap for CCUS in  
9 China reported 23 key projects (divided into 5, 7 and 11 pilot, demonstration and commercial  
10 projects, respectively) that have been planned, operated and completed since 2006 [282]. However,  
11 these projects mostly focused on direct use of CO<sub>2</sub> via EOR while SCO<sub>2</sub>T database gathers projects  
12 on CO<sub>2</sub> utilisation via transformation which may justify the low percentage found in Asian  
13 countries. USA leads the world in progressing CO<sub>2</sub> utilisation via transformation as it holds the  
14 highest number of 45 projects divided into 21, 10, 6 and 8 laboratory, pilot, demonstration and  
15 commercial projects, respectively (Figure 21). It is sensitive to believe that USA will continue in  
16 the first place since the USA Department of Energy has recently announced \$110 million for the  
17 deployment of various large-scale CCUS projects [283]. Germany, UK and Netherlands are also  
18 strong supporters of CO<sub>2</sub> utilisation with a cumulative of 78 projects divided into 43, 20, 10 and 10  
19 laboratory, pilot, demonstration and commercial projects, respectively (Figure 21).



20  
21 **Figure 20:** Repartition of projects for CO<sub>2</sub> transformation technologies in different continents.



**Figure 21:** Number of projects for CO<sub>2</sub> transformation technologies in different countries.

## 8. Research trend, challenges and future prospects

### 8.1. The research trend

The increasing interest in CO<sub>2</sub> transformation into value-added products can be translated into the significant number of published papers in the area. Therefore, research papers found in literature is used as an indicator to investigate the research trend of CO<sub>2</sub> transformation technologies and evaluate where the focus has been devoted the most.

#### 8.1.1. Methodology

ScienceDirect database (<https://www.sciencedirect.com/search>) was used to search for research articles on CO<sub>2</sub> utilisation via transformation. ScienceDirect is the world's leading database for medical and scientific research. It provides over 12 million contents from articles to books. The search for papers was conducted in January 2019 using keywords as indicated in Table 8.

#### 8.1.2. Limitations

The search for research papers on CO<sub>2</sub> utilisation via transformation only covers a period of 10 years (2008 to 2018). Only the title, abstract and keywords were reviewed to identify articles relevant to CO<sub>2</sub> transformation technologies. Therefore, CO<sub>2</sub> technologies that were not mentioned

1 in the title and abstract were not included. Also, research papers that were not in the ScienceDirect  
 2 database were not included.

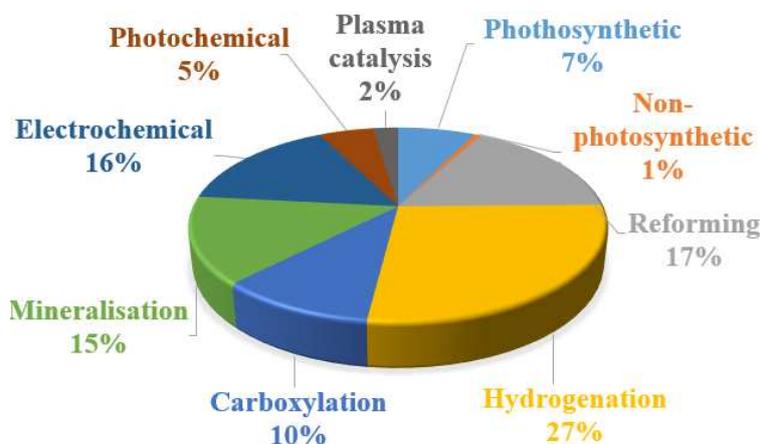
3 **Table 8:** Search technique

Technology	Keywords
Photosynthetic	“CO <sub>2</sub> + Photosynthetic biological fixation”
Non-Photosynthetic	“CO <sub>2</sub> + Non-Photosynthetic biological fixation”
Reforming	“CO <sub>2</sub> + Reforming + syngas”
Hydrogenation	“CO <sub>2</sub> + Fischer-Tropsch” AND “CO <sub>2</sub> + Hydrogenation”
Carboxylation	“CO <sub>2</sub> + Carbamate synthesis” AND “Carboxylation with CO <sub>2</sub> ” AND “CO <sub>2</sub> + Urea synthesis” AND “CO <sub>2</sub> + Cyclic carbonate synthesis” AND “CO <sub>2</sub> + Linear carbonate synthesis” AND “CO <sub>2</sub> + Polymer synthesis”
Mineralisation	“CO <sub>2</sub> + Mineral carbonation + carbonates”
Electrochemical reduction	“CO <sub>2</sub> + Electrolysis cell + Syngas” AND “CO <sub>2</sub> + Electrochemical reduction”
Photochemical reduction	“CO <sub>2</sub> + Photochemical reduction”
Plasma catalysis	“CO <sub>2</sub> + Plasma catalysis”

4 **8.1.3. Analysis of research trend**

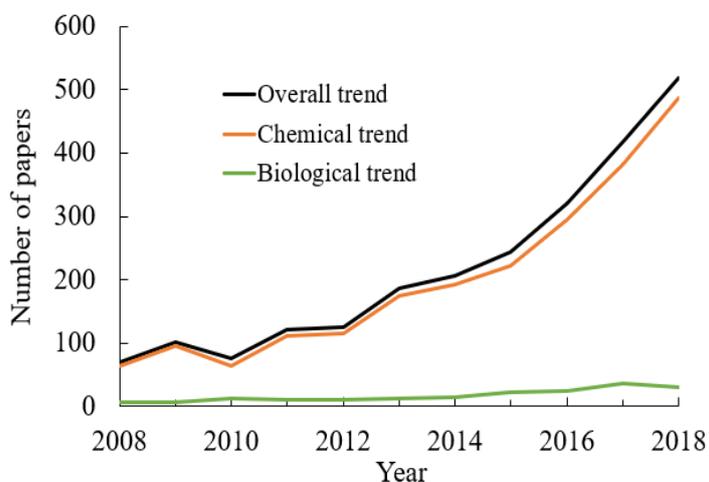
5 A total of 34,329 papers were retrieved from the search. 31,941 papers were excluded as they were  
 6 either review papers or not related to CO<sub>2</sub> utilisation (most of them discuss CO<sub>2</sub> capture from flue  
 7 gas, CO<sub>2</sub> geological storage, CO<sub>2</sub> separation from mixtures like CO<sub>2</sub>/N<sub>2</sub>, electrochemistry for  
 8 corrosion etc.). After evaluation, 2,389 research papers were relevant to CO<sub>2</sub> transformation  
 9 technologies. Figure 22 illustrates the repartition of research papers for CO<sub>2</sub> transformation  
 10 technologies. Hydrogenation is the most studied process with the highest percentage of 27% while  
 11 non-photosynthetic and plasma catalysis have the lowest percentage of 1% and 2%, respectively.  
 12 CO<sub>2</sub> reforming, electrochemical, mineralisation, carboxylation, photosynthetic fixation and

1 photochemical technologies represent 17%, 16%, 15%, 10%, 7% and 5% of research papers,  
2 respectively.

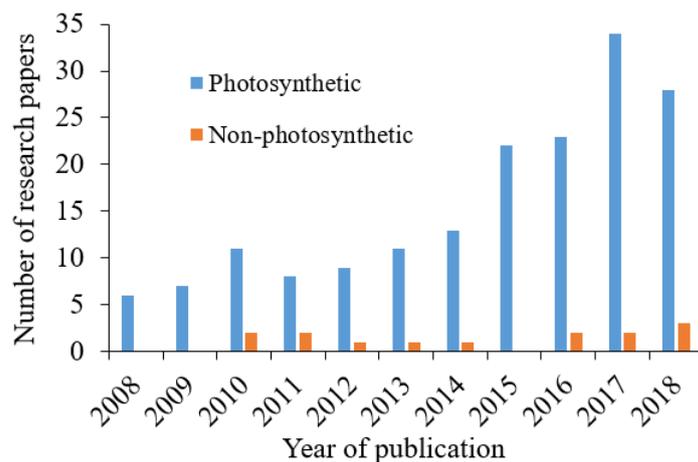


3  
4 **Figure 22:** Repartition of research papers for CO<sub>2</sub> transformation technologies.

5 The overall trend shows a growing interest in CO<sub>2</sub> transformation technologies (Figure 23) with a  
6 significant increase in research papers from 70 papers published in 2008 to 548 papers in 2018.  
7 Furthermore, a previous study on the research trend for CO<sub>2</sub> utilisation via transformation reported  
8 855 papers published between 1999–2009 [284]. In comparison, we report 2,389 research papers  
9 between 2008–2018. This clearly demonstrates the rapid growth in research and development for  
10 CO<sub>2</sub> utilisation via transformation which depicts the rising interest in overcoming climate change  
11 and global warming concerns by shifting towards more sustainable and environmentally friendly  
12 resources, processes and products.

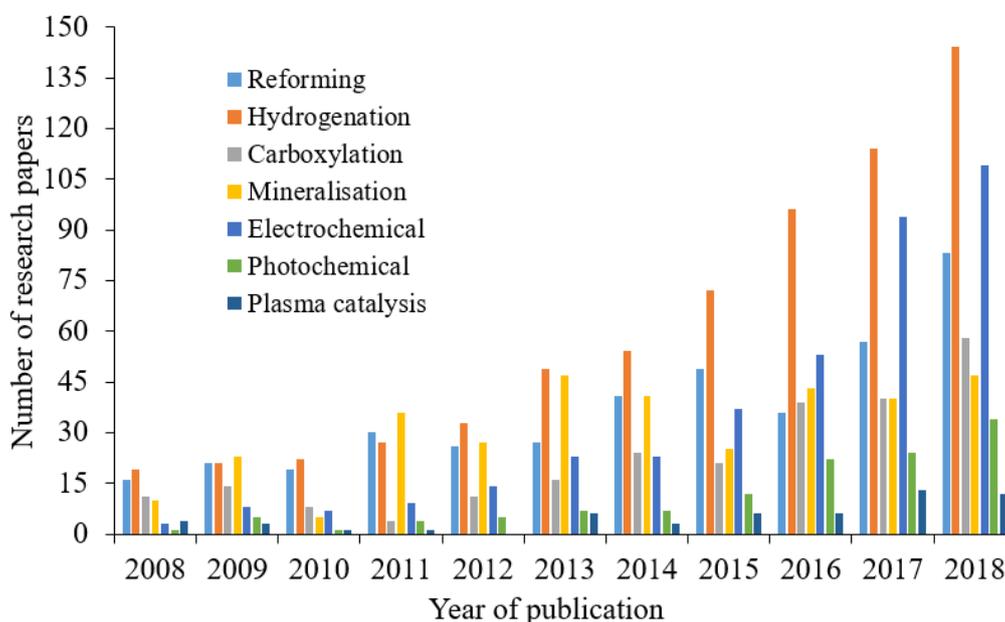


13  
14 **Figure 23:** Research trend for CO<sub>2</sub> transformation technologies.



1  
2

**Figure 24:** Research papers for CO<sub>2</sub> biological transformations.



3  
4

**Figure 25:** Research papers for CO<sub>2</sub> chemical transformations.

5 The high number of research papers (hence faster trend) observed for CO<sub>2</sub> chemical  
6 transformations (2,203 papers) compared to CO<sub>2</sub> biological transformations (186 papers) is mostly  
7 due to the higher number of available technologies and products via chemical conversion which  
8 may also explain why there are more projects for CO<sub>2</sub> chemical transformations (Figure 19). No  
9 paper was found for plasma catalysis in 2012 and non-photosynthetic in 2008, 2009 and 2015  
10 (Figures 24 and 25). This does not necessarily mean that no research was conducted for these  
11 technologies on the specified years. It could be due to the limitations of the search for papers as  
12 specified in Section 8.1.2. Figure 25 indicates that hydrogenation and electrochemical reduction

1 are the most studied technologies since 2016. Besides their variety of products, a possible  
2 explanation might be the increased availability of renewable energies which now represent the third  
3 of the global power capacity [285].

## 4 **8.2. Challenges and future prospects**

5 It is no doubt that CO<sub>2</sub> utilisation via transformation can help to mitigate CO<sub>2</sub> emissions and secure  
6 a wide range of chemicals and fuels. Although considerable progress was achieved in the past  
7 decades, CO<sub>2</sub> transformation technologies are still facing several challenges hindering their  
8 commercial implementation.

### 9 **8.2.1. Technical barriers**

#### 10 (1) CO<sub>2</sub> activation/conversion

11 Achieving high CO<sub>2</sub> conversion is still an open challenge for most CO<sub>2</sub> transformation technologies  
12 due to the thermodynamic stability of C=O bonds and rapid catalyst deactivation. Though good  
13 conversion efficiency was reported ( $\geq 60\%$ ) for reforming, hydrogenation, carboxylation and  
14 electrochemical technologies, the processes were operating either under high  
15 temperatures/pressures, excess overpotentials or using catalysts with low availability and high costs  
16 (e.g. noble metals and ionic liquids). Therefore, further research is required to find novel catalysts  
17 with improved stability and activity at lower operating conditions and costs. Clarifying the  
18 mechanisms of CO<sub>2</sub> activation and/or electron transfer during CO<sub>2</sub> conversion processes (especially  
19 for plasma catalysis, photochemical reduction, non-photosynthetic and electrochemical reduction)  
20 are also needed to identify and control the different steps in elementary reactions thus overcome  
21 CO<sub>2</sub> conversion limitations.

#### 22 (2) Product selectivity

23 Theoretically, a wide range of value-added products can be obtained from CO<sub>2</sub> conversion.  
24 However, there is a clear gap between the quality and quantity of reported products. By comparison,  
25 plasma catalysis, electrochemical and photochemical methods have poor selectivity to C<sub>2+</sub> products

1 whereas, the non-photosynthetic CO<sub>2</sub> fixation mostly forms methane and acetate via the W-L  
2 pathway. Although selectivity above 75% was achieved via electrochemical reduction, high  
3 overpotentials were also required. Synthesis of most organic acids through carboxylation has not  
4 yet achieved satisfactory results, DMC still suffers from low yield and acceptable selectivity was  
5 only observed for cyclic carbonates and polymers. Except for methanol, CO<sub>2</sub> hydrogenation suffers  
6 from low selectivity to oxygenate compounds (mostly formic acid and DME). Exploring novel  
7 catalytic materials and microorganisms will play an important role in addressing the  
8 aforementioned issues. Research into reactor design with better mass/liquid transfer and cell  
9 construction with high active surface areas can help to enhance CO<sub>2</sub> conversion efficiency and  
10 improve product selectivity.

### 11 (3) Energy requirement

12 All CO<sub>2</sub> conversion processes require considerable energy input. Most studies demonstrated that  
13 increasing the energy input (light, heat or electricity) leads to better CO<sub>2</sub> conversion and product  
14 yield efficiencies. However, the use of energy comes with costs and CO<sub>2</sub> emissions depending on  
15 the energy source. Therefore,

- 16 ➤ Further research is needed to enhance CO<sub>2</sub> transformation technologies which use a free  
17 natural energy source (such as photosynthetic and photochemical CO<sub>2</sub> reduction) as they have  
18 the potential to be less expensive.
- 19 ➤ More studies integrating the use of renewable electricity for electrochemical reduction, non-  
20 photosynthetic CO<sub>2</sub> fixation and H<sub>2</sub> production for CO<sub>2</sub> hydrogenation are highly needed to  
21 assess their full potential for CO<sub>2</sub> conversion.
- 22 ➤ Further studies are also required to achieve high energy efficiency without decreasing the CO<sub>2</sub>  
23 conversion rate during plasma catalysis.
- 24 ➤ Research into heat integration techniques is also highly required for further optimization of  
25 energy efficiency and management.

### 1 **8.2.2. Cost reduction**

2 Cost assessment of CO<sub>2</sub> transformation technologies is very difficult as they are affected by varying  
3 parameters including type of CO<sub>2</sub> transformation technology, desired product, type of energy and  
4 raw material required, product price, plant location etc. Compared to conventional methods, most  
5 CO<sub>2</sub> transformation technologies are still quite expensive with some that have not been  
6 economically evaluated yet. It is paramount to reduce the cost of CO<sub>2</sub> transformation technologies  
7 for CO<sub>2</sub>-derived products to be competitive in today's market. Cost reduction can be achieved  
8 through process intensification and development of better catalysts that can lower the energy  
9 requirements. Cost minimization also varies from one technology to another. For instance,  
10 hydrogenation cost would significantly reduce if the cost of H<sub>2</sub> production was halved [254]. Cost  
11 reduction for algae production would require cheaper nutrients/fertilizers, water recirculation  
12 systems and shorter distance to water [27]. More general considerations such as the cost of CO<sub>2</sub>  
13 capture should also be assessed. Further research in reducing costs for energy-intensive CO<sub>2</sub>  
14 capture processes will greatly promote the deployment of CO<sub>2</sub> transformation technologies.

### 15 **8.2.3. Modelling and simulation**

16 There are limited modelling and simulation studies on CO<sub>2</sub> conversion processes possibly due to  
17 lack of experimental data. Indeed, process modelling and simulation would require detailed  
18 information about reaction kinetics and catalysts for accurate process designs. However, reaction  
19 mechanisms involved in CO<sub>2</sub> conversion processes are still being verified, not well understood and  
20 search for effective catalysts is still ongoing which limit the availability of required data. This is  
21 particularly true for CO<sub>2</sub> transformation technologies with low TRLs including carboxylation and  
22 hydrogenation to some products (Table 5), plasma catalysis, photochemical reduction, non-  
23 photosynthetic and electrochemical reduction. Future research could use basic knowledge on  
24 thermodynamics, mass/heat transfer, preliminary assumptions and simplified models for initial  
25 modelling and design to evaluate the process performance. Most studies reported steady-state  
26 models indicating the early development stage for CO<sub>2</sub> transformation technologies. Further studies

1 on dynamic modelling, steady-state and dynamic model validation are required to gain further  
2 insights into the actual operation and control design of CO<sub>2</sub> conversion processes at transient  
3 conditions. More studies on process intensification and optimisation techniques are also needed to  
4 address the current challenges encountered in CO<sub>2</sub> conversion to value-added products.

#### 5 **8.2.4. Suggested research directions**

- 6 ➤ Future research directions should focus on long-term operation and economic feasibility of the  
7 most promising technologies (such as mineralisation, hydrogenation, carboxylation to  
8 polymers and organic carbonates and photosynthetic) so that effective commercial scale-up  
9 can be implemented.
- 10 ➤ Priority should also be given to the least studied technologies with low TRLs including non-  
11 photosynthetic, plasma catalysis and photochemical reduction (research percentage ≤ 5%) so  
12 that early good practices and effective techniques can be achieved. These technologies have  
13 the main advantage of operating at ambient conditions. Furthermore, the non-photosynthetic  
14 CO<sub>2</sub> fixation could lead to several biochemicals/fuels using H<sub>2</sub> as an energy source and by-  
15 pass the high operating conditions of CO<sub>2</sub> hydrogenation which could result in considerable  
16 cost reduction.
- 17 ➤ Studies on process optimisation and process intensification of CO<sub>2</sub> transformation  
18 technologies are highly needed to assess the interaction among different operating parameters,  
19 enhance process efficiency and lower costs.
- 20 ➤ Flue gas temperature at the furnace outlet is quite high (typically around 1200°C) and must be  
21 cooled down to roughly 40-50°C for CO<sub>2</sub> capture [220]. On the other hand, most CO<sub>2</sub>  
22 conversion processes require heat as energy input which is usually provided by external  
23 sources. Therefore, future studies should investigate the process integration of CO<sub>2</sub> capture  
24 and CO<sub>2</sub> utilisation to assess the synergy between the two processes.

1 ➤ Direct use of flue gas for some CO<sub>2</sub> conversion processes (such as reforming, mineralisation,  
2 algae production and carboxylation for polymer synthesis) should also be explored as it could  
3 eliminate the cost of energy-intensive CO<sub>2</sub> capture processes.

4 It is worth specifying that CO<sub>2</sub> transformation technologies do not have to be used alone, the  
5 combination of biological and chemical transformation (for instance, microbially enhanced  
6 mineralisation [286,287]) could provide a highly efficient hybrid system which would possibly  
7 surpass any CO<sub>2</sub> chemical or biological process alone.

### 8 **8.2.5. Policy impact**

9 Although policies were not explicitly examined in this review, it is worth emphasizing that policy  
10 improvements can support the deployment of CO<sub>2</sub> transformation technologies. They can be  
11 applied in the following areas: (1) market regulation for the commercial activity of CO<sub>2</sub> utilisation,  
12 (2) financial support for early development to assist participants who cannot afford costs of early-  
13 stage projects and (3) incentives and guidance for commercial deployment when business  
14 propositions have not reached commercial maturity [288].

## 15 **9. Conclusion**

16 In this review paper, CO<sub>2</sub> transformation technologies were defined and grouped into biological  
17 (photosynthetic and non-photosynthetic) and chemical (reforming, hydrogenation, carboxylation,  
18 mineralisation, electrochemical reduction, photochemical reduction and plasma catalysis)  
19 processes, the typical operating conditions and the diversity of products from CO<sub>2</sub> conversion were  
20 carefully outlined, the recent progress in experimental and modelling/simulation studies were  
21 reviewed, the different technologies were compared in terms of maturity, cost, market and amount  
22 of CO<sub>2</sub> used, a detailed analysis of CO<sub>2</sub> utilisation projects worldwide and research trends were  
23 provided and finally, the challenges and future research directions were discussed. The following  
24 conclusions were reached: (1) Due to the diversity of products, hydrogenation and carboxylation  
25 technologies will have a large share of commercial deployment when fully mature; (2) The

1 development of available and low-cost catalysts is of great importance for large-scale applications  
2 of CO<sub>2</sub> transformation technologies; (3) Process optimisation and process intensification can help  
3 to decrease costs and improve process efficiency; (4) The non-photosynthetic CO<sub>2</sub> fixation has the  
4 great potential to overcome high operating conditions encountered with hydrogenation technology;  
5 (5) Large-scale demonstrations are required for emerging technologies such as reforming and algae  
6 production to gain confidence and improve technologies to reach commercial-scale; (6) Finally,  
7 the research trend analysis demonstrated that more research will be carried out in CO<sub>2</sub> utilisation  
8 via transformation in the years to come.

### 9 **Conflicts of interest**

10 There are no conflicts to declare.

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