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Lefroy, KS, Murray, BS orcid.org/0000-0002-6493-1547, Ries, ME orcid.org/0000-0002-8050-3200 et al. (1 more author) (2021) A natural, cellulose-based microgel for water-in-oil emulsions. Food Hydrocolloids, 113. 106408. ISSN 0268-005X

https://doi.org/10.1016/j.foodhyd.2020.106408

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# A Natural, Cellulose-Based Microgel for Water-in-Oil Emulsions

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- 16
- 17 Declarations of interest: none

#### 18 Abstract

#### 19

20 Non-derivatised cellulose is generally assumed to have poor surface activity and

- 21 therefore be unsuitable as a water in oil (W/O) emulsifier. In this work, a "natural"
- 22 cellulose microgel (CMG) is fabricated via a top-down approach and used to stabilise
- 23 W/O emulsions, without employing chemical modification. The cellulose is
- coagulated from an ionic liquid through a solvent-exchange process, in the presence
- and absence of added sunflower oil, in order to tune the cellulose morphology and
- properties. Detailed characterization of the nature of these microgels and the effect
- of the solvent change sequence on their emulsifying properties was investigated. In
   the presence of oil, Fourier transform infrared (FTIR) spectroscopy confirmed the
- retention of oil in the coagulum during regeneration and the resultant CMGs were
- 30 more easily dispersed in oil than water, suggesting the fabrication of a "hydrophobic"
- 31 microgel. Confocal microscopy confirmed the adsorption of CMGs to the water-oil
- 32 interface and W/O emulsions of up to 20 vol.% water displayed good stability over at
- least 1 month. This study therefore describes a "novel" route to W/O stabilisation
  using a natural emulsifier, which could be then used as a method of reducing fat and
- using a natural emulsiner, which could be then used as a method of reducing fat ar
   sugar in food products.
- 36
- 37 Keywords: cellulose; microgel; W/O; emulsion; ionic liquid; anti-solvent
- 38 39

#### 41 1. Introduction

42

43 The dramatic rise in obesity levels over the last few decades has been linked to the increased availability of high-energy foods, as well as genetic susceptibility and 44 45 reduced physical activity (Kopelman, 2000). Reducing the calories in food products 46 is not only demanded by consumers but is also an effective method for lessening the 47 impact of obesity and related health problems (Borreani, Hernando, & Quiles, 2020). 48 The World Health Organization (WHO) recommends reformulation of foods in order 49 to reduce *trans*-fat, fat and free-sugar content, with the goal of eventually eliminating 50 trans-fats completely (Rogers, Wright, & Marangoni, 2009). 51

52 High-calorie confectionary products such as creams, fillings and spreads are "rich" in 53 texture and taste and highly desired by consumers for the feelings of luxury and 54 indulgency they impart, but reducing the fat content without affecting product quality 55 is a huge challenge (Borreani et al., 2020). Within the food industry, water is an 56 attractive replacement for fat and sugar. Forming a water-in-oil (W/O) emulsion and 57 thus reducing the overall fat content, as opposed to using a bulking agent, allows the 58 'smoothness' and 'creaminess' of products to be maintained (Marchetti, Muzzio, 59 Cerrutti, Andrés, & Califano, 2017; Mitsou et al., 2016). Furthermore, it has been 60 shown that perceived 'creaminess' is directly correlated to emulsion viscosity and 61 therefore controlling bulk rheological properties of a system allows manipulation of its 62 sensory attributes, regardless of droplet size and water-volume fraction (Dickinson, 63 2011).

64 <sup>7</sup>

65 W/O emulsions are already used extensively in the food, pharmaceutical, agricultural 66 and cosmetic industries in order to deliver and protect functional compounds, modify 67 sensory properties and improve shelf-life, amongst other things (Albert et al., 2019; Azmi, Elgharbawy, Motlagh, Samsudin, & Salleh, 2019; Martinez, Rosado, Velasco, 68 Lannes, & Baby, 2019; McClements, 2004; Neethirajan et al., 2011). The usual way 69 70 of preparation is to disperse a hydrophobic emulsifier in the oil phase, followed by 71 addition of water under mechanical agitation to yield an emulsion of stabilised water 72 droplets.

73

74 Since for W/O emulsions the emulsifier must be dispersible in the continuous oil 75 phase, generally non-ionic, (i.e. uncharged) low hydrophilic-lipophilic balance (HLB) 76 surfactants are utilized, so that steric stabilization might be thought to be the 77 dominant mechanism (Bastida-Rodríguez, 2013). However, charges and dipoles 78 may still be present at the interface, that result in significant long-range electrostatic 79 repulsion between droplets across the low dielectric constant oil phase. This is still 80 likely with particulate stabilizers, i.e. Pickering emulsions, where hydrophobic 81 particles may still carry a range of ionizable groups or adsorbed or trapped ionic 82 species. Particles ranging in size from a few 10s of nm to several µm have proved 83 effective as Pickering emulsifiers. Unlike surfactants, true Pickering stabilizers are 84 insoluble in both oil and water, and are characterised by their wettability or contact angle (Murray, 2019b; Wang & Wang, 2016). Particles have numerous advantages 85 86 over more traditional surfactants used in foods, for example the superior stability 87 against coalescence they impart at much lower concentrations, due to their 88 extremely high affinity for the interface (high energy of desorption) and the large 89 steric barrier they give due to their size (Dickinson, 2012; Ghosh & Rousseau, 2011). Ideally Pickering stabilizers of W/O emulsions should be 1 µm or less, in order to
stabilize droplets that are small enough to avoid flocculation, coalescence and/or
rapid sedimentation. Microgels, or microgel particles have been shown to be very
effective emulsion stabilizers, acting similarly to classic Pickering stabilizers (Murray,
2019a, 2019b).

95

96 Particles may play a very important role in future W/O formulations in foods (and 97 other consumer products) because there is a considerable lack of acceptable W/O 98 surfactants available to food manufacturers. To our knowledge, all of those currently 99 approved have a limit on their usage (Murray, 2019b). For example, polyglycerol 100 polyricinoleate (PGPR) has a maximum level of 4g/kg in dressings, spreadable fats 101 and similar spreadable products, and of 5g/kg in chocolate in Europe (Bastida-102 Rodríguez, 2013). Whilst PGPR is considered to have no toxicity and carcinogenicity 103 at these levels, it has been removed from certain chocolate brands due to consumer demand (Mortensen et al., 2017; Wilson & Smith, 1998) (Fox Business, 104 105 https://www.foxbusiness.com/features/hersheys-remake-of-the-great-americanchocolate-bar, accessed March 2020). Furthermore, PGPR is conventionally 106 107 produced via a four-stage chemical process, requiring long reaction times and high 108 operating temperatures (Bastida-Rodríguez, 2013). The demand for alternative 109 natural emulsifiers which involve simple, non-chemical routes to production is 110 increasing, with emphasis on providing 'clean-label' foodstuffs (Ozturk & 111 McClements, 2016). The use of particles in food products is therefore particularly 112 attractive due to the lower amount of emulsifier required, the fact that they are not 113 produced via chemical synthesis and also that they may provide reduced irritancy to 114 the skin and membranes, since they do not have a typical 'detergent-like' structure

- 115 (Arditty, Whitby, Binks, Schmitt, & Leal-Calderon, 2003).
- 116

117 Much recent attention has been turned to the functionalisation of cellulose in its 118 native form without chemical modification. Commonly found in plant cell walls, 119 cellulose is well known for its highly robust structure which arises from a large 120 volume of physical contacts between polysaccharide chains. Both inter- and 121 intramolecular H-bonds form between the glucopyranose rings, reinforcing the highly 122 repetitive crystalline structure. Within the food industry, native cellulose has also 123 begun to emerge as a potential Pickering stabiliser, thickener and reinforcing agent 124 for packaging, for example (Huang et al., 2020). From an ingredient perspective, 125 cellulose is colourless, odourless and tasteless as well as an important dietary fibre. 126 Incorporation of 'natural' cellulose into a food matrix therefore has the potential to not 127 only significantly reduce the calorie-content, but to also improve its nutritional make-128 up (Gómez & Martinez, 2018).

129

130 Large scale cellulose solubilisation is traditionally achieved using the Viscose 131 process, which has numerous disadvantages such as complex reaction conditions. 132 slow reaction times and harmful side products (Paunonen et al., 2019; J. Zhang et 133 al., 2017). Such method results in grafting of groups onto the cellulose chains during 134 dissolution, producing so-called derivatised cellulose. This charges the surface of the 135 cellulose and affects its swelling properties as well as its ability to act as an 136 emulsifier, since electrostatic repulsion between particles leads to a higher barrier for 137 interfacial adsorption (Bertsch et al., 2019; Stana-Kleinschek, Ribitsch, Kreze, & 138 Fras, 2002). Alternative non-derivatising solvent systems such as NaOH/aqueous 139 solutions (Qi, Yang, Zhang, Liebert, & Heinze, 2011), N,N-dimethylacetamide

(DMAc)/LiCl (Sadeghifar, Venditti, Pawlak, & Jur, 2019) and ionic liquids (ILs) (J.
Zhang et al., 2017) have been developed on a small scale, with the aim of producing
non-grafted regenerated cellulose in an environmentally friendly manner (Cai &
Zhang, 2005). In this particular work, we focus on ILs as cellulose solvents.

144

145 Numerous ILs have been reported to dissolve large amounts of cellulose without 146 chemical modification, under mild conditions and with possible recovery and reuse of 147 the solvent afterwards (Verma et al., 2019). Amongst these, two of the most common solvents employed are 1-ethyl-3-methylimidazolium acetate (EmimAc) and 1-butyl-3-148 149 methylimidazolium acetate (BmimAc). More recently, ILs have been prepared from 150 renewable raw materials and may provide even easier handling, as well as being 151 readily bio-degradable and comparatively lower in cost (Ossowicz, Klebeko, Roman, 152 Janus, & Rozwadowski, 2019). For example, amino-acid ILs based on cholinium 153 have shown impressive rates of dissolution under mild conditions, even in the 154 presence of significant amounts of water (Chua et al., 2019).

155

156 Once dissolved in an IL, cellulose can be recovered by adding a so-called 157 'coagulant' or 'anti-solvent,' such as water, ethanol or n-propanol, which is miscible 158 with the IL but not with cellulose (Tan, Chen, Li, & Xie, 2019). Varying the 159 coagulation conditions, as well as type and amount of anti-solvent, yields cellulose in 160 different forms and allows manipulation of its properties (Fan et al., 2018; Gupta, Hu, 161 & Jiang, 2013; Tan et al., 2019). Thorough washing of the regenerated cellulose also 162 completely removes any IL, permitting recovery of the IL and anti-solvents and 163 ensuring that the resultant cellulose is pure and safe for consumption.

164

165 In theory, any anti-solvent which is miscible in the IL but that does not dissolve 166 cellulose could be used as a coagulant, but thorough washing with water as a final 167 stage is generally the most convenient way to ensure complete removal of IL, since it 168 seems to be the most effective solvent in breaking cellulose-anion H-bonds (Gupta 169 et al., 2013). The use of a less polar, slow-diffusing anti-solvent coagulant delays the 170 regeneration process, allowing the gel network to form more gradually through a 171 'softer' precipitation (Fink et al., 2001). Furthermore, 'coating' the hydrophobic planes 172 with a non-polar molecule before regeneration could block hydrophobic interactions 173 between cellulose chains, to a certain degree. A combination of these two 174 approaches, firstly 'coating' and protecting hydrophobic regions in cellulose and 175 secondly delaying reprecipitation, may increase the hydrophobicity of the 176 regenerated cellulose. Formation of a porous gel network could not only "lock-in" 177 such hydrophobic character, but may also allow interpenetration of phases at an 178 interface, hereby improving its ability to function as an emulsifier (Murray, 2019b). 179

180 In this work, BmimAc was selected as the IL for dissolution of up to 4 wt.% cellulose 181 and the bulk macrogels generated by anti-solvent exchange were mechanically 182 broken down to microgel particles as emulsion stabilizers, a so-called "bottom-up" 183 approach (Murray, 2019a). To increase the hydrophobicity of the microgels an edible 184 oil was also introduced during the anti-solvent exchange. These novel cellulose microgels were effective W/O emulsifiers – emulsions of up to 20 vol.% water were 185 186 stable for at least 1 month without the addition of any other surface-active agents. 187 This work therefore provides a potentially inexpensive, and possibly "clean label"

route to fat replacement in foods, using renewable resource materials and simple,convenient methodology.

190

# 191 **2.** Materials and Method192

193 2.1 Materials

194 195 1-Butyl-3-methyl imidazolium (BmimAc) ( $\geq$ 95% purity), ethanol (absolute, 99.8%), 196 Calcofluor White (1 g/L), Nile red and sodium azide were obtained from Sigma 197 Aldrich. 1-Butanol (Acros Organics, 99.5%) was obtained from Fisher Scientific. 198 Cellulose powder (Vitacel L 600-20 and L 00) and High Oleic acid Sunflower Oil 199 (HOSO, d = 0.92 g mL<sup>-1</sup>) were supplied by Mondelēz International.

200

## 201 2.2 Preparation of "non-oily" macrogel

202 Vitacel cellulose powder (L 600-20 and L 00) was dissolved in BmimAc (1-4 wt.%) 203 under stirring at 75°C, until complete dissolution (2-5h). The heated cellulose-IL 204 solution was added dropwise through a syringe to water (2:1 v/v water/cellulose-BmimAc), with each drop forming a spherical precipitate (macrogel). The gel-water 205 206 mixture was stored overnight at room temperature. Water was replaced 3 times 207 every 4-10 h, with filtering of the macrogel in between each solvent-change (nylon 208 membrane filter, 0.45 µm, 45 mm). During the final filtration step, the macrogel was 209 broken down with a spatula and washed repeatedly with deionised water to ensure complete BmimAc removal. (For example, 2 to 3 washing steps are generally able to 210 211 reduce the BmimAc levels to < 1ppm, as detected by UV/Vis adsorption - data not 212 shown).

## 213 2.3 Preparation of "oily" macrogel

214 Cellulose powder was dissolved in BmimAc as outlined in 2.2. HOSO was added 215 directly to the heated solution (2:1 v/v HOSO/cellulose-BmimAc) and stirred using a 216 high-speed blender (Ultra Turrax T 25, IKA, Germany) at room temperature at 217 25,000 rpm, until complete disappearance of the phase boundary (ca. 5 mins). The 218 HOSO-cellulose-BmimAc mixture was added dropwise through a syringe to 1-219 butanol (4:1 v/v 1-butanol/HOSO-cellulose-BmimAc), with each drop forming a 220 spherical precipitate (macrogel), as on addition to water in 2.2. The gel-solvent 221 mixture was stored overnight at room temperature. Solvent exchange and 222 regeneration of the macrogel was conducted in the following order, using the same 223 volumes of anti-solvent as initially added: 1-butanol, 2 x ethanol; 2 x water, with 224 immersion in each solvent for 4-10 h. The obtained cellulose macrogel was filtered 225 under gravity between each solvent change (nylon membrane filter, 0.45 µm, 45 226 mm). During the final filtration step, the macrogel was broken down and washed with 227 deionised water, as outlined in 2.2.

228

229 2.4 Preparation of cellulose microgel (CMG) dispersions in water or oil

230

The non-oily and oily cellulose macrogels were dispersed in water or HOSO

respectively, giving non-oily cellulose microgels (CMGs) and oily cellulose microgels

233 (oil-CMGs). Initially, the macrogel was dispersed in the desired medium under high-

- speed Ultra Turrax stirring (24,000 rpm, 5 min). The dispersions were then passed
  through a high-pressure homogeniser (Jet Homogeniser, University of Leeds)
  (BURGAUD, DICKINSON, & NELSON, 1990) at 300 bar with 3 passes, in order to
  obtain a finer CMG dispersion, then diluted to give various concentrations of CMG in
- 238 water or HOSO (0.15 2.0 wt.%).
- 239

240 2.5 Preparation of oil-in-water (O/W) emulsions

241

Non-oily microgels (CMGs) were briefly tested to see they had any ability to stabilize 242 243 O/W emulsions (10 vol.%), prepared by adding pure HOSO dropwise to the CMG in 244 water dispersions obtained via 2.2 and 2.4. Emulsification was carried out for a total 245 of 5 minutes under high-speed Ultra Turrax stirring, as follows: agitation of the water 246 phase (1 min), addition of the HOSO (2 min) and stirring of the formed emulsion (2 247 min, all at 25,000 rpm, room temperature). The resulting emulsion was passed 248 through the Jet Homogeniser (300 bar, 3-5 passes) and subjected to a final period of 249 high-speed Ultra Turrax stirring (25,000 rpm, 1 min) to ensure that the two phases 250 were fully emulsified. Sodium azide was added to all of the emulsions to prevent 251 degradation during storage (0.05 wt.%).

252

# 253 2.6 Preparation of water-in-oil (W/O) emulsions

254 W/O emulsions (5 to 20 vol.% water) were prepared from the dispersions of oil-CMG 255 256 in HOSO or oil-CMG dispersed in water. For the former, deionised water was added 257 dropwise to the oil-CMG dispersion in HOSO; for the latter the oil-CMG in water 258 dispersions were added dropwise to pure HOSO. In both cases, emulsification was 259 carried out in a total of 5 minutes under high-speed Ultra Turrax stirring, as follows: 260 agitation of the oil phase (1 min), addition of the deionised water/oil-CMG-water 261 dispersion (2 min) and stirring of the formed emulsion (2 min, all at 25,000 rpm, room 262 temperature). The resulting emulsion was passed through the Jet Homogeniser (300 263 bar 3-5 passes) and subjected to a final period of high-speed Ultra Turrax stirring 264 (25,000 rpm, 1 min), as in 2.5. Sodium azide was added to all of the emulsions to 265 prevent degradation during storage (0.05 wt.%).

266

All emulsions in 2.5 and 2.6 are described in wt.% cellulose relative to the amount of water in the system and emulsions were prepared in terms of their volume ratio, rather than weight ratio. For example, a 10 vol.% W/O emulsion stabilised by "0.2 wt.% cellulose" would contain 0.2 g cellulose, 10 g (= 10 mL) of water and 83 g (= 90 mL) of HOSO since the density of HOSO = 0.92 g mL<sup>-1</sup>.

272

273 2.7 Characterization of dispersions and emulsions

274

The particle size distribution (PSD) of the CMG dispersions and emulsions were
characterized via a Malvern Mastersizer 3000. The refractive indices of water,
cellulose and HOSO were taken as 1.33, 1.47 and 1.46 respectively, and PSDs were

calculated based on the Mie theory. Five measurements were taken for each sample and the average of these reported. The mean distribution of particle sizes are displayed in terms of the surface-weighted mean diameter  $(d_{3,2})$ , as described:

281

$$(d_{3,2}) = \frac{\sum_{i} n_{i} d_{i}^{3}}{\sum_{i} n_{i} d_{i}^{2}}$$
(1)

and the volume-weighted mean diameter  $(d_{4,3})$ , as described:

283

$$(d_{4,3}) = \frac{\sum_{i} n_{i} d_{i}^{4}}{\sum_{i} n_{i} d_{i}^{3}}$$
(2)

284

where  $n_i$  gives the number of droplets;  $d_i$  gives the diameter of the particle.

286

287 *2.8 Attenuated Total Reflection Fourier Transform Infrared (ATR-FTIR) spectroscopy* 288

289 An Agilent 4500 series FTIR spectrometer equipped with a single reflection 290 attenuated total reflectance (ATR) accessory was used to analyse the changes in the 291 cellulose during its regeneration and the final composition of regenerated macrogels. Each spectrum was recorded over the wavenumber range 500-3500 cm<sup>-1</sup> with 1640 292 293 scans, and the background was re-recorded every four measurements. Samples 294 were placed on the ATR crystal and uniformly spread over the measurement area 295 with an overhead press. Each measurement was conducted three times and an 296 average of these is reported for each sample. The crystal was cleaned between 297 each sample with distilled water and ethanol.

298

299 2.9 Wide-angle X-ray scattering (WAXS)

300

Wide Angle X-ray scattering (WAXS) was conducted on a SAXSpace instrument (Anton Paar GmbH, Graz, Austria) equipped with a sealed-tube Cu-anode operating at 40 kV and 50 mA. Cellulose powder was measured in a thin glass capillary tube, whilst regenerated cellulose macrogels were freeze-dried and then pressed between scattering paper into a capillary cell, to a pellet of approximately 1 mm thickness. In all cases, the background was subtracted using the SAXSQuant software and the scattering intensity at q = 0 was set to unity, to obtain the scattered intensity, I(q): 308

300

$$q = \frac{4\pi}{\lambda} \sin \frac{\theta}{2} \tag{3}$$

309

310 Where  $\theta$  = scattering angle and  $\lambda$  = 0.154 nm (X-ray wavelength). Deconvolution of 311 intensity plot was carried out using peak fitting on OriginPro 9.0.

312 All ATR-FTIR and WAXS analysis was carried out at room temperature.

313

314 2.10 Scanning Electron Microscopy (SEM)

- Scanning Electron Microscopy (SEM) of the cellulose macrogels was carried out
  using a FEI NanoSEM Nova 450 operating at 3 kV, with a working distance of 5 mm
  and an Everhart-Thornley detector (ETD). All gels were freeze-dried either straight
  after water washing or after washing three times with hexane, in order to remove
  excess oil from the regeneration. Freeze-dried samples were then mounted on an
  SEM stub with adhesive copper tape and sputter-coated (CRESSINGTON 208 HR)
- 322 with a 2 nm iridium conductive layer.
- 323
- 324 325

# 2.11 Optical microscopy and Confocal Laser Scanning microscopy (CLSM)

326 The microstructure of both CMG dispersions and W/O emulsions were imaged using 327 a light microscope (Nikon, SMZ-2T, Japan) equipped with a digital camera (Leica 328 MC120 HD). Images were processed using the image analysis software ImageJ. 329 Confocal laser scanning microscopy (CLSM) was carried out using a Zeiss LSM700 330 inverted microscope (Germany) with a 20 x /0.5 objective lens. Approximately 80 µL 331 of sample was added to a welled slide and a coverslip was placed on top (0.16-0.19 332 mm thickness), ensuring that no air bubbles were trapped between the sample and 333 coverslip. Calcofluor White was used to stain cellulose, which was added to the 334 sample before confocal analysis (1 g/L, 10 % v/v stain:dispersion/emulsion). Nile red 335 (0.4 mg mL<sup>-1</sup> in DMSO) was added to W/O emulsions in order to stain the oil phase 336 and analyse the shape of the water droplets (1 % v/v stain:emulsion). For Calcofluor 337 White, an excitation wavelength of 405 nm was used and emission between 415-470 338 nm measured. For Nile red, an excitation wavelength of 488 nm was used and 339 emission between 550-640 nm measured. Images were processed using the image 340 analysis software Zen.

341

# 342 2.12 Creaming stability measurements

343

344 3 mL of each emulsion was taken immediately after preparation and stored in a thin 345 tube with a social did at room temperature. Cream volume ratio was calculated by

- 345 tube with a sealed lid, at room temperature. Cream volume ratio was calculated by 346 measuring the height of the emulsion and the height of the cream using a calliper,
- 347 over a period of time, where:

$$\frac{H_2}{H_1} \times 100 = Cream \, Volume \, ratio \tag{4}$$

348 where  $H_1$  = total volume in the tube and  $H_2$  = bottom layer (water).

349 350 3

# 3. Results and Discussion

351

352 *3.1 Emulsions stabilised by "non-oily" CMGs (CMGs)* 

353

Although the principal objective was to achieve W/O emulsion stabilization, the more simple procedure of not introducing oil was tested first to see if the CMGs had any significant surface activity at all, by testing their ability to stabilize O/W emulsions. 357 Fig. 1a gives the size distribution data over time for a 0.5 wt.% CMG-stabilised 10 358 vol.% O/W emulsion. A relatively monodisperse PSD was observed for all O/W 359 emulsions stabilised by 0.3 to 1.0 wt.% CMGs. Droplet size did not appear to change 360 over time and emulsions remained fairly stable to creaming (data not shown). 361 However, for concentrations below and above this range, flocculated droplets and 362 some much larger droplets were visible (Fig. A1). Furthermore, a relatively large 363 cream layer formed rapidly (within 5 minutes) after emulsification at CMG 364 concentrations greater than 0.3 wt.%, suggesting that the excess CMG does not 365 remain well-dispersed in the continuous phase. As seen in the confocal image (Fig. 366 1b), although cellulose is clearly visible at the O/W interface, surface coverage of the 367 oil droplets is fairly sparse. Thus, the regenerated cellulose as CMGs appeared to 368 display some useful hydrophobic properties and therefore surface activity, capable of 369 stabilizing O/W emulsions but to a limited extent. The O/W emulsions seemed to be 370 poorly dispersed and show tendency to aggregate in the agueous phase with time, 371 particularly at higher CMG concentrations. This frustrated attempts to prepare stable O/W emulsions with either smaller oil droplets or high volume fractions of oil 372 373 droplets. In addition, attempts to prepare W/O emulsions with CMGs were fruitless 374 (data not shown): at the ratios of oil to water used (section 2.6) no stable water 375 droplets in oil were observed. For these reasons the oil-CMG route was then largely 376 pursued, to try and enhance the hydrophobicity of the microgel particles, for W/O 377 emulsion stabilization.







381

378

382 *3.2* Composition and structure of regenerated "oily" cellulose macrogels

383

In attempt to fabricate a CMG-based emulsifier suitable for W/O emulsions, oil was
introduced into the regeneration process (as outlined in 2.3). Fig. 2 gives the FTIR
spectra for the powdered cellulose before any treatment, pure HOSO and
regenerated macrogel. Since very similar peaks appear in both the cellulose powder
and gel, it can be said that no chemical modification of cellulose has occurred during
dissolution and regeneration (Tan et al., 2019; Xu et al., 2016). However, variation in

390 the crystal structure is apparent due to the shifting of the band at 1433 cm<sup>-1</sup> in the 391 cellulose powder, which corresponds to CH<sub>2</sub> scissoring, to a lower wavenumber 392 (1425 cm<sup>-1</sup>) for the gel. The intensity of the peak at 1430 cm<sup>-1</sup> is often used to quantify the amount of cellulose I, or indeed the crystallinity of the cellulose, which in 393 394 this case reduces upon regeneration. The shift in wavenumber indicates conversion 395 from cellulose I to a different type of crystalline cellulose and/or amorphous cellulose 396 (Fryczkowska et al., 2018; Xu et al., 2016). A band at ca. 900 cm<sup>-1</sup> is seen in both 397 the powder cellulose and the gel, which can be assigned to C-O stretching in 398 amorphous cellulose. The characteristic broad band corresponding to O-H vibrations 399 in hydrogen bonding is observed between 3000-3700 cm<sup>-1</sup>, with the peak bands at 400 3335 and 3372 cm<sup>-1</sup> for cellulose powder and gel respectively. An increase in 401 wavenumber upon regeneration has been reported elsewhere: this shift signifies the 402 cleavage of H-bonds between cellulose during dissolution, followed by reformation 403 and re-structuring upon re-precipitation (Fryczkowska et al., 2018). C-O-C stretching 404 bands (both the glucopyranose ring and glycosidic bridges) are observed in the 405 region 1160-1060 cm<sup>-1</sup>. A decrease in the intensity of bands in this region for the gel 406 is observed, most likely due to reduced order in the regenerated cellulose. Minor 407 differences in the shape of bands are also observed, for example a shoulder is seen 408 at 1099 cm<sup>-1</sup> in the gel. This indicates a difference in macromolecular structure and, 409 more specifically, changes to the conformation of glucopyranose rings relative to 410 adjacent cellulose chains. Therefore, a change in cellulose inter- and intramolecular 411 H-bonding is clearly seen upon regeneration and therefore a different cellulose 412 structure almost certainly exists in the gel.

413 Additional peaks at 1747, 2857 and 2924 cm<sup>-1</sup> are seen in the regenerated gel 414 spectrum due to the presence of HOSO (C=O asymmetric; CH<sub>3</sub> and CH<sub>2</sub> stretching, 415 (Rohman & Che Man, 2012). Peaks at 1457 and 1378 cm<sup>-1</sup> in the spectrum for pure 416 HOSO, (corresponding to CH<sub>2</sub> and CH<sub>3</sub> bending respectively), are also identified in 417 the gel. The absence of peaks at 1384 and 1558 cm<sup>-1</sup> confirms the complete removal 418 of BmimAc during the regeneration and washing (Fig. A2). An additional intense, 419 broad peak at 1653 cm<sup>-1</sup> appears, which is likely to correspond to the bending mode 420 of water bound to cellulose (Oh, Yoo, Shin, & Seo, 2005). These observations 421 confirm the presence of both HOSO and water in the regenerated gel, but the 422 absence of IL.



Fig. 2. ATR-FTIR spectra for cellulose powder (black); HOSO (red) and regenerated gel
(blue) plotted with Y offset values versus wavelength, where Y represents intensity of
absorbance (a.u.)

427 The crystal structure of the cellulose powder and regenerated macrogel were 428 analysed using WAXS (Fig. 3). Peaks corresponding to the  $(1\overline{1}0)$ , (110) and (200)429 planes of cellulose I are known to appear at  $q \approx 10$ , 11 and 15 nm<sup>-1</sup> respectively, (20) 430  $\approx$  14°, 15° and 21°). The intensity data for the cellulose powder was deconvoluted 431 over this range, giving  $q \approx 9.5$ , 11.4 and 15.9 nm<sup>-1</sup> ( $2\theta \approx 14^\circ$ , 16° and 22°) along with 432 a broad amorphous peak and an additional peak at  $q \approx 14.3 \text{ nm}^{-1}$  ( $2\theta \approx 20^{\circ}$ ) (Fig. 433 3a) (Fryczkowska et al., 2018; Hedlund, Köhnke, Hagman, Olsson, & Theliander, 434 2019; L. Sun, Chen, Jiang, & Lynch, 2015). This may be due to the presence of a 435 small amount of cellulose II, formed during previous processing: either scattering 436 from the (110) plane ( $q \approx 14.1 \text{ nm}^{-1}$ ) or a small reflection from the (012) plane ( $q \approx$ 437 14.5 nm<sup>-1</sup>). However, it is more likely that peak broadening is observed simply as a 438 result of limited crystallite size and in this instance it can be assumed that the 439 cellulose powder is predominantly cellulose I (Hedlund et al., 2019).

440 It is well reported that conversion from cellulose I to more thermodynamically stable 441 cellulose II and/or amorphous cellulose occurs during dissolution in an IL and 442 subsequent regeneration (Cai & Zhang, 2005; Li et al., 2018; Yan & Gao, 2008). In 443 particular, the use of both 1-butanol and water as coagulants has been investigated, 444 with both anti-solvents resulting in a reduction to crystallinity in regenerated cellulose 445 (Fryczkowska et al., 2018). In this instance, Fig. 3b shows the absence of long-range 446 order in the WAXS spectrum for the gel, due to the broadening of peaks into one 447 'amorphous hump.' During regeneration, fewer regular networks of cellulose intra-448 and intermolecular H-bonds are able to reform and the re-packing of polysaccharide

chains into a crystalline form is clearly disrupted. The intensity data was not deconvoluted to the  $(1\overline{1}0)$ , (110) and (021) planes of cellulose II (q  $\approx$  12.6, 20.3 and 21.2 nm<sup>-1</sup> respectively), since the scattering was too broad. It can be assumed that the regenerated cellulose is mostly amorphous and any crystallinity present is of short-range order.

454 Fig. 4 shows representative SEM images for the cellulose macrogels before and 455 after hexane washing (a and b respectively). It is assumed that HOSO appears as 456 black and cellulose as grey, in which case it is evident that a significant amount of 457 HOSO may remain in contact with the cellulose (although the majority is removed). 458 This provides some evidence for an interaction between cellulose and HOSO during 459 coagulation, as also seen via FTIR. Such interaction could also account for the 460 absence of regenerated crystalline cellulose (cellulose II) in the WAXS spectrum 461 (Fig. 3b), since the presence of HOSO may disrupt the re-packing of cellulose chains 462 into repeating structures with long range order. Possibly the HOSO interacts with a 463 more hydrophobic cellulose plane exfoliated during the dissolution in BmimAc, 464 resulting in it not being completely removed during regeneration and washing. It is 465 also expected that H-bonding between the ester carbonyl groups in the HOSO and 466 the hydroxyl cellulose groups (C= $O \cdots H$ ) is present, reinforcing the hydrophobic 467 interaction (Ghosh, Tran, & Rousseau, 2011). HOSO bound to cellulose would inhibit 468 any regular reformation of intra- and intermolecular cellulose-cellulose H-bonds, as 469 well as hydrophobic contacts between chains, during coagulation. Of course, the 470 SEM is not able to confirm any specific location of such interactions at the 471 magnification employed.





Fig. 3. WAXS spectra for a) cellulose powder; b) regenerated macrogel. Cellulose powder has been fitted to Cellulose I crystal planes  $(1\overline{1}0)$ , (110), (200) and amorphous cellulose (red, green, light blue and orange peaks respectively)



- 476 477
- Fig. 4. SEM images of freeze-dried macrogel a) before and b) after washing in hexane. Oil droplets are seen bound to the cellulose surface in black, scale bar =  $100 \mu m$
- 478 479

480 3.3 Dispersions of Oil-CMGs in water and in oil

481 In characterizing the behaviour of any new particulate emulsion stabilizer (i.e., 482 Pickering emulsifier) it is important to demonstrate the extent to which the particles 483 are fully dispersed in the continuous phase, otherwise the particles are likely only to 484 provide network stabilization via their aggregation in the bulk phase. Therefore, the 485 regenerated oily macrogel was dispersed as far as possible in both water and HOSO 486 separately, (Fig. 5a and b respectively). Over the range of measured cellulose 487 concentrations (0.01 to 1.0 wt.%), oil-CMGs showed a smaller mean particle size in 488 the oil dispersions ("oil-CMG-HOSO dispersions") compared to the water dispersions 489 ("oil-CM G-water dispersions"), suggesting that



491 Fig. 5. Conlocal images of 0.4 wt.% oil-Civics dispersed in a) HOSO, b) water seperately,
 492 with particle size data overlaid (volume distribution vs. particle size). Mean particle sizes are
 493 given in the table below. Scale bar = 50 μm

- the regenerated cellulose is more easy to disperse in oil and therefore more
- 496 hydrophobic in character. Large aggregates were formed in water at all
- 497 concentrations, which did not break down upon further homogenisation. A mono- or
- 498 bimodal distribution of particle sizes was seen for almost all oil-CMG-HOSO
- dispersions, whilst a bi- or trimodal distribution was seen for oil-CMG-water
- 500 dispersions (Fig. A3). During dispersion, formation of the interface will be fast
- 501 compared to the rate of any microgel particle absorption (Matsumiya & Murray,
- 502 2016) and it can be assumed that the gel character of the macrogel and CMGs is 503 similar (Murray, 2019a). The macrogels thus retain a much larger amount of water
- 504 compared to HOSO during regeneration.
- 505
- 506 Oily cellulose macrogels were freeze-dried, in order to remove water and to try and 507 determine an approximate percentage composition (see Table 1). The remaining
- 508 weight of the dried macrogel could not be entirely accounted for by cellulose alone,
- 509 considering the amount initially dissolved in the IL. Therefore, a small amount HOSO
- 510 is expected to be present in the oil-CMGs, as well as traces of IL (assuming that both
- 511 HOSO and BmimAc are not removed during freeze-drying). This was observed using
- 512 SEM (Fig. A4).
- 513 Table 1. Weights of oily cellulose macrogels before and after freeze-drying. The percentage
- amounts of water and oil/BmimAc were calculated assuming that only water is removed b15 during freeze-drying

Weight before drying / mg	Weight after drying / mg	Amount of water / %	Amount of cellulose in BmimAc / %	Amount of HOSO/BmimAc / %
69.0	11.7	83.0	4.00	13.0
143	19.6	86.3	2.00	11.7

- 516 A significant amount of aggregated cellulose is also visible at the W/O interface, as
- 517 confirmed via confocal microscopy (Fig. 5a). Some microgel particles remain as
- 518 individual networks of water without attachment to water droplets, accounting for the
- 519 smaller of the two peaks seen for the particle size dispersions (1-4  $\mu$ m) (Sarkar et
- al., 2016). In oil-CMG-water dispersions, aggregation occurs and a cellulose network
- 521 forms, in order to minimise contact of the hydrophobic regions with water. Two or 522 three peaks are seen in the particle size dispersion (Fig. 5b), corresponding to
- 523 individual CMGs (1-4  $\mu$ m); aggregated CMGs (10-30  $\mu$ m) and aggregated cellulose 524 networks (>100  $\mu$ m).
- In summary, the oily macrogel is easier to break down and disperse in oil, as
  expected for a suitable W/O emulsifier. However, at concentrations above ca. 1.0
- wt.%, oil-CMGs were poorly dispersed in both water and HOSO: a large volume-weighted particle size is seen for all dispersions above this concentration (Fig. A5).
- 529

530 *3.4 Water-in-oil emulsions* 

540

532 W/O emulsions formed from both oil-CMG-HOSO and oil-CMG-water dispersions 533 had a surprisingly similar appearance as shown by the representative images in Fig. 534 6 (and extra images in Fig. A6), considering the significant difference in the 535 appearance and behaviour of these two dispersions as discussed in section 3.2 536 above. For comparison, W/O emulsions formed from CMGs without the addition of 537 oil during regeneration gave relatively poor interfacial coverage and separated out 538 within a few days, most likely due to more problematic dispersion of the macrogel 539 (data not shown).



541	Fig. 6. Confocal images of 10 vol.% W/O emulsions formed from 0.1 wt.% oil-CMG-HOSO a)
542	oil-CMG-HOSO oil dispersion; b) CMG-water dispersion, with particle size data overlaid
543	(volume distribution vs. particle size). Mean particle sizes are given in the table below. Scale
544	bar = 50 μm

545 In addition, the PSDs of the emulsions were also approximately the same,

546 regardless of the dispersion route. This was observed for W/O emulsions made from

oil-CMG-water and oil-CMG-HOSO dispersions over a range of concentrations (Fig.

548 A7). At the lowest CMG concentrations (ca. 0.05 wt.%) some droplet flocculation was

observed, whilst at the highest CMG concentrations (>5 wt.%), droplet size

550 increased (Fig. A7a-c). The average particle size was generally marginally smaller

- 551 for W/O emulsions formed from the oil-CMG-HOSO dispersions, possibly owing to
- the initial smaller size of CMGs in the dispersions. These results confirm a) the
- 553 amphiphilic nature of the oil-CMGs; b) the 'limiting factor' for droplet size, as 554 discussed below.

555 Fig. 7 shows a possible schematic describing the dispersion and emulsification 556 stages, discussed as follows. Firstly, the PSD reduces upon emulsification and the 557 resultant increased area of the water-oil interface. For oil-CMG-HOSO dispersions, 558 the addition of extra water during the formation of the final W/O emulsions breaks 559 down aggregates of cellulose at the water-oil interface, since there is a larger 560 boundary area available to which CMGs can absorb. Therefore, the average CMG 561 particle size reduces and smaller water droplets can be stabilised (Fig. 6a). Droplets 562 are further broken down by more passes through the homogeniser, owing to higher 563 energy input and resultant increase in disruption (due to turbulence and cavitation) 564 (Long, Zhao, Zhao, Yang, & Liu, 2012). The CMGs eventually form a more uniform 565 layer around the final W/O droplets and a relatively monodisperse droplet size is 566 recorded (see Fig. 6a). A similar situation arises when oil-CMG-water dispersions 567 are homogenised with extra added oil, since the extent of the available W/O interface 568 becomes identical. Homogenisation helps to break up cellulose networks and fast 569 absorption of CMGs to the water-oil interface prevents CMG re-aggregation (e.g., 570 see Fig. 6b). Consequently, the appearance and the PSD of the final W/O emulsions 571 are very similar. Very few free (i.e. non-adsorbed) CMGs are observable in the

572 emulsions, confirming their amphilicity.



573

Fig. 7. Schematic showing dispersion and emulsification of oil-CMGs, from left to right: initial
cellulose macrogel; a) oil-CMG-water dispersion; b) oil-CMG-oil dispersion; c and d)
emulsification to form oil-CMG-stabilised W/O emulsion (from oil-CMG-water and oil-CMGHOSO dispersions, respectively). Water is shown in blue, oil in yellow and cellulose in black

578 Secondly, it would appear that the emulsification method is the limiting factor for 579 droplet size distribution - since droplet size is fairly uniform, regardless of the 580 dispersion route (see confocal images, Fig. 6 and Fig. A6). In this case, the oil, water 581 and CMG amounts are consistent and therefore the emulsions formed are almost 582 identical. Increasing the water content to 20 vol.% gave similar droplets sizes to 10 583 vol.% water emulsions, with droplets remaining uniform in size across a range of 584 cellulose concentrations (Fig. A8). This suggests that for concentrations within the 585 range 0.05 to 0.25 wt.% CMG, the CMG emulsifier is present in excess and the 586 droplet size depends primarily on the homogenisation conditions (McClements, 587 2004).

591 The stability of the W/O emulsions formed via both routes was monitored by 592 measuring the cream volume ratio. PSD measurements, light and confocal 593 microscopy as a function of storage time. W/O emulsions from both oil-CMG-HOSO 594 and oil-CMG-water dispersions exhibited similar creaming behaviour, (data not 595 shown). Fig. 8 gives the change in cream volume over a period of 37 days, with 596 images shown in the inset, for 20 vol.% W/O emulsions from oil-CMG-water 597 dispersions. Four concentrations are given: 0.04, 0.14, 0.2 and 0.4 wt.% cellulose. 598 The cream volume ratio did not reach the internal phase volume percentage (20 599 vol.%) for emulsions made with 0.14 and 0.2 wt.% oil-CMG, demonstrating that 600 some water remained in the emulsion over the period of time measured. No phase 601 inversion occurred over the 37-day period and a stable emulsion volume in the tube 602 was observable from day 17, for 0.14 wt.% cellulose. Oil-CMG-stabilised water 603 droplets were still clearly visible in the emulsions after 7 days via light and confocal 604 microscopy (Fig. A9), with no significant change in droplet size. The increase in 605 cream volume ratio over the period of observation is most likely due to larger water 606 droplets sedimenting out. This was confirmed by size measurements: emulsions 607 were sampled from the top emulsion layer only and average PSDs shifted to smaller 608 values over time. Larger droplets appear to settle out over time and smaller droplets 609 remain well-stabilised in the emulsion (Fig. A10). When the emulsion was shaken 610 and sampled after storage, a large mean droplet size was recorded, due to the re-611 incorporation of the lower layer and therefore larger droplets (Fig. A11). Through 612 further homogenisation steps, it may be possible to increase the uniformity of the 613 droplet size distribution and improve the overall stability of the emulsion, preventing 614 noticeable sedimentation.



615

Day 1 Day 2 Day 3 Day 5 Day 17 Day 26 Day 37

Fig. 8. Cream Volume Ratio heights over 37 days for 20 vol.% W/O emulsions formed from 0.4, 0.2, 0.14 and 0.04 wt.% oil-CMG-HOSO dispersions. Inset: pictures of emulsions from

618 left to right on day 1, day 17 and day 37 (from left to right: 0.4, 0.2, 0.14 and 0.04 wt.%)
619 Evidently, a delicate balance between CMG and internal phase amount is required to

620 produce W/O emulsions of good stability. From the cream volume ratio data, a 621 concentration of ca. 0.2 wt.% cellulose seems to be the optimum. Sufficient oil-CMG 622 must be added in order to cover the water-oil interface and provide good coverage, 623 however addition of excess oil-CMG leads to seemingly irreversible aggregation of 624 cellulose in the continuous oil phase. This was evidenced in the confocal images 625 (Fig. 9). Good cellulose coverage is visible for an emulsion of 0.2 wt.% cellulose, 626 with Pickering-type stabilization via oil-CMGs and non-spherical droplets. However, 627 an aggregated network of flocculated oil-CMGs is visible in the continuous phase at 628 0.4 wt.% cellulose, stabilising larger water droplets.

629 Such behaviour was confirmed by particle size measurements, where size over time 630 is guantified by  $(d_{3,2})$  values of the emulsions, at various concentrations of oil-CMG 631 (Fig. 10). The highest emulsion stability was observed for 0.2 to 0.25 wt.% cellulose, 632 which gave the smallest initial droplet sizes and values remaining within a 2 µm 633 range during 1 week of storage. For concentrations <0.25 wt.% cellulose, the initial 634 particle size was relatively large due to insufficient droplet coverage but decreased 635 over time, most probably due to sedimentation of larger droplets, (as discussed 636 above). For concentrations >0.25 wt.%, the initial droplet size increased again 637 relative to 0.25 wt.%, possibly due to the presence of excess, flocculated oil-CMGs 638 in the oil phase. However, over time particle size became comparable to 0.2 to 0.25

- 639 wt.% cellulose emulsions with no significant sedimentation observable. Confocal
- 640 images show that at larger concentrations, oil-CMGs adsorb to the interface over
- time, leading to better coverage of water droplets and higher stability (Fig. A12).
- 642 Therefore, although higher concentrations of cellulose may lead to an initially larger
- 643 particle size, rearrangement of oil-CMGs at the interface allows space for excess
- 644 CMG in the oil phase to spontaneously adsorb to the interface. "De-flocculation" may 645 occur. due to the rearrangement of the polymer chains within the oil-CMGs. resulting
- 645 occur, due to the rearrangement of the polymer chains within the oil-CMGs, resulting 646 in a particle size reduction. This behaviour has also been reported for poly(*N*-
- 647 isopropylacrylamide) (pNIPAM) polymer microgels (Pinaud et al., 2014).



Fig. 9. Confocal images of 20 vol.% W/O emulsions stabilised by a) 0.2 wt.% oil-CMG; b) 0.4 wt.% oil-CMG. Scale bar = 50 μm



Fig. 10. Changes in the particle size of W/O emulsions over time, with 0.14, 0.15, 0.2, 0.25
and 0.4 wt.% oil-CMG-emulsifier (green, red, blue, orange and grey respectively). Particle
size is given as the surface-weighted mean diameter (*d*<sub>3,2</sub>)

655 It is also important to consider the amount of cellulose present during regeneration, 656 i.e. the amount of cellulose dissolved initially in the IL, which also affects the size and 657 packing of the cellulose networks in the CMGs. A denser gel network is expected to 658 form at higher wt.% cellulose in the IL, potentially increasing the adsorption and 659 retention of oil during the regeneration process. However, higher density may lead to 660 reduced macrogel break down, yielding a greater average CMG particle size and a 661 larger overall droplet size in the final emulsion. Furthermore, it has been reported 662 that microgels with lower cross-link densities display faster adsorption rates to the 663 interface and higher compressibility. A more elastic interface is expected to give 664 higher stability (Pinaud et al., 2014). The effect of network density on emulsion 665 stability is currently being investigated, alongside studies employing NMR and FTIR 666 in order to further understand the mechanism of CMG-regeneration. Such 667 investigations aim to probe the interactions between cellulose and oil, as well as 668 each of their individual interactions with 1-butanol and the IL during regeneration. 669

## 670 4. Conclusions

671 A natural, unmodified cellulose emulsifier has been prepared, by fabricating a 672 "hydrophobic" microgel using a facile regeneration method from an IL. An edible oil was introduced to the cellulose after dissolution, which appeared to remain bound in 673 674 some way to the cellulose throughout the coagulation process, as confirmed using 675 FTIR, SEM and WAXS. It is suggested that an "oily" or hydrophobic surface is 676 exposed, allowing the cellulose to act as a W/O emulsifier after breakdown to form 677 microgel particles in a dispersion. The oily macrogel was much easier to disperse in 678 oil and a better W/O emulsifier – W/O emulsions containing 0.2 wt.% cellulose and at 679 least 20 vol.% water being stable for at least 4 weeks. At higher concentrations, an 680 increase in CMG present in the continuous phase resulted in formation of flocculated 681 microgel regions. Regeneration from higher wt.% cellulose/IL solutions is thought to 682 increase the density of the cellulose gel network, possibly leading to a higher 683 retention of oil and resulting in even better CMG-W/O surface activity, whilst also 684 affecting their swelling properties at the interface (Pinaud et al., 2014).

685 Thus, the current study proposes a novel approach to forming a hydrophobic 686 cellulose-based emulsifier without employing chemical modification, that meets the 687 demand for a natural, renewable W/O emulsifier. This could be of great value to the 688 food industry as a method of reducing fat as well as finding applications in 689 agricultural, cosmetic and medical areas. Further work on the understanding of the 690 interactions between the cellulose and the oil in both the microgels and the 691 emulsions is required to optimise the W/O emulsion formulations. Shelf-stability of 692 the CMGs as ingredients could also be addressed by considering their dried and 693 swollen states.

694

## 695 Acknowledgements

- 696 The authors gratefully acknowledge the Engineering and Physical Sciences
- 697 Research Council (EPSRC) funded Centre for Doctoral Training in Soft Matter and
- 698 Functional Interfaces (SOFI), Grant Ref. No. EP/L015536/1 as well as Mondelēz
- 699 International (Reading, UK) for financial support. Access to the Zeiss LSM700
- 700 microscope was provided by the Bioimaging Facility of the Faculty of Biological
- 701 Sciences of the University of Leeds. We also wish to thank Andrew Hobson and
- 702 Alexander Kulak (both University of Leeds) for their help and assistance with the
- 703 FTIR and SEM, respectively and finally, Aurimas Seimys for his initial work on the 704 project.

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