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GaAs/Al_{0.8}Ga_{0.2}As Separate Absorption and Multiplication Region X-ray Spectroscopic Avalanche Photodiodes

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7 A GaAs/Al_{0.8}Ga_{0.2}As Separate Absorption and Multiplication (SAM) X-ray avalanche photodiode (APD) 8 structure was grown by metalorganic vapour phase epitaxy. Mesa photodiodes of different diameter (200 µm and 9 400 µm) were fabricated from the structure. Two of the photodiodes (one of each diameter) were characterised 10 at 20 °C for their electrical properties and response to X-rays using an ⁵⁵Fe radioisotope X-ray (Mn K α = 5.9 keV; Mn K β = 6.49 keV) source. An energy resolution of 508 eV ± 5 eV Full Width at Half Maximum (FWHM) at 11 12 5.9 keV was achieved at an apparent avalanche gain, M, of 1.1. This is the best energy resolution so far reported 13 for GaAs/Al_xGa_{1-x}As X-ray SAM APDs. The noise components associated with the achievable spectroscopic 14 energy resolutions are reported. Comparisons between the 200 µm and 400 µm diameter GaAs/Al_xGa_{1-x}As SAM 15 X-ray APDs and recently studied GaAs p⁺-i-n⁺ detectors were made, showing that the inclusion of the avalanche 16 layer improves the achievable energy resolution; energy resolutions of 508 eV FWHM at 5.9 keV at M = 1.1 and 17 603 eV FWHM at 5.9 keV at M = 1.2 were achieved with the 200 μ m and 400 μ m diameter GaAs/Al_xGa_{1-x}As 18 SAM X-ray APDs respectively; this is better than was previously reported for similar devices without avalanche 19 layers: 690 eV FWHM at 5.9 keV and 730 eV FWHM at 5.9 keV for 200 µm and 400 µm diameter GaAs p⁺-i-n⁺ 20 detectors respectively (G.Lioliou et al., J. Appl. Phys. 122, 244506 (2017)).

22 KEYWORDS

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GaAs; AlGaAs; SAM; APD; X-ray detector; Spectroscopy

25 I. INTRODUCTION

26 Due to the limitations of narrow bandgap (typically Si) X-ray spectrometers commonly in use today [1, 2], a 27 variety of wide bandgap materials, such as GaAs [3-7], diamond [8, 9], SiC [10-12], In_{0.5}Ga_{0.5}P [13, 14], 28 Al_{0.52}In_{0.48}P [15-17], and Al_xGa_{1-x}As [18-21], have been investigated as potential X-ray detector 29 replacements. The cooling systems and radiation shielding often required for Si X-ray spectrometers [22] place 30 substantial burdens on spacecraft mass, volume, and power consumption, limiting their suitability for certain space 31 science applications (e.g. missions to study the surface of Mercury, or the Jovian moons, where temperatures 32 and/or radiation intensities are significant). Wide bandgap materials, such as GaAs and Al_xGa_{1-x}As, offer an 33 alternative. Such materials can operate in a large range of thermal and radiation environments, while still 34 providing sub-keV spectral resolutions at soft X-ray energies [22]. In the case of GaAs, its wider bandgap 35 (1.43 eV for GaAs cf. 1.12 eV for Si [22]), larger X-ray absorption coefficient (837 cm⁻¹ for GaAs cf. 346 cm⁻¹ for Si, at 5.9 keV [23]), and improved radiation hardness in comparison to Si [24], allows for superior energy 36 37 resolutions at high temperature [25], thinner X-ray detecting structures [26], and potentially longer instrument 38 lifetimes in intense radiation environments.

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40 Various prototype GaAs based X-ray spectrometers have been reported, with most work focused on GaAs p⁺-i-n⁺ 41 mesa X-ray photodiodes operated in the non-avalanche regime. Circular mesa GaAs, 200 µm diameter, 7 µm 42 thick i layer, p^+ -i- n^+ photodiodes have been characterised across the temperature range 60 °C to 0 °C, with an 43 energy resolution of 750 eV FWHM at 5.9 keV for the best performing device at 20 °C [6]. Similar GaAs photodiodes (10 μ m i layer) have been characterised over the temperature range 100 °C to -20 °C, with an energy 44 resolution of 690 eV FWHM at 5.9 keV reported at room temperature (20 °C) [27]. GaAs planar p⁺-i-n⁺ 45 photodiode arrays have also been reported with very promising results; a 5×5 pixel, 40 µm i layer, GaAs p⁺-i-n⁺ 46 47 diode array structure had a reported energy resolution of 266 eV FWHM at 5.9 keV at room temperature [3]. 48 GaAs arrays of a similar structure, but with thicker epilayer layers (325 µm i layer), had a reported energy 49 resolution of 300 eV FWHM at 5.9 keV at room temperature [28].

51 $Al_xGa_{1-x}As$, due to the material's ability to be tailored to particular application environments by adjusting the Al 52 fraction (e.g. a reduction in Al fraction reduces the bandgap), has gained attention as an interesting material for 53 X-ray detection [21, 26, 29]. Most work has focused on Al_xGa_{1-x}As p⁺-i-n⁺ mesa photodiodes, operated within 54 the non-avalanche regime. Circular mesa $Al_{0.8}Ga_{0.2}As$, 200 µm diameter, 1 µm i layer, p⁺-i-n⁺ photodiodes have 55 been characterised across the temperature range 90 °C to -30°C, with an energy resolution of 1.07 keV FWHM at 56 5.9 keV reported at 20 °C [29]. Circular mesa Al_{0.2}Ga_{0.8}As, 200 µm diameter, 3 µm i layer, p⁺-i-n⁺ photodiodes 57 have been characterised across the temperature range 20 °C to -20 °C, with an energy resolution of 1.06 keV FWHM at 5.9 keV reported at 20 °C [21]. A 2×2 square pixel Al_{0.2}Ga_{0.8}As array (each photodiode area 200 μ m 58 59 by 200 µm, 3 µm i layer) was also recently reported with improved results; an energy resolution of 760 eV FWHM at 5.9 keV at 20 °C was achieved [26]. 60

Whilst non-avalanche GaAs and $Al_xGa_{1-x}As$ X-ray detectors show great promise, the demands they place on their preamplifier electronics are more stringent than those of narrower bandgap materials like Si, because the electron-hole pair creation energies of GaAs and $Al_xGa_{1-x}As$ are larger (e.g. 4.2 eV for GaAs cf. 3.6 eV for Si [22]). Avalanche photodiodes (APDs) potentially reduce those demands by increasing the amount of charge created from the absorption of an X-ray photon. However, the greater operating biases required can cause higher leakage currents which results in more parallel white noise.

APDs are widely used in photonic detection systems, most notably within telecommunications [30-33], to improve response relative to that of conventional p^+ -i- n^+ photodiodes [30]. The increased response from such APDs is due to the impact ionisation process, where charge carriers gain enough kinetic energy to generate electron-hole pairs during collisions with atoms in the lattice [34, 35].

For photons of infrared to ultraviolet wavelength, where the photon energy is of the same order as the bandgap energy of the detector material, the stochastic nature of the impact ionisation process in APDs commonly adds noises (quantified by the so called the excess noise factor, N_x) to the signal [36, 37] such that

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$$N_x = kM + \left(2 - \frac{1}{M}\right)(1 - k),$$
(1)

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80 where k (= β/α) is the ratio between the hole (β) and electron (α) ionisation coefficients, which represent the 81 inverse of the mean distance between successive impact ionisation events [36]. In most compound 82 semiconductors, k can range from 0.3 to 1.0, leading to a large N_x [38]. Hence, as per Eq. 1, assuming an extension 83 of applicability of this model to the X-ray case, a poor energy resolution was originally expected [38]. For this 84 reason, it was originally thought that the use of APDs would degrade the resolution of X-ray spectrometers and 85 hence they were not extensively investigated [39]. However, recent studies have improved the understanding of APDs [31, 35, 36, 38, 40]. Notably, Tan et al. [38] showed that the common model of excess noise is not directly 86 87 applicable at X-ray energies, since the distribution of avalanche gains tightens as the initiating photon energy, E, 88 is increased, thus leading to significantly lower additional noise for X-rays than would be expected in the case for 89 infrared, visible, or UV photons [38]. Additional design choices such as using very thin avalanche layers can also 90 be used to reduce excess noise [31].

The first X-ray APDs were complex staircase band structures [18, 41, 42]. For example, a GaAs/Al_xGa_{1-x}As SAM APD ($320 \mu m \times 450 \mu m$ active area) was reported to function as an X-ray detector at room temperature, utilising a series of staircase multiplication regions [18]; an energy resolution of 900 eV FWHM at 13.96 keV was reported at an avalanche multiplication of 4.1 at room temperature [18]. More recent work has concentrated on simpler SAM APD structures [43]. For example, a thin (430 nm GaAs absorption layer, 220 nm Al_{0.8}Ga_{0.2}As multiplication layer) GaAs/Al_{0.8}Ga_{0.2}As SAM X-ray APD was reported at room temperature [44]; it had an energy resolution of 1.08 keV FWHM at 5.9 keV, at an avalanche multiplication of 3.5.

100 In this work we report the growth, fabrication, and characterisation at room temperature (20 °C) of two new 101 circular GaAs/Al_{0.8}Ga_{0.2}As SAM X-ray photodiodes of different diameter (200 µm and 400 µm). The results are 102 compared with recently reported non-avalanche GaAs p⁺-i-n⁺ X-ray photodiodes of the same size (200 µm and 103 $400 \,\mu\text{m}$ diameter) and with the same absorption layer thickness (10 μm) [27]. The same measurement techniques 104 and readout electronics were used in both cases. The work shows that the addition of the avalanche layer improves 105 the energy resolution. This unambiguous comparison of the detectors both with and without an avalanche layer 106 but otherwise of the same design provides conclusive evidence that the addition of an avalanche layer can be used 107 to improve the energy resolution of a spectroscopic photon counting X-ray detector.

109 **II. DIODE DESIGN**

The GaAs/Al_{0.8}Ga_{0.2}As SAM structure (see Fig. 1) was grown by metalorganic vapour phase epitaxy upon a commercial GaAs n⁺ substrate. The photodiode layer details are outlined in Table 1. Circular mesa structures of 200 μ m diameter and 400 μ m diameter were etched using a 1:1:1 H₃PO₄:H₂O₂:H₂O solution followed by 10 s in a 1:8:80 H₂SO₄:H₂O₂:H₂O solution. Ohmic contacts consisting of 200 nm Au and 20 nm Ti were evaporated upon the top p⁺ side of the mesa structures and ohmic contacts consisting of 200 nm Au and 20 nm InGe were evaporated upon the back side of the substrate. The top contacts covered 45% of the 200 μ m diameter diode's face and 33% of the 400 μ m diameter diode's face.

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Fig. 1. Schematic of GaAs/Al_{0.8}Ga_{0.2}As SAM structure.

121 Table 1. GaAs/Al_{0.8}Ga_{0.2}As SAM layer details.

Material	Dopant	Dopant type	Thickness (nm)	Doping density (cm ⁻³)
GaAs	Zn	р	10	1×10 ¹⁹
GaAs	Zn	р	200	2×10 ¹⁸
GaAs			10000	Undoped
GaAs	Zn	р	100	2×10 ¹⁷
Al _{0.8} Ga _{0.2} As	Zn	р	200	2×10 ¹⁷
Al _{0.8} Ga _{0.2} As			100	Undoped
Al _{0.8} Ga _{0.2} As	Si	n	200	2×10 ¹⁸
GaAs	Si	n	200	2×10 ¹⁸
GaAs n ⁺ substrate				

III. DETECTOR ELECTRICAL CHARACTERISATION

124 A. Capacitance as a function of applied reverse bias

125 For each GaAs/Al_{0.8}Ga_{0.2}As SAM photodiode (200 µm and 400 µm diameter), capacitance as a function of reverse bias, V_R, was measured using an HP 4275A LCR Meter (50 mV rms signal magnitude; 1 MHz frequency). A 126 127 Keithley 6487 voltage source/picoammeter was used to bias the detectors. Each device was placed within a 128 custom-made, light-tight, electromagnetically screened test fixture and, for temperature control, inserted in a TAS 129 Micro MT environmental chamber. An appropriately positioned thermocouple was used to ensure thermal 130 equilibrium (20 °C) was reached between the environmental chamber and the devices. The test fixture and 131 environmental chamber were purged with dry N_2 (<5% relative humidity) in order to remove any humidity related 132 effects [6]. The environmental chamber was set to 20 °C and left for 1 hour before measuring to ensure thermal

equilibrium. The measured capacitances as functions of reverse bias for (a) the 200 µm diameter device and (b)
the 400 µm diameter device is shown in Fig. 2.



Fig. 2. Capacitance for (a) the 200 μm diameter device and (b) the 400 μm diameter device, as a function of applied reverse
bias, at 20 °C. The empty package capacitance, in each case, has not been subtracted.

140 The measured capacitance of both packaged devices decreased with increasing reverse bias, from 1.14 pF and 141 2.26 pF at $V_R = 0$ V for the 200 µm and 400 µm diameter device respectively, to 1.12 pF and 2.20 pF at $V_R = 60$ V 142 for the 200 µm and 400 µm diameter device respectively. The uncertainty associated with each individual 143 capacitance measurement was $\approx \pm 0.03$ pF. However, because a set of measurements were taken without 144 modifying the conditions (e.g. no variations in electrical connections and temperature), fittings on the 145 experimental data provide a more appropriate uncertainty for relative changes [45]. Exponential fittings on the 146 measured capacitance for each device were performed as a function of reverse bias. An uncertainty of ± 0.4 fF 147 was estimated. The drop in capacitance across both the 200 µm and 400 µm diameter devices, within the range 148 $13 \text{ V} \le \text{V}_{R} \le 16 \text{ V}$, indicated that the punch-through voltage (the voltage at which the multiplication region rapidly 149 depletes) was ≈ 14 V [44].

The measured capacitance, C_M , included both the diode capacitance, C_D , and the package capacitance, C_P , since the devices were packaged. C_P was removed by assuming a constant capacitance density as a function of device area. The capacitance density of the 200 µm diameter device and the 400 µm diameter device at each applied reverse bias were compared, and the empty package capacitance calculated. A mean average empty package capacitance (0.76 pF) was calculated for C_P and was subsequently subtracted from C_M for each device. Fig. 3 presents the capacitance densities for the 200 µm and 400 µm diameter devices.

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Fig. 3. Capacitance density for the 400 μm diameter device (triangles) and the 200 μm diameter device (circles) as a function
of applied reverse bias, at 20 °C, taking into account the empty package capacitance (0.76 pF).

162 The capacitances of the devices were defined primarily by the depletion layer capacitance, C_{DL} of each device
163 [34]. Therefore, the depletion width, W, could be calculated using the equation

$$W = \frac{\varepsilon_0 \varepsilon A}{c_{DL}},\tag{2}$$

where A is the device area, ε is the relative permittivity of the material, and ε_0 is the permittivity of free space [34]. For the reported devices, multiple materials (GaAs and Al_{0.8}Ga_{0.2}As) influence C_{DL}, with their associated contributions difficult to detangle. As such, in order to calculate W, the devices were approximated to be simple GaAs structures ($\varepsilon = 13.16$ [46]). Since ε of Al_{0.8}Ga_{0.2}As (= 10.628 [47]) is smaller in value, the presently reported W should be taken as an upper limit. The Debye length of GaAs (0.06 µm) was also taken into account when calculating the depletion width uncertainty [48]. Fig. 4 (a) shows the depletion width as a function of applied reverse bias.

The depletion width increased as a function of applied reverse bias for both devices, increasing from 9.8 μ m $\pm 0.1 \mu$ m at V_R = 0 V for the 200 μ m and 400 μ m diameter device, to 10.3 μ m $\pm 0.1 \mu$ m and 10.2 μ m $\pm 0.1 \mu$ m at V_R = 60 V for the 200 μ m and 400 μ m diameter device, respectively. As was the case in Fig. 2, the increase in depletion width across both the 200 μ m and 400 μ m diameter devices, between 13 V and 16 V, indicated the punch-through voltage. Linear least squares fitting was applied to both devices, and indicated that the devices were fully depleted at V_R \geq 50 V.

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182 The GaAs/Al_{0.8}Ga_{0.2}As SAM APD structure quantum detection efficiency was calculated using the Beer-Lambert
183 law, assuming that the active region was solely confined to the GaAs absorption layer and that it was fully depleted
184 and active. The results can be seen in Fig. 4 (b). For photons of 5.9 keV energy, the quantum detection efficiencies
185 of the devices structure presented here were 0.56 in areas not covered by the top contact, and 0.46 in areas covered
186 by the top contact. The weighted quantum efficiency assuming uniform illumination of the devices was 0.52 and
187 0.53 for the 200 µm and 400 µm diameter detectors, respectively.

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Fig. 4. (a) Calculated depletion width for the 200 μm diameter device (circles) and the 400 μm diameter device (triangles) as
a function of applied reverse bias, at 20 °C. (b) Calculated detection efficiency for the GaAs/Al_{0.8}Ga_{0.2}As SAM APD structure
as a function of energy. The Al K, Ga L, and As L X-ray absorption edges are responsible for the detection efficiency
discontinuities.

The general nonuniform distributions equation [34] was used to calculate the carrier concentration of the spacecharge region, N, where,

$$\frac{\mathrm{d}(1/C_{DL}^2)}{\mathrm{d}V_R} = \frac{2}{\mathrm{q}\varepsilon_0 \varepsilon N},\tag{3}$$

where q is the elementary charge and the other symbols have previously been defined. For both devices, at a calculated depletion width $\approx 10 \ \mu m$, the carrier concentration reached a minimum of $\approx 7 \times 10^{14} \ cm^{-3}$. Fig. 5 presents the carrier concentration for the GaAs/Al_{0.8}Ga_{0.2}As detectors as a function of calculated depletion width. Variation in the apparent carrier concentration between the 200 μm and 400 μm devices was within the uncertainty of the measurements.



Fig. 5. Carrier concentration for the 200 μm (circles) and 400 μm (triangles) diameter devices as a function of calculated
 depletion width.

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210 B. Current as a function of applied reverse bias

211 The leakage current of the 200 µm and 400 µm diameter devices was measured using a Keithley 6487 voltage

212 source/picoammeter as a function of applied reverse bias. The environmental conditions were the same as for the

213 capacitance measurements. Fig. 6 presents the measured leakage current, I_R , of the packaged devices as a function 214 of applied reverse bias.

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Fig. 6. Leakage current for the 200 μm diameter device (circles) and the 400 μm diameter device (triangles) as a function of
 applied reverse bias.

For both packaged devices, the leakage current increased with increasing reverse bias. At the maximum applied reverse bias (60 V), the leakage currents were measured to be 21.6 pA \pm 0.8 pA for the 200 µm device and 25.7 pA \pm 0.5 pA for the 400 µm device. The uncertainties associated with the current measurements were dominated by the uncertainty associated with a single measurement from the Keithley 6487 voltage source/picoammeter.

225 In order to determine the corresponding leakage current density, J_R , of the devices, the leakage current associated 226 with the package (and measurement system) was determined by measuring an empty package of identical type. 227 It was found that the empty package's leakage current was smaller than the uncertainty (± 0.4 pA) of the 228 instrument, it was therefore considered negligible. The apparent leakage current density, as shown in Fig. 7, of 229 the 400 μ m diameter device was improved (lower) relative to the 200 μ m diameter device (20.4 nA cm⁻² \pm 0.4 nA cm⁻² for the 400 µm diameter device cf. 68.8 nA cm⁻² \pm 2.7 nA cm⁻² for the 200 µm diameter device, at 230 231 the maximum applied reverse bias (60 V). This suggested that the leakage current did not scale with junction 232 area. The presence of a non-negligible surface leakage current, possibly due to the devices being unpassivated 233 [49, 50], cannot be excluded entirely, but the measured leakage currents do not scale with circumference either. 234 A similar trend was recently reported for GaAs p⁺-i-n⁺ mesa X-ray photodiodes of the same size (200 µm and 400 235 μ m diameter) and with the same absorption layer thickness (10 μ m) [27]. For the present devices, the difference 236 in leakage current density with junction area was possibly attributable to damage caused by wirebonding to the 237 thin metal contacts, resulting in a dominating additional leakage current component.

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Fig. 7. Apparent leakage current density for the 200 μm (circles) and 400 μm (triangles) diameter devices as a function of
 applied reverse bias, at 20 °C.

The leakage current densities of the presently reported devices were greater than those measured for recently reported GaAs p⁺-i-n⁺ mesa X-ray photodiodes [27]. At an internal electric field strength, E_f, of 50 kV cm⁻¹ (corresponding to 51 V applied reverse bias for the GaAs/Al_{0.8}Ga_{0.2}As devices, assuming E_f was uniform and across only the depleted region), leakage current densities of 61.8 nA cm⁻² ± 1.5 nA cm⁻² and 16.4 nA cm⁻² ± 0.4 nA cm⁻² were measured for the 200 µm diameter and 400 µm diameter devices respectively. At the same E_f, leakage current densities of 15 nA cm⁻² and 6 nA cm⁻² were measured for the 200 µm daAs devices respectively, at 20 °C [27].

251 IV. PHOTON COUNTING X-RAY SPECTROSCOPY

A. Experimental measurements and determination of the spectrometers' energy resolutions

253 In order to characterise the X-ray detection performance of the GaAs/Al_{0.8}Ga_{0.2}As SAM APD devices, each 254 detector was connected, in turn, to a custom-made low-noise charge-sensitive feedback-resistorless preamplifier, 255 similar in design to ref. [51]. The preamplifier, in each case, was connected to a shaping amplifier (Ortec 572A) 256 and a multi-channel analyser (Ortec Easy-MCA 8k). An ⁵⁵Fe X-ray (Mn K α = 5.9 keV; Mn K β = 6.49 keV) source (≈ 131 MBq) was positioned ≈ 4 mm above each GaAs/Al_{0.8}Ga_{0.2}As SAM APD in turn. The resulting 257 258 spectrometers: S_{200} (using the 200 µm diameter detector) and S_{400} (using the 400 µm diameter detector) were 259 installed within a TAS Micro MT environmental chamber for temperature control. The environmental chamber 260 temperature was set to 20 °C, and allowed to stabilise for 1 hour before measurements were taken. A 261 thermocouple was positioned close to the spectrometer such that temperature equilibrium between the 262 environmental chamber and the spectrometer could be monitored. The environmental chamber was purged 263 continually throughout the measurements with dry N_2 (< 5% relative humidity) as to reduce any humidity related 264 effects [52].

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Spectra were accumulated for each spectrometer as a function of detector applied reverse bias. The applied reverse bias was initially set to 0 V, then increased in 1 V steps up to 50 V. The reverse bias was increased further in steps of 5 V, up to 60 V. After each voltage change, the system was allowed to stabilise for 5 minutes before taking a measurement. Since the two detectors had different active areas, the live time limits of each spectrum were set differently: spectra accumulated with S_{200} had a live time limit of 100 s; spectra accumulated with S_{400} had a live time limit of 25 s. A shaping time of 0.5 μ s was used; this was the best available shaping time for each system. The accumulated ⁵⁵Fe spectra for the 200 μ m and 400 μ m diameter GaAs/Al_{0.8}Ga_{0.2}As SAM APD spectrometers can be seen in Fig. 8.



Fig. 8. Accumulated ⁵⁵Fe spectra using the spectrometers (a) S_{200} and (b) S_{400} . The same shaping time (0.5 μ s) and temperature (20 °C) were used for all spectra. The vertical black lines indicate the positions of the combined 5.9 keV (Mn K α) and 6.49 keV (Mn K β) X-ray photopeaks from the ⁵⁵Fe X-ray source accumulated with the detectors reverse biased at 0 V and 60 V.

For each accumulated spectrum from the ⁵⁵Fe X-ray (Mn K α = 5.9 keV; Mn K β = 6.49 keV) source, Gaussian 280 281 fitting was applied. The relative emission ratio [53] and the relative detection efficiency of the GaAs/Al_{0.8}Ga_{0.2}As 282 SAM APDs at these energies were taken into account in fitting the Mn K α and K β peaks. The Mn K α and 283 K β peaks were not individually resolved by the spectrometer; as such, the peak detected is the combination of the 284 Mn K α and K β lines. The form of spectroscopic response was consistent with a SAM APD; this was further 285 exemplified by plotting the change in 5.9 keV peak centroid position (corrected for changes in zero energy noise peak position and plotted in terms of the MCA's analogue to digital units, ADU, scale) as a function of applied 286 287 detector reverse bias, as shown in Fig. 9.



Fig. 9. Difference, in ADU, between the 0 keV position and the position of the centroid of the fitted 5.9 keV peak as a function
of applied detector reverse bias, at a shaping time of 0.5 μs, and a temperature of 20 °C for the spectrometers (a) S₂₀₀ and (b)
S₄₀₀.

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The sharp increase in 5.9 keV peak position between 14 V and 19 V for both the 200 μ m and 400 μ m diameter devices, as shown in Fig. 9, was attributed to an improved charge collection efficiency from reaching the

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296 punch-through voltage (see Fig. 2). At applied detector reverse biases less than the punch-through voltage, charge 297 carriers cannot readily travel through the $Al_{0.8}Ga_{0.2}As$ junction (see Table 1) [18], limiting the charge collection 298 efficiency. The increasing 5.9 keV peak position as a function of applied detector bias beyond 19 V was a result 299 of increases in avalanche multiplication. The apparent multiplication factor, M, was calculated for both 300 spectrometers by calculating the ratio between the fitted 5.9 keV peak position at each bias and the fitted 5.9 keV 301 peak position at unity gain (M = 1) and complete charge collection, assumed to be achieved at $V_R = 19$ V applied 302 to the detector. The apparent multiplication factor as a function of applied detector reverse bias can be seen in 303 Fig. 10.



Fig. 10. Apparent multiplication factor of the 5.9 keV ⁵⁵Fe photopeak as a function of applied detector reverse bias for the spectrometers (a) S₂₀₀ and (b) S₄₀₀, at 20 °C. Unity gain was set to 19 V.

The spectra were energy calibrated by assuming a linear variation of output charge with energy and using the positions of the so called zero energy noise peak and fitted 5.9 keV peak. The energy resolution (FWHM at 5.9 keV) was then calculated for each accumulated spectrum. Fig. 11 presents the energy resolution of each spectrometer as a function of applied detector reverse bias.



Fig. 11. FWHM at 5.9 keV for the spectrometers (a) S₂₀₀ and (b) S₄₀₀ as a function of applied detector reverse bias at a shaping
 time of 0.5 μs, and at 20 °C.

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The improved charge collection efficiency, due to overcoming the punch-through voltage of the detectors, resulted in an abrupt improvement in energy resolution (FWHM at 5.9 keV) of the spectroscopic systems around the punch through voltage, as shown in Fig. 11. At $V_R = 14$ V, the FWHM at 5.9 keV was 2.00 keV ± 0.05 keV and 3.36 keV

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321 \pm 0.05 keV for the spectrometers S₂₀₀ and S₄₀₀, respectively. At V_R = 19 V, the FWHM at 5.9 keV was 534 eV 322 \pm 5 eV and 653 eV \pm 6 eV for S₂₀₀ and S₄₀₀, respectively. The best measured energy resolution for the spectrometer 323 S_{200} was 508 eV ± 5 eV, achieved at $V_R = 26$ V, corresponding to an apparent multiplication factor of 1.1. The 324 best measured energy resolution for the spectrometer S_{400} was 603 eV \pm 6 eV, achieved at $V_R = 34$ V, 325 corresponding to an apparent multiplication factor of 1.2. ⁵⁵Fe X-ray spectra accumulated with the spectrometers 326 S₂₀₀ and S₄₀₀ can be seen in Fig. 12 and Fig. 13 respectively.

328 Both the 200 µm diameter and 400 µm diameter GaAs/Al_{0.8}Ga_{0.2}As SAM APD devices reported here, had 329 improved performance relative to the recently studied GaAs p⁺-i-n⁺ photodiodes [27]. At 20 °C, energy resolutions 330 of 690 eV and 730 eV FWHM at 5.9 keV were reported for the 200 µm and 400 µm GaAs p⁺-i-n⁺ photodiode, 331 respectively [27]. The presently reported spectrometers also had improved performance compared to the 332 previously reported GaAs/Al_{0.8}Ga_{0.2}As SAM APD spectrometers which had a FWHM at 5.9 keV = 1.08 keV at 333 an avalanche gain of M = 3.5 at room temperature [44].





Fig. 12. ⁵⁵Fe X-ray spectra accumulated with the 200 µm diameter detector based spectrometer, at 20 °C, a shaping time of 336 337 $0.5 \,\mu$ s, and a reverse bias of (a) 14 V, (b) 26 V, and (c) 60 V. The fitted 5.9 keV (Mn K α) and 6.49 keV (Mn K β) peaks have 338 been plotted (dashed lines). The accumulated spectra have been normalised into counts per keV in order to account for the 339 differing channel widths.

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Fig. 13. ⁵⁵Fe X-ray spectra accumulated with the 400 µm diameter detector based spectrometer, at 20 °C, a shaping time of $0.5 \,\mu$ s, and a reverse bias of (a) 14 V, (b) 34 V, (c) and 60 V. The fitted 5.9 keV (Mn Ka) and 6.49 keV (Mn K β) peaks have been plotted (dashed lines). The accumulated spectra have been normalised into counts per keV in order to account for the differing channel widths.

B. Origin of the secondary peak in the obtained X-ray spectra

348 As could be seen in Fig. 13, at sufficiently high applied detector biases ($V_R \ge 17$ V), a small secondary peak appears to the left (low energy) side of the fitted peaks. The secondary peak appears as a shoulder on the main 349 350 combined peak as they are not resolved from each other. This secondary peak arises as a consequence of the 351 GaAs layer not having a 100 % absorption efficiency for the X-ray photons (see Fig. 4b); some photons are 352 transmitted through the GaAs layer and absorbed in the $Al_{0.8}Ga_{0.2}As$ layers. This can be proven by consideration 353 of the electron-hole pair creation energies of each material.

355 Due to the difference in electron-hole pair creation energy of GaAs ($\omega_{GaAs} = 4.19 \text{ eV} \pm 0.03 \text{ eV}$ at 20 °C [54]) and Al_{0.8}Ga_{0.2}As ($\omega_{AlGaAs} = 5.07 \text{ eV} \pm 0.08 \text{ eV}$ at 20 °C [55]), the average number of charge carriers generated in each 356 357 material by the absorption of a photon of energy, E, also differs.

359 The ratio of the average numbers of charge carriers (N_{AlGaAs} for Al_{0.8}Ga_{0.2}As and N_{GaAs} for GaAs) created by the 360 absorption of a photon of energy, E, in conjunction with the known electron-hole pair creation energy of GaAs, 361 can be used to determine ω_{AlGaAs} , where

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$$\omega_{AlGaAs} = \omega_{GaAs} \left(\frac{N_{GaAs}}{N_{AlGaAs}} \right). \tag{4}$$

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365 The primary and secondary peak of the spectra obtained with S_{400} , with the detector biased at 34 V and 60 V respectively, were fitted with Gaussians for the 55 Fe 5.9 keV (Mn K α) and 6.49 keV (Mn K β) peak emissions in 366 367 the accepted ratio [53], see Fig. 14; the relative detection efficiencies of the Mn K α and Mn K β photons were also 368 taken into account [49]. The accepted value of ω_{GaAs} was then used in conjunction with Eq. 4 to calculate ω_{AIGaAs} . 369 With the detector biased at 34 V and 60 V, ω_{AIGaAs} was found to be 5.04 eV ± 0.08 eV and 5.06 eV ± 0.08 eV 370 respectively, which are in agreement with the accepted value ($\omega_{AlGaAs} = 5.07 \text{ eV} \pm 0.08 \text{ eV}$ at 20 °C [55]). Thus, 371 it was demonstrated that the secondary, left shoulder, peak arises as a consequence of X-ray absorption in the 372 $Al_{0.8}Ga_{0.2}As$ layers by computation of the electron-hole pair creation energy of $Al_{0.8}Ga_{0.2}As$ from the spectra.

The secondary peak, clearly visible as a shoulder in Fig. 13 and Fig. 14 (400 μ m diameter detector), was less visible in Fig. 12 (200 μ m diameter detector). This was attributed to the size difference between the two investigated detectors, where the front face of the 400 μ m diameter detector received four times more photons than the 200 μ m diameter detector.



Fig. 14. ⁵⁵Fe X-ray spectra accumulated with S₄₀₀ at a reverse bias of (a) 34 V, and (b) 60 V. Charge calibration was achieved using the positions of the zero energy noise peak of the preamplifier and the GaAs peak, together with the accepted ω_{GaAs} value. The dashed and dotted lines are the fitted 5.9 keV (Mn K α) peaks for the Al_{0.8}Ga_{0.2}As and GaAs materials respectively; the 6.49 keV Mn K β peaks were considered and included in the analysis but are not shown in the figures for clarity

385 **C. Noise analysis**

Four sources of noise influence the energy resolution of a charge-sensitive preamplifier coupled to a semiconductor detector operating in avalanche mode, these are: the Fano noise, N_F [56]; incomplete charge collection noise, R [22]; the electronic noise, A [57]; and the excess noise factor, N_x [44].

The Fano noise, assuming that X-ray photons incident on the reported detectors are absorbed only within the GaAs
 absorption region, can be calculated using the equation

$$N_F = 2.355\omega_{GaAs}\sqrt{FE/\omega_{GaAs}},\tag{5}$$

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where F (0.12 [58]) is the Fano factor of GaAs and the other symbols have previously been defined. N_F was calculated to be 13 e⁻ rms at 5.9 keV (128 eV FWHM at 5.9 keV) for the GaAs/Al_{0.8}Ga_{0.2}As SAM APD. Since ACCEPTED MANUSCRIPT version of record will be different from this version once it has been copyedited and typeset PLEASE CITE THIS ARTICLE AS DOI: 10.1063/5.0009830 the online Ŭ ablishing

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397 the calculated Fano noise was less than the reported spectrometers measured energy resolution, other noise 398 contributions were clearly affecting the spectroscopic system.

400 The electronic noise from the detector and preamplifier of a photodiode X-ray spectrometer consists of dielectric 401 noise, N_D, series white noise (including the induced gate drain current noise), N_{SW}, parallel white noise, N_{PW}, and 402 1/f series noise, N_{1/f} [19]. Dielectric noise is induced by lossy dielectrics close to the input of the spectrometer 403 (e.g. the packaging of the preamplifier input Junction Field-Effect Transistor (JFET) and detector) [59]. Series 404 white noise is caused by capacitances at the input of the spectrometer (e.g. the input JFET (JFET capacitance 405 = 2 pF [60]) and the detector (see Fig. 2)) [27]. Parallel white noise is induced by leakage currents from the input 406 JFET (JFET leakage current = 1 pA [60]) and the detector (see Fig. 6) [27]. For a review of the various noise 407 components, the reader is directed to refs [59, 61, 62].

409 The dielectric noise, series white noise, parallel white noise, and 1/f series noise contributions were calculated for 410 each spectrometer (S_{200} and S_{400}) via the standard methods for semiconductor X-ray devices connected to charge-sensitive preamplifiers [59]. The results can be seen in Fig. 15. It should be noted that, in the case of the 411 412 dielectric noise contributions, only a lower bound value could be calculated directly; whilst dielectric noise from the JFET, feedback capacitor, and GaAs/Al_{0.8}Ga_{0.2}As SAM APD device could be estimated [19, 25], additional 413 414 lossy dielectrics close to the preamplifier input could have also contributed to the noise. Similarly, due to the 415 prototype nature of the preamplifier, the presence of unknown capacitances may have also added to the series 416 white noise contribution. The dielectric noise and series white noise were thus considered in two parts: known 417 noise contributions and stray noise contributions. Subtracting the expected Fano noise and the electronic noise 418 contributions (1/f noise, parallel white noise, known series white noise (including induced gate drain current 419 noise), and known dielectric noise) from the measured FWHM in quadrature, yields a combination of stray series 420 white noise, stray dielectric noise, incomplete charge collection noise, excess noise, and possibly stray parallel 421 white noise contributions (so called the remaining noise contribution). Changes in detector capacitance were 422 included in the known series white noise and known dielectric noise calculations, whilst the JFET was considered 423 to contribute a constant capacitance (2 pF [60]) to both calculations. A constant JFET leakage current (1 pA [60]) 424 was included in the known parallel white noise calculation.



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427 Fig. 15. Calculated noise contributions of the spectrometers (a) S_{200} and (b) S_{400} as a function of applied detector reverse bias 428 at a shaping time of 0.5 μ s, and at 20 °C: total noise (stars); sum of the calculated noise contributions (long dashed line); known 429 series white noise (short dashed line); known dielectric noise (solid line); calculated parallel white noise (dotted line).

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431 As per Fig. 15, the total sum of the calculated noise contributions (Fano noise, 1/f noise, known series white noise, 432 known dielectric noise, and parallel white noise, added in quadrature) did not account for the measured total noise 433 (FWHM at 5.9 keV) of the 200 µm and 400 µm diameter detector based spectroscopic systems. At an applied 434 detector reverse bias of 10 V, the total noise of each system was 198 e⁻ rms \pm 5 e⁻ rms, and 357 e⁻ rms \pm 5 e⁻ rms 435 for S_{200} and S_{400} , respectively. At the same applied bias (10 V), the total sum of the calculated noise contributions 436 was 44.7 e⁻ rms \pm 0.4 e⁻ rms and 57.4 e⁻ rms \pm 0.2 e⁻ rms, respectively. This discrepancy was attributed in part to 437 incomplete charge collection noise, where charge carriers cannot readily travel through the $Al_{0.8}Ga_{0.2}As$ junction 438 before the punch-through voltage [18] (see Section IV.A). Stray dielectric noise, stray series white noise, and any 439 stray parallel white noise contributions, arising from the spectroscopic systems, would have also contributed to 440 the measured total noise.

As the applied detector reverse bias was further increased (14 V < V_R < 19 V), the measured total noise (FWHM at 5.9 keV) of each spectrometer improved (reduced). At 19 V applied detector reverse bias, the total noise was 54.0 e⁻ rms ± 0.5 e⁻ rms and 66.0 e⁻ rms ± 0.6 e⁻ rms for the spectrometers S₂₀₀ and S₄₀₀, respectively. The total sum of the calculated noise contributions, at the same applied detector reverse bias (19 V), was 45.0 e⁻ rms ± 0.4 e⁻ rms and 57.3 e⁻ rms ± 0.2 e⁻ rms for the spectrometers S₂₀₀ and S₄₀₀, respectively. This, in part, indicated that incomplete charge collection noise reduced as the punch-through voltage (≈ 14 V) was exceeded.

At the optimal applied detector reverse bias for each spectrometer (26 V and 34 V for the spectrometers S_{200} and S₄₀₀ respectively), the measured apparent noise was 51.4 e⁻ rms ± 0.5 e⁻ rms and 61.0 e⁻ rms ± 0.6 e⁻ rms for the spectrometers S_{200} and S_{400} , respectively. The total sum of the calculated noise contributions, at the same optimal applied detector reverse bias (26 V and 34 V for S_{200} and S_{400} respectively), was 45.1 e⁻ rms ± 0.4 e⁻ rms and 57.4 e⁻ rms ± 0.2 e⁻ rms for the spectrometers S_{200} and S_{400} , respectively. The apparent decrease in remaining noise contribution between 19 V and the optimal applied detector reverse bias of each spectrometer indicated a net benefit due to avalanche multiplication.

At the maximum applied detector reverse bias (60 V) an apparent noise of 60.1 e⁻ rms \pm 0.5 e⁻ rms and 68.0 e⁻ rms \pm 0.5 e⁻ rms was measured for S₂₀₀ and S₄₀₀, respectively. The total sum of the calculated noise contributions at the same applied detector reverse bias (60 V), was 45.6 e⁻ rms \pm 0.4 e⁻ rms and 57.9 e⁻ rms \pm 0.2 e⁻ rms, respectively. The increased discrepancy between the measured apparent noise (FWHM at 5.9 keV) and the total sum of the calculated noise contributions may have arisen from a larger than expected parallel white noise at high biases or from increasing excess noise due to avalanche multiplication.

464 **D. Improvements in energy resolution due to avalanche multiplication**

465 In order to determine whether avalanche multiplication affected the energy resolution of the reported 466 spectrometers, the measured energy resolution (FWHM at 5.9 keV) was compared to the expected non-avalanche 467 energy resolution of each spectrometer.

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The expected non-avalanche energy resolution was calculated by assuming incomplete charge collection noise became negligible at $V_R \ge 19$ V, avalanche multiplication was not present at $V_R \le 19$ V, and any stray noises contributing to the remaining noise contribution (see Section IV.C) were independent of applied reverse bias. Given these assumptions, the remaining noise contribution at $V_R = 19$ V for both spectrometers represents the non-avalanche mode remaining noise contribution across the applied reverse bias range ($19 \text{ V} \le V_R \le 60 \text{ V}$). The remaining noise contribution at $V_R = 19 \text{ V}$ was calculated to be $29.8 \text{ e}^2 \text{ rms} \pm 1.1 \text{ e}^2 \text{ rms} \pm 1.6 \text{ e}^2 \text{ rms} \pm 1$

Adding in quadrature the remaining noise contribution at $V_R = 19$ V to the calculated known noise contributions at each investigated applied detector reverse bias yields the expected non-avalanche energy resolution as a function of applied reverse bias within the range $19 \text{ V} \le V_R \le 60 \text{ V}$. The expected non-avalanche energy resolution, and measured avalanche energy resolution, at each applied reverse bias for the spectrometers S_{200} and S_{400} , can be seen in Fig. 16.

483 At an applied detector reverse bias of 26 V (M = 1.1), an expected non-avalanche energy resolution of 535 eV 484 \pm 7 eV FWHM at 5.9 keV was calculated for the spectrometer S₂₀₀. Given the same spectrometer and the same 485 applied detector reverse bias (26 V), an energy resolution of $508 \text{ eV} \pm 5 \text{ eV}$ was measured. As for the spectrometer 486 S_{400} , at an applied detector reverse bias of 34 V (M = 1.2), an expected non-avalanche energy resolution of 653 eV 487 ± 8 eV FWHM at 5.9 keV was calculated. At the same applied detector reverse bias (34 V), an energy resolution 488 of 603 eV \pm 6 eV was measured. As such, it can be concluded that a noticeable benefit from small avalanche 489 multiplication gains ($M \le 1.4$) was measured. This conclusion is further supported by recent results from nonavalanche GaAs p⁺-i-n⁺ photodiodes. Those photodiodes were of identical design to the devices reported here 490 491 except that they did not have the AlGaAs avalanche layer [27]. At 20 °C, energy resolutions of 690 eV and 730 eV 492 FWHM at 5.9 keV were reported for the 200 µm and 400 µm GaAs p⁺-i-n⁺ photodiode, respectively [27]. Thus 493 it has been demonstrated that the addition of an avalanche layer can lead to improvement of the energy resolution 494 in X-ray photodiodes.



497Fig. 16. Expected non-avalanche FWHM at 5.9 keV, assuming no incomplete charge collection noise at $V_R \ge 19$ V (dotted498line), as a function of applied detector reverse bias to the spectrometers (a) S200 and (b) S400. The measured FWHM at 5.9 keV499(circles) has been included.

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501 V. CONCLUSION

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502 A 200 μ m diameter and a 400 μ m diameter, custom-made, circular GaAs/Al_{0.8}Ga_{0.2}As separate absorption and 503 multiplication (SAM) X-ray photodiode have each been characterised at room temperature (20 °C), and 504 investigated for their response to ⁵⁵Fe X-rays (Mn K α = 5.9 keV; Mn K β = 6.49 keV) source. Each device 505 consisted of a 10 μ m thick GaAs absorption layer and a 0.1 μ m thick Al_{0.8}Ga_{0.2}As multiplication layer.

507 Capacitance measurements indicated a punch-through voltage of ≈ 14 V for both devices. A capacitance of 508 1.12 pF and 2.20 pF (each ± 0.4 fF) was measured for the 200 µm and 400 µm diameter devices respectively at 509 the maximum applied reverse bias (60 V). The capacitance measurements indicated that both devices were fully 510 depleted at V_R \geq 50 V, reporting a depletion width consistent with growth specifications (see Table 1).

512 The best measured energy resolution achieved at 20 °C for the spectrometer S_{200} was 508 eV ± 5 eV FWHM at 513 5.9 keV, at an applied detector reverse bias of 26 V, corresponding to an apparent multiplication factor of 1.1. 514 The best measured energy resolution at 20 °C for the spectrometer S_{400} was 603 eV ± 6 eV FWHM at 5.9 keV, at 515 an applied detector reverse bias of 34 V, corresponding to an apparent multiplication factor of 1.2. Further increasing the applied detector reverse bias increased (worsened) the energy resolution (e.g. 594 eV \pm 5 eV 516 FWHM at 5.9 keV and 673 eV \pm 5 eV FWHM at 5.9 keV for the spectrometers S₂₀₀ and S₄₀₀ respectively, at an 517 518 applied detector reverse bias of 60 V). This indicated that any benefits from further increasing avalanche gain 519 were exceeded by increases in excess noise and/or parallel white noise.

521 In order to determine whether avalanche multiplication affected the energy resolution of the spectrometers, the 522 measured energy resolution (FWHM at 5.9 keV) was compared to the expected non-avalanche energy resolution 523 of each spectrometer (see Section IV.D). The results indicated a noticeable benefit from small avalanche 524 multiplication gains (M \leq 1.4). At an applied detector reverse bias of 26 V (M = 1.1), an expected non-avalanche 525 energy resolution of 535 eV FWHM at 5.9 keV was calculated for the spectrometer S_{200} . Given the same 526 spectrometer and the same applied detector reverse bias (26 V), an energy resolution of 508 eV FWHM at 5.9 keV 527 was measured. Similarly, at an applied detector reverse bias of 34 V (M = 1.2), an expected non-avalanche energy 528 resolution of 653 eV FWHM at 5.9 keV was calculated for the spectrometer S_{400} . Given the same spectrometer 529 and the same applied detector reverse bias (34 V), an energy resolution of 603 eV FWHM at 5.9 keV was 530 measured. The results were supported further (and set in context) by a recent study using non-avalanche GaAs 531 p⁺-i-n⁺ photodiodes which did not have an AlGaAs avalanche layer [27]. At 20 °C, energy resolutions of 690 eV 532 and 730 eV FWHM at 5.9 keV were reported for the 200 µm and 400 µm GaAs p⁺-i-n⁺ photodiodes, respectively 533 [27]. The results indicated that introducing a separate AlGaAs multiplication layer can be beneficial to GaAs 534 photodiodes.

The energy resolution (FWHM at 5.9 keV) reported here is the best so far reported for GaAs/Al_xGa_{1-x}As SAM APD X-ray spectrometers at room temperature. Energy resolutions of 1.08 keV FWHM at 5.9 keV [44] and 900 eV FWHM at 13.96 keV have been reported previously [18]. The measured energy resolution was also better than recently investigated non-avalanche $Al_xGa_{1-x}As$ detector based X-ray spectrometers, where an energy resolution of 760 eV FWHM at 5.9 keV was reported at room temperature [26]. However, the energy resolutions reported here are modest when compared to the best reported results for the best non-avalanche GaAs based X-ray

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542 spectrometers (266 eV [3] and 300 eV [28] FWHM at 5.9 keV at room temperature) and the best Si based X-ray 543 spectrometers (141 eV FWHM at 5.9 keV by [63] and 134 eV FWHM at 5.9 keV [64]), when those detectors are 544 coupled to ultra-low-noise electronics better than those used for the investigations reported in the present article. 545

Despite the achieved energy resolutions of the presently reported GaAs/Al_xGa_{1-x}As SAM APDs being not yet as good when compared to other more developed materials, X-ray spectrometers with modest energy resolutions can still provide important scientific contributions. For example, D-CIXS aboard SMART-1 [65] had an energy resolution of 420 eV FWHM at 4.5 keV [66] and measured, for the first time, Ti K α (4.51 keV) X-ray fluorescence on the lunar surface [66]. An X-ray spectrometer with similar or slightly improved energy resolution, that is also radiation hard and temperature tolerant, could therefore find utility in future space science missions to harsh environments.

In future, the temperature dependence of the devices' electrical characteristics and X-ray detection performance will be studied. New SAM APDs will be fabricated in array format and characterised. Devices with thicker absorption regions will be grown to improve the quantum efficiency (thereby also reducing/eliminating the observed secondary photopeak as discussed in Section IV.B), and staircase avalanche regions implemented. A thicker absorption region would also reduce the detector capacitance, reducing the series white noise, and potentially improving the energy resolution of the spectrometer.

561 AUTHORS' DATA STATEMENT

562 The data that supports the findings of this study are available within the article [and its supplementary material].

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