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1 Article

2 Are multicentre bond indices and related quantities

- 3 reliable predictors of excited-state aromaticity?
- 4

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13 Abstract: Systematic scrutiny is carried out of the ability of multicentre bond indices and the NOEL-14 based similarity index *d_{AB}* to serve as excited-state aromaticity criteria. These indices were calculated 15 using state-optimized complete active-space self-consistent field wavefunctions for several low-16 lying singlet and triplet states of the paradigmatic molecules of benzene and cyclobutadiene and the 17 inorganic ring S2N2. The comparison of the excited-state indices with aromaticity trends for 18 individual excited states suggested by the values of magnetic aromaticity criteria show that whereas 19 the indices work well for aromaticity reversals between the ground singlet and first triplet electronic 20 states, addressed by Baird's rule, there are no straightforward parallels between the two sets of data 21 for singlet excited states. The problems experienced while applying multicentre bond indices and 22 d_{AB} to singlet excited states are explained by the loss of the information inherently present in 23 wavefunctions and/or pair densities when calculating the first-order density matrix.

Keywords: excited-state aromaticity reversals, multicentre bond indices, molecular similarity,
 magnetic properties of excited states

26

27 **1. Introduction**

28 Despite its somewhat vaguely defined qualitative nature, the concept of aromaticity has had 29 huge impacts on organic chemistry, starting with the formulation of the Hückel aromaticity rules [1, 30 2] and encompassing a broad research area including the elucidation of the link between cyclic 31 delocalization and energetic stabilization of conjugated (poly)cyclic hydrocarbons [3–10], the role of 32 cyclic conjugation in inducing the ring currents [11-19] responsible for the special magnetic 33 properties of aromatic compounds, and revealing the links between electron counts, orbital topology 34 and selection rules in pericyclic reactions [20–23]. The fact that the phenomenon of aromaticity can 35 be associated with a very wide range of structural, energetic, and magnetic properties [3–14, 16–18, 36 24, 25] has given impetus to numerous attempts to define measures or indices that are intended to 37 characterize the "extent" of aromaticity in quantitative terms [16-19, 24-33]. However, such efforts 38 have often been plagued by discrepancies between the various types of indices; these have led to the 39 postulation of a multidimensional character for this phenomenon [34-36], even implying 40 "orthogonality" between energetic and magnetic measures of aromaticity as manifested by the 41 reported absence of a straightforward link between these two types of aromaticity measure [19, 29, 42 37, 39]. However, it has been demonstrated that such discrepancies are most often observed when 43 trying to juxtapose quantities that are not straightforward to compare. One such example is provided

44 by attempts to correlate the extents of cyclic delocalization in the individual benzene rings in 45 polycyclic aromatic hydrocarbons (PAHs), as given by multicentre bond indices, with the values of 46 nucleus-independent chemical shieldings (NICS) [18]. This fails not least because of the 47 incompatibility between the strictly local character of multicentre indices and the fact that the NICS 48 value for an individual ring is "contaminated" by the interfering contributions of the other rings 49 [37,39]. The agreement between these two types of index is in fact restored when the contaminating 50 contributions are properly taken into account; analogous parallels have been established between 51 multicentre indices, induced ring currents and (when properly accounted for) the energetic effects of 52 cyclic conjugation [19, 37–41].

53 Although initially most studies were focused on aromaticity in ground electronic states, Baird's 54 pioneering discovery of the reversal of Hückel's aromaticity rules upon electronic excitation from the 55 singlet ground to the first triplet excited state [42] directed attention to the systematic investigation 56 of excited-state aromaticity [43-51]. The importance of such studies for the understanding of the 57 photochemical/physical properties of photoactive materials has prompted the development of 58 experimental and computational tools that are capable of providing reliable estimates of excited-state 59 aromaticity. Amongst the first attempts at theoretical justification of Baird's discovery of aromaticity 60 reversals in the lowest excited states of cyclic conjugated hydrocarbons is a study by Iljić et al. [43] 61 which looked at the extension of the concept of topological resonance energy (TRE) to low-lying states 62 of cyclic conjugated hydrocarbons. The authors of that study demonstrated that the TRE values for 63 the ground and lowest excited states of conjugated rings reproduce the aromaticity reversal predicted 64 by Baird's rule. Despite the elegant simplicity of this approach, the calculation of TREs has serious 65 inherent limitations arising from the Hückel molecular orbital (HMO) foundations of the underlying 66 graph-theoretical considerations. Modern quantum chemical calculations are not subject to such 67 limitations and the scope of excited-state aromaticity studies was subsequently extended to 68 formulating Baird-style rules for higher excited states. The most convincing proof of aromaticity 69 and/or antiaromaticity reversals in the first and higher excited states was provided by the results of 70 systematic studies of various magnetic properties with state-specific complete active-space self-71 consistent field (CASSCF) wavefunctions constructed from gauge-included atomic orbitals (GIAOs) 72 [44–46, 52]. Given that multicentre bond indices have been applied successfully for the quantitative 73 evaluation of the local aromaticities of individual benzene rings in PAHs [28, 29, 37, 40], it was natural 74 to try to find out whether the same approach could provide a computationally efficient and 75 sufficiently accurate characterization of excited-state aromaticity. The aim of the current work is to 76 carry out a systematic comparative study of the performance and reliability of multicentre bond 77 indices and other first-order density-based quantities for the description and classification of excited-78 state aromaticity in the paradigmatic molecules benzene and cyclobutadiene, as well as in disulfur 79 dinitride, which has been shown recently to be the first inorganic ring that exhibits changes in 80 aromaticity between different electronic states [52]. As will be shown, it turns out that such quantities 81 have significant difficulties distinguishing properly between singlet diradical and zwitterionic 82 character.

83 2. Computational methodology

84 2.1 Electronic structure calculations

85 The aromaticity of the low-lying electronic states of benzene, square cyclobutadiene and disulfur 86 dinitride has been analysed using a range of magnetic criteria including NICS calculated with 87 CASSCF-GIAO wavefunctions at fixed ground electronic state geometries [27, 44–46, 52]. To enable 88 direct comparisons, we use the same levels of theory and the same geometries in the current work. 89 All excited electronic state properties discussed in this work correspond to vertical excitations 90 because we chose to use identical ground-state geometries for all electronic states of a given molecule. 91 All CASSCF calculations on benzene and cyclobutadiene reported in this paper were carried out 92 within the 6-311++G(2d,2p) basis, whereas use was made of the cc-pVTZ basis for S₂N₂. 93 It is important in this work to focus on vertical excitations not least because the electronic

94 wavefunction changes much more rapidly than the molecular geometry. By examining the excited-

- 95 state wavefunction at the ground-state geometry we can establish whether a given vertically excited
- 96 state is intrinsically aromatic, antiaromatic or non-aromatic. If it turns out to be aromatic then it will 97 of course tend to retain a geometry that is similar to that of the ground state. If, on the other hand, it
- is antiaromatic then it is likely to experience a geometry distortion that leads to a lower-energy, less antiaromatic and closer to non-aromatic geometry. The same does of course apply for systems which are antiaromatic in their electronic ground states, such as the ground state of square (D_{4h}) cyclobutadiene; the relaxation of the geometry of that system to rectangular (D_{2h}) decreases the antiaromaticity, not only making this state much more non-aromatic but also rendering it significantly less interesting to study as an example of an antiaromatic molecule.
- 104 The S₀ (1 ¹A_{1g}), T₁ (1 ³B_{1u}), S₁ (1 ¹B_{2u}) and S₂ (1 ¹B_{1u}) electronic states of benzene were described 105 using state-optimized π -space CASSCF(6,6) wavefunctions (with "6 electrons in 6 orbitals"). We used 106 the experimental D_{6h} gas-phase ground-state geometry with C–C and C–H bond lengths of 1.3964 Å 107 and 1.0831 Å, respectively, which was obtained through analysis of the v₄ vibration-rotation bands of
- 108 C₆H₆ and C₆D₆ [53].
- 109 The calculations for the S₀ (1 ¹B_{1g}), T₁ (1 ³A_{2g}), S₁ (1 ¹A_{1g}) and S₂ (1 ¹B_{2g}) electronic states of square 110 (*D*_{4h}) cyclobutadiene employed state-optimized π -space CASSCF(4,4) wavefunctions (with "4 111 electrons in 4 orbitals"). We used C–C and C–H bond lengths of 1.447 Å and 1.076 Å, respectively, 112 that were optimized with the cc-pVTZ basis through a multireference averaged quadratic coupled 113 cluster (MR-AQCC) approach, taking orbitals from corresponding state-averaged π -space 114 CASSCF(4,4) wavefunctions that included the ground state, lowest triplet state and two lowest singlet 115 excited states (SA-4-CASSCF) [54].
- 116 The calculations on the S₀ (1 ¹A_g), T₁ (1 ³B_{3u}) and S₁ (1 ¹A_u) electronic states of S₂N₂ were carried 117 out using state-optimized CASSCF(22,16) wavefunctions (with "22 electrons in 16 orbitals"). For this 118 purpose we used the D_{2h} semi-experimental equilibrium geometry established by Perrin *et al.* [55], 119 with R(SN) = 1.64182 Å and $\angle(NSN) = 91.0716^\circ$, in a coordinate system that places N at positions 120 (±1.171748 Å, 0.0, 0.0), and S atoms at positions (0.0, ±1.150035 Å, 0.0), respectively.

121 All of the CASSCF calculations required for the present work were primarily carried out using 122 Gaussian 03 [56] but, purely for our convenience, the same wavefunctions were also obtained using 123 MOLPRO [57, 58]. For reasons that we have explained, it was important to use the ground-state 124 geometries for all of these calculations. We note in passing that accurate excited-state geometry 125 optimizations of antiaromatic states would require methods such as CASPT2, given that those based 126 on a closed-shell reference do not describe correctly the biradical character. Such studies are outside 127 the scope of the present work but may be considered when CASPT2 analytical gradients and Hessians 128 become widely available, making the optimization and characterization of excited-state local minima 129 and saddle points very much faster and more reliable.

130 131 2.2 Mult

31 2.2 Multicentre bond indices

Such indices were originally introduced [59, 60] as mono-, bi- tri- and generally *k*-centre contributions resulting at the closed-shell SCF or Kohn-Sham level of theory from the identity (1) contributions resulting at the closed-shell SCF or Kohn-Sham level of theory from the identity (1)

$$Tr(PS)^{k} = 2^{(k-1)}N = \sum_{A} \Delta_{A}^{(k)} + \sum_{A < B} \Delta_{AB}^{(k)} + \sum_{A < B < C} \Delta_{ABC}^{(k)} + \dots \sum_{A < B < C \dots K} \Delta_{ABC \dots K}^{(k)}$$
(1)

135

136 in which *P* and *S* denote the charge-density and overlap matrices, respectively, and *N* is the number 137 of electrons. The usefulness of these indices for structural elucidations arises from the interesting 138 nontrivial finding that their values mimic sensitively the presence and/or absence of bonding 139 interactions between individual atoms in a molecule. Thus, for example, in the case of molecules that 140 are well described by the familiar classical Lewis model of localized two-centre two-electron bonds, 141 the corresponding 2-centre bond indices, which coincide in this case with the well-known Wiberg-142 Mayer indices [61, 62], attain non-negligible values only between classically bonded atoms while the 143 corresponding values of the indices for pairs of classically nonbonded atoms are negligible. Such 144 indices are also very useful for molecules whose description transcends the classical Lewis model by

- 145 involving instead bonding interactions that are delocalized over more than two atomic centres. The
- 146 indices retain the ability in such cases to detect and reveal just those atomic fragments engaged in the
- 147 delocalized bonding, whereas the values for the remaining fragments are very small.
- 148 In various earlier papers the definition was modified [29, 63, 64] and the indices were instead 149 normalized to *N*, as shown in Eq. (2).
- 150

$$\frac{1}{2^{(k-1)}}Tr(PS)^{k} = N = \sum_{A} \Delta_{A}^{(k)} + \sum_{A < B} \Delta_{AB}^{(k)} + \sum_{A < B < C} \Delta_{ABC}^{(k)} + \dots \sum_{A < B < C \dots K} \Delta_{ABC \dots K}^{(k)}$$
(2)

A slight disadvantage of this alternative definition is that the resulting values of the indices tend to decrease rather dramatically with increasing *k*. We have thus chosen for the present work to use the original definition (Eq. (1)) in which the normalization sum is $Tr(PS)^k$ (which is equal to $2^{k-1}N$ at the closed-shell SCF level). Otherwise, even relevant indices would be rather small for k > 3. An obvious alternative to the different values returned by Eqs. (1) and (2) would be to quote instead the proportion of the quantity being "partitioned".

158 In the case of *k*-centre indices the general definition (Eq. (1)) leads to Eq. (3)

159

$$MCI_{ABC...K} = \sum_{i} \sum_{\mu} \sum_{\nu} \sum_{\lambda} \sum_{\lambda} \sum_{\nu} \sum_{\lambda} \cdots \sum_{\tau} \Gamma_{i} [(PS)_{\mu\nu} (PS)_{\nu\lambda} (PS)_{\lambda\sigma} \cdots (PS)_{\tau\mu}]$$
(3)

160 where Γ_i is the permutation operator that interchanges the basis function labels (Greek letters), 161 thereby ensuring that the index includes all of the terms that correspond to different permutations of 162 atomic labels.

163 The above general formula can also be straightforwardly extended beyond the scope of the 164 Hartree-Fock approximation. The formula remains formally the same, except of course that the 165 idempotent charge-density matrix is replaced by the corresponding correlated first-order density 166 matrix [65, 66]. The normalization sum $Tr(PS)^k$ is of course no longer straightforwardly linked to 167 the total number of electrons, as in the case of the closed-shell SCF approximation. The above 168 definitions of multicentre indices that are based on a Mulliken-like partitioning can easily be 169 generalized to the framework of QTAIM [67] analysis [30, 68], such that Eq. (4) is transformed to:

170

$$MCI_{ABC...K} = \sum_{i} \Gamma_{i} \left[\sum_{\alpha} \sum_{\beta} \sum_{\gamma} \dots \sum_{\tau} \eta_{\alpha} \eta_{\beta} \eta_{\gamma} \dots \eta_{\tau} \langle \alpha | \beta \rangle_{A} \langle \beta | \gamma \rangle_{B} \langle \gamma | \delta \rangle_{C} \dots \langle \tau | \alpha \rangle_{K} \right]$$
(4)

171

172 Here the symbol $\langle \lambda | \sigma \rangle_X$ denotes the domain-condensed overlap of natural orbitals λ and σ (*i.e.* 173 integration over the QTAIM atomic domain of atom *X*), η_{λ} denotes the occupation number of λ , and 174 the summations again run over all permutations of atomic labels.

175 Instead of using orbitals it is of course possible to calculate indices separately for α and β spin-176 orbitals. Such an approach was reported in earlier extensions of multicentre indices to open-shell 177 systems [69]. The total index is of course then the sum of the corresponding α and β contributions. 178 We note that a recent study dealing with the application of multicentre bond indices to the excited-179 state aromaticity of benzene and cyclobutadiene [47] used natural spin-orbitals (NSOs) instead of 180 natural orbitals (NOs), even for singlet states. The multicentre indices (MCIs) reported in the present 181 study were calculated with our own programs using the QTAIM approach [67], with the required 182 domain-condensed overlaps generated using the AIMAll program [70].

183 Using NSO rather than NO expansions is of course straightforward for systems with nonzero 184 spin because one may use combinations of the charge-density and spin-density matrices to generate 185 the different NSO expansions for the α and β one-electron densities. On the other hand, the spin-186 density matrix is null for singlet states and so the NSO expansions of the α and β one-electron 187 densities must coincide. Given that the NO occupations are split equally between the α and β NSOs 188 we may refer to this as the "half-electron scheme". Because the α and β NSOs are the same, and 189 coincide with the NOs, the total α and β k-centre multicentre indices calculated using this scheme are 190 straightforwardly related to those calculated from the NO occupations (Eq. (4)) via the trivial scaling $(1)^{k-1}$

191 factor $\left(\frac{1}{2}\right)^{k-1}$.

192 A potential problem for singlet states can easily be appreciated by noticing that α and β NSO 193 occupations do not distinguish between the combination of "singlet diradical" determinants $\varphi \overline{\psi}$ and 194 $\bar{\varphi}\psi$ and the combination of "closed shell" determinants $\varphi\bar{\varphi}$ and $\psi\bar{\psi}$. As a consequence, the resulting 195 multicentre indices do not take explicit account of which states have a high degree of diradical 196 character and which of them do not. The degree of diradical character could of course be an important 197 feature for considerations of aromaticity. As such the "half-electron" approach, although formally 198 the correct one, might appear to be slightly questionable when considering, for example, an 199 inherently diradical species such as the singlet ground state of square cyclobutadiene. As was 200 demonstrated in the seminal study by Salem and Rowland [71], the diradicals represented in the 201 simplest model by two degenerate orbitals occupied by two electrons form four electronic states, 202 namely two biradical states (singlet and triplet) and two zwitterionic singlet states:

$$S_{1}, T_{1} = \frac{1}{\sqrt{2}} (|\phi \overline{\psi}| \pm |\psi \overline{\varphi}|)$$

$$Z_{1} \pm Z_{2} = \frac{1}{\sqrt{2}} (|\phi \overline{\varphi}| \pm |\psi \overline{\psi}|)$$
(5)

Although all of these states differ at the level of the pair density, and thus also of the energy, all ofthe spinless one-electron densities coincide:

205

$$\rho(1) = \varphi^2(1) + \psi^2(1) \tag{6}$$

206

207 Such observations made it seem attractive to consider taking explicit account of singlet diradical 208 character by means of artificial modifications of the α and β NSO occupations. In the simple case of 209 the singlet ground state of square cyclobutadiene, which features two singly occupied orbitals, we 210 could for example consider that the first of them is pure α and the other one is pure β spin. We use 211 the label "diradical scheme" for this somewhat artificial approach in which the α and β densities are 212 now allowed to be different, albeit they still add to the correct total. In actual practice we did 213 unfortunately find that manipulations of this sort were far from satisfactory. There were particular 214 complications and uncertainties for cases such as states of benzene which feature two pairs of 215 degenerate orbitals (each corresponding of course to one of the E irreducible representations in D6h 216 symmetry). We were also concerned that some invariances to orbital rotations might be lost and we 217 noticed that using analogous manipulations for triplet states resulted in "artificial" NSO occupations 218 that bear no obvious resemblance to the actual ones. As a consequence, we reluctantly mostly 219 abandoned this diradical scheme and so we focus here on our results that were obtained for the 220 singlet and triplet states with the actual NSO occupation numbers. Nonetheless, because of this 221 inability of the first-order density matrix to reflect important features that are present in the 222 wavefunction and the pair density, we considered it useful to take into account in our considerations 223 also the eventual manifestation in the wavefunction of diradical character, given that it could be very 224 important in the evaluation of the degree of aromaticity. For this purpose and in order to provide 225 additional insights into the nature of the individual excited states of the molecules studied we also 226 quantify the contributions to the occupation numbers that arise from diradical character. (Note that, 227 instead of using the actual NSO occupations for the singlet states, we could have used Eq. (4) with 228 the NO occupations, rescaling the resulting 4- and 6-centre indices by $\frac{1}{8}$ and $\frac{1}{32}$, respectively). 229

230 2.3 Similarity of excited states

As an auxiliary tool to assess the aromaticity and/or antiaromaticity of individual excited states we also used a molecular similarity index that is based on the number of overlapping electrons (NOEL), as was introduced some time ago by Cioslowski [72]. In essence, the index of similarity between two molecules *A* and *B* is defined in terms of the first-order density matrices of the corresponding molecules as

236

$$d_{AB} = \int |\Gamma^{A}(x, x') - \Gamma^{B}(x, x')|^{2} dx dx'$$
(7)

where the density matrices Γ^{X} are conveniently represented by NSO expansions. The smaller the values of d_{AB} , the more similar are the first-order densities of the molecules *A* and *B*.

NOEL-based comparisons of systems with different geometries would involve also the optimization of the mutual positions of the two molecules, so as to maximize the similarity. In the present work, however, the comparisons of the different states of a given molecules are much more straightforward because of our decision to consider vertical excitations, *i.e.* fixed geometries. The above general formula then reduces to

$$d_{AB} = d^{\alpha}_{AB} + d^{\beta}_{AB} = Tr(P^{\alpha}_{A}S)(P^{\alpha}_{A}S) + Tr(P^{\alpha}_{B}S)(P^{\alpha}_{B}S) - 2Tr(P^{\alpha}_{A}S)(P^{\alpha}_{B}S) + Tr(P^{\beta}_{A}S)(P^{\beta}_{A}S) + Tr(P^{\beta}_{B}S)(P^{\alpha\beta}_{B}S) - 2Tr(P^{\beta}_{A}S)(P^{\beta}_{B}S)$$

$$(8)$$

246

247 where P_X^{α} and P_X^{β} denote the α and β one-electron density matrices, respectively, for a particular 248 electronic state of a given molecule and *S* is the overlap matrix.

249 3. Results and discussion

250 As our primary tool for the evaluation of excited-state aromaticity we used the multicentre bond 251 indices whose calculation requires knowledge of the first-order density matrix provided in a 252 quantum chemical calculation via natural orbitals and their occupation numbers. In view of the 253 potential problems mentioned in the previous section, the use of quantities based on the first-order 254 density matrix might not always be a completely satisfactory approach: This matrix is not able to 255 reflect all of the features of a more complicated wavefunction and, in certain cases, the features not 256 carried over could be of crucial importance. The relevance of this concern can be illustrated using 257 simple considerations applied to wavefunctions exhibiting diradical character which are often 258 encountered when describing excited electronic states.

259 It is of course entirely straightforward to construct an expansion of a CASSCF wavefunction in 260 terms of determinants built from NOs so as to reproduce the already known NO occupation numbers. 261 Then we can determine also the net contributions arising from determinants in which a particular 262 NO is singly occupied. Especially for singlet states, the results provide a useful quantitative measure 263 of the extent of diradical character. In most cases, sufficient qualitative information can be obtained 264 just by examining the compositions of the most important determinants in the expansion and, as 265 shown below, doing so is essential when evaluating the reliability of multicentre indices and NOEL-266 based similarity values as aromaticity criteria.

267 The need for a more detailed analysis of the nature of each individual excited state is highlighted 268 by the observation that states of very different character can have fairly similar patterns of NO 269 occupation numbers, as can be seen in Tables 1–3. Such similarities are displayed, for example, by the 270 S_1 and S_2 states of benzene, as well as by all three singlet states of square cyclobutadiene that we 271 examined. The absence of pronounced differences between the patterns of NO occupation numbers is a cause for concern because it is not clear how the multicentre indices, as well as the NOEL-based 272 273 d_{AB} values, will be able to distinguish properly between such electronic states unless the shapes of 274 the NOs change sufficiently between states.

275 The net contributions from all determinants in which a particular NO is singly occupied to the 276 wavefunctions for the various electronic states of benzene, square cyclobutadiene and disulfur 277 dinitride are shown in Tables 1–3. Clearly, both the S₁ state and, of course, the T₁ state of benzene 278 exhibit significant levels of diradical character, unlike the S₀ and S₂ states (see Table 1). In the case of 279 square cyclobutadiene (Table 2) the states with significant levels of diradical character are S_0 and 280 again, of course, T₁, whereas there only minor traces of such character in the S₁ and S₂ states which 281 appear to be zwitterionic [71]. Moving on to S₂N₂, we can see from Table 3 that that it is only the S₀ 282 ground state that has slight diradical character, whereas there is strong diradical character in both of Molecules 2020, 25, x FOR PEER REVIEW

the excited states. Inspection of the symmetries of the NOs for the electronic states of this molecule reveals that whereas the dominant diradical character in T₁ comes from two unpaired π electrons, much as in the corresponding states of C₆H₆ and C₄H₄, that in the S₁ state is associated with the coupling of an unpaired σ electron to an unpaired π electron. Therefore, we can expect that the NOEL-based similarity index for S₂N₂ will show significant differences between the valence σ system of the S₁ state and those of the S₀ and T₁ states.

Table 1. Active-space NO occupation numbers for the S₀, S₁, S₂ and T₁ states of C₆H₆ and active-space
 NSO occupation numbers for the T₁ state (all in descending order). Values in brackets show the net
 contributions from all determinants in which a particular NO is singly occupied.

	S	\mathbf{S}_1	\mathbf{S}_2	T ₁	T 1	
	30	51	32	11	α	β
η_1	1.961 (0.028)	1.863 (0.107)	1.952 (0.039)	1.910 (0.078)	0.986	0.924
η_2	1.902 (0.046)	1.445 (0.500)	1.494 (0.051)	1.464 (0.487)	0.966	0.498
η з	1.902 (0.046)	1.445 (0.500)	1.494 (0.051)	1.464 (0.487)	0.966	0.498
η_4	0.100 (0.046)	0.569 (0.500)	0.524 (0.051)	0.536 (0.487)	0.503	0.034
η_5	0.100 (0.046)	0.569 (0.500)	0.524 (0.051)	0.536 (0.487)	0.503	0.034
η_6	0.036 (0.028)	0.109 (0.087)	0.012 (0.007)	0.090 (0.079)	0.077	0.013

Table 2: Active-space NO occupation numbers for the S₀, S₁, S₂ and T₁ states of square C₄H₄ and active-space NSO occupation numbers for the T₁ state (all in descending order). Values in brackets show the net contributions from all determinants in which a particular NO is singly occupied.

	So	S_1	S ₂	T ₁	T 1	
	50	51	52	52 11		β
η_1	1.905 (0.073)	1.835 (0.031)	1.994 (0.001)	1.914 (0.072)	0.993	0.921
η_2	1.000 (1.000)	1.005 (0.000)	1.000 (0.000)	1.000 (1.000)	0.964	0.036
ηз	1.000 (1.000)	1.005 (0.000)	1.000 (0.000)	1.000 (1.000)	0.964	0.036
η_4	0.095 (0.073)	0.155 (0.031)	0.006 (0.001)	0.086 (0.072)	0.079	0.007

295 296

297

-

Table 3: Active-space NO occupation numbers for the S₀, S₁ and T₁ states of S₂N₂ and active-space NSO occupation numbers for the T₁ state (all in descending order). Values in brackets show the net contributions from all determinants in which a particular NO is singly occupied.

	So	S ₁	T ₁	T 1	
	50	51	1 1	α	β
η_1	1.997 (0.002)	1.997 (0.002)	1.998 (0.002)	0.999	0.999
η_2	1.997 (0.002)	1.996 (0.003)	1.997 (0.002)	0.999	0.998
η_3	1.996 (0.003)	1.994 (0.005)	1.995 (0.004)	0.999	0.998
η_4	1.991 (0.005)	1.990 (0.007)	1.994 (0.006)	0.998	0.996
η_5	1.986 (0.012)	1.984 (0.013)	1.993 (0.005)	0.998	0.994
η_6	1.981 (0.016)	1.968 (0.025)	1.983 (0.016)	0.997	0.991
η_7	1.980 (0.010)	1.965 (0.028)	1.982 (0.015)	0.997	0.984
η_8	1.964 (0.028)	1.960 (0.031)	1.967 (0.027)	0.996	0.983
η9	1.962 (0.030)	1.960 (0.035)	1.965 (0.024)	0.991	0.983
η_{10}	1.960 (0.030)	1.911 (0.034)	1.965 (0.025)	0.984	0.982
η_{11}	1.908 (0.030)	1.009 (0.979)	1.014 (0.984)	0.983	0.017
η_{12}	0.126 (0.047)	0.995 (0.970)	1.008 (0.986)	0.983	0.017
η_{13}	0.041 (0.031)	0.126 (0.060)	0.037 (0.026)	0.019	0.016
η_{14}	0.040 (0.029)	0.058 (0.045)	0.037 (0.026)	0.019	0.016
η_{15}	0.038 (0.030)	0.044 (0.032)	0.035 (0.026)	0.019	0.014
η_{16}	0.033 (0.024)	0.043 (0.031)	0.031 (0.022)	0.017	0.012

298Table 4: 6-centre MCIs for the S0, S1, S2 and T1 states of C6H6, 4-centre MCIs for the S0, S1, S2 and T1299states of square C4H4 and 4-centre MCIs for the S0, S1 and T1 states of S2N2. QTAIM/6-311++G(2d,2p)300for C6H6 and C4H4; QTAIM/cc-pVTZ for S2N2. Values in brackets show the π -only (C6H6 and C4H4)301and π -only valence (S2N2) components of the total index.

	C6H6	C4H4	S_2N_2
S ₀	0.0160 (0.0159)	0.0360 (0.0336)	0.0525 (0.0504)
S_1	0.0016 (0.0014)	0.0263 (0.0240)	0.0128 (0.0164)
S ₂	0.0029 (0.0027)	0.0477 (0.0454)	
T_1	0.0030 (0.0029)	0.0774 (0.0749)	-0.0045 (-0.0069)

303 The values of multicentre QTAIM indices for C₆H₆, C₄H₄ and S₂N₂ calculated using NSOs are 304 shown in Table 4. For singlet states we have used the actual NSO occupations, *i.e.* the "half-electron" 305 scheme. While inspecting the numbers in this table, it is useful to adopt the largest total 6-centre MCI 306 value of 0.016 for the archetypal example of an aromatic system, the ground state of benzene, as a 307 yardstick for assessing the values of this index for the other states of this molecule. Whereas the value 308 of the index for the first excited singlet state is smaller than its S₀ counterpart by an order of 309 magnitude, those for the S₂ and T₁ states which turn out to very close are, instead, smaller by a factor 310 of about five. It should be mentioned that, in keeping with expectations, the total values of the indices 311 are dominated by their π -only components for all of the states included in Table 4. The situation in 312 the case of S_2N_2 is similar to that in C_6H_6 : Once again, the largest value of the 4-centre MCI is that for 313 the ground state, whereas the excited-state indices are considerably smaller. The 4-centre MCIs for 314 the electronic states of square C₄H₄ follow a different pattern: The largest value corresponds to the 315 lowest triplet state T_1 , whereas the indices for the three singlet states are considerably smaller.

316 The changes in the values of the MCIs in Table 4 between S₀ and T₁ states are fully consistent 317 with Baird's original rule [42] which addresses aromaticity reversals involving the singlet ground 318 and lowest triplet electronic states only. However, when singlet states come into play, there are some 319 notable discrepancies from the behaviour expected on the basis of the results from previous studies 320 which discuss in detail ground- and excited-state magnetic properties, including several types of 321 NICS [44, 45, 52]. Let us start with benzene. The S_1 state of C_6H_6 is correctly classified as antiaromatic, 322 with S_1 being more antiaromatic than T_1 which is in line with predictions based on magnetic 323 properties [44, 45] and several multicentre and delocalization indices [47]. However, S₂ in C₆H₆ is 324 predicted to be just as antiaromatic as T1 whereas the magnetic properties of this state, calculated at 325 the same geometry and level of theory, strongly suggest that it is even more aromatic than the ground 326 state S₀ [44]. Incidentally, S₂ in C₆H₆ was classified as more antiaromatic than S₁ in [47] but this was 327 due to analysing the doubly-degenerate S_4 rather than S_2 (for details, see [44]). We continue our 328 analysis with square C₄H₄. According to the 4-centre MCI values, S₁ is the most antiaromatic state of 329 this molecule whereas the isotropic shielding isosurface for this state and other magnetic properties, 330 calculated at the same geometry and level of theory, show clearly that it is aromatic [44]. S₂ is 331 predicted to be less antiaromatic than S_0 which is in agreement with the results of magnetic property 332 calculations [44, 45]. Finally, according to the respective 4-centre MCI values, in S₂N₂ S₁ is less 333 antiaromatic than T1 whereas magnetic property calculations suggest the opposite ordering for these 334 two states [52]. One further observation is that the values of the 6-centre MCI for benzene are, for 335 most states, of smaller magnitude than the corresponding 4-centre MCIs for square cyclobutadiene 336 and disulfur dinitride, which precludes comparisons between MCIs for different rings.

The discrepancies between the current MCI-based assessments of the aromaticities of singlet excited states and those coming from calculations of various magnetic second-order response properties [44, 45] underline our concerns as to whether first-order density-based indices are capable of reflecting more subtle effects whose description relies on the more detailed information inherent to wavefunctions or pair densities for particular electronic states.

Similar concerns are associated with comparisons utilizing the NOEL-based quantity *d_{AB}*. This
 quantity was originally designed [72] as a quantitative measure of the similarity between the electron
 densities of different molecules but in this study we use it to investigate the similarity between the

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electron densities of different excited states of one molecule. The values of the NOEL-based similarity index d_{AB} for the low-lying electronic states of benzene, square cyclobutadiene and disulfur dinitride, calculated using NSOs, are summarized in Tables 5–7. These results demonstrate clearly, in keeping with expectations, that the total d_{AB} indices for C₆H₆ and C₄H₄ are, in all cases, dominated by their π -only components. In the case of S₂N₂, d_{AB} indices involving the S₁ state show large σ contributions due to the composition of the wavefunction for this state (see above).

351**Table 5:** Similarity indices d_{AB} calculated at the CASSCF(6,6)/6-311++G(2d,2p) level for low-lying352electronic states of C6H6. Values in brackets are π -only contributions to the total index.

State	S1	S_2	T ₁
So	0.4402 (0.4396)	0.3639 (0.3612)	0.8323 (0.8319)
S_1		0.0453 (0.0433)	0.4465 (0.4464)
S_2			0.4866 (0.4842)

353

Table 6: Similarity indices d_{AB} calculated at the CASSCF(4,4)/6-311++G(2d,2p) level for low-lying electronic states of square C₄H₄. Values in brackets are π -only contributions to the total index.

State	S_1	S_2	T 1
S ₀	0.0121 (0.0117)	0.0557 (0.0532)	0.8672 (0.8672)
S_1		0.0417 (0.0408)	0.8754 (0.8752)
S ₂			0.9086 (0.9067)

Table 7: Similarity indices d_{AB} calculated at the CASSCF(22,16)/cc-pVTZ level for low-lying electronic states of S₂N₂. Values in brackets are (π -only, σ -only) valence contributions to the total index.

State	S_1	T 1
So	0.9596 (0.4796, 0.4799)	1.8061 (1.7896, 0.0166)
S 1		2.8024 (2.2926, 0.5098)

359

360 The data in Table 5 suggest some similarity between the S₀ and S₂ states of benzene, in line with the 361 expected aromaticity of S_2 [44], as well as very little similarity between the S_0 and T_1 states, in 362 agreement with Baird's rule. However, the surprisingly high level of similarity between the S1 and S2 363 states which have been classified as antiaromatic and aromatic, respectively [44], is very much out of 364 line with the rather different magnetic properties of these states. Somewhat surprising are also the 365 comparable levels of similarity between the S_1 and T_1 states, both of which are supposed to be 366 antiaromatic, and the S2 and T1 states which are supposed to be aromatic and antiaromatic, 367 respectively [44].

Other similarity assessments of questionable utility can be found amongst the data for square cyclobutadiene that are presented in Table 6, starting with the high level of similarity between the So and S₁ states which is unexpected, in view of the predicted aromaticity reversal between these states [44]. Both S₁ and T₁ are expected to be aromatic [44], but the level of similarity between these states is comparable to that between S₂ and T₁ which are expected to be antiaromatic and aromatic, respectively.

The d_{AB} indices are doing a better job in the case of S₂N₂ (see Table 7): S₀ and S₁ are quite dissimilar, and so are S₀ and T₁, as expected for comparisons between wavefunctions corresponding to aromatic and antiaromatic states. S₁ and T₁ come out as very dissimilar which is not unrealistic, as these states have been predicted to show very different levels of antiaromaticity [52]. As has been 378 mentioned, the σ -only valence contributions to d_{AB} are large in all comparisons involving the first 379 singlet excited state, due to the composition of the S₁ wavefunction (see above).

380 We have shown that the multicentre indices (MCIs) examined in this work perform well for 381 aromaticity reversals involving the singlet ground and lowest triplet electronic states which are 382 covered by Baird's original rule [42]. Our attempts to apply these indices to aromaticity reversals 383 involving singlet excited states were less satisfactory. While this may seem disappointing, since 384 aromaticity/antiaromaticity switching can be predicted even using simple topological resonance 385 energies [43], it should be emphasized that TRE-based studies do not distinguish between singlet and 386 triplet excited states, and all MCI problems arise when dealing with singlet excited states. When 387 dealing with singlet ground states, 6-centre indices have been found to correlate very well with the 388 energetic stabilization resulting from cyclic delocalization in individual benzene rings in polycyclic 389 aromatic hydrocarbons [29,40]; multicentre indices have also been reported as a reliable measure of 390 aromaticity in all-metal clusters [73].

391 One potential source of the problems experienced when trying to apply multicentre indices to 392 singlet excited states can be associated with the reasons behind the very good performance of MCIs 393 for the ground states of polycyclic aromatic hydrocarbons. The correlation between MCIs and 394 energetic stabilization stems from Coulson's integral formula [74] which describes quantitatively the 395 extent of energetic stabilization/destabilization associated with cyclic conjugation. However, this 396 formula can be applied only to the ground states of conjugated hydrocarbons and, as there is no 397 equivalent formula for excited states, there is also no straightforward way of measuring the energetic 398 effects resulting from cyclic conjugation in other than ground states (TRE is applicable only to the 399 lowest excited state). The absence of an energy-based justification of excited-state MCIs may have 400 adverse impact on their performance in comparison to ground-state MCIs. On the other hand, cyclic 401 conjugation in excited states can be thought to induce excited-state ring currents and the nature of 402 these currents (paratropic vs diatropic) is decisive for excited aromaticity. These ring currents can be 403 integrated using the Bio-Savart law (as shown, for example, in [75]), producing excited-state magnetic 404 shielding tensors such as those calculated and analysed in [44, 45, 46, 52] which explains why the 405 magnetic properties of excited states provide reliable measures of excited-state aromaticity.

406 In addition to these somewhat qualitative arguments, more detailed theoretical considerations 407 can be used to identify additional factors affecting the performance of the multicentre indices for 408 singlet excited states. For this purpose, it is useful to refer again to the paper by Salem and 409 Rowland [71] dealing with the electronic structure of diradicals. As we mentioned previously, 410 although all four biradical and zwitterionic states for the simple two-orbital model (see Eq. (5)) differ 411 in energy (and, consequently, in wavefunction and in pair density), their one-electron densities are 412 exactly the same. One straightforward implication is that the first-order density matrices for different 413 electronic states of real systems could omit important details, the absence of which would result in 414 multicentre indices giving misleading information about the extent of similarity between these states. 415 Although the discussion in [71] is focused on inherently diradical species, similar problems, arising 416 from details not available within the first-order density matrix, are apparently more general since, as 417 demonstrated in this study, partial diradical character is evident even in the excited-state 418 wavefunctions of a paradigmatic molecule such as benzene. On the other hand, the undeniable 419 usefulness of multicentre indices as a measure of ground-state aromaticity [29, 30, 32, 40, 73] can be 420 attributed to the fact that, at the closed-shell SCF and Kohn-Sham levels of theory, the first-order 421 density matrix determines all higher-order densities so that energy-related quantities are described 422 correctly.

423

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425 and D.L.C. wrote independent program codes and computed all indices independently. P.B.K. helped with the
426 CASSCF calculations. R.P. wrote the manuscript. D.L.C and P.B.K. helped with analyzing the results and writing
427 the manuscript. All authors have read and agreed to the published version of the manuscript.

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