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A Review of the Psychometric Performance of Selected Child and Adolescent Preference-Based Measures Used to Produce Utilities for Child and Adolescent Health

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ABSTRACT

Objective: This review examined the psychometric performance of 4 generic child- and adolescent-specific preference-based measures that can be used to produce utilities for child and adolescent health.

Methods: A systematic search was undertaken to identify studies reporting the psychometric performance of the Child Health Utility (CHU9D), EQ-5D-Y (3L or 5L), and Health Utilities Index Mark 2 (HUI2) or Mark 3 (HUI3) in children and/or adolescents. Data were extracted to assess known-group validity, convergent validity, responsiveness, reliability, acceptability, and feasibility. Data were extracted separately for the dimensions and utility index where this was reported.

Results: The review included 76 studies (CHU9D $n = 12$, EQ-5D-Y-3L $n = 20$, HUI2 $n = 26$, HUI3 $n = 43$), which varied considerably across conditions and sample size. EQ-5D-Y-3L had the largest amount of evidence of good psychometric performance in proportion to the number of studies examining performance. The majority of the evidence related to EQ-5D-Y-3L was based on dimensions. CHU9D was assessed in fewer studies, but the majority of studies found evidence of good psychometric performance. Evidence for HUI2 and HUI3 was more mixed, but the studies were more limited in sample size and statistical power, which was likely to have affected performance.

Conclusions: The heterogeneity of published studies means that the evidence is based on studies across a range of countries, populations and conditions, using different study designs, different languages, different value sets and different statistical techniques. Evidence for CHU9D in particular is based on a limited number of studies. The findings raise concerns about the comparability of self-report and proxy-report responses to generate utility values for children and adolescents.

Keywords: adolescents, children, CHU9D, EQ-5D-Y-3L, HUI2, HUI3, paediatric, QALYs, utilities.

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Introduction

Health technology assessment (HTA) can be used as a tool for informing resource allocation decisions by assessing the cost-effectiveness of interventions and enabling comparisons of relative cost-effectiveness across a range of interventions for different conditions and populations. The quality-adjusted life-year (QALY) is commonly used to capture the benefit of interventions for use in HTA. QALY is calculated by quality-weighting survival using a quality adjustment, which is often generated using a generic preference-based measure. A preference-based measure consists of a classification system and a value set that is used to score responses to the classification system. The classification system contains dimensions with severity levels. Responses to the classification system are used to assign people to a health state. A value set is then used to score the relative value of the health state

to generate a utility value (index score), on the 1-to-0 full health-dead scale, with values less than zero indicating that the health state is worse than being dead. There are many different preference-based measures available, and these can be condition-specific or generic, and population-specific (eg, adults or children) or suitable across many populations.

Measures for estimating adult health utilities are often assessed by reference to the psychometric performance of measures, such as assessing their validity and responsiveness in particular populations. The psychometric performance of the main generic preference-based measures has been assessed widely in the published literature, and there is a published review of reviews about their performance.¹ This means that both researchers and decision makers have knowledge about the appropriateness of the utility values generated by these measures across a range of conditions and also about whether the measure would be

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expected to identify a statistically significant change in that population. This can provide valuable information about the confidence in the utility estimates and interpretation of changes in utility values; however, to our knowledge there is no review of the published literature examining the psychometric performance of the child and adolescent preference-based measures.

One existing review examined the development and application of generic preference-based measures available for use in pediatric populations² and found 9 measures (Adolescent Health Utility Measure, Assessment of Quality of Life [AQoL-6D], Child Health Utility [CHU9D], EQ-5D-Y-3L, Health Utilities Index Mark 2 (HUI2) and Mark 3 (HUI3), 16D, 17D, and the Quality of Wellbeing Scale [QWB]); researchers concluded that further empirical analyses are required to examine the relative performance of these measures. One review examined the valuation methods used to generate the values sets of these preference-based measures.³ Another review found that 7 of these preference-based measures had been commonly used internationally in pediatric populations: AQoL-6D, EQ-5D-Y-3L, CHU9D, HUI2, HUI3, 15D/16D/17D, and QWB.⁴ This review⁴ provided a fully comprehensive source of published utility values from the existing literature across a range of conditions but did not assess the psychometric performance of the measures used to generate the utility values.

The present review examined the psychometric performance of a selection of generic child and adolescent-specific preference-based measures that can be used to generate utility values for children and adolescents: CHU9D, EQ-5D-Y-3L, HUI2, and HUI3.

Methods

Description of Measures

The measures selected for inclusion in the review were CHU9D, EQ-5D-Y-3L, HUI2, and HUI3 because the authors, after consultation with National Institute for Health and Care Excellence staff, considered these to be the measures most appropriate to inform UK policy using criteria about intended and worded appropriately for use in children and adolescents, applicability across conditions using a generic classification system of dimensions and levels, development (or validation) with an English-speaking population, and potential availability in datasets used to inform UK policy. Following is a summary of each of the child- and adolescent-specific preference-based measures examined in the review.

CHU9D

The CHU9D has 9 dimensions each with 5 severity levels: worry, sadness, pain, tiredness, annoyance, school, sleep, daily routine, and activities. The dimensions and severity levels were developed using qualitative research with children aged 7 to 11 years and hence were designed for this age group; however, the measure can be completed via parent/guardian proxy for children aged 4 to 7 years and has been used in adolescents aged 12 to 18 years. Value sets exist for the UK,⁵ Australia,⁶⁻⁹ The Netherlands,¹⁰ and China.¹¹

EQ-5D-Y-3L and EQ-5D-Y-5L

The EQ-5D-Y is the youth version of the EQ-5D. The EQ-5D-Y was generated by adapting the adult EQ-5D to ensure relevance and clarity for children and adolescents.¹²⁻¹⁴ The same 5 dimensions of the adult EQ-5D are each retained in the EQ-5D-Y, but the dimensions and levels were reworded to be appropriate for children by an international team of collaborators. The EQ-5D-Y-3L has 5 dimensions each with 3 levels of severity: mobility; looking after myself; doing usual activities; having pain or discomfort; and

feeling worried, sad or unhappy. There is also a more recent 5-level youth version of the EQ-5D called the EQ-5D-Y-5L.¹⁵ There is no officially accepted value set for the EQ-5D-Y-3L or EQ-5D-Y-5L, though there is a published value set for the EQ-5D-Y-3L in the United States.¹⁶ Recent research has found that current EQ-5D value sets cannot be appropriately used to value EQ-5D-Y health states.^{17,18} The EuroQol Group is currently developing an international valuation protocol for the development of country-specific EQ-5D-Y value sets.¹⁹

HUI2

The HUI2 has 7 dimensions: sensation, mobility, emotion, cognition, self-care, pain, and fertility.²⁰ Each dimension has between 3 and 5 levels. The measure was originally developed in Canada for use in childhood cancer but is widely used as a generic measure, although the fertility dimension is rarely used. The HUI2 has a UK value set²¹ and a Canadian value set²⁰ and can be used to measure health of children and adults aged 5 and over. HUI2 and HUI3 are typically administered using a single set of 15 self-administered questions, which are then used to generate both HUI2 and HUI3 utilities. Interviewer administration of the set of items used to generate both HUI2 and HUI3 utilities involves between 13 and 39 questions.

HUI3

The HUI3 was developed in Canada with the purpose of resolving some issues faced with HUI2 and for applicability to clinical and general populations. HUI3 has 8 dimensions: vision, hearing, speech, ambulation, dexterity, emotion, cognition, and pain.²² Each dimension has between 5 and 6 levels. The HUI3 has only a Canadian value set.²² The HUI3 can be used to measure health of children and adults aged 5 and older.

Search Strategy and Data Identification

The objective of the literature search was to identify relevant published studies reporting evidence of the psychometric performance of CHU9D, EQ-5D-Y (3L or 5L), HUI2, and HUI3 in children and adolescents. A systematic search was conducted in Medline (Ovid), PsycINFO (Ovid), and the Web of Science Core Collection Science Citation Index Expanded (Clarivate Analytics) in March and September 2019 to identify studies reporting the psychometric performance of EQ-5D-Y (3L or 5L), CHU9D, HUI2, AQoL-6D, and HUI3 in children and adolescents. Terms for the measure (eg, "EQ-5D-Y," "HUI," "CHU9D") were combined with "child" population terms derived from a recently published systematic review of child utilities (which did not assess psychometric performance of measures).⁴ The search strategy was translated across each database, and limits for human studies and English language were applied. No study type or publication date limits were applied.

Supplementary gray literature searches included the conference abstract websites in the last 3 years (The International Society for Pharmacoeconomics and Outcomes Research and International Society for Quality of Life Research), Web of Science Cited Reference Search, keyword searching using Google Scholar search engine, and examination of reference lists of included studies.

The criteria for inclusion were an assessment of known-group validity, convergent validity, responsiveness, reliability, acceptability or feasibility of EQ-5D-Y (3L or 5L), CHU9D, HUI2, or HUI3; obtained from pediatric populations or relevant parents/caregivers acting as proxies for children; with analyses reported separately for participants aged younger than 18 years. The search included the AQoL-6D and EQ-5D-Y-5L measures but identified

only 1 relevant study each for AQoL-6D²³ and EQ-5D-Y-5L,²⁴ meaning that AQoL-6D and EQ-5D-Y-5L were post hoc exclusions from the review.

Retrieved records were screened by 1 of 3 reviewers, with a 10% randomly selected sample of titles and abstracts double checked by a second reviewer. Full-text papers of potentially relevant records were obtained and examined in detail. Study selection was based on predefined eligibility criteria. Summary data relating to study and population characteristics was extracted by 1 of 2 reviewers and checked by a second reviewer for all papers. Subsequently, 2 reviewers independently double extracted the psychometric analyses for 3 randomly selected papers and, after comparing extractions and amended using consensus (differences occurred only in the amount of detail extracted), undertook single extraction of the psychometric data of the remaining papers.

Analytic Strategy

Assessments of the psychometric performance of patient-reported outcome measures (PROMs) focus on validity, reliability and responsiveness,²⁵ though not all aspects that are relevant for PROMs are relevant for preference-based measures. For example, internal consistency reliability examines whether items within a measure are measuring the same construct, which is important for a PROM but not for a preference-based measure. We focused on the aspects of reliability, validity, and responsiveness that are important for assessing the performance of preference-based measures^{1,26,27} and assessments of feasibility and acceptability. We included both the performance of the utility index and dimensions where this information was available. Data were extracted about the following:

- *Measure(s)*: preference-based measure(s) used; language version; whether the measure was self-reported and/or proxy reported by parents/caregivers or both; whether the paper assessed the index (ie, the utility scores generated by the measure), dimensions, or both index and dimensions; country preference weights applied (where applicable); other health-related quality of life (HRQL) measures or clinical measures used.
- *Study sample*: age of participants (mean age and age range); proportion of female participants; whether the sample consisted of members of the general population, patients, or both; clinical area (where applicable); sample size.
- *Known-group validity*: assesses the ability to differentiate between groups of different severity²⁸ or a less rigorous test of case-control construct validity that examines the ability to differentiate between people with and without the condition; determined using the ability to identify a reported statistically significance difference at the 5% level across known groups, along with whether the direction of the difference is in accordance with clinical expectation (eg, general population with higher utilities than patients). Where studies assess dimensions, it is not typically expected that all dimensions will necessarily capture known-group differences because not all conditions affect all dimensions.
- *Convergent validity*: assesses the strength of association between the measure of interest and other measures of HRQL (generic or condition-specific) or disease severity using either correlation coefficients²⁹ (a more conventional technique) or statistical significance in regression analyses. Evidence of convergent validity is determined by whether moderate (0.41-0.60) or good (0.61-0.80) (or higher and almost perfect) agreement has been identified. These are arbitrary cut-offs but are often reported in the papers included in the review (and based on established criteria; see, eg, Landis and Koch [1977]).³⁰

Evidence of convergent validity focuses on expected correlations where these are motivated in theory (eg, pain dimensions in 2 measures).

- *Responsiveness*: assesses the ability to capture change over time, where change is expected (eg, as a result of treatment effects).³¹ Evidence of responsiveness is determined by the ability to determine a statistically significance change at the 5% level over time. It also considers whether the direction of the change is in accordance with clinical expectation (eg, higher index scores at the end of treatment than at baseline). Where dimensions are assessed, it is not necessarily expected that all dimensions will be responsive because not all conditions or treatments affect all dimensions.
- *Reliability*: assesses the degree of change where no change in health is identified using other HRQL or clinical measures. Evidence of reliability is determined by whether the measure is able to reproduce the same value on 2 separate administrations when there has been no change in health, where this can be over time (test-retest reliability),³² between methods of administration (intermodal reliability) or between raters (ie, self-report and parent proxy report [interrater reliability]).³³ Reliability is sometimes identified for most but not all dimensions, but if reliability is not identified for some dimensions, this raises issues about reliability of the entire measure.
- *Acceptability and feasibility*: assess the practicality of a measure for administration in a specific group of people, covering aspects such as burden of completion and whether the person completing the measure can meaningfully respond to the questions being asked. Evidence of acceptability and feasibility is indicated where the study reports, for example, low missing data or high levels of understanding. A lack of evidence for acceptability and feasibility is concluded where the study reports, for example, high levels of missing data or low levels of understanding. For child and adolescent measures this includes whether the child and adolescent or the proxy can meaningfully complete the measure, because there may be problems of understanding for younger people and problems of knowing the required information (eg, how the child feels emotionally) for proxy report.
- Other psychometric analyses reported.

Where reported, data were extracted for each of the psychometric assessments about brief summary of analysis undertaken, whether the results were in accordance with clinical expectation (where relevant), and whether the findings were statistically significant.

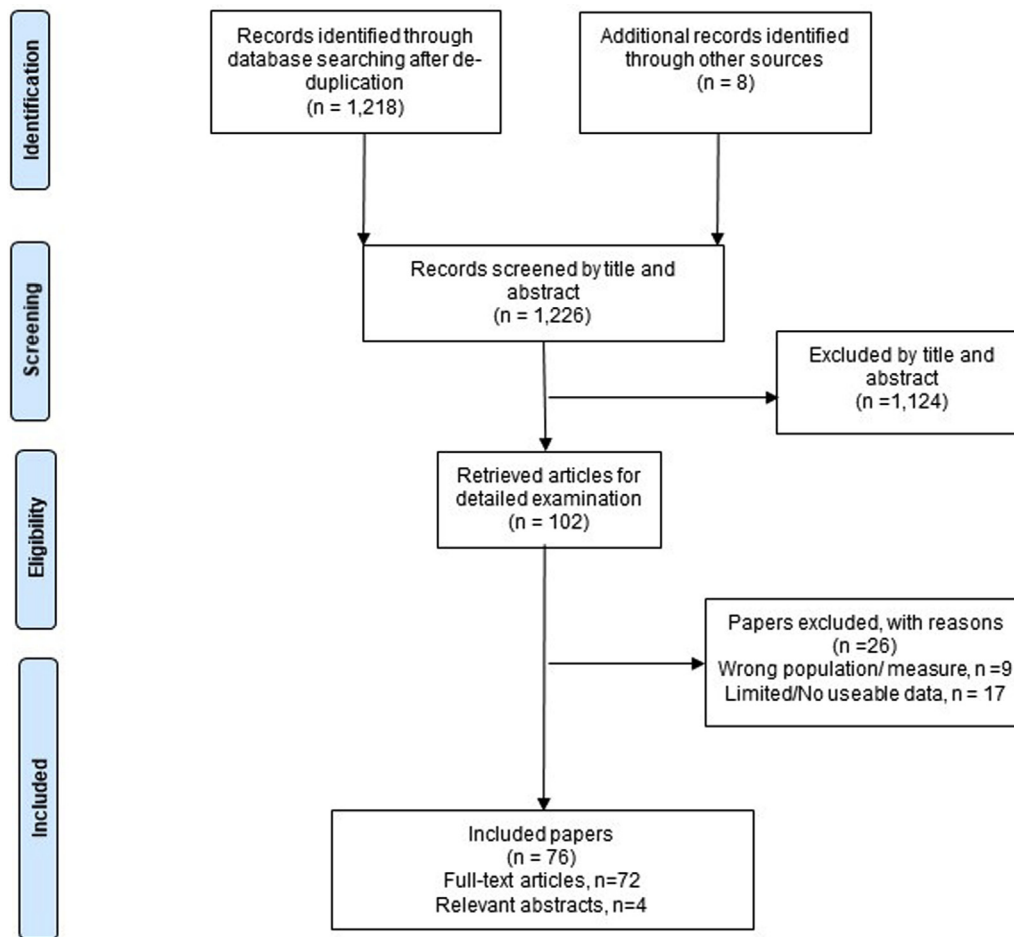
Results

Search Results

A total of 1218 unique records were identified, with 8 additional records identified from reference lists. Of these, 102 records were examined in detail. After the exclusion of 26 papers (see [Supplemental Table](https://doi.org/10.1016/j.jval.2020.09.012) found at <https://doi.org/10.1016/j.jval.2020.09.012>), 76 papers, including 72 full-text articles and 4 conference abstracts,³⁴⁻³⁷ were considered suitable for providing evidence for the psychometric assessment of EQ-5D-Y-3L, CHU9D, HUI2, and HUI3. A PRISMA flow chart of the study selection process is shown in [Figure 1](#).

Included Studies

Characteristics of included studies are summarized in [Table 1](#), and further details are provided in the [Supplemental Table](#) (found

Figure 1. PRISMA flow chart.

at <https://doi.org/10.1016/j.jval.2020.09.012>). Out of the 76 studies, 53 studies assess only 1 of the child- and adolescent-specific preference-based measures assessed here. Nineteen studies assess both HUI2 and HUI3,^{34,38-54} 2 studies assess CHU9D and EQ-5D-Y-3L,^{55,56} 1 assesses EQ-5D-Y-3L and HUI2,⁵⁷ and 1 assesses CHU9D and HUI2.^{7,58} Forty-two studies assess HUI3, 26 studies assess HUI2, 20 studies assess EQ-5D-Y-3L, and 12 studies assess CHU9D. One study²⁴ compares EQ-5D-Y-3L and EQ-5D-Y-5L.

Value Sets

CHU9D studies use the UK value set (n = 9), Australian adolescent value set (n = 4), Australian adult value set (n = 2). EQ-5D-Y-3L has no accepted value set, meaning that 15 studies do not generate utility scores. The remaining 5 studies assessing EQ-5D-Y-3L scored health states using the UK, Australian, French, and Spanish value sets for the EQ-5D and an unofficial US EQ-5D-Y-3L value set (1 study each). Twenty studies used the Canadian HUI2 value set, 2 used the UK value set, 3 did not use a value set, and 1 did not report the value set used. The HUI3 only has a Canadian value set, though 4 studies reported results for dimensions only.

Country and Language

The data assessed in the studies are from a variety of countries, with Canada (n = 16), the UK (n = 12), the United States (n = 9), and Australia (n = 8) having the largest number of included studies,

followed by The Netherlands (n = 4), Sweden (n = 4), Spain (n = 3), China (n = 2), Germany (n = 2), South Africa (n = 2), and many countries with 1 study (France, Hong Kong, Italy, New Zealand, South Korea, Taiwan, Thailand, and Turkey), 2 multinational studies (each included Germany, Italy, South Africa, Spain, and Sweden), 1 study in Australia and New Zealand, 1 study in the UK and Ireland, 1 study in the UK and the United States, and 1 study in which the country was not reported. A large number of studies used the English language version of the measures: CHU9D (n = 11), EQ-5D-Y-3L (n = 6), HUI2 (n = 22), HUI3 (n = 34).

Study Population and Condition

The majority of studies assessed a clinical population (n = 48), though some studies assessed the measure using only a general population sample (n = 15) and other studies compared general population and clinical population samples (n = 13). A wide range of conditions were covered in the studies. Conditions assessed in at least one study include asthma (n = 3), cancer (n = 5), cerebral palsy (n = 3), children born with extremely low birth weight (n = 3), chronic illness (n = 3), chronic kidney disease (n = 2), deafness (n = 2) and permanent hearing loss (n = 1), depression (n = 2), Hodgkin disease (n = 2), overweight and obesity (n = 2), stutter (n = 2), and type 1 diabetes mellitus (n = 2).

Table 1. Characteristics of included studies.

Study reference	Country	Value set	General population*	Condition, where relevant	Self-report	Proxy report	Age range of children (yr)	N
CHU9D								
Canaway, 2013 ⁵⁵	UK	UK	Yes	No	Yes	No	6-7	160
Chen, 2015 ⁵⁶	Australia	Australia adult	Yes	No	Yes	No	11-17	2020
Foster Page, 2015 ⁵⁷	New Zealand	UK	No	Dental caries, carious surfaces, restored surfaces or missing teeth	Yes	No	6-9	87
Frew, 2015 ⁶⁸	UK	UK	Yes	Underweight, healthy weight, overweight or obese	Yes	No	5-6	1344
Furber, 2015 ⁶⁹	Australia	UK and Australian adolescent	No	Receiving mental health services	No	Yes	5-17	200
Oluboyede, 2019 ⁷⁰	UK	UK	Yes	No	Yes	No	11-18	975
Petersen, 2018 ⁷¹	Australia	Australia adolescent	Yes	No	Yes	No	15-17	775
Ratcliffe, 2012a ⁵⁸	Australia	UK	Yes	No	Yes	No	11-17	500
Ratcliffe, 2012b ²³	Australia	Australia adolescent and adult	Yes	No	Yes	No	11-17	500
Sach, 2017 ³⁶	UK	UK	No	Eczema	N/R	N/R	≥5	137
Stevens, 2012a ⁷²	Australia	UK	Yes	No	Yes	No	11-17	961
Xu, 2014 ⁷³	China	UK and Australian adolescent	Yes	No	Yes	No	9-19	815
EQ-5D-Y-3L								
Åström, 2018 ⁷⁴	Sweden	No	Yes	No	Yes	No	13-18	6574
Bergfors, 2015 ⁵⁴	Sweden	No	No	Asthma	Yes	No	8-16	94
Burström, 2014 ⁷⁵	Sweden	No	Yes	Functional motor, orthopedic and medical disabilities	Yes	No	Clinical population 7-17, general population 8-16	478
Canaway, 2013 ⁵⁵	UK	UK EQ-5D	Yes	No	Yes	No	6-7	160
Chen, 2015 ⁵⁶	Australia	Australia EQ-5D	Yes	No	Yes	No	11-17	2020
Eidt-Koch, 2009 ⁷⁶	Germany	No	No	Cystic fibrosis	Yes	Yes	8-17	96
Hernandez, 2015 ³⁵	Spain	France EQ-5D	No	Asthma	Yes	No	6-11	69
Hsu, 2018 ⁷⁷	Taiwan	No	No	Chronic kidney disease	Yes	No	7-18	68
Jelsma, 2010 ⁷⁸	South Africa	No	Yes	No	Yes	No	N/R	522
Kim, 2018 ⁶³	South Korea	No	No	Allergic conditions	Yes	No	7-13	9949
Loof, 2019 ⁷⁹	Sweden	No	Yes	Idiopathic clubfoot	Yes	Yes	8-10	215
Mayoral, 2017 ³⁷	Unknown	Spain EQ-5D	No	Type 1 diabetes mellitus	N/R	N/R	N/R	136
Oluboyede, 2013 ⁵⁷	UK	No	Yes	No	Yes	No	11-18	49
Perez-Sousa, 2018 ⁸⁰	Spain	No	No	Overweight and obese	Yes	Yes	6-14	151

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Table 1. Continued

Study reference	Country	Value set	General population*	Condition, where relevant	Self-report	Proxy report	Age range of children (yr)	N
Ravens-Sieberer, 2010 ¹³	Germany, Italy, South Africa, Spain, Sweden	No	Yes	No	Yes	No	≥8	2809
Robles, 2015 ⁸¹	Spain	No	Yes	No	Yes	No	8-18	923
Scalone, 2011 ⁸²	Italy	No	Yes	Acute lymphoblastic leukemia	Yes	No	8-15	440
Scott, 2017 ⁸³	South Africa	USA	Yes	Acutely ill or chronic health condition/disability	Yes	No	8-12	329
Wille, 2010 ¹⁴	Germany, Italy, South Africa, Spain, Sweden	No	Yes	No	Yes	No	8-18	1987
Wong, 2019 ²⁴	China	No	No	Adolescent or juvenile idiopathic scoliosis	Yes	No	8-17	129
HUI2								
Banks, 2008 ³⁸	Canada	Canada	No	Cancer—undergoing chemotherapy	Yes (≥10)	Yes	2-18	29
Barr, 1997 ³⁹	Canada	Canada	No	Cancer	Yes [†]	Yes	N/R	18
Belfort, 2011 ⁴⁰	USA	Canada	No	Attending well-child appointments or obesity clinic	Yes	Yes	5-18	76
Boran, 2011 ⁴¹	Turkey	Canada	No	Cancer during neutropenia (adverse effect associated with cytotoxic therapy)	No	Yes	11 mo–14 yr	50
Dickerson, 2018 ⁸⁴	USA	Canada	No	Depression	Yes	No	13-17	392
Feeny, 2004 ⁴²	Canada	Canada	Yes	Extremely low weight at birth	Yes	No	12-16	275
Furlong, 2012 ⁴³	Canada	Canada	Yes	Acute lymphoblastic leukemia	Yes	Yes	5-18	196
Glaser, 1999 ⁸⁵	UK	Canada	No	Central nervous system tumor survivors	Yes	Yes	6-16	30
Kennedy, 1999 ⁸⁶	UK	Canada	No	Childhood brain tumor survivors	Yes (≥16)	Yes (<16)	2-11	32
Klaassen, 2010a ⁸⁷	Canada	Canada	No	Hodgkin disease	Yes	No	8-17	51
Klaassen, 2010b ⁴⁴	Canada	Canada	No	Hodgkin disease	Yes	Yes	8.9-18	49
Kulpeng, 2013 ⁴⁵	Thailand	Canada	No	Meningitis, bacteremia, pneumonia, acute otitis media, hearing loss, chronic lung disease, epilepsy, mild mental retardation	Yes (≥7 and able)	Yes	5-14	173
Le Gales, 1999 ⁴⁶	France	N/A	No	Medulloblastoma and ependymoma	Yes	Yes	5-19	43
Lynch, 2016 ⁴⁷	USA	Canada	Yes	Depression	Yes	No	13-17	392
Mok, 2014 ⁴⁸	Hong Kong	Canada	No	Down syndrome	No	Yes	5-18	30
Morrow, 2012 ⁴⁹	Australia	N/A	No	Chronic illness	Yes (≥12 and able)	Yes	5-18	131

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Table 1. Continued

Study reference	Country	Value set	General population*	Condition, where relevant	Self-report	Proxy report	Age range of children (yr)	N
Nixon Speechley, 1999 ⁵⁰	Canada	Canada	No	Childhood cancer survivors	No	Yes	7-16	250
Oluboyede, 2013 ⁵⁷	UK	No	Yes	No	Yes	No	11-18	49
Petrou, 2013 ⁵¹	UK and Republic of Ireland	UK	Yes	Neurologic disability and preterm births	No	Yes	Clinical population 10 yr 1 mo–11 yr 1 mo General population 9 yr 9 mo–12 yr 3 mo	331
Ratcliffe, 2012a ⁵⁸	Australia	UK	Yes	No	Yes	No	11-17	500
Stevens, 2012b ³⁴	UK	UK	No	Intensive care	Yes (>11)	Yes	≥5	685
Sung, 2003 ⁵²	Canada	Canada	No	Cancer	No	Yes	1-18	36
Sung, 2004 ⁵³	Canada	Canada	No	Chronic illness	Yes	Yes	12-17	19
Trevino, 2013 ⁵⁴	USA	Canada	Yes	Obesity	Yes	No	10-12	4979
Trudel, 1998 ⁸⁸	Canada	Canada	No	Cancer	No	Yes	4-18	61
Ungar, 2012 ⁶⁵	Canada	Canada	No	Asthma	Yes, solo then dyad	Yes, solo then dyad	8-17	91
HUI3								
Banks, 2008 ³⁸	Canada	Canada	No	Cancer—undergoing chemotherapy	Yes (≥10)	Yes	2-18	29
Barr, 1997 ³⁹	Canada	Canada	No	Cancer	Yes [†]	Yes	N/R	18
Belfort, 2011 ⁴⁰	USA	Canada	No	Attending well-child appointments or obesity clinic	Yes	Yes	5-18	76
Boran, 2011 ⁴¹	Turkey	Canada	No	Cancer during neutropenia (adverse effect associated with cytotoxic therapy)	No	Yes	11 mo–14 yr	50
Boulton, 2006 ⁸⁹	England	Canada	No	Vision impairment or blindness	Yes	Yes	3-8	79
Cheng, 2000 ⁹⁰	USA	Canada	No	Deafness	Yes	Yes	N/R	22
de Sonnevile-Koedoot, 2014 ⁹¹	The Netherlands	Canada	Yes	Stutter	Yes	Yes	3-6.3	197
de Sonnevile-Koedoot, 2015 ⁹²	The Netherlands	Canada	No	Stutter	Yes	Yes	3-6.3	198
Dickerson, 2018 ⁸⁴	USA	Canada	No	Depression	Yes	No	13-17	392
Feeny, 2004 ⁴²	Canada	Canada	Yes	Extremely low weight at birth	Yes	No	12-16	275
Francis, 2019 ⁹³	Australia New Zealand	Canada	No	Chronic kidney disease	Yes (≥13)	Yes (<13)	6-18	375
Furlong, 2012 ⁴³	Canada	Canada	Yes	Acute lymphoblastic leukemia	Yes	Yes	5-18	196
Janse, 2008 ⁹⁴	The Netherlands	Canada	No	Chronic illness—cystic fibrosis admitted for pneumonia, newly diagnosed acute lymphatic leukemia, juvenile idiopathic arthritis, or asthma	Yes	Yes	10-17	60

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Table 1. Continued

Study reference	Country	Value set	General population*	Condition, where relevant	Self-report	Proxy report	Age range of children (yr)	N
Kennes, 2002 ⁹⁵	Canada	N/A	No	Cerebral palsy	Yes	Yes	5-13	408
Klaassen, 2010a ⁸⁷	Canada	Canada	No	Hodgkin disease	Yes	No	8-17	51
Klaassen, 2010b ⁴⁴	Canada	Canada	No	Hodgkin disease	Yes	Yes	8.9-18	49
Kulkarni, 2010 ⁹⁶	Canada	Canada	No	Obstructive hydrocephalus	No	Yes	5-18	47
Kulpeng, 2013 ⁴⁵	Thailand	Canada	No	Meningitis, bacteremia, pneumonia, acute otitis media, hearing loss, chronic lung disease, epilepsy, mild mental retardation	Yes, (≥ 7 and able)	Yes	5-14	173
Le Gales, 1999 ⁴⁶	France	N/A	No	Medulloblastoma and ependymoma	Yes	Yes	5-19	43
Lee, 2011 ⁹⁷	USA	Canada	No	Type 1 diabetes	Yes	Yes	8-18	238
Livingston, 2008 ⁵⁹	Canada	Canada	No	Cerebral palsy	No	Yes	13-20	185
Lovett, 2010 ^{47,98}	UK	Canada	No	Deafness	No	Yes	18 mo–16 yr	50
Lynch, 2016 ⁴⁷	USA	Canada	Yes	Depression	Yes	No	13-17	392
Mattera, 2018 ⁶²	UK and USA	N/A	No	Hunter syndrome	Yes (≥ 12 and able)	Yes (<12 or unable) ^s	12-17	7
Mok, 2014 ⁴⁸	Hong Kong	Canada	No	Down syndrome	No	Yes	5-18	30
Morrow, 2012 ⁴⁹	Australia	N/A	No	Chronic illness	Yes (≥ 12 and able)	Yes	5-18	131
Nixon Speechley, 1999 ⁵⁰	Canada	Canada	No	Childhood cancer survivors	No	Yes	7-16	250
Penn, 2011 ⁹⁹	UK	Canada	Yes	Childhood brain tumors	Yes (≥ 8)	Yes	3-16	61
Petrou, 2013 ⁵¹	UK and Republic of Ireland	Canada	Yes	Neurological disability and preterm births	No	Yes	Clinical population 10 yr 1 mo–11 yr 1 mo General population 9 yr 9 mo–12 yr 3 mo	331
Rhodes, 2012 ¹⁰⁰	USA	Canada	No	Adolescents with BMI ≥ 85 th percentile with type 2 diabetes, prediabetes or insulin resistance	Yes	Yes	12-18	107
Roposch, 2011 ¹⁰¹	UK	Canada	No	Osteonecrosis secondary to treatment of developmental dysplasia of the hip	Yes	No	4-18	72
Rosenbaum, 2007 ⁶⁰	Canada	Canada	No	Cerebral palsy	No	Yes	13-20	203
Smith-Olind, 2008 ¹⁰²	USA	Canada	No	Permanent hearing loss	No	Yes	5-10	103
Stade, 2006 ¹⁰³	Canada	Canada	No	Children and youth prenatally exposed to alcohol, fetal alcohol spectrum disorder	Yes	Yes	8-21	126

continued on next page

Table 1. Continued

Study reference	Country	Value set	General population*	Condition, where relevant	Self-report	Proxy report	Age range of children (yr)	N
Stevens, 2012b ³⁴	UK	Canada	No	Intensive care	Yes (>11)	Yes	5 and older	685
Sung, 2003 ⁵²	Canada	Canada	No	Cancer	No	Yes	1-18	36
Sung, 2004 ⁵³	Canada	Canada	No	Chronic Illness	Yes	Yes	12-17	19
Tan, 2018 ¹⁰⁴	Australia	Canada	No	Part of an obesity prevention intervention	No	Yes	2-5 (unclear)	368
Tilford, 2012 ⁶¹	USA	Canada	Yes	Autism spectrum disorders	No	Yes	4-17	150
Trevino, 2013 ⁵⁴	USA	Canada	Yes	Obesity	Yes	No	10-12	4979
Ungar, 2012 ⁶⁵	Canada	Canada	No	Asthma	Yes—solo then dyad	Yes—solo then dyad	8-17	91
Verrips, 2001 ¹⁰⁵	Netherlands	Canada	No	Very low birth weight children	Yes	Yes	14	684
Wolke, 2013 ¹⁰⁶	Germany	Canada	Yes	Very low birth weight and very preterm children	Yes where able	Yes	13	554

Note. Wong et al (2019) compare the EQ-5D-Y 3-level and 5-level versions.

BMI indicates body mass index; CHU9D, Child Health Utility; HUI2, Health Utilities Index Mark 2; HUI3, Health Utilities Index Mark 3; N/A, not applicable; N/R, not reported.

*General population is recorded where the sample was recruited from the general population, and studies that are included both in the general population column and in the condition column, where relevant, compare the performance of the measure in a clinical population sample to a general population sample.

[†]Excluded from the analysis because of low numbers.

[‡]These data cannot be extracted because they were merged with caregiver report up to aged 26.

Self-report and Proxy Report

Thirty studies administered the measures to the children/adolescents using only self-report, and 14 studies administered the measures using only proxy report. Twenty-seven studies used both self-report and proxy report for the same children, though for 11 of these studies restrictions were given about when self-complete was administered (eg, a minimum age or only where the child was able to self-complete), and one of the studies administered the measures separately and then as a dyad. Three studies used either self- or proxy report depending on the age of the child, and 2 studies did not report who completed the measure.

Age and Gender

Mean age varied from 6.4 years⁵⁵ to 16.^{59,60} The age range of children and adolescents included in each study varied. Eleven studies included children aged younger than 5 years, which is younger than the recommended age for the measures used in these studies (note the minimum recommended age for CHU9D and EQ-5D-Y-3L is 4 and for HUI2 and HUI3 is 5).³ The percentage of female participants in the samples ranged from 14.7%⁶¹ to 80.6%.²⁴

Sample Size

Sample size varied considerably, from 7 participants⁶² to 9949.⁶³ Thirteen studies had sample sizes less than 50, 15 studies had sample sizes between 50 and 99, and 7 studies had sample sizes of 1000 or more.

Psychometric Performance

No study assesses all properties extracted in this review. Overall 48 studies assessed known-group validity, 33 studies

assessed convergent validity, 14 studies assessed responsiveness, 24 studies assessed reliability, and 19 studies assessed acceptability and feasibility. Table 2 summarizes whether the study found evidence, mixed evidence, or no evidence for each of the properties assessed per measure, ordered within each measure by population assessed (general population, clinical population, or whether both general and clinical populations are assessed). For CHU9D the review found evidence of known-group validity, convergent validity, acceptability and feasibility, and mixed evidence of responsiveness, but the only study assessing test-retest reliability did not find evidence of reliability. For EQ-5D-Y-3L dimensions, the review found evidence of known-group validity, convergent validity, responsiveness, acceptability, and feasibility and mixed evidence of test-retest reliability, but the only study assessing interrater reliability did not find evidence of reliability. The evidence was mainly about the performance of the dimensions because there is no recommended value set. For HUI2 the review found evidence of convergent validity and test-retest reliability and mixed evidence of known-group validity, responsiveness, interrater reliability, acceptability, and feasibility because good performance was not found unanimously across these aspects of psychometric performance. For HUI3 the review found evidence of convergent validity and mixed evidence of known-group validity, responsiveness, interrater reliability, test-retest reliability, and acceptability and feasibility, with a proportion of studies not reporting evidence of known-group validity, responsiveness, or reliability.

Performance by Study Population

For CHU9D the most evidence was about performance in a general population sample (n = 8), and performance appeared similar across clinical and general population samples. For EQ-5D-Y-3L performance appeared similar across clinical and general

Table 2. Evidence of psychometric performance in included studies.

Study reference	Index, dimensions, or both assessed	General population, clinical population, or both	Sample size*	Known-group validity	Convergent validity	Responsiveness	Interrater reliability	Test-retest reliability	Acceptability and feasibility
CHU9D									
Canaway, 2013 ⁵⁵	Both	General	160	✓	✓			X	✓
Chen, 2015 ⁵⁶	Both	General	2020	✓	✓				
Oluboyede, 2019 ⁷⁰	Index	General	975	✓	✓				
Petersen, 2018 ⁷¹	Index	General	775	✓	✓				
Ratcliffe, 2012a ⁵⁸	Both	General	500	±	✓				
Ratcliffe, 2012b ²³	Index	General	500	✓					
Stevens, 2012a ⁷²	Both	General	961	✓	±				
Xu, 2014 ⁷³	Both	General	815	✓					
Foster Page, 2015 ⁶⁷	Index	Clinical	87		✓	X			
Furber, 2015 ⁶⁹	Both	Clinical	200	✓	✓				
Sach, 2017 ³⁶	Index	Clinical	137	✓	✓	✓			
Frew, 2015 ⁶⁸	Both	Both	1344	X	±				
EQ-5D-Y-3L									
Åström, 2018 ⁷⁴	Dimensions	General	6574	✓					
Canaway, 2013 ⁵⁵	Both	General	160	✓	✓			X	✓
Chen, 2015 ⁵⁶	Both	General	2020	✓	✓				
Jelsma, 2010 ⁷⁸	Dimensions	General	522						✓
Oluboyede, 2013 ⁵⁷	Dimensions	General	49						X
Ravens-Sieberer, 2010 ¹³	Dimensions	General	2809	±	✓			✓	✓
Robles, 2015 ⁸¹	Dimensions	General	923	✓					✓
Wille, 2010 ¹⁴	Dimensions	General	1987						✓
Bergfors, 2015 ⁶⁴	Dimensions	Clinical	94		✓				
Eidt-Koch, 2009 ⁷⁶	Dimensions	Clinical	96		✓				
Hernandez, 2015 ³⁵	Index	Clinical	69	✓					
Hsu, 2018 ⁷⁷	Dimensions	Clinical	68					±	
Kim, 2018 ⁵³	Dimensions	Clinical	9949	✓					✓
Mayoral, 2017 ³⁷	Both	Clinical	136	±	✓	✓			
Perez-Sousa, 2018 ⁸⁰	Dimensions	Clinical	151			✓	X		
Wong, 2019 ²⁴	Dimensions	Clinical	129					±	

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Table 2. Continued

Study reference	Index, dimensions, or both assessed	General population, clinical population, or both	Sample size*	Known-group validity	Convergent validity	Responsiveness	Interrater reliability	Test-retest reliability	Acceptability and feasibility
Burstrom, 2014 ⁷⁵	Dimensions	Both	478	✓	✓				
Loof, 2019 ⁷⁹	Dimensions	Both	215	±					
Scalone, 2011 ⁸²	Dimensions	Both	440	±	✓			✓	✓
Scott, 2017 ⁸³	Both	Both	329	✓	±	✓		±	✓
HUI2									
Oluboyede, 2013 ⁵⁷	Dimensions	General	49						✗
Ratcliffe, 2012a ⁵⁸	Both	General	500	±	✓				
Trevino, 2013 ⁵⁴	Index	General	4979	±					
Banks, 2008 ³⁸	Index	Clinical	29		±	✗			
Barr, 1997 ³⁹	Both	Clinical	18			✓			
Belfort, 2011 ⁴⁰	Both	Clinical	76	✗					
Boran, 2011 ⁴¹	Both	Clinical	50	✓		✓			
Dickerson, 2018 ⁸⁴	Index	Clinical	392		✓	✓			
Glaser, 1999 ⁸⁵	Index	Clinical	30				✓		✓
Kennedy, 1999 ⁸⁶	Index	Clinical	32	±					
Klaassen, 2010a ⁸⁷	Index	Clinical	51		✓	±			
Klaassen, 2010b ⁴⁴	Index	Clinical	49				±		
Kulpeng, 2013 ⁴⁵	Index	Clinical	173		✓		✓		
Le Gales, 1999 ⁴⁶	Dimensions	Clinical	43	✗					✓
Lynch, 2016 ⁴⁷	Index	Clinical	392	✓					
Mok, 2014 ⁴⁸	Index	Clinical	30	✓					1
Morrow, 2012 ⁴⁹	Dimensions	Clinical	131				✗		
Nixon Speechley, 1999 ⁵⁰	Both	Clinical	250		✓				
Stevens, 2012b ³⁴	Index	Clinical	685	†		†	✓		✓
Sung, 2003 ⁵²	Both	Clinical	36		±				✓
Sung, 2004 ⁵³	Index	Clinical	19				✗		
Trudel, 1998 ⁸⁸	Both	Clinical	61	✓	✓			✓	
Ungar, 2012 ⁶⁵	Both	Clinical	91	✗	✓	✗	✗	✓	
Feeny, 2004 ⁴²	Index	Both	275	✓	✓				

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Table 2. Continued

Study reference	Index, dimensions, or both assessed	General population, clinical population, or both	Sample size*	Known-group validity	Convergent validity	Responsiveness	Interrater reliability	Test-retest reliability	Acceptability and feasibility
Furlong, 2012 ⁴³	Index	Both	196	✓					X
Petrou, 2013 ⁵¹	Both	Both	331	✓					
HUI3									
Trevino, 2013 ⁵⁴	Index	General	4979	±					
Banks, 2008 ³⁸	Index	Clinical	29		±	X			
Barr, 1997 ³⁹	Both	Clinical	18			✓			✓
Belfort, 2011 ⁴⁰	Both	Clinical	76	X			±		
Boran, 2011 ⁴¹	Both	Clinical	50	±			±		
Boulton, 2006 ⁸⁹	Both	Clinical	79	✓					
Cheng, 2000 ⁹⁰	Index	Clinical	22			✓			
de Sonnevile-Koedoot, 2015 ³²	Index	Clinical	198			X			
Dickerson, 2018 ⁸⁴	Index	Clinical	392		✓	✓			
Francis, 2019 ⁹³	Both	Clinical	375	✓					
Janse, 2008 ⁹⁴	Both	Clinical	60				X		
Kennes, 2002 ⁹⁵	Dimensions	Clinical	408		✓				
Klaassen, 2010a ⁸⁷	Index	Clinical	51		✓		±		
Klaassen, 2010b ⁴⁴	Index	Clinical	49				±		
Kulkarni, 2010 ⁹⁶	Index	Clinical	47		✓				
Kulpeng, 2013 ⁴⁵	Index	Clinical	173		✓		✓		
Le Gales, 1999 ⁴⁶	Dimensions	Clinical	43	X			X		✓
Lee, 2011 ⁹⁷	Index	Clinical	238				✓	✓	✓
Livingston, 2008 ⁵⁹	Index	Clinical	185		X				
Lovett, 2010 ^{47,98}	Index	Clinical	50	X					
Mattera, 2018 ⁶²	Dimensions	Clinical	7		✓				
Mok, 2014 ⁴⁸	Index	Clinical	30	✓					‡
Morrow, 2012 ⁴⁹	Dimensions	Clinical	131				X		
Nixon Speechley, 1999 ⁵⁰	Both	Clinical	250		✓				

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Table 2. Continued

Study reference	Index, dimensions, or both assessed	General population, clinical population, or both	Sample size*	Known-group validity	Convergent validity	Responsiveness	Interrater reliability	Test-retest reliability	Acceptability and feasibility
Rhodes, 2012 ¹⁰⁰	Index	Clinical	107	✗	✓		±		
Roposch, 2011 ¹⁰¹	Both	Clinical	72	±					✗
Rosenbaum, 2007 ⁵⁰	Both	Clinical	203	±	✗				
Smith-Olinde, 2008 ¹⁰²	Both	Clinical	103	✗					✓
Stade, 2006 ¹⁰³	Both	Clinical	126	±			✓		
Stevens, 2012b ³⁴	Index	Clinical	685	[†]		[†]	✓		✓
Sung, 2003 ⁵²	Both	Clinical	36		±				✓
Sung, 2004 ⁵³	Index	Clinical	19				✗		
Tan, 2018 ¹⁰⁴	Both	Clinical	368	✗					
Ungar, 2012 ⁶⁵	Both	Clinical	91	✗	✓	✗	✗	✗	
Verrips, 2001 ¹⁰⁵	Both	Clinical	684				✗		
de Sonnevile-Koedoot, 2014 ⁹¹	Index	Both	197	✓					
Feeny, 2004 ⁴²	Index	Both	275	✓	✓				
Furlong, 2012 ⁴³	Index	Both	196	✓					✗
Lynch, 2016 ⁴⁷	Index	Both	392	✓					
Penn, 2011 ⁹⁹	Both	Both	61	✓			±		
Petrou, 2013 ⁵¹	Both	Both	331	✓					
Tilford, 2012 ⁶¹	Both	Both	150	✓	✓				
Wolke, 2013 ¹⁰⁶	Dimensions	Both	554	✓					

Note. Wong et al (2019) compare the EQ-5D-Y 3-level and 5-level versions.

CHU9D indicates Child Health Utility; HUI2, Health Utilities Index Mark 2; HUI3, Health Utilities Index Mark 3.

✓ Evidence indicated significant performance. ✗ Property was examined but no significant evidence was found. ± Evidence was mixed or inconclusive evidence was found.

*Sample size was reported for the reported sample assessed in the paper and may differ within the analyses reported.

[†]Property assessed but results not reported.

population samples, with the same number of studies in each population (n = 8 each, n = 4 for both clinical and general population), though note that acceptability and feasibility was mainly examined using a general population sample (6 of 9 samples assessing feasibility). For HUI2 the majority of evidence was from studies with clinical samples (n = 20), and the 3 studies using a general population sample did not find evidence of good psychometric performance. For HUI3 the majority of evidence was from studies with clinical samples (n = 34), with only 1 study with a general population sample, though studies with both general

and clinical population samples (n = 8) found evidence of known-group validity and convergence validity in all studies where this was assessed.

Summary of Psychometric Performance

Table 3 summarizes the results of all analyses. The number of entries reflects the number of studies where each psychometric property is assessed. EQ-5D-Y-3L has the largest amount of evidence of good psychometric performance in proportion to the number of studies that have examined its psychometric

Table 3. Summary of psychometric performance by measure and utility index (ie, country value set).

	Dimensions or utility index (ie, country value set)	Known group validity	Convergent validity	Responsiveness	Interrater reliability	Test-retest reliability	Inter-modality reliability	Acceptability and feasibility
CHU9D	Dimensions	✓✓✓X	✓✓✓✓✓±X			X		✓
	Australian adolescent value set	✓✓✓✓±	✓✓✓✓					
	Australian adult value set	✓±	✓✓					
	UK value set	✓✓✓✓✓✓✓✓±X	✓✓✓✓✓	✓X				
EQ-5D-Y-3L	Dimensions	✓✓✓✓✓✓±±±	✓✓✓✓✓✓✓✓✓±	✓	X	✓✓±±±X	✓	✓✓✓✓✓✓✓X
	EQ-5D UK value set	✓	✓					
	Australian EQ-5D value set	✓						
	French EQ-5D value set	✓						
	Spanish EQ-5D value set	±	✓	✓				
	US EQ-5D-Y value set							
HUI2	Dimensions	✓✓✓XX	✓✓✓✓	✓✓✓X	✓XXX	✓		✓✓✓✓✓XX
	Canadian value set	✓✓✓✓✓✓✓✓±XX	✓✓✓✓✓✓✓✓±±	✓✓✓✓±XX	✓✓±XX	✓✓		
	UK value set	✓±	✓		✓			
HUI3	Dimensions	✓✓✓✓✓✓✓✓±±±±±XX	✓✓✓✓✓±X	✓✓✓±X	✓±±±XXXXX		X	
	Canadian value set	✓✓✓✓✓✓✓✓✓✓	✓✓✓✓✓✓✓✓	✓✓✓✓✓±XXX	✓✓✓✓✓✓	✓X		✓✓✓✓✓✓✓XX
		✓✓±±±±XXXXXXXX	✓✓✓±±X		✓±XX			

Note. ✓ Evidence indicating significant performance. X Property was examined but no significant evidence was found. ± Evidence was mixed or inconclusive evidence was found. Each symbol represents the findings of one study assessing that psychometric property. Where studies assessed multiple psychometric properties, a symbol is recorded for each psychometric property assessed.

CHU9D indicates Child Health Utility; HUI2, Health Utilities Index Mark 2; HUI3, Health Utilities Index Mark 3.

performance (note this is for dimensions). The CHU9D was assessed in fewer studies, but the majority of studies found evidence of good psychometric performance. The evidence for HUI2 and HUI3 was more mixed. More detailed results are available in the online [Supplemental Table](https://doi.org/10.1016/j.jval.2020.09.012) (found at <https://doi.org/10.1016/j.jval.2020.09.012>), where studies can also be separated by condition.

Discussion

The review outlined the evidence about the psychometric performance of the child and adolescent-specific measures of CHU9D, EQ-5D-Y-3L, HUI2, and HUI3. The heterogeneity of published studies means that the evidence was based on studies across a range of countries, populations, and conditions, using different study designs, different languages, different value sets, and different statistical techniques. The wide variation in studies makes it difficult to synthesize the evidence to generate a consistent picture of the overall performance of each measure. The evidence included several studies with small sample sizes that may not have been powered to detect statistical significance, and there were only a relatively small number of studies within the same condition. Evidence for CHU9D was based on a limited

number of studies (n = 12), as well as evidence assessing responsiveness (n = 14). Only HUI2 performed strongly for test-retest reliability. None of the measures performed strongly for interrater reliability between child self-report and parent proxy report (though CHU9D was not assessed), suggesting there is reason for concern about the comparability of self-report and proxy report responses to measure HRQL of children and adolescents.

More studies assessed the psychometric performance of HUI3 than the other measures, but the evidence of HUI3 was more mixed. This means that for HUI3 there was a large number of studies finding evidence of good psychometric performance, but the proportion of studies that did not find evidence of good psychometric performance was larger than for the other measures. HUI2 was also assessed in a large number of studies, though the performance was mixed. In contrast, EQ-5D-Y-3L and CHU9D were assessed in fewer studies, but the proportion of studies that found evidence of good psychometric performance was larger.

For EQ-5D-Y-3L there were the same number of studies assessing performance in general population and clinical population samples, and performance was similar across these different samples. Although the performance of EQ-5D-Y-3L was assessed by a similar number of studies with clinical samples and general population samples, CHU9D was mainly assessed in general

population samples and HUI2 and HUI3 were mainly assessed in clinical population samples. To inform resource allocation decisions using health technology assessment, it is important that these generic preference-based measures have good performance across different conditions; however, it is also important that these generic preference-based measures have good performance in general population samples to enable accurate evaluations of the health of general population samples. Therefore evidence across both clinical samples and general population samples is important, and it does not follow that good psychometric performance in one condition or even in clinical population samples necessarily means that the preference-based measure also has good psychometric performance in general population samples.

For EQ-5D-Y-3L there is no official value set, and the good psychometric performance that was identified is based mainly on the performance on the dimensions. Although it could be anticipated that a utility index would have the same psychometric performance, this can only be confirmed through data analyses. A value set may not have sufficiently large differences in utility decrements for different severity levels of each dimension.

Few studies assessed measures within the same clinical area; however, even where there were multiple studies within a clinical area the evidence was limited. For example, 3 studies assessed the performance of measures in patients with asthma: 2 assessed EQ-5D-Y-3L^{35,64} and 1 assessed HUI2 and HUI3.⁶⁵ EQ-5D-Y-3L was found to have known-group validity and convergent validity, with no assessment of responsiveness, reliability, acceptability, or feasibility. HUI2 and HUI3 were found to have convergent validity, but the study assessed and found no evidence for known-group validity, responsiveness, or interrater reliability and found evidence of test-retest reliability for HUI2 but not HUI3. On the basis of these findings it is difficult to recommend use of either measure over the other because for EQ-5D-Y-3L there is limited evidence available but the evidence that is available suggests good performance, whereas for HUI2 and HUI3 there is wider evidence available but the evidence is mixed. Equally, although the evidence is mixed, it is difficult to determine whether this is affected by the sample size of 91. Differences in samples may also potentially affect results.

Some studies had small sample sizes, with 28 out of the 76 studies having a sample size less than 100. Sample size was not been used to assess the studies, but it should be taken into consideration that some studies may not have found significant evidence of the psychometric performance because of the sample size, meaning that the result may not be indicative of the performance of the measure. In particular for HUI2 and HUI3 this may have affected the results because for HUI2 15 of 26 studies assessing performance had sample sizes less than 100 and for HUI3 18 of 43 studies had sample sizes less than 100. In the literature there are no clear guidelines or accepted practice about how to generate sample sizes for studies assessing psychometric performance of patient-reported outcome measures⁶⁶ nor, to our knowledge, preference-based measures.

The review assessed the performance of child- and adolescent-specific preference-based measures deemed appropriate for informing UK public policy and hence did not assess the performance of all child- and adolescent-specific preference-based measures. The literature search included search terms to identify studies assessing AQoL-6D and EQ-5D-Y-5L but identified only 1 relevant study for each measure. The one study assessing AQoL-6D examined known-group validity, for which evidence was found.²³ The one study assessing EQ-5D-Y-5L examined test-retest reliability of the dimensions, for which evidence was found.²⁴ The review did not include Adolescent Health Utility Measure, AQoL-6D, EQ-5D-Y-5L, 15D, 16D, 17D, or QWB, and it is recommended

that the psychometric performance of these measures are reviewed to inform researchers and policy makers worldwide in their selection of appropriate child- and adolescent-specific preference-based measures.

Methodologic limitations of the review include potential missing studies of child and adolescent preference-based measures in mixed adolescent and adult populations because of the pediatrics filter applied in the database search. Statistical mapping analyses were not included in the review because mapping assessments are undertaken to generate predictions rather than assess association per se, though it is recognized that mapping analyses can provide some evidence of associations between measures. Content validity of the measures was not assessed as part of the review, though it is recognized that this is an important consideration when deciding which measure to use. Studies that administered 1 or more measures and summarized their results were not included in the review unless they assessed psychometric properties. It is possible that some relevant studies may not have been captured in our search if they did not use the vocabulary of responsiveness or validity, for example, even though they reported change over time or difference across treatments. Appropriateness of the statistical analyses undertaken was also not assessed. In addition, psychometric performance data were single extracted rather than double extracted (with the exception of 3 studies). Quality assessment of the studies was not undertaken, though we have reported where there was a small sample size.

Conclusion

The review of published evidence on the psychometric performance of a selection of child- and adolescent-specific generic preference-based measures found that EQ-5D-Y-3L has the largest proportion of evidence of good psychometric performance out of the studies that have examined its psychometric performance, followed by CHU9D; however, the majority of the evidence related to EQ-5D-Y-3L is based on dimensions, and the same psychometric performance of any utility index is not guaranteed. This review enables researchers and policy makers to identify critical evidence gaps in the performance of these measures, and it is hoped that knowing this will encourage collection of this psychometric evidence. Further research is recommended to provide greater evidence both on psychometric performance of the measure (dimensions and utilities) administered by self-report and proxy report and assessed by the age of the child. The review tables are informative in indicating patient populations where the psychometric performance of 1 or more measures was assessed and providing an overview of the evidence found. Concerns were raised about the comparability of self-report and proxy responses to measure HRQL of children and adolescents.

Supplemental Material

Supplementary data associated with this article can be found in the online version at <https://doi.org/10.1016/j.jval.2020.09.012>.

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