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Nkiaka, E., Nawaz, R. and Lovett, J.C. (2018) Assessing the reliability and uncertainties of projected changes in precipitation and temperature in Coupled Model Intercomparison Project phase 5 models over the Lake Chad basin. *International Journal of Climatology*, 38 (14). pp. 5136-5152. ISSN 0899-8418

<https://doi.org/10.1002/joc.5717>

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This is the peer reviewed version of the following article: Nkiaka, E, Nawaz, R, Lovett, JC. Assessing the reliability and uncertainties of projected changes in precipitation and temperature in Coupled Model Intercomparison Project phase 5 models over the Lake Chad basin. *Int J Climatol*. 2018; 38: 5136– 5152, which has been published in final form at <https://doi.org/10.1002/joc.5717>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions.

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# Assessing the reliability and uncertainties of projected changes in precipitation and temperature in CMIP5 models over the Lake Chad basin

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## Abstract

Lake Chad lost more than 80% of its surface area over the past decades as a result of environmental change and climate variability. It is not yet known how climate change will affect water resources availability in the basin over the coming decades. In this study, the Reliability Ensemble Averaging (REA) technique was used to evaluate the performance of CMIP5 models in simulating present-day precipitation and temperature (1980 – 2005); and to quantify the uncertainties in future projections (2050 – 2075) under two Representative Concentration Pathways (RCPs) in the Lake Chad basin (LCB). Analyses were carried out at both annual and seasonal time-scales. Overall, the CMIP5 models simulated precipitation better than temperature in the study area. Although the models were able to simulate the annual precipitation cycle in the basin, most models overestimated precipitation during the dry season and underestimated it during the monsoon season. Future annual basin precipitation is projected to increase by 2.5% and 5% respectively under RCP4.5 and RCP8.5 scenario by the middle of the century by most of the models and most of the model projections are within the REA uncertainty range. Despite the increase in projected annual precipitation in the basin, most models project a decrease in monsoon precipitation under both RCPs. Although the uncertainty range for future precipitation projections for most models lie within the range of natural climate variability, additional analysis are needed for results to be useful for any future planning in the study area.

**Keywords:** Lake Chad basin, reliability ensemble averaging, uncertainty quantification, CMIP5, climate projections, climate change, simple model averaging

## 1. Introduction

Climate change is expected to cause major disruptions to the global hydrological cycle as a result of changes in precipitation patterns with the impacts expected to be exacerbated by rising

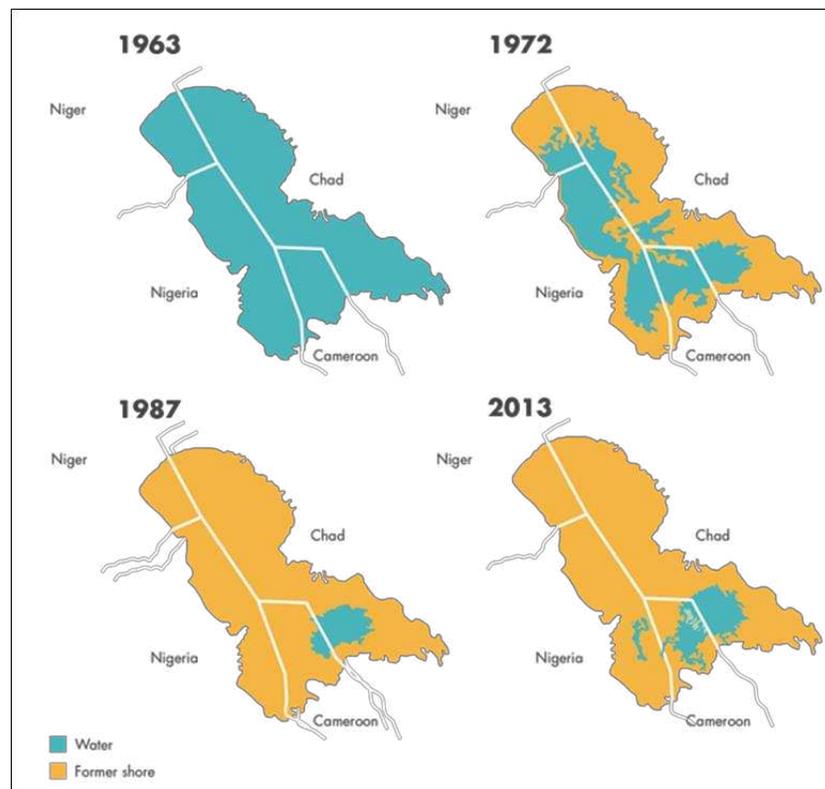
33 global population (Arnell 2004; Trenberth 2011; Gosling and Arnell 2016). For example in some  
34 tropical regions like the Sahel, the frequency of storm events has increased by three folds over the  
35 past three decades as a result of global warming (Taylor et al. 2017). Therefore, developing future  
36 water resources management and planning strategies under anticipated climate change requires the  
37 estimation of current and future precipitation magnitude and variability (Wehner 2013). This can be  
38 achieved through the application of Global Circulation Models (GCMs) which are used to predict  
39 climate change associated with future scenarios of greenhouse gas concentrations (Siam et al. 2013).  
40 For these climate models to be used for impact studies, they need to be evaluated against observed  
41 data to assess their performance in simulating the present-day climate. Even so, it has been reported  
42 that GCM skill in simulating the present-day climate relates very weakly to its ability to simulate  
43 projected climate (Knutti et al. 2010).

44 Notwithstanding, different techniques have been applied to evaluate the performance of  
45 GCMs in simulating present and future precipitation and temperature changes. These methods range  
46 from simple statistical techniques e.g. mean errors, correlations, root-mean-square errors (Akurut et  
47 al. 2014) to advanced statistical techniques e.g. volumetric hit index (VHI) (Mehran et al. 2014).  
48 Such methods are used to compare model output with observations. The second widely used  
49 evaluation method is the diagnostics approach that provide information on the sources of model  
50 errors and how to identify processes connected with these errors e.g. analysis of energy and water  
51 cycles, and analysis of atmospheric and land processes (Siam et al. 2013). Another approach is the  
52 evaluation of GCMs based on their ability to simulate specific atmospheric processes such as the  
53 monsoon precipitation in the tropics, ENSO events and other atmospheric processes that influence  
54 the climate of a region (Rowell 2013).

55 Despite the various evaluation techniques available, a fundamental problem associated with  
56 the application of GCMs is how well they can simulate climate at the regional scale. While GCMs  
57 projections may be consistent in terms of global mean changes, they generally disagree on the  
58 magnitude, and in many cases the sign, of change at a regional scale, especially precipitation patterns  
59 (Meehl et al. 2007). This raises the issue of uncertainty associated with the use of GCMs. Many  
60 methods used for evaluating GCMs do not consider the issue of uncertainty inherent in climate  
61 models. In fact, there are many sources of uncertainties associated with the use of GCMs including:  
62 natural climate variability, variability between and within models and uncertainty caused by the  
63 future emissions of greenhouse gases (GHGs). How this uncertainty can be quantified to enhance  
64 decision making remains a challenge. It has been recognized that, uncertainty quantification is a

65 critical component in the description and attribution of climate change (Katz et al. 2013). The most  
66 popular method used for assessing uncertainties in GCMs projections is the application of large  
67 independent multi model ensembles (MMEs) from different modelling groups under different  
68 scenarios to determine future climate projections (Tebaldi and Knutti 2007; Knutti et al. 2010).

69 Generally, the approaches available for uncertainty estimation in GCMs are limited in the  
70 literature. Despite this limitation, Koutsoyiannis et al. (2007) used a combination of analytical and  
71 Monte Carlo methods to determine the uncertainty limits for temperature, precipitation and runoff  
72 projections from GCMs for a catchment in Greece. Woldemeskel et al. (2012) developed and tested  
73 the square root of error variance (SREV) method for quantifying uncertainty in future precipitation  
74 and temperature projections from GCMs at global scale. Min and Hense (2006) applied the Bayesian  
75 model averaging (BMA) technique for uncertainty assessment in global mean surface temperature  
76 from an ensemble of GCMs projection. Giorgi and Mearns (2002) developed and tested the  
77 Reliability Ensemble Averaging (REA) technique for uncertainty estimation in GCMs at regional  
78 scale.



79  
80  
81

Figure 1: Desiccation of Lake Chad 1963 – 2013 (source: UNEP DIVA-GIS)

82 Many models participating in the fifth phase of the Coupled Model Intercomparison Project  
83 (CMIP5) (Taylor et al. 2012) have been evaluated across different regions in Africa. For example  
84 Akurut et al. (2014) evaluated precipitation estimates from CMIP5 models over the Lake Victoria,  
85 Siam et al. (2013) evaluated the performance of CMIP5 models in the Congo and Upper Blue Nile  
86 river basins, Biasutti (2013) tested the performance of CMIP5 models on the prediction of Sahel  
87 rainfall. Despite these numerous studies in Africa, none of them considered the quantification of  
88 GCM uncertainty. Meanwhile, the Lake Chad basin (LCB) remains poorly represented in those  
89 studies despite the significant changes that have been observed in the hydrological dynamics of the  
90 basin.

91 From 1960 to 2000, Lake Chad, an endorheic lake located in Central Africa experienced one  
92 of the most significant and sustained reduction in rainfall recorded anywhere in the world causing  
93 the lake area to shrink by more than 80% (Odada et al. 2009) (Figure 1). Despite this remarkable  
94 shrinkage, the LCB remains one of the most under-studied basins in Africa in terms of understanding  
95 the climate dynamics in the basin and how it will be affected by future climate change. This issue is  
96 further exacerbated by inadequate observational records in the region (Nkiaka et al. 2017a). Despite  
97 the scarcity in research output, Armitage et al. (2015) used paleo-climate records from the LCB to  
98 show that Lake Mega-Chad exerts a strong control on global biogeochemical cycles. Nkiaka et al.  
99 (2017b) analyzed past annual and seasonal rainfall in the southern part of the LCB and reported of  
100 a general decline in monsoon precipitation over the period 1951 – 2000. However, no study has  
101 focused specifically on future precipitation and temperature projections in the LCB using the recent  
102 or previous generations of climate models.

103 Even so, results from previous climate models projections for future precipitation in Central  
104 Africa have produced contrasting results. Haensler et al. (2013) evaluated an ensemble of CMIP3/5  
105 and RCMs in the Central Africa region and concluded that no significant changes in precipitation  
106 may be observed in the region by the end of the present century under two representative  
107 concentration pathways (RCPs) RCPs 4.5 and 8.5. In a separate study; Aloysius et al. (2016),  
108 reported that CMIP5 models were projecting an increase in future precipitation by the end of the  
109 present century in the area of their study domain covering the LCB under RCPs 4.5 and 8.5.  
110 Meanwhile, using the regional climate model REMO forced by two GCMs (Europe wide  
111 Consortium Earth System Model (EC-Earth) and Max-Planck Institute Earth System (MPI-ESM)),  
112 Fotso-Nguemo et al. (2017), reported that future precipitation over the area of their study domain  
113 covering the LCB will decrease by the end of the present century under RCPs 4.5 and 8.5. Results

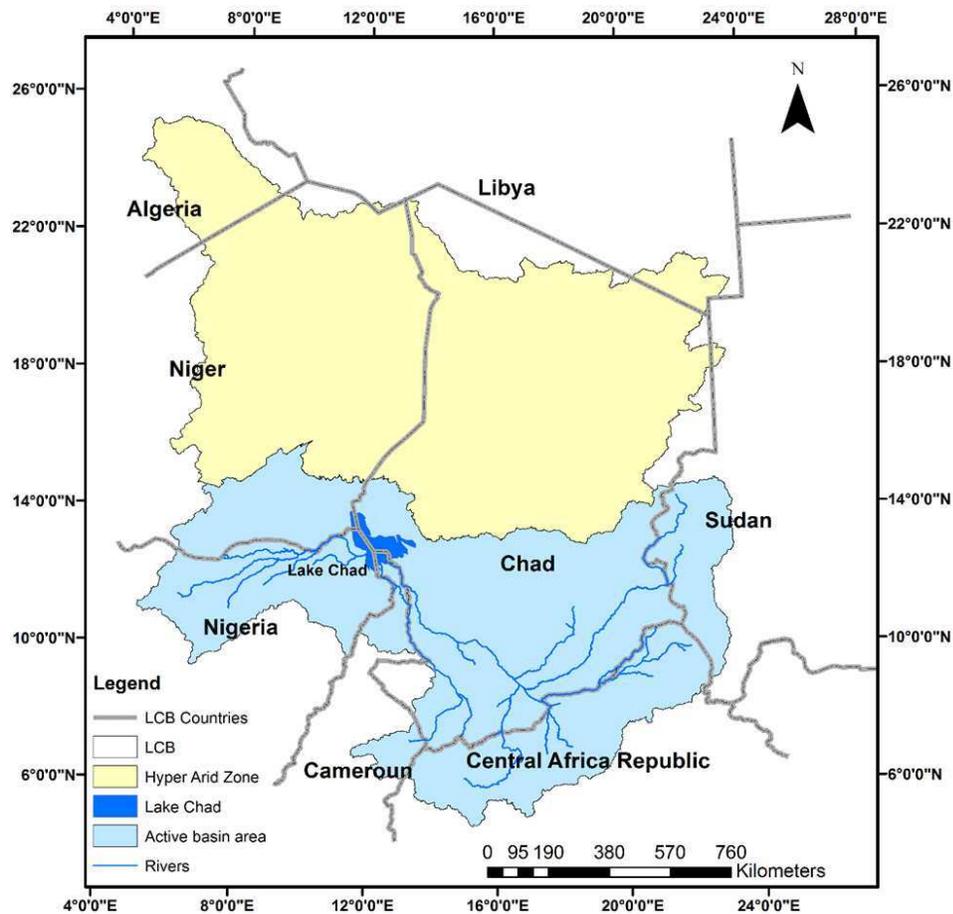
114 from those studies are quite contrasting and cannot be used for any impact studies to enhance  
115 adaptation planning in the region, hence the necessity to carry out the present study in the LCB.

116         Although floods have become recurrent in recent years across the LCB causing widespread  
117 socio-economic damages, water availability for agriculture, pastoral activities, ecosystem  
118 sustainability and contribution as inflow into Lake Chad, is still under threat due to the erratic nature  
119 of rainfall. In addition, water resources in the LCB are becoming increasingly vulnerable due to  
120 rising population causing tension among water users (Ngatcha 2009). A study by Okpara et al.  
121 (2015) has shown that climate-induced water scarcity in the LCB could combine with other human  
122 factors such as population increase, poverty and political instability to create a fertile environment  
123 for armed conflict. Given the increasing number of refugees in the LCB as a result of “boku haram”  
124 terrorist activities in the region (OCHA 2017), climate change could aggravate the current situation  
125 resulting in mass migration which could threaten global security. With these myriad of challenges,  
126 there is need for research that can enhance our understanding on how precipitation and temperature  
127 which determine the availability of water resources will be affected by future climate change in the  
128 LCB. This is a crucial knowledge gap in the LCB that this research seeks to fill.

129         The objectives of this study were to: (i) evaluate the ability of CMIP5 models to reproduce  
130 the present-day climate conditions in the LCB (1980-2005); (ii) assess the future climate projections  
131 for the basin by the middle of century (2050 – 2075) relative to the historical period, and quantify  
132 the uncertainties associated with these projections using two representative concentration pathways  
133 (RCP4.5 and 8.5); and (iii) evaluate the performance of each ensemble member. This was achieved  
134 using the Reliability Ensemble Averaging (REA) technique. The method has been used in previous  
135 studies to establish uncertainty limits in GCMs projections (Giorgi and Mearns 2002; Rawlins et al.  
136 2012; Miao et al. 2014). At smaller spatial scale, the technique has been used to evaluate CMIP5  
137 models by (Rawlins et al. 2012; Sengupta and Rajeevan 2013; Tanveer et al. 2016). The advantages  
138 of the REA technique compared to other methods include the fact that the uncertainty range around  
139 the simulated changes can be reduced by minimizing the influence of “outlier” or poorly performing  
140 models and it also offers the possibility to calculate the uncertainty range around the REA average

141

142



143  
 144 Figure 2: Lake Chad Basin: Latitudes 5-10 N (sudano), 10-12 N (semi-arid) and 12-16 N (arid)

145 **2. Study area**

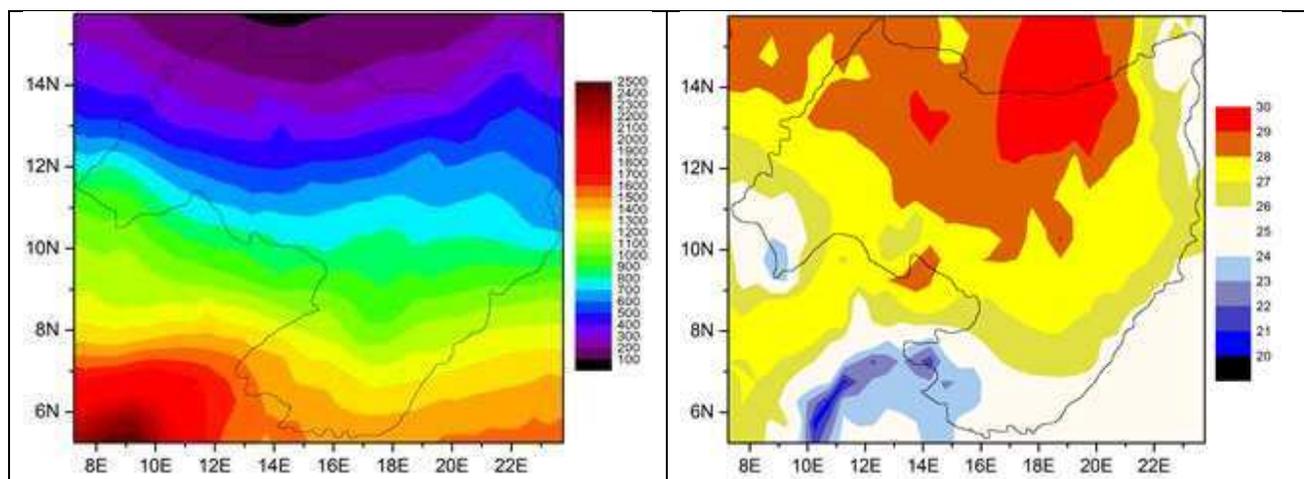
146 The Lake Chad basin (LCB) is located in Central Africa and lies between 5° - 24°N and 7° -  
 147 24°E (Figure 2). The entire basin covers an estimated area of 2,434,000 km<sup>2</sup> shared by Algeria,  
 148 Cameroon, Central Africa Republic, Chad, Libya, Niger, Nigeria and Sudan. This study focuses on  
 149 the active drainage basin with an area of 1,053,455 km<sup>2</sup> (Adenle 2001) located between 5° - 16°N  
 150 and 7° - 24°E (Cameroon, Central Africa Republic, Chad, Niger, Nigeria and Sudan). The reason  
 151 for choosing only the active basin area is because, only this area contributes to inflows into the lake  
 152 while the rest of the northern portion is covered by desert. Apart from some local mountains and  
 153 plateaus located in northern and southern parts of the basin, the central part of the basin is very flat  
 154 with an average slope of <1.3% (Le Coz et al. 2009; Nkiaka et al. 2017c).

155 Using data from Climate Research Unit (CRU) Time Series version 3.20 covering the period  
 156 1901 – 2000, average rainfall over the basin was estimated to measure about 900 mm/year, varying  
 157 between 1400 mm/year in the south to 370 mm/year in the north while average temperature was

158 estimated at 26.5°C. Figure 3 shows the contour plots of annual precipitation and average surface  
159 temperature for the active basin area averaged over the period 1980 – 2005. The raining season in  
160 the basin usually lasts from May to October with the highest rains recorded in August. The climate  
161 in the basin is mostly hot and dry and rainfall is controlled by the north-south seasonal migration of  
162 the intertropical convergence zone (ITCZ) as observed in the Logone catchment (Nkiaka et al.  
163 2017b). 95% of inflows into the lake is contributed by the Logone and Chari rivers which originate  
164 from the south (Odada et al. 2009).

165 Due to the high spatial variability in precipitation across the LCB, for the purpose of this  
166 study, the active drainage basin was divided into three different ecological zones: 5° - 10°N  
167 “Sudano”, 10° - 12°N “semi-arid” and 12° - 16°N “Arid”. These ecological zones represent some  
168 simplified climatic zones based on Köeppen Geiger’s climate classification for Africa (Peel et al.  
169 2007). Rainfall across all the ecological zones is unimodal with the peak occurring in August. The  
170 highest rainfall is recorded in the Sudano zone while the lowest occurs in the arid zone. The CMIP5  
171 models were assessed at the basin level and for each ecological zone. The advantage of this approach  
172 is that in regions with high spatial variability and strong rainfall gradients such as the LCB, model  
173 output averaged over the whole basin may lead to loss of signal such that the true expected change  
174 could be larger than what is suggested by the model average (Knutti et al. 2010).

175  
176



177 Figure 3: Contour plots for annual precipitation (left panel) and average surface  
178 temperature (right panel) in the active basin area (1980 – 2005) calculated from CRU

### 179 3. Data

#### 180 3.1. Observed Data

181 Due to the scarcity of observational data in the LCB, the observed rainfall and temperature  
182 data used in this study was derived from climate research unit (CRU) Time Series version 3.20  
183 dataset described by Harris et al. (2014) and made available free of charge by the British  
184 Atmospheric Data Centre. This provides monthly-mean precipitation totals and average temperature  
185 on a resolution of (0.5x0.5 degree) grids for the period 1901–2011. This dataset has been used as the  
186 reference to evaluate CMIP5 models in previous studies e.g. (Rowell 2013; Miao et al. 2014;  
187 Pattnayak et al. 2017). An additional precipitation dataset Watch Forcing Data methodology applied  
188 to ERA-Interim (WFDEI) (Weedon et al. 2014) was used to complement the CRU dataset. WFDEI  
189 has been applied for hydrological modelling studies in the Lake Chad basin (Nkiaka et al. 2017a)  
190 and can be obtained from <https://dataguru.lu.se/>.

191

192 Table 1: List of climate models used in the study

Model No	Model Name	Institute/Country	Spatial Resolution Latitude X Longitude
M1	ACCESS1.0	Commonwealth Scientific Industrial and Research Organization, Bureau of Meteorology Australia	1.25 × 1.875
M2	BCC-CSM1.1-m	Beijing Climate Center, China	2.8 × 2.8
M3	CMCC-CMS	Centro Euro-Mediterraneo sui Cambiamenti Climatici Climate Model, Italy	2 x 2
M4	CNRM-CM5*	Centre National de Recherches Météorologiques, France	1.4 × 1.4
M5	GFDL-CM3	Geophysical Fluid Dynamics Laboratory, USA	
M6	HadGEM2-ES	Hadley Centre for Climate Prediction and Research, UK	1.875 × 1.25
M7	MPI-ESM-LR	Max Planck Institute for Meteorology, Germany	1.80 × 1.80

193

#### 194 3.2. Climate model data

195 Climate model data used in this study was sourced from the 5<sup>th</sup> phase of the Coupled Model  
196 InterComparison Project (CMIP5) (Taylor et al. 2012). The focus in this study is primarily on the  
197 evaluation of the performance of these models in simulating the present-day (1980 – 2005) and  
198 future climate projection by mid of the century (2050 – 2075) under two different RCPs (RCP4.5  
199 and RCP8.5). The RCP4.5 is a stabilization scenario, in which the total radiative forcing is stabilized  
200 before the end of the present century by the application of a range of technological innovations and  
201 policies to reduce greenhouse gas (GHG) and aerosol emissions. On the other hand, the RCP8.5  
202 scenario is considered a business as usual scenario characterized by increasing GHGs and aerosol  
203 emissions leading to high concentrations beyond 2100. The labels for the RCPs provide a rough

204 estimate of the radiative forcing reaching the earth by the year 2100 (relative to preindustrial  
205 conditions).

206 Although there are many models available from CMIP5 that can be used for impact studies,  
207 not all models maybe able to simulate key climate processes across all regions of the globe. In this  
208 study therefore, we selected CMIP5 models based on the fact that these models have been reported  
209 in previous studies by Rowell (2013) and McSweeney et al. (2015) to “realistically” simulate some  
210 key climate processes across Africa. These processes include: (i) annual cycles of precipitation and  
211 temperature (ii) the West African monsoons and (iii) a minimum of 20 teleconnections in Africa.

212 Many other studies have also used a sub set of CMIP5 models (Brands et al. 2013; Schewe  
213 et al. 2014; Pattnayak et al. 2017; Quesada et al. 2017). The models used in this study together with  
214 their spatial resolution and country of origin are shown in Table 1. Monthly precipitation and average  
215 temperature from each of the climate models and observed datasets was averaged over the whole  
216 basin and for each ecological zone (Sudano, semi-arid and Arid). Analysis were conducted at annual  
217 time scale for average temperature and annual and seasonal time scales for precipitation. The  
218 seasonal precipitation was averaged for the months of June, July, August and September (monsoon  
219 season). The reason for choosing this period was to evaluate the ability of the GCMs models to track  
220 the movement of the “tropical rain belt” which some meteorologists suggest is responsible for  
221 maximum rainfall in the region (Nicholson 2009; Nicholson 2013; Nicholson 2018) although this  
222 assertion remains controversial among tropical meteorologists (Nicholson, 2018).

#### 223 **4. Methodology**

224 The Reliability Ensemble Averaging (REA) technique (Giorgi and Mearns 2002) is based on  
225 the assignment of weights to GCMs based on model evaluation. These weights are assigned on the  
226 basis of model performance and model convergence. Details of the method are elaborated in the  
227 following steps:

228 **Step1:** The simple model average method (SMA) whereby the estimated average change in  
229 precipitation for all the models is calculated as:

230

$$231 \quad \overline{\Delta P} = \frac{1}{N} \sum_{i=1,N} \Delta P_i, \quad (1)$$

232

233 where N is the total number of models and the overbar indicates the ensemble averaging and  $\Delta P$   
 234 indicates the model-simulated change in precipitation. In the SMA method, all models are given  
 235 equal weight (One man, One-vote).

236 **Step 2:** The model reliability factor is calculated whereby, the average change,  $\overline{\Delta P}$ , is given by a  
 237 weighted average of the ensemble members

238

$$239 \quad \overline{\Delta P} = \tilde{A}(\Delta P) = \frac{\sum_i^N R_i \Delta P_i}{\sum_i^N R_i}, \quad (2)$$

240

241 where the operator  $\tilde{A}$  denotes the REA averaging and  $R_i$  is the model reliability factor defined as

242

$$243 \quad R_i = [(R_{B,i})^m \times (R_{D,i})^n]^{[1/(m \times n)]} = \left\{ \left[ \frac{\epsilon_p}{\text{abs}(B_{P,i})} \right]^m \left[ \frac{\epsilon_p}{\text{abs}(D_{P,i})} \right]^n \right\}^{[1/(m \times n)]} \quad (3)$$

244

245 In Eq (3),  $R_i$  is the reliability factor,  $\epsilon$  is the natural variability (as described in step 5 below).  $R_{B,i}$   
 246 is a factor that measures the model reliability as a function of the model bias ( $B_{P,i}$ ) in simulating the  
 247 present-day precipitation. It is defined as the difference between the model simulated estimate and  
 248 observed and the higher the bias, the lower the model reliability.  $R_{D,i}$  is a factor that measures the  
 249 model reliability in terms of the distance ( $D_{P,i}$ ) of the change calculated by a given model from the  
 250 REA average change, the higher the distance, the lower the model reliability. Therefore, the distance  
 251 is a measure of the degree of the model convergence of a given model with other ensemble members.  
 252 In other words,  $R_{B,i}$  is a measure of the model performance criterion while  $R_{D,i}$  is a measure of the  
 253 model convergence criterion.

254 **Step 3:** An iterative procedure is used to calculate the distance parameter  $D_{P,i}$  starting with an initial  
 255 guess value as the distance of each  $\Delta P$  from the ensemble average change  $\overline{\Delta P}$ , as shown in Eq. (1),  
 256 i.e.  $[D_{P,i}]_1 = [\Delta P_i - \overline{\Delta P}]$ . The first guess values are then substituted in Eq. (3) to obtain a first-order  
 257 REA average change  $[\overline{\Delta P}]_1$ , which is then used to recalculate the distance of each individual model  
 258 as  $[D_{P,i}]_2 = [\Delta P_i - \overline{\Delta P}]_1$  and the iteration is repeated until the values converge. According to Giorgi  
 259 and Mearns (2002), the distance from REA average is only an estimated measure of the model  
 260 convergence criterion given that the future real conditions are not known.

261 **Step 4:** The parameter  $m$  and  $n$  in Eq. (3) can be used to weigh each criterion. In this study  $m$  and  $n$   
 262 are assumed to be 1, giving equal weight to both criteria.  $R_{B,i}$  and  $D_{P,i}$  are set to 1 when  $B$  and  $D$  are  
 263 smaller than  $\epsilon_P$ , respectively. Thus Eq. (3) states that the model projection is considered “reliable”  
 264 when both its bias and distance from the ensemble average are within the natural variability  $\epsilon$ , so  
 265 that  $R_B=D_P = 1$ . As the bias and/or distance grow, the reliability of a given model simulation  
 266 decreases.

267 **Step 5:** The parameter  $\epsilon_P$  in Eq. (3) is a measure of natural variability in the 30-year average annual  
 268 or seasonal precipitation and temperature. To calculate  $\epsilon$  in this study, the time series of observed  
 269 monthly precipitation and average temperature covering the period 1901 – 2005 obtained from CRU  
 270 were employed. Then, 30-year moving averages of the series are calculated after linearly detrending  
 271 the data (to remove century-scale trends), and  $\epsilon$  estimated as the difference between the maximum  
 272 and minimum values of these 30-year moving averages. Natural variability in rainfall and average  
 273 temperature was calculated only for the whole basin.

274 **Step 6:** In order to calculate the uncertainty range around the REA average change, the REA root  
 275 mean square difference (rmsd) of the changes  $\tilde{\delta}_{\Delta P}$ , has to be obtained and is defined by

$$277 \quad \tilde{\delta}_{\Delta P} = \left[ \tilde{A}(\Delta P_i - \tilde{\Delta P})^2 \right]^{1/2} = \left[ \frac{\sum_i R_i (\Delta P_i - \tilde{\Delta P})^2}{\sum_i R_i} \right]^{1/2} \quad (4)$$

278  
 279 The upper and lower uncertainty limits are defined as

$$281 \quad \Delta P_+ = \tilde{\Delta P} + \tilde{\delta}_{\Delta P} \quad (5a)$$

$$282 \quad \Delta P_- = \tilde{\Delta P} - \tilde{\delta}_{\Delta P} \quad (5b)$$

283  
 284 The total uncertainty range is then given by  $\Delta P_+ - \Delta P_- = 2\tilde{\delta}_{\Delta P}$ . According to the REA method, when  
 285 the changes are distributed following a Gaussian PDF, the rmsd is equivalent to the standard  
 286 deviation so that the  $\mp\delta$  range would imply a 68.3% confidence interval. For a uniform PDF, that  
 287 is, one in which each change has the same probability of occurrence, the  $\mp\delta$  range implies a  
 288 confidence interval of about 58%. Moreover, in the REA method, the normalized reliability factors  
 289 of Eq. (3) are interpreted as the likelihood of a GCM outcome, meaning that; the greater the factor,



311 (160 – 240 mm). Furthermore, the MME monthly mean precipitation estimates were consistently  
 312 lower than estimates from CRU and WFDEI across the basin and at the level of the ecological zones  
 313 (Figure 4).

314 Agreement in observed and simulated monthly precipitation was also evaluated using Taylor  
 315 diagram (Figure 5a). In the polar plot, the reference or observed data are plotted on the x-axis  
 316 (abscissa), and the model-simulated values are expected to lie in the first quadrant if the correlation  
 317 coefficient is positive. The radial dimension indicate the normalized standard deviation (calculated  
 318 as the ratio of standard deviation of simulated over standard deviation of observed, ratios >1 indicate  
 319 that the simulated values are more variable than observed), and the angular dimension shows the  
 320 correlations. These statistics were computed using the 1980 – 2005 monthly precipitation. The  
 321 similarity between model-simulated and observed precipitation is quantified in terms of their  
 322 correlation and the amplitude of the variability. The correlation coefficients between each model and  
 323 the monthly observations from CRU are in the range 0.50 – 0.95 and between the MME and  
 324 observation is 0.85. This indicates that there are strong correlations between the estimates from the  
 325 models and CRU. The strong correlation value between MME and CRU indicate that the MME  
 326 performs slightly better than some individual GCM models (M1, M2, M3, M5, and M6). Models  
 327 M4 and M7 show large variability compared to other models with normalized standard deviation >1  
 328 although both models have high correlation coefficients >0.85.

329

330 Table 2: Model simulated biases of present-day precipitation (%) and average temperature (°C)

Time scale	Ecological Zone	GCM							
		M1	M2	M3	M4	M5	M6	M7	MME
Annual precipitation	LCB	12.11	13.39	-11.71	59.16	30.20	7.08	27.28	19.65
	Sudano	21.81	20.21	25.78	39.73	17.15	11.89	38.36	24.99
	Semi-arid	-6.07	-20.86	-33.70	82.14	17.31	-3.61	22.78	8.28
	Arid	-51.86	-59.17	-82.19	48.37	27.35	-38.63	2.80	-21.90
Monsoon (JJAS) precipitation	LCB	-26.08	-41.14	-32.77	35.51	-12.65	-22.88	9.24	-12.97
	Sudano	-8.16	-20.93	-3.93	20.55	-9.30	-11.10	13.61	-2.75
	Semi-arid	-28.31	-48.72	-40.38	57.59	-18.31	-23.04	12.41	-12.68
	Arid	-62.74	-73.90	-84.99	31.77	-10.58	-49.22	-6.02	-36.52
Annual average temperature	LCB	-2.98	-2.31	-2.96	-6.03	-4.37	-4.69	-3.85	-3.88
	Sudano	-2.30	-2.17	-3.27	-4.99	-4.68	-3.75	-4.22	-3.62
	Semi-arid	-2.80	-2.33	-2.35	-6.79	-4.28	-4.80	-4.25	-3.94
	Arid	-3.83	-2.42	-3.25	-6.33	-4.16	-5.52	-3.09	-4.09

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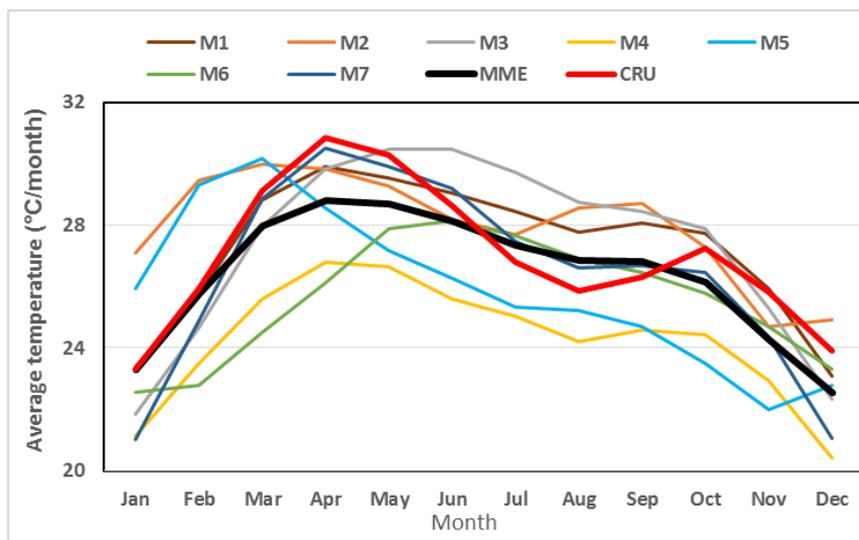
332 As a first step to quantify uncertainty using the REA method, the model performance criteria  
 333 based on its ability to simulate the present-day climate was assessed using the bias factor. At the



356 temperature was in the range 0.40 – 0.90. However, most GCMs show large variability with  
 357 normalized standard deviations >1 (M1, M3, M5, M6, M7). Four models produced correlation  
 358 coefficients >0.75 which could be considered as strong. Meanwhile, the MME produced a  
 359 correlation coefficient of 0.86 stronger than what was obtained for some individual models (M2,  
 360 M5, and M6).

361 The model performance criteria based on its ability to simulate the present-day climate was  
 362 also assessed using the bias factor. At the annual time-scale all the GCMs produced negative biases,  
 363 underestimating present-day average temperature throughout the LCB and at the level of the  
 364 different ecological zones indicating a generalized cold bias. Average temperature was  
 365 underestimated in the range of (-2°C) – (-6°C) (Table 2). These results are contrasting with those of  
 366 precipitation whereby varied results showing both positive and negative biases were obtained.

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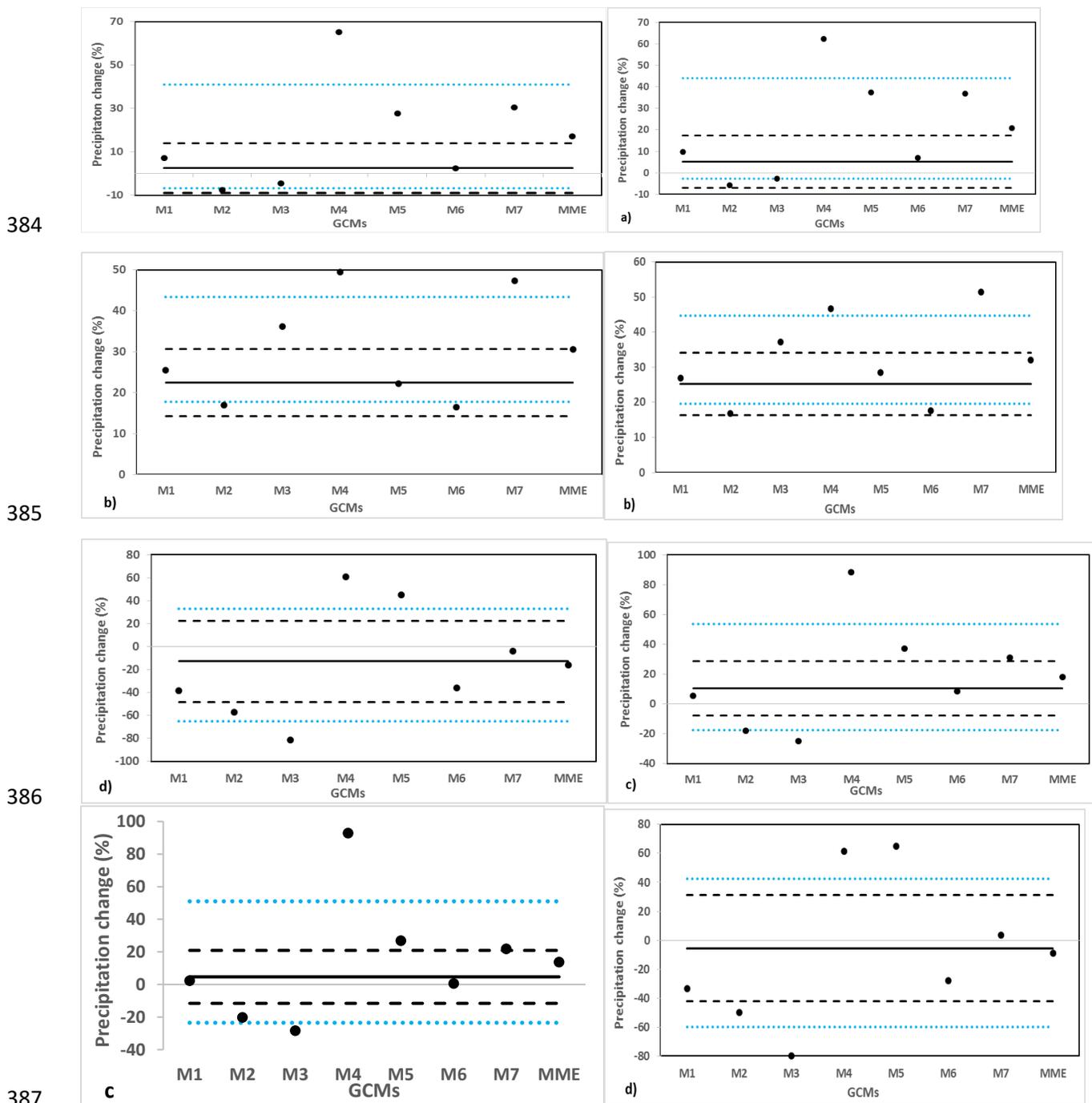
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Figure 6: Annual temperature cycle over the Lake Chad basin

### 370 5.3. Future annual precipitation projections in the LCB (2050 – 2075)

371 Analysis using the REA technique indicate that, future annual precipitation in the LCB is  
 372 projected to increase by about 2.5% across the basin under the RCP4.5 scenario relative to the  
 373 historical period (1980 – 2005). At the level of the different ecological zones, future annual  
 374 precipitation is projected to increase by 22% in the Sudano zone, 4% in the semi-arid and a decline  
 375 of about -12% in the arid zone relative to the historical period. Under the RCP8.5 scenario, future  
 376 annual precipitation is projected to increase by about 5% across the LCB which is double the RCP4.5  
 377 scenario. These results corroborate the findings of Aloysius et al. (2016) in the Central Africa region

378 covering the LCB whereby, the authors also projected an increase in precipitation in the region from  
 379 an ensemble of CMIP5 models by the end of the present century. At the level of ecological zones,  
 380 projections for future annual precipitation is projected to increase by 30%, 9% and 5% for the  
 381 Sudano, semi-arid and arid zones respectively. Under the RCP8.5 scenario, the Sudano zone show  
 382 an increase of about 8% higher than the projection from RCP4.5 scenario while the arid zone may  
 383 experience a drop of about of about -5% relative to the historical period (Table 3 and Figure 7).



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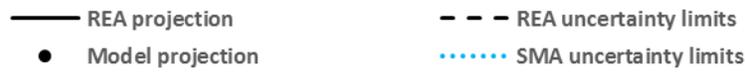
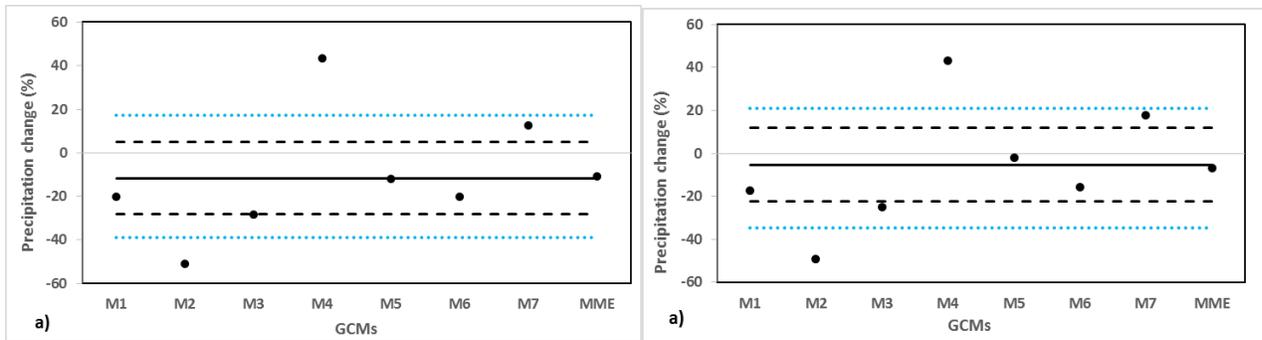


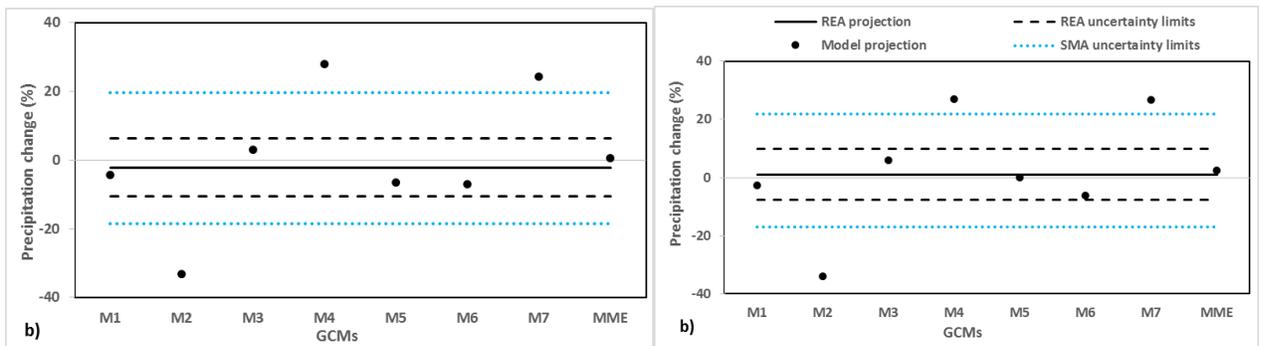
Figure 7: Annual precipitation projection under RCP4.5 (first column) and RCP8.5 (second column) a – d represents the LCB, sudano, semi-arid and arid zones respectively

Monsoon precipitation is projected to decrease across the basin by -11% and 5% under the RCP4.5 and RCP8.5 respectively. At the level of the different ecological zones monsoon precipitation is also projected to decrease across all the ecological zones and for both scenarios under investigation (Table 3 and Figure 8).

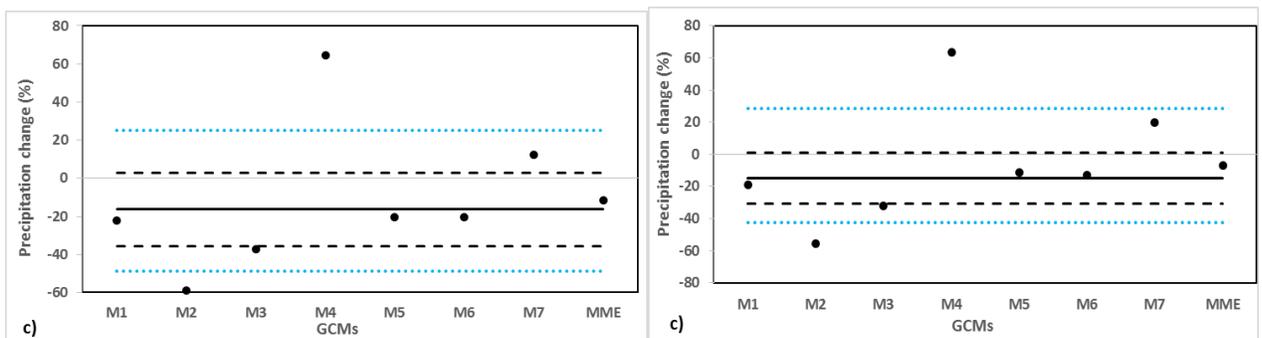
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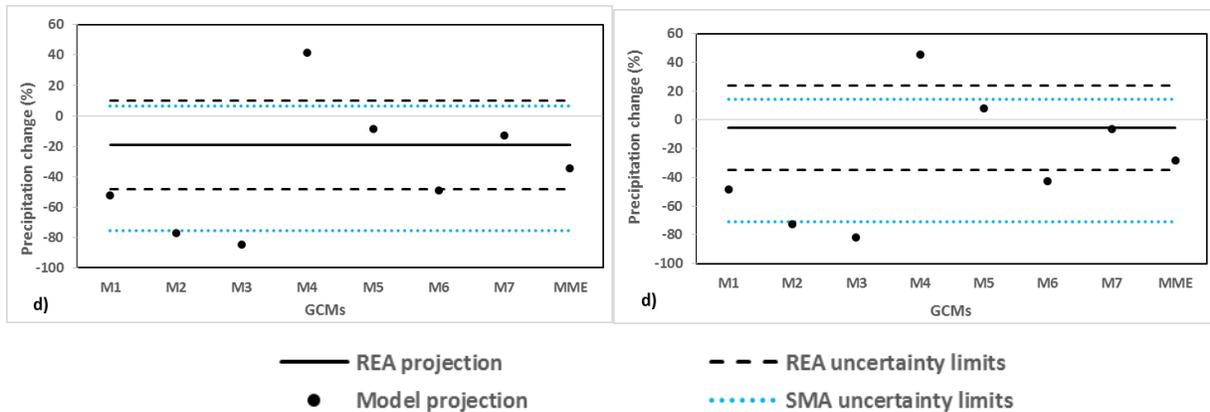


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Figure 8: Seasonal precipitation projection under RCP4.5 (first column) and RCP8.5 (second column), a – d represents the LCB, sudano, semi-arid and arid zones respectively

Regarding the uncertainties in future precipitation projections, the REA average changes are all within the range of natural variability  $\epsilon_P$  across the LCB under the two concentration pathways RCP4.5 and RCP8.5 (Table 3). Although this does not discount the fact that the changes in future precipitation are not statistically significant. At the level of the different ecological zones, the uncertainty range is also in the same order of magnitude with the natural variability although there is an increase of more than 10% in the arid zone. Comparing the three different ecological zones together, uncertainty is lower in the Sudano zone while the arid zone displays the highest level of uncertainty which follows the same trend that was observed for natural variability (Table 3).

Considering projections from individual CMIP5 models, under the RCP4.5 scenario, M1, M4, M5, M6, and M7 project an increase in future annual precipitation while M2, and M3 project a decrease at the basin scale. M1 M2, M3 and M6 lie within the uncertainty range but only M1 and M6 project and increase while M2 and M3 project a decrease (Figure 7a). The results are varied for the different ecological zones. In the Sudano zone, all models project an increase in future annual precipitation with M1, M2, M5, and M6 lying within the uncertainty range (Figure 7b). Within the semi-arid zone, all models project an increase in future precipitation except M2 and M3, although only projections from M1, M6 and M7 fall within the uncertainty range (Figure 7c). In the arid zone only M4 and M5 project an increase in future precipitation although their results are outside the uncertainty range while the rest project a decrease. (Figure 7d).

Under the RCP4.5 scenario, M4 and M7 project an increase in future monsoon precipitation while the other models project a decrease at basin scale with results lying outside the uncertainty range (not shown). In the Sudano zone, M3, M4 and M7 project an increase in future monsoon

427 precipitation while the other models project a decrease although only projections from M3 lie within  
 428 the uncertainty range (not shown). Results in the semi-arid zone are similar to those obtained in the  
 429 Sudano zone. In the arid zone, only M4 project an increase in future monsoon precipitation while  
 430 the rest of models project a decrease however and results do not lie within the uncertainty range (not  
 431 shown).

432 Under the RCP8.5 scenario, future annual precipitation is projected to increase across the  
 433 LCB by all models except M2 and M3 with projections from M1 and M6 lying within the uncertainty  
 434 range (Figure 7a). In the Sudano zone, all models project an increase in future annual precipitation  
 435 with projections from M1, M2, M5 and M6 lying within the uncertainty range (Figure 8b). In the  
 436 semi-arid zone, all models except M2 and M3 project an increase with projections from M1 and M6  
 437 lying within the uncertainty range (Figure 7c). Model M4, M5 and M7 project an increase in the arid  
 438 zone while the other models project a decrease with projections from M7 lying within the uncertainty  
 439 range. Individual model projections for monsoon precipitation under this scenario are similar to what  
 440 was obtained under the RCP4.5 scenario although with different magnitudes (not shown).

441

442 Table 3: Natural variability, projected precipitation change and uncertainty range

Time scale	Ecological zone	Natural variability ( $\epsilon P$ ) (mm)	RCP4.5		RCP8.5	
			$\Delta P$ (%)	Uncertainty ( $\pm\delta\Delta P$ )	$\Delta P$ (%)	Uncertainty ( $\pm\delta\Delta P$ )
Annual precipitation	LCB	84.40 (11.43)	2.54	11.37	5.26	12.13
	Sudano	81.81 (7.24)	22.61	8.29	30.56	8.97
	Semi-arid	95.23 (11.89)	4.15	15.69	9.72	16.92
	Arid	88.33 (23.15)	-12.32	34.77	-4.77	36.34
Monsoon precipitation	LCB	16.45 (11.32)	-11.61	16.52	-5.29	17.11
	Sudano	12.68 (6.38)	-0.72	12.55	3.14	12.39
	Semi-arid	21.59 (14.44)	-16.63	18.39	-9.28	18.58
	Arid	20.75 (23.59)	-23.11	25.49	-13.79	28.35

443 \*The values in bracket represent the percentage change in precipitation relative to the historical period (1980 – 2005)

#### 444 **5.4. Future average temperature projections in the LCB (2050 – 2075)**

445 Analysis using the REA technique show that future annual average temperature across the  
 446 LCB under the RCP4.5 and RCP8.5 scenarios is projected to decrease by about 1°C relative to the  
 447 historical period (1980-2005) and by almost the same amount across the different ecological zones  
 448 (Table 4). These results are quite contrasting to what has been observed globally from CMIP5  
 449 models which generally project an increase in future global average temperatures (Knutti and  
 450 Sedláček 2013) and in the African continent (Dike et al. 2015).

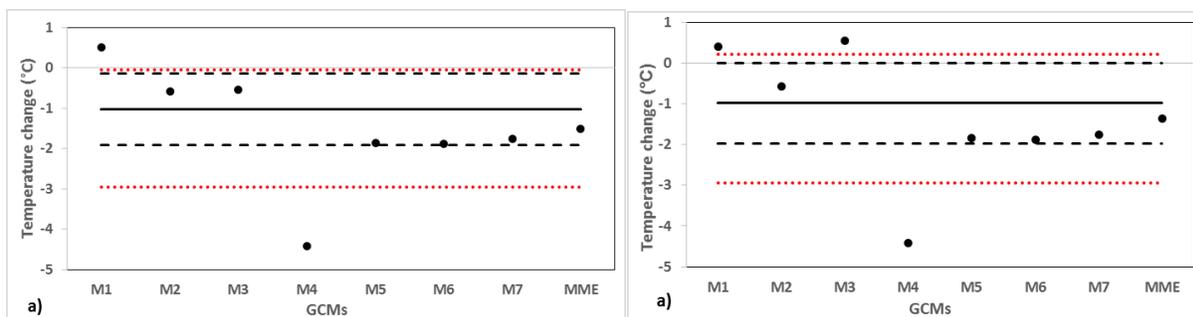
451 Regarding uncertainty in the future annual average temperature projections, the REA average  
 452 changes are outside the range of natural variability  $\epsilon_T$  across the LCB and at the level of the different  
 453 ecological zones under both RCPs. Natural variability in annual average temperature is highest in  
 454 the arid zone and lowest in the Sudano zone. However, uncertainty in model projections of annual  
 455 average temperature is highest in the semi-arid zone and lowest in the arid zone (Figure 9).

456 Considering future projections from individual CMIP5 models, under the RCP4.5 scenario,  
 457 only M1 consistently projects an increase in future annual average temperature over the LCB and at  
 458 the level of different ecological zones while all the other models project a decrease (Figure 8). Under  
 459 the RCP8.5 scenario, M1 and M3 consistently project an increase in projected future annual  
 460 temperature over the basin and at the level of the Sudano and semi-arid zones while only M3 project  
 461 an increase in the arid zone. The projections from models showing an increase in future average  
 462 temperature all lie outside the uncertainty range while the results are mixed for those projecting a  
 463 decrease (Figure 9).

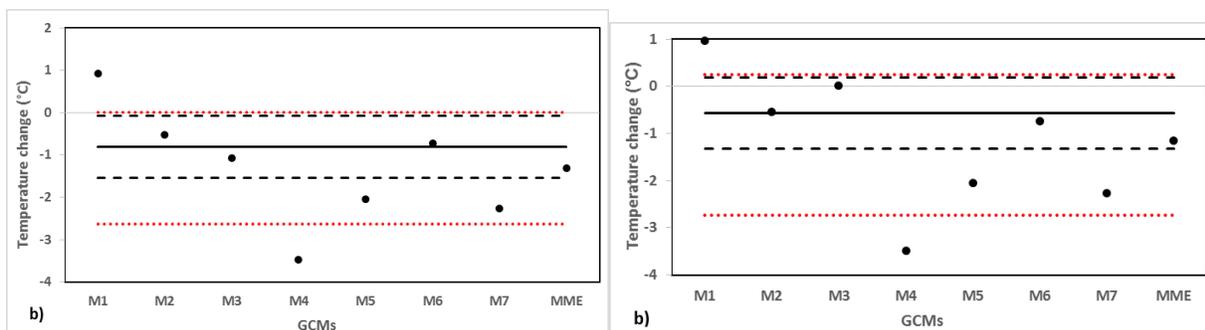
464 Table 4: Natural variability, projected temperature change and uncertainty range

Time scale	Ecological zone	Natural variability ( $\epsilon_T$ ) (°C)	RCP4.5		RCP8.5	
			$\Delta T$ (°C)	Uncertainty ( $\pm\delta\Delta T$ )	$\Delta T$ (°C)	Uncertainty ( $\pm\delta\Delta T$ )
Annual average temperature	LCB	0.50	-1.02	0.89	-0.99	0.98
	Sudano	0.28	-0.84	0.78	-0.55	0.90
	Semi-arid	0.48	-0.70	1.04	-0.70	1.19
	Arid	0.68	-0.85	0.72	-0.72	0.79

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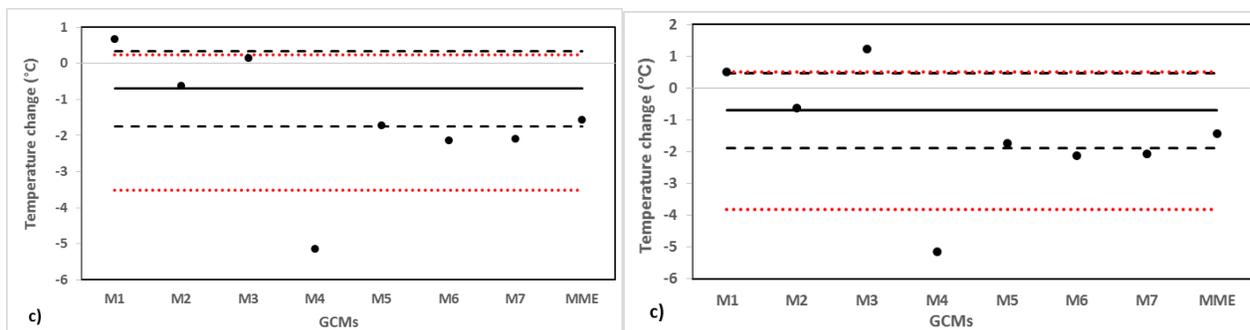


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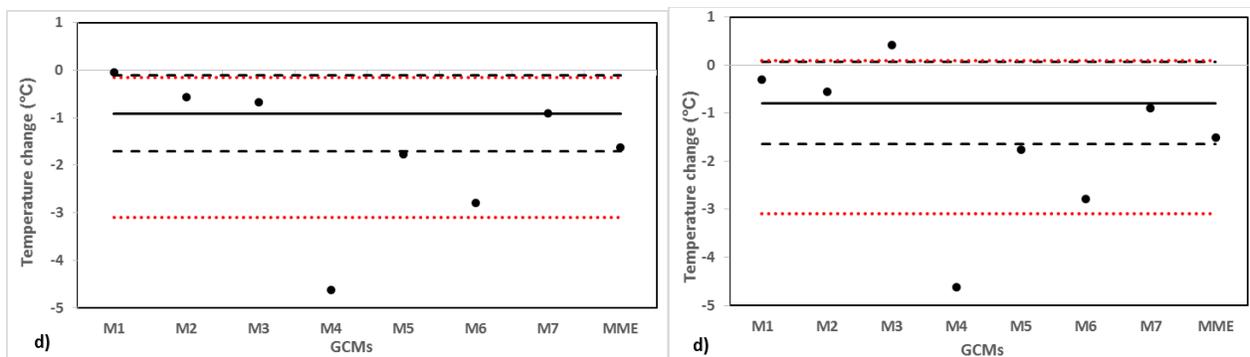


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471 Figure 9: Annual average temperature projection under RCP4.5 (first column) and RCP8.5 (second  
 472 column) a – d represents the LCB, sudano, semi-arid and arid zones respectively

473

### 474 5.5. Reliability analysis of CMIP5 models for precipitation and temperature 475 projections

476 Under RCP4.5 and RCP8.5, M1, M2, M3 and M6 produced model reliability factors  $\geq 0.85$   
 477 for future annual precipitation projection in the LCB and all projections from these models lie within  
 478 the REA uncertainty range (Figure 7a). This performance can be attributed to the fact that, (i) the  
 479 bias factor (difference between the model simulated estimate and observed), and under both RCPs  
 480 (ii) the convergence factor (distance between the model projection and REA average) for each of the  
 481 models are within the bounds of natural variability  $\epsilon_p$ . Models with low reliability factors equally  
 482 produced low bias and convergence factors (Table 5) and projections from these models all lie  
 483 outside the REA uncertainty range (Figure 7a).

484 At the seasonal scale only M5 produced a reliability factor  $> 0.80$  and the projection from this  
 485 model is very close to the REA average. The low performance of the CMIP5 models for monsoon  
 486 projection can be attributed to the fact that apart from M5 and M7, most of the models produced  
 487 very low bias factors mostly below 0.5 as a result of their consistent underestimation of monsoon

488 precipitation relative to the historical period. It can also be observed that even though M1, M3, and  
489 M6 produced very high convergence factors for projected monsoon precipitation, these models were  
490 penalized because of their low bias factors. On the other hand, M7 which produced a high bias factor  
491 for monsoon precipitation was penalized because of its low convergence factor (Table 5). By given  
492 equal weights to criteria m and n in Eq. (3) any model which performs well in one criteria and does  
493 not equally perform well in the other is penalized.

494 The reliability factors of the various models for annual average temperature projection in the  
495 LCB under RCP4.5 and RCP8.5 scenarios are generally very low with some values  $<0.10$ . This low  
496 performance can be attributed to the inability of the models to simulate historical annual average  
497 temperature resulting in a generalized low bias factors among the CMIP5 models. Even though all  
498 models except M1 and M4 under the RCP4.5 scenario and M2, M5, M6 and M7 under the RCP8.5  
499 scenario produced high convergence factors, these models were penalized because of their low  
500 ability to simulate historical average temperature.

501 Comparing the results from REA average and simple model average (SMA) or MME for  
502 annual and seasonal precipitation projections, it was observed that, under the two RCPs, estimates  
503 from SMA (MME) do not deviate significantly from the REA average and in most cases lie within  
504 the REA uncertainty range (Figures 7 - 8). This shows that both methods produce ensemble averages  
505 that are similar in magnitude. This is an interesting finding given that each model received a different  
506 weight through the application of either techniques. In the SMA (MME) technique, each model  
507 received the same weight while with REA technique the weight attached to each model was based  
508 on its reliability factor which was determined by both the bias and convergence factors. Previous  
509 studies have also shown that both methods produced similar results e.g. (Miao et al. 2014; Mani and  
510 Tsai 2016). However, it can also be observed from the figures that the uncertainty range for SMA is  
511 larger than that of REA technique

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520 Table 5 Model performance for historical and future projections for annual and monsoon precipitation

Model	Annual precipitation					Monsoon precipitation				
	Bias factor	RCP 45		RCP 85		Bias factor	RCP 45		RCP 85	
		Convergence factor	Reliability factor	Convergence factor	Reliability factor		Convergence factor	Reliability factor	Convergence factor	Reliability factor
M1-ACCESS1.0	0.94	1.00	0.94	1.00	0.94	0.43	1.00	0.43	0.96	0.42
M2-bcc_ESM1-1-M	0.85	1.00	0.85	1.00	0.85	0.28	0.29	0.08	0.26	0.07
M3-CMCC_CMS	0.98	1.00	0.98	1.00	0.98	0.35	0.66	0.23	0.59	0.20
M4-CNRM-CM5	0.19	0.18	0.04	0.20	0.04	0.32	0.21	0.07	0.23	0.07
M5-GFDL-CM	0.38	0.46	0.17	0.36	0.13	0.89	1.00	0.89	1.00	0.89
M6-HadGEM-ES	1.00	1.00	1.00	1.00	1.00	0.49	1.00	0.49	1.00	0.49
M7-MPI-ESM-LR	0.42	0.41	0.17	0.36	0.15	1.00	0.47	0.47	0.48	0.48

521 \*Note that the different ecological zones are not considered

522

## 524 **6. Discussion**

525           Given the fact that some models showed systematic biases in the seasonal rainfall estimates  
526 indicate that they were not able to track the north-south displacement of the ITCZ as they are  
527 consistently too wet in the dry season, and too dry in the wet season.

528           The fact that MME (SMA) produced stronger correlations compared to some individual  
529 models in the Taylor diagram for both precipitation and average temperature can be attributed to the  
530 fact that, by averaging all the models together, the individual model biases cancel out thus resulting  
531 to an ensemble that outperforms some of the individual CMIP5 models.

532           Even though the CMIP5 models used in this study were reported to simulate some key  
533 climate processes in the region based on the findings of Rowell (2013) and McSweeney et al. (2015),  
534 results from our study show that, there was still a large spread in the model output which can be  
535 attributed to individual model physics. Despite this spread, most of the models were able to replicate  
536 the historical annual rainfall cycle across the basin and at the level of the different ecological zones  
537 indicating some level of objectivity in the selection process. Furthermore, the ensemble projections  
538 from the MME (SMA) average were mostly within the bounds of uncertainty limits across the basin  
539 and at the level of the ecological zone which can also be attributed to the model selection process.

540           Apart from biases associated with model physics, biases in the model simulations could also  
541 be attributed to the inability of the individual CMIP5 model to simulate other local scale atmospheric  
542 processes and mesoscale convective systems (MCSs) and non-climatic effects like orography that  
543 also influence climate in the region (Nkiaka et al., 2017c). This is largely due to their coarse spatial  
544 resolutions. In fact, MCSs are difficult to be modelled because these events organize dynamically  
545 on spatial scales that cannot be resolved by the current generation of GCMs (Taylor et al. 2017).  
546 Other mechanisms that influence regional climate in LCB include the low level Bodele Jets  
547 (Washington et al. 2006), the high level Tropical Easterly Jets (TEJ) and the West African Westerly  
548 Jets (WAWJ) which are stronger in the eastern Sahel where the LCB is located (Nicholson 2013)  
549 and the high level African Easterly Jet (AEJ) which influence precipitation over the Central African  
550 region (Farnsworth et al. 2011). It is not known how these jet streams are simulated in the CMIP5  
551 models although their influence on regional rainfall is very significant (Nicholson et al., 2013).

552           Despite the poor performance of the GCMs to continuously underestimate historical  
553 monsoon precipitation in this study, previous studies also reported the decline in monsoon

554 precipitation in the region (Polson et al. 2014; Nkiaka et al. 2017b) albeit causes of this decline are  
555 not yet well understood. Nevertheless, Devaraju et al. (2015) attributed it to large scale deforestation  
556 in the northern middle and high latitudes which force the ITCZ to shift southwards resulting in a  
557 significant decrease in monsoon precipitation. Quesada et al. (2017) also attributed the decline in  
558 monsoon precipitation in historical and future climate projections to biophysical effects of large  
559 scale land use/cover changes. Despite this, recent studies by Taylor et al. (2017) have shown that,  
560 extreme precipitation events from MCSs during the monsoon season has increased in the region.  
561 Nonetheless the study by Taylor et al. (2017) did not mention the contribution of MCSs extreme  
562 precipitation events to the total monsoon precipitation although their contribution to total annual  
563 precipitation in that study was estimated to be  $< 25\%$ .

564 Generally, results from this study show an increase in projected annual precipitation by mid  
565 of the century with five models projecting this increase under both RCPs. These results are also  
566 supported by the MME (SMA) average whereby equal weights are attached to each model and REA  
567 average whereby weights are attached to a model based on the model reliability factor. In each case,  
568 future annual precipitation is projected to increase in the LCB. At the level of the different ecological  
569 zones, all models project an increase in future precipitation in the Sudano, five models project an  
570 increase in the semi-arid and three models project an increase in the arid zone under both RCPs.

571 Another significant finding from this study is the fact that, although CMIP5 models project  
572 a decrease in future monsoon precipitation which is known to contribute to most of the rainfall in  
573 the region, overall, annual precipitation is still projected to increase by the middle of the century  
574 under both RCPs. This implies that in future, the seasonal north – south migration of the ITCZ which  
575 brings monsoon precipitation into the region may no longer be the dominant mechanism responsible  
576 for rainfall in the region. This is consistent with other studies in the region that have reported of a  
577 dryer onset of the monsoon season and an intensification of the late rainy season (Biasutti 2013).  
578 Another study by Monerie et al. (2016) has also reported of an increase in late rainy season  
579 (September and October) rainfall and a delay in the retreat of the monsoon. This can partly explain  
580 why even though the CMIP5 models are projecting a decrease in monsoon precipitation, annual  
581 precipitation is still projected to increase across the LCB.

582 The increase in projected annual precipitation in the region under climate change have been  
583 attributed to many reasons e.g. Dong and Sutton (2015) attribute it to the rising levels of GHGs in  
584 the atmosphere, Evan et al. (2015) attribute it to an upward trend in the Sahara heat low (SHL)  
585 temperature resulting from atmospheric greenhouse warming by water vapor. In separate studies,

586 Biasutti (2013) and Park et al. (2015) attributed the increase in future precipitation in the region as  
587 projected by CMIP5 models to increased moisture convergence in the region under climate change.  
588 Generally, the models were biased in simulating historical and future projected average temperature  
589 in the LCB. The poor performance by GCMs in simulating average surface temperature in this study  
590 may be attributed to increased insolation over region considering that the region is known to be one  
591 of the cloudiest in the tropics; it could also be attributed to large biases in GCMs in simulating cloud  
592 climatology (Lauer and Hamilton 2013; Diallo et al. 2014; Dommo et al. 2018).

## 593 **7. Conclusion**

594 The objectives of this study were to evaluate the ability of CMIP5 models to reproduce the  
595 present-day climate conditions in the LCB (1980-2005), assess the future climate projections for the  
596 basin by the middle of century (2050 – 2075) relative to the historical period and quantify the  
597 uncertainties associated with these projections using two Representative Concentration Pathways  
598 (RCP4.5 and 8.5). This is the first study that uses climate models to assess future precipitation and  
599 average temperature projections in the LCB. Results indicate an increase in precipitation across the  
600 study domain under RCP4.5 and RCP8.5 by mid of the century, with the Sudano zone expected to  
601 experience the highest amount of future annual precipitation.

602 Results further indicate that, the CMIP5 models simulated precipitation better than  
603 temperature as a result of a cold bias observed in the simulation of annual average temperature by  
604 the models. Although results from the study vary from one model to another, overall M4 performed  
605 poorly as it consistently overestimated future projected precipitation and underestimated annual  
606 average temperature across the basin and at the level of the different ecological zones under both  
607 RCPs. In addition, no projections from this model lie within the uncertainty range suggesting that,  
608 M4 is an outlier within the ensemble used in this study and may not be recommended for future  
609 impact studies in the LCB. For impact studies in the LCB using CMIP5 models, M1 and M6 with  
610 future precipitation projections that consistently lie within the REA uncertainty limits under both  
611 RCPs may be recommended. Meanwhile M1 which projected increasing temperature trends in  
612 agreement with global and continental trends may be recommended for impact studies in the basin.  
613 Overall M1 will be suitable for hydrological modelling studies in the LCB.

614 Results from this study also show that the REA technique which uses a reliability factor  
615 whereby weights are attached to a model based on its ability to simulate both the present-day climate  
616 through the bias factor and future climate through the convergence factor is a robust method to

617 considerably reduce uncertainties in climate models to compared to the Simple model averaging  
618 (SMA) technique. The weights attached to each model is calculated based on past natural climate  
619 variability observed in the area. Using this approach, uncertainty limits obtained in this study  
620 especially for precipitation were mostly within the bounds of natural rainfall variability across the  
621 LCB.

622 Nevertheless, biases observed in the models could be reduced and results obtained in this  
623 study refined by using regional climate models. Results could also be fine – tuned in future as high  
624 resolution GCMs become available.

625

## 626 **Acknowledgements**

627 The first author is sponsored by the Commonwealth Scholarship Commission in the UK. The authors  
628 are grateful to CRU for making their datasets available for this study. Thanks are also due to IPCC  
629 for providing the CMIP5 data freely for research purpose. We are also indebted to Dr. Rory  
630 Fitzpatrick, School of Earth and Environment, University of Leeds for helping us download CMIP5  
631 model output.

632

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