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2 **Title: A randomised open-label phase III trial evaluating the addition of denosumab to**  
3 **standard first-line treatment in advanced NSCLC – the ETOP and EORTC SPLENDOUR**  
4 **trial**

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## Addition of denosumab to first-line platinum-based doublet chemotherapy in advanced NSCLC

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61

62 **ABSTRACT**

63 **Introduction:** RANKL stimulates NF- $\kappa$ B-dependent cell-signalling and acts as the primary signal  
64 for bone resorption. Retrospective analysis of a large trial comparing denosumab versus zoledronic  
65 acid in bone metastatic solid tumours suggested significant overall survival (OS) advantage for  
66 lung cancer patients with denosumab. The randomised open-label phase III SPLENDOUR trial  
67 was designed to evaluate whether the addition of denosumab to standard first-line platinum-based  
68 doublet chemotherapy improves OS in advanced NSCLC.

69 **Methods:** Stage IV NSCLC patients were randomised 1:1 to either chemotherapy with or without  
70 denosumab (120mg every 3-4 weeks), stratified by presence of bone metastases (at diagnosis),  
71 ECOG performance status, histology and region. To detect an OS increase from 9-11.25 months  
72 (HR=0.80), 847 OS events were required. The trial closed prematurely due to decreasing accrual  
73 rate.

74 **Results:** 514 patients were randomised, 509 receiving  $\geq 1$  dose of assigned treatment  
75 (chemotherapy:252, chemotherapy-denosumab:257). Median age was 66.1 years, 71% male, 59%  
76 former smokers. Bone metastases were identified in 275(53%) patients. Median OS(95%CI) was  
77 8.7(7.6-11.0) in the control versus 8.2(7.5-10.4) months in the chemotherapy-denosumab-arm,

78 (HR=0.96;95%CI:[0.78-1.19]; 1-sided  $P=0.36$ ). For patients with bone metastasis  
79 HR=1.02(95%CI:[0.77-1.35]), while for those without HR=0.90(95%CI:[0.66-1.23]). Grade $\geq$ 3  
80 adverse events were observed in 40.9%/5.2%/8.7% versus 45.5%/10.9%/10.5% of patients.  
81 Conditional power for OS benefit was  $\leq$ 10%.

82 **Conclusions:** Denosumab was well tolerated without unexpected safety concerns. There was no  
83 OS improvement for denosumab when added to chemotherapy in the ITT, and in the subgroups  
84 with and without bone metastases. Our data do not provide evidence of a clinical benefit for  
85 denosumab in NSCLC patients without bone metastases.

86  
87 **KEYWORDS:**

88 NSCLC, RANK, RANKL, Denosumab, bone metastases  
89

90 **INTRODUCTION**

91 Lung cancer is the leading cause of cancer mortality and histologically non-small cell lung cancer  
92 (NSCLC) accounts for 85% of lung cancers. Life expectancy of individuals with lung cancer has  
93 increased with better treatments, including targeted therapies and immunotherapy.

94 Bone metastases are a significant cause of morbidity in advanced cancer and 30–45% of patients  
95 with advanced NSCLC will develop bone metastases during the course of their disease, with a post  
96 mortem documentation in 36%. Retrospective data demonstrate that in two thirds of patients with  
97 bone metastatic disease had bone metastases already at the time of initial diagnosis.<sup>1-4</sup>

98 Patients with metastatic bone disease may suffer from skeletal-related events (SREs), such as  
99 fractures, pain requiring radiation or bone surgery, spinal cord compression, severely affecting  
100 quality of life. Lung cancer patients are known to present with high frequency of SREs<sup>5,6</sup> while

101 the occurrence of NSCLC-associated SREs was shown to predict an unexpectedly short life  
102 expectancy with virtually no long-term survivors.<sup>4</sup>

103 Signalling through binding of the Receptor activator of NF- $\kappa$ B ligand (RANK) to its ligand  
104 RANKL, was first discovered as a means of communication between T-cells and dendritic cells.  
105 RANKL activates osteoclasts for bone resorption, and enables mammary gland and secondary  
106 lymph node organogenesis<sup>7</sup>. RANKL has also profound immune modulating effects since the  
107 binding to its receptor induces T-reg cells and chemo-resistance through the activation of multiple  
108 signal transduction pathways.<sup>8,9</sup> As a consequence, RANKL-inhibition enhances immune  
109 responses and holds promise as immune-therapeutic agent to treat cancer. RANK- and RANKL-  
110 expressions have been observed in some tumour types with early clinical data, suggesting a  
111 potential anti-tumour effect of RANK-pathway inhibitors.<sup>8</sup>

112 The RANKL inhibitor denosumab, a fully human monoclonal IgG2 antibody, is approved for the  
113 prevention of skeletal-related events in patients with advanced malignancies involving bone,  
114 including solid tumours and multiple myeloma.

115 In a pivotal phase III trial of denosumab versus zoledronic acid for the treatment of bone  
116 metastases in advanced cancer, denosumab significantly delayed first on-study SREs in NSCLC.<sup>10</sup>  
117 In a post-hoc, exploratory analysis of 811 lung cancer patients, denosumab was associated with  
118 improved overall survival (OS) versus zoledronic acid. Specifically, in NSCLC, a HR of 0.79 (9.5  
119 versus 8.1 months, 95%CI:[0.65-0.95]) was described.<sup>11</sup>

120 The SPLENDOUR trial was designed to address in a randomised manner whether the addition of  
121 denosumab to standard first-line platinum-based doublet chemotherapy improves OS in advanced  
122 NSCLC.

## 123 **MATERIAL AND METHODS**

### 124 **Design**

125 SPLENDOUR (ETOP 5-12 /EORTC 08111), an international, multi-centre, randomised, open-  
126 label phase III trial, evaluated the addition of denosumab to standard first-line anticancer treatment  
127 in advanced NSCLC. Safety was monitored by the ETOP Independent Data Monitoring  
128 Committee (IDMC). The trial is registered with ClinicalTrials.gov, number NCT02129699.

### 129 **Patients**

130 Patients were recruited from 55 centres in Austria, Belgium, France, Germany, Ireland, Italy,  
131 Slovenia, Spain, Switzerland and the United Kingdom.

132 Eligible patients were  $\geq 18$  years, had measurable or evaluable stage IV NSCLC, (with or without  
133 bone metastases), Eastern Cooperative Oncology Group (ECOG) performance status (PS) 0–2,  
134 available tumour tissue for translational research, life expectancy of at least 3 months, and  
135 adequate renal, hepatic and haematological functions. Patients with documented sensitising EGFR  
136 activating mutation or ALK rearrangements, symptomatic brain metastases, prior chemotherapy  
137 or targeted therapy for metastatic disease, or severe, uncorrected hypocalcaemia or hypercalcaemia  
138 were excluded. Screening for EGFR/ALK was optional, following local standards, but strongly  
139 encouraged in non-squamous histology, while CT scan or MRI of brain was not mandatory and  
140 only recommended in case of clinically suspected brain metastasis. Following a protocol  
141 amendment, activated when the majority of patients had already been randomised, one line of prior  
142 therapy with an immune checkpoint inhibitor was allowed.

### 143 **Study treatment and assessments**

144 Patients were randomised 1:1 to receive either four to six cycles of platinum-based doublet  
145 chemotherapy (platinum compound plus gemcitabine or pemetrexed for non-squamous cell  
146 histology) or platinum-based doublet chemotherapy plus denosumab at a dose of 120 mg,

147 subcutaneously every three to four weeks (chemotherapy-denosumab-arm). Denosumab was  
148 continued beyond disease progression and given concomitantly with subsequent treatment lines,  
149 for as long as it was tolerated. Zoledronic acid administration was only allowed in the  
150 chemotherapy-arm when there were skeletal metastases at baseline, as this is a standard of care.  
151 Pemetrexed or erlotinib maintenance treatment after doublet chemotherapy was allowed in both  
152 arms.

153 CT-scans for tumour response assessments were performed at baseline, chemotherapy cycle 3 and  
154 then every 12 weeks until progression. All patients underwent a PET-CT or bone scan at baseline  
155 for specific bone metastases status assignment. Bone imaging (including bone scan) during  
156 treatment and at progression were also performed if clinically indicated, i.e., in case of suspected  
157 bone metastases. In the event of equivocal results, further confirmation using bone MRI, CT, X-  
158 Ray or biopsy was recommended.

159 Adverse events were reported following the Common Terminology Criteria for Adverse Events  
160 version 4.0 (CTCAE v4.0).

## 161 **Statistical plan**

162 SPLENDOUR used a centralised random assignment of patients using the block design technique,  
163 stratified by bone metastases (presence versus absence), ECOG PS (0/1 versus 2), histology  
164 (squamous versus other), and geographic region (Eastern versus Western versus Southern Europe)  
165 to balance pemetrexed availability, maintenance strategy and standard of care.

166 The primary endpoint was OS. Secondary endpoints included progression-free survival (PFS),  
167 response by RECIST v1.1, and safety. OS and PFS, estimated from time of randomisation, were  
168 conducted on the intention-to-treat (ITT) population and analysed using Cox regression.  
169 Comparisons between treatment arms were made by score test adjusted for stratification factors.



170 Sensitivity analysis was performed based on log-rank test and Kaplan-Meier estimates and plots  
171 were produced.

172 For PFS analysis, an event was defined as disease progression or death, whichever occurred first.  
173 Alive patients without progression were censored at date of last follow-up.

174 Using 90% power and one-sided type I error of 2.5%, demonstration of an increase in median OS  
175 to 11.25 months in the chemotherapy-denosumab-arm relative to 9 months in the chemotherapy-  
176 arm (equivalent to HR=0.80) required observation of 847 deaths. Assuming an accrual rate of  
177 15 patients/month in the first 6 months and 30 patients/month thereafter, 1000 patients were  
178 required to be recruited over a period of 37 months and followed for an additional 14 months after  
179 the randomisation of the last patient, to reach the required number of events. The trial was designed  
180 with a futility interim analysis (IA) at 30% of the information time.

181 Subgroup analyses for the treatment effect on OS and PFS by bone metastasis status at  
182 randomisation, ECOG PS and histology were predefined. The accrual in the bone metastases  
183 stratum was expected to be 30%. The same OS improvement was assumed for both bone  
184 metastases strata in the chemotherapy-denosumab-arm.

185 The planned IA for futility was conducted when 274 events (32.4% of information) for the primary  
186 endpoint were available. Results were presented to the IDMC in September 2017, which  
187 recommended continuation of the study as per protocol. However, the Steering Committee closed  
188 recruitment as of January 2018, considering that completion of accrual was not feasible in the  
189 context of recent immunotherapy advances and the multiplicity of immunotherapy-based ongoing  
190 clinical trials in this specific clinical scenario. In fact, subsequent survival improvement and  
191 regulatory approval of combined anti-PD(L)-1 and immunotherapy and chemotherapy would have  
192 ethically and practically prevented the continuation of this trial.

193

194 **RESULTS**

195 **Patients and Treatment**

196 Between 11/12/2014 and 10/01/2018, 514 patients were randomised from 55 institutions. The  
197 patient flow is summarized in the Consort Diagram in Figure 1. The analysis includes data  
198 available as of 25 January 2018.

199 From the 514 randomised patients, 509 started treatment (252 chemotherapy; 257 chemotherapy-  
200 denosumab). Thirty-three patients were retrospectively considered ineligible (17 chemotherapy,  
201 16 chemotherapy-denosumab). The primary endpoint analysis was performed on the ITT  
202 population of all 514 randomised patients. Safety analysis was based on the 509 patients who  
203 started treatment. Of note, three patients randomised to chemotherapy, had actually received  
204 denosumab.

205 Baseline characteristics were well balanced between the two treatment arms (Table 1). The  
206 majority of patients had non-squamous histology (72% overall; 73% chemotherapy and 71%  
207 chemotherapy-denosumab), ECOG PS of 0/1 (89%; 90% chemotherapy, 89% chemotherapy-  
208 denosumab, were from Western Europe (61%; 60% chemotherapy, 63% chemotherapy-  
209 denosumab) and had a median age of 66.1 years (65.4 chemotherapy, 66.5 chemotherapy-  
210 denosumab). Bone metastases at baseline were observed in 54% of patients (54% chemotherapy,  
211 53% chemotherapy-denosumab). Zoledronic acid was administered in 70 (27.5%) of the  
212 chemotherapy patients.

213 Median duration (range) of trial treatment was 12.4 weeks (3.0-24.9) in the chemotherapy-arm and  
214 21.4 weeks (3.0-148.6) in the chemotherapy-denosumab-arm. Median duration of doublet  
215 chemotherapy was similar between the two arms (11.9 versus 12.0 weeks for cisplatin, 12.0 versus  
216 12.0 weeks for carboplatin, 12.4 versus 12.0 weeks for gemcitabine and 12.0 versus 12.0 weeks  
217 for pemetrexed) for chemotherapy-arm versus chemotherapy-denosumab-arm, respectively.

218 Median duration for denosumab treatment was 21.4 weeks (range: 3.0-147.7), with a median  
219 cycles number of six. At the time of analysis, eight (3.2%) patients in the chemotherapy-arm and  
220 36 (14%) in the chemotherapy-denosumab-arm were still on treatment (reasons for treatment  
221 discontinuation in Table S1).

## 222 **Efficacy analysis**

223 At the cut-off date for the final analysis (25/01/2018), 159 patients (chemotherapy-arm: 77;  
224 chemotherapy-denosumab-arm: 88) were still on follow-up, with median follow-up of 19.8 months  
225 (95%CI:[16.8-25.3]) for 25.3 months (95%CI:[19.7-29.0]) for the chemotherapy-arm and  
226 chemotherapy-denosumab-arm, respectively.

227 On the ITT cohort of 514 randomised patients, 355 deaths were observed. Median OS was 8.7  
228 months in the chemotherapy-arm and 8.2 in the chemotherapy-denosumab-arm, corresponding to  
229 HR=0.96 (95%CI:[0.78-1.19]; stratified 1-sided  $P$ -value=0.36) (Figure 2A), confirmed by  
230 sensitivity analysis (log-rank  $P$ =0.34).

231 Based on these results, the null hypothesis  $H_0$  cannot be rejected and thus OS chemotherapy-  
232 denosumab-arm was not found to be significantly superior to the chemotherapy-arm. Conditional  
233 power calculations indicated that, even if recruitment had been completed, the power of detecting  
234 a significant OS benefit would be less than 10%.

235 Similarly, PFS did not display a significant difference between the two treatment arms (Figure  
236 2B). The same number of 228 PFS events, were observed in both arms (chemotherapy: 192  
237 progressions and 36 deaths without progression; chemotherapy-denosumab: 186 and 42), with  
238 almost identical median PFS (chemotherapy: 4.7 months, 95%CI:[4.1-5.2]; chemotherapy-  
239 denosumab: 4.7 months, 95%CI:[4.2-5.3]; stratified Cox 1-sided  $P$ =0.46).

240 One complete response was observed in each treatment arm, while objective response rate (ORR)  
241 was 29.4% on chemotherapy-arm and 30.5% on chemotherapy-denosumab-arm (Fisher's exact  $P$ -

242 value=0.85). Stable disease occurred in 39.6% on chemotherapy and 33.6% on chemotherapy-  
243 denosumab, respectively (Table 2).

244 Subgroup analyses on the primary endpoint OS are presented in Figure 3 (unadjusted Cox  
245 analysis). No significant treatment effect was found in any of the subgroups examined, including  
246 for presence or absence of bone metastases at randomization. Hazard ratios for patients with and  
247 without bone metastases at randomization were 1.02 (95% CI: [0.77-1.35]) and 0.90 (95% CI:  
248 [0.66-1.23]), respectively (interaction  $P=0.55$ ). Of note, the interaction of treatment with ECOG  
249 performance status was found significant ( $P=0.027$ ) (Figure 3). Analogous stratified subgroup  
250 results for both OS and PFS are summarized in Table S2.

251 Bone events were recorded in 48 patients (9.3%) overall, 7.7% and 11% on chemotherapy and  
252 chemotherapy-denosumab respectively (Fisher's exact  $P$ -value=0.13, Table S3).

### 253 **Safety analysis**

254 The number of patients with grade 3/4/5 AEs were 103(40.9%)/13(5.2%)/22(8.7%) on  
255 chemotherapy versus 117(45.5%)/28(10.9%)/27(10.5%) on chemotherapy-denosumab,  
256 respectively. The most common grade $\geq$ 3 AEs on chemotherapy were hypertension in 20(7.9%) of  
257 the patients (2 grade4), lung infection in 19(7.5%) patients (3 grade5), dyspnoea and fatigue each  
258 in 13(5.2%) patients, and sepsis in 10(4%) patients (7 grade5; 3 grade4). Similarly, on  
259 chemotherapy-denosumab, hypertension was the most frequent AE (40 patients; 15.6%; 3 grade4).  
260 Lung infection is recorded for 28(10.9%) patients (2 grade4; 3 grade5). Other common AES on  
261 chemotherapy-denosumab included fatigue in 31(12.1%) patients, dyspnoea in 21(8.2%; 1  
262 grade4), nausea in 17(6.6%) and febrile neutropenia in 13(5.1%, 4 grade4; 2 grade5). Sepsis was  
263 reported for 11(4.3%) patients (7 grade4, 4 grade5). The full table of grade $\geq$ 3 AEs by treatment  
264 arm as well as lab toxicities are available in supplement Tables S4 and S5.

265 Twenty-two (8.7%) patients on chemotherapy had serious AEs (SAEs) with a fatal outcome (nine  
266 of which were toxic deaths); while on chemotherapy-denosumab, 32 (12.4%) had a SAE of fatal  
267 outcome and nine toxic deaths were observed.

268

## 269 **DISCUSSION**

270 While a link between RANKL-signalling and breast cancer bone and systemic progression has  
271 been established,<sup>12-14</sup> much less is known about RANKL-signalling in primary tumours from other  
272 carcinomas such as lung cancer.

273 NF-κB-signalling in tumour epithelial cells played an important role in the development of lung  
274 tumours in NSCLC mouse models<sup>15-20</sup> and RANKL could theoretically participate in the  
275 elaboration of an NF-κB response in lung tumour cells.<sup>21</sup> Of particular interest and supporting the  
276 design of this trial was the finding that RANKL blocking agents can impair the growth of primary  
277 tumours in several mouse models of lung adenocarcinoma with a predominant effect observed in  
278 the presence of KRAS mutation.<sup>22</sup>

279 SPLENDOUR was designed to evaluate whether denosumab, given in addition to standard first-  
280 line platinum-based doublet chemotherapy and continued across subsequent lines of treatments,  
281 improves OS in advanced NSCLC. Denosumab in addition to standard chemotherapy was well  
282 tolerated without major safety concerns. However, the final analysis of SPLENDOUR did not  
283 show an improvement in OS for the addition of denosumab compared to chemotherapy. Subset  
284 analyses did not show survival differences between patient cohorts with and without bone  
285 metastases and irrespective of histological subtypes.

286 Our results align with the data from a company sponsored randomised phase II biomarker-driven  
287 trial of denosumab versus placebo in NSCLC (NCT01951586), which recruited a similar group of  
288 226 patients in parallel to SPLENDOUR. The reported OS was better at 10.9 months for placebo

289 and 10.7 months for denosumab, respectively (HR=1.06, 95%CI:[0.75-1.59]) than in  
290 SPLENDOUR (median OS around 8 months). There was no correlation between OS and RANK-  
291 expression on tissue (data obtained from clinicaltrials.gov).

292 The SPLENDOUR trial planned to randomise 1000 patients. However, the recruitment stopped  
293 prematurely in January 2018 after the randomisation of 514 patients, due to slow accrual.  
294 Recruitment, initially very fast, was negatively impacted by a rapidly changing treatment  
295 landscape, especially with the advent of frontline immunotherapy becoming available in  
296 competitive clinical trials and subsequently as standard of care.

297 While chemotherapy, concomitantly or not with immunotherapy, will most probably remain a key  
298 component of lung cancer treatment, SPLENDOUR was unable to demonstrate any improvement  
299 of its activity by adding denosumab. An overall survival of less than one year is in keeping with  
300 the historical results pre-targeted and pre-immunotherapy, in a trial with unselected NSCLC  
301 patients, notably including PS2 patients.<sup>23</sup> Serum and tumour samples were collected from patients  
302 and translational analyses are ongoing, aiming at identifying a subset of patients who might benefit  
303 from the addition of denosumab.

## 304 **Conclusion**

305 In conclusion, denosumab in combination with doublet chemotherapy in patients with stage IV  
306 NSCLC did not improve OS, ORR or PFS overall or in any subgroup analysed. There were no  
307 new safety concerns.

308

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317

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323 and/or provided lectures for: Abbvie, Amgen, AstraZeneca, Bayer, Biocartis, Bioinvent, Blueprint  
324 Medicines, Boehringer-Ingelheim, Bristol-Myers Squibb, Clovis, Daiichi Sankyo, Debiopharm,  
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423

424 **TABLE AND FIGURE LEGENDS**

425 **Figure 1:** Study design and Consort diagram.

426 **Figure 2:** A) Overall survival by treatment arm in the intention-to-treat population.

427 B) Progression-free survival by treatment arm in the intention-to-treat population.

428 **Figure 3:** Overall survival: Sub-group analysis (Forest Plot)

429 Notes: HR: Hazard Ratio, CI: Confidence Interval

430 HRs are based on unadjusted univariate Cox models

431 **Table 1:** Stratification Factors and Baseline Characteristics of patients (ITT population)

432 **Table 2:** Best Overall Response by arm (Intention-to-treat population)

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434 **LIST OF SUPPLEMENTARY MATERIAL**

435 **Supplementary Tables**

436 **Table S1:** Primary reason for protocol treatment discontinuation (safety population, i.e. patients  
437 that started treatment)

438 **Table S2:** OS and PFS subgroup analysis (Intention-to-treat population)

439 **Table S3:** Bone events (Intention-to-treat population)

440 **Table S4:** Toxicity During Treatment (Grade  $\geq 3$ ) (safety population)

441 **Table S5:** Lab Toxicity during treatment (Grade  $\geq 3$ )

442

443 **Supplementary Figures**

444 **Figure S1:** Overall survival by bone metastatic status and treatment arm in the intention-to-treat  
445 population:

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