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1 **Serum Acylglycerols Inversely Associate with Muscle Oxidative Capacity in Severe COPD**

2

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23 **ABSTRACT**

24 **Purpose:** Chronic obstructive pulmonary disease (COPD) is associated with altered metabolism  
25 and body composition that accompany poor outcomes. We aimed to determine whether metabolic  
26 derangements in COPD are associated with skeletal muscle deconditioning and/or physical  
27 inactivity, independent of pulmonary obstruction.

28 **Methods:** We characterized serum metabolites associated with muscle oxidative capacity or  
29 physical activity in 44 COPD patients ( $FEV_1=61\pm 4\%$  predicted) and 63 current and former smokers  
30 with normal spirometry (CON) ( $FEV_1=93\pm 2\%$  predicted). Medial *gastrocnemius* oxidative  
31 capacity was assessed at rest from the recovery rate constant ( $k$ ) of muscle oxygen consumption  
32 using near-infrared spectroscopy. Step counts and physical activity (average vector magnitude  
33 units (VMU)/min) were measured over 5-7 days using triaxial accelerometry. Untargeted prime  
34 and lipid metabolites were measured using liquid chromatography and mass spectrometry.

35 **Results:** Muscle  $k$  ( $1.12\pm 0.05$  vs.  $1.68\pm 0.06\text{min}^{-1}$ ;  $P<0.0001$ ;  $d=1.58$ ) and VMU/min ( $170\pm 26$  vs.  
36  $450\pm 50$  VMU/min;  $P=0.004$ ;  $d=1.04$ ) were lower in severe COPD ( $FEV_1<50\%$  predicted,  $n=14$ -  
37  $16$ ) compared with CON ( $n=56$ - $60$ ). 129 prime metabolites and 470 lipids with known identity  
38 were quantified. Using sex as a covariate, lipidomics revealed 24 differentially expressed lipids  
39 (19 sphingomyelins) in COPD, consequent to a diminished sex difference of sphingomyelins in  
40 COPD ( $FDR<0.05$ ;  $n=44$ ). Total, and some individual, fatty acid concentrations were greater in  
41 severe COPD than CON ( $FDR<0.05$ ;  $n=16$ ;  $d=0.56$ - $1.02$ ). After adjusting for  $FEV_1\%$  predicted,  
42 we observed that grouped diacylglycerides ( $\rho=-0.745$ ;  $FDR=0.03$ ) and triacylglycerides ( $\rho=-$   
43  $0.811$ ;  $FDR=0.01$ ) were negatively associated with muscle oxidative capacity, but not physical  
44 activity, in severe COPD ( $n=14$ ). **Conclusion:** Strong negative associations relate impaired  
45 mitochondrial function to the accumulation of serum acylglycerides in severe COPD.

46 **Key Words:** metabolomics, mitochondria, physical activity, sphingomyelin, fatty acid

47 **INTRODUCTION**

48 Chronic obstructive pulmonary disease (COPD) is associated with airway inflammation, mucus  
49 hypersecretion, and pulmonary emphysema; each contributing to expiratory flow limitation.  
50 Unifying symptoms of these heterogeneous phenotypes are dyspnea on exertion and exercise  
51 intolerance. Exercise intolerance and physical inactivity, not pulmonary obstruction, are the  
52 strongest predictors of mortality in COPD (1). Although no therapies beyond smoking cessation  
53 are yet proven to slow disease progression or reduce mortality, pulmonary rehabilitation - a  
54 multidisciplinary program that includes exercise training - is the most effective treatment in  
55 relieving symptoms, increasing quality of life, reducing hospitalizations and morbidity in COPD  
56 patients (2). A primary benefit of pulmonary rehabilitation in COPD is symptom relief and  
57 increased exercise tolerance, which are mediated by ameliorating skeletal muscle deficits in  
58 oxidative capacity, thereby delaying the onset of exercise-induced metabolic acidosis and reducing  
59 the ventilatory demands for a given activity (3).

60  
61 Several studies of serum metabolomics show metabolic dysregulation in COPD (4-6). Alterations  
62 in sphingolipid metabolism are common in COPD, suggesting a deficit in lipid metabolism that  
63 may contribute to smoking-induced lung damage through mitophagy-mediated necroptosis (7).  
64 Altered mitochondrial  $\beta$ -oxidation, tryptophan metabolism, carnitine/acylcarnitine, reduced  
65 polyunsaturated fatty acids and high oxidative stress are common findings following cigarette  
66 smoke exposure and in COPD metabolomic analyses (8). Furthermore, cigarette smoke exposure  
67 is associated with the accumulation of cytotoxic ceramides in lung epithelial cells and reduced  
68 mitochondrial respiration in skeletal muscle, resulting in insulin resistance and poor glucose  
69 tolerance (7, 9).

70  
71 Muscle deconditioning following physical inactivity is associated with a reduced fraction of  
72 whole-body ATP turnover that is derived from mitochondrial  $\beta$ -oxidation during rest or exercise

73 (10). Loss of mitochondrial oxidative capacity in skeletal muscle is, therefore, a primary variable  
74 implicated in mediating the association between hyperlipidemia and COPD. As both physical  
75 activity and oxidative capacity are negatively associated with COPD severity (11), this study  
76 aimed to determine whether lipid metabolite dysregulation in COPD was associated with muscle  
77 oxidative capacity and/or physical inactivity. We hypothesized that alteration of lipid metabolites  
78 in COPD would be associated with muscle oxidative capacity, independent of pulmonary function.

79

## 80 **METHODS**

### 81 **Study population**

82 The population was drawn from the single-center Muscle Health Study, an ancillary study of  
83 COPDGene (ClinicalTrials.gov Identifier NCT00608764). A total of 245 current or former  
84 smokers participated in the Muscle Health Study at The Lundquist Institute between 2013 and  
85 2016. Inclusion and exclusion criteria were determined by the COPDGene study design (12).  
86 Participants were non-Hispanic White or African American, aged 45–80 years, and all had  $\geq 10$   
87 pack-year smoking history. In addition, those with known or suspected cancer or recent (within 3  
88 months) hospitalization were excluded. Of the 245 subjects, 107 had serum samples collected for  
89 metabolomic investigation: 44 with COPD and 63 with normal spirometry acted as controls  
90 (CON). Participants gave written informed consent to participate as approved by the Institutional  
91 Review Board at The Lundquist Institute. Data of muscle oxidative capacity and pulmonary  
92 function from these participants has been previously reported (13).

93

94 Additional methodological details are provided in supplemental digital content (SDC) (see  
95 Supplement for additional details of methods for clinical assessments, muscle oxidative capacity,  
96 prime metabolomics and lipidomics).

97

### 98 **Clinical assessments**

99 As part of the COPDGene study protocol, clinical data collected included demographics, vital  
100 signs, medical and smoking history, and current medications. Spirometry was performed according  
101 to American Thoracic Society guidelines (14). Lung diffusing capacity for carbon monoxide  
102 (DL<sub>CO</sub>) was measured after post-bronchodilator spirometry assessment (15). Resting arterial  
103 oxygen saturation was measured using pulse oximetry (SpO<sub>2</sub>).

104

### 105 **Muscle oxidative capacity**

106 Oxidative capacity of the medial *gastrocnemius* muscle (*k*) was assessed using near-infrared  
107 spectroscopy (NIRS) as described previously (16). Prior work demonstrates, using direct  
108 measurements in single muscle fibers of varied biochemical phenotypes, that *k* is directly  
109 proportional to muscle oxidative capacity (17). The average *k* of two repeat measurements is  
110 reported.

111

### 112 **Physical activity**

113 At the end of the visit, participants received a triaxial accelerometer (DynaPort MoveMonitor,  
114 McRoberts BV, The Hague, the Netherlands) to assess number of steps per day and physical  
115 activity reported as vector magnitude units (VMU)/min. Activity measurements were considered  
116 complete if the participant maintained at least 15 hours of wearing time per day for at least 5 of  
117 the 7 days.

118

### 119 **Prime metabolomics and lipidomics**

120 Blood was collected from a peripheral vein using a serum separator tube (8.5 mL, BD Vacutainer)  
121 and the serum aliquoted (1 mL) and stored at -80°C for subsequent analysis. Blood was collected  
122 typically ~3-4 hours after taking a usual breakfast. Serum samples were shipped to West Coast  
123 Metabolomics Center at the University of California for metabolomic analysis.

124

## 125 **Statistics**

126 For general statistics, data are presented as mean  $\pm$  SEM. Baseline subject characteristics, muscle  
127 oxidative capacity and physical activity were compared by ANOVA and Dunnett's *post hoc* test  
128 using CON as the reference group (continuous variables) or chi<sup>2</sup>-test (categorical variables).  
129 Routine metabolomics data analysis was performed with MetaboAnalyst 3.0  
130 ([www.metaboanalyst.ca](http://www.metaboanalyst.ca)). Differences in metabolite concentrations among groups were assessed  
131 by analysis of variance (ANOVA) and Fisher's LSD *post hoc* test accounting for multiple  
132 comparisons using false discovery rate (FDR). Association between muscle oxidative capacity or  
133 physical activity and metabolite concentration was initially assessed using Spearman correlation  
134 stratified for GOLD class. Subsequently, lipid metabolites were categorized into 17 metabolite  
135 classes, grouped by their chemical properties, and partial correlation performed with adjustment  
136 for FEV<sub>1</sub> %predicted.

137

138 All comparisons were two-sided. Effect sizes are reported as Cohen's d (d). For metabolite  
139 analyses, FDR  $\leq$  0.05 was considered statistically significant. For other analyses,  $P \leq$  0.05 was  
140 considered statistically significant.

141

## 142 **RESULTS**

### 143 **Participant demographics and clinical characteristics**

144 The baseline characteristics of the study participants are presented in **Table 1**. Overall, 55% of the  
145 107 participants were female, 52% were African American and 48% were non-Hispanic White.  
146 COPD patients were significantly older than CON, less likely to be current smokers, and had a  
147 greater representation of non-Hispanic White participants. There were no significant differences  
148 between the groups in sex, weight, BMI, smoking history, diabetes or hypertension. By definition,  
149 FEV<sub>1</sub>/FVC and FEV<sub>1</sub> %predicted were lower in COPD than CON. DL<sub>CO</sub> was significantly lower  
150 in COPD than CON, but there was no difference in resting SpO<sub>2</sub> between the groups (**Table 1**).

151 Additional analyses were made on a sub-group comprised of only severe COPD ( $FEV_1 < 50\%$   
152 predicted,  $n=16$ ). This sub-population is shown separately in **Table 1**. Except for the degree of  
153 pulmonary obstruction (by definition) and a lower  $SpO_2$  than CON (not clinically significant:  
154  $97.8\pm 2.4$  vs  $96.1\pm 0.7\%$ ;  $d = 0.67$ ), severe COPD patients had baseline characteristics that were  
155 similar to the whole COPD group (**Table 1**).

156

### 157 **Muscle oxidative capacity and physical activity**

158 Non-invasive measurement of the  $m\dot{V}O_2$  recovery rate constant,  $k$ , was successful in 42 (95%)  
159 COPD and 56 (89%) CON participants.  $k$  was significantly lower in COPD than CON ( $1.32\pm 0.07$   
160  $\text{min}^{-1}$  vs.  $1.68\pm 0.06 \text{ min}^{-1}$ ;  $P < 0.0001$ ;  $d = 0.81$ , **Figure 1A**), and lower still in the COPD patients  
161 with severe disease ( $FEV_1 < 50\%$  predicted) ( $1.12 \pm 0.05 \text{ min}^{-1}$ ;  $P < 0.0001$  vs CON;  $n = 14$ ;  $d =$   
162  $1.58$ ) (**Figure 1A**). Forty-two (95%) COPD and 56 (89%) CON completed at least 5 days of triaxial  
163 accelerometer monitoring as designed ( $\geq 15$  hours per day). Daily number of steps was not different  
164 between COPD and CON ( $5254\pm 701$  vs.  $6188\pm 442$  steps/day,  $P = 0.375$ ;  $d = 0.23$ ) but was lower  
165 in severe COPD ( $3171\pm 568$  steps/day;  $P = 0.010$  vs. CON;  $d = 1.04$ ) (**Figure 1B**). Physical activity  
166 was not different between COPD and CON ( $353\pm 43$  vs.  $450\pm 50$  VMU/min;  $P = 0.233$ ;  $d = 0.30$ )  
167 but was lower in severe COPD ( $170\pm 26$  VMU/min;  $P = 0.004$  vs. CON;  $d = 1.04$ ) (**Figure 1C**).

168

### 169 **Sex differences in serum sphingomyelin were diminished in COPD patients**

170 Lipidomics analysis using sex as a covariate revealed 24 differentially expressed lipids between  
171 all COPD and CON (one-way ANOVA) (**Figure 2A**;  $FDR < 0.05$ ;  $d = 0.36-1.31$ ), of which 19  
172 were sphingomyelins. *Post hoc* analysis showed that this effect was driven by a significant  
173 difference between males and females in the CON group (see **Table SDC 1**, for a list of metabolites  
174 and differences). In CON, sphingomyelin concentrations were generally greater in females than  
175 males, and 38 sphingomyelin species were identified significantly greater in females than in males  
176 (**Figure 2B**;  $FDR < 0.05$ ;  $d = 0.58-1.32$ ; **Table SDC 2**, for a list of sphingomyelins that were



177 significantly different between males and females in CON). Conversely, in COPD, only 4  
178 sphingomyelins were significantly greater in females than males (**Figure 2C**; FDR < 0.05; d =  
179 1.00-1.35; see **Table SDC 3**, for a list of sphingomyelins that were significantly different between  
180 males and females in COPD). These data indicate that the anticipated differences in sphingomyelin  
181 concentrations between the sexes were diminished in COPD patients.

182

### 183 **Fatty acid metabolites were increased in severe COPD patients**

184 Prime metabolomics and lipidomics analysis identified 129 prime metabolites and 470 lipids with  
185 known identity in the serum of study participants. Metabolite concentrations were not significantly  
186 different between COPD and CON. However, several metabolites, predominantly fatty acids, were  
187 differentially expressed in severe COPD (FEV<sub>1</sub> < 50 %predicted; n = 16) compared with CON. In  
188 lipidomics analysis, total fatty acid concentration was significantly greater in severe COPD than  
189 in CON (**Figure 3A**; *P* < 0.05; d = 1.02). This was predominantly due to 4 fatty acids that were  
190 significantly greater in severe COPD than in CON (**Figure 3B**; FDR < 0.05; d = 0.83-0.89). In the  
191 prime metabolites, the concentrations of 7 fatty acids were significantly greater in severe COPD  
192 than in CON (**Figure 3C**; FDR < 0.05; d = 0.59-1.02).

193

### 194 **Acylglycerides were negatively associated with muscle oxidative capacity in severe COPD**

195 Spearman correlation analysis was employed to identify whether metabolite concentrations were  
196 associated with the m $\dot{V}O_2$  recovery rate constant (*k*) and/or physical activity. All individual  
197 diacylglyceride (DG) and triacylglyceride (TG) metabolites had negative correlation with muscle  
198 oxidative capacity after adjusting for FEV<sub>1</sub> %predicted (which incorporates adjustment for age,  
199 sex, race and height (18)). There were no significant associations between TG or DG with age,  
200 BMI, resting systolic or diastolic blood pressure, current smoking status, smoking history, FEV<sub>1</sub>  
201 %predicted, incidence of diabetes or hypertension, steps/day or VMU/min. Overall, 7 out of 8 DG

202 and 48 out of 102 TG were nominally negatively associated with muscle oxidative capacity in  
203 severe COPD (**Figure 4A**,  $P < 0.05$ ;  $n = 14$ ).

204  
205 Next, lipids were grouped into 17 classes based on their characteristics, and partial correlations  
206 were re-assessed. Following adjustment for FEV<sub>1</sub> %predicted and correcting for FDR, we found  
207 that muscle oxidative capacity was negatively correlated with diacylglyceride concentration ( $\rho =$   
208  $-0.7447$ ; FDR = 0.03) and triacylglyceride concentration ( $\rho = -0.8118$ ; FDR = 0.01) in severe  
209 COPD patients ( $n = 14$ ), but not in CON ( $n = 56$ ). Neither daily steps nor physical activity were  
210 significantly associated in partial correlation with the concentrations of any metabolite group  
211 (**Figure 4B**). Adjustment of the partial correlation analysis using DL<sub>CO</sub> (a slightly stronger  
212 correlate of grouped metabolites than FEV<sub>1</sub> %predicted), did not change the significant correlation  
213 between  $k$  and DG ( $\rho = -0.7544$ ; FDR = 0.02) or TG ( $\rho = -0.8116$ ; FDR = 0.01) in the severe  
214 COPD group ( $n = 14$ ). Although there was no significant association between BMI and DG or TG,  
215 we also sought to adjust for BMI due to its potential association with hyperlipidemia. This  
216 adjustment did not substantively affect the correlation between  $k$  and TG ( $\rho = -0.7579$ ; FDR =  
217 0.04), although the correlation was weakened between  $k$  and DG ( $\rho = -0.6746$ ; FDR = 0.09). There  
218 remained no association between any lipid metabolite group and any measure of physical activity  
219 after adjustment for covariates.

220

## 221 **DISCUSSION**

222 In this study, we conducted both prime metabolomic and lipidomics analyses in COPD patients  
223 and controls, to identify whether serum metabolites were associated with physical activity and/or  
224 muscle mitochondrial oxidative capacity. We observed: 1) 24 lipids, including 19 sphingomyelins,  
225 were differentially expressed in COPD with sex as covariant; 2) sex-dependent differences in  
226 sphingomyelin concentration in controls were diminished in COPD patients; 3) severe COPD  
227 patients ( $n = 16$ ) had elevated serum total fatty acids, centered on 8 individual fatty acid

228 metabolites; and, 4) serum concentrations of di- and tri-acylglycerides were negatively associated  
229 with muscle oxidative capacity, and not physical activity, in severe COPD (n = 14). Previous  
230 metabolomics studies of spirometrically-defined COPD reported dysregulation in several serum  
231 metabolite classes (4-6). Here we identify that skeletal muscle deconditioning in the form of  
232 reduced muscle oxidative capacity, common in COPD, may underlie metabolic dysregulation of  
233 di- and tri-acylglycerides in patients with severe pulmonary obstruction.

234

235 Dysregulation of sphingolipid metabolism is common in patients with COPD. In an untargeted  
236 lipidomic analysis of sputum samples, Telenga *et al.* (19) demonstrated that sphingolipids,  
237 including several serum sphingomyelins, were significantly greater in smokers with COPD than  
238 those without COPD. Thirteen individual serum lipid metabolites, including one sphingomyelin,  
239 showed strong negative association with FEV<sub>1</sub> and inflammation in sputum. Telenga *et al.* also  
240 found that two months of smoking cessation reduced concentration of 26 sphingomyelins in both  
241 groups (19). Others have demonstrated a significant negative association between sphingomyelin  
242 metabolites and emphysema from chest CT measurements (5) or COPD exacerbation severity (7).

243

244 Consistent with studies of healthy subjects (20), our data showed greater serum sphingomyelin  
245 concentration in females than in males in our control group, which consisted of current or former  
246 smokers with normal spirometry. We found that this sex difference was diminished in all COPD  
247 patients, suggesting a sex-dependent alteration of sphingomyelin metabolism in COPD.  
248 Intracellular ceramide concentration is regulated by sphingolipid metabolism and is implicated in  
249 cigarette smoking induced mitophagy (7). Sphingolipid metabolism was also associated with  
250 emphysema progression in sub-phenotyping analysis (21). Whether the diminishing sex-  
251 differences in circulating sphingomyelin metabolism underlie the more rapid progression of COPD  
252 observed in women than in men deserves further attention.

253

254 We identified that the serum concentration of total fatty acids, and some individual fatty acids,  
255 were significantly greater in severe COPD (n = 16) than in controls (n = 63). This observation was  
256 in contrast with a small study of COPD (including 10 patients with severe COPD) by Wada *et al.*  
257 where total free fatty acid concentration was significantly lower in COPD than in healthy controls  
258 (22). This discrepancy may reflect the disease stage of the subjects in each study; BMI in the severe  
259 COPD patients in the study of Wada *et al.* was significantly lower than controls, while there was  
260 no difference in BMI between groups in our study.

261  
262 The role of individual circulating fatty acids in the progression of pulmonary, cardiovascular or  
263 metabolic disease in COPD patients is not well studied. For example, increased dietary intake of  
264 fatty acids is associated with greater expiratory flow limitation in COPD patients, while dietary  
265 intake of pentadecylic acid may improve lung function in these patients (23). We found 7  
266 individual fatty acids in prime analysis and 4 in lipidomics that were greater in severe COPD, with  
267 3 individual fatty acids recapitulated in both analytic approaches (myristic acid, palmitoleic acid  
268 and heptadecanoic acid). Myristic acid potentiates palmitic acid-induced lipotoxicity, likely  
269 through mitochondria-related mechanisms (24). Similar to a previous investigation (25), we found  
270 that the monounsaturated fatty acid, palmitoleic acid, was greater in severe COPD; which is  
271 associated with greater high and low density lipoprotein cholesterol (26). On the other hand, lauric  
272 acid was also increased in severe COPD in our prime analysis, which is implicated in potentially  
273 beneficial effects on cholesterol, insulin resistance and inflammation. Given the low mitochondrial  
274 oxidative capacity we found in muscles of severe COPD patients (13), and the known greater odds  
275 of cardiometabolic disease in severe COPD, the differential effects on COPD or COPD  
276 progression of the individual fatty acids identified here deserve further study.

277  
278 Despite variability in the prevalence of hyperlipidemia, subclinical atherosclerosis occurs at a  
279 greater than expected prevalence in COPD, and is associated with more frequent exacerbations

280 (27). Regular physical activity and increased mitochondrial function are associated with lower  
281 blood lipids and triglycerides, and are protective of metabolic and cardiovascular disease (28).  
282 Therefore, identifying whether differences in physical activity and/or mitochondrial function  
283 underlie the observations of lipid metabolite dysregulation in COPD was a major thrust of this  
284 study. Overall, we did not find significant associations between lipid metabolites and either muscle  
285 oxidative capacity or physical activity when considering differences between all COPD patients  
286 and controls. However, there was a significant ceiling effect on these variables, and so we focused  
287 our analyses on severe disease ( $FEV_1 < 50\%$  predicted). In severe COPD, there was a strong  
288 negative association between muscle oxidative capacity and serum di- or tri-acylglycerides ( $\rho$   
289 ranged -0.75 to -0.81;  $n = 14$ ). These associations remained even after adjusting for false discovery  
290 rate, and  $FEV_1$  or  $DL_{CO}$  or BMI. It was striking that physical activity (either steps/day or  
291 VMU/min;  $n = 16$ ) was not significantly associated any serum lipid metabolite or metabolite group  
292 investigated. This distinction is important because it implies that mitochondrial metabolic health,  
293 rather than physical activity *per se*, may be involved in lipid dysregulation in severe COPD.

294

295 Support for this concept is found elsewhere in biology with, for example: a) no reduction in  
296 mortality in mice selectively bred for high lifelong energy expenditure, whereas rats selectively  
297 bred for endurance running capacity begets high muscle oxidative capacity and a ~40% increase  
298 in median lifespan (29); b) while high rates of physical activity are known to reduce all-cause  
299 mortality risk (30), the hazard ratio for mortality in 8,171 male veterans was reduced by ~50%  
300 when stratifying by exercise capacity compared with stratifying for physical activity (31); c) there  
301 was no survival benefit of increasing self-reported physical activity in longitudinal study of 1,270  
302 COPD patients with a median follow-up duration of 17 years (32). On the other hand, it is well  
303 established that exercise training, as part of a pulmonary rehabilitation program, increases muscle  
304 oxidative capacity (33), reduces 1-year hospital readmission (odds ratio vs. usual care = 0.44 (95%  
305 confidence interval 0.21 – 0.91), and potentially reduces 1-year mortality (odd ratio = 0.68 (0.28

306 – 1.67)) (2), without an impact on physical activity (34); d) changes in fat free mass and exercise  
307 capacity (but not physical activity) in COPD are also associated with rapid decline in health status  
308 (35).

309  
310 Metabolic syndrome is prevalent in COPD (36). Previous findings identified that an increase in  
311 circulating triglycerides is a major risk factor for 5-year mortality in COPD patients (37).  
312 Hypertriglyceridemia and systemic inflammation are independent predictors of elevated  
313 plasminogen activator inhibitor-1 in COPD, a major inhibitor of fibrinolysis, associated with  
314 thrombosis, obesity, insulin resistance, dyslipidemia, and premature aging; each prevalent in  
315 COPD (38). Intracellular accumulation of triglycerides and other fatty acids, promote endoplasmic  
316 reticulum stress, mitochondrial uncoupling and oxidative stress, which terminates in inflammation  
317 and cell death (39). Perivascular adipose accumulation seems to trigger atherosclerosis and  
318 hypertension, also prevalent in COPD. The association between circulating triglycerides and  
319 muscle mitochondrial oxidative capacity we identified in severe COPD provides a strong  
320 justification for the role of increasing physical fitness in reducing cardiovascular and metabolic  
321 risk in this patient population. Our proposal is that attempts to redress lipid metabolic deficits in  
322 COPD should not focus on simply diet or activity interventions, but specifically on obtaining the  
323 health-related benefits associated with increasing muscle (and other tissue) mitochondrial  
324 oxidative capacity.

325  
326 There are several limitations to this study. The number of subjects is low, particularly in the severe  
327 COPD group, which limited the statistical power to detect associations between individual lipid  
328 metabolites and muscle oxidative capacity or physical activity. Diet and circadian rhythm are  
329 known to regulate metabolism. Our serum samples were not collected with dietary control or at  
330 the same circadian time range, both of which could influence postprandial lipid profile and  
331 contribute to variation in metabolite concentrations. In addition, increased carbohydrate and fatty

332 acid intake are associated with worse pulmonary function (23). In attempt to mitigate this potential  
333 confounder, our findings remained after adjusting for FEV<sub>1</sub> %predicted. We were not able to  
334 include measurements of adiposity or analysis of systemic markers of inflammation, which could  
335 have contributed to our understanding of lipid dysregulation. The measure of muscle oxidative  
336 capacity we used is non-invasive; nevertheless, it was successful in 92% of participants and we  
337 have demonstrated this method has strong reproducibility in COPD patients (16), while others  
338 have shown good association ( $r = 0.61-0.74$ ) with muscle biopsy (40).

339

340 In conclusion, we observed that 24 lipids, including 19 sphingomyelins, were increased in COPD  
341 with sex as covariant, and that sex-dependent differences in sphingomyelin concentration in  
342 controls were diminished in COPD patients. We also found that severe COPD patients had elevated  
343 serum total fatty acids, which centered on 8 individual fatty acid metabolites. These findings may  
344 in part underlie the more rapid progression of COPD observed in women than in men and the high  
345 prevalence of cardiovascular disease in COPD patients. Lipid dysregulation that was negatively  
346 associated with muscle oxidative capacity ( $\rho$  ranged  $-0.75$  to  $-0.81$ ;  $n = 14$ ), and not physical  
347 activity ( $n = 16$ ); a negative association which remained despite adjustment for FEV<sub>1</sub> %predicted,  
348 DL<sub>CO</sub> or BMI. The strong negative association we identified between di- or tri-acylglycerides and  
349 muscle oxidative capacity, suggests that impaired mitochondrial function may play a role in the  
350 accumulation of serum acylglycerides in severe COPD, and provides a strong rationale for  
351 targeting mitochondrial deficits by exercise training, or other means, to improve outcomes in this  
352 patient population.

353

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363

364 **CONFLICT OF INTEREST**

365 The authors declare no conflict of interest. The authors declare that the results of the study are  
366 presented clearly, honestly, and without fabrication, falsification, or inappropriate data  
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- 482

483 **FIGURE LEGENDS**

484 **Figure 1. Muscle oxidative capacity and physical activity is reduced in severe COPD**

485 **patients compared with controls (CON). (A)** Muscle oxygen consumption recovery rate

486 constant ( $k$ ,  $\text{min}^{-1}$ ), which is linearly proportional to muscle oxidative capacity (CON n=56; ALL

487 COPD n=42; severe COPD n=14). **(B)** Daily steps (CON n=56; ALL COPD n=42; severe COPD

488 n=16). **(C)** Average daily VMU/min (CON n=56; ALL COPD n=42; severe COPD n=16).

489

490 **Figure 2. The sex difference of serum sphingomyelin concentration was diminished in**

491 **COPD patients compared with controls (CON). (A)** ANOVA of lipidomics of COPD patients

492 (n=44) and CON (n=63) with sex as a covariant. Filled red circles indicate metabolites with

493 significant difference among groups. **(B)** Comparison of sphingomyelin (SM) concentration

494 between male and female CON subjects (n=63). **(C)** Comparison of sphingomyelin (SM)

495 concentration between male and female COPD patients (n=44). Filled pink circles indicate

496 metabolites with significant difference between the sexes. Data were corrected for false

497 discovery rate (FDR).

498

499 **Figure 3. Fatty acids were increased in severe COPD patients compared with controls.**

500 Lipid metabolites in severe COPD patients ( $\text{FEV}_1 < 50\%$  predicted, open symbols/open bars)

501 compared with CON (filled symbols/filled bars). **(A)** Total fatty acids were significantly greater

502 in severe COPD patients compared with CON in lipidomics analysis. **(B)** Four fatty individual

503 acids were identified as significantly greater in severe COPD patients in lipidomics analysis. **(C)**

504 Seven fatty acids were identified as significantly greater in severe COPD patients in prime

505 metabolite analysis. Data were corrected for false discovery rate (FDR): \* FDR<0.05; \*\*

506 FDR<0.01; \*\*\* FDR< 0.005; \*\*\*\* FDR<0.001; CON n=63; severe COPD n=16.

507

508 **Figure 4. Diacylglyceride (DG) and triacylglyceride (TG) classes of lipid metabolites were**  
509 **correlated with muscle oxidative capacity in severe COPD. (A)** Spearman correlation analysis  
510 of 470 individual lipid metabolites with muscle oxidative capacity in severe COPD. Individual  
511 metabolites were placed into classes based on their characteristics, shown in panel B. **(B)** Partial  
512 correlation of grouped lipid metabolites with muscle oxidative capacity, daily steps and physical  
513 activity (VMU/min). Data were adjusted for FEV<sub>1</sub> % predicated and corrected for FDR.  
514 Statistically significant associations were identified for DG and TG classes (panel B). DG and  
515 TG regions within the individual metabolite data are highlighted in panel A by horizontal dash. \*  
516 FDR<0.05. Severe COPD n=14-16.

517

518 **LIST OF SUPPLEMENTAL DIGITAL CONTENT (SDC)**

- 519 1. Supplemental methods
- 520 2. Supplemental Table SDC 1
- 521 3. Supplemental Table SDC 2
- 522 4. Supplemental Table SDC 3

**Fig. 1**

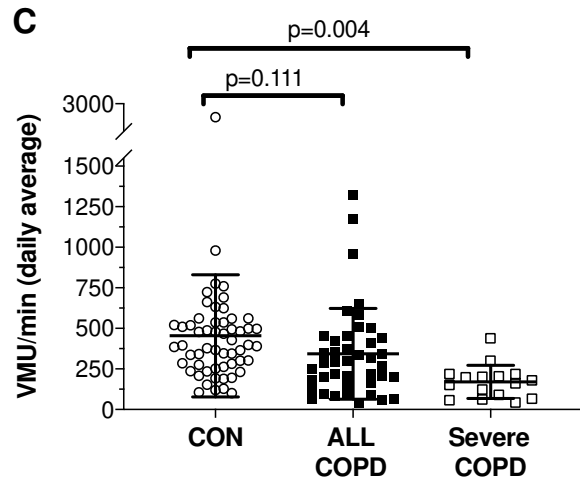
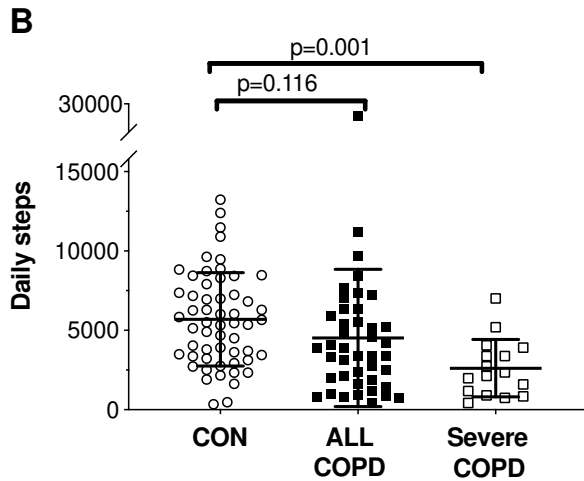
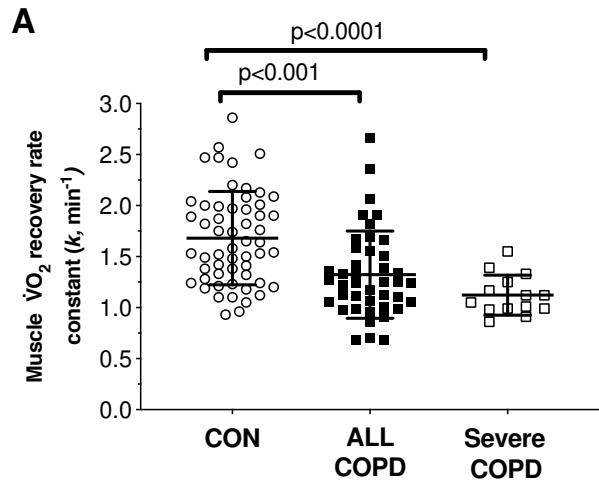
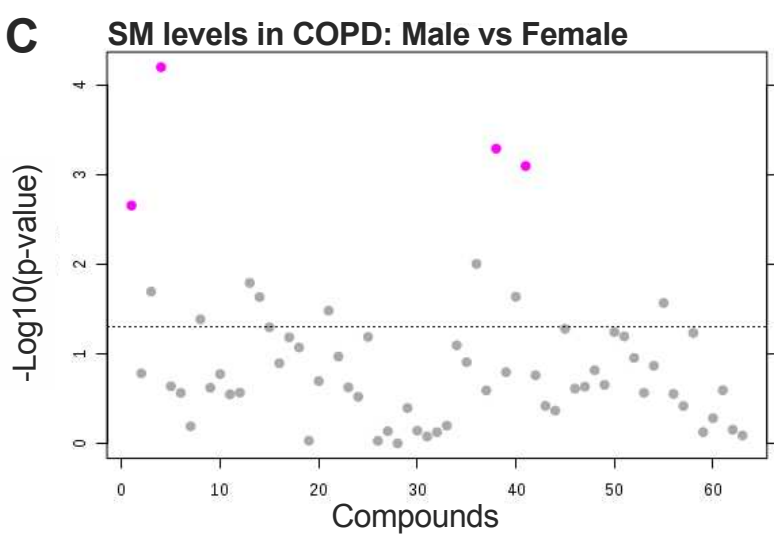
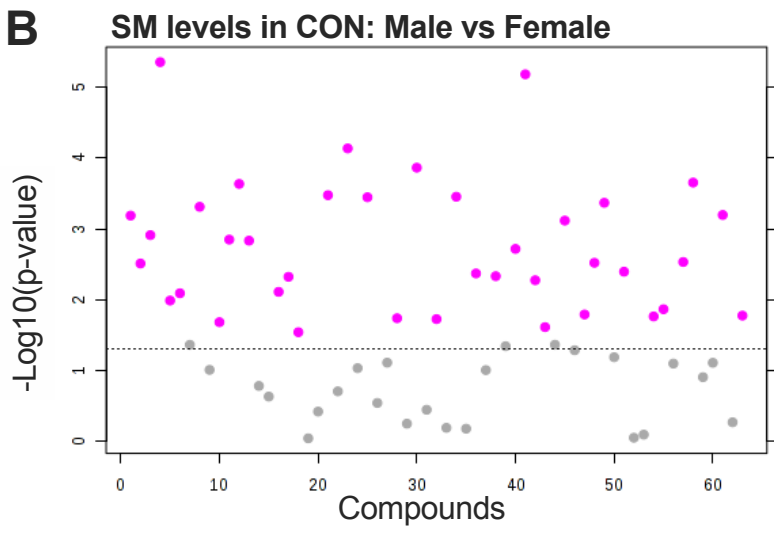
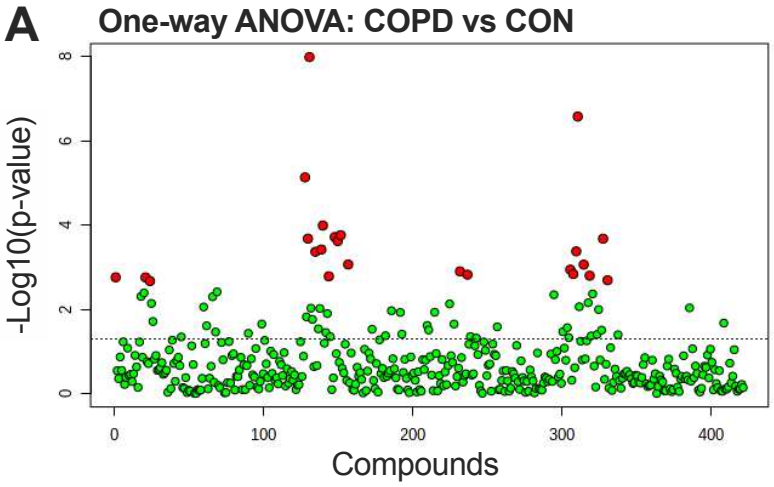


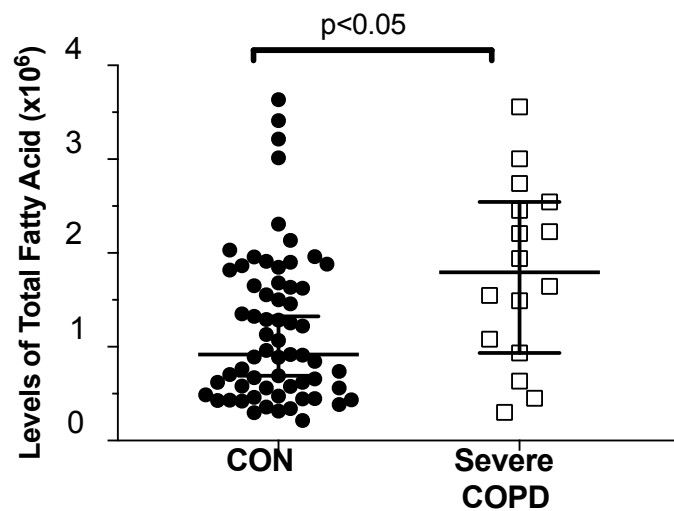


Figure 2

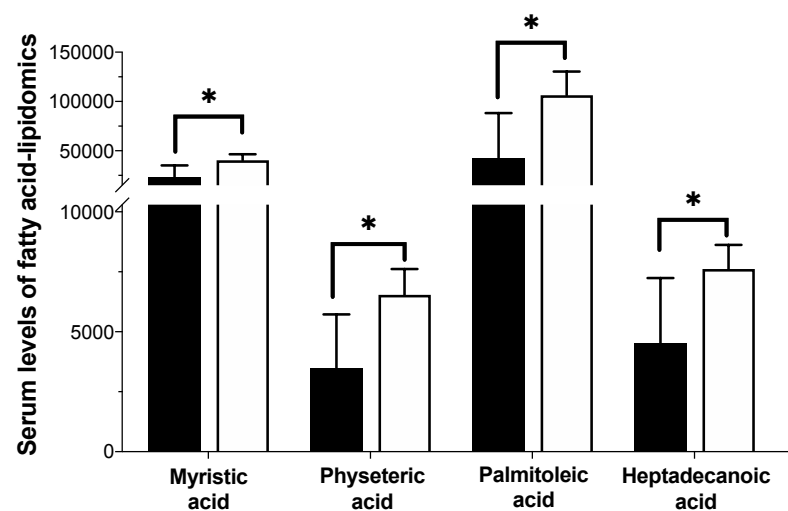


**Figure 3**

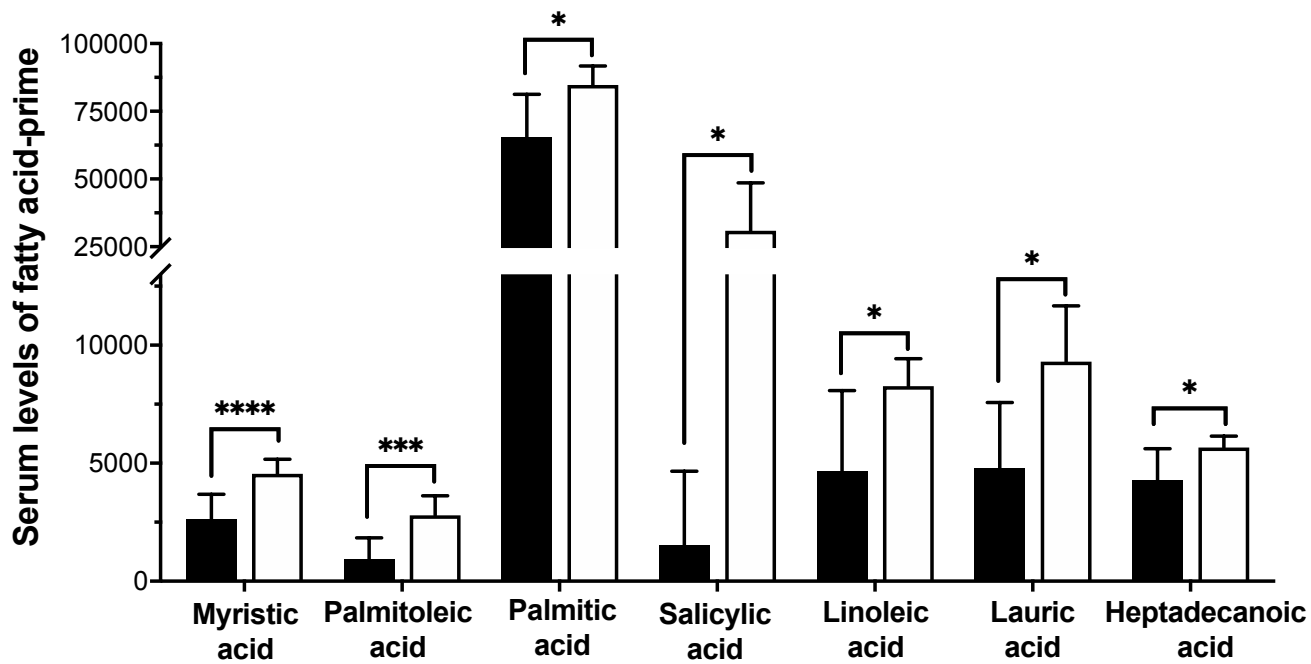
**A**

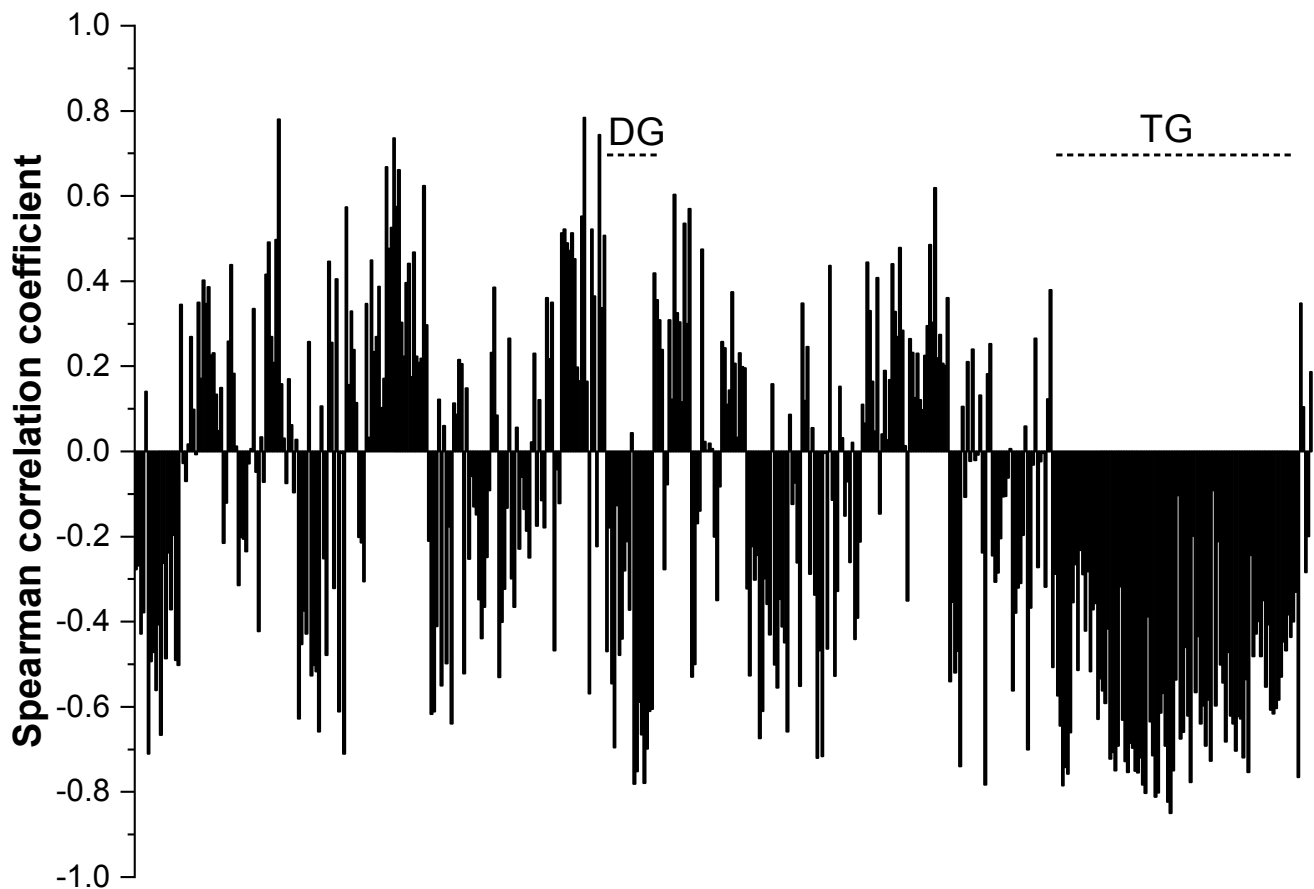
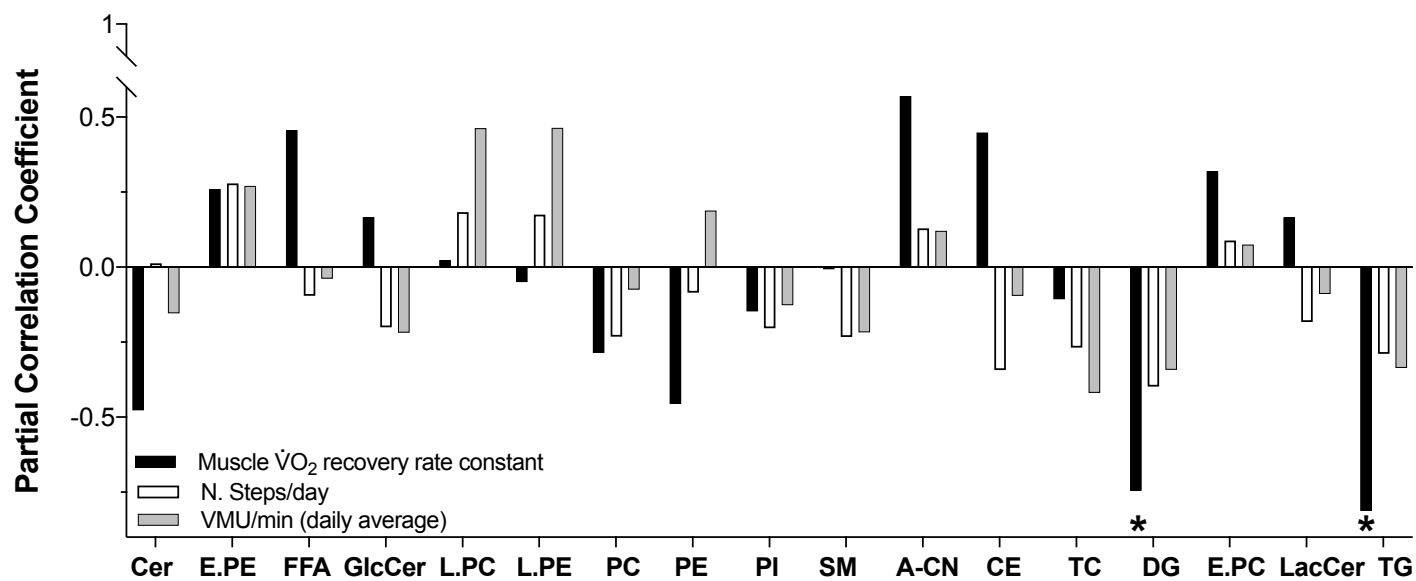


**B**



**C**



**A****B**

Cer, ceramides; E.PE, ether phosphatidyl ethanolamines; FFA, fatty acids; GlcCer, glucosylceramides; L.PC, lysophosphatidyl cholines; L.PE, lysophosphatidyl ethanolamines; PC, phosphatidyl cholines; PE, phosphatidyl ethanolamines; PI, phosphatidyl inositols; SM, sphingomyelins; A-CN, acylcarnitines; CE, cholesterol esters; TC, cholesterol; DG, diacylglycerides; E.PC, ether phosphatidyl cholines; LacCer, lactosylceramides; TG, triacylglycerides

**Table 1.** Participant characteristics.

	<b>Unit</b>	<b>CON</b>	<b>ALL COPD</b>	<b>Severe COPD</b>	<b>p value</b> ALL COPD vs CON	<b>p value</b> Severe COPD vs CON
<b>Number of Subjects</b>	n	63	44	16	-	-
<b>GOLD 1/2/3/4</b>	n	0 / 0 / 0 / 0	14 / 14 / 9 / 7	9 / 7	-	-
<b>Age</b>	years	61.2 ± 1.3	65.6 ± 1.4	66.6 ± 1.6	0.039	0.086
<b>Sex, M / F</b>	n	29 / 34	21 / 23	6 / 10	0.981	0.786
<b>Race, NHW / AA</b>	n	21 / 42	30 / 14	13 / 3	<0.0001	<0.001
<b>Weight</b>	kg	85.3 ± 2.7	79.2 ± 2.6	78.1 ± 4.7	0.209	0.330
<b>BMI</b>	kg/m <sup>2</sup>	29.8 ± 0.9	28.2 ± 0.9	29.2 ± 1.8	0.405	0.941
<b>Smoking history</b>	pack-years	39.2 ± 2.6	46.5 ± 3.6	47.0 ± 6.4	0.187	0.372
<b>Smoking duration</b>	years	35.6 ± 1.3	37.2 ± 1.5	35.4 ± 2.7	0.656	0.997
<b>Current smoker</b>	n (%)	34 (54)	13 (30)	3 (13)	0.020	0.018
<b>FEV<sub>1</sub>/FVC</b>	%	79.6 ± 0.7	52.5 ± 2.4	35.8 ± 3.3	<0.0001	<0.0001
<b>FEV<sub>1</sub> % predicted</b>	%	93.4 ± 2.2	61.4 ± 4.1	31.6 ± 2.9	<0.0001	<0.0001
<b>DL<sub>CO</sub></b>	mL/min/mmHg	75.9 ± 2.2	61.3 ± 3.6	41.8 ± 3.9	0.001	<0.0001
<b>Diabetes</b>	n (%)	13 (21)	4 (9)	1 (6)	0.181	0.265
<b>Hypertension</b>	n (%)	36 (57)	21 (48)	8 (50)	0.557	0.844
<b>SpO<sub>2</sub></b>	%	97.8 ± 2.4	97.3 ± 2.0	96.1 ± 0.7	0.421	0.015

GOLD, global initiative for obstructive lung disease spirometric classification (1, Mild; 2, Moderate; 3, Severe; 4, Very-severe); NHW, non-Hispanic White; AA, African American; BMI, body mass index; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; DL<sub>CO</sub>, diffusing capacity for carbon monoxide; SpO<sub>2</sub>, oxyhemoglobin saturation by pulse oximetry. Spirometric variables are post-bronchodilator values.