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# A new methodology for performing large scale simulations of tsunami generated by deformable submarine slides

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## Abstract

Large tsunamis can be generated by submarine slides, but these events are rare on human timescales and challenging to observe. Experiments and numerical modelling offer methods to understand the mechanisms by which they generate waves and what the potential hazard might be. However, to fully capture the complex waveform generated by a submarine slide, the slide dynamics must also be accurately modelled. It is computationally difficult to model both a three-dimensional submarine slide whilst simultaneously simulating oceanic-scale tsunamis. Past studies have either coupled localised models of the slide generation to oceanic-scale tsunami simulations or simplified the slide dynamics. Here, we present a new methodology of model coupling that generates the wave in the ocean-scale model via boundary-condition coupling of a two-dimensional dynamic slide simulation. We verify our coupling methodology by comparing model results to a previous simulation of a tsunamigenic slide in the Gulf of Mexico. We then examine the effect of slide deformation on the risk posed by hypothetical submarine slides around the UK. We show the deformable

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submarine slide simulations produce larger waves than the solid slide simulations due to the details of acceleration and velocity of the slide, although lateral spreading is not modelled. This work offers a new methodology for simulating oceanic-scale tsunamis caused by submarine slides using the output of a two-dimensional, multi-material simulation as input into a three-dimensional ocean model. This facilitates future exploration of the tsunami risk posed by tsunamigenic submarine slides that affect coastlines not normally prone to tsunamis.

*Keywords:*

Submarine Slide, Tsunami, Numerical Modelling, Landslides,

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## 1. Introduction

Tsunamigenic submarine slides are rare on human timescales and are difficult to monitor or directly observe because it is not possible to predict their occurrence. Therefore, experiments and numerical modelling are important for understanding the submarine slide dynamics, failure, tsunamigenic potential, and forecasting the characteristics of the generated tsunami (Masson et al., 2006; Harbitz et al., 2014). Laboratory experiments are useful to approximate natural conditions with typical materials, however numerical modelling is the only way to simulate events at real scale and with complete and complex geometry and bathymetry (Bornhold and Thomson, 2012). This is essential to assess the potential hazard posed by such events.

The passive Atlantic margin is the source of a number of geologically recent submarine slides, the largest of which was the Storegga Slide, which occurred offshore Norway approximately 8.2 ka (Bugge et al., 1988; Dawson et al., 1988; Smith et al., 2004; Bondevik et al., 2005a; Wagner et al., 2007) with an estimated slide volume of 2400–3200 km<sup>3</sup> (De Blasio et al., 2005). Deposits from the resulting tsunami indicate vertical run-ups of over 20 m on the Shetlands Islands and Norwegian coast

17 (Bondevik et al., 2005a,b; Dawson et al., 1988; Smith et al., 2004; Wagner et al.,  
18 2007). Storegga is the most recent of a series of large submarine slides that have  
19 occurred in this area of the Nordic Seas throughout geological history (Laberg et al.,  
20 2002a,b; Bryn et al., 2003; Solheim et al., 2005). There is some debate over the  
21 recurrence interval, however, the most recent studies suggest six very large slides  
22 occurred in the last 20 ka, which indicates a recurrence interval of 3–4 ka for the area  
23 (Talling, 2013). Furthermore, not all slides on the Norwegian and UK margins may  
24 have also initiated tsunami, depending on the size, depth, speed and acceleration of  
25 slide blocks.

26 Studies of submarine slide tsunami often break the process down into four parts:  
27 1) the dynamics of the submarine slide, 2) the wave generation, 3) the wave propaga-  
28 tion and 4) the tsunami wave inundation/run-up at coastlines. Numerical modelling  
29 of large-scale submarine slide generated tsunami from the initiation of submarine  
30 slide motion and wave generation, through to wave propagation and inundation in  
31 three dimensions, is computationally challenging, owing to the large slide dimensions  
32 and long run-out distances. Furthermore, within the large computational domains  
33 required, many aspects must be modelled at high resolution, such as the slide mo-  
34 tion and the coastlines. Therefore, numerical simulations have tended to rely on  
35 simplifications to make the problem more tractable.

36 Many studies have simplified steps (1)–(3) by modelling the slide as a rigid block  
37 with prescribed motion, and employing the shallow-water approximation (e.g. Har-  
38 bitz 1992; Ma et al. 2012 and Hill et al. 2014). However, rigid block models do not  
39 account for deformation of the slide and incorporate profiles for slide velocity and  
40 acceleration that must be estimated. Since several studies have shown that sub-  
41 marine slide acceleration and velocity are key parameters in determining resulting  
42 wave characteristics (Harbitz, 1992; Harbitz et al., 2014; Løvholt et al., 2015), this

43 suggests that accurate representation of the slide dynamics is imperative to achieve  
44 accurate wave heights in simulations. The shallow water (long-wave) approximation  
45 relies on the assumption that the horizontal scale of the wave motion is consid-  
46 erably larger than the local water depth or vertical scale (Harbitz, 1992; Jiang and  
47 LeBlond, 1992, 1993; Thomson et al., 2001; Fine et al., 1998, 2005; Assier-Radkiewicz  
48 et al., 2000; Yavari-Ramshe and Ataie-Ashtiani, 2015). Shallow water models become  
49 increasingly less appropriate in increasing water depths and decreasing water wave-  
50 lengths, as dispersion becomes more important (Bornhold and Thomson, 2012) and  
51 this approximation neglects frequency dispersion and vertical velocity/acceleration.  
52 Whilst this approximation is generally appropriate for seismogenic tsunami, it may  
53 not be appropriate for submarine slide generated waves, which often have shorter  
54 wavelengths (Glimsdal et al., 2013; Løvholt et al., 2015).

55 Some numerical studies have modelled deformation of submarine slides. In order  
56 to model the slide deformation, many of these studies are restricted in terms of  
57 domain size, scale or consider an approximation to the full Navier–Stokes equations.  
58 Studies that model the slide as a Newtonian, viscous fluid but were restricted to  
59 lab scale are Assier-Rzadkiewicz et al. (1997) and Abadie et al. (2010). Fine et al.  
60 (2005) and Assier-Radkiewicz et al. (2000) employ similar slide models but rely on  
61 the shallow water approximation in order to model a full-scale slide. Some studies  
62 have also used a Bingham rheology for the slide, a non-Newtonian fluid where the  
63 deformation is dependant on stress. Examples of this at the laboratory scale include  
64 Assier-Rzadkiewicz et al. (1997) and Gauer et al. (2005). Jiang and LeBlond (1993)  
65 and Gauer et al. (2006) use a similar rheological model over a large domain, but  
66 applying the shallow water approximation. Ma et al. (2013) modelled the slides as  
67 a water–sediment mixture and Capone et al. (2010), Ataie-Ashtiani and Shobeyri  
68 (2008) and Snelling et al. (2020) used Smoothed Particle Hydrodynamics (SPH) to

69 recreate laboratory experiments. Lee and Huang (2018) and Yu and Lee (2019) used  
70 a multi-phase flow model to simulate underwater landslides and wave generation.  
71 Many of the domains considered in these studies are restricted to a small area due to  
72 the high-resolution required to capture the dynamics of the slide. In order to simulate  
73 the tsunami propagation a second model has to be coupled to the slide model or the  
74 spatial resolution is too low to capture detailed dynamics in the waveform generated  
75 by the slide motion.

76 In reality, submarine slides deform with complex rheology and flow (Grilli and  
77 Watts, 2005; Løvholt et al., 2015). Simulating the slide dynamically, including its  
78 interaction with the water, internal deformation and drag, ensures a more accurate  
79 description of slide acceleration and velocity, but adds substantial complexity and  
80 computational expense. The importance of realistic slide dynamics (i.e. acceleration  
81 and maximum velocity) and internal deformation during the wave-generating stage of  
82 slide motion motivates the choices of numerical modelling approach used in this work.  
83 While approximations to the full Navier-Stokes equations are often valid, in order  
84 to investigate fully the effects and importance of slide dynamics and deformability  
85 on wave generation, the use of full Navier-Stokes models allows vertical acceleration  
86 to be considered and provides a more complete representation than shallow water  
87 models, particularly for relatively small slides (Watts et al., 2003; Abadie et al., 2012;  
88 Glimsdal et al., 2013; Horrillo et al., 2013).

89 Fluidity is a computational fluid dynamics framework that allows for the nu-  
90 merical solution of several equation sets in three dimensions (Piggott et al., 2008;  
91 AMCG, 2014). Fluidity has previously been used in two dimensions to model de-  
92 formable submarine slides and accurately represent slide, water (and air) to simulate  
93 the generation of tsunami waves (Smith et al., 2016). The approaches in Smith et al.  
94 (2016) explicitly modelled the submarine slides (as Newtonian viscous fluids) and

95 therefore helped to improve understanding of the submarine slide failure process and  
96 the forces that act upon the slide and water. However, the methods are computation-  
97 ally expensive to run owing to the modelling of multiple materials and requirement  
98 of high resolution meshes to resolve the complex and small-scale slide dynamics and  
99 the coupling to wave generation. The application of mesh adaptivity was able to  
100 reduce the computational expense, but the ability to apply this to much larger, and  
101 three-dimensional, computational domains is still restricted. Therefore previous work  
102 only considered two-dimensional, vertical slice domains over the tsunami generation  
103 region (Smith et al., 2016). To fully quantify the importance of slide deformation  
104 and dynamics for a hazard assessment, it is important to study wave generation and  
105 propagation in three dimensions to allow consideration of geometric spreading, wave  
106 interaction with the coastlines, the effect of the direction of slide failure and wave  
107 inundation. Extending multi-material approaches to significantly larger domains,  
108 whilst maintaining the high resolution and number of materials would require an  
109 increase in computational cost that is not currently practical. Therefore other ap-  
110 proaches that are less computationally demanding are required.

111 A new, computationally efficient approach for modelling submarine slide tsunami  
112 is presented here that accounts for slide dynamics and deformation, and wave gener-  
113 ation and propagation, in three dimensions using Fluidity (Piggott et al., 2008). The  
114 motion of the submarine slide is incorporated via a prescribed boundary condition  
115 applied on the sea floor of the computational domain (e.g. Hill et al. 2014). This  
116 mimics the effect of the submarine slide motion on the water column and allows  
117 the number of materials that are modelled to be reduced by omitting the submarine  
118 slide and modelling only the water. Consequently, the requirement for high vertical  
119 resolution is removed and thus computational expense is reduced significantly. As a  
120 result, the model can be applied over an increased area, and in three dimensions, to

121 model the generation and propagation of the wave towards coastlines. This approach  
122 is referred to as the Single Material (SM) method. Previously, such approaches have  
123 assumed a rigid slide body (that cannot fully account for all the forces acting upon  
124 a submarine slide that will in turn affect wave generation) and a simplified, idealised  
125 acceleration and deceleration profile. This approach is similar to that used by Fine  
126 et al. (2005), Ma et al. (2012) and Harbitz (1992) but is novel in that the full Navier-  
127 Stokes equations are used instead of the shallow water approximation, and differs  
128 from Harbitz (1992) where the free surface height is altered in the shallow water  
129 equations. We use three different numerical approaches to model submarine slide  
130 tsunami, within the same framework. This has allowed for comparison of approaches  
131 without the complication of separate models and an understanding of the limitations  
132 and advantages of each method. These three-dimensional modelling techniques are  
133 then applied to advance understanding of the coastal hazard from submarine slide  
134 tsunami.

### 135 *1.1. Outline*

136 In this work, the output (change in position and thickness of a slide) of a two-  
137 material simulation (MM2FS, Smith et al. 2016), is extracted and used as a boundary  
138 condition for the single-material (SM) simulation. The coupling of these models  
139 forms an approach termed Single Material, Deformable Slide, Simulated Velocity  
140 (SM-DS-SV). Another approach uses a rigid slide with a velocity profile (SM-RS-EV:  
141 Single Material, Rigid Slide, Estimated Velocity) that is estimated using a simple  
142 momentum balance on an inclined slope that is representative of the slope on which  
143 the slides lies (Harbitz, 1992). A further approach assigns a velocity profile to the  
144 rigid slide that is based on the motion of the centre of mass of the slide in an  
145 MM2FS simulation. This is a ‘hybrid’ approach between a rigid slide with a synthetic,



146 estimated velocity profile (SM-RS-EV) and a simulation that attempts to account  
147 for more realistic slide dynamics and deformation using information extracted from  
148 simulations that model the slide as a fluid. This approach is termed Single Material,  
149 Rigid Slide, Simulated Velocity (SM-RS-SV). By comparing the waves generated by  
150 the SM-RS-SV approach with the SM-DS-SV approach, the effect and importance  
151 of slide deformation for wave generation can be isolated from the importance of slide  
152 velocity and acceleration. Waves produced by these three methods are also compared  
153 to waves produced by a rigid slide of equal volume moving with a prescribed velocity  
154 profile, using a method similar to Harbitz (1992); Ma et al. (2012); Hill et al. (2014).

155 These approaches are first applied to a hypothetical submarine slide scenario in  
156 the Gulf of Mexico (first modelled in two and three dimensions in Horrillo et al. (2013)  
157 and in two dimensions in Smith et al. (2016)) and is now extended to three dimensions  
158 using Fluidity and the SM-RS-EV, SM-RS-SV and SM-DS-SV approaches to verify  
159 correct implementation of the model. We then show the effect modelling deformation  
160 of the tsunamigenic slide has on tsunami risk from two hypothetical slides offshore  
161 of the UK.

## 162 **2. Methods**

### 163 *2.1. Fluidity*

Fluidity is an open source, general purpose, computational fluid dynamics, frame-  
work (Piggott et al., 2008). The flexible finite-element/control-volume discretisation  
approach, allows for the numerical solution of several equation sets (Piggott et al.,  
2008). It has been used in a number of fluid flow studies, ranging from laboratory to  
ocean-scale (e.g. Wells et al., 2010; Hill et al., 2012; Hiester et al., 2011; Parkinson  
et al., 2014). In an ocean modelling context, Fluidity has been used to model both

modern and ancient earthquake-generated tsunami (Oishi et al., 2013; Mitchell et al., 2010; Shaw et al., 2008), and tsunami generated by three-dimensional rigid-block submarine slides with prescribed motion, in a study of the ancient Storegga Slide (Hill et al., 2014). Fluidity uses unstructured meshes, which can be multiscale but fixed, or fully dynamically adaptive. Multiscale meshes have spatially varying resolution, which can vary by orders of magnitude (Piggott et al., 2008). This enables complex coastlines and bathymetry to be accurately represented without “staircase” effects (Wells et al., 2005). The reduction in computational expense by using multiscale or adaptive meshes may allow for the simulation of wave generation and propagation of slides that are larger than it has previously been possible to model. Here, the non-hydrostatic incompressible Navier-Stokes equations under the Boussinesq approximation are solved in a rotating reference frame:

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + 2\boldsymbol{\Omega} \times \mathbf{u} = -\nabla \left( \frac{p}{\rho} \right) + \nabla \cdot (\boldsymbol{\nu} \nabla \mathbf{u}) - g\mathbf{k}, \quad (1a)$$

$$\nabla \cdot \mathbf{u} = 0, \quad (1b)$$

164 where  $\mathbf{u}$  is the 3D velocity vector,  $t$  represents time,  $p$  is pressure,  $\boldsymbol{\nu}$  is the kinematic  
 165 viscosity tensor and  $\rho$  denotes the density, which is constant in this work.  $\boldsymbol{\Omega}$  is the  
 166 rotational velocity of the Earth and  $g$  is the gravitational acceleration with  $\mathbf{k}$  pointing  
 167 in the radial, upward direction. The seabed boundary condition is then dictated by  
 168 the methodology used.

## 169 2.2. MM2FS: Two-material model: viscous slide and water, with a free surface

170 The MM2FS approach is one of a number of approaches for modelling submarine  
 171 slide tsunami generation introduced in Smith et al. (2016). Two materials (slide and  
 172 water) are modelled as viscous fluids and described using volume fraction fields with  
 173 different densities and viscosities. The slide is simulated in two-dimensions along the  
 174 vertical plane in which the slide travels.

175 For incompressible flows with variable density, as in the case of multiple materials,  
 176 an additional equation is required to close the system, we refer to this as the equation  
 177 of state. In the approach used here, this equation relates the bulk density to the  
 178 volume fractions of materials in the problem, along with the associated material  
 179 properties.

180 A volume fraction field,  $\varphi_i$ , is used to describe the location of different materials.  
 181 In MM2FS  $n_\varphi = 2$ ,  $\varphi_i$  varies in  $[0, 1]$  and should sum to unity everywhere:

$$\sum_{i=1}^{n_\varphi} \varphi_i = 1. \quad (2)$$

182 Since, from (2), one of the volume fraction fields (here always water) can be  
 183 recovered from the others using

$$\varphi_{n_\varphi} = 1 - \sum_{i=1}^{n_\varphi-1} \varphi_i, \quad (3)$$

184  $n_\varphi - 1$  advection equations of the form

$$\frac{\partial \varphi_i}{\partial t} + \mathbf{u} \cdot \nabla \varphi_i = 0, \quad (4)$$

185 need to be solved for the landslide.

186 In MM2FS, only one volume fraction is required, therefore only the landslide  
 187 is tracked using Equation (4), while the location of the water is recovered using  
 188 Equation (3). The bulk density and viscosity used in Equation (1a) is recovered  
 189 from the volume fractions using:

$$\rho = \sum_{i=1}^{n_\varphi} \varphi_i \rho_i, \quad \mu = \sum_{i=1}^{n_\varphi} \varphi_i \mu_i, \quad (5)$$

190 where  $\rho_i$  and  $\mu_i$  represent the constituent densities and viscosities of the individual  
 191 materials. This method is similar to the VoF method used in TSUNAMI3D (Horrillo  
 192 et al., 2013) and OpenFoam (Abadie et al., 2010). For more details of this model see  
 193 Smith et al. (2016) and Smith (2017).

194 *2.3. SM: Single material and prescribed velocity boundary condition*

195 Submarine slide failure leads to water displacement. In this approach the total  
196 water displacement is determined by the change in slide thickness along the ocean  
197 floor caused by the slide movement. This water displacement is imposed as a normal  
198 velocity Dirichlet boundary condition on the ocean floor, inducing a change in the  
199 normal velocity, and is calculated as:

$$(\mathbf{u} \cdot \mathbf{n})^D = \frac{[h_s(x - x_s(t - \Delta t), y - y_s(t - \Delta t))] - [h_s(x - x_s(t), y - y_s(t))]}{\Delta t} \quad (6)$$

200 where  $\Delta t$  is the timestep of the model, and  $\mathbf{n}$  is the outward unit normal. The  
201 parameters  $x_s$  and  $y_s$  are the horizontal coordinates and  $h_s$  is slide thickness. The  
202 velocity vector is approximated using a linear discontinuous Galerkin approximation  
203 (P1DG), whilst a quadratic continuous (P2) approximation is used for pressure.  
204 Further details of the numerics may be found in Hill et al. (2014).

205 Fluidity is parallelised and this methodology is applied in all three single ma-  
206 terial approaches (SM-RS-EV, SM-RS-SV and SM-DS-SV) irrespective of a solid  
207 or deforming slide. Hill et al. (2014) tested Fluidity’s SM-RS-EV approach against  
208 Haugen et al. (2005) in two dimensions and achieved good agreement between the  
209 two models for a rigid slide. Three approaches to model the slide dynamics are  
210 detailed below and summarised in Table 1.

211 *2.3.1. SM-DS-SV approach: Single material, deformable slide, simulated velocity*

212 The following work-flow is undertaken to move from two-dimensional multima-  
213 terial, multilayer simulations to three-dimensional, single material, single layer sim-  
214 ulations:

- 215 1. Run two-dimensional MM2FS simulation.

- 216 2. Extract from MM2FS the geometry/thickness and position of the slide, as a  
217 function of distance, through time.
- 218 3. Use a low pass filter to smooth high-frequency fluctuations in the slide thickness  
219 profile
- 220 4. Calculate the change in thickness of the slide,  $h_s$ , for every column of nodes in  
221 the mesh. between the current timestep and the previous timestep to give a  
222 velocity ( $dv = dh/dt$ )
- 223 5. Apply this velocity as boundary condition at the sea floor, in the local nor-  
224 mal direction, in a simulation with reduced vertical resolution. The velocity  
225 boundary condition is applied perpendicular to the slide transect, to a distance  
226 of half the width of the slide either side.

227 Step (3) is required to remove any effect of the mesh on the shape of the submarine  
228 slide by filtering out high-frequency fluctuations (discussed in Smith et al., 2016  
229 and Horrillo et al., 2013). These fluctuations are caused by the sharp gradient in  
230 density and velocity at the slide surface. The parameters of the low pass filter were  
231 chosen so that the overall shape of the slide is preserved, but minor mesh-scale noise  
232 (occurring on scales  $<100$  m, the horizontal resolution of the MM2FS simulation)  
233 in slide thickness is smoothed. This step ensures that when  $dh/dt$  is calculated  
234 the mesh-scale changes are smoothed out and are negligible compared to the long  
235 wavelength change in shape of the slide and does not result in ‘pulses’ in which could  
236 lead to a ‘noisy’ boundary condition. Furthermore, the resolution in the slide region  
237 of the SM–DS–SV simulation is coarser than the resolution in the MM2FS simulation  
238 and therefore high frequency noise at this scale could not be accurately reproduced  
239 on the coarser mesh.

240 *2.3.2. SM-RS-SV approach: Single material, rigid slide, simulated velocity*

- 241 1. Run two-dimensional MM2FS simulation.
- 242 2. Extract the displacement of the slide’s centre of mass from the MM2FS simu-  
243 lation.
- 244 3. Calculate the velocity profile of the slide’s centre of mass using the displacement  
245 extracted in (2) and the timestep.
- 246 4. Prescribe the motion of a rigid slide, with fixed and constant slide thickness  
247 using a choice of one of these two velocities.

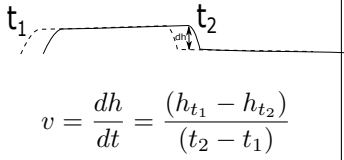
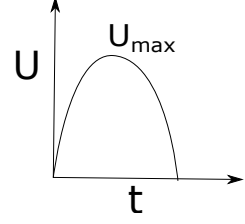
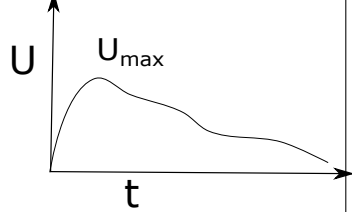
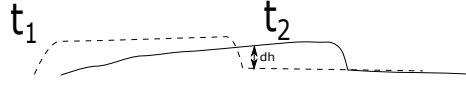
248 *2.3.3. SM-RS-EV approach: Single material, rigid slide, estimated velocity*

249 To estimate a velocity profile for the submarine slides in this work, a force balance  
250 for a submerged submarine slide on a constant slope is used (Harbitz, 1992).

$$u_{term} = \sqrt{\frac{2(\rho_s - \rho_w)gh(\sin\alpha - \mu\cos\alpha)}{C_D\rho_w}}, \quad (7)$$

251 where  $\rho_s$  is the mean slide density,  $\rho_w$  is the density of the water surrounding the  
252 slide,  $h$  is the average slide thickness,  $\alpha$  is the slope angle,  $\mu$  the coefficient of friction  
253 between the slide and the seafloor,  $g$  the acceleration due to gravity and  $C_D$  is the  
254 drag coefficient along the upper surface of the slide. Applying Equation (7) to the  
255 Storegga Slide for reasonable values of  $\mu$  and  $C_D$ , suggests  $u_{term} = 56 \text{ ms}^{-1}$ , however  
256 studies show the maximum slide velocity that gives the best match to observed run-  
257 up heights was about 60% of this,  $35 \text{ ms}^{-1}$  (Bondevik et al., 2005b; Hill et al., 2014).  
258 Therefore the maximum velocity of the slides in this work is taken to be 60% of  $u_{term}$ .  
259 The values for  $\mu$  (0.005) and  $C_D$  (0.0025) are fixed and taken from Hill et al. (2014).  
260  $\rho_w$  and  $\rho_s$  are chosen to match the values in the MM2FS simulations (Smith et al.,  
261 2016),  $1000 \text{ kgm}^{-3}$  and  $2000 \text{ kgm}^{-3}$  respectively. Therefore  $u_{max}$  is solely a function

Table 1: Comparison of SM-RS-EV, SM-RS-SV and SM-DS-SV approaches

	Vertical Velocity/ Shape Change	Horizontal Velocity/ Slide Velocity
<b>SM-RS-EV</b> Single Material, Rigid Slide, Estimated Velocity	 $v = \frac{dh}{dt} = \frac{(h_{t_1} - h_{t_2})}{(t_2 - t_1)}$ <p>For every node in the mesh in MM2FS</p>	
<b>SM-RS-SV</b> Single Material, Rigid Slide, Simulated Velocity	<p>As above</p>	 <p>where the velocity is the centre of mass of the slide from an MM2FS simulation</p>
<b>SM-DS-SV</b> Single Material, Deformable Slide, Simulated Velocity	 <p>Change in shape and location of slide extracted from MM2FS simulation</p>	

262 of the slide volume and the average slope, which is determined between the initial  
 263 start and end depths of the slide. The velocity profile is chosen to be half-sinusoidal  
 264 (in line with Harbitz (1992)), with the period  $T = \frac{\pi}{2} \frac{R}{u_{max}}$ , where  $R$  is the total run-  
 265 out length, selected to be equal to the slide total length,  $R = L + 2S$ , where  $L$  is the  
 266 ‘length’ of the slide,  $S$  the length over which the slide thickness tapers. The total  
 267 run out distance consists of an acceleration phase,  $R_a$  and a deceleration phase,  $R_d$ ,  
 268 whereby  $R_a = R_d = R/2$ . The position of the slide, varies in time according to the  
 269 relationship:

$$0 < t < T \begin{cases} x_s = x_0 + s(t) \cos(\varphi) \\ y_s = y_0 + s(t) \sin(\varphi) \end{cases} \quad (8)$$

270 where  $x_0, y_0$  defines the start location and  $\varphi$  is the angle from the x-axis that the  
 271 slide travels in, Acceleration phase:

$$s(t) = R_a \left[ 1 - \cos \left( \frac{u_{max}}{R_a} t \right) \right], \quad 0 < t < T_a \quad (9)$$

272 Deceleration phase:

$$s(t) = R_a + R_d \left[ \sin \left( \frac{u_{max}}{R_d} (t - T_a - T_c) \right) \right], \quad T_a < t < T. \quad (10)$$

273 The width and height of the resulting half-sinusoid can be adjusted by altering  
 274 the estimated run out distance of the slide and the estimated maximum velocity,  
 275 respectively. Slide dimensions are specific to each scenario and are discussed in  
 276 section 3 and 4. The slide height remains constant as it travels over the bathymetry,  
 277 whereas in the case of a deformable slide, the slide material will move under gravity  
 278 according to local slope and changes in thickness.

#### 279 2.4. Generation of meshes and three-dimensional domains

280 A three-dimensional mesh was generated using QGIS software (QGIS Devel-  
 281 opment Team, 2009), *qmesh* (Avdis et al., 2018) and *Gmsh* (Geuzaine and Remacle,



282 2009). The spatial resolution at the coastlines of interest is 0.5 km, and 1 km at other  
283 coastlines, the resolution is linearly increased to 50 km furthest from the coastline.  
284 In the initial location of the submarine slide the spatial resolution is 2 km within  
285 a 80 km radius of the slide. Higher resolution is specified in shallow regions, and  
286 coarser resolution in regions of deep ocean, by varying the resolution according to the  
287 square-root of the bathymetry and gradient of the bathymetry. This is in order to  
288 capture the reduction in wavelength when tsunami enter shallower water. Combining  
289 these constraints on spatial mesh resolution results in mesh elements that have typ-  
290 ical maximum edge lengths of 35 km. The mesh is composed of triangular elements  
291 across a two-dimensional surface and is extruded down radially to the depth of the  
292 bathymetry, with a single layer of elements, making this similar to a depth averaged  
293 approach (Mitchell et al., 2010; Wells et al., 2010; Hill et al., 2014). A consequence  
294 of this approximation is the requirement for a minimum water depth, here 10 m is  
295 chosen. Multiple layers have been used in Fluidity, to capture dispersion in Oishi  
296 et al. (2013) and in sensitivity tests in Smith et al. (2016) and Smith (2017). Future  
297 work can incorporate multiple layers into the three-dimensional Single Material ap-  
298 proach presented here. Bathymetric data was obtained from the GEBCO 250 (IOC,  
299 2008) dataset. The coastline is represented by the 0 m contour extracted from the  
300 GEBCO 250 dataset (IOC, 2008).

301 On the sea floor of the domain a no-normal flow boundary condition is applied  
302 except where a velocity boundary condition is instead being used to mimic the effect  
303 of the slide on the water during slide motion (Equation 6). A free surface boundary  
304 condition (see Smith et al. (2016)) is applied to the upper surface of the domain,  
305 but without movement of the mesh. The coastlines have a free-slip, no-normal flow  
306 boundary condition, which prevent inundation and reflect incoming waves. The  
307 minimum water depth in simulations is 10 m at the coastline, meaning that shoaling

308 at depths less than 10 m, and inundation are not captured in the model. As the waves  
309 are not subject to the final shoaling that occurs, the wave amplitudes reported at  
310 the coastlines will be less than expected wave amplitudes on land. At the open  
311 boundaries surrounding the domain a ‘stress-free’ condition is used that allows the  
312 waves to freely flow out of the domain. The water has a density of  $1000 \text{ kgm}^{-3}$  and  
313 the kinematic viscosity tensor is isotropic and set to  $1 \text{ m}^2\text{s}^{-1}$ . These ‘eddy’ viscosity  
314 values were selected in order to dampen any instabilities at the water surface, whilst  
315 being low enough to have a negligible effect on the overall waveform.

### 316 **3. Model verification: test case in Gulf of Mexico**

#### 317 *3.1. Set-up*

318 The two-dimensional submarine slide scenario in the Gulf of Mexico, that is  
319 considered in Horrillo et al. (2013) and Smith et al. (2016), is here extended into  
320 three dimensions following Horrillo et al. (2013), who used the TSUNAMI3D model.  
321 TSUNAMI3D is a three-dimensional Navier–Stokes model for water and submarine  
322 slide that builds on the classical VoF formulation of Hirt and Nichols (1981) to track  
323 both the water surface and slide interface on a structured grid with a 3rd order fi-  
324 nite difference scheme to solve the incompressible Navier–Stokes system. The VoF  
325 method determines regions containing water and slide material, with corresponding  
326 cell-weighted values of physical properties (density and viscosity) used in the mo-  
327 mentum equation, in a very similar manner to the MM2FS approach employed in  
328 this work. TSUNAMI3D uses a simplified treatment of the free surface: the free sur-  
329 face in each column of cells is treated as horizontal, and consequently, wave breaking  
330 cannot be modelled. The water and slide are modelled as two incompressible, Newto-  
331 nian fluids. For the full-scale tsunami simulations in a vertical two-dimensional slice

332 domain TSUNAMI3D is configured to only employ two cells in the “third” dimen-  
333 sion (Horrillo et al., 2013). This submarine slide is a hypothetical scenario based on  
334 geomorphological evidence for an historic slide of that volume in the same area, with  
335 parameters described in Table 2. The location and direction of failure (heading) of  
336 the slide are shown in Figure 1A and the three-dimensional mesh is shown in Figure  
337 1B.

338 To simulate a three-dimensional simplified rigid slide in this test case, the length  
339 of the slide,  $L$ , and thickness,  $h$ , are kept consistent with the two-dimensional sim-  
340 ulations in Smith et al. (2016) and Horrillo et al. (2013) and the maximum slide  
341 height is then adjusted to give the same cross sectional area. The shape is shown in  
342 Figure 1C. The two-dimensional slide thickness is maintained to a distance of  $\pm B/2$   
343 perpendicular to the transect line, in both directions, where  $B$  is the slide width,  
344 18.1 km (Horrillo et al., 2013). A smoothing factor,  $h_{\max} \exp - (0.3(\frac{y'}{B})^4)$ , is applied  
345 as a function of perpendicular distance,  $y'$ , to the transect line. This smoothing is  
346 in line with Harbitz (1992) and Hill et al. (2014), except the factor of 0.3 which has  
347 been altered from 2.0, to ensure a consistent slide volume with Horrillo et al. (2013)  
348 of  $26.7 \text{ km}^3$  (See Table 2 and Figure 1C). The horizontal axis of the two-dimensional  
349 domain forms the transect through the centre of the slide, along the bearing of slide  
350 failure.

351 The SM-DS-SV approach is modelled in three dimensions using the Boussinesq  
352 set-up in Fluidity, as described in Section 2. For the SM-RS-EV approach, a slope  
353 angle is required in Equation (7) to calculate the estimated velocity profile. The local  
354 continental slope is averaged over the length of the slide and to a run-out distance of  
355 one slide length (Table 2). The acceleration of the slide in the SM-RS-EV approach  
356 is altered to match the acceleration of the slide in Horrillo et al. (2013) (Figure 2).  
357 The timestep for all three approaches modelled in this test case is set at 1 s.

Table 2: Parameters for three-dimensional SM-RS-EV simulation in Gulf of Mexico. Where  $L$  is slide length and  $S$  is the smoothing length described in Section

4.1.						
Long, Lat of headwall	Heading	Slope Angle	Maximum veloc- ity (m/s)	Volume (km <sup>3</sup> )	Slide thick- ness (m)	L,S,Width (km)
95:40:35W, 27:42:59N	168.15°	0.69°	41	26.7	96.65	16,9,4,18

### 358 3.2. Results

359 For each simulation using Fluidity (the SM-RS-EV, SM-RS-SV and SM-DS-SV  
360 approaches) the generated waves are compared to TSUNAMI3D (Horrillo et al., 2013)  
361 at 7 and 10 minutes after slide motion has initiated (Figure 3). At 7 minutes there is  
362 a reduction in the maximum wave amplitude of about 50% in both TSUNAMI3D and  
363 Fluidity’s SM-DS-SV approach in three dimensions compared to the maximum wave  
364 amplitudes the models predict in two dimensions (Horrillo et al., 2013; Smith et al.,  
365 2016), due to geometric/radial spreading, showing the importance of performing  
366 three-dimensional modelling.

367 In three dimensions, there is a good match between the three Fluidity approaches  
368 and TSUNAMI3D (Figure 3). TSUNAMI3D predicts a maximum peak-to-trough  
369 amplitude of 44 m. At this time, the SM-RS-EV and SM-RS-SV approaches produce  
370 almost identical wave forms to each other, predicting a peak-to-trough amplitude of  
371 49 m. The SM-DS-SV approach predicts a smaller peak-to-trough amplitude of 37  
372 m. Although the positive wave height produced by the SM-DS-SV approach is larger  
373 than for the SM-RS approach, the waves generated in the SM-RS approach have  
374 a deeper trough, resulting in a larger peak-to-trough amplitude. TSUNAMI3D’s  
375 peak-to-trough amplitude falls within the range of Fluidity peak-to-trough am-  
376 plitudes (35–49 m). At 7 minutes, the best match to TSUNAMI3D in terms of  
377 maximum and minimum wave amplitude is the SM-DS-SV approach. This is ex-

378 pected as the slide modelled in TSUNAMI3D also deforms, allowing the slide length  
379 to increase. The SM-DS-SV approach generates greater wave amplitudes than the  
380 SM-RS-EV and SM-RS-SV approaches because the deformation of the slide causes  
381 an increase in slide thickness at the front of the slide.

382 At 10 minutes there is still a qualitatively good match between TSUNAMI3D  
383 and all Fluidity approaches. Although Horrillo et al. (2013) do not give quantitative  
384 details of wave heights, the maximum wave amplitude in TSUNAMI3D appears to  
385 be under 20 m (and the minimum wave amplitude greater than -30 m). All Fluidity  
386 approaches produce a maximum wave height of 12.5–13 m. In TSUNAMI3D the  
387 maximum wave height occurred at 7 minutes and by 10 minutes, the wave height  
388 had decreased. In Fluidity maximum wave heights are observed during the slide  
389 acceleration phase at 8 min 28 s, 8 min 32 s, and 7 min 40 s for SM-RS-EV, SM-RS-SV  
390 and SM-DS-SV approaches respectively, which is in agreement with TSUNAMI3D.  
391 Over the course of the simulation, the SM-RS-EV approach predicts a maximum  
392 wave height that is 16 % lower than the maximum wave height that the SM-DS-SV  
393 approach predicts. Compared to TSUNAMI3D all approaches slightly underestimate  
394 the maximum positive wave amplitude, future work could investigate whether this  
395 could be due to the exclusion of the tangential applied stress (skin friction drag) in  
396 these SM approaches in three dimensions.

#### 397 **4. Atlantic Ocean Scenarios**

398 Considering the potential for another tsunamigenic slide in the Norwegian-Greenland  
399 Sea, two hypothetical submarine slide events at the continental margin, west of Scot-  
400 land and Ireland, on the edge of the Atlantic Ocean, were simulated in three dimen-  
401 sions. These locations were identified as having the potential to fail in the future,  
402 based on sedimentological evidence of historic slides and evidence of high sedimen-

403 tation rates. Several other locations in the Norwegian-Greenland Sea have also been  
404 identified as having the potential to fail, but the two scenarios investigated here were  
405 chosen due to their proximity to land. The two slides occur on either side of the  
406 Rockall Trough basin (seen in Figure 4). The Peach Slide Complex is found on the  
407 eastern slope of the trough, on the Barra Fan, and the Rockall Bank slide scenario  
408 occurs on the opposite slope on the trough, on the western side.

409 Submarine slide geometry and motion for the hypothetical scenarios in the Norwegian-  
410 Greenland Sea were estimated using typical dimensions for submarine slides in the  
411 Atlantic Ocean (Hühnerbach and Masson, 2004). Scenario 1, named Rockall Bank,  
412 is based on the occurrence of a past failure on the eastern flank (Roberts, 1972;  
413 Georgiopoulou et al., 2013; Salmanidou et al., 2017). Scenario 2, named Peach Slide,  
414 is located on the Barra-Donegal Fan where the complex shows evidence of about  
415 four separate submarine slide events with slide volumes ranging from 135–673 km<sup>3</sup>  
416 (Holmes et al., 1998). The two slides have motions in approximately opposite direc-  
417 tions. This will allow the effect of slide direction on the waves generated to be estab-  
418 lished. Volumes of historical submarine slides in this area are not well constrained.  
419 Salmanidou et al. (2015) considered slides on the Rockall bank with volumes ranging  
420 from 265-765 km<sup>3</sup>. For both scenarios, failure volumes of 100 km<sup>3</sup> are used and are  
421 considered conservative estimates, not “worse case” scenarios.

#### 422 *4.1. Set-up*

423 The dimensions of the hypothetical slides considered in this section must be  
424 estimated. The rationale for estimating slide dimensions is based on the previous  
425 work of Harbitz (1992), Løvholt et al. (2005) and Hill et al. (2014). In the model,

426 the slide thickness  $h_s$  is defined as:

$$h_s = \begin{cases} h_{\max} \left( \exp - \left( 2 \left( \frac{x'+S+L}{S} \right)^4 \right) - \left( 2 \frac{y'}{B} \right)^4 \right) & \text{for } -(L+2S) < x' < -(L+S) \\ h_{\max} \left( \exp - \left( 2 \frac{y'}{B} \right)^4 \right) & \text{for } -(L+S) \leq x' < -S \\ h_{\max} \left( \exp - \left( 2 \left( \frac{x'+S}{S} \right)^4 \right) - \left( 2 \frac{y'}{B} \right)^4 \right) & \text{for } -S \leq x' < 0 \end{cases} \quad (11)$$

where the slide has dimensions of maximum height,  $h_{\max}$ , length,  $L$ , and width,  $B$ . A smoothing length,  $S$ , is used along the edges of the slide to avoid sharp edges, which give rise to numerical oscillations, as described in Harbitz (1992).  $x'$  and  $y'$  are the transverse and longitudinal coordinates, respectively, on a local plane aligned in the direction of slide motion  $\varphi$ :

$$x' = (x - x_s) \cos \varphi + (y - y_s) \sin \varphi \quad (12a)$$

and

$$y' = (x - x_s) \sin \varphi + (y - y_s) \cos \varphi \quad (13a)$$

427 where  $x_s$  and  $y_s$  are the coordinated of the back of the slide, and  $x$  and  $y$  are the  
428 model coordinates in the Universal Transverse Mercator projection (UTM zone 30N).

Using these slide dimensions,  $h_{\max}$ ,  $L$  and  $B$ , gives a total volume of the slide,  $V$ :

$$V = 0.9Bh_{\max}(L + 0.9S), \quad (\text{Harbitz, 1992}). \quad (14a)$$

429 Values for  $V$ ,  $L$ ,  $S$ ,  $B$  and  $h_{\max}$  are determined by fitting a power law to data  
430 for the Atlantic Ocean collated in Hühnerbach and Masson (2004) and choosing  
431 dimensions that fit the line based on four principles:

- 432 1. a desired slide volume,  $V$
- 433 2.  $S$ , the smoothing/tapering length is defined as  $L/2$

434 3. a relationship between  $V$  and  $B$  determined from Hühnerbach and Masson  
435 (2004):

$$V = 0.0335 \times B^{2.373} \quad (R^2 = 0.9) \quad (15)$$

436 4. a relationship between  $L$  and  $B$  determined from Hühnerbach and Masson  
437 (2004):

$$(L + 2S) = 1.377 \times B^{-1.11} \quad (R^2 = 0.9) \quad (16)$$

438 where  $R^2$  is a measure of how well the line of best fit fits the observational data. The  
439 resulting three-dimensional slide is shown in Figure 4B.

440 The MM2FS approach (Smith et al., 2016) is used to model the Rockall Bank and  
441 Peach slides in two dimensions, modelling the slide and water as viscous fluids. Both  
442 two-dimensional slide geometries are determined from a three-dimensional volume of  
443  $100 \text{ km}^3$ . Fitting a power law to the data for slides the Atlantic Ocean in Hühnerbach  
444 and Masson (2004) and choosing slide dimensions to fit the line, results in a slide  
445 length of 58 km, a maximum slide thickness of 91 m (this agrees with estimated  
446 headscarp heights from Georgiopoulou et al. (2013) of 50–150 m) and a slide width  
447 of 29.1 km (set out in Table 3). Two-dimensional multi-scale meshes are used (Figure  
448 5). The slide shape through time is extracted from MM2FS simulations (Smith et al.,  
449 2016) for use in the SM-DS-SV approach (Figures 6 and 7). The displacement of the  
450 slide’s centre of mass is extracted for the SM-RS-SV approach. For the Rockall Bank  
451 scenario, the SM-DS-SV approach (R1) and SM-RS-SV (R2) approach are applied,  
452 along with two different estimated velocity profiles for the SM-RS-EV approach (R3  
453 and R4). One velocity profile has a maximum velocity of  $74 \text{ ms}^{-1}$  (for constant slope  
454  $2.2^\circ$ , R4) and the other has a maximum velocity of  $29 \text{ ms}^{-1}$  (constant slope  $0.7^\circ$ ,  
455 R3), and consequently a much lower initial acceleration (Figure 8). The maximum



Table 3: Parameters for three dimensional simulations, Atlantic Ocean scenarios. The average slope was used to calculate the maximum velocity of the slide.

Scenario Name	Rockall Bank				Peach
Simulation Name	R1	R2	R3	R4	P1
Fluidity Approach	SM-DS-SV	SM-RS-SV	SM-RS-EV	SM-RS-EV	SM-DS-SV
Volume (km <sup>3</sup> )	100				
Slide thickness (m)	91				
L,S, Width (km)	29, 14.5, 29.1				
Run-out length (km)	58				
Headwall Lon, Lat	13:15:13.86W, 57:10:16.95N			9:08:26.50W, 56:45:10.79N	
Heading (°)	170				340
Average Slope (m/m)	0.7		2.2		0.67
Max Velocity (m/s)	Simulated		29	74	Simulated

456 velocities for these velocity profiles were obtained through extracting bathymetry  
457 data, the range of slopes found on the Rockall Bank (0.7–2.2 depending on the loca-  
458 tion and length of the slope) and the force-balance question in section 2. Comparing  
459 waves generated by slides with different accelerations and maximum velocities allows  
460 the importance of slide deformation and velocity/acceleration to be considered. For  
461 context, the slide in the Gulf of Mexico example has a maximum velocity of approx-  
462 imately 45 ms<sup>-1</sup> (Figure 2). For the Peach slide the SM-DS-SV approach (P1) is  
463 applied for comparison with the Rockall Bank using the same approach (SM-DS-SV)  
464 to investigate the effect of direction on coastal hazard (R1).

465 The meshes for the Rockall Bank and Peach Slide have 151892 and 150257 nodes,  
466 respectively (Figure 9). The timestep for the SM-RS-EV approach is 3 s (R3, R4),  
467 and for the SM-RS-SV approach (R2) and for the SM-DS-SV approach the timestep  
468 is 1 s (R1). For the SM-RS-EV approach (R3, R4) there is a negligible difference  
469 in the resultant waves using a timestep of 1 s and 3 s. However for the SM-DS-SV  
470 (R1, P1) and SM-RS-SV (R2) approaches, a smaller timestep of 1 s is required to  
471 ensure stability due to sharper changes in vertical velocity across shorter length scales  
472 compared to the smoothed rigid slide. Several numerical wave gauges are placed in  
473 the domain to measure the variation of fields (including free surface height and  
474 velocities) at specific geographic locations; e.g., between the slide and the coastlines  
475 of Ireland, Northern Ireland and Scotland (Figure 4), and located radially around  
476 the slide locations and the UK coast.

#### 477 *4.2. Results and Discussion*

478 Simulations were run in parallel, on 48-256 cores, for a total simulation time  
479 of 240 hours. The SM-RS-EV approach (R3, R4) took approximately 4000–4500  
480 CPU hours (no. cores  $\times$  time to complete), the SM-RS-SV approach (R2) took  
481 about 1.5 times as long, at 6500 CPU hours and the SM-DS-SV (R1, P1) approach  
482 took approximately 4 times as long as the SM-RS-EV approach (R3, R4) at 18000  
483 CPU hours. This is mostly due to the smaller timestep needed in the SM-DS-SV  
484 approach (R1, P1) and the more complex velocity patterns present in the SM-RS-  
485 SV (R2) and SM-DS-SV (R1, P1) approaches compared to the SM-RS-EV approach  
486 (R3, R4). The SM-DS-SV approach (R1, P1) also required additional input files  
487 and interpolations of the slide shape in space and time, adding additional overhead.  
488 Throughout the simulations maximum wave amplitudes reach between 16 and 26  
489 m in the wave generation region. However, for the majority of the domain wave

490 amplitudes are less than 10 m. Initially the results produced for the Rockall Bank  
491 scenario using the SM-DS-SV, SM-RS-SV, and SM-RS-EV approaches are considered  
492 (R1-R4). In this section the effect of slide velocity, acceleration and deformation on  
493 the generated wave are considered.

#### 494 *4.2.1. Rockall Bank: Comparison of model approaches, R1, R2, R3, R4*

495 The wave pattern generated over time by the SM-RS-SV and SM-DS-SV ap-  
496 proaches for the Rockall Bank is presented (Figure 10). Waves propagate from the  
497 generation zone and their amplitudes decay with increasing distance because of geo-  
498 metric spreading and dispersion. As the waves propagate they are diffracted around  
499 various seamounts, and later on, refracted around the Outer Hebrides. These wave  
500 processes create constructive and deconstructive wave interference. Waves hit the  
501 continental slope at  $\sim 30$  mins and undergo shoaling (Figure 10). Shoaling leads to a  
502 decrease in wavelength and wave speed, and an increase in wave amplitudes. Follow-  
503 ing shoaling, both waves decay in amplitude whilst travelling over the continental  
504 shelf and consequently waves between 1–10 m in amplitude reach just offshore of the  
505 coastline (Figure 11). Waves reach land around 1 hour after the initiation of slide  
506 motion and the first wave to reach land is a peak, and is followed by a trough. Waves  
507 greater than 1 m in amplitude reach the coast of the mainland north western Irish  
508 coast (Figure 11). The Outer Hebrides experiences wave heights of greater than 10–  
509 20 m (depending on the use of the SM-RS-SV approach or the SM-DS-SV approach,  
510 taking the brunt of the waves and sheltering much of mainland Scotland from expe-  
511 riencing wave heights greater than a few metres. The north coast of Scotland records  
512 waves 0.5–5 m in amplitude. Further afield, waves greater than 1 m in amplitude  
513 reach the coast of Iceland and the Faroe Islands for both scenarios (Figure 11).

514 *4.2.2. Effect of slide deformation: SM-DS-SV (R1) vs. SM-RS-SV (R2)*

515 The SM-RS-SV (R2) and SM-DS-SV (R1) approaches exhibit very similar wave  
516 patterns within the first 10 minutes after slide initiation (Figure 10). This suggests  
517 that the wave pattern is primarily controlled by the slide motion, since the SM-RS-  
518 SV (R2) approach assumes the slide is moving at the same speed as the slide's centre  
519 of mass in the SM-DS-SV (R1) approach. The differences between the results can  
520 be attributed to the internal slide deformation that occurs in the SM-DS-SV (R1)  
521 approach and not in the SM-RS-SV (R2) approach. After the first 10 minutes, a  
522 more complex wave pattern (more peaks and troughs) is produced by the SM-DS-  
523 SV approach. The SM-DS-SV (R1) approach accounts for internal slide deformation  
524 that generates additional short wavelength perturbations within the long wavelength  
525 signal. This leads to velocity variations over the length of the slide in the SM-DS-SV  
526 approach contributing to wave generation in additional locations. In the SM-RS-  
527 SV (R2) approach the slide surface is smooth, there is no internal deformation, and  
528 therefore vertical velocity is only induced at the front and back of the slide (elsewhere  
529 the thickness is constant). The SM-RS-SV (R2) approach generates waves with lower  
530 amplitudes than the SM-DS-SV (R1) approach (Figure 11), which suggests the de-  
531 formation of the slide is contributing to increased wave heights. Another explanation  
532 for the difference in wave heights generated by the two different approaches is that  
533 the velocity of the slide in the SM-RS-SV (R2) approach (which uses the velocity of  
534 the centre of mass on the slide) is not a good approximation for the side motion in  
535 the SM-DS-SV (R1) approach, in which different sections of the slide will move at  
536 different velocities.

537 In this example, slide deformation leads to an increase in wave heights at the  
538 coastline. This increase in wave amplitude depends on the location of the wave

539 gauge, but in general, the SM-DS-SV approach produces  $\sim 30\text{--}50\%$  higher waves  
540 than the SM-RS-SV approach and two times greater maximum wave amplitudes.  
541 These findings are in agreement with Grilli and Watts (2005) who report increases  
542 in wave amplitudes at wave gauges of between 13–35% and an increase in wave run  
543 up of a factor of 2–3 for deformable slides compared to rigid slides. Grilli and Watts  
544 (2005) conclude that intense slide deformation at shallow water depths significantly  
545 increases the coastal hazard the waves pose. However, other studies have found that  
546 slide deformation does not have a significant effect on the generated wave ampli-  
547 tude compared to rigid slides (Løvholt et al., 2015), because the slide accelerates  
548 too fast into deeper water for the deformation to influence the wave generation. In  
549 the scenarios considered here, slide deformation does contribute to wave generation.  
550 Some studies have found that slide deformation leads to decreased wave amplitudes  
551 (Watts, 1997; Ataie-Ashtiani and Najafi-Jilani, 2008; Kirby et al., 2016). However,  
552 the majority of these studies consider submarine slides at laboratory scale, higher  
553 slope angles ( $15\text{--}60^\circ$ ) and slides that are smaller, have a higher thickness to length  
554 ratio and different slide rheologies (e.g granular and confined granular) (Watts, 1997;  
555 Ataie-Ashtiani and Najafi-Jilani, 2008). Although the example in Kirby et al. (2016)  
556 is full scale and three dimensional, the scenario considered two separate, but simul-  
557 taneous slides, whose combined effects generate the wave, and therefore it is difficult  
558 to compare the results with the single slide scenarios considered here.

559 *4.2.3. Effect of slide velocity and acceleration: SM-RS-EV using different velocity*  
560 *profiles (R3 vs. R4)*

561 The resultant waves from two rigid slides moving with two different velocities  
562 profiles are also considered (Fig. 11). The wave heights predicted by the SM-RS-EV  
563 simulation, representing a slow slide moving with a maximum velocity of  $29\text{ ms}^{-1}$

564 (R3) are, in places, a fraction of (or even negligible compared to) the wave amplitudes  
565 generated by the rigid fast slide moving with a maximum velocity of  $74 \text{ ms}^{-1}$  (R4,  
566 fast). The increase in maximum velocity is approximately a factor of 2.5, but the  
567 waves generated can be a factor of 3–7 times bigger. This suggests that the wave is  
568 very sensitive to the slide and acceleration of the slide generating the waves. At the  
569 coast, the maximum wave recorded at each location is 2–20 times larger for the faster  
570 slide (R4), depending on precise location. This strong dependence of wave amplitude  
571 on slide velocity and acceleration is in agreement with many previous findings, e.g.  
572 Wiegel (1955); Watts (1998, 2000); Watts et al. (2000); Ward (2001); Tinti et al.  
573 (2001); Haugen et al. (2005); Løvholt et al. (2005); Harbitz et al. (2006).

#### 574 *4.2.4. Application to coastal hazard assessments*

575 Waves with amplitudes of up to 10 m from the Rockall Bank and Peach slides  
576 reach the coastline after undergoing shoaling on the continental slope. The highest  
577 waves that reach the coast are recorded along the west coast of Ireland for both  
578 slides, with peak heights of 10 m for the Peach slide and around 4 m for the Rockall  
579 slide. Just offshore the Northern Irish city of Londonderry/Derry waves are predicted  
580 between 2–4.5 m high for Peach Slide and 1.2–2 m high from Rockall Bank slide  
581 (Figure 12). The city is sheltered by the surrounding coastline and therefore is not  
582 affected by waves of high amplitude. However, in Lough Foyle, further shoaling at  
583 shallow water depths may result in increased wave amplitudes. Wave heights are  
584 generally low ( $<1 \text{ m}$ ) along the south-western Scottish mainland coast, but start to  
585 peak again around the islands of Arran, and Islay (point e on Fig. 4). The Outer  
586 Hebrides experience similar height waves from both the Peach and Rockall slides,  
587 but with slightly higher peaks for the Peach scenario (just over 4m vs. just over 3  
588 m respectively). Along the northern coast of Scotland (f to g on Fig. 4) the Rockall

589 scenario produces large maximum wave heights, but these are generally low (peak  
590 2.25 m) with the Peach slide producing a maximum wave height of 2 m.

591 The Peach slide produces larger peak amplitude waves in the direction of slide  
592 travel at 100 km distance from the slide starting point, but these seem to rapidly  
593 diminish in size (Fig. 12). At 200 km from the slide start point both slide scenarios  
594 produce similar sized peak waves, that are again aligned with the slide direction.  
595 The Rockall slide appears to have a very clear focus of energy with clear dips in  
596 wave amplitude perpendicular to slide direction. In contrast, the energy from the  
597 Peach slide spreads more evenly in all directions (but with a peak aligned to the slide  
598 direction).

#### 599 *4.2.5. Limitations*

600 There are limitations to the results presented here. Firstly, the MM2FS simu-  
601 lations show that some slide deformation occurs at scales on the order of 100 m.  
602 However, the three-dimensional simulations have a minimum mesh resolution in the  
603 slide region of 2 km, meaning that the slide is described by approximately 423 el-  
604 ements (for all approaches). Therefore the three-dimensional SM-DS-SV (R1, P1)  
605 simulations may be missing, or smoothing out, more detailed information about the  
606 slide geometry. Mesh resolution studies are recommended to investigate to what ex-  
607 tent this affects the waves generated. Resolution studies have previously only been  
608 completed for the SM-RS-EV approach simulations by Hill et al. (2014), where it  
609 was concluded that multi-scale meshes with the same minimum and maximum edge  
610 lengths as those considered here were able to accurately represent observed run-up  
611 height estimates.

612 Secondly, the slides here are also assumed to be constant width and, in reality,  
613 there will be some spreading or funnelling of the slide laterally. The velocity boundary

614 condition, that mimics the slide deformation and motion, is also applied uniformly  
615 along the width, whereas in reality there will be differences in bathymetry across the  
616 width of the slide which will lead to changes in deformation along the width of the  
617 slide. If deformation is permitted in three dimensions, this may also have an effect  
618 on the difference between waves amplitudes generated by rigid and deformable slides.  
619 In previous experimental work (Watts et al., 2005), the slide width has been found to  
620 have an important effect on wave amplitude, therefore a more thorough investigation  
621 including modelling of slides that are able to spread laterally, should be performed  
622 in order to establish to what degree this has an effect on the generated wave. If, in  
623 the results presented here, thickening of the front of the slide is increased compared  
624 to if the slide was allowed to spread laterally, this could account for some of the  
625 increased wave heights seen by the SM-DS-SV approach compared to the SM-RS-SV  
626 approach.

627 Lastly, the configuration employed here does not allow inclusion of shoaling and  
628 inundation in areas of bathymetry shallower than 10 m depth near to the coastlines.  
629 This means that wave heights recorded at the wave gauges are likely underestimated  
630 as the final shoaling and funnelling is not modelled. Inundation modelling within  
631 Fluidity is too computationally expensive to fall within the scope of this study.  
632 An alternative approach is to use another model, such as TELEMAC or Thetis  
633 (Kärnä et al., 2018; Pan et al., 2019, 2020), to simulate inundation by forcing it with  
634 the output of Fluidity. This is recommended as future work to fully establish the  
635 magnitude of the underestimation of wave amplitudes.

## 636 **5. Conclusions**

637 A three-dimensional Navier-Stokes model (using the Boussinesq approximation)  
638 has been presented that simulates the tsunami waves generated by submarine slides.



639 The framework has been used to investigate the role of slide deformation in wave  
640 generation. In the model, the water motion was driven by a boundary condition  
641 applied at the sea floor. The boundary condition mimicked the effect of the slide  
642 motion on the water column, and was determined in three different ways, using 1)  
643 a submarine slide modelled as a viscous fluid, without fixed shape, moving under  
644 gravity, 2) a rigid submarine slide, moving with a velocity profile extracted from (1)  
645 and 3) a rigid submarine slide, moving with a prescribed analytical velocity profile.  
646 For methods 1) and 2) a two-dimensional simulation was used to model both the  
647 submarine slide and water as viscous fluids. The simulations were then ‘coupled’;  
648 i.e., outputs (change in shape and motion of submarine side material) from a 2D  
649 simulation of viscous fluids was extracted and used as a boundary condition for the  
650 three-dimensional simulation of water.

651 We verified our model, framework and coupling methodology by comparing our  
652 results to a previous simulation of a tsunamigenic submarine slide in the Gulf of  
653 Mexico. We then showed the difference in risk due to the consideration of slide  
654 deformation for hypothetical submarine slides around the UK (with the limitation of  
655 modelling wave propagation to 10 m depth off shore). Shoaling at depths less than  
656 10 m, and inundation are not captured in the model. As the waves are not subject  
657 to the final shoaling that occurs, the wave amplitudes reported at the coastlines will  
658 be less than the expected wave amplitudes on land.

659 Comparisons were made between an approach that accounts for deformation of  
660 submarine slides (1) and an approach that used a rigid slide that moved according  
661 to prescribed motion (3). Slides moving with greater velocity and acceleration pro-  
662 duce larger amplitude waves and results show there is a strong dependence of wave  
663 amplitude on velocity and acceleration. Approaches that do not consider slide defor-  
664 mation appear to provide good estimates compared to approaches that do account

665 for slide deformation. However, it is still imperative to use accurate estimates for  
666 the slide velocity and position throughout the wave generation process, because the  
667 wave characteristics are very sensitive to these parameters. Since it is difficult to  
668 measure submarine slide velocities as they occur, numerical modelling of slides is a  
669 useful tool to obtain good estimates for these parameters, which could then be used  
670 as an input for a ocean-scale model.

671 In this work, slide deformation was modelled assuming that the slide behaved as  
672 a viscous fluid. The deformation of slide material caused slide thickness to increase  
673 and decrease along the length of the slide. The slide thickness increased up to 4  
674 times larger than its starting thickness, and there is a corresponding decrease in  
675 thickness at other areas of the slide in accordance with volume conservation. The  
676 slope varies along the length of the slide which causes material to move at different  
677 velocities, resulting in local thickening and thinning. Results presented here found  
678 that changes in slide thickness contribute to a 30-50% increase in wave height for  
679 a slide that deforms compared to a rigid slide. However, modelling the slide as a  
680 viscous fluid may not result in the most accurate representation of slide dynamics  
681 and future work should investigate more. Furthermore, lateral spreading of the slide  
682 is not considered in this study and this could alter the effect of slide deformation,  
683 as the slide material spreads sideways. Multi-material simulations presented here  
684 indicate that components of slide deformation that occur at shallower depths, on the  
685 steepest slopes and involving the largest volume are more energetic than deformation  
686 at other sections of the slide and contributes most to wave generation. This work  
687 offers a new methodology for simulating oceanic-scale tsunamis caused by submarine  
688 slides within the same numerical framework, without recourse to coupling several  
689 different models together. In this study two-dimensional simulations were required to  
690 test the model, but in future simulations, the slide dynamics can be estimated given

691 information about the slope angle and slide geometry. It provides the possibility for  
692 exploring the risk posed by tsunamigenic submarine slides, which threaten coastlines  
693 not normally thought to be prone to tsunamis.

694 Future work should model slides that spread laterally, and investigate the effect  
695 this has on wave amplitudes and on the relative significance of slide deformation. The  
696 effect of lateral spreading on the conclusions drawn here is unknown but potentially  
697 significant.

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## 703 **7. References**

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905 **8. Supplementary Data**

906 Example set-up files for the different approaches within Fluidity for each scenario  
907 can be found on the following link: <https://dx.doi.org/10.6084/m9.figshare.3507734>

<b>Approach</b>	<b>Scenario</b>	<b>Dimenions</b>	<b>Filename</b>
MM2FS	Gulf of Mexico	2D	<b>gom_2mat.flml</b>
SM-DS-SV	Gulf of Mexico	2D	<b>gom_SMDSSV_2D.flml</b>
SM-RS-SV	Gulf of Mexico	2D	<b>gom_SMRSSV_2D.flml</b>
SM-RS-EV	Gulf of Mexico	2D	<b>gom_SMRSEV_2D.flml</b>
SM-DS-SV	R1 - Rockall Bank	3D	<b>rockall_SMDSSV.flml</b>
SM-RS-SV	R2- Rockall Bank	3D	<b>rockall_SMRSSV.flml</b>
SM-RS-EV	R3- Rockall Bank	3D	<b>rockall_SMRSEV.flml</b>

908 **extract slide shape.py** can be used to extract the slide thickness from the  
909 output of an MM2FS simulation.

910 **9. Figures**

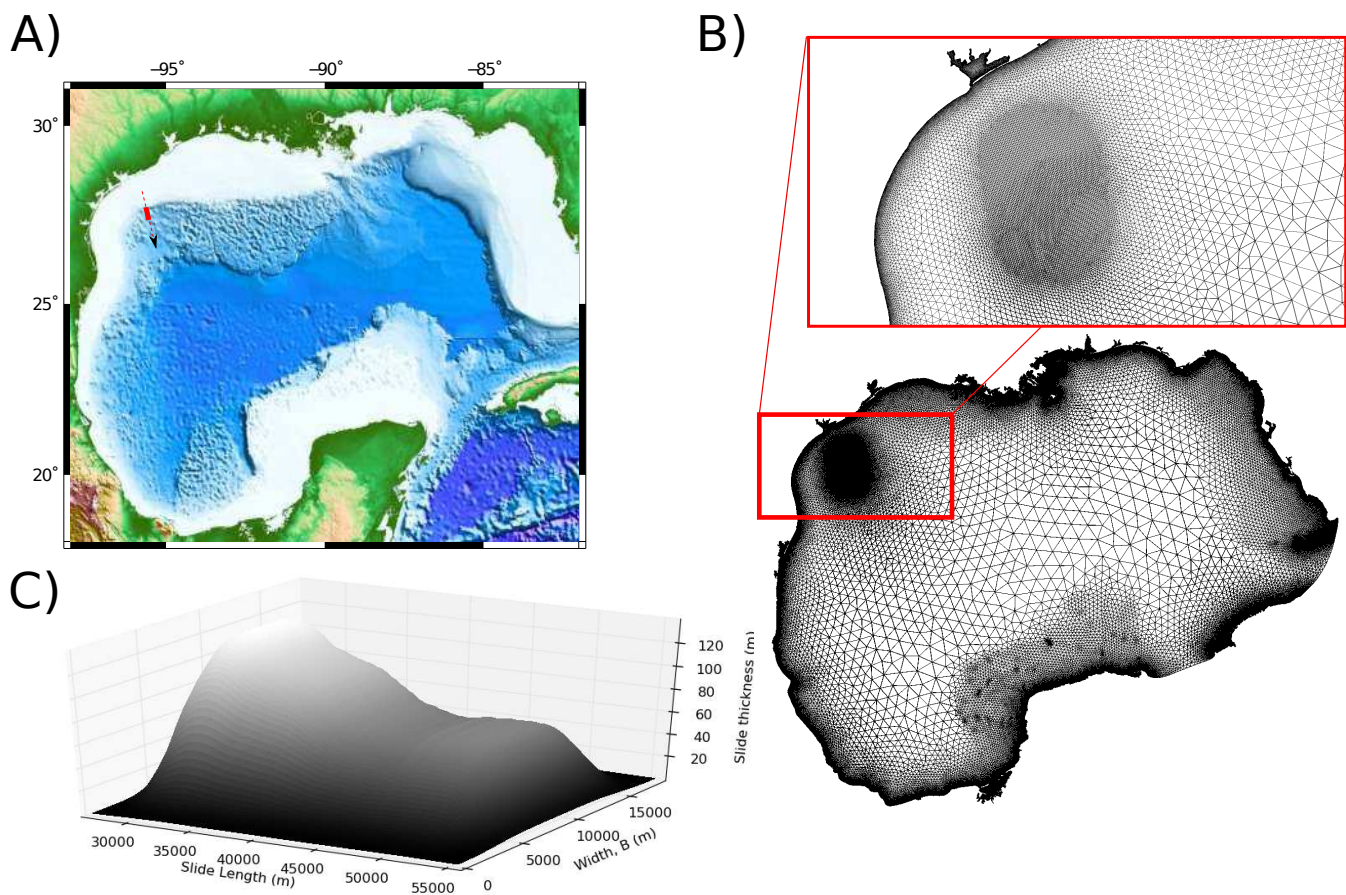


Figure 1: Model setup for the Gulf of Mexico verification test. A) Map of the Gulf of Mexico, including location of slide (rectangle) and direction of slide motion (dashed arrow). B) Overview of the mesh (bottom) with enlargement of the mesh in the region of the slide showing the increased resolution. C) Three-dimensional shape of the slide used in the simulations.

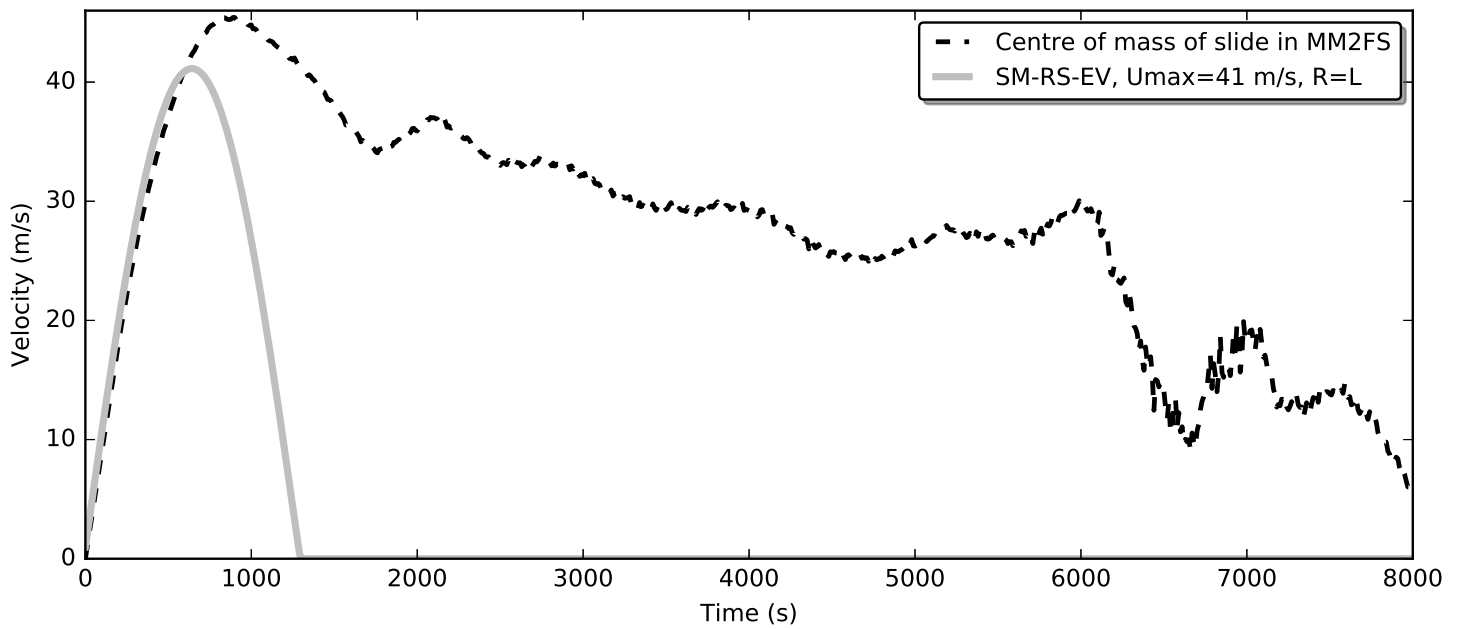
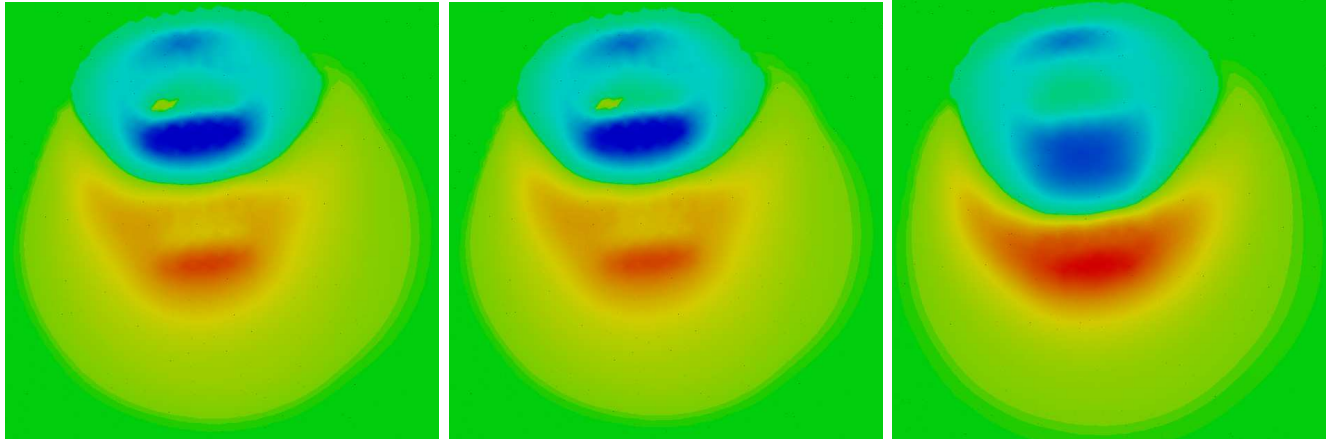
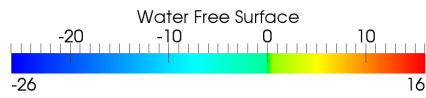


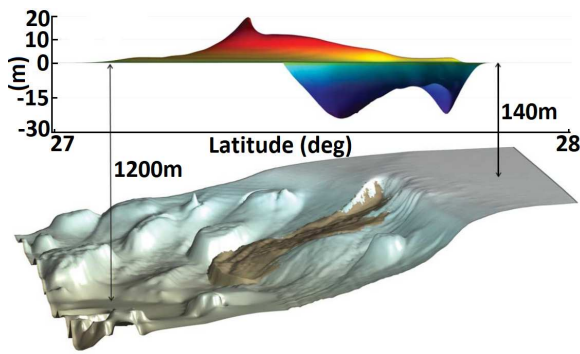
Figure 2: Comparison of velocity profiles for the SM-RS-EV approach (for the velocity of the slide’s centre of mass in the MM2FS approach, dashed line), and for the SM-RS-SV approach with maximum velocity 41m/s (grey line). Although the velocity profiles are not similar after the first 1000s, the acceleration of the slides in the early part of slide movement are well matched, and it is this initial acceleration that is important for wave generation.



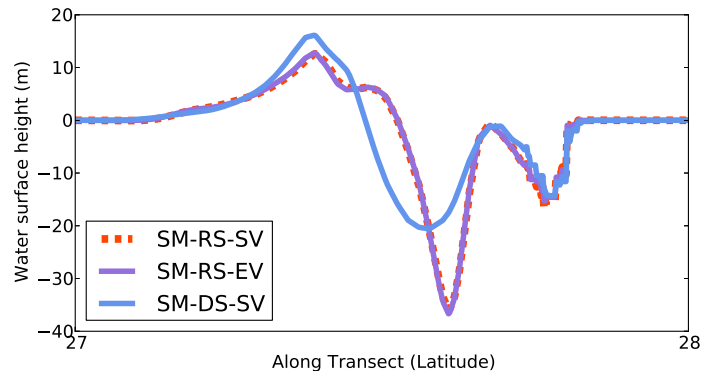
(a) SM-RS-EV, 7 mins

(b) SM-RS-SV, 7 mins

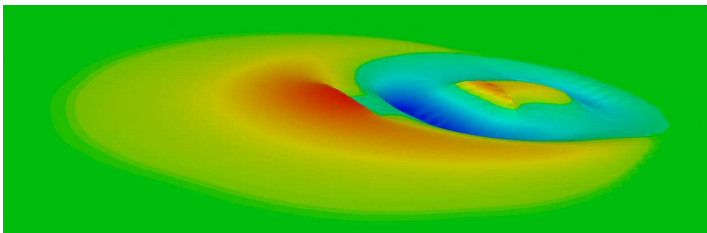
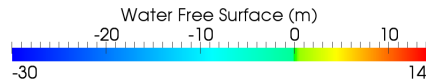
(c) SM-DS-SV, 7 mins



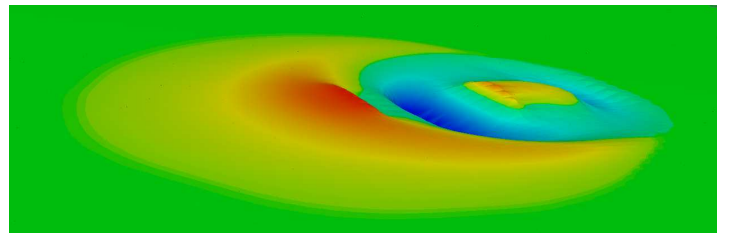
(d) TSUNAMI3D, 7 mins



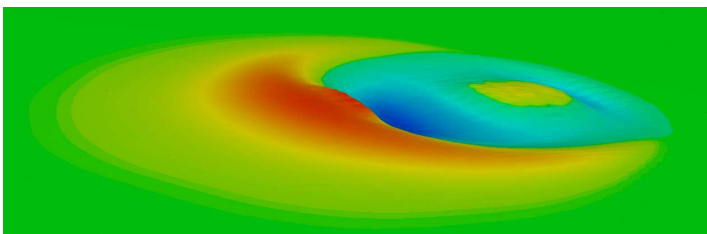
(e) Water elevation along transect through middle of the slide



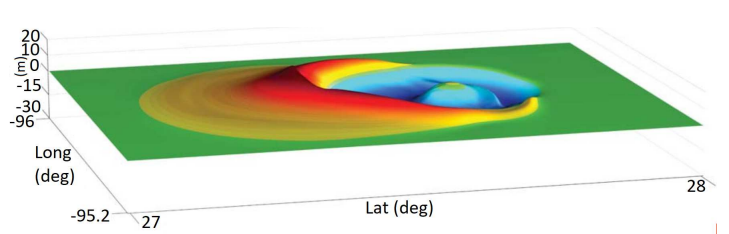
(f) SM-RS-EV, 10 mins



(g) SM-RS-SV, 10 mins



(h) SM-DS-SV, 10 mins



(i) TSUNAMI3D, 10 mins

Figure 3: Wave heights 7 minutes after slide initiation for (a) SM-RS-EV (b) SM-RS-SV (c) SM-DS-SV in the Gulf of Mexico test case. (d) shows results from Horrillo et al. (2013) for comparison. (e) shows the water elevation across the transect shown in Figure 1, this is the midpoint of the width of the slide. Colour plots shown span  $27^{\circ}$ – $28^{\circ}$  N and  $95.2^{\circ}$ – $96^{\circ}$ W.

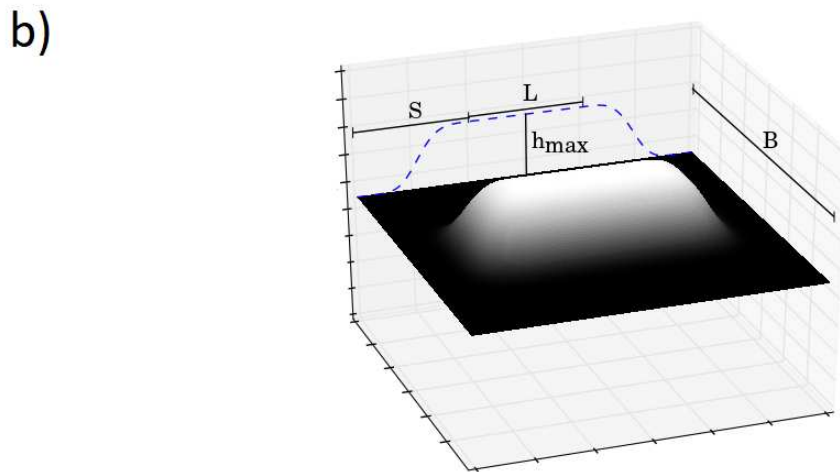
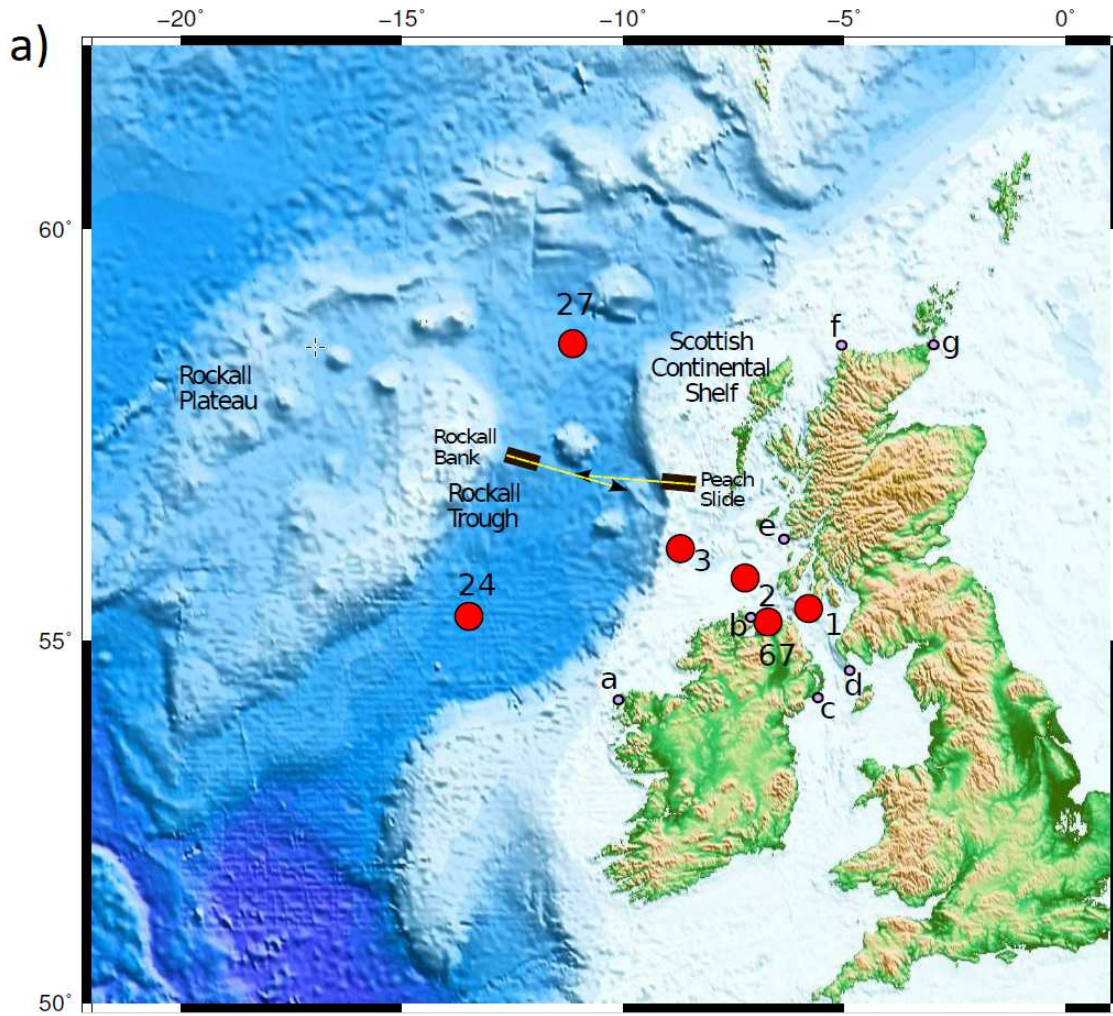


Figure 4: A) Map of British Isles with locations of Rockall Bank and Peach Slides, with arrows indicating directions of failure. Important bathymetric features are labelled. Red dots show locations of some of the numerical wave gauges to be considered later. B) Shape of rigid slide (exaggerated vertically) used in three dimensions, as described by Equation 11.

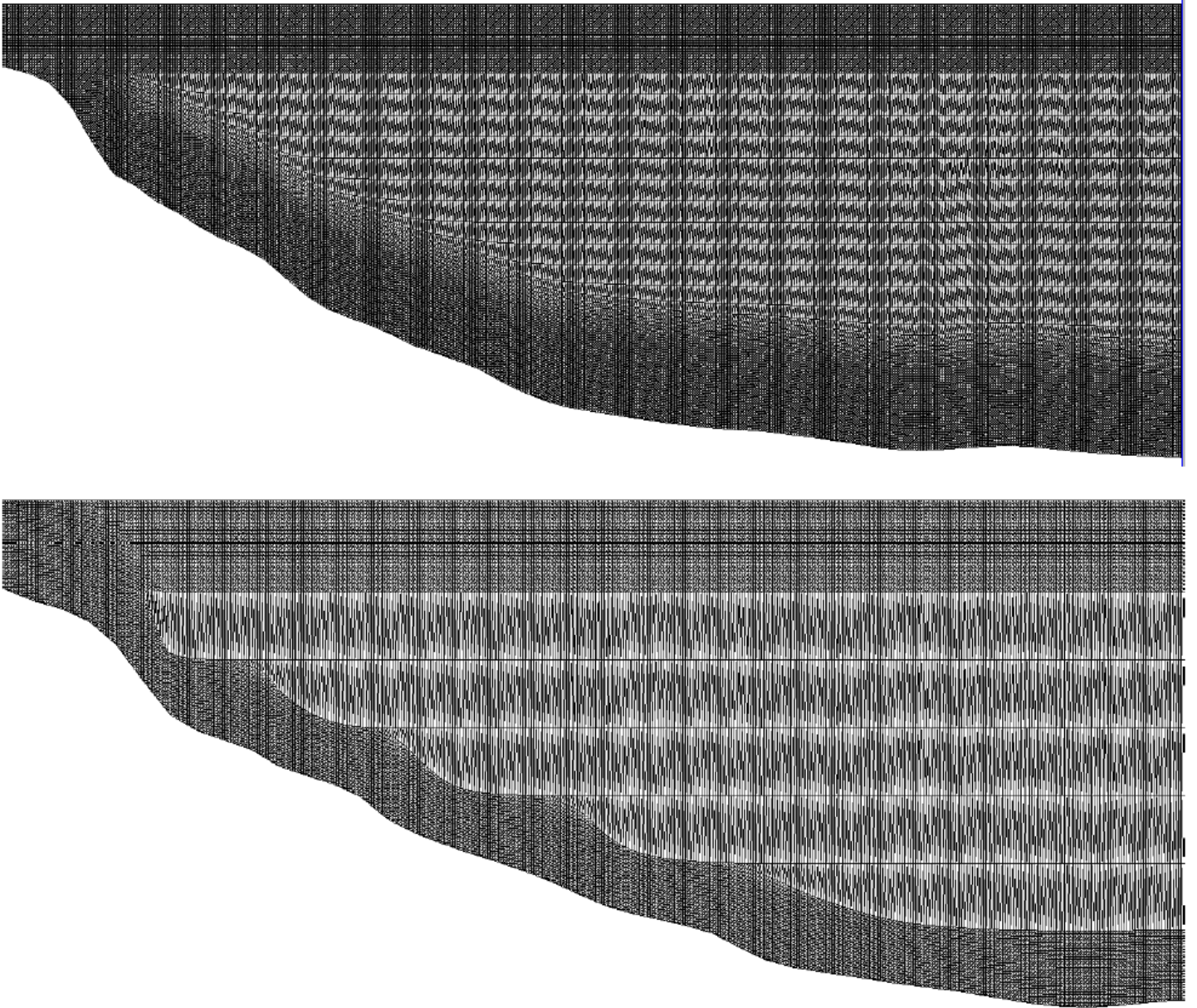
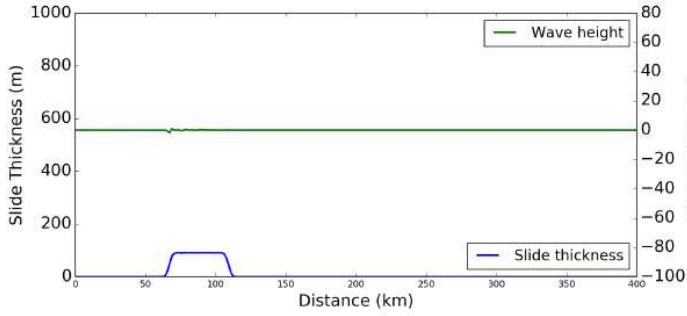
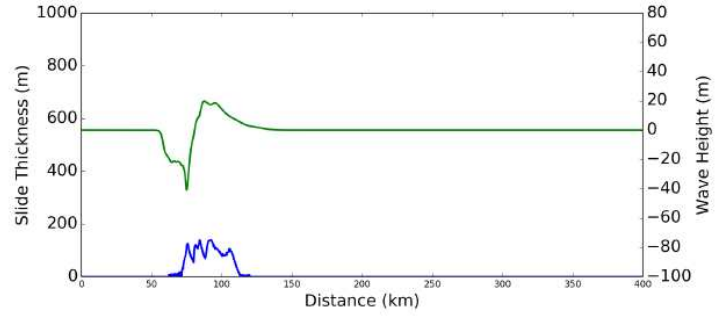


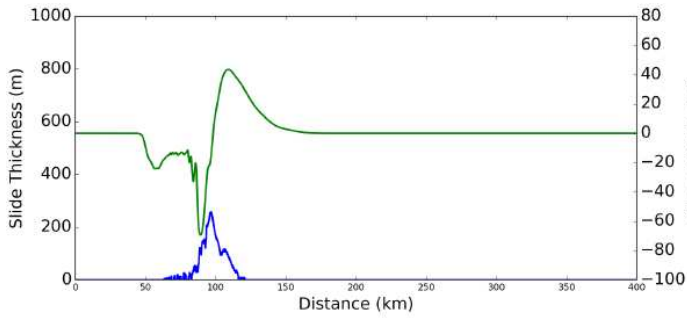
Figure 5: Section of Rockall Mesh (top) containing 2653 nodes and Peach Slide Mesh (bottom) containing 2984 nodes. There is a vertical exaggeration of  $\times 10$



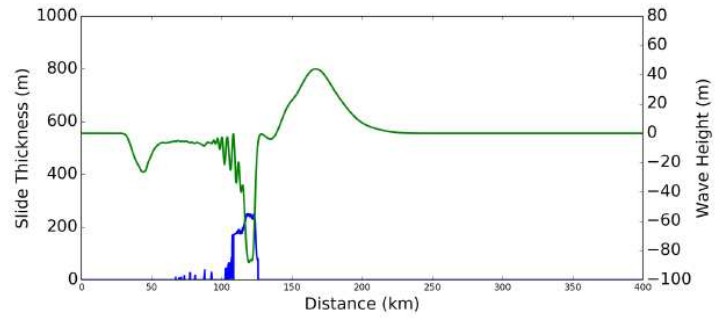
(a) 10 seconds



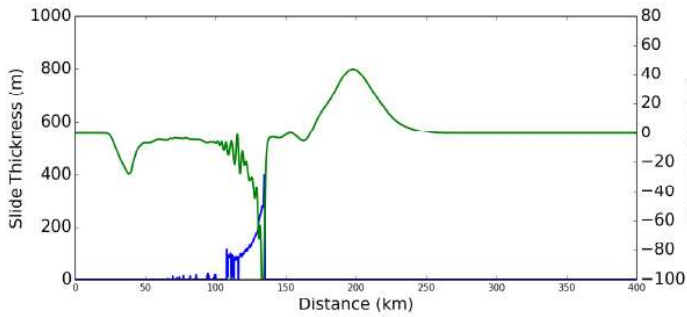
(b) 200 seconds



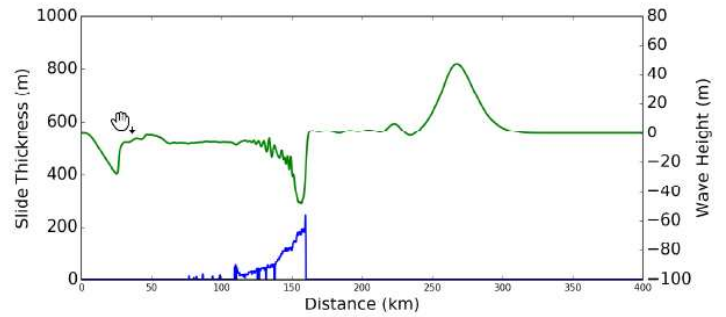
(c) 400 seconds



(d) 800 seconds

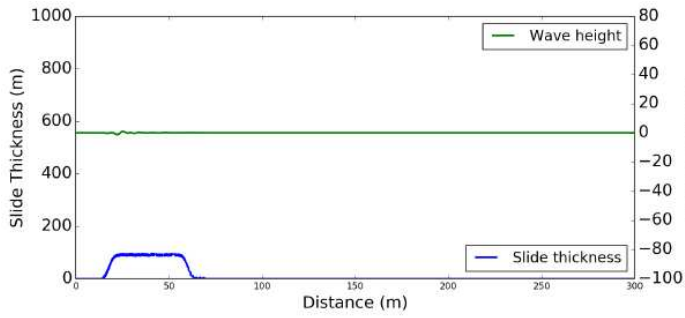


(e) 1000 seconds

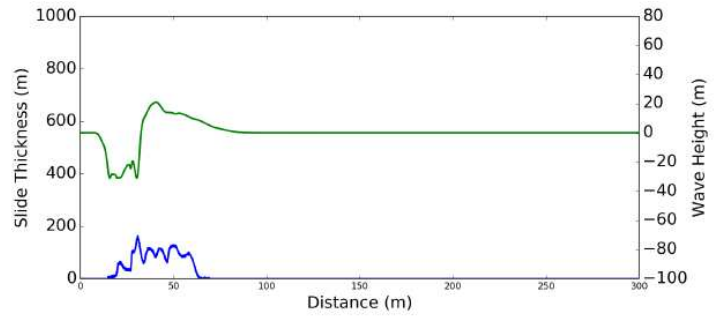


(f) 1500 seconds

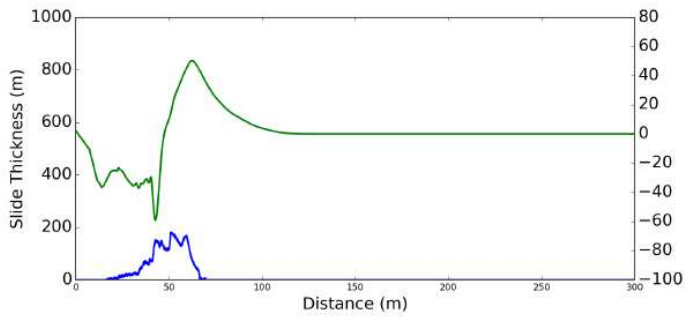
Figure 6: Slide thickness (blue) and wave height (green) for two-dimensional submarine slide at Rockall Bank, extracted from MM2FS simulation. Both are vertically exaggerated on different scales.



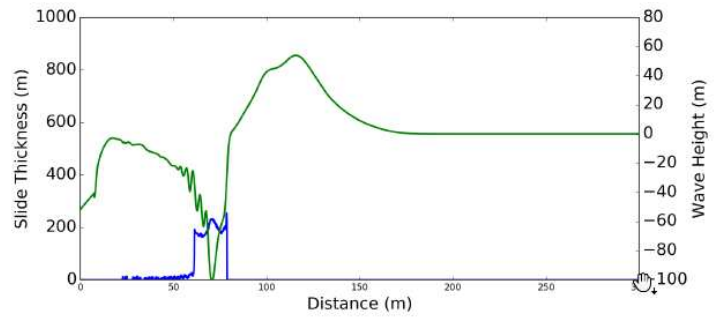
(a) 10 seconds



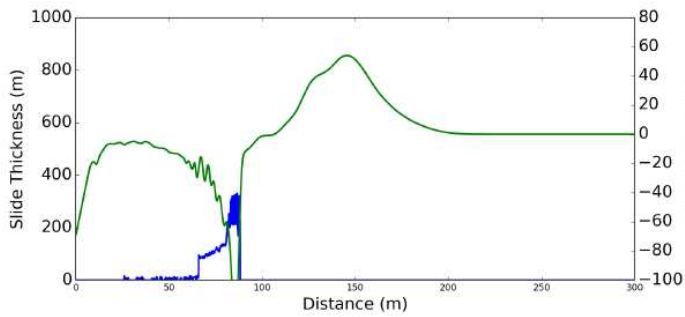
(b) 200 seconds



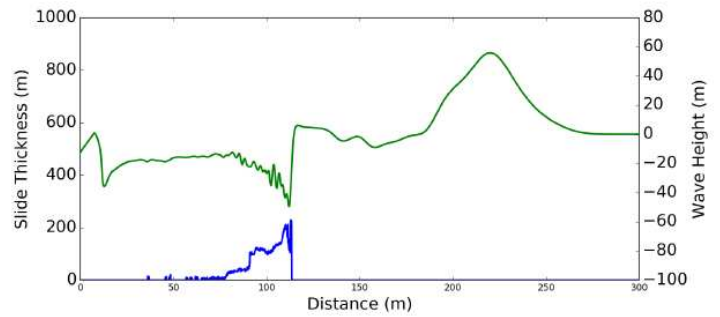
(c) 400 seconds



(d) 800 seconds



(e) 1000 seconds



(f) 1500 seconds

Figure 7: Slide thickness (blue) and wave height (green) for two-dimensional submarine slide at Peach Slide, extracted from MM2FS simulation. Both are vertically exaggerated on different scales.



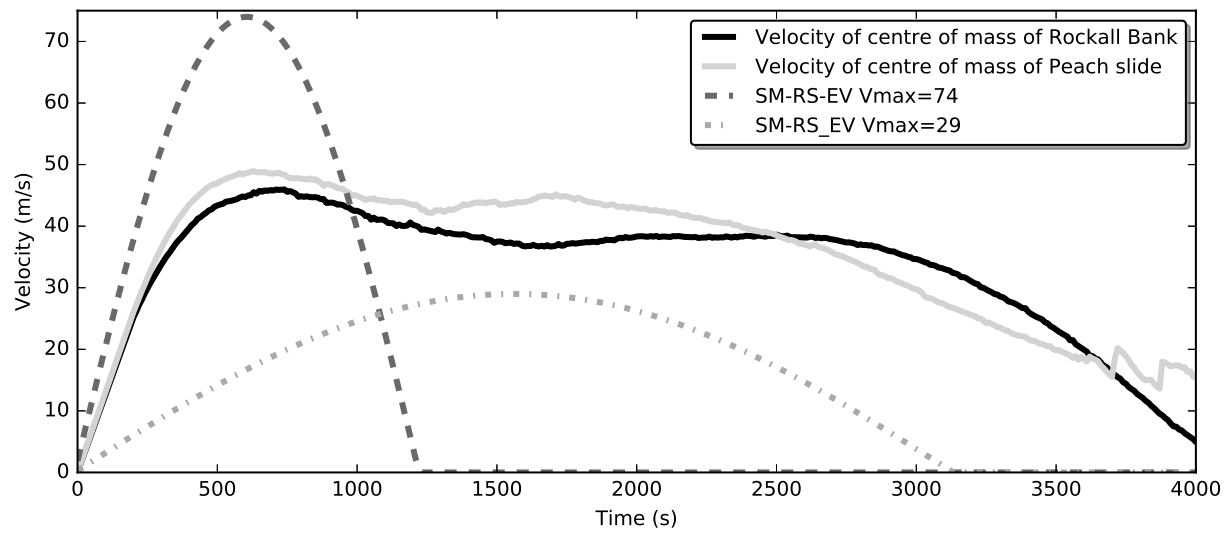


Figure 8: Velocity profiles representing the movement of the slide's centre of mass for Rockall Bank (solid black) and Peach slides (solid grey) and estimated slide velocity profiles for Rockall Bank scenarios with maximum velocities of 74m/s (dark grey, dashed) and 29 m/s (light grey, dot-dashed).

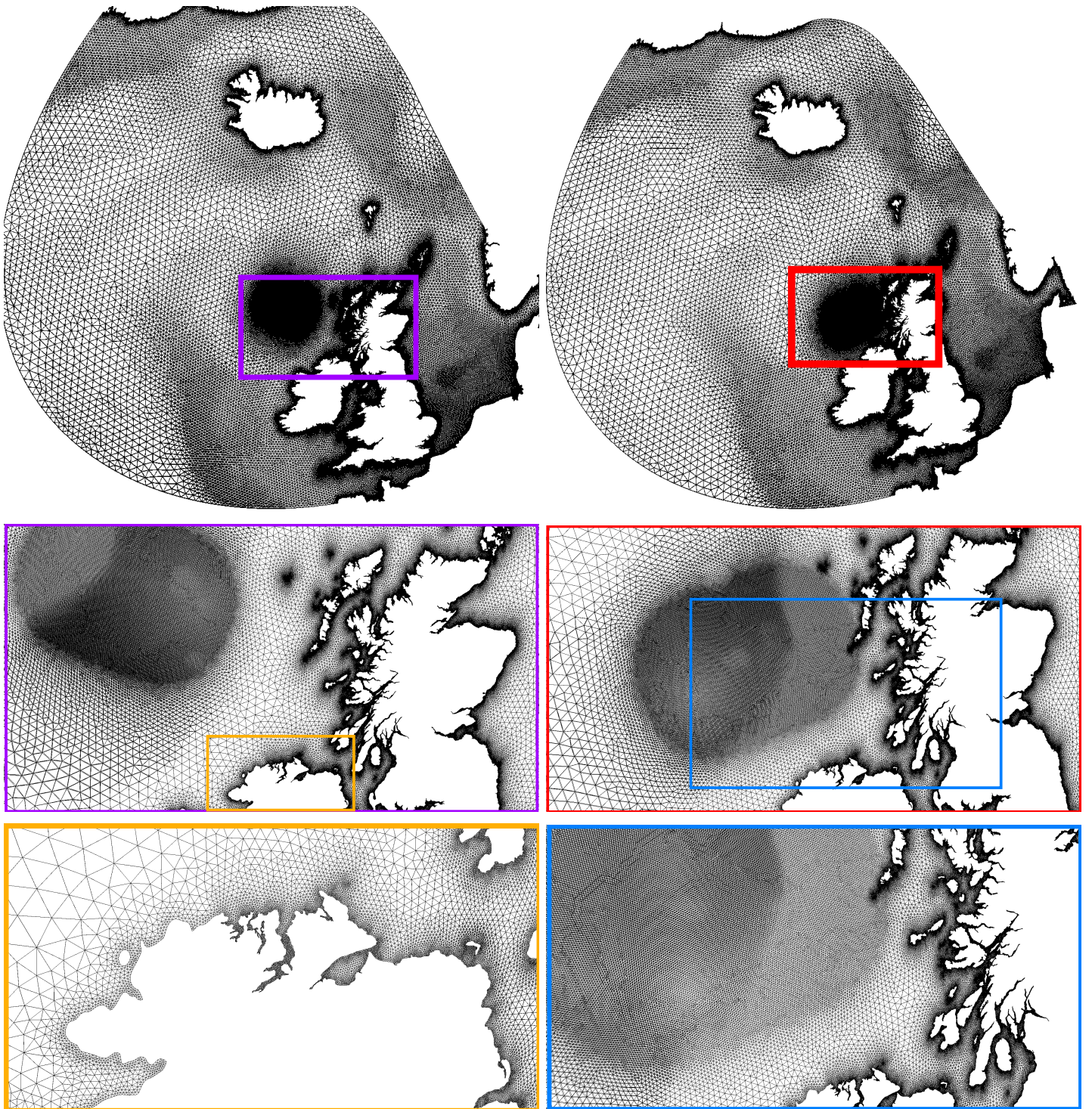
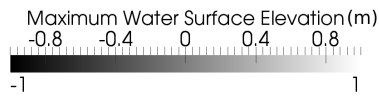


Figure 9: Left: Mesh for Rockall Bank simulations containing 151892 nodes. Right: Mesh for Peach Slide simulations containing 150257 nodes. The minimum edge length is 0.5 km and the maximum edge length is 50 km. The three dimensional domains have maximum extents at approximately 49°N, 70°N, 13°E, 30°W.



(a) SM-RS-SV, 30 mins



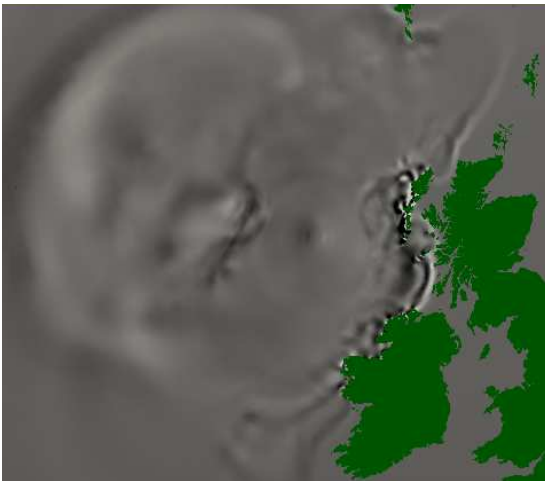
(b) SM-DS-SV, 30 mins



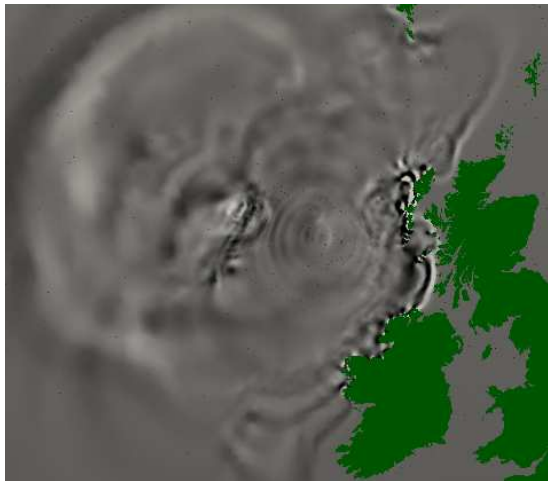
(c) SM-RS-SV, 60 mins



(d) SM-DS-SV, 60 mins

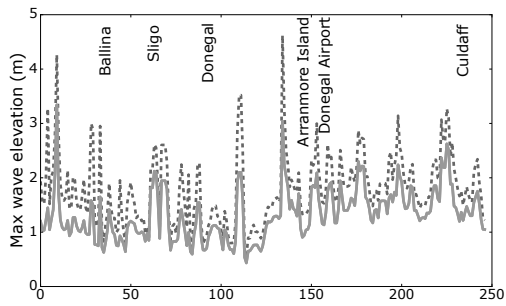


(e) SM-RS-SV, 110 mins

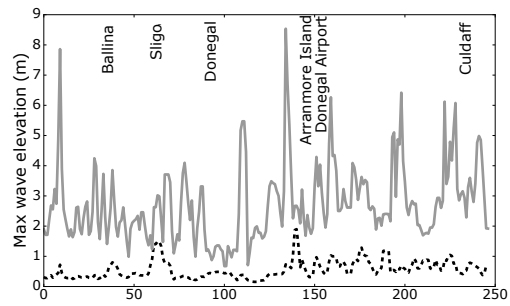


(f) SM-DS-SV, 120 mins

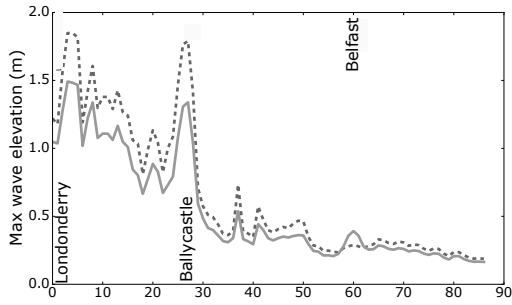
Figure 10: Wave height through time for Rockall Bank slide for the SM-RS-SV approach (left) and the SM-DS-SV approach (right). The scales for wave amplitude is capped at  $\pm 1$  m.



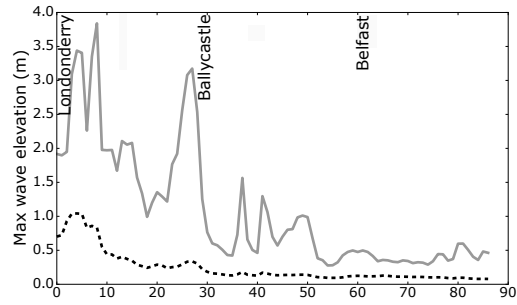
(a) West Ireland (a to b)



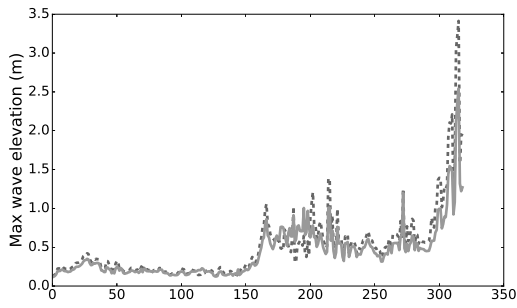
(b) West Ireland (a to b)



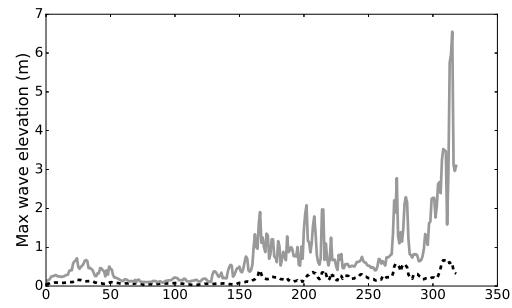
(c) Northern Ireland (b to c)



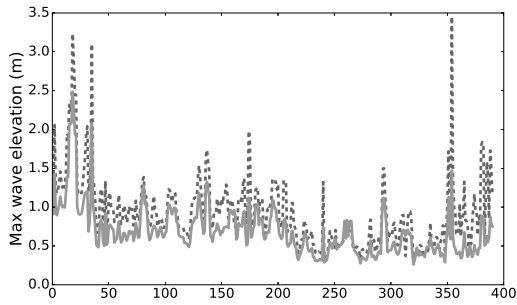
(d) Northern Ireland (b to c)



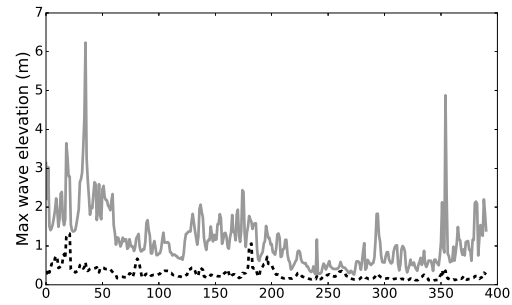
(e) South West Scotland (d to e)



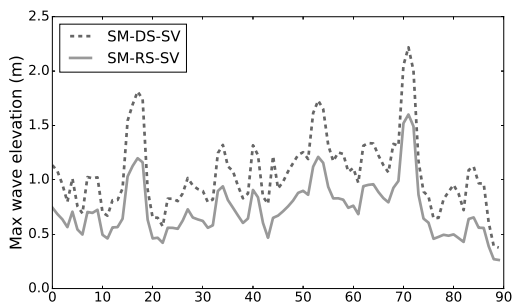
(f) South West Scotland (d to e)



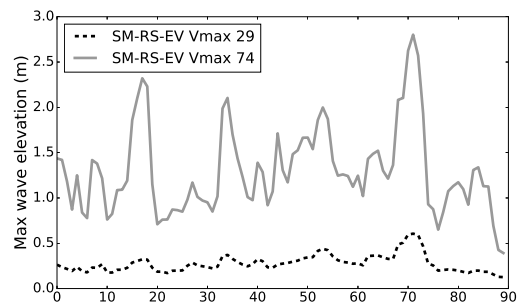
(g) North West Scotland (e to f)



(h) North West Scotland (e to f)

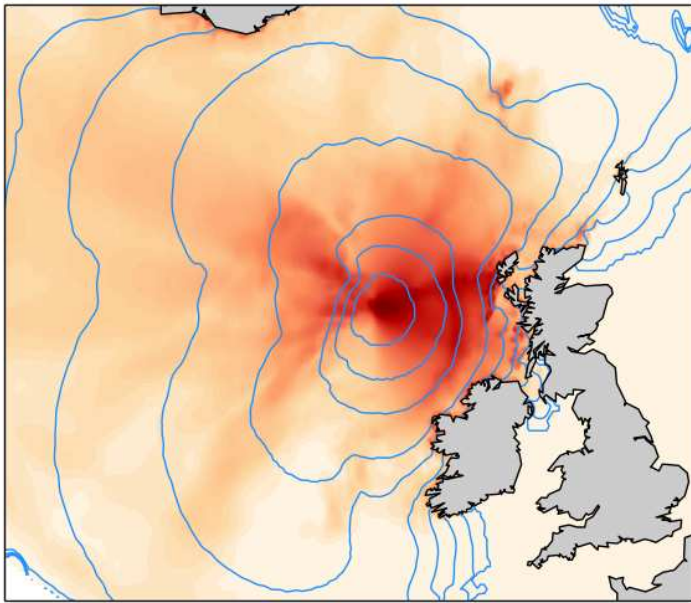


(i) North Scotland (f to g)

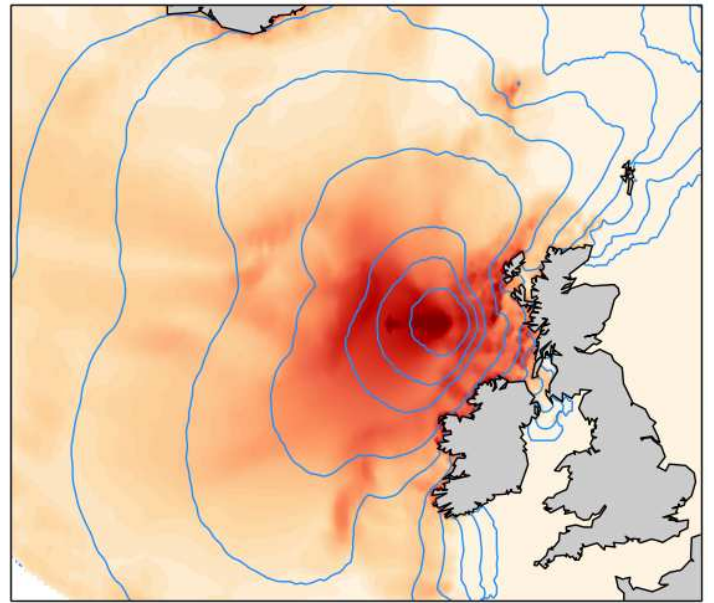


(j) North Scotland (f to g)

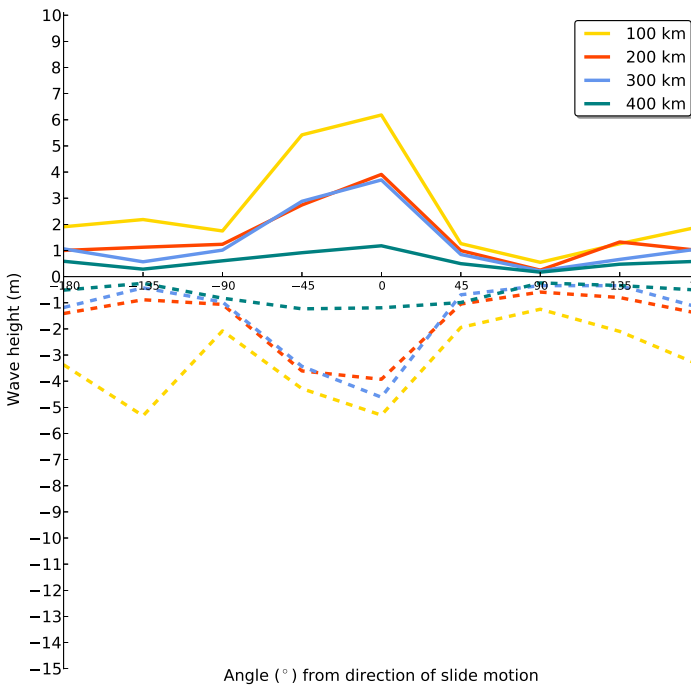
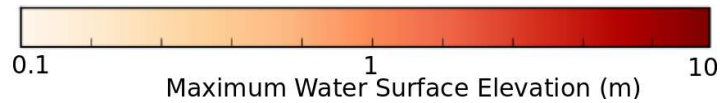
Figure 11: Maximum water elevation at sections of coastline shown in Figure 4 for R1 vs R2 (left) and R3 vs R4 (right).



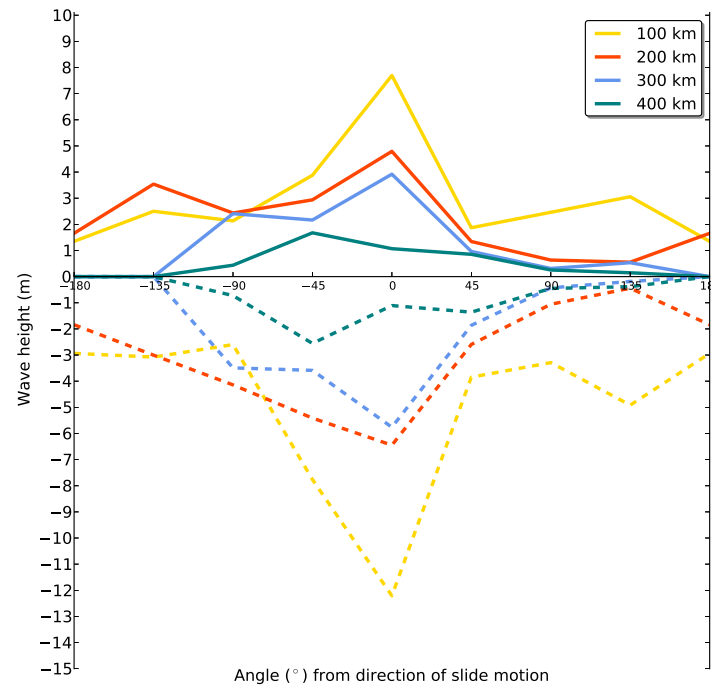
(a) Rockall Bank (SM-DS-SV)



(b) Peach slide (SM-DS-SV)



(c) Rockall Bank (SM-DS-SV)



(d) Peach slide (SM-DS-SV)

Figure 12: Top: Maximum Water Elevation in first 4 hours and 15 mins after slide initiation for Rockall Bank Slide (left) and Peach slide (right) using SM-DS-SV approaches. Bottom: Maximum (solid lines) and minimum (dashed lines) wave heights recorded at numerical wave gauges 100 km (yellow), 200 km (red), 300 km (blue) and 400 km (green) from Rockall Bank (left) and Peach Slide (right) for SM-DS-SV.