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Ultrasmall, Ultracompact and Ultrahigh Efficient InGaN Micro Light Emitting Diodes (μ LEDs) with Narrow Spectral Line Width

Jie Bai, Yuefei Cai, Peng Feng, Peter Fletcher, Chenqi Zhu, Ye Tian, and Tao Wang*



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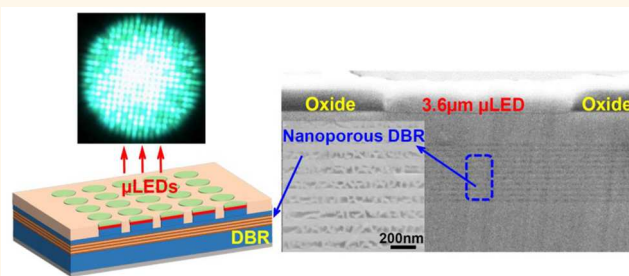
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Supporting Information

ABSTRACT: Augmented reality and visual reality (AR and VR) microdisplays require micro light emitting diodes (μ LEDs) with an ultrasmall dimension ($\leq 5 \mu\text{m}$), high external quantum efficiency (EQE), and narrow spectral line width. Unfortunately, dry etching which is the most crucial step for the fabrication of μ LEDs in current approaches introduces severe damages, which seem to become an insurmountable challenge for achieving ultrasmall μ LEDs with high EQE. Furthermore, it is well-known that μ LEDs which require InGaN layers as an emitting region naturally exhibit significantly broad spectral line width, which becomes increasingly severe toward long wavelengths such as green. In this paper, we have reported a combination of our selective overgrowth approach developed very recently and epitaxial lattice-matched distributed Bragg reflectors (DBRs) embedded in order to address all these fundamental issues. As a result, our μ LEDs with a diameter of $3.6 \mu\text{m}$ and an interpitch of $2 \mu\text{m}$ exhibit an ultrahigh EQE of 9% at $\sim 500 \text{ nm}$. More importantly, the spectral line width of our μ LEDs has been significantly reduced down to 25 nm , the narrowest value reported so far for III-nitride green μ LEDs.

KEYWORDS: μ LEDs, selective overgrowth, InGaN/GaN, distributed Bragg reflector, external quantum efficiency, dry-etching



There is a significant growing interest in developing III-nitride microLEDs (μ LEDs), the key components for microdisplays which are crucial for smart phones, smart watches, and augmented reality and visual reality (AR and VR) devices.^{1–5} These kinds of devices are typically utilized in small spaces or at close proximity to the eye, and it therefore requests that μ LEDs exhibit high resolution and high luminance. This is particularly important for AR/VR microdisplays which require μ LEDs with an ultrasmall diameter ($\leq 5 \mu\text{m}$), high external quantum efficiency (EQE), and narrow spectral line width.

Currently, a standard photolithography technique combined with subsequent dry-etching processes remains a typical approach to the fabrication of μ LEDs, in particular μ LEDs with a small diameter ($\leq 50 \mu\text{m}$).^{6–11} Consequently, this approach unavoidably introduces severe damage induced during dry-etching and follow-up processes, significantly enhancing nonradiative recombination and thus severely degrading the optical performance.^{12–17} This issue becomes increasingly severe with decreasing the dimension of μ LEDs.^{13,14,18,19} Dry-etching processes also deteriorate the shape of μ LEDs, ultimately affecting the resolution of microdisplay. As a result, the EQE of the μ LED with a

diameter of $\leq 5 \mu\text{m}$ is limited to a few percent, which does not meet practical requirements at all.^{3,19,20}

More importantly, a significant reduction in the spectral line width of μ LEDs is required in order to achieve microdisplays with high resolution especially for AR and VR. This tends to be extremely challenging, as it is well-known that InGaN LEDs exhibit an intrinsically broad spectral line width mainly as a result of indium segregation and alloy fluctuation, which becomes more severe with increasing emission wavelength (where higher indium composition is required, further enhancing both indium segregation and alloy fluctuation and thus making spectral line width even broader).

In order to overcome the limitations, it is necessary to develop a different approach or process, which allows us to not only further increase the EQE of μ LEDs (especially for

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ultrasmall μ LEDs) but also significantly reduce their spectral line width. In this paper, we will demonstrate a different approach to achieving the two objectives simultaneously.

Very recently, we have reported a fundamentally different method, demonstrating ultrasmall and ultracompact green μ LEDs with a diameter of $3.6\ \mu\text{m}$ and an interpitch of $2\ \mu\text{m}$.²¹ The μ LEDs exhibit an EQE of 6% at 515 nm and a nice shape compared with the current state-of-the-art.²¹ The key to the success of our approach is due to the utilization of a direct epitaxial growth on a prepatterned template, which does not involve any dry-etching process that is the most crucial step in the conventional approaches mentioned above and thus eliminates any resultant etching damages. However, we must say that a further enhancement in EQE is still required for high performance microdisplays. Furthermore, the intrinsically broad spectral line width of μ LEDs will have to be significantly reduced.

A promising approach to meeting the objectives simultaneously is to introduce a reflector below the emitting region of μ LEDs (i.e., an InGaN/GaN multiple quantum well (MQW) region). It would be ideal to epitaxially integrate μ LEDs and distributed Bragg reflectors (DBRs) with a high reflectivity, as this can not only further enhance light extraction efficiency but also potentially reduce the spectral line width of μ LEDs.

Lattice-mismatched AlGaIn/GaN or AlN/GaN DBRs have been^{22–25} widely studied but suffer from a number of severe issues, such as cracking and rough surface caused as a result of strain accumulation.²⁶ Furthermore, the intrinsically small contrast in refractive index between AlN and GaN requires a large number of pairs (typically, 30–40 pairs) in order to achieve a high reflectivity, thus costing substantial epitaxial growth time which is not particularly appealing for industry.

Lattice-matched DBRs with a significantly high contrast in refractive index between two alternating layers in each pair are ideal, leading to a decrease in the number of pairs requested and thus a reduction in epitaxial growth time. This also naturally maintains the high crystal quality of GaN for the growth of any further device structures. Very recently, nanoporous (NP) GaN has been intensively studied for the fabrication of lattice-matched DBRs by means of electrochemical (EC) etching.^{27–29} For the details about the fabrication of the nanoporous DBRs, please refer to [Methods](#).

RESULTS AND DISCUSSION

Figure 1 shows the reflectance spectra of a few lattice-matched DBR reflectors as examples. In each case, 11 pairs of NP-GaN/undoped GaN are used, and these DBR reflectors cover a wide spectrum from blue to amber by simply tuning the thicknesses of n^{++} -type GaN and undoped GaN in each pair. The three DBR reflectors all exhibit a high reflectivity of $>96\%$ and a broad stopband. In detail, the stopband is $\sim 85\ \text{nm}$ for blue, $\sim 115\ \text{nm}$ for green/yellow, and $134\ \text{nm}$ for amber. As an example, the inset shows the typical cross-sectional scanning electron microscopy (SEM) image of the NP-GaN/undoped GaN DBRs for blue taken under a high magnification, where the alternating NP-GaN and undoped GaN can be clearly observed.

Our strategy is to combine the lattice-matched DBRs and our selective overgrowth method²⁰ which we have reported very recently, aiming to achieve μ LEDs with a further increased EQE and significantly reduced spectra line width. **Figure 2a** schematically illustrates our invention incorporating such bottom DBRs.

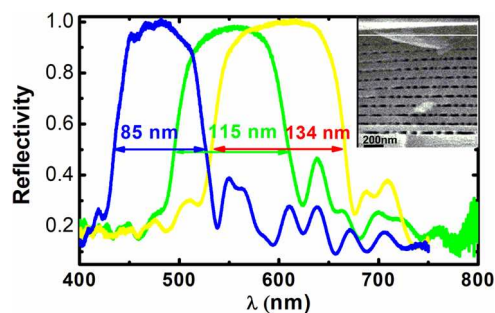


Figure 1. Reflectance spectra of three lattice-matched DBR reflectors, demonstrating these DBRs exhibit a high reflectivity of $>96\%$ and a broad stopband in a wide spectral region from blue to amber. Inset: Typical cross-sectional SEM image of the NP-GaN/undoped GaN DBRs for blue, where the alternating NP-GaN and undoped GaN can be clearly observed.

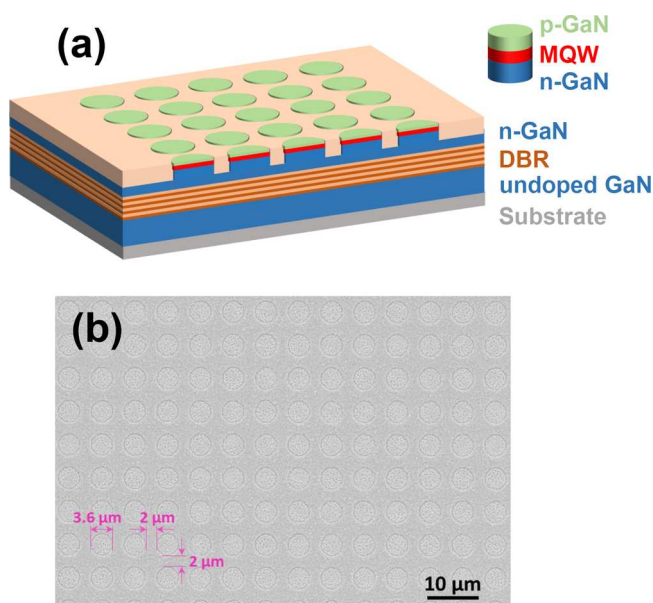


Figure 2. (a) Schematic of our invention for our μ LED arrays incorporating with DBRs underneath. (b) Plan view SEM image of our regularly arrayed μ LED wafer showing a diameter of $3.6\ \mu\text{m}$ and an interpitch of $2\ \mu\text{m}$.

A standard n -type GaN layer (with a doping level of $\sim 5 \times 10^{18}/\text{cm}^3$) with a thickness of $600\ \text{nm}$ is further grown on the above epiwafer which contains 11 pairs of alternating n^{++} -type GaN and undoped GaN. This is used as a template for further SiO_2 microhole array patterning on its top. For the details about the patterning processes, please refer to our paper published very recently.²¹ Basically, a SiO_2 film with a thickness of $500\ \text{nm}$ is initially deposited on the template by means of employing a standard plasma-enhanced chemical vapor deposition (PECVD) technique. Afterward, standard photolithography and drying etching techniques are used to selectively etch the dielectric layer down to the n -type GaN surface, forming regularly arrayed microholes with a diameter of $3.6\ \mu\text{m}$ and an interpitch of $2\ \mu\text{m}$ as we did previously.

Next, a standard InGaIn-based LED structure is selectively grown on such a patterned template by a standard metal-organic vapor phase epitaxy (MOVPE) technique. The growth of the LED structure starts with an n -type GaN layer and then an InGaIn prelayer (5% indium content), followed by five

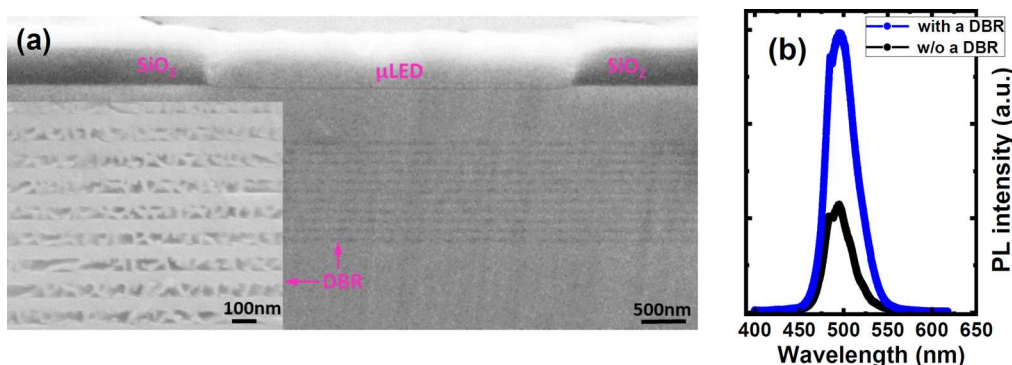


Figure 3. (a) Cross-sectional SEM image of our μ LEDs with DBRs containing 11 pairs of NP-GaN/u-GaN formed after EC etching. Inset shows the cross-sectional SEM image of the DBRs taken under a high magnification. (b) PL spectra of both the μ LED samples with and without a DBR reflector measured under identical conditions at room temperature.

periods of InGaN/GaN MQWs (2.5 nm InGaN quantum well; 13.5 nm GaN barrier) as an active region and then a 20 nm p-type $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ acting as a blocking layer on which a 200 nm p-type GaN is finally grown. The total thickness of the overgrown layers is ~ 500 nm, which is similar to that of the SiO_2 masks. Because of the dielectric masks, the growth of the LED structure is restricted within the microholes, leading to the natural formation of μ LED arrays. All the physical parameters, such as the diameter, the individual location, the shape, and the interpitch, are fully determined by the SiO_2 microhole masks, meaning that the formation of μ LED arrays does not involve any μ LED mesa etching processes. Therefore, the dimension, the individual location, the shape, and the interpitch of μ LEDs are under full control.

Figure 2b shows the typical SEM image of our regularly arrayed μ LED epi-wafer, demonstrating a nice circular shape and a very high uniformity in terms of shape, diameter, and interpitch. The diameter of each μ LED is $3.6 \mu\text{m}$ and the interpitch is only $2 \mu\text{m}$, which are similar to those grown on SiO_2 microhole arrays on a standard single n-type GaN layer (i.e., not on a template containing any pairs of alternating n^{++} -type GaN and undoped GaN).²¹ Once again, it is important to highlight that such μ LED arrays are fully compatible with any current microdisplay technique, such as the pick-and-place method widely used¹³ or direct integration with transistor arrays which offer active-matrix switching for individually addressable μ LED-based microdisplays.³¹

Subsequently, the standard EC processes described above have been carried out on part of the regularly arrayed μ LED epi-wafer in order to form DBRs underneath as a first step for device fabrication. The rest of the epi-wafer which is called the “as-grown sample” is used for comparison. The EC processes have been conducted in 0.5 M nitric acid at 8 V bias using the μ LED wafer as an anode and a Pt plate as a cathode. It is worth highlighting the doping level of the n^{++} -type GaN layer in each pair is more than 1 order of magnitude higher than that of the n-type GaN layer above the DBR region, which ensures that the n^{++} -type GaN layers in each pair of DBRs converts into NP-GaN while the n-type GaN layer remains intact during the EC etching.

Figure 3a displays the cross-sectional SEM image of our μ LED epi-wafer after the EC etching. The inset provides the cross-sectional SEM image taken under a high magnification, clearly indicating 11 pairs of NP-GaN/undoped GaN layers which form DBRs with a center wavelength at ~ 500 nm as designed.

Figure 3b shows the photoluminescence (PL) spectra of an as-grown μ LED sample (without EC etching, that is, without DBRs below the InGaN/GaN MQWs) and the sample after EC-etching (namely, with DBRs below the InGaN/GaN MQWs), both measured under identical conditions using a standard PL system (please refer to Methods).

Figure 3b demonstrates that the PL intensity of the μ LEDs with the DBRs is significantly enhanced by a factor of 150% in comparison with the as-grown μ LEDs (i.e., without DBRs), demonstrating a significantly enhanced extraction efficiency due to DBRs.

In order to demonstrate that the μ LED samples with DBRs can be used for the fabrication of regularly arrayed μ LEDs featuring ultrasmall dimension, ultrahigh efficiency, and ultrahigh resolution, we have simply fabricated it into a regularly arrayed μ LED device with a standard area of $330 \times 330 \mu\text{m}^2$, which contains a few thousand of $3.6 \mu\text{m}$ μ LED arrays connected, as schematically shown in Figure 4a. For a direct comparison, the μ LED samples without EC etching (i.e., without DBRs) are also processed in the same batch. For the details of device fabrication, please refer to Methods.

In order to clearly demonstrate emitting μ LED pixels, our micro-electroluminescence (EL) measurement system has been employed to record microscopy images, which are taken under a low injection current density. Our micro-EL system is equipped with two objective lenses (one with $10\times$ magnification and $\text{NA} = 0.28$ and another one with $50\times$ magnification and $\text{NA} = 0.43$).

Figure 4b,c shows two emission images of the μ LEDs with DBRs at a low current density of 15 A/cm^2 taken under a low and a high magnification, respectively. Figure 4b shows a whole μ LED array device with very bright emission, while Figure 3c clearly demonstrates μ LED pixels. Note that this current density used for our μ LEDs under operation is smaller than a standard current density (i.e., 22 A/cm^2 typically used for standard broad area LEDs). This implies that a microdisplay, if fabricated using our μ LEDs, should have at least as a long lifetime as those of standard broad area LEDs, while the lifetime of a standard broad area LED is expected to exceed 100 000 h under normal operation conditions.³¹

Figure 5a,b displays the EL spectra of the μ LEDs without DBRs and the μ LEDs with DBRs measured as a function of injection current from 5 to 100 mA in a continuous wave (CW) mode at room temperature, that is, the current density from 15 to 300 A/cm^2 . Both the μ LED devices exhibit a single emission centered at ~ 500 nm and that the EL intensity

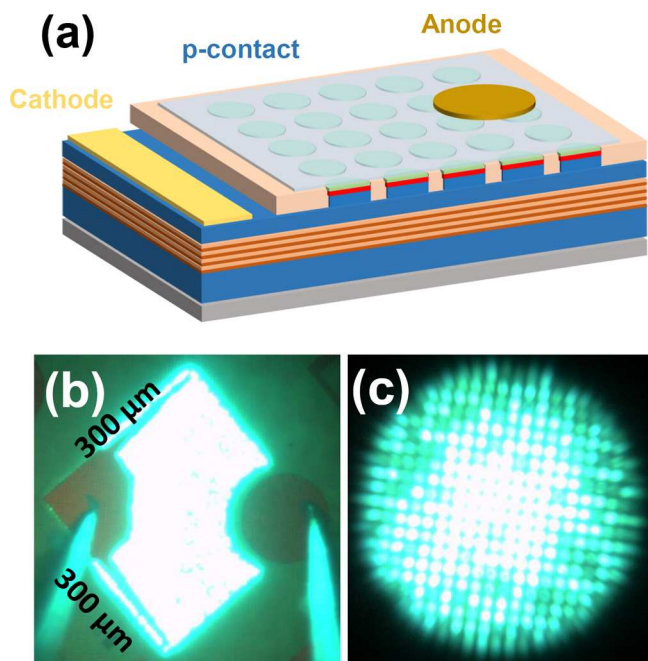


Figure 4. Schematic drawing of our μ LED arrays (a). Emission microscopy images of our μ LED arrays at an injection current density of 15 A/cm^2 under a low magnification (b) and under a high magnification (c).

increases continuously with increasing injection current density. However, it can be observed that there exists a clear difference between them in terms of spectra line width, that is, full width at half-maximum (fwhm).

Figure 5c shows the fwhm's of the EL spectra for both devices as a function of injection current, demonstrating that the μ LEDs with DBRs show a significant reduction in the fwhm's of EL spectra in comparison with those of the μ LEDs without DBRs as expected. In detail, at 20 mA injection current, the spectral line width (i.e., fwhm) drops from 31 nm

for the μ LEDs without DBRs down to 25 nm for the μ LEDs with DBRs, and it further drops from 37 to 29 nm at 100 mA. In addition, both samples show that the fwhm broadens with increasing injection current density due to the band-filling effect, which is often observed.³²

Figure 5d shows the EL wavelengths of the μ LEDs with and without DBRs as a function of injection current, both displaying a blue-shift in peak wavelength with increasing injection current, and the fingerprint of quantum-confined Stark effect (QCSE) generated as a result of strain-induced piezoelectric fields across the InGaN/GaN MQWs.^{33,34} It is worth noting that the blue-shift ($\sim 3.4 \text{ nm}$) for the μ LEDs with DBRs is much smaller than that ($\sim 8.7 \text{ nm}$) for the μ LEDs without DBRs. This suggests that the strain-induced piezoelectric effect in the InGaN/GaN MQWs as an active region is slightly reduced due to partial strain release caused by forming the nanoporous DBRs underneath.

A LCS-100 characterization system is used to measure both light outputs and EQE on our bare-chip LEDs in a CW mode, where the system is equipped with an integrating sphere system and a CCD APRAR spectrometer.

Figure 6 exhibits the light output power of both devices (i.e., with and without DBRs) as a function of injection current density, demonstrating that the μ LEDs with DBRs exhibit much higher light output than those without DBRs. For example, at a current density of 33 A/cm^2 , the light output of the μ LEDs with DBRs is 2.0 mW, while the light output of the μ LEDs without DBRs is 1.2 mW, meaning 67% enhancement in light output is due to the utilization of DBRs.

Figure 6 also shows that the EQE of both devices as a function of current density. For both devices, the EQE increases initially with increasing current density and then reduces with further increasing current density as expected. However, for the μ LEDs with DBRs the peak EQE is $\sim 9\%$ at a current density of 33 A/cm^2 , while the peak EQE of the μ LEDs without DBRs is $\sim 6\%$ which is similar to what we have reported very recently.²¹ This is 50% higher for the μ LEDs without DBRs than that for the μ LEDs without DBRs.

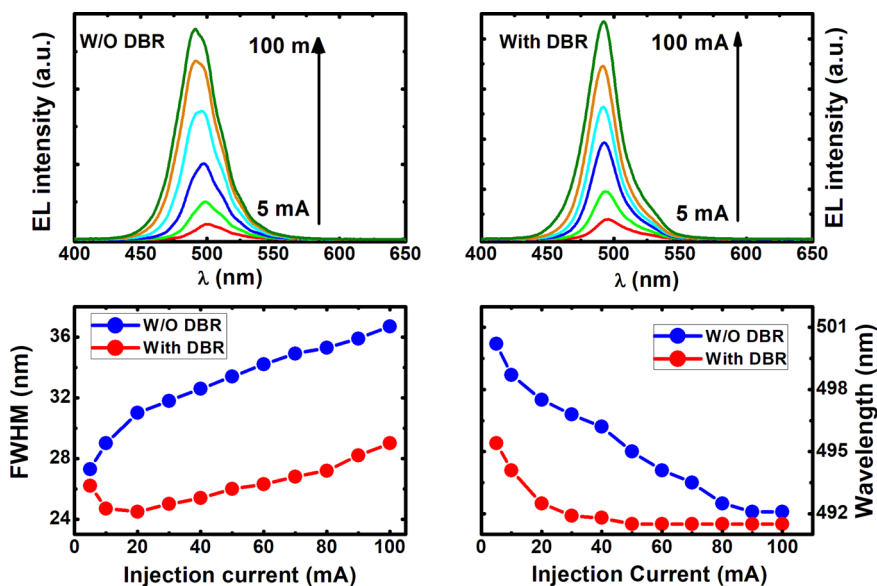


Figure 5. EL spectra of the μ LED arrays without (a) and with DBRs (b), both measured as a function of injection current at room temperature. (c) The fwhm of EL spectra for both devices as a function of injection current. (d) Emission peak wavelength of both devices as a function of injection current.

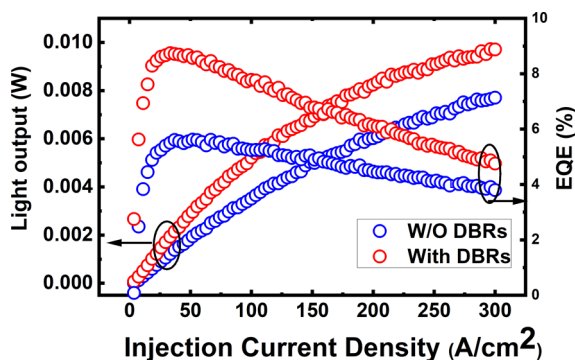


Figure 6. Light output and EQE of the μ LED arrays with and without DBRs measured as a function of injection current density. Note that the measurements have been performed on a bare chip without any package or coating.

Furthermore, this EQE has been benchmarked against other reports for the ultrasmall μ LEDs (i.e., with a diameter of $\leq 5 \mu\text{m}$).^{3,19,20} For details, please refer to Table S1 in Supporting Information.

CONCLUSIONS

In summary, we have reported a combination of our selective overgrowth approach and epitaxial lattice-matched DBRs embedded in order to address these fundamental and also challenging issues (ultrasmall dimension, high EQE, and narrow spectra line width) for achieving ultrasmall, high-efficiency, and high-resolution μ LEDs. As a result, our μ LEDs (i.e., with DBRs) with a diameter down to $3.6 \mu\text{m}$ and an interpitch down to $2 \mu\text{m}$ exhibit an ultrahigh maximum EQE of around 9%, which is 50% higher than those of the μ LEDs without DBRs. More importantly, the spectral line width has been significantly reduced down to 25 nm, which is the narrowest value reported so far for III-nitride green μ LEDs.

METHODS

Nanoporous DBR Growth. An epiwafer is first grown on a *c*-plane sapphire substrate by using a standard MOVPE technique. In detail, a 25 nm low-temperature GaN nucleation layer is grown after initial high-temperature annealing on a sapphire substrate, followed by the growth of a $1 \mu\text{m}$ GaN buffer layer at a high temperature, and then 11 pairs of alternating heavily silicon-doped n^{++} -type GaN (a doping level on an order of $>5 \times 10^{19}/\text{cm}^3$) and undoped GaN layers. Once the epiwafer is ready, a standard EC process is subsequently performed under bias in an acid electrolyte. The mechanism of EC etching is due to an initial oxidation process and a subsequent dissolution process in acidic solution under an anodic bias.³⁰ The injected holes oxidize GaN in an acidic electrolyte, where the oxidized layer is chemically dissolved and NP-GaN is then formed. Therefore, EC etching can be conducted only on highly conductive GaN (meaning that heavily silicon-doped n^{++} -type GaN is required), while undoped GaN or even *n*-type GaN (as long as the doping level is not that heavy) remains intact. Consequently, the pairs of n^{++} -type GaN/undoped GaN are converted into the pairs of NP-GaN/undoped GaN. Because of a significant contrast in refractive index between NP-GaN and undoped GaN, lattice-matched DBRs are formed.

Photoluminescence (PL) Measurements. PL measurements are performed by using a standard PL system equipped with a 375 nm diode laser as an excitation source, where a monochromator (Horiba SPEX 500M) is used to disperse the emission from a sample, and an air-cooled charge-coupled device is used to detect the emission.

Device Fabrication. As usual, indium-tin-oxide, which is deposited and then annealed in air at $600 \text{ }^\circ\text{C}$ for 1 min, is used as transparent *p*-type contact, while Ti/Al/Ni/Au alloys are used as *n*-

type contact. Both *p*-type and *n*-type electrodes are Ti/Au alloys. All the characteristics of our μ LED chips in the present study are performed on bare chips, that is, no coating, no passivation, no epoxy, and no reflector, which are normally used for enhancing extraction efficiency. Current–voltage (*I*–*V*) characteristics of our μ LED arrays with DBRs can be found in Supporting Information.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsnano.0c01180>.

Additional material includes current–voltage characteristics of our μ LEDs and the benchmark against the current state-of-the-art μ LEDs with a dimension $\leq 5 \mu\text{m}$ (PDF)

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Author Contributions

T.W. conceived the idea and organized the project. T.W. and J.B. prepared the manuscript. J.B. performed device fabrication and device characterization. Y.C., P. Feng, and C.Z. grew samples. P. Fletcher performed material characterization. Y.T. contributed to initial DBR fabrication.

Notes

The authors declare no competing financial interest.

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