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## Energy access in Malawian health care facilities: consequences for health service delivery and environmental health conditions

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SHORT RUNNING TITLE: Poor energy access consequences in Malawian HCFs

KEYWORDS: Energy access in health care facilities, Sustainable Development Goal 7, Maternal and child health, Malawi, Energy and environmental health

### KEY MESSAGES:

- We evaluated environmental health conditions, including energy access, at 44 health care facilities (HCFs) in the three regions of Malawi
- Energy availability in Malawian HCFs is inadequate; the grid provides unreliable access with *frequent and sustained 'black-outs'*; *functional back-up* energy sources are rarely available
- Safe working conditions, adequate lighting, and basic environmental health services, such as a regular supply of water, suffer as a result of inadequate energy availability
- The Malawian Ministry of Health, facility staff, and other involved actors should consider facility-type specific measures to improve energy availability and functionality in HCFs

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ETHICS STATEMENT: All facility actors surveyed and interviewed provided verbal and written consent. Ethical approval was received from the Malawi Health Sciences Research Committee (approval number 16/7/1624) and the University of North Carolina at Chapel Hill's Office of Human Research Ethics (approved non-biomedical research, project 16-1682).

**ABSTRACT:** Many health care facilities (HCFs) in low-income countries experience unreliable connectivity to energy sources, which adversely impacts the quality of health service delivery and provision of adequate environmental health services. This assessment explores the status and consequences of energy access through interviews and surveys with administrators and health care workers from 44 HCFs (central hospitals, district hospitals, health centers, and health posts) in Malawi. Most HCFs are connected to the electrical grid but experience weekly power interruptions averaging ten hours; less than one-third of facilities have a functional back-up source. Inadequate energy availability is associated with irregular water supply and poor medical equipment sterilization; it adversely affects provider safety and contributes to poor lighting and working conditions. Some challenges, such as poor availability and maintenance of back-up energy sources, disproportionately affect smaller HCFs. Policy makers, health system actors, and third-party organizations seeking to improve energy access and quality of care in Malawi and similar settings should address these challenges in a way that prioritizes the specific needs of different facility types.

## INTRODUCTION

Sufficient, reliable energy is fundamental for safe and effective patient care in health care facilities (HCFs) (WHO and World Bank 2015). Electricity enables many functions essential to health care services, among them, lighting, refrigeration, sterilization, and powering medical devices (Ouedraogo and Schimanski 2018). However, many HCFs in low- and middle-income countries (LMICs), especially in sub-Saharan Africa, have no access to electricity or face unreliable electrical services that impact patient and provider safety and health service delivery (Adair-Rohani et al. 2013). A study of seventy-eight LMICs found about 60% of HCFs lacked reliable electricity, defined as electricity supply without prolonged interruptions in the preceding week (Cronk and Bartram 2018; WHO and World Bank 2015).

The United Nations (UN) Sustainable Development Goal Seven calls for “access to affordable, reliable, sustainable, and modern energy for all” (UN 2015). The UN Secretary General referred to energy as the “golden thread” that connects many social, economic, and environmental goals (UN 2012). Energy, for example, is linked to the provision of adequate water and sanitation services (WaSH), captured by Goal Six (Mccollum et al. 2018).

Adequate environmental health conditions including WaSH are important for safe patient care (Adams et al. 2008). Energy is necessary for continuous safe water supply, proper sterilization of reusable medical equipment, and functional waste disposal. Although few data concern hospital-acquired infections in LMICs, inadequate environmental health conditions, such as a lack of regular safe water supply, contribute to nosocomial infections and may be associated with higher infant and maternal death rates (WHO 2009; Moffa et al. 2017; Borg 2010).

Despite the importance of reliable energy access and adequate environmental health conditions in health settings, few studies document the relationship between sufficient, reliable energy and the availability of basic environmental health conditions in HCFs. Among the few systematic analyses, most examine WaSH service levels and exclude energy (e.g. Guo et al. 2017; Huttinger et al. 2017). Of the studies that do address energy access, most examine household settings; data on energy access in HCFs are rarely collected systematically and comprehensively (Sustainable Energy Transitions Initiative 2018, Adair-Rohani et al. 2013). There is a need for evidence responding to this void that includes more robust energy metrics such as capacity, reliability, affordability, and sustainability (WHO and World Bank 2015). We addressed these aspects of energy access in HCFs using a novel conceptual framework to describe the characteristics of available energy sources, their uses within HCFs, and their effects on health service delivery and environmental health conditions within facilities (Suhlrie et al. 2018). We: describe the status of energy access in 44 HCFs in Malawi; and triangulate quantitative and qualitative data to investigate linkages between inadequate energy access, facility outputs, and environmental health conditions.

## METHODS

### **Study setting: Malawi**

Malawi is a landlocked country in southeastern Africa that contains Lake Malawi, which generates over 90% of Malawi's electricity (Randson et al., 2013). Household access to electricity is among the lowest in the world - 4% and 42% among rural and urban populations, respectively. The population of over 19 million is growing 2.9% annually, with the largest percentage of the population in the Southern and Central regions (UNICEF 2018). In 2014, Malawi had 1060 private and government-run health care facilities (HCFs) (Malawi Ministry of

Health and ICF International). Malawi's maternal mortality ratio, 634 per 100,000 live births, and neonatal mortality rate, 22 per 1,000 births, are among the highest in the world (UNICEF 2018); its per capita health care expenditure \$39.20 is among the lowest (UNICEF 2016).

### **Study sample**

Quantitative and qualitative data were collected from 44 government-run HCFs in the Northern, Central, and Southern regions of Malawi (Table 1). Fourteen of Malawi's 28 districts were selected to ensure that the number of districts in each of the three regions corresponded to the relative population. Spatial clustering was used to select districts to ensure that the sample covered the geographic area of each region (Figure 1). In conjunction with an in-country partner institution one health center and one health post or dispensary was selected within the catchment area of each of the 14 district hospitals. The sole central hospital in each of the north and central regions was selected, as well as one of the two central hospitals in the southern region (Supplementary Table 2).

### **Table 1. Figure 1.**

### **Data collection**

#### Survey development

The energy assessment was conducted as part of a larger study of environmental health conditions in HCFs. A mobile mixed-methods survey instrument was developed using the following tools: WHO's Essential Environmental Health Standards in Health Care, Soap Box Collaborative WASH and CLEAN Toolkit, WHO and UNICEF's Water and Sanitation for Health Facility Improvement Tool, Clean and Safe Health Facilities Audit Tool from the Medical

Services Directory in Ethiopia, Service Delivery Indicator Survey from Kenya, WHO's Service Availability and Readiness Assessment, WHO's "Monitoring WASH in Birth Settings," and Malawi's Service Provision Assessment (Adams et al. 2008; Ethiopia Ministry of Health 2015; ICF International 2013-14; The Soapbox Collaborative 2014; World Bank Group 2013; WHO 2017a; WHO 2017b). Survey questions were extracted from each source document to construct a comprehensive assessment of the principal environmental health components, comprising: water quality, water quantity, water access, sanitation, wastewater disposal, health care waste disposal, cleaning, laundry, food storage and preparation, vector control, building design, hygiene promotion, and energy access.

Additional energy-related questions captured attributes of electricity supply identified in the WHO's "Access to Modern Energy Services for Health Facilities in Resource-Constrained Settings" and Suhlrie et al.'s (2018) conceptual framework, including sources of power, electricity duration and reliability, power capacity, and seasonal variations in energy access (WHO and World Bank 2015). Questions were validated using selection criteria and panelist review (Schwemlein et al., 2016).

Questions were organized into three surveys: a general facility survey, an outpatient department survey, and a maternity ward survey (Supplementary Materials). All questions were uploaded to the mWater Mobile Application (New York, NY, USA) in English and piloted at a health center in the central region of Malawi.

#### Interview guide development

Semi-structured interview guides were developed for HCF administrators and health care workers (HCWs) (Supplementary Materials). Questions explored environmental health conditions in the facility (practices related to water, sanitation, and waste management, infection



prevention behaviors), and HCW experiences and satisfaction. Energy-related questions, informed by the conceptual framework, explored the impact of energy availability and reliability on facility outputs such as working conditions, health service delivery, and staff satisfaction.

### Implementation

Data were collected between June and August 2017, the dry season in Malawi. The author and colleagues spent two days collecting data at each central hospital, one day at each district hospital; and one day was spent assessing both a health center and a health post or dispensary within a given district. If a facility scheduled was closed or misclassified, in-country officials identified an alternative. Researchers recorded daily field notes. These were compiled weekly in Microsoft Word and shared with research team members.

### Survey administration

All facilities were assessed using the general facility survey, administered to the administrator or the “in-charge”—the administrator that doubled as the lead health care provider at health centers and health posts. In cases (n=10) where the administrator was unavailable or the in-charge was attending patients, a facility-level environmental health official, nursing officer, or maintenance supervisor responded to the survey (Supplementary Table 1).

The general facility survey was the only survey administered at health posts and dispensaries. At central hospitals, district hospitals, and health centers, the maternity and outpatient ward surveys were also administered to the appropriate HCW in each ward.

Surface swab samples were collected in maternity wards at central hospitals, district hospitals, and health centers. Swabs of sink handles, delivery mattresses, light switches, and forceps from sterile delivery packs were immediately analyzed using a Hygiena UltraSnap™

ATP meter (Hygiena Camarillo, CA, USA). UltraSnap is an adenosine triphosphate (ATP) assay used to assess cleaning practices rapidly as ATP is a reliable indicator of the presence of microorganisms (Carling and Bartley 2010).

Information was collected on respondents' job title and educational background, but no other personal identifying information was obtained from respondents.

### Qualitative interviews

Interviews were conducted by the first and second authors with administrators and HCWs who had been working at the facility for at least six months to ensure sufficient knowledge of facility conditions. To reduce participant time burden, particularly in settings where a medical assistant served as the facility's administrator and sole health care provider, the survey and interview questions were administered in a single session. All interviews were conducted in English and audio recorded.

## Data Analysis

### Figure 2.

We organized our analysis according to five components (energy types, energy supply characteristics, energy uses, facility outputs, and environmental health conditions), for which data from surveys and in-depth interviews were available, derived from the conceptual framework for the role of modern energy in HCFs proposed by Suhlrie et al. (2018).

### Quantitative data analysis

Survey data were exported and cleaned in Stata (V13, StataCorp, College Station, TX, USA), and organized by the five components of the simplified energy framework. Energy access

in HCFs was characterized by connection to the electrical grid, availability and functionality of back-up sources, duration, frequency, and predictability of electrical interruptions, and energy uses in HCFs. Summary statistics were calculated, and Fisher's exact test was used to explore relationships between availability of a back-up energy source with facility environmental health conditions.

### Transcription and coding

English audio recordings of interviews with HCF administrators and HCWs were transcribed. A preliminary codebook was developed using field notes prepared by the data collection team and the five components of the energy framework. The codebook was structured to allow themes to emerge from the data. Transcripts were categorized by actor and region. Dedoose (Dedoose, Los Angeles, CA, USA) was used to code the interview transcripts.

Additional codes emerged during the first round of coding. The codebook was finalized at the end of the first round and applied during the second round of coding (Supplementary Materials). Coders were assigned a different set of transcripts in the second round.

### Thematic analysis

The author examined excerpts within groups of codes to identify energy-related themes present in the data and to provide detailed insight on quantitative findings. Code analysis tools in Dedoose were used to examine co-occurrences of codes related to "energy access," "energy maintenance," "back-up source," "energy use," "insufficiency," "challenge," "working conditions," and "safety." Additional themes related to energy supply reliability, capacity, conditions in delivery wards, and effects on health service delivery were explored. Descriptor tools were used to examine energy challenges that emerged across the four facility types.

### **Ethics statement**

All facility actors surveyed and interviewed provided verbal and written consent. Ethical approval was received from the authors' institutions.

## RESULTS

### **Primary energy source types and uses**

Malawian HCFs are either connected to the electrical grid served by the Electricity Supply Corporation of Malawi (ESCOM) as their primary source, or have an off-grid primary source, such as solar panels or a fuel-based generator. A source was considered primary if it was used consistently to power a necessary HCF function, such as lighting, refrigeration, or electrical medical equipment. Facilities had zero, one, or more than one primary energy source. The electrical grid was the predominant primary energy source at assessed facilities (82%) (Table 2).

The grid powered the following energy uses in at least ten facilities: lights, communication devices, refrigerators, sterilizers, medical devices, computers, fans, air conditioning units, cooking equipment, water pumps, and internet devices. Eleven facilities used photovoltaic (solar) systems for lights and water pumps, and four used solar for charging cell phones. Other energy sources such as wood and gas provided power to sterilizers, refrigerators, and cooking equipment at ten facilities.

### **Energy supply characteristics**

**Table 2.**

**Table 3.**

Energy supply characteristics include availability, reliability, predictability, seasonality, affordability, functionality, sustainability, and capacity.

Energy reliability (frequency and duration of interruptions) was poor at most HCFs. Less than half of the HCFs reported that their primary source had always worked when needed in the past week, and none reported the source had always worked when needed in the past six months

(Table 2). Electrical interruptions, or ‘black-outs’, lasted on average nine hours each in the past week, and eleven hours each in the past six months.

Black-outs on both time scales were predominantly unpredictable, meaning the facility had not expected an electrical interruption (Table 2). Only 14 of 44 (32%) facilities had an available and functional back-up source (Table 3). Back-up sources among grid-connected facilities were 20 generators (56%) and three solar systems (8%).

Capacity (source’s ability to run all required appliances) of back-up generators was poor. Most small generators were incapable of supplying sufficient power to all energy-dependent facility services. In surveys, 85% of facilities reported prioritizing certain energy-dependent services such as pharmacies, maternity wards, laboratories, and major surgery wards during electrical interruptions, causing other energy-dependent services to suffer. Respondents in 65% of HCFs reported that electrical interruptions had constrained health service delivery in the past week; this rose to 80% in the preceding six months (Table 3).

Survey data could not be collected for all supply characteristics; those not included in survey results are addressed in qualitative findings and discussion.

### In-depth interviews

Twenty-seven out of 42 (64%) administrators, and 23 out of 39 (59%) HCWs cited poor grid reliability, including frequent and lengthy black-outs. Two district hospital HCWs said, “Black-outs are so frequent, every two days we have *a blackout of maybe eight hours,*” and, “They could be two hours, maybe one hour thirty minutes. But the weekend ones, they take time, *maybe 24 hours...*” A health post administrator summarized the countrywide problem, “[T]his is

not the only facility experiencing problems, it is the whole country. We are [all] experiencing interrupted power supply.”

Only at the central level, two administrators of the three central hospitals cited being “spared” from electrical interruptions because they receive advance warning from the energy utility regarding scheduled electrical interruptions, allowing them to make preparations such as filling water storage containers or acquiring fuel for generators. One administrator said, “*Here we are being spared as a hospital. Sometimes we are given notice. If we are given notice, the [black-out] can last the whole day.*” Other facility types were excluded from the alerts, including district hospitals, which provide many of the same services as central hospitals.

Health centers and health posts experienced delays in energy system repairs lasting several days to months—some generators and solar panels had been nonfunctional for over a year. These facilities rely on district maintenance teams, housed at district hospitals. Repair delays were most commonly attributed to insufficiencies in maintenance staff, funding for supplies procurement, and transport from the district to the facility. One health post in-charge remarked, “When there is a problem we always call [the district], but the response is always devastating. You cannot keep calling someone and see that nothing is being done. The response we get is just the same, *‘we don’t have funds...’*”

Eight of the twenty facilities (40%) with generators, referred to locally as “gen-sets”, lacked sufficient fuel for the generator. Administrators and HCWs from all HCF types partially attributed this to competition between vehicles and generators for fuel from a shared fuel ‘pool’. No facilities had fuel designated exclusively for generators. Other reasons for insufficient generator fuel were specific to HCF type—respondents from health centers and health posts reported that the district health office, which manages the budget for fuel supply, had insufficient

funding, and that facility actors lacked transportation to collect generator fuel from the district. Many district hospitals had large generators that they could not afford to fuel and instead used multiple smaller generators. One HCW explained, “[W]e had the gen-set *which ... was catering* for all the hospital, but because of the shortages of oil, we had so many problems. So, we just *decided to have the two small ones.*”

Thirteen administrators (39%) discussed insufficient capacity of their back-up fuel-based generators. One central hospital administrator said of the facility’s energy supply, “I am not satisfied... Not all the departments are connected to the generator. So sometimes we have power interruptions and you find that some of the departments are experiencing black-outs.” Another district hospital administrator explained only being able to fulfill some of the facility’s energy-dependent services during black-outs. “*We need power in the lab since you cannot take x-rays without electricity. The theater as well needs power. We have a big generator but it is not functioning, [so] we have small ones positioned in some areas... the ones which are more critical.*”

Insufficient back-up sources coupled with frequent electrical interruptions adversely affected service provision to patients. Poor energy conditions impede sufficient lighting necessary for routine health care services. HCWs from out-patient care at seven facilities reported difficulty correctly diagnosing patients and carrying out routine procedures, such as administering fluids intravenously and suturing wounds, under poor lighting conditions.

Poor energy conditions also hinder functionality of electrical medical equipment. In extreme cases, this led to deaths. One administrator said, “*The electricity that we are supplied is not constant, as a result we are bringing in alternatives. Not even these are giving us satisfaction. There were times that we lost lives because the gen-set did not pick up as early as*



*we thought.*” A handful of nurses linked unreliable energy access to poor neonatal and maternal health outcomes. One nurse noted: “[*Some*] babies need oxygen, but because of the black-out, *most of the babies die during these periods.*”

### **Energy access repercussions for facility outputs**

We identified three ways that sufficient, reliable energy supply is intertwined with facility outputs: hours of operation, sufficient birth facility lighting, and HCW perception of personal safety.

#### Twenty-four hours of operation

All HCFs are supposed to provide health care services for 24 hours. In central and district hospitals, this includes all services. In health centers, health posts, and dispensaries, 24-hour care includes basic services, namely deliveries and emergency out-patient care. In our assessment, 93% of HCFs offered 24-hour services (Supplementary Table 3).

Several factors hindered smaller facilities from operating for 24 hours, including inadequate night-long energy access. Five HCWs from health centers and health posts reported that they were unable to continuously provide necessary services, including deliveries and minor outpatient procedures. Their facilities lacked reliable energy access and a back-up energy source. One nurse said, “*There were frequent episodes of blackouts [and] unfortunately this facility doesn’t have a generator; that [has] proven a challenge.*” She went on to explain that 24-hour services cannot be provided. “Suppose there is no light and you are suturing someone, you will suture yourself.” All 14 facilities with an available, functional back-up source were among the HCFs offering 24-hour services. Facility staff may be more confident in remaining open for 24

hours knowing that back-up energy sources are available in the event of primary source failure during the night.

### Sufficient lighting in the birth facility

Twelve of thirty-one (39%) facilities that offered delivery services had regular, sufficient lighting in the birth facility (Supplementary Table 4).<sup>1</sup> Fourteen birth facilities (45%) had lighting characterized by electrical disturbances that were weekly or more frequent. Five facilities (16%) had entirely irregular, insufficient, or absent lighting.

Unreliable grid service contributes to insufficient delivery room lighting at grid-connected HCFs. However, several maternity wards also had a photovoltaic (solar) system with insufficient capacity to power lights throughout the birth facility. A district hospital midwife described the challenges of having a single solar-supplied lamp, “When there is no [grid] electricity, [the lamp] cannot light the other beds. This is okay to conduct [a] delivery, but if the *mother has got a tear or is bleeding, it is very difficult to handle that case.*” HCWs, particularly from health centers, reported that solar energy rarely lasted through the night and was unavailable altogether during the rainy season. Others reported that solar lights were completely non-functional due to unfulfilled district maintenance requests.

Health service delivery was often compromised when sufficient lighting was not available to provide care to women with complications related to pregnancy and delivery. Necessary procedures were often delayed until sufficient lighting was available, or the woman was transferred to a different facility. A district hospital nurse explained, “*If you want to do a caesarean section, you can’t because there is no electricity.*” Another HCW recalled a specific

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<sup>1</sup> Minimum lighting requirements for delivery wards are unclear; basic lighting requirements for health clinics are estimated at 162 lux for general and task illumination (WHO and World Bank 2015).

incident, “We were about to go to the theater to operate on a pregnant woman and then we had a black-out before we started the procedure, so we had to wait for an hour. Had it been we had already started operating on the patient and then had [the] black-out, there would have been chaos.” Anticipating poor lighting at night, nurses at six HCFs said they instruct pregnant mothers to bring candles when coming to give birth. Ten nurses (32%) recalled using cell phones, flashlights, or candles as alternative light sources.

#### Health care worker safety

During interviews at over one-third of facilities, HCWs of both genders said insufficient energy availability and poor lighting threatened their safety while working in the facility at night. Some worried about outsiders, or animals such as stray dogs, wandering into the facility in the dark. Others felt unsafe walking through unlit areas. A district hospital nurse said, “*The corridor itself is not fully light ... so whenever you are transferring a patient from here to theater, you are walking between the building in total darkness.*” Others worried about handling needles and sharp medical equipment in the dark. One HCW said, “*If we don't [have power supply] it is very difficult because we don't have any backup to see where, for example, a sharp is. To work with sharps at night when we don't have any power becomes difficult.*”

#### **Energy access repercussions for environmental health conditions**

We identified two ways that sufficient, reliable energy supply is intertwined with basic environmental health conditions in HCFs: regular access to safe water and sterility of reusable medical equipment.

#### Regular supply of safe drinking water

Nearly three-fourths of HCFs had a primary water source that required pumping into the facility. The majority (86%) of water sources were functional on the day of the visit, but six out of ten facilities had at least one breakdown in the last six months (Supplementary Table 5).

During interviews, electrical breakdown was the most common reason cited for water unavailability. The respondent at the only HCF with no energy access defined the facility's biggest challenge as securing sufficient water and linked this to the absence of a primary energy source.

More than half of respondents reported that water shortages were more common in September, October, and November, corresponding to the hot, dry season in Malawi (Supplementary Table 5). Facilities also reported using their back-up energy source most frequently in the dry season (Table 3).

Since Malawi is predominantly powered by hydroenergy, the hot, dry time of year could explain both water and energy shortages (Power Africa 2018). One nurse noted, "*When the water gets lower in Lake Malawi, the [utility] complains that the water table has gone down. During that time... we have the most frequent blackouts and water problems.*" Yet approximately one-third of facilities did not view water shortages and electrical interruptions as seasonal, suggesting that these challenges at some HCFs are not confined to a single season. One district hospital administrator remarked, "*When it is [the] rainy season, you wouldn't expect to have many challenges because the streams have filled, and you could consequently think that the major source of water that drives the turbines, would rise. [And] logically one would expect we wouldn't have black-outs as frequently. But ironically, that's when [some] black-outs are experienced.*"

During water shortages, actors such as cleaners and patient guardians, often family or close friends, fetch water from a borehole which may be on or off the facility premises. Collected water is often carried and stored in open buckets. This practice is associated with a higher probability of water contamination compared to acquiring water directly from the tap (Shields et al. 2015). Respondents also reported that water shortages adversely affected their ability to administer oral drugs, and to ensure good sanitation and cleaning practices.

#### Safe reuse of sterilized medical equipment

##### **Table 4.**

Facilities used a combination of techniques to clean and sterilize medical equipment such as forceps used during deliveries (Supplementary Table 6). All facilities that offered delivery services used chlorine to disinfect equipment; 90% also used soap and water to clean, and 81% also used an electric autoclave to sterilize.

Results from ATP testing of swabs of forceps from sterile birth packs were “passing” if the Relative Light Units (RLU) value was less than 30 on the Hygiena UltraSnap™ (Hygiena Camarillo, CA, USA). Six of 31 (20%) forceps “failed” (RLU value of greater than 30).

Facilities with a functional back-up energy source were significantly less likely to have forceps with high levels of contamination ( $P=0.029$ ) (Table 4). While we cannot draw causal links between back-up energy source availability and equipment sterility, back-up sources allow facilities to sterilize equipment properly during grid black-outs.

Facilities with a functional main water source were also significantly less likely to have forceps with high levels of contamination ( $P = 0.016$ ) (Table 4). Similarly, while we cannot draw causal links between water availability and equipment safety, available and reliable energy improves access to both water and safe, reusable equipment in delivery wards.

During electrical interruptions, electric autoclaves are non-functional and equipment cannot be sterilized properly. One district nurse said, “If there is no energy, there is no sterilization [and] no infection prevention.” HCWs reported that, in such instances, sterilization is sometimes performed at facilities in nearby towns that are able to sterilize during black-outs.

HCWs reported that when unable to access sterilization elsewhere, they were more likely to have insufficient sterile birth packs and to use equipment that had not been properly sterilized. The use of non-sterile equipment leads to a higher risk for maternal infection, and clean birth kits are essential to ensuring safe deliveries in HCFs (WHO 2006).

### **Energy-related challenges vary by facility type**

#### **Table 5**

We summarize energy challenges faced by Malawian HCFs (Table 5). Some, such as grid unreliability, are common across all HCFs, while others, such as lack of a back-up energy source, are specific to smaller facility types. Health centers and health posts face a broader range of challenges.

## DISCUSSION AND IMPLICATIONS

Energy enables many functions essential to health care services and plays an important role in ensuring adequate environmental health conditions, which are important for safe patient care. Our mixed methods research in 44 public Malawian HCFs describes the status of energy access in these facilities, reinforces knowledge of how energy is essential to health care, and suggests important relationships between energy access and environmental health conditions.

The majority of Malawian HCFs are connected to Malawi's electricity supply company (ESCOM). However, unreliable grid-supply, coupled with insufficient back-up sources, contributes to inadequate energy access. Inadequate energy access is associated with an irregular water supply and unsatisfactory sterilization of critical medical equipment; adversely influences health care worker and patient safety; and contributes to poor lighting and working conditions. Further challenges vary across facility type: health centers and health posts often lack a back-up energy source and experience delays in energy system maintenance; district and central hospitals lack sufficient generator fuel. As a result, essential energy-dependent services suffer.

### **Comparison to related work**

Some previous works analyze data from Sub-Saharan African countries' Service Provision Assessments (SPA). These provide an overview of a country's health service delivery (Suhlrie et al 2018., Ouedraogo and Schimanski 2018). A recent analysis of Malawi's 2013-14 SPA found that 69% of all facilities were grid-connected and 9% had no electricity; for government-run facilities, these percentages were 54% and 13% (Suhlrie et al. 2018); in our study we found 82% and 2%. Among grid-connected facilities, less than one-third had a back-up source; we found this proportion to be nearly double.

Our study differs from SPA-based analyses in having a smaller sample size and excluding private facilities. Nevertheless, the consequences of poor energy access that we identify are consistent with these works, including insufficient power for energy-dependent laboratory and maternal services equipment (Ouedraogo and Schimanski 2018). Larger sample sizes permit in-depth quantitative explorations of associations between the source and continuity of electricity and different energy uses; Suhlrie et al. find that energy uses such as electric sterilization devices were less likely to be functional with lower quality electricity supply but point to a lack of information on several variables, most notably the use of back-up sources, functionality of solar systems, and impacts of energy on health services, which are present in our study.

Analyses of SPA or census-like data provide greater breadth of statistics from a nationally representative sample of HCFs but lack qualitative insight into challenges posed to HCWs. Our in-depth interview findings are similar to the experiences of nurses in other resource-constrained settings. A 2012 report from interviews with 122 Ugandan HCWs discussed challenges maternity nurses face in attending child births in the dark (The Coalition for Health Promotion and Social Development & VSO Uganda).

Still, survey data are needed to quantify the status of energy access and provide a baseline for future monitoring and impact assessments. Our mixed-methods both contribute to the data needed to quantify the status of energy access and provide a baseline for future monitoring and impact assessment; and allows for a deeper understanding of causes and consequences of inadequate energy access in HCFs; thereby informing recommendations for policy makers and other involved actors.

## **Limitations**



To assess our sample of 44 HCFs in Malawi, the health center and health post/dispensary in each district were surveyed on the same day; thus, health centers and health posts with close proximity to one another were chosen. In some instances, the health center surveyed in each district was also located near the district hospital. Given this sampling approach, remote HCFs are likely to have been undersampled. However, the results reflect four facility types across all three regions of Malawi.

Our data set relies on participants' memories of the recent history of facility water and energy systems. At smaller HCFs, one respondent frequently served as the medical provider and HCF administrator. Therefore, data from these facilities depends heavily on one respondent's knowledge of the facility's environmental health conditions and ability to recall events such as water and energy system breakdowns. To address this, respondents were not asked to recall events longer than six months ago. Recall is less of a concern in central and district hospitals where a range of actors was interviewed.

### **Implications for policy and practice**

Countrywide energy matters are in the de jure purview of Malawi's Ministry of Natural Resources, Energy, and Environment. However, other involved actors such as Malawi's Ministry of Health (MoH), facility actors, and non-governmental organizations are closer to energy challenges in health care settings. Building on knowledge of how energy needs vary by facility classification (Franco et al. 2017), we recommend that these actors consider the challenges specific to each facility type to improve energy access, environmental health, and working conditions in HCFs.

Grid unreliability: Facilities of all sizes experience grid unreliability—blackouts are frequent, sustained, and unpredictable. Central hospitals are most likely to receive advance warning from Malawi’s energy utility regarding scheduled electrical interruptions. While district hospitals provide many of the same services, they rarely receive such notices. Health centers and health posts lack these warnings. The MoH and facility-level actors should advocate for HCF exemption – or advance warning if exemption cannot be achieved - from scheduled grid blackouts and for increased communication with ESCOM to ensure more reliable connectivity. This may reduce adverse effects on health service delivery and allow smaller facilities to prepare for water shortages and schedule equipment sterilization. Electricity utility-level examinations may lead to better understanding of how grid infrastructure and utility practices could be improved to ensure more reliable energy supply.

Lack of back-up energy sources: Smaller health facilities are more likely to lack a back-up source. Health centers, which provide maternity services, should receive priority for acquiring back-up sources. The MoH and third-party actors whose work focuses on improving health service delivery should provide adequate back-up energy sources at HCFs; this may include entities who have worked to ensure safe water supply and other energy-dependent WaSH improvements.

Delayed energy system repairs: Health posts and health centers rely on district maintenance teams to repair generators and solar panels, and to supply meter units for grid connectivity. Insufficiencies in maintenance staff, funding for supply procurement, and transport lead to repair

delays. District health officials responsible for maintenance budget and staffing should define measures to improve the timeliness and efficacy of maintenance practices.

**Insufficient generator fuel:** Facilities with back-up generators, found most frequently at central and district hospitals and health centers, lack sufficient fuel. Administrators and officials involved in budgetary allocations at the district level should ensure funding to supply sufficient fuel for generators. To address competing use of fuel between vehicles and generators, HCF administrators should make separate fuel allocations. Facility staff may also develop plans for strategic generator placement that prioritizes critical energy-dependent services during black-outs, maximizing benefits of limited generator fuel. Managerial oversight in planning fuel stocks and monitoring energy use can help improve facility energy conditions (Ngounou et al. 2015).

**Sustainable, affordable technologies:** Nurses and midwives reported that solar panels had insufficient capacity to pump water into the facility or to power lights in the maternity ward, particularly during the rainy season. Nevertheless, photovoltaic systems represent a promising energy source for the Global South and a sustainable option as part of the portfolio for improving energy access in Malawi (Franco et al. 2017). The MoH has partnered with third parties such as the Global Fund to introduce solar power in over 85 facilities (Turner 2017). It is important that these efforts consider seasonal differences to maximize use of this technology. Energy experts have proposed the use of hybrid solar-diesel systems to ensure reliable energy access; however, their cost is high and innovative financial solutions are needed (Franco et al. 2017, WHO and World Bank 2015).

## **Implications for research on energy in HCFs**

Previous work calls for broad interagency efforts to advance a framework to measure the dimensions of energy access in HCFs in resource-constrained areas (Adair-Rohani et al. 2013). In light of our use of the framework proposed by Suhlrie et al. and insights gained from the present study, we suggest transportation be added to the energy framework (2018). Fuel availability for vehicles influences an HCF's ability to transfer patients with complications to higher-level facilities, obtain hospital and cleaning supplies, and supply fuel for generators.

Energy criteria have been excluded from most WaSH and environmental health research; a handful of surveys include basic energy access indicators. More research is needed to further understand linkages between energy access and environmental health conditions, particularly those not included in this study, such as energy and waste management. Future surveys and HCF infrastructure assessments conducted by ministries of health, international development agencies, and other organizations should use robust metrics to examine energy access, including capacity, reliability, affordability, quality, and sustainability. Our inclusion of these metrics, along with triangulation between survey and interview findings, allow in-depth understanding of linkages between energy access, facility outputs, and environmental health conditions.

Overall, our research suggests that better energy conditions improve patient outcomes, working conditions, and environmental health in HCFs. In the absence of sufficient geographic coverage on energy access in HCFs (Adair-Rohani et al. 2013), this research may prove useful for improving energy conditions in similar health care settings in Sub-Saharan African countries with a centralized energy grid, and in understanding challenges faced by HCFs with varying service levels.

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**Table 1.** Number of public health care facilities surveyed, by facility type and region in Malawi

Region	Central Hospital	District Hospital	Health Center	Health Post/Dispensary	Total
North	1	3	3	3	10
Central	1	5	6	4	16
South	1	6	5	6	18
Total	3	14	14	13	44

**Table 2.** Primary energy source type, reliability, predictability, and impact on service delivery in the last week and six months before data collection

Category	number of facilities (percentage of facilities)									
	Central (C) (n=3)		District (DH) (n=14)		Health Center (HC) (n=14)		Health Post (HP) (n=13)		Total (n=44)	
Primary energy source type (facilities could have none, one or more)	Central (C) (n=3)		District (DH) (n=14)		Health Center (HC) (n=14)		Health Post (HP) (n=13)		Total (n=44)	
Grid	3 (100%)		14 (100%)		13 (93%)		6 (46%)		36 (82 %)	
Photovoltaic (solar) system	0 (0)		3 (21%)		3 (21%)		5 (38%)		11 (25 %)	
Other (wood, coal, gas)	0 (0%)		3 (21%)		4 (29%)		3 (23%)		10 (23 %)	
Fuel-based generator	0 (0%)		1 (7%)		1 (7%)		1 (8%)		3 (7 %)	
No energy access	0 (0%)		0 (0%)		0 (0%)		1 (8%)		1 (2 %)	
	Central	District	Health center	Health post	Total	Central (n=3)	District (n=14)	Health center (n=14)	Health post (n=12)	Total (n=43)
Primary energy source reliability:	<i>In the past week (n = 42, n<sub>C</sub>=3, n<sub>DH</sub>=14, n<sub>HC</sub>=14, n<sub>HP</sub>=11)*</i>					<i>In the past six months (n = 43)</i>				
Always worked when needed	3 (100%)	6 (43%)	6 (43%)	2 (18%)	17 (40%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Didn't always work	0 (0%)	8 (57%)	8 (57%)	9 (82%)	26 (60%)	3 (100%)	14 (100%)	14 (100%)	12 (100%)	43 (100%)
Duration of electrical interruptions	<i>In the past week (n=17)</i>					<i>In the past six months (n = 43)</i>				
Average (hours)	9.1					11.1				
Electrical interruptions predictability:	<i>In the past week (n = 17, n<sub>C</sub>=0, n<sub>DH</sub>=5 n<sub>HC</sub>=5, n<sub>HP</sub>=7)</i>					<i>In the past six months (n = 43)</i>				
Predictable	0 (0%)	1 (20%)	0 (0%)	2 (29%)	3 (18%)	1 (33%)	1 (7%)	1 (7%)	1 (8%)	4 (9%)
Unpredictable	0 (0%)	4 (80%)	5 (100%)	5 (71%)	14 (82%)	2 (67%)	13 (93%)	13 (93%)	11 (92%)	39 (91%)
Energy breakdown impact on service delivery:	<i>In the past week (n = 16, n<sub>C</sub>=0, n<sub>DH</sub>=5 n<sub>HC</sub>=5, n<sub>HP</sub>=6)</i>					<i>In the past six months (n = 43)</i>				
Affected service	0 (0%)	3 (60%)	4 (80%)	4 (67%)	11 (69%)	2 (67%)	11 (79%)	12 (86%)	10 (83%)	35 (81%)
Did not affect service	0 (0%)	2 (40%)	1 (20%)	2 (33%)	5 (31%)	1 (33%)	3 (21%)	2 (14%)	2 (17 %)	8 (19%)

\*n varies based on survey skip-logic based on answers to previous questions

**Table 3.** Back-up energy source types, functionality, capacity, and seasonality

Category	number of facilities (percentage of facilities)
<i>Back-up energy source type (n = 37)*</i>	
Fuel-based generator	20 (54 %)
No back-up source	14 (37 %)
Solar	3 (8 %)
<i>Back-up energy source status (generator, n=20)</i>	
Functional	13 (65 %)
Non-functional	7 (35%)
<i>Back-up energy source functionality (solar, n=3)</i>	
Functional	1 (33 %)
Non-functional	2 (67 %)
<i>Capacity of back-up energy source (n = 20)</i>	
Back-up source can power all required appliances	3 (15 %)
Back-up source cannot power all required appliances	17 (85 %)
<i>Season when back-up source is used more frequently (n= 17)</i>	
Dry season	8 (47 %)
Wet season	4 (24 %)
Equal in both	5 (29 %)

\*n varies based on survey skip-logic based on answers to previous questions

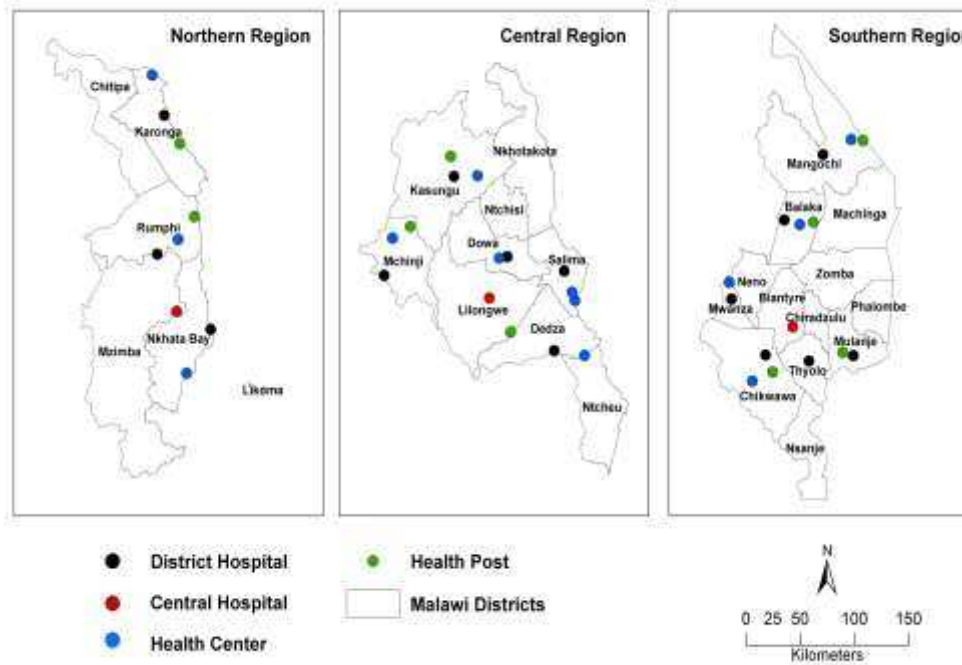
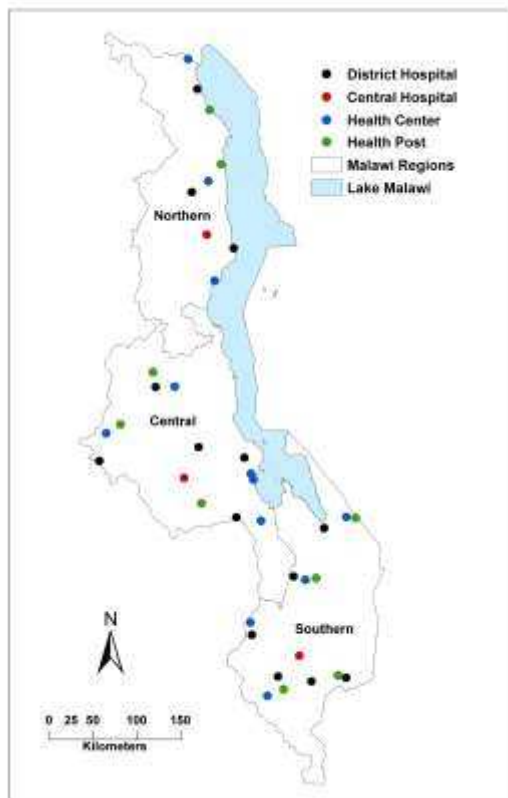
**Table 4.** Water source functionality, back-up energy source availability and ATP swab fluorescence for forceps in sterilized birth packs

Condition	Pass (RLU< 30)	Fail (RLU>=30)	Condition Totals
Main water source Functional	24	3	27 (87%)
Main water source Non-functional	1	3	4 (13%)
Fisher's exact test		0.016*	
Functional back-up power source	20	2	22 (73%)
No functional back-up power source	4	4	8 (27%)
Fisher's exact test		0.029*	
Pass/Fail Totals	25 (81%)	6 (19%)	

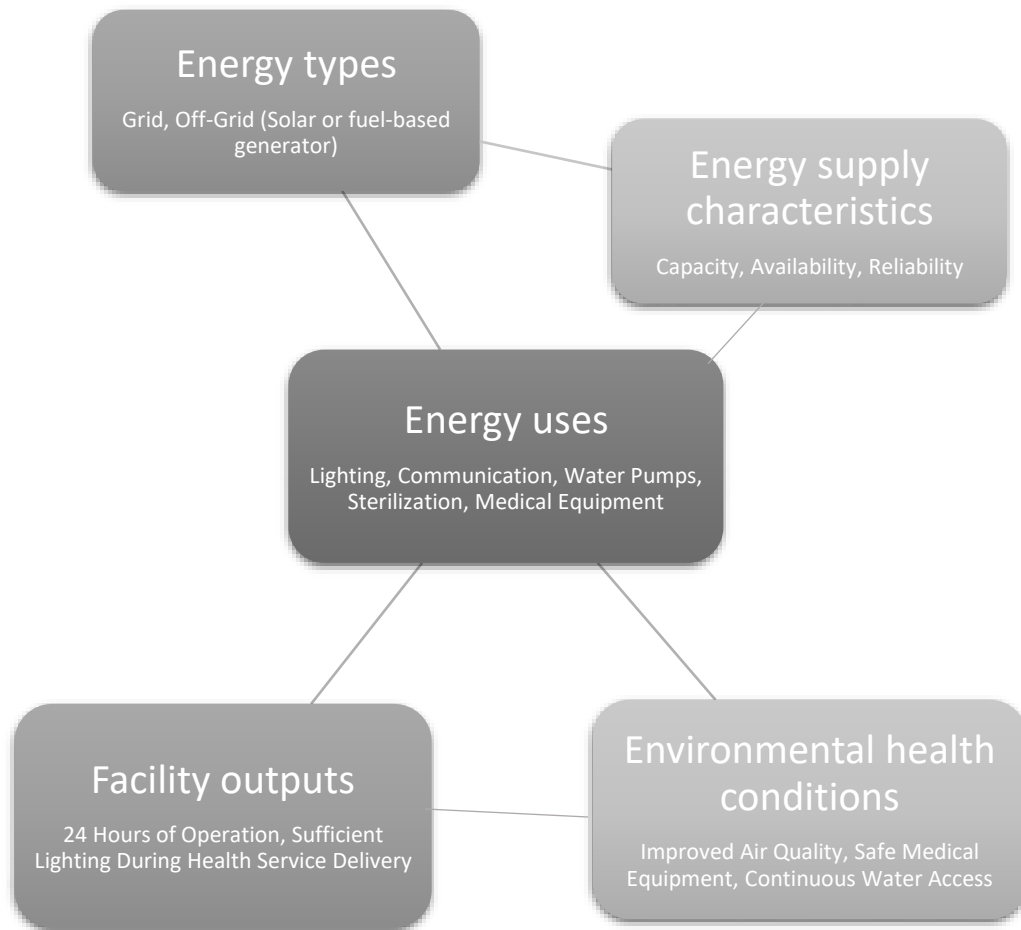
\*Significant at 95% Confidence Level

**Table 5.** Summary table of energy challenges, by facility type

Facility type	Services provided	Energy challenges
Central Hospital (n=3)	In-patient Services Delivery Services Out-patient Care	Unreliable service from energy utility; Insufficient fuel available for generators.
District Hospital (n=14)	In-patient Services Delivery Services Out-patient Care	Unreliable service from energy utility; Insufficient fuel available for generators; No advance warnings of blackouts from the utility.
Health Center (n=14)	Delivery Services Out-patient Care	Unreliable service from energy utility; Insufficient fuel available for generators; No advance warnings of blackouts from the utility; Insufficient meter units for grid access; Lack of a back-up energy source; Slow district response to energy system breakdowns; Solar systems nonfunctional during rainy season.
Health Post/Dispensary (n=13)	Out-patient Care	Unreliable service from energy utility; Insufficient fuel available for generators; No advance warnings of blackouts from the utility; Insufficient meter units for grid access; Lack of a back-up energy source; Slow district response to energy system breakdowns; Solar systems nonfunctional during rainy season.



1A. 1B.  
**Figure 1.** Facilities assessed, by facility type, region, and district in Malawi.



**Figure 2.** A simplified version of Suhlrie et al.’s conceptual framework characterizing modern energy in HCFs, including energy source types, characteristics, uses, and relationships with facility outputs and environmental health conditions. The framework was used in development of survey questions, mixed-methods data analysis, and organization of the Results section (Suhlrie et al., 2018).