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Article:

Sarkar, A orcid.org/0000-0003-1742-2122 and Dickinson, E (2020) Sustainable food-grade Pickering emulsions stabilized by plant-based particles. *Current Opinion in Colloid and Interface Science*, 49. pp. 69-81. ISSN 1359-0294

<https://doi.org/10.1016/j.cocis.2020.04.004>

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Sustainable food-grade Pickering emulsions stabilized by plant-based particles

Anwasha Sarkar* and Eric Dickinson

Food Colloids and Bioprocessing Group, School of Food Science and Nutrition,

University of Leeds, UK

*E-mail: A.Sarkar@leeds.ac.uk

Abstract

This review summarizes the major advances that have occurred over the last 5 years in the use of plant-based colloidal particles for the stabilization of oil-in-water and water-in-oil emulsions. We consider the characteristics of polysaccharide-based particles, protein-based particles, and organic crystals (flavonoids) with respect to their particle size, degree of aggregation, anisotropy, hydrophobicity, and electrical charge. Specific effects of processing on particle functionality are identified. Special emphasis is directed towards the issue of correctly defining the stabilization mechanism in order to distinguish those cases where the particles are acting as genuine Pickering stabilizers, through direct monolayer adsorption at the liquid–liquid interface, from those cases where the particles are predominantly behaving as ‘structuring agents’ between droplets without necessarily adsorbing at the interface, *e.g.* in many so-called high internal phase Pickering emulsions (HIPPEs). Finally, we consider the outlook for future research activity in the field of Pickering emulsions for food applications.

Keywords: Pickering emulsion; microgel; cellulose; prolamin; flavonoid; particle shape

1 Introduction

2 Dispersions of liquid emulsion droplets or gas bubbles stabilized by colloidal particles (*via*
3 the Pickering stabilization mechanism) are highly resilient towards coalescence and Ostwald
4 ripening as compared with conventional dispersions stabilized by surfactants or polymers.
5 This behaviour is attributed to the large detachment energy (ΔG_d) associated with dislodging
6 spherical colloidal particles from the fluid–fluid interface [1–4] once they have become
7 located there:

$$8 \quad \Delta G_{d,sphere} = \gamma_{\alpha\beta} \pi r^2 (1 - |\cos \theta|)^2 \quad . \quad (1)$$

9 In equation (1), r is the radius of the spherical colloidal particle, $\gamma_{\alpha\beta}$ is the surface free energy
10 or fluid–fluid interfacial tension (*i.e.*, γ_{ow} for oil–water, γ_{wo} for water–oil, γ_{ww} for water–water,
11 γ_{aw} for air–water, γ_{ao} for air–oil), and θ is the equilibrium three-phase contact angle reflecting
12 the partial wetting of the particles by the two fluids. Noteworthy that equation (1) is only valid
13 for spherical particles. Solving equation (1) for a typical spherical colloidal particle with a
14 radius of $r \approx 300$ nm, even with a low wettability ($\theta \approx 30^\circ$) and using $\gamma_{ow} \approx 50$ mN m⁻¹ for the
15 interfacial tension, leads to a calculated value of $\Delta G_d \approx 10^4 k_B T$ (where k_B is Boltzmann’s
16 constant and T is the absolute temperature). Moreover, for $\theta \approx 90^\circ$, corresponding to the
17 particle surface being equally wetted by both phases, each particle can be said to be
18 irreversibly trapped at the fluid–fluid interface ($\Delta G_d \approx 10^6 k_B T$) [1,2•]. This situation confers
19 outstanding stability to the liquid droplets, in contrast to conventional emulsions, where
20 individual low-molecular-weight surfactant species (with $\Delta G_d < 10 k_B T$) tend to exchange
21 rapidly and reversibly between the interface and the bulk phase(s) [5].

22 Due to their distinctive characteristics, particle-stabilized systems have for many
23 years intrigued both experimental and theoretical colloid scientists [1,2•]. Originally the
24 research domain was dominated by studies of inorganic particles, with silica particles the
25 ‘gold-standard’ Pickering stabilizer [6], resulting in fundamental insights into the basic

26 principles of Pickering emulsion stabilization. In more recent years, however, we have
27 entered the 'neo-Pickering era' of colloid science [7•] as a consequence of a paradigm shift
28 in emphasis from the limited realm of simple inorganic materials to a broad landscape
29 embracing many different kinds of nanoparticles and microparticles of biological origin. In
30 particular, due to an increasing global demand for sustainable materials, there has
31 developed a strong impetus for the exploration and investigation of clean-label, natural,
32 biopolymeric particles that are suitable for use in food, agrochemicals, personal care, and
33 healthcare. In all these applications, ingredient biocompatibility is increasingly regarded as
34 a non-negotiable attribute. From the perspective of a member of the food colloids
35 community, one is therefore not surprised to observe the exponential growth of research
36 output numbers in the area of food-grade Pickering emulsions [8–12].

37 In response to the rising demands of sustainability, and also the growing consumer
38 interest in veganism, there is renewed enthusiasm for studying the functionality of different
39 kinds of plant-based particles. In the case of plant structural proteins, it has to be said that
40 many colloid scientists have traditionally been discouraged from studying them in model
41 dispersed systems due to their poor aqueous solubility arising from the complex quaternary
42 structure, and their strong tendency for self-aggregation and association with other
43 metabolites such as polyphenols in the natural state [13,14]. On the other hand, the low
44 level of solubility of plant proteins in both aqueous media and edible oils is now likely to be
45 considered as a valued functional attribute by researchers working on Pickering systems.
46 Included amongst the most popular classes of materials used for making plant-based
47 Pickering emulsions are prolamine-based particles (especially zein [15]), soy protein
48 particles/aggregates [16], globular proteins behaving as soft nanoparticles [17•], and
49 thermo-sheared protein microgel particles/aggregates [13,18••]. In addition to protein
50 particles, the list of plant-based Pickering stabilizers also includes insoluble polysaccharide
51 particles possessing semi-crystalline structures over mesoscopic length scales (cellulose

52 [19], starch [20]), as well as some secondary metabolites in the form of organic crystals
53 (flavonoids [21]).

54 The present article focuses on the Pickering stabilization of oil-in-water (O/W) and
55 water-in-water (W/O) emulsions. Some other types of Pickering dispersions such as aerated
56 systems and water-in-water emulsions are considered to be beyond the scope of this article
57 as they have been recently reviewed elsewhere [7,22–24]. The comprehensive survey of bio-
58 derived particles by Lam and co-workers [25] covering the relevant literature up until 2014
59 provides the main springboard for our current article, which is devoted to the most significant
60 new work that has emerged over the last 5 years. We direct our attention here on how the
61 effectiveness of the Pickering stabilization mechanism depends on particle size, shape, and
62 wettability, and on how particle characteristics are affected by processing operations during
63 and after the extraction of the biological material from its natural origin. Many of the plant-
64 derived particles that are ubiquitous in nature are non-spherical. So we discuss the influence
65 of shape anisotropy on the estimated desorption energy of these particles and hence on
66 their expected effectiveness in emulsion stabilization. We also highlight the importance in
67 mechanistic terms of distinguishing between studies of *fully adsorbing* plant-based particles,
68 which can create stable liquid-like emulsions with classical Pickering stabilizing layers, and
69 the large body of recent work involving bio-derived particles acting predominantly as *non-*
70 *adsorbing* ‘structuring agents’, notably in the gel-like emulsified systems known as ‘high
71 internal phase Pickering emulsions’ (HIPPEs). Finally, we mention a few scientific issues
72 and technological challenges that need to be addressed in order to optimize the successful
73 exploitation of plant-based Pickering stabilizers in the food and allied soft matter industries.

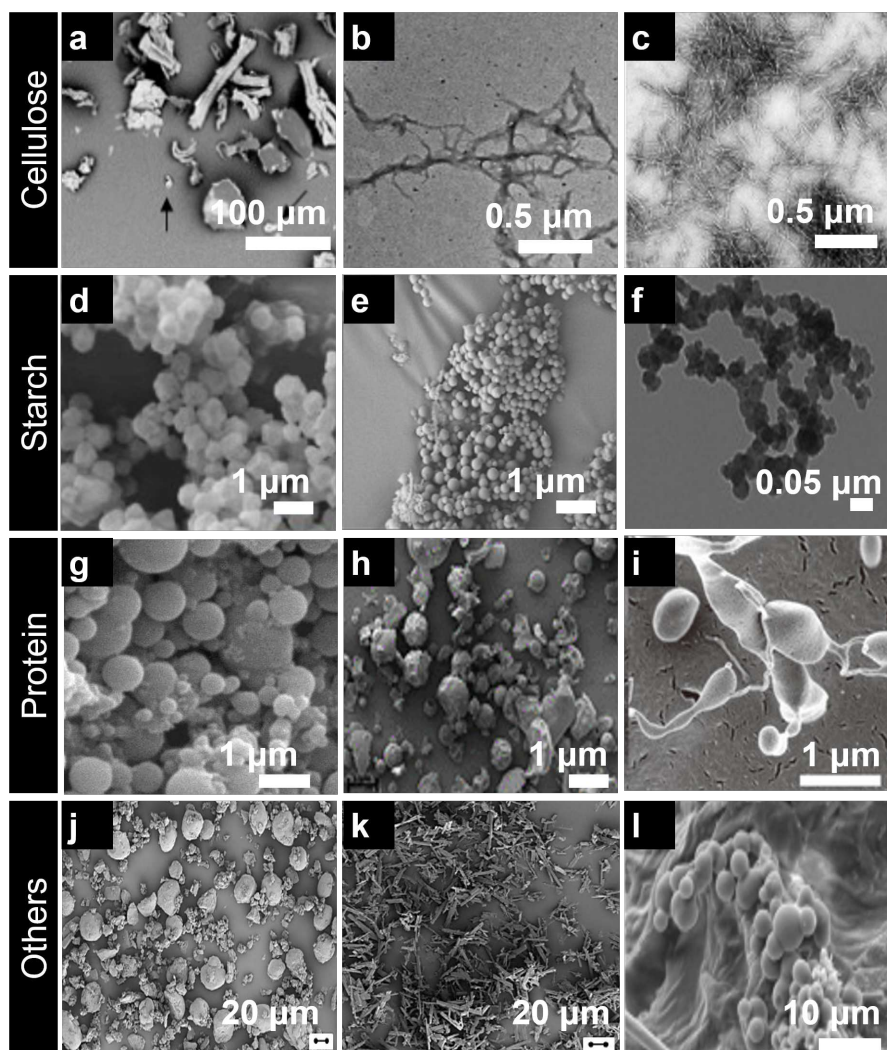
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75 **Properties of plant-based Pickering particles**

76 **Figure 1** shows images of various kinds of plant-based particles of different sizes (from
77 hundreds of nanometres to a few micrometres), with variable extents of aggregation, and

78 possessing diverse shapes (spherical, polygonal, rod-like, fibrils, with/without sharp facets).
79 All of these particles have been used to make Pickering emulsions during the last 5 years.

80 It is evident from equation (1) that particle size plays a pivotal role in the Pickering
81 stabilization mechanism: the detachment energy ΔG_d scales with r^2 in the case of spherical
82 particles of radius r (**Figure 2a**). However, many plant-based particles are non-spherical
83 (**Figure 1**). Hence the influence of particle shape on interfacial orientation and packing would
84 seem likely to be important for understanding the properties of Pickering emulsions.

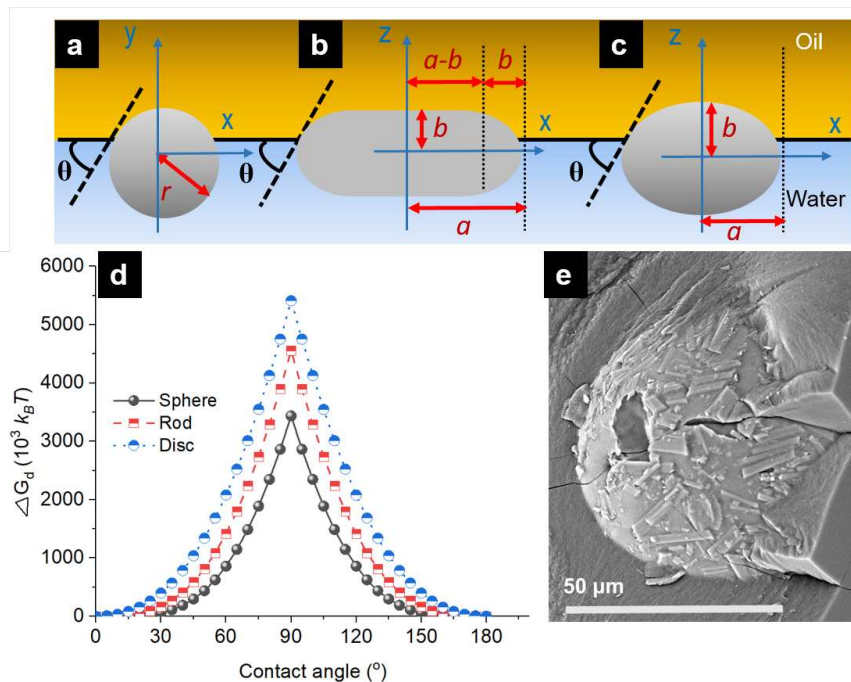


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Figure 1. Electron micrographs of plant-based particles of different sizes and shapes (available from nature or processed) used to stabilize Pickering emulsions: (a) leaf cellulosic particles (after high pressure homogenization) [26], (b) regenerated cellulose nanofibrils (CNF) (after phosphoric acid treatment and homogenization) [27], (c) cellulose nanocrystals (CNC) modified with OSA [28•], (d) OSA-modified quinoa starch granules [29], (e) starch nanoparticles obtained by dissolution in DMSO followed by ethanol precipitation [30], (f) starch nanoparticles obtained by gelation and mechanical shearing followed by ethanol precipitation [31•,32], (g) zein particles after antisolvent precipitation with ethanol followed by ultrasonication [33], (h) quinoa protein nanoparticles obtained by ultrasonication [34], (i) peanut microgel particles (from homogenization of protein hydrogel obtained by transglutaminase crosslinking to create microgel particles followed by alkaline pH treatment) [66•], (j) curcumin crystals [21•], (k) quercetin crystals [21•], and (l) coffee

particles (after drying, ball-milling and hydrothermal processing) [36•]. (Reproduced with permissions from Elsevier (images a–b, d–g), American Chemical Society (image h), Wiley (image i) and MDPI Company (image l). Images c, j and k were produced at the University of Leeds).

87



88
89

Figure 2. Cross sections of (a) a spherical particle, (b) a rod-like particle (with hemispherical ends) and (c) a rounded disc-like particle attached to the oil–water interface in a planar orientation with contact angle in the range $0 < \theta < 90^\circ$. Here r is the sphere radius and a and b are long and short semi-axes of the rod-like and disc-like particles. (d) Calculated detachment energies of the particles, for constant particle volume, $r = 300$ nm, aspect ratio (a/b) = 2.5, and $\gamma_{ow} \approx 50$ mN m⁻¹. (e) Cryo-scanning electron micrograph, imaged at University of Leeds, showing the planar conformation of rod-shaped quercetin crystals at the surface of a water droplet in a W/O Pickering emulsion.

90

91 The value of ΔG_d for anisotropic particles is dependent on at least two characteristic
 92 length scales. For rod-shaped (Figure 2b) and disc-shaped particles (Figure 2c), the
 93 lengths of both the long and the short axes need to be taken into account. The detachment
 94 energy for rod-shaped particles with $0 \leq \theta \leq 90^\circ$ can be calculated from [2•]:

$$95 \quad \Delta G_{d,rod,w} = \gamma_{ow} \pi b^2 (1 - \cos \theta)^2 \left[1 + \frac{4(a/b-1)(\sin \theta - \theta \cos \theta)}{\pi(1-\cos \theta)^2} \right] \quad . \quad (2)$$

96 Here a and b are dimensions of long and short semi-axes, respectively. The parameter b
 97 can be expressed in terms of r and the aspect ratio (a/b) as $b = r[1+((3/2)\{(a/b)-1\})^{-1/3}]$
 98 assuming that the particle volume is kept constant, *i.e.* set equal to that of the equivalent
 99 sphere. For a Pickering W/O emulsion with $90^\circ \leq \theta \leq 180^\circ$ equation (2) is replaced by [2•]:

$$100 \quad \Delta G_{d,rod,o} = \Delta G_{d,rod,w} + 4\gamma_{ow}\pi b^2 \cos \theta \left(\frac{a}{b}\right) \quad . \quad (3)$$

101 For disc-shaped particles ΔG_d is given by equations (4) and (5) for $0 \leq \theta \leq 90^\circ$ and $90^\circ \leq \theta$
 102 $\leq 180^\circ$, respectively [2•]:

$$103 \quad \Delta G_{d,disc,w} = \gamma_{ow}\pi b^2(1 - \cos \theta)^2 \left[1 + \frac{(a/b-1)^2}{1-\cos \theta} + \frac{2(a/b-1)(\sin \theta - \theta \cos \theta)}{(1-\cos \theta)^2} \right] \quad , \quad (4)$$

$$104 \quad \Delta G_{d,disc,o} = \Delta G_{d,disc,w} + 2\gamma_{ow}\pi b^2 \cos \theta \left[\left(\frac{a}{b} - 1\right)^2 + \pi \left(\frac{a}{b} - 1\right) + 2 \right] \quad . \quad (5)$$

105 Again, under conditions of equivalent particle volume, the disc dimension b may be
 106 expressed in terms of r and the aspect ratio (a/b) as

$$107 \quad b = r \left[\frac{3(a/b-1)^2}{2} + \frac{3\pi(a/b-1)}{4} + 1 \right]^{-1/3} \quad . \quad (6)$$

108 On comparing the cross-sectional areas at the interface for these three kinds of particles,
 109 we can observe that the inequality condition $\Delta G_{d,disc} > \Delta G_{d,rod} > \Delta G_{d,sphere}$ is satisfied for any
 110 value of the contact angle lying between 0 and 180° (**Figure 2d**). This seems to suggest that
 111 anisotropic particles of plant origin, such as rod-shaped cellulose nanocrystals (CNC) [28•]
 112 (**Figure 1**), are able to generate even stronger forms of physical attachment to liquid
 113 interfaces than are the equivalent spherical entities.

114 The above equations (2–5) are valid for the planar orientation, *i.e.* the ‘side-on’ state
 115 with the major axis parallel to the oil–water interface [38]. The planar orientation is the most
 116 energetically favourable state for many, if not most, kinds of anisotropic particles [39] when
 117 solely the interfacial energy is taken into account. This predicted behaviour has been
 118 confirmed by experimental observations in our laboratory [21•] for rod-like polyphenol
 119 crystals (composed of quercetin) (**Figure 1**) exhibiting a side-on orientation at the curved
 120 water–oil interface of a W/O emulsion (**Figure 2e**). Computational modelling at the atomistic
 121 scale indicates that hydrated quercetin molecules favour the planar interfacial conformation
 122 because it allows them to pack more efficiently through non-polar π – π stacking interactions

123 and hydrogen bonding with water molecules located within the quercetin hydrate crystal
124 lattice [40]. Similarly, for rod-shaped cellulose nanocrystals (CNC), the small-angle neutron
125 scattering (SANS) experiments of Cherhal and co-workers [41••] have revealed that the
126 CNC crystals become oriented at the interface with the lateral dimension present in a
127 monolayer of thickness 7 or 18 nm, depending on the particle surface chemistry, *i.e.* sulfated
128 or desulfated, respectively. A useful rule of thumb is that the particle orientation having the
129 minimum free energy is the one for which the particle distorts the interface the most, *i.e.* the one
130 in which the particle make the largest ‘hole’ in the interface [38]. Under such circumstances,
131 attractive capillary forces (corresponding to energies of several million times $k_B T$) become
132 especially relevant when there is overlap between the interfacial distortions created by
133 neighbouring anisotropic particles [38, 42]. The resulting clustering of the anisotropic particles
134 at the interface results in a higher particle packing efficiency and an enhancement in the
135 effectiveness of the steric stabilization of the emulsion droplets.

136 Besides particle shape, the detailed surface chemistry of the particles further affects
137 their orientation at the interface. The associated phenomenon of ‘patchy wetting’ can have
138 an influence on the effective value of ΔG_d [38]. For instance, the elegant work of Blanco and
139 co-workers [43•] has shown that, for the case of organic–inorganic patchy Pickering particles,
140 where the water-insoluble protein zein was used to modify the wettability of hydrophilic silica
141 particles, an optimal degree of stabilization was surprisingly achieved with only partial coverage
142 of zein on the silica surface, instead of full coverage. Furthermore, in the case of CNC, the
143 lateral crystalline plane of the particle has been demonstrated [41••] to interact with the oil–
144 water interface through the CH-groups of the CNC and the alkyl chains of the hydrocarbon
145 oil (*n*-hexadecane) without necessarily causing any deformation of the liquid interface at the
146 nanoscale or the creation of ‘holes’ due to poor wettability of the CNC surface by the oil
147 phase. With the notable exception of this definitive work on CNC particles [41••,44], it would

148 appear that most of the rigorous experimental work carried out so far on anisotropic particles
149 at liquid interfaces has been limited to inorganic materials.

150 **Polysaccharide-based particles**

151 In the formulation of conventional O/W emulsions, a few polysaccharide ingredients such as gum
152 arabic and pectin are considered to be highly effective as both emulsifiers and emulsion
153 stabilizers because their macromolecular species contain surface-active proteinaceous residues
154 or hydrophobic side-chains [45]. But these specific molecular factors are strictly applicable to
155 soluble hydrocolloids; the emulsification behaviour of insoluble polysaccharides is governed by
156 different considerations. In practice, the role of carbohydrate polymers in Pickering stabilization
157 is dominated by two kinds of insoluble biopolymers that are widely abundant in nature: cellulose
158 (**Figure 1a-c**) and starch (**Figure 1d-f**). Today these two plant-based materials monopolize the
159 polysaccharide-based nanoparticle domain within the Pickering emulsion landscape (**Table 1**).
160 In mesoscopic structural terms, the functionality of these two materials can be largely attributed
161 to the presence of alternating amorphous and nanocrystalline domains. This structural attribute
162 can be exploited in various ways to create nanocrystals under suitable chemical processing
163 conditions (*e.g.*, solvent treatment, acidic pyrolysis) [46–48].

164 Research on cellulosic particles has recently acquired significant momentum owing to the
165 availability of off-the-shelf commercial supplies of CNC. Depending on the commercial source
166 and the chemical treatment, these particles possess a variable high aspect ratio ranging from
167 16:1 to 35:1 [49] together with a net negative charge across a wide range of pH values as a
168 consequence of the sulfate groups introduced during acidic treatment. The sulfated CNCs are
169 poorly wetted by the oil phase [41••], resulting in low contact angle θ and consequently a
170 reduced value of ΔG_d (see **Figure 2d**). A recent surface pressure study has revealed [44] that
171 ΔG_d diminishes to a great extent in the presence of polar oils, which is consistent with separate
172 observations on emulsions [50] where stability was impeded for polar oils stabilized by CNCs as
173 compared with non-polar oils. Recent work by Du Le and co-workers in our laboratory [28•] has

174 shown that hydrophilic sulfated CNCs with a diameter of 2–4 nm and a long axis of 40–100 nm
 175 (**Figure 1c**) do not adsorb effectively at the oil–water interface unless the surface is esterified
 176 with octenyl succinic anhydride (OSA). The chemical modification was observed to raise the
 177 static water contact angle from 56° to 80.2° thereby allowing the particles to act as effective
 178 Pickering stabilizers for O/W emulsions with outstanding stability over several months [28•,51].
 179

180 **Table 1.** Overview of different types of plant-based materials used to stabilize O/W and W/O Pickering
 181 emulsions, categorized in two different droplet size ranges, based on reports published during the last 5 years.

O/W Emulsions					
Type of Pickering particles*		Droplet size		References	
		<1–10 µm	10–100 µm		
Polysaccharide-based particles	Cellulose nanocrystals/nanofibres or amorphous cellulose	■		28•,41••,50,52	
	Starch particles/granules		■	51,53–56	
Protein-based particles	Zein particles/complexes		■	29–31••,35,37•,57	
	Prolamin-rich protein particles other than zein	■		33,58–60	
	Globulin-rich (soy, peanut, pea protein particles and microgels)			■	33
			■		33,61–64
Other particles	Protein–polysaccharide self-assembled complex particles derived from biomass	■		18••,65,66•,67	
	Food waste lignin-based particles		■	68–71	
	Oleosomes		■	72,73	
	Polyphenol particles		■	36•	
W/O Emulsions					
Polysaccharide-based particles	Cellulose nanocrystals/nanofibres		■	74•	
	Starch particles		■	53•	
Protein-based particles	Zein particles		■	77,78	
Other particles	Food waste-derived lignin particles		■	79	
	Oleosomes		■	36•	
	Polyphenol particles		■	74•	
				21•,53,80••,81•	

182 * The Pickering particles in many of these studies have been modified chemically or physically, and some are
183 complexed with other molecular species such as soluble biopolymers or plant secondary metabolites.
184 In the case of starch, a common approach is to use native starch granules as Pickering
185 microparticles following hydrophobic modification with OSA [29,35]. Emulsions stabilized by
186 such starch granules exhibit excellent long-term stability, as illustrated by the absence of any
187 detected droplet coalescence in O/W emulsions stabilized by OSA-treated quinoa starch
188 granules (volume fraction 0.1) during quiescent storage for a period of some 8 years [37•].
189 However, starch granules are typically rather large in size ($> 1 \mu\text{m}$) (**Figure 1d**), which means
190 that the resulting Pickering emulsions tend to be extremely coarse (average droplet size $\gg 10$
191 μm). To facilitate the making of finer emulsions, an alternative approach is to chemically disrupt
192 the starch granules and then reassemble them as a smaller starch nanoparticles. One such
193 method [30] brings about starch dissolution by exposing the granules to dimethylsulfoxide
194 (DMSO) followed by ethanol-induced precipitation. The DMSO solvent disrupts the inter- and
195 intra-molecular hydrogen bonding, destroying the crystalline structure of the granule [82], and
196 hence allowing subsequent precipitation of the starch polymers in ethanol to form spherical
197 starch nanoparticles (size 10–400 nm, V-type crystallinity) (**Figure 1e**). A greener approach is
198 to avoid the DMSO dissolution step altogether through heat-induced starch gelatinization
199 followed by high-shear mechanical agitation and subsequent ethanol precipitation into
200 nanoparticles [31•,32] (**Figure 1f**). This latter innovative method [31•] has not only enabled the
201 creation of OSA-free starch nanoparticles from three different sources (corn, tapioca, sweet
202 potato) ranging in diameter from 100 to 220 nm, but it has also resulted in Pickering particles of
203 enhanced surface hydrophobicity with near-optimum static water contact angles of 84.3° , 89.1° ,
204 and 94.8° , respectively. Based on measurements of the three-phase contact angle and the oil–
205 water interfacial tension, the estimated value of ΔG_d for starch-based nanoparticles (size 100–
206 300 nm) at the *n*-hexane-water interface has been estimated to be from three to five orders of
207 magnitude larger than that for macromolecular OSA-modified starch [83].
208

209 **Protein-based particles**

210 Plant-based proteins are largely storage proteins located in the endosperms of cereal seeds
211 or cotyledons of legume seeds. They can be conveniently classified into water-soluble
212 albumins, salt-soluble globulins, alcohol-soluble prolamins, and acid/alkali-soluble glutelins
213 [84,85]. The technologically seminal work of creating prolamine-rich zein particles from corn
214 *via* anti-solvent precipitation was carried out in 2012 by Folter and co-workers [86]. The
215 preparation of Pickering emulsions using these particles sparked many exciting researches
216 into the utilization of water-insoluble biopolymer-based particles. While zein from corn still
217 occupies a major share of protein-based nanoparticle research (**Table 1**), the boundary of
218 activity has recently progressed towards the creation of Pickering particles from other
219 prolamine-rich cereals such as sorghum, barley and wheat, thereby generating colloidal
220 particles composed of kafirin [61], hodein, secalin [33] and gliadin [63]. In order to reduce
221 the particle size of these Pickering stabilizers to submicron dimensions, ultrasonication has
222 emerged as a promising method of disrupting unwanted particle–particle aggregation. Use
223 of this processing treatment has facilitated the preparation of nanoparticles of zein (**Figure**
224 **1g**), hodein and secalin [33], as well as quinoa protein nanoparticles with hydrodynamic
225 diameters in the range 100–200 nm (**Figure 1h**), hence enabling the formation of Pickering
226 emulsions with droplets smaller than 10 μm .

227 A wide range of physical and biochemical treatments, involving heating, enzymes,
228 pH change, divalent cations and shearing, either alone or in combination, has been exploited
229 to create Pickering nanoparticles or microgels from globulin-type plant proteins derived from
230 soy [69, 70], peanut [66•] and pea [18••,65]. For example, in our laboratory a procedure
231 involving heat-triggered gelation *via* the sulfhydryl–disulfide interchange reaction followed
232 by controlled shearing has been exploited [18••] to create hairy pea protein microgel
233 particles ranging in hydrodynamic diameter from 200 to 400 nm. Although calculated
234 interaction potentials had suggested that such protein microgel particles should become

235 aggregated at higher ionic strengths (≥ 50 mM NaCl), the theoretical predictions of instability
236 were not validated experimentally by dynamic light scattering data. A dominant repulsive
237 contribution from steric hindrance was postulated for the first time in the interparticle
238 interactions of these hairy plant-protein based microgels [18••] — this stabilization
239 mechanism may be regarded as analogous to the steric forces involved in the stabilization
240 of casein micelles by hairy layers of κ -casein [87]. In an alternative enzymatic cross-linking
241 approach, the combination of transglutaminase-induced gelation and shearing has been
242 employed [66•] to convert peanut protein into spherical Pickering microgel particles of
243 diameter 40–150 nm (**Figure 1i**). Depending on pH, these microgel particles were found to
244 exhibit variable extents of aggregation and different values of the three-phase contact angle
245 in the range 75°–132°.

246 The self-assembly of proteins and polysaccharides from alternative sources such as
247 jack fruit [72] and mucilages [73] *via* photocatalysis [72] or electrostatic interactions (pH
248 adjustment) [73] has enabled the fabrication of new varieties of plant-based Pickering
249 nanoparticles (**Table 1**). Further such studies can be expected in the near future given the
250 significant knowledge base that already exists on electrostatic protein–polysaccharide
251 complexes and heteroprotein coacervates [88-90] which is surely now ripe for technical
252 exploitation through the creation of new kinds of soft biopolymer-based particles for
253 emulsion stabilization.

254

255 **Polyphenol crystals and other entities**

256 Plants are highly efficient in synthesizing secondary metabolites. The most commonly studied
257 are the polyphenolic crystals, such curcumin, quercetin, rutin and naringin, all of which have been
258 recently recognized as effective Pickering stabilizers [21•,53] (**Table 1**), following the original
259 pioneering work on flavonoid Pickering stabilization by Luo and co-workers in 2011 [91]. We
260 have demonstrated [21•] that water-insoluble polyphenol crystals such as polyhedral curcumin

261 (log $P = 3.29$) (**Figure 1j**) and rod-shaped quercetin (log $P = 2.16$) (**Figure 1k**) are effective as
262 W/O Pickering stabilizers (where P is the partitioning coefficient of the molecular species
263 between *n*-octanol and water). Without any additional physical or chemical treatments, these
264 nature-derived polyphenol crystals give rise to estimated detachment energies of at least $10^5 k_B T$
265 for both curcumin ($\theta = 116^\circ$) and quercetin ($\theta = 79^\circ$), thereby indicating the effective stabilization
266 of W/O emulsion droplets. Adsorbed layers of quercetin crystals at the oil–water interface have
267 been found to exhibit much higher values of the surface shear viscosity and stronger interparticle
268 interfacial interactions as compared with curcumin crystals [21•,81]. This is consistent with the
269 larger aspect ratio of the rod-shaped quercetin crystals (**Figure 1k**) which implies a larger
270 predicted value of ΔG_d (see **Figure 2b, d-e**) and also a more efficient state of interfacial packing
271 between the anisotropic particles, through the role of capillary forces as discussed above.

272 A recent study [74] suggests Pickering stabilization by plant natural oil bodies, *i.e.*,
273 sunflower oleosomes with or without defatting (**Table 1**). Such a mechanistic interpretation is
274 certainly plausible, but the lack of wettability information for these architecturally complex oil
275 bodies (containing a range of proteins and phospholipids) with respect to either of the fluid
276 phases, together with the absence of detailed structural information at the droplet surface, makes
277 it difficult to be convinced whether these particles do generate *true* Pickering emulsions.

278 The issue of food waste valorization provides another motivation for the study of particle
279 functionality. For instance, it has been demonstrated that spent coffee particles (**Figure 1l**) can
280 behave as spherical Pickering particles following hydrothermal treatment (250 °C for 1 h) using
281 an approach derived from the bioenergy field [36•]. The hydrothermal treatment apparently
282 induces a relocation of the hydrophobic lignin component of the cell walls from inside the
283 particles to the outer surface. This structural change increases the surface hydrophobicity
284 of the coffee particles, thereby enabling them to stabilize Pickering emulsions [36•]. One
285 may assume that a substantial proportion of the lignocellulosic materials and plant cells
286 which are common by-products of the food industry could in principle be converted into

287 colloidal particles by utilizing current colloid science knowledge and employing suitable
288 physical and chemical treatments. The exploitation of such technical developments could
289 lead to the opening up of an almost untapped field of new opportunity for future plant-based
290 Pickering particle research.

291

292 **Pickering O/W and W/O emulsions**

293 **Figure 3** summarizes the wide range of (a) O/W emulsions and (b) W/O emulsions that
294 has characterized scientific work on Pickering stabilization published over the last 5 years.
295 Investigators have focused more attention on water-continuous Pickering emulsions rather
296 than on the alternative oil-continuous ones. This apparent bias does not necessarily reflect
297 the comparative needs of the food industry. More likely it is reflection of the long-standing
298 greater emphasis by researchers on the stability of O/W emulsion systems, and perhaps
299 also simply the lower ready availability of convenient particles that are preferentially wetted
300 by polar food oil phases. One may note that, through the controlled variation of suitable
301 chemical and physical treatments, several kinds of plant-based particles (zein particles,
302 cellulose nanocrystals/fibres, starch particles, polyphenol crystals) can be made to satisfy
303 the requirements of both O/W and W/O stabilization (**Figure 3**). In addition, a few studies
304 have reported the ability to stabilize both O/W and W/O emulsions using particles having
305 the same physical treatment [36,53,74]. While such a capability does seem more likely with
306 Pickering particles than with adsorbed surfactants or polymers, we are inclined to the view
307 that any designation of dual-purpose functionality needs to be treated with a little caution in
308 the absence of convincing confirmatory data on the long-term stability of these emulsions,
309 including the susceptibility to shear-induced phase inversion.

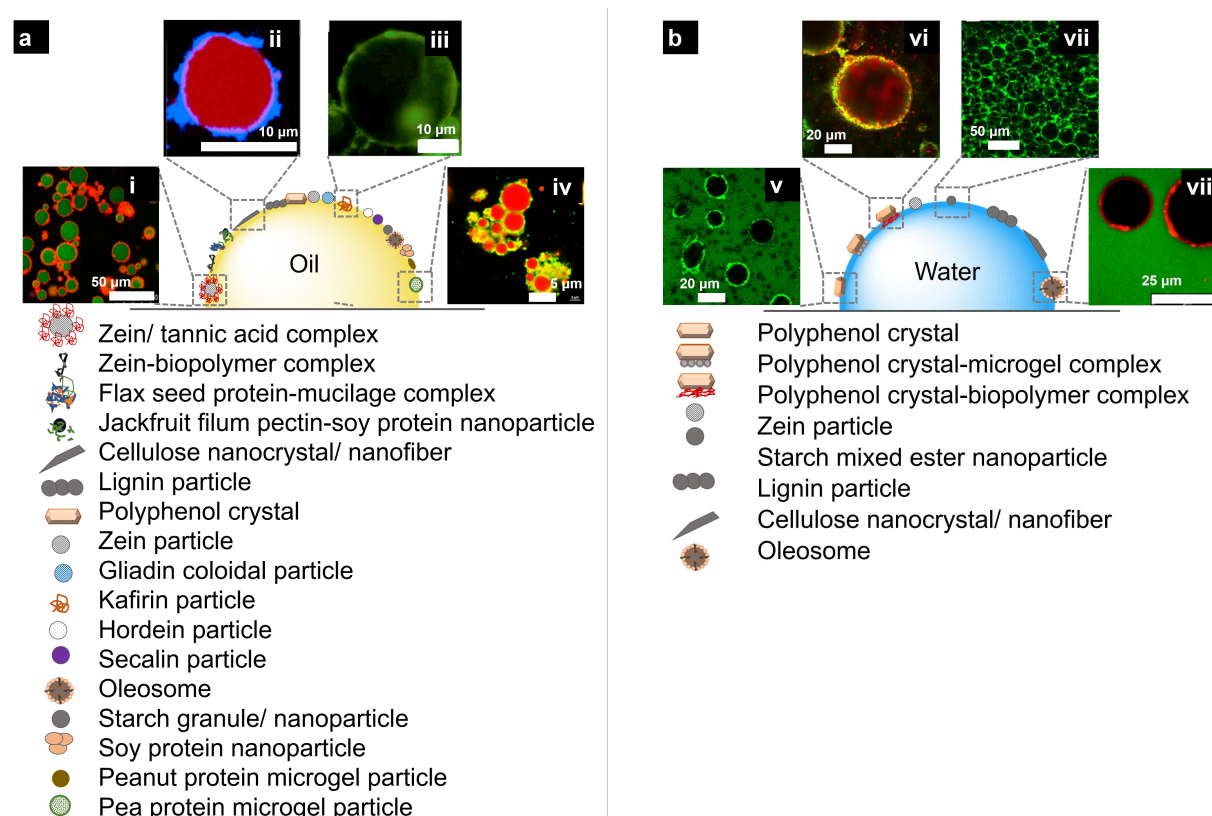


Figure 3. Schematic representation of (a) Pickering O/W emulsions and (b) Pickering W/O emulsions stabilized by a variety of modified plant-based particles. The images show confocal micrographs of oil droplets stabilized by (i) zein–tannic acid complex [60], (ii) cellulose nanocrystals [28•], (iii) kafirin nanoparticles [61], and (iv) pea protein microgel particles [18••]; and water droplets stabilized by (v) curcumin crystals [21•], (vi) quercetin crystal–protein complex [81•], (vii) starch particles [77], and (viii) sunflower oleosomes [74]. (Micrographs are reproduced with the permission of American Chemical Society (image i), Elsevier (images ii, iii and vii), and Royal Society of Chemistry (image viii)). Images iv–vi were produced at the University of Leeds).

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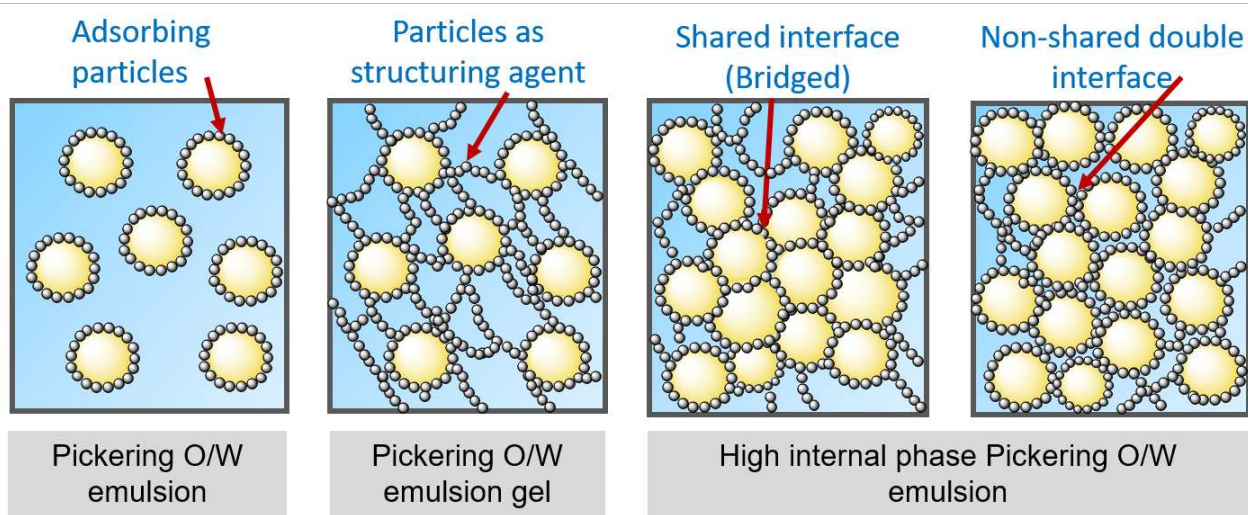
311 Sizes of plant-based particles lie mainly in the range from hundreds of nanometres
 312 to several micrometres (**Figure 1**). Consequently the droplet sizes in most Pickering
 313 emulsions made from plant-based particles are reported to be the range 10–100 μm (**Table**
 314 **1**). One should be aware that the quoted colloidal stability of O/W Pickering emulsions
 315 usually refers to the long-term coalescence stability, *i.e.*, the resilience towards oiling off
 316 over several months. If they are *true* Pickering emulsions, the individual oil droplets in even
 317 such coarse emulsions should be highly resistant to coalescence and Ostwald ripening. But
 318 the rapid creaming of such large oil droplets under gravity is inevitable unless the oil volume
 319 fraction is extremely high or the rheology of the continuous phase is controlled using a
 320 hydrocolloid thickening agent. The apparent belief of some current investigators of particle-

321 stabilized emulsions that the best way to stabilize an emulsion is to convert it into a gel
322 neglects the important fact that many food applications require the formation of an O/W
323 emulsion system possessing the rheological and sensory character of a low-viscosity
324 Newtonian liquid. In order to successfully achieve the objective of preparing fine liquid-like
325 Pickering emulsions (droplet size $\sim 1 \mu\text{m}$) with satisfactory long-term creaming stability, it
326 will clearly be necessary for researchers to develop improved techniques of fabrication of
327 plant-based nanoparticles of reduced average size ($\sim 100 \text{ nm}$).

328 Besides the study of model systems stabilized by individual types of particles, there
329 has been an upsurge in activity on the design of Pickering emulsions stabilized by plant-
330 based particles in combination with other surface-active species. Examples include protein
331 nanoparticle–secondary metabolite complexes [68,92,93] (**Figure 3a-i**), particle–biopolymer
332 complexes [58,59,62,81•] (**Figure 3b-vi**), and polyphenol crystal–protein microgel
333 complexes [80••] obtained via covalent conjugation and electrostatic interactions (**Figures**
334 **3a-ii–iv, 3b-v,vii–viii**). Often such complexation with a natural surface-active species such
335 as a protein [51,80••,81•] leads to a favourable modification of the particle surface, resulting
336 in stronger particle attachment at the oil–water interface and enhanced emulsion stability
337 without the requirement for additional chemical modification (*e.g.* with OSA). In our
338 laboratory, the generation of stable Pickering W/O emulsions has been accomplished
339 through the interfacial complexation of polyphenol crystals with soluble proteins [81•] or
340 protein-based microgels [80••]. The underlying stabilization mechanism has been inferred
341 from a combination of surface shear rheology and Langmuir trough experiments: the
342 hydrophobic polyphenol particles (quercetin or curcumin crystals) adsorb from the oil phase
343 and the hydrophilic protein or proteinaceous microgel particles adsorb from the water phase.
344 This combination of ingredients provides what might be described as a dual Pickering
345 stabilization mechanism — most notably in the case of the polyphenol crystal–microgel
346 system (**Figure 3b-vi**). The mixed formulation allows the water volume fraction to be raised

347 from 5 wt% [21•] in the absence of the proteinaceous component to 20 wt% in its presence
348 [80••,81•], highlighting the practical significance of the occurrence of synergistic particle–
349 particle or particle–biopolymer complexation at the particle-laden oil–water interface.

350 In many of the studies mentioned above, the long-term stability of the Pickering
351 emulsion is enhanced by particle network formation within the continuous phase (**Figure 4**).
352 This colloidal structuring inhibits (or retards) the various instability processes of liquid
353 drainage, sedimentation (W/O systems), and cream layer formation (O/W systems). For
354 instance, in our own study of modified CNC-stabilized O/W emulsions (**Figure 3a-ii**) [28•],
355 elastic gel-like behaviour (storage modulus G' \gg loss modulus G'') was observed at
356 moderately high ionic strengths (≥ 20 mM NaCl). This was postulated to be associated with
357 the networking of the rod-shaped CNCs in the bulk aqueous phase, with van der Waals
358 forces dominating the attractive interparticle interactions and the CNC-rich phase forming ‘a
359 space-filling isotropic gel’ [94]. In other words, one may argue that the role of CNC as a
360 ‘structuring agent’ in the bulk phase is at least as relevant as the adsorbed Pickering
361 monolayer to the observed long-term stability behaviour of the emulsion (**Figure 4**). Similar
362 kinds of bulk-phase gelation behaviour have also been reported with zein–tannin complexes
363 [60] and gliadin particles [64]. In fact, in studies where the emulsion properties have not
364 been explored in depth, and where the main focus is on the creation of emulsion gels with
365 the particles forming a network in the continuous phase [65,67,71], it seems debatable as
366 to whether the constituent particles are actually functioning as Pickering stabilizers at all.
367 That is to say, the particle-based ingredient may simply be acting as network-forming
368 ‘structuring agent’, somehow entrapping the aggregated emulsion droplets within the bulk
369 phase and hence inhibiting long-term instability (**Figure 4**). One possible way to test for *true*
370 Pickering stabilization in these gel-like O/W emulsion samples would be to subject them to
371 extensive dilution and shearing, and then to investigate the coalescence stability of the
372 diluted and sheared emulsions after prolonged storage.



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Figure 4. Schematic representations of Pickering emulsions and emulsion gels containing particles as structuring agents in the continuous phase and high internal phase Pickering emulsions (HIPPEs) with either a single shared interface between the neighbouring droplets or a non-shared double interface.

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An active ongoing area of emulsion research involves the creation of high internal phase Pickering emulsions (HIPPEs) (volume fraction ≥ 0.74) that can be used as structural templates in the design of high-value porous materials [95]. In order to fabricate conventional surfactant-stabilized high internal phase O/W emulsions, the surfactant load is normally required to be very high (*i.e.* 5–50 wt% in the continuous phase) [96]. On the other hand, just a small quantity of particle-based emulsifying agent (say, ~ 1 wt%) is sometimes sufficient to stabilize a large concentration of oil droplets. This is because the resulting stability is provided not only by the protective layers of adsorbed particles but also by the aggregated network of interacting particles in the small gaps between the particle-coated droplets. As illustrated in **Figure 4**, there are two distinct types of idealized structures for high-volume-fraction O/W systems stabilized by plant-based Pickering particles: one is where the particles really do form a continuous Pickering-stabilized monolayer around each of the dispersed oil droplets [51], and the other is where many of the adsorbed particles are shared between adjacent droplets in a single-particle bridging configuration. In practice, these two idealized structural arrangements will tend coexist in many real HIPPE systems.

391

392 There has recently been a substantial growth in the number of publications reporting
393 the bridged Pickering stabilization of high-volume-fraction emulsions stabilized by plant-
394 based materials [17••,63,71,78,97]. Most of these emulsions are of the O/W type. However,
395 one recent paper reports the use of a hydrophobically modified starch ingredient to stabilize
396 a W/O emulsion possessing a dispersed aqueous phase content of up to 95 wt% [78•]. The
397 stabilization mechanism in this type of study is not fully clear because of uncertainty as to
398 whether the starch used is predominantly present in the form of soluble polymers or
399 dispersed particles. Moreover, even if the system contains starch particles, we pose the
400 question as to whether such a system should be properly be described as a Pickering
401 emulsion in the classical sense, or whether it is being effectively stabilized through
402 immobilization of droplets in a continuous network of starch stabilizer molecules forming a
403 jammed structure of close-packed particulate entities acting as colloidal ‘structuring agents’.
404 Unfortunately, in many such HIPPE studies, the shear stability of the concentrated emulsion
405 over the period of storage is not reported. The provision of such experimental information,
406 preferably under conditions of both small and large strains, might assist in establishing the
407 relative contribution of the particles to the network-induced stabilization of the overall
408 structure as compared with the contribution to emulsion stabilization from the coverage of
409 individual droplets by discrete interfacial monolayers.

410

411 **Future outlook**

412 It is clear that the topic of Pickering stabilization by plant-based particles has attracted strong
413 research attention over the last half decade. This activity has been stimulated by the ready
414 availability of a few off-the-shelf ingredients such as cellulose nanocrystals, polyphenol
415 crystals, and nature-derived insoluble prolamin-based particles, as well as by the study of
416 laboratory-synthesized plant protein-based microgels. Although much work still remains to
417 be done, it is encouraging to observe the gradual emergence of plant-derived particle

418 ingredients that are highly effective in stabilizing oil-continuous systems. The use of such
419 plant-based sustainable emulsifying agents may ultimately enable the partial or complete
420 replacement of the ubiquitous lipophilic surfactant polyglycerol polyricinoleate (PGPR, E476)
421 in the formulation of model food-grade W/O and W/O/W emulsion systems.

422 From a fundamental research perspective, plant-based materials still present various
423 challenges in terms of optimization of functionality through the manipulation of particle size,
424 wettability, shape anisotropy, aggregation and surface chemistry. Understanding at a
425 greater depth how to control these factors will surely keep experimental and theoretical
426 colloid scientists occupied over the coming years. In order to develop the 'just-right' toolbox
427 for the future manufacturing of sustainable Pickering emulsions on the commercial scale, it
428 seems important to get better understanding of the underlying mechanism: in particular,
429 what is the relative importance of interfacial stabilization by adsorbing particles acting as
430 true Pickering stabilizers *versus* the bulk stabilizing contribution from these same particles
431 acting as 'structuring agents'?

432 Looking ahead, one may assume that the exploitation of Pickering emulsions for
433 encapsulation and delivery of bioactive compounds will remain an important area of
434 research activity [7•], as well as the issue of how the gastrointestinal digestibility of Pickering
435 food emulsions is influenced by the composition and structure of the interfacial layers [3•].
436 Furthermore, with a view to assisting the industry in formulating sustainable food emulsions
437 offering improved sensorial pleasure, one may anticipate that the topics of oral tribology and
438 sensory perception [98] will receive an increasing level of attention from investigators of
439 plant-derived particle-stabilized emulsions.

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442 **Conflicts of interests**

443 The authors declare no competing financial interest.

444

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Special interest (•) or Outstanding interest (••)

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