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1 **Climate adaptation for rural water and sanitation systems in the Solomon Islands: A**
2 **community scale systems model for decision support**

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18 **Abstract:**

19 Delivering water and sanitation services is challenging in data poor rural settings in
20 developing countries. In this paper we develop a Bayesian Belief Network model that
21 supports decision making to increase the availability of safe drinking water in five flood-
22 prone rural communities in the Solomon Islands. We collected quantitative household survey
23 data and qualitative cultural and environmental knowledge through community focus group
24 discussions. We combined these data to develop our model, which simulates the state of eight
25 water sources and ten sanitation types and how they are affected by season and extreme
26 events. We identify how climate and current practices can threaten the availability of drinking
27 water for remote communities. Modelling of climate and intervention scenarios indicate that
28 water security could be best enhanced through increased rainwater harvesting (assuming
29 proper installation and maintenance). These findings highlight how a systems model can
30 identify links between and improve understanding of water and sanitation, community
31 behaviour, and the impacts of extreme events. The resultant BBN provides a tool for decision
32 support to enhance opportunities for climate resilient water and sanitation service provision.

33 **Keywords:** water resource management, Bayesian belief network, Pacific Islands, small
34 island developing states, climate change, rainwater harvesting

35 **1 Introduction**

36 The delivery of drinking water and sanitation (WaSH) services is a major challenge in
37 developing countries (WHO and UNICEF 2017). Among these are the Pacific Island
38 Countries (PICs), of which six of the fifteen nations are categorised as among the Least
39 Developed Countries according to the United Nations (UN 2018). Although WaSH coverage
40 is increasing in many regions globally, overall WaSH conditions remain poor for many PICs
41 (WHO and UNICEF 2017). Their isolated and dispersed geography, small and predominantly

42 rural populations, limited resources and diverse cultures make provision of WaSH services
43 especially challenging (Moglia et al. 2012, Hadwen et al. 2015, MacDonald et al. 2017). PICs
44 also have costly access to markets and supply chains (affecting investment in WaSH
45 infrastructure and maintenance) and financial, human and technical resource disadvantages
46 not faced by countries in other regions and contexts (Briguglio 1995, Saunders et al. 2016). It
47 is also clear that the WaSH challenges facing communities within the Pacific are intensified
48 by climate change (Meehl 1996, Hay and Mimura 2006). Climate projections for the South
49 Pacific are more variable than for many other parts of the world, but there is a high likelihood
50 of wetter wet seasons, drier dry seasons and more frequent and severe climate events such as
51 floods and droughts (IPCC 2014). The relationships among climate change, water availability
52 (and accessibility), water quality and sanitation practices underpin the need for climate-
53 sensitive WaSH service delivery (Rasmussen et al. 2009, Hadwen et al. 2015).

54 The Solomon Islands is one of the PICs that failed to meet the Millennium Development
55 Goal (MDG) target for WaSH (Goal 7c, WHO and UNICEF 2017). Rural areas remain
56 drastically underserved, with only 61% of the rural population reporting use of improved
57 drinking water sources and just 18% of the rural population reporting use of improved or
58 shared sanitation services (WHO and UNICEF 2017). On-going scrutiny indicates that even
59 these statistics may overestimate the consistent use of adequate drinking water and sanitation
60 (Onda et al. 2012, Martinez-Santos 2017). Despite substantive investment and activity, these
61 levels of service remained unchanged over the MDG period (WHO and UNICEF 2017), in
62 part because of a 2.6% population growth rate (UNPD 2014). Both urban and rural
63 populations grew substantially in the Solomon Islands – for rural areas, the population rose
64 from 412,000 in 2000 to 584,000 in 2015 (UNPD 2014).

65 A wide variety of water sources are used by rural floodplain communities, including
66 rainwater collected by individual households, commonly captured using the household roof,

67 and stored in small volume containers such as pots and pans (Elliott et al. 2017). Households
68 often heavily rely on their own shallow well next to the house, hand dug into permeable
69 sediments (primarily sand and other unconsolidated soils) and accessing brackish coastal
70 groundwater, which is reported as salty to taste and growing saltier with the well's proximity
71 to the coast. External organisations have attempted to supplement these household sources
72 with alternatives for community use, including larger rainwater tanks and deep wells.
73 Communal sources require collection and transport to the household in containers, and are in
74 theory available for anyone in the community to use. However, communal sources are often
75 not shared equitably among all community members, even during periods of water scarcity or
76 water contamination (Elliott et al. 2017). The effectiveness of locally managed communal
77 water sources is not well understood in the Solomon Island communities, and can vary from a
78 "first-come, first-serve" system, to management where village chiefs and leaders dictate the
79 terms of its use. In terms of other sources of available water, some communities access
80 springs, rivers and streams, which are sometimes piped closer to a community for
81 convenience (Elliott et al. 2017). Many such surface water sources are considered high risk
82 by the United Nations and World Health Organization for contamination by both biological
83 and chemical pollutants (Sachs et al. 2019). Water (bottled or bulk) is rarely purchased by
84 rural community members (Elliott et al. 2017).

85 Multiple sanitation methods are used in rural floodplain communities in the Solomon Islands.
86 Traditionally, open defecation is practised in rivers and streams, in the ocean, or on the beach
87 at low tide; in fields and in the forest and undergrowth (MHMS 2014). Non-traditional types
88 of sanitation (often termed "improved sanitation") have been introduced by external
89 organisations, including bucket-style toilets (the contents of which are then disposed of in the
90 traditional defecation sites), pit latrines and pour flush and flush toilets (MacDonald et al.
91 2017). Concerns over the use of pit latrines and unlined septic tanks have been raised about

92 the concurrent use of groundwater and the potential for contamination (Back et al. 2018).
93 Additional research is still needed to determine the effects of contextual variables on
94 groundwater contamination risks from latrines, improved measurement approaches and better
95 criteria for siting pit latrines (Graham and Polizzotto 2013).

96 In response to low levels of WaSH service delivery, the Solomon Islands Rural Water
97 Sanitation and Hygiene (“RWASH”) Policy, endorsed in 2014, emphasised sustainability, the
98 need for sectoral reform and capacity building to enhance coordination at all levels of
99 government, and increased support for community WaSH management (MHMS 2014). The
100 policy also revolves around the changing function of RWASH from implementation to
101 regulation, whereby the task of implementation is intended to be transferred to non-
102 governmental organisations (NGOs) and other agencies (MHMS 2015).

103 Decision making around WaSH in PICs is complex, as there are multiple water-related
104 hazards faced by communities, and the impacts of these will likely be exacerbated by
105 anticipated changes to climate, weather and development (Hadwen et al. 2015). Analysis of
106 these impacts, hazards, and determination of strategies for adaptation is urgently needed. The
107 development of robust WaSH models, which can handle the challenges of high uncertainty
108 and data-scarcity, can aid in the decision making around WaSH interventions and climate
109 change adaptation options. The research objective was to improve understanding of how
110 WaSH works in understudied flood-prone rural communities, with a specific focus on: (a)
111 accounting for the complex relationships between multiple water sources and sanitation
112 types, where previous research has often focused on the primary version of each; (b)
113 exploring the potential impact of climate change; and (c) showing which factors and
114 decisions stakeholders implementing WasH programs (including government agencies and
115 NGOs) and the communities themselves should focus effort on increasing resilience of
116 WaSH systems. In this paper, we describe how WaSH understanding was improved through

117 the participatory development and application of a Bayesian Belief Network (BBN) model. A
118 BBN is a type of system model that is particularly suited to using sparse data and handling
119 uncertainty to address the issues of multiple complex hazards, and is increasingly being used
120 in the context of WaSH (e.g. Dondeynaz et al. 2013, Phan et al. 2016, Giné-Garriga et al.
121 2018, Requejo-Castro et al. 2019). In this instance it is used to support decision making to
122 increase the availability of safe drinking water in rural communities in the Solomon Islands.
123 The model employs data on multiple water sources and sanitation types from five
124 communities that experienced water shortages as a result of overland and/or coastal flooding.
125 Data from communities in two provinces populate the model, expanding its scope of
126 application to assess safe drinking water availability in different Solomon Island contexts.
127 Critically, the BBN offers a systems view such that it can take into consideration complex
128 water and sanitation systems, and their connections to and across atmosphere (e.g. climate
129 and weather), hydrosphere (e.g. water sources), lithosphere (e.g. hydrogeology of permeable
130 coastal sediments) and anthropospheric behaviour and decision-making. Such a tool is
131 intended to provide insights into the anticipated consequences of climate change and the
132 impacts of extreme events like floods and droughts.

133 **2 Methods**

134 Our approach in this study, which underpinned the process of BBN model construction, was
135 based on a general risk assessment process (illustrated in Supplementary Figure 1) as is used
136 in many fields where there are multiple, difficult to manage risks (e.g. see Aven 2016 for a
137 review). This process fits into an adaptive management cycle, where the recommendations
138 from the participatory process and risk characterisation modelling lead to management
139 actions which are monitored for the updating of analyses and future rounds of risk
140 characterisation.

141 **2.1 Participatory problem formulation**

142 Five communities that had previously been affected by flooding were selected for survey and
143 focus group sessions, and the most accessible of these was chosen for a more involved
144 multistage participatory process. Community selection was based on recommendations from
145 the Solomon Islands RWASH team within the Ministry of Health and Medical Services who
146 were able to provide a list of communities that had been affected by recent flood events and
147 that were in need of further WaSH development. Communities that were selected had
148 struggled to access acceptable drinking water sources during recent floods, either through
149 perceived or real contamination, or loss of access. For practical access, the communities were
150 located in the two central Provinces of the Solomon Islands, Guadalcanal and Malaita.

151 Of the five communities participating in this study, two were on Guadalcanal (Suaghi and
152 Verahue) and three were on Malaita (California, Radefasu and Aifera). Although all were
153 rural, those on Malaita had less access to the large markets and shopping centres of Honiara,
154 the capital, than those on Guadalcanal. All work conducted with the communities occurred
155 through the use of local intermediaries and interpreters, with responses and discussions
156 recorded, translated verbally on the day and also noted in English by the research team.

157 Introductory meetings were held with community leaders, who extended open invitations
158 throughout each community to sessions introducing the project and team. At the end of these
159 introductions the gathered community group were prompted to consider amongst themselves
160 who they would like to participate in further focus group discussions about water and
161 sanitation practices in their community. The focus group discussions however were explicitly
162 open to anyone interested, with the number of people attending varying according to the
163 popularity of the topics and the availability of local people. Typically, groups ranged in size
164 from 5 to 20 people.

165 In all communities, an initial problem formulation activity was run with participants to ensure
166 relevance and determine the priority value (measurement endpoint) of the project. Male and
167 female participants were consulted separately throughout the process to respect local cultural
168 norms, avoid gender bias and ensure that the views of all community members could be
169 canvassed. The researchers used participatory mapping exercises of the local area to initiate
170 thinking and discussion around where, why and how water was used, which was repeated for
171 where, why and how sanitation occurred. This information then informed community focus
172 group discussions which sought to list and prioritise water values with discussion and
173 consensus building around the key values that participants considered requiring better
174 management. Across all communities, this process led all community groups to identify their
175 priority concern as drinking water, both in terms of quality and quantity. The focus and
176 measurement endpoint for the model was defined as “proportion of (each) community with
177 sufficient drinking water of acceptable quality”, as perceived by community members and
178 reported during the household surveys and focus group discussions. With this endpoint
179 defined, community members identified and ranked threats affecting the community selected
180 measurement endpoint and then crystallized their own thinking as well as that of the
181 researchers by creating causal diagrams demonstrating their mental (conceptual) models
182 around what affected water use and sanitation in the local context (see Supplementary
183 Figures 2-4).

184 **2.2 Risk analysis: development of community-level models**

185 To assist in the development of the BBN models, quantitative WaSH data was collected
186 directly from the five communities through household surveys. The survey methods,
187 implementation and detailed data analyses are described elsewhere (MacDonald et al. 2016,
188 Elliott et al. 2017). 106 household surveys were conducted across the five communities to
189 gather primary data concerning water sources and sanitation systems and their usage,

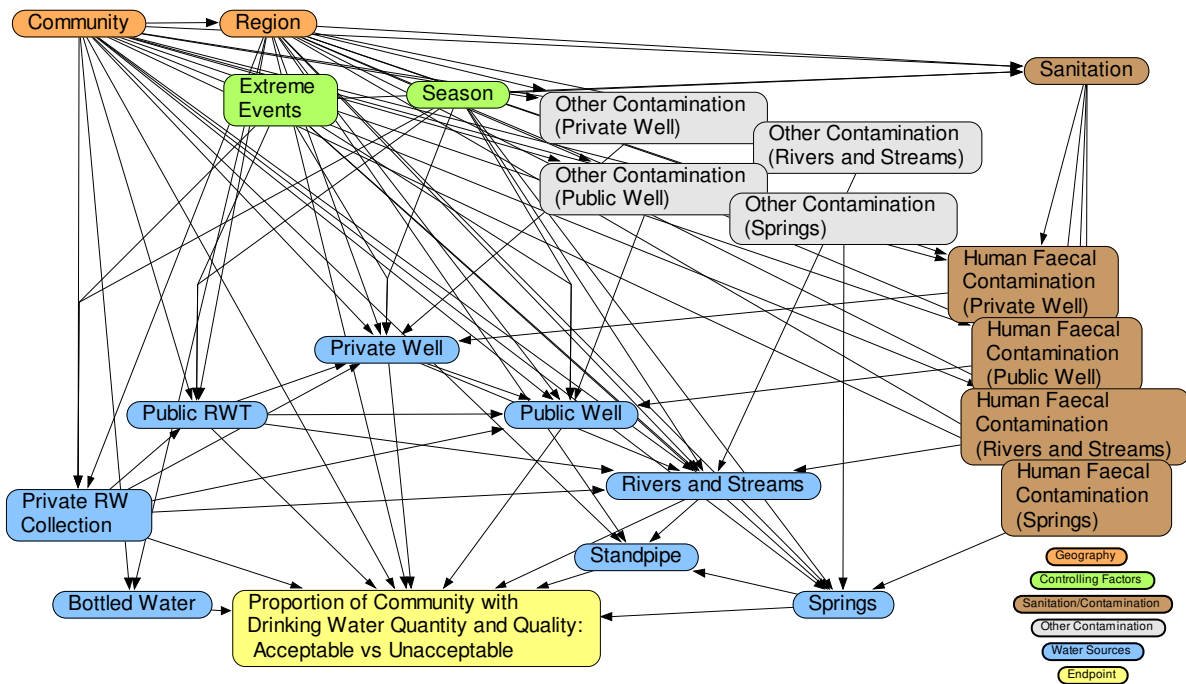
190 seasonal (wet, dry) changes and extreme weather events (floods, tropical cyclones and
191 droughts). Note also that although droughts are currently rare in all of the communities, we
192 wanted to capture community experience with water scarcity as this is important to consider
193 given increased likelihood of extended dry spells associated with climate change. The survey
194 questions and the participatory elicitation activities facilitated the collection of data on
195 community WaSH behaviour and how it varied according to a) seasons, b) extreme events, c)
196 sanitation systems, d) multiple sources of water, and e) other contamination concerns.

197 As with most modelling approaches, expert judgement is often part of BBN development;
198 however BBNs make the expert contribution explicit and transparent, and combine expert
199 judgement with significant stakeholder input (Kuhnert et al. 2010, Moglia et al. 2012). In our
200 study we couple quantitative data collected from the household surveys with qualitative
201 social, cultural and environmental knowledge gathered through focus group discussions and
202 participatory processes. Household surveys were conducted by local enumerators, who
203 attended two full days of training followed by field piloting the survey in Nomoliki, a peri-
204 urban community of Honiara. Further details on the household survey can be found in two
205 previous, open-access publications (MacDonald et al. 2016, Elliott et al. 2017).

206 *2.2.1 Conceptual modelling and quantification*

207 The data collected from each community was the starting point for the construction of BBN
208 models, including: community mapping; ranked lists; conceptual diagrams for community
209 drinking water supply (e.g. different water sources), how threats affected different individual
210 water sources (e.g. the types of contamination affecting wells vs rainwater tanks), the types of
211 sanitation used and what factors influenced sanitation behaviour (e.g. extreme events); and
212 field notes on the discussion accompanying community development of this data. The
213 research team also brought expertise and understanding of integrated water resource systems
214 from around the world (e.g. Chan et al. 2010, Hoverman et al. 2011, Cumming et al. 2014,

215 Hadwen et al. 2015, Fisher et al. 2016, MacDonald et al. 2016, Phan et al. 2016), as well as
 216 analyses of the data from the household surveys (Özdemir et al. 2011, MacDonald et al.
 217 2016, Elliott et al. 2017), allowing refinement and combination of the community conceptual
 218 diagrams and causal influences into an overall systems diagram of water and sanitation at the
 219 community scale (Figure 1).



220

221 **Figure 1. Solomon Islands drinking water conceptual diagram (with Community and Region).**

222 The drinking water conceptual diagram developed (Figure 1) was used as the structure of the
 223 BBN model. The network structure was input into the modelling software Netica (version
 224 5.15, Norsys 1997). The raw household WaSH survey data was used for network learning
 225 using the expectation-maximization algorithm, which was the most appropriate learning
 226 approach given the heterogeneity of the data set (e.g. the sparseness of some parts of the data
 227 relative to others, such as drought not having been experienced in some communities), and
 228 resulted in the working BBN presented here (e.g. as per Lauritzen and Spiegelhalter 1988,
 229 Korb and Nicholson 2004, Fisher et al. 2015).

230 The model includes different water source and sanitation types reported in the floodplain
231 communities surveyed, effects of season and extreme events, and shows differences between
232 regions and communities. The water sources included were: private/household level
233 rainwater collection, public/communal rainwater collection, private/household well,
234 public/communal wells, rivers and streams, springs, “standpipes” which are sourced from
235 surface waters including rivers/streams and springs, and bottled/purchased water. The
236 sanitation types were: flush toilets, pour flush toilets, and pit latrines (“improved” types); as
237 well as open defecation in oceans, rivers and streams, on the beach, in the fields, in the
238 “bush”, and in a “bucket”, after which faecal waste is disposed of in the open. Drinking water
239 quality determinants, as perceived by the local people, included both the impact of sanitation
240 type (with risk of human faecal contamination) as well as other types of contamination (e.g.
241 salinity linked to saltwater penetration in groundwater). While direct analysis of water quality
242 and quantity would help to explore health risks, this work lay beyond the scope of this study.
243 Instead, the focus here was on community-based concerns around water quality and quantity,
244 across multiple sources and resulting community decisions. Overall, there were 22
245 variables/nodes (each with between two and ten possible states), and 82 causal links
246 (relationships) between the variables that were based on the community focus group
247 discussions and conceptual modelling. This model structure results in a total of 41,126
248 conditional probabilities which were trained with the household survey data.

249 A simple holdout validation was used, partitioning the dataset into a randomly selected 75%
250 subset of the data that was used to train the network, with the remaining 25% of cases
251 reserved for testing the model predictions. Note there is no set rule for validation partition but
252 proportions used commonly range from 90:10 to 70:30 (see Kuhn and Johnson 2013 for
253 further discussion). The results of the validation are described in section 2.3. The finalised
254 models were then used to gain a quantitative understanding of the integrated WaSH system,

255 and in particular, allow exploration of common scenarios relevant to water resource
256 management and the interventions that might most powerfully mitigate the threats to drinking
257 water in these communities.

258 **2.3 Characterisation of risks: model analysis**

259 *2.3.1 Sensitivity analysis of the model*

260 A sensitivity analysis was performed on the learned network by calculating reductions in
261 Shannon’s entropy (also known as the “mutual information”) as described by Pearl (1988)
262 and as determined within Netica (Norsys 1997). This analysis determines how much the
263 uncertainty in the endpoint is reduced after gaining information regarding each state of every
264 other variable. The variables in the network with the most influence on the endpoint
265 condition can thus be identified.

266 *2.3.2 Applying scenarios to the finalised BBN model*

267 BBNs are excellent models to use for decision support purposes, as they enable scenario
268 testing while explicitly handling uncertainty (Castelletti and Soncini-Sessa 2007, Phan et al.
269 2016). Given the number of variables (22), states (69), and relationships (82) in this BBN
270 model, there is an unwieldy number of potential scenarios. This was reduced to consider
271 those scenarios likely to pose the greatest risk to acceptable community drinking water, as
272 informed by analysis of the household data collected (Elliot et al. 2017), stakeholder interest
273 (Supplementary Table 1) and suggestions, as well as the sensitivity analysis.

274 Initial model development, proposed scenarios and results were presented to external (non-
275 community) stakeholders (including those implementing WaSH programs in rural
276 communities) in Honiara in March 2016. While not all invited stakeholders were able to
277 attend this event (see Supplementary Table 2), there was strong engagement and
278 representation across health and climate adaptation stakeholders from Solomon Islands

279 Government, UNDP and NGO bodies. The preliminary results presented included example
280 outputs (e.g. a drought scenario given recent El Nino projections, the impact of a number of
281 adaptation options identified in previous stakeholder meetings, and comparison of the impact
282 of normal/seasonal conditions vs extreme events). Stakeholders were tasked with ensuring the
283 model and output results made sense to them and were useful, and were given opportunities
284 for clarifications and feedback to inform corrections or adjustments needed (flagging of
285 problems, suggestions for improving usefulness, suggestions for preferred visual/other
286 presentation of outputs, and additional scenarios of interest). They were specifically
287 prompted for ways this type of information could support their institutions' decision making
288 for water supply and sanitation improvements.

289 Feedback from the stakeholders included appreciation of the importance of examining
290 multiple water sources, which they had not seen analysed previously, and the impact of
291 "single technology solutions" such as (communal/public) rainwater tanks vs
292 (communal/public) wells. Communal water sources were often viewed as secondary sources
293 to be used only when household sources had been depleted. In the absence of a piped water
294 source, individual households were responsible for collecting and storing water from various
295 natural sources. Stakeholders requested adjustments to the model such as adding a link
296 between season and the surface water sources (rivers and streams, and springs), and
297 expressed interest in using the model to explore the impact of "software" interventions, such
298 as operations and maintenance training, on proposed interventions.

299 On the basis of feedback from stakeholders, the selected scenarios and their permutations
300 were simulated using the finalised BBN model to understand the impact on the endpoint
301 "proportion of (each) community with sufficient drinking water of acceptable quality", as
302 perceived by community members. The following range of water-focused scenarios were
303 evaluated: (1) the cumulative unavailability of water sources under different extreme events,

304 (2) the impact of extreme events on different management options, (3) the impact of
 305 sanitation practices on contamination of water sources and (4) the impact of contamination
 306 from other sources. This resulted in a final list of 10 sets of scenarios, as presented in Table 1.

Scenarios
1. Provision of rainwater tanks, including: <ul style="list-style-type: none"> a. Public/communal/shared tanks b. Private/household tanks c. Both
2. Provision of wells: <ul style="list-style-type: none"> a. Public/communal b. Private/household
3. Cumulative loss of water source scenario of: <ul style="list-style-type: none"> a. Baseline (current conditions) b. No private rain water tanks c. No private and no public rain water tanks d. No rain water tanks and no private wells e. No rain water tanks and no wells
4. Water treatment, modelled as perceived absence of contamination <ul style="list-style-type: none"> a. Contamination (springs) <ul style="list-style-type: none"> i. Absence of contaminants ii. Uniform likelihood of salinity, animal, helminth, other iii. Helminth only b. Contamination (private wells) <ul style="list-style-type: none"> i. Absence of contaminants

<ul style="list-style-type: none"> ii. Uniform likelihood of salinity, animal, helminth, other iii. Individual contaminants c. Public wells <ul style="list-style-type: none"> i. Absence of contaminants ii. Uniform likelihood of salinity, animal, helminth, other iii. Individual contaminants
<p>5. Sanitation type</p> <ul style="list-style-type: none"> a. improved (all communities baseline): pour flush, pit latrine, flush toilet used (assumes equally likely); other options not used b. not improved (all communities baseline): not improved options used (assumes options equally likely); pour flush, pit latrine, flush toilet not used
<p>6. Extreme events</p> <p>Range of each extreme event baseline</p> <ul style="list-style-type: none"> a. Baseline b. No extreme events c. Flood d. Cyclone e. Drought
<p>Testing interventions under a range of conditions/scenarios, i.e. different extreme events</p> <p>7. Private rain water tanks</p> <ul style="list-style-type: none"> a. Baseline b. No extreme events c. Flood d. Cyclone e. Drought

8. Public rain water tanks
 - a. Baseline
 - b. No extreme events
 - c. Flood
 - d. Cyclone
 - e. Drought
9. Both private and public rain water tanks
 - a. Baseline
 - b. No extreme events
 - c. Flood
 - d. Cyclone
 - e. Drought
10. As for Scenario 9, but for private and public wells

307 **Table 1. Final list of WaSH scenarios examined to understand impacts on the model endpoint.**

308 **3 Results**

309 Figure 2 shows an overall summary of the BBN model trained on the household data. For
310 example, the community variable shows approximately what fraction of total households
311 surveyed were from each community, while the season variable indicates almost half the
312 information elicited from households was conditional on it being during the wet season.

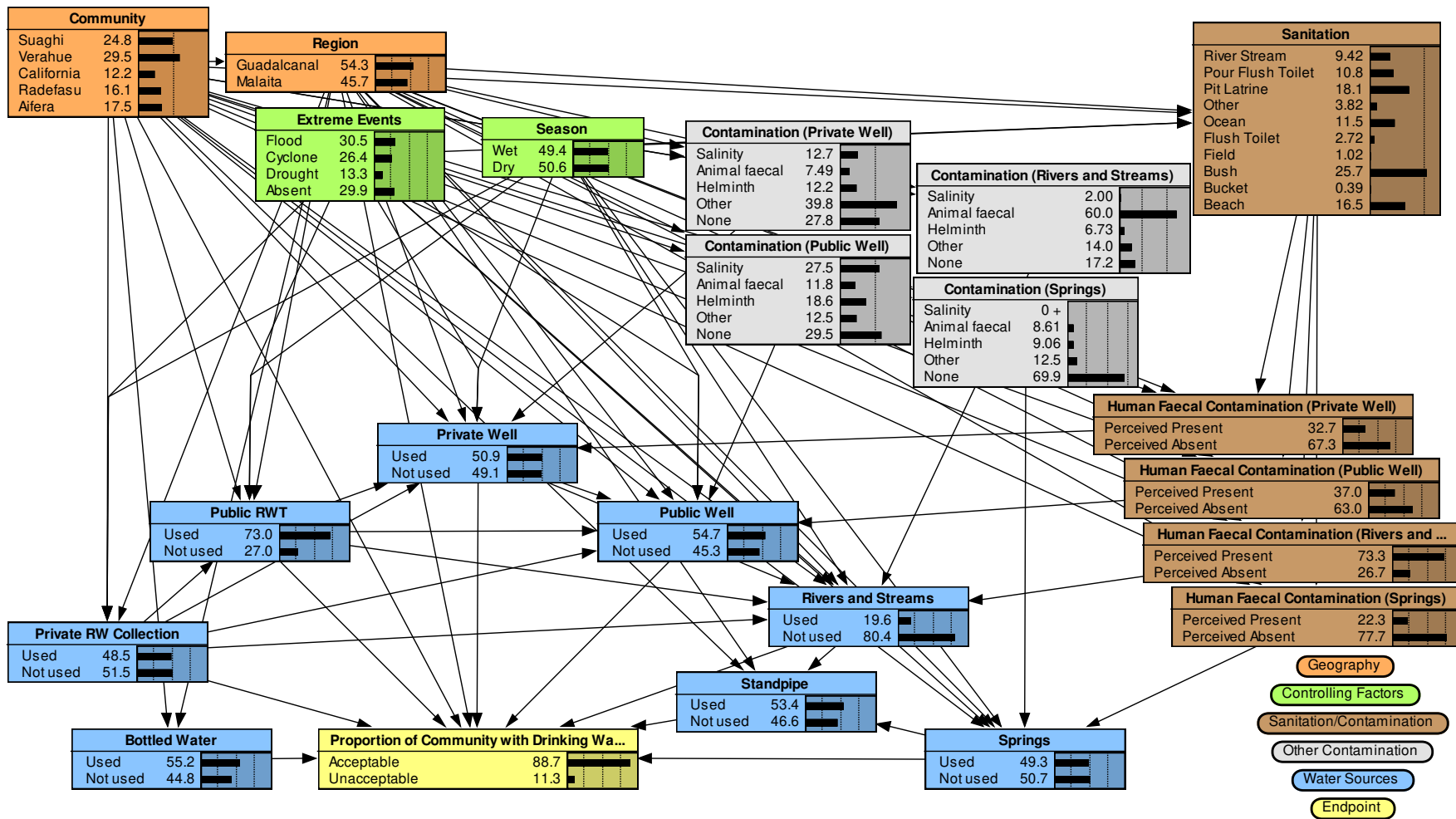
313 **3.1 Model testing**

314 The predictions of the trained model were compared to the data on adequacy of drinking
315 water as reported by households in the 25% of the household survey dataset reserved from
316 training (shown in a confusion matrix in Supplementary Table 3).

317 Of the 301 test cases used, there were 274 correct and 27 incorrect predictions, an overall
318 error rate of 9.0 %. Although the model was good at predicting when a community judges it
319 has acceptable drinking water (2% error rate), predictions of the conditions under which they
320 judge they have unacceptable drinking water were less accurate. This imbalance is a result of
321 the communities and households experiencing an unacceptable water condition
322 comparatively less often, providing a much smaller dataset with which to train the model for
323 this condition.

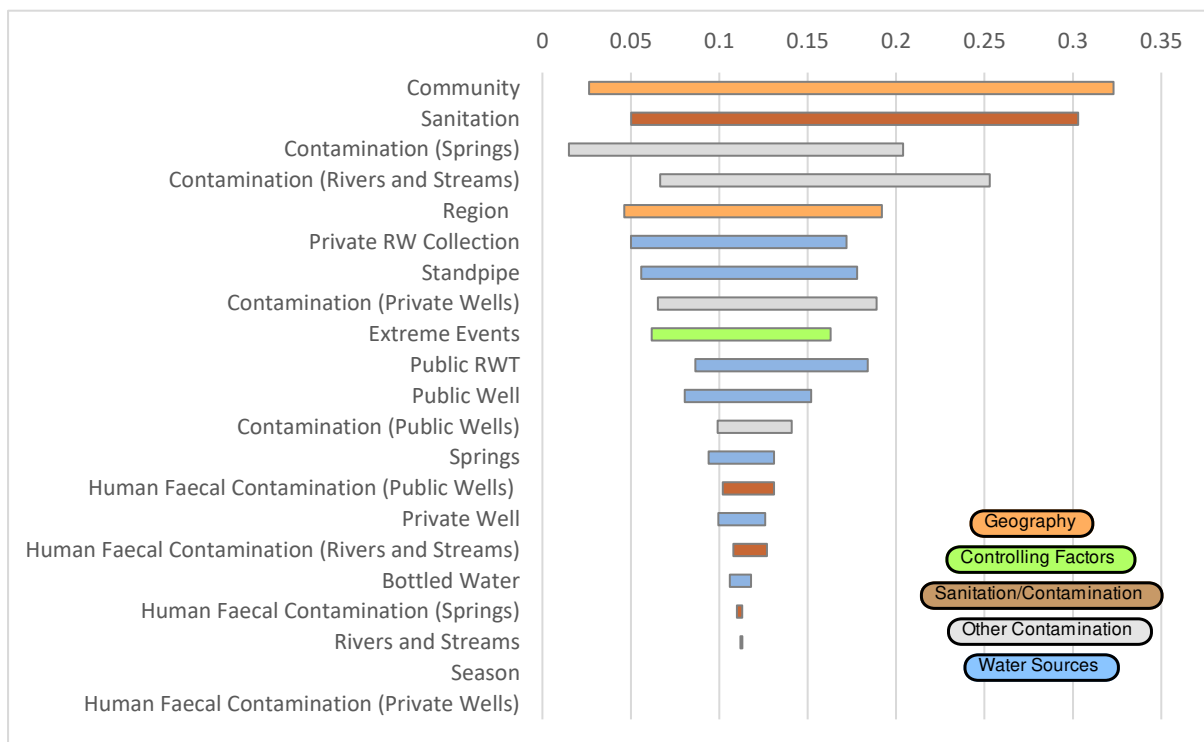
324 **3.2 Sensitivity analysis**

325 The sensitivity analysis for the model is illustrated in Figure 3, with nodes ranked according
326 to entropy reduction (see Supplementary Table 4, for calculated entropy measures). The most
327 influential variables are nearest the top of the figure, with the length of the bar indicating the
328 variation for the endpoint being in the “unacceptable” state (the longer the bar, the greater the
329 influence on the endpoint of being “unacceptable”). In terms of which factors influence the
330 endpoint condition, differences between community are particularly significant, followed by
331 the influence of sanitation type. Note that community is a latent variable that allows
332 convenient collation of any consistent differences reported in household survey data from
333 those communities.



334

335 Figure 2. Solomon Islands drinking water populated BBN.



337

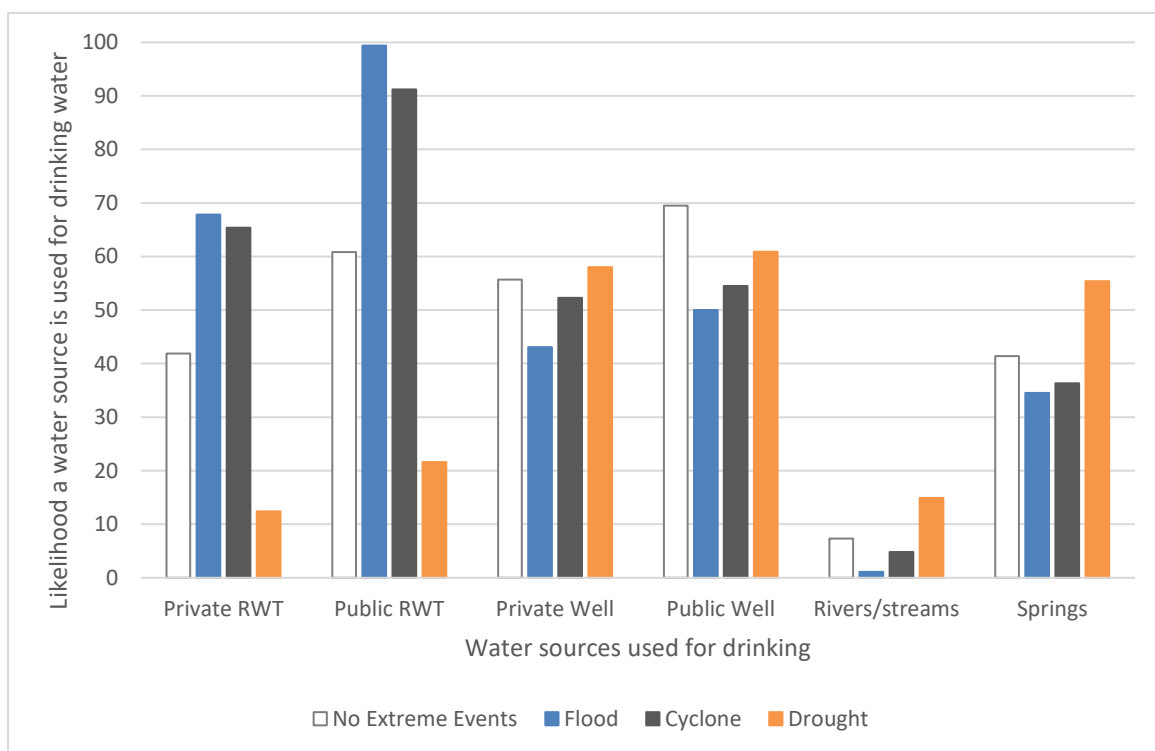
338 **Figure 3. Sensitivity analysis showing the potential influence of network variables on the probability that “Proportion**
 339 **of (each) community with sufficient drinking water of acceptable quality” was “Unacceptable” (detailed data on**
 340 **mutual information and variance of belief is provided in the supplementary materials, Supplementary Table 4). As**
 341 **for Figure 2, the variables are colour coded according categorisation into water sources (blue), sanitation and related**
 342 **contamination (brown), other contamination (grey), geography (orange) and controlling factors (green).**

343 3.3 Model outputs

344 3.3.1 Impact of extreme events on water sources

345 Under flood and cyclone conditions, the community participatory activities and household
 346 survey data indicate that community members adopt similar behaviours (Figure 4). During
 347 these conditions, the focus group discussions with all communities indicates that use of the
 348 abundantly available rainwater increases at the same time as surface water sources become
 349 inaccessible and/or are perceived to be too contaminated for use as drinking water. Indeed,
 350 the BBN model indicates that use of water from rivers and streams decreases from 7.4% of
 351 households under no extreme event, to 1.1% under flooding, and springs from 41.4% to

352 34.5%, while use of wells, both public/communal and household/private are also reduced
 353 from 69.5% to 50% for the former, and 55.7% to 43.1% for the latter. To offset the loss of
 354 surface water sources, rainwater use increases under flood (and cyclone) conditions, with
 355 private/household rainwater use increasing by 26% (from 41.9% to 67.8%), and
 356 public/communal rainwater use increasing by 23% (from 73% to 96.3%). Community focus
 357 groups and participatory activities revealed that a certain degree of flooding was often caused
 358 by the heavy rains that accompany cyclones. The reported frequency of cyclones in our study
 359 communities was comparable to the reported frequency of flooding; however, given the
 360 similarity between patterns of water use under flood and cyclone conditions events
 361 experienced by all communities, we primarily report on flooding hereafter.



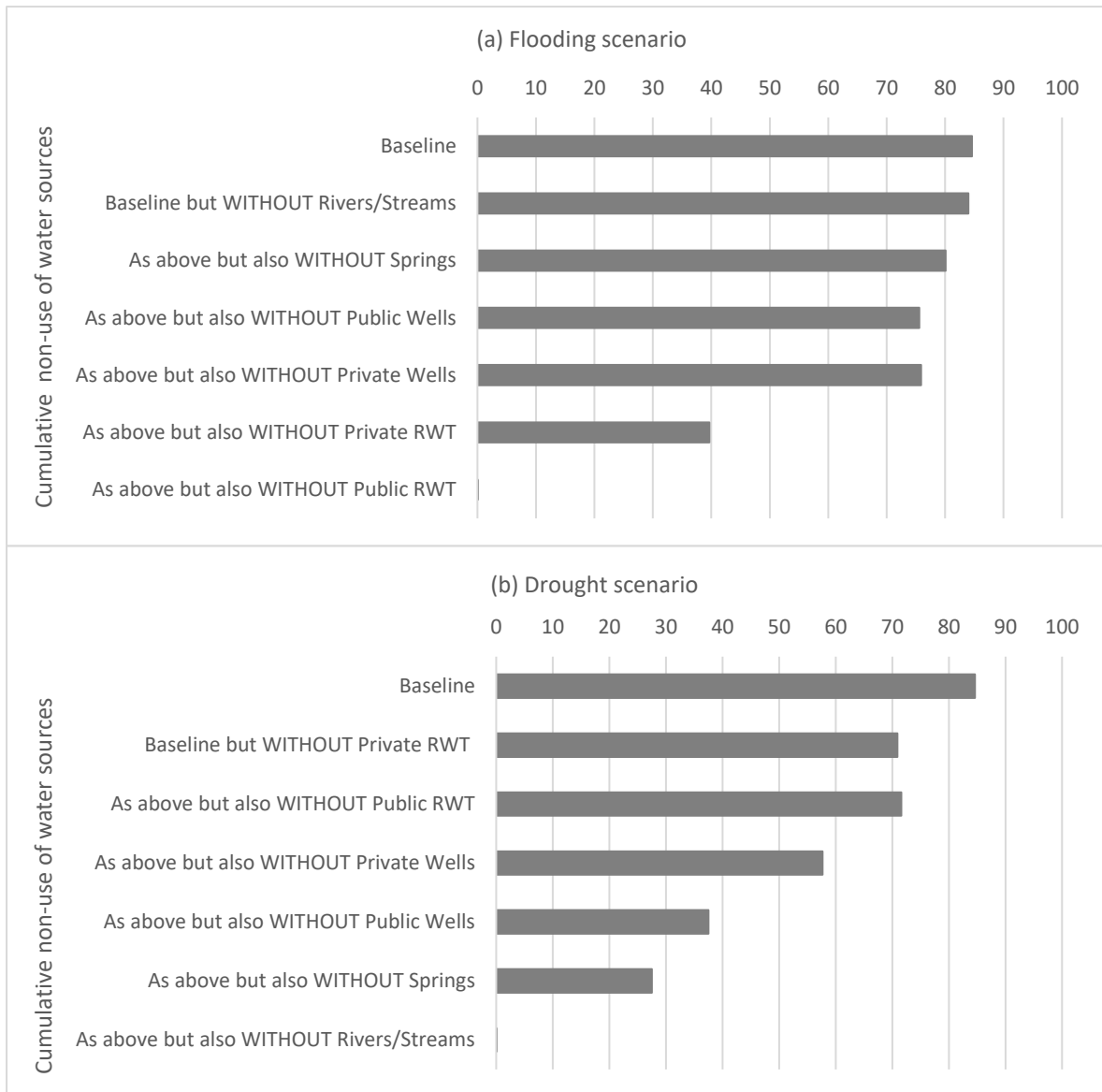
362
 363 **Figure 4. Change in use of water sources for drinking under different extreme events.**

364 During droughts the availability and use of rainwater is vastly reduced, with small volume
 365 household level collection eliminated rapidly for many households. Larger volume communal
 366 and public rainwater collection sources reportedly last a little longer. Additionally, the

367 likelihood of using unimproved water sources (rivers/streams and springs) increases
368 considerably. Drought data are fewer than for flood and cyclone, as a much smaller
369 proportion of the households surveyed had previously experienced drought, and these were
370 largely from two communities (Suaghi and Verahue).

371 These model runs highlighted that changes in water source usage (i.e. increase vs decrease)
372 under any particular type of extreme event are very similar for private and public RWT,
373 although there are differences in the magnitude of the change. A similar trend also occurs for
374 public and private wells, in that the direction of change is consistent no matter whether the
375 resource is a private or public water source.

376 On the basis of the reported behaviours around water source usage, it is possible to examine
377 the impact of increased magnitude of extreme events such as those projected under climate
378 change modelling for the South Pacific (Perkins et al. 2012, IPCC 2014), by modelling
379 scenarios where water sources are completely removed as an informative upper boundary.
380 Under such scenarios, a cumulative total removal of sources following the order of likelihood
381 of each source being used as shown in Figure 4 would result in the proportion of communities
382 with sufficient and acceptable drinking water reducing as shown in Figure 5a for flood and
383 Figure 5b for drought. This pattern of cumulative water source loss is a combination of loss
384 of access and preferences due to values, perceptions of quality and ownership (e.g. private
385 sources are preferred over shared/public sources) rather than only exhaustion of water sources
386 (e.g. smaller RWT sources are exhausted before wells).



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Figure 5. BBN Endpoint (proportion of community with sufficient drinking water of acceptable quality) values if sources cumulatively become “Unused” under (a) a flooding scenario and (b) a drought scenario. Note this scenario assumes bottled water and standpipes are unavailable. The raw data is available in Supplementary Table 5 and Supplementary Table 6.

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During floods, the immediate “loss” of “natural” surface water sources, like rivers and

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streams and springs (due to both inaccessibility and acceptability given contamination

394

concerns), result in a small decline in the availability of drinking water to the community. In

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contrast, the additional loss of wells, both private and public, results in moderate decline in

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the proportion of the community with access to acceptable water, despite the fact that public

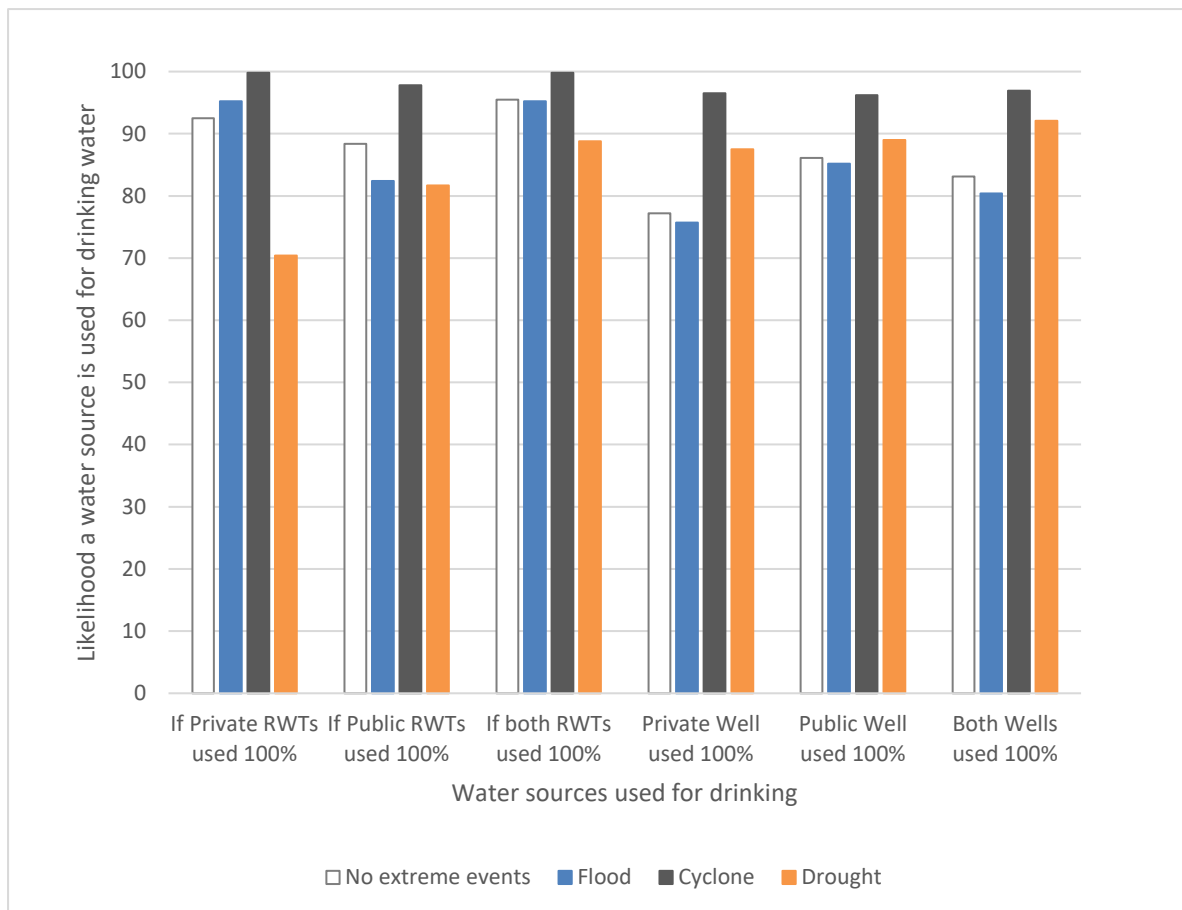
397 and private rainwater sources remain. These losses reflect the fact that rainwater collection
398 and storage behaviours in our study communities are not well established to benefit the whole
399 community: for example, public RWTs were often poorly managed, and damaged and
400 without any plans for repair.

401 During droughts, the rapid depletion of rainwater stores (private and public) as a source of
402 drinking water results in a substantial decline in the proportion of a community with
403 sufficient drinking water of acceptable quality. This is because rainwater is viewed as a
404 superior source of drinking water and rainwater storage volumes (as observed in our study
405 communities) are insufficient to provide drinking water into the dry season or in prolonged
406 drought. Loss of access to drinking water from private and public wells further reduces the
407 proportion of a community with sufficient drinking water of acceptable quality. Interestingly,
408 the loss of private wells has double the impact of the loss of public wells. This difference
409 reflects the community preference for private well water. Private/household wells are usually
410 constructed directly by household members and their immediate connections (neighbours and
411 relatives). Despite this, communities indicated that public/communal wells are usually
412 constructed by external actors (e.g. government or NGOs), and are reported to be deeper and
413 better constructed, providing water for longer under dry conditions. However, community
414 members also report that local hydrogeology is generally unknown and placement of public
415 wells is influenced primarily by other factors (e.g. community politics). As a result, many
416 community members consider the quality of the water from these communal water sources to
417 be poor relative to privately owned and managed wells. While this perception of impaired
418 water quality from public wells may be unfounded, the consistency of this perspective across
419 communities does appear to drive behaviour, and so was an important part of the model.

420 3.3.2 *Impact of extreme events on management options*

421 The SI government's strategy for rural water supply promotes community use of rainwater
422 (MHMS 2014). NGOs have invested and continue to invest in providing rainwater tanks (e.g.
423 ADRA, World Vision). The sensitivity analysis of the model indicates that rainwater is an
424 influential variable affecting the endpoint ("proportion of (each) community with sufficient
425 drinking water of acceptable quality"), with private RWT use being the 4th most influential
426 variable, and public RWT being the 8th most influential variable (Supplementary Table 4,
427 partly illustrated in Figure 3). There is also interest from government and NGOs in assisting
428 rural communities' use of groundwater, typically through deeper and more durable communal
429 wells that are also less vulnerable to surface pollution sources. However, these sources are
430 currently less influential (than rainwater harvesting) on community perceptions of acceptable
431 drinking water supply, with public/communal wells being the 10th most influential variable,
432 and private wells the 14th most influential given the number of wells available at the time of
433 data collection.

434 To examine the effectiveness of the SI government strategy to increase harvesting and use of
435 rainwater, we investigated the potential impact of RWT interventions through the BBN
436 model during extreme events (Figure 6). In our study communities, public RWT interventions
437 perform better in drought than private RWT, likely because of the larger volumes of public
438 tanks (5000-10,000 L tanks) compared to the small containers used for private rainwater
439 collection. However, despite the larger volumes in the public tanks, there were reports from
440 one community that these did not last very long in times of water shortage due to distribution
441 and hoarding at a household level. These behavioural aspects of water use were incorporated
442 into the design and functioning of the BBN model to reflect community member's realities
443 with respect to the Endpoint.



444

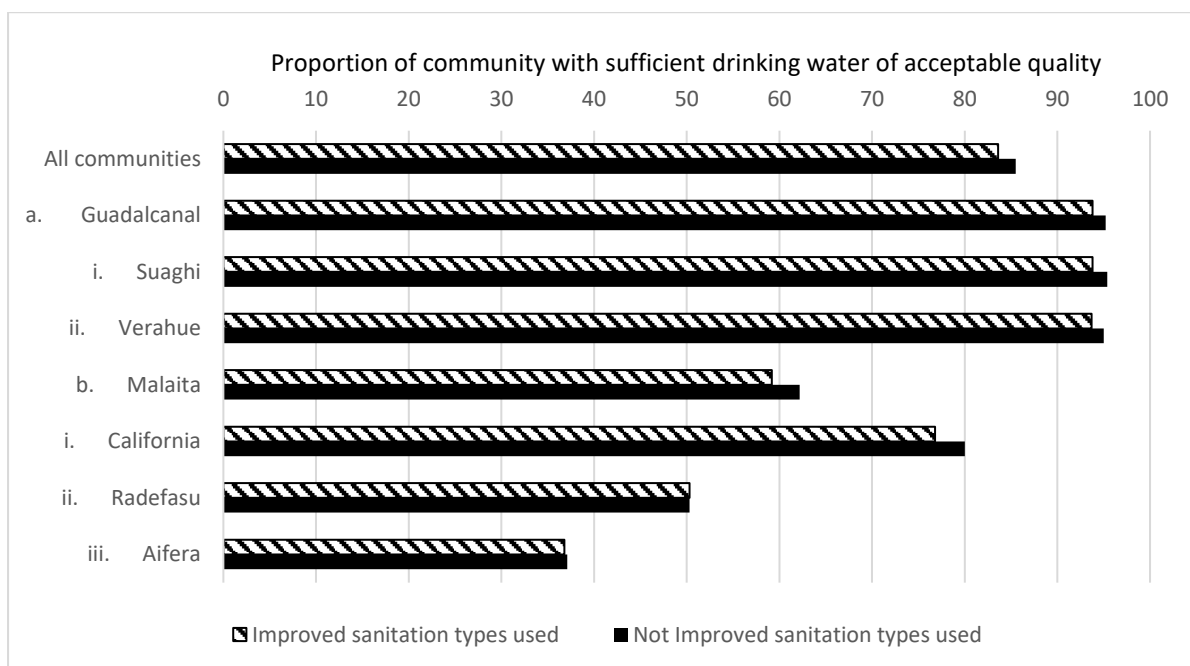
445 **Figure 6. Impact of extreme events on proportion of community with sufficient drinking water of acceptable quality**
 446 **and the associated consequences of proposed interventions on the provision of drinking water at the community scale.**

447 **See supplementary material for raw data, Supplementary Table 7.**

448 **3.3.3 Impact of sanitation practice**

449 Sanitation practice was the 2nd most influential variable affecting the BBN model endpoint
 450 according to the sensitivity analysis (Figure 3). This highlights the communities’ perception
 451 and awareness of the degree to which different sanitation practices may impact drinking
 452 water sources. The links between practice and expectations are important in considering
 453 community outcomes and our examination of the impact of sanitation practices on the
 454 drinking water endpoint initially provided a surprising result, with little improvement in the
 455 drinking water endpoint when simulating a full “improved” sanitation scenario (i.e. scenario
 456 5a in Table 1, result shown in Figure 7). Further examination under this scenario revealed a

457 strong provincial difference, with Malaita having a better drinking water condition under
 458 unimproved sanitation compared to improved sanitation (scenario 5b in Table 1). Reflection
 459 on the study communities highlighted that one Malaitan community (Aifera) has a very high
 460 proportion of pit latrines (83%) while also having a much lower proportion of the community
 461 with perceived acceptable drinking water than the other communities. This particular setting
 462 appears to be influencing the overall result in the combined BBN model (Figure 7).
 463 Notwithstanding this influence on the total model, this result converges with evidence from
 464 focus group discussions where communities highlighted their concerns around the design of
 465 some sanitation options. For example, some members of the community expressed concern
 466 around pit latrines being “bottomless”, which would enable sanitation waste to drain into the
 467 local groundwater, or contaminate nearby surface waters when overflows occurred during
 468 flood events.



469
 470 **Figure 7. BBN Endpoint values and the impact of sanitation systems, with only Improved sanitation types used (Pour**
 471 **Flush Toilet, Flush Toilet and Pit Latrines used) against Unimproved sanitation types used (open defecation,**
 472 **including “bucket” toilets where disposal is to open defecation areas). See Supplementary Table 9 for raw data.**

473 *3.3.4 Impact of water source contamination (excluding human faecal contamination)*
474 Community members also raised concerns around other sources of water source
475 contamination. Communities considered helminths the most pressing contamination concern
476 for springs, while salinity and forms of animal contamination (including animal waste and
477 dead animals), were perceived to be more of a concern than helminths in private wells
478 (Supplementary Figure 8 and Supplementary Table 8). Somewhat counter-intuitively,
479 communities regarded contamination as less of an issue for public wells than for private wells
480 and springs, perhaps due to the fact that public wells are generally not thought of as good
481 enough quality for drinking, and as a result, these aspects of contamination pose less of a
482 threat to health and are rarely contemplated. In addition, some communities are suspicious of
483 the water quality from public wells, and only use this for cooking and non-drinking domestic
484 purposes, despite general acknowledgement that these wells are generally deeper and better
485 constructed. Significantly, when public wells (and rivers and streams) are used for drinking
486 (more so in the dry season) it is for the reason that no other water source is available. In other
487 words, communities set aside their concerns around water quality, when water resources are
488 scarce.

489 **4 Discussion**

490 **4.1 Baseline conditions and water security**

491 Acceptable quantities and qualities of water remain a commonly expressed priority and an
492 ongoing concern for community members in the five Solomon Island communities. Through
493 analysis of the use of multiple water sources (see Elliott et al. 2017), we can use the BBN
494 model presented in this paper to determine the weaknesses in current practice, the possible
495 outcomes of interventions and the likely consequences of climate change on water security.
496 Indeed, our BBN model shows that having multiple household sources of water available

497 enhances the resilience of rural communities during extreme events and, depending on water
498 quality, can raise the proportion of the community with access to acceptable (i.e. sufficient
499 quantities of perceived safe) drinking water. Few studies have examined the role of multiple
500 sources (but see Özdemir et al. 2011, Paton et al. 2014, Elliott et al. 2017) and our research
501 contributes to the growing evidence base assessing the use of multiple sources in developing
502 countries and a more nuanced understanding of water systems and the resilience of
503 communities to climate change threats (Elliott et al. 2019). Understanding the complexity and
504 patterns of use of multiple water sources represents a new but very important aspect of
505 achieving positive outcomes for remote and rural communities.

506 It is also important to consider how current practices might affect community health
507 outcomes. Specifically, the reported consumption (without treatment) of surface (river/stream
508 and spring) and groundwater sources during droughts represents a risky practice, whereby
509 community members set aside their concerns around water quality to make up for the
510 shortfall in rainwater availability. Drinking water has the highest likelihood of becoming
511 unacceptable in both quality and quantity during the dry season and especially drought
512 conditions. This is particularly pronounced in remote rural communities where bottled water
513 and standpipes are not available. While it is important to note that information relating to
514 drought represents just 36.7% of the total household data set and largely comes from just two
515 of the five communities surveyed, the impacts of the growing incidence of dry spells on water
516 sources and the implications for public health warrant more investigation.

517 In terms of management interventions to develop climate-resilient WaSH systems and
518 services, support for development of better practices around household level rainwater
519 collection, improving both infrastructure and maintenance of tanks, has significant potential
520 given community preference for this source (Elliott et al. 2017). While we can model the
521 anticipated outcomes of interventions, the community response to these interventions requires

522 further investigation. Although larger volume, communal rainwater collection and storage
523 may provide communities with water for a longer period during dry times, some community
524 members mentioned that during disasters water from the public RWTs was collected by each
525 household and hoarding occurred, with consequences for the equity and sharing of the
526 rainwater resources. The need for behaviour change and a culture of sharing is recognised in
527 the SI government (RWASH) policy (MHMS 2014), which suggests that “rainwater
528 harvesting can provide very good quality water throughout the year provided the system is
529 designed properly and water usage is controlled”. Further to this, increasing rainwater
530 collection through infrastructure (rainwater tanks) and behaviour change (e.g. tank
531 maintenance, communal rainwater arrangements) has been the emphasis of many aid
532 endeavours.

533 **4.2 Link between sanitation and water systems**

534 Whilst they are often designed and implemented separately, it is clear from our community
535 participants, our model results and our conceptualisation of WaSH in the Pacific (Hadwen et
536 al. 2015), that water and sanitation systems are intimately linked. Importantly, there is a
537 recognition that some existing sanitation practices can threaten the quality of surface water
538 and groundwater sources in the eyes of community members. Part of the concern here is the
539 style and design of sanitation systems, especially those which are prone to overflows, those
540 located in flood-prone areas, and/or are designed to leak directly into the ground despite
541 limited knowledge about the hydrogeology.

542 Participant perceptions of “adequate and safe” drinking water and understanding of
543 contaminants are not necessarily aligned with sector understanding of risks, e.g. concern
544 about water discolouration is higher than concern about faecal contamination, reflecting other
545 recent results in PICs (Foster and Willetts 2018). While actual contamination is currently
546 unknown, major factors determining whether pit latrines contaminate water sources are (1)

547 soil characteristics that enable rapid infiltration with inadequate treatment (e.g. coarse sands,
548 gravels), (2) high local water tables and (3) use of shallow wells (Massoud et al. 2009,
549 Graham and Polizzotto 2013). For the communities in this study, most households reported
550 that they were aware of these contamination risks and their decision making around drinking
551 water sources is strongly influenced by this awareness and perception of risk. These social
552 and behavioural dimensions of water source usage, as built into our BBN model, are vitally
553 important components of the system that ultimately determine the degree to which
554 interventions are successful (Macleod et al. 2007, Clarke et al. 2014, Thomson et al. 2019).
555 To further strengthen both community knowledge and our capacity to evaluate the adequacy
556 of drinking water sources it will be necessary to couple environmental health sampling with
557 community education and awareness campaigns.

558 Additionally, scepticism toward water quality in public wells was consistent across our
559 communities. There are numerous technical advantages of protected deep wells for
560 sustainable provision of safe drinking water, but the concerns of communities about use of
561 public wells must be addressed if deep well installations are to be accepted and used.
562 Comprehensive water sampling programs focusing on the key indicators of faecal
563 contamination, coupled with community outreach, are essential to both address scepticism
564 about water quality from public wells and provide insight into the relationship between water
565 quality as perceived by the community and the safety of each source.

566 **4.3 Future scenarios and the impacts of management interventions**

567 Beyond immediate WaSH interventions, our BBN model also has utility in analysing future
568 climate scenarios. The risk of saline intrusion and contamination of well water has been
569 reported as a concern in many coastal communities (Ranjan et al. 2006, Talukder et al. 2015)
570 with brackish water present in wells of many of the coastal communities surveyed in this
571 study (unpublished data), and community members report increases in well water salinity

572 when king tides occur. Aside from the physical changes in water sources, much more work is
573 needed to understand the decision making processes of local people as they respond to losses
574 in the accessibility and/or acceptability of water sources. This is particularly important with
575 respect to droughts in the Solomon Islands, as many communities have very limited
576 experience with extended dry spells and the risks of consuming unacceptable water may have
577 substantial health impacts.

578 The current emphasis on rainwater harvesting in the Solomon Islands (and elsewhere in the
579 Pacific) marks a change in policy, as previous interventions sought to increase access to
580 groundwater through the establishment of more public and private wells. While properly
581 designed, constructed and maintained sealed wells can be flood resilient and may improve
582 access and perceptions of well water quality (Musche et al. 2018), our communities showed a
583 clear preference for consuming rainwater. Indeed, the development of well resources does not
584 result in significantly increased proportion of the community having access to acceptable
585 drinking water, mostly due to the perceived contamination risks associated with groundwater
586 in the studied communities. It is clear that more work to measure and assess water quality and
587 communicating these findings with local people is an important aspect that may influence
588 decision making and public health outcomes with respect to the patterns well water use
589 (Foster and Willetts 2018, Thomson et al. 2019).

590 While rainwater collection does appear to be a sensible approach to increasing climate
591 resilience of communities, it is clear from our focus group discussions that there are many
592 problems associated with the management and use of public RWTs. With that in mind, we
593 advocate for the implementation of large household RWTs, with complementary education
594 and training to ensure that the quality of the water remains good and the risks of unintended
595 consequences (like mosquito breeding) are mitigated.

596 The ultimate outcomes of interventions which increase the use of rainwater through the
597 provision of rainwater tanks combine provision of infrastructure and “software” interventions
598 such as education and training around operation and maintenance, and awareness and
599 processes for on-going funds for sustainable use (e.g. to replace parts which wear out or are
600 damaged). Several researchers have identified the lack of software support as a cause of
601 intervention failure in many parts of the Pacific, including the Solomon Islands (Wohlfahrt
602 and Kukyuwa 1982, Mourits and Kumar 1995, Clarke et al. 2014). While the BBN model
603 developed here is not designed to specifically test the difference in system interventions with
604 or without software support, the effects of failed maintenance or acceptance of infrastructure
605 can be modelled by modifying water source nodes and the levels of use within the
606 community. Further research would be required to estimate the relative losses associated with
607 infrastructure implementation without software support but, as noted by our partners in
608 RWASH, there is growing awareness of the need for engagement and support to sustain the
609 uptake and maintenance of development actions. We note the reality of delivering software is
610 far from simple given low capacity and resources in the Solomon Islands, however there is a
611 growing body of research on community managed systems and the support they need for on-
612 going success which provide a useful starting point (Quinn et al. 2007, Schweitzer and
613 Mihelcic 2012, Barrington et al. 2013, Behnke et al. 2017, Kelly et al. 2018, Klug et al. 2017,
614 Aleixo et al. 2019).

615 **5 Conclusions**

616 Our findings show that multiple sources of water provide flexibility to the communities under
617 a range of conditions, such as extreme events. Integrating community perceptions of factors
618 affecting drinking water supply and reported behaviours within each community into the
619 model, we show how community members consider sanitation to have the greatest overall

620 influence on the proportion of community with drinking water of acceptable quantity and
621 quality. Communities perceive rainwater as the most reliable and safe source for drinking
622 water, including during extreme events like floods and droughts. Improved climate resilience
623 can be achieved through greater use of rainwater harvesting, under the proviso that programs
624 supporting rainwater harvesting include:

- 625 a) RWT infrastructure to be installed with a suitable technology transfer process to
626 ensure communities understand practical functioning, maintenance and options for
627 repair when needed due to damage or normal degradation;
- 628 b) a more socially focused transfer process to facilitate community development of
629 agreed rainwater sharing protocols, clear assignation of responsibilities such as basic
630 cleaning, for minor and major maintenance, and an agreement for how funding of
631 repairs might be shared;
- 632 c) agreed disincentives for breaking agreed protocols, for directly causing damage, or
633 other behaviour which negatively affects water availability for others, including
634 removal of use privileges and paying for repair of damage;
- 635 d) post-construction support in the form of an on-going contact point or liaison from
636 Government that communities can contact to provide advice and reminders regarding
637 maintenance or repair lessons, and suggestions regarding where parts can be obtained
638 and how much they should cost.

639 Although this study focuses on rural floodplains in the Solomon Islands, there are many
640 similar communities across the Pacific, especially in Melanesian countries. Although there
641 will be differences in geography, environment, social structure, and other factors, we believe
642 there are lessons and considerations from our participatory model development process which
643 apply across the region. Of particular relevance throughout the region we demonstrate that
644 participatory model development can demonstrate the locally nuanced connections between

645 behaviour, water and sanitation systems and help prioritise suitable WaSH solutions. These
646 solutions should be viewed in the context of the broader water cycle, incorporating
647 contamination and climate variability. In a region that benefits from development aid and
648 climate and disaster relief support, the use of the BBN to evaluate scenarios and examine
649 potential interventions to mitigate impacts represents a contribution to understanding the
650 climate change resilience of climate-vulnerable communities, like those studied here.

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655 [research-awards-scheme.aspx](http://dfat.gov.au/aid/topics/developmentissues/research/Pages/australian-development-research-awards-scheme.aspx), DFAT agreement number 66471).

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661 housed on the project website: [http://www.watercentre.org/portfolio/wash-and-climate-](http://www.watercentre.org/portfolio/wash-and-climate-change-adaptation-in-the-pacific)
662 [change-adaptation-in-the-pacific](http://www.watercentre.org/portfolio/wash-and-climate-change-adaptation-in-the-pacific).

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