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- 1 Limited impacts of experimental flow releases on water quality and macroinvertebrate community
- 2 composition in an upland regulated river
- 3 Ben R. Gillespie¹, Paul Kay^{*} and Lee E. Brown
- 4 School of Geography and water@leeds, University of Leeds, Woodhouse Lane, Leeds, LS2 9JT, UK
- 5 *Corresponding author: p.kay@leeds.ac.uk, tel: +44(0) 113 3433328. ¹Current address: Yorkshire
- 6 Water Services Ltd, Western House, Halifax Road, Bradford, BD6 2SZ, UK
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8 Abstract

9 River regulation following the construction of dams has affected the hydrology, water quality 10 and biology of watercourses across the globe. The term 'environmental flows' has been used to 11 describe measures which can be employed to return some lost elements of the natural flow 12 regime. Their introduction has been suggested as a way to mitigate the impacts of river 13 regulation throughout the world but understanding of the effects of artificial high flows on 14 water quality and biota is limited for many different river types. We report a field study which 15 manipulated compensation flows from reservoirs in the Pennine uplands of northern England, 16 and measured changes in water quality and benthic macroinvertebrates using a before-after-17 control-impact approach. These resulted in minor short-term changes in water quality but 18 there was no evidence of immediate (within 48 hours) responses by the macroinvertebrate 19 community to individual flow releases. However, a shift in macroinvertebrate community 20 composition was found after multiple releases, characterised by reductions in Amphinemura 21 sulcicollis (Plecoptera) and Baetis rhodani (Ephemeroptera) and changes in the density of all 22 Diptera. The introduction of short-term flow pulses in flashy regulated river systems is unlikely 23 to yield significant changes in water quality and biota. Nevertheless, cumulative rather than 24 single environmental flow events show promise for mitigating some of the impacts of river 25 regulation. More widely, our findings indicate that environmental flow releases from reservoirs 26 may have to go beyond occasional experimental high flow releases if these rivers are to more 27 closely mimic unregulated river systems.

28

Keywords: water quality; macroinvertebrates; reservoir; regulated rivers; LIFE scores; Water
 Framework Directive (WFD); environmental flows.

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33 **1. Introduction**

34 River regulation is globally ubiquitous (Nillson et al., 2005; Lehner et al., 2011) and it has been 35 estimated that >60% of the world's freshwater flows are obstructed by dams (Petts, 1985; McCully, 36 1996; Grill et al., 2019). Furthermore, 3,700 dams are either planned or under construction, which will result in a further reduction in the occurrence of free-flowing rivers c. 21% (Zarfl, 2015). 37 38 Considerable evidence demonstrating the impacts of damming of rivers on downstream 39 hydrological, water quality and ecological characteristics is available (Petts, 1985; Poff & 40 Zimmerman, 2010; Maavara et al., 2017). A major focus now is in understanding how to mitigate 41 such impacts, both to avoid further/ ongoing environmental degradation and to meet legislative 42 targets such as those embedded in the EU Water Framework Directive (WFD) (EC, 2000) or the US 43 Clean Water Act (1972) (Acreman and Ferguson, 2010; Arthington et al., 2018). 44 Contemporary environmental legislation requires that regulated rivers meet targets based on 45 abiotic and biotic aspects of river ecosystems. A reconsideration of how regulated flow regimes are 46 managed can potentially mitigate impacts associated with dams, thereby meeting these targets 47 (Acreman & Ferguson, 2010; Acreman et al., 2014). However, before management practices can be 48 implemented, an understanding of the relationship between regulated river flow management and 49 ecosystem response must be established (Gillespie et al., 2015). Flow experiments (FEs) are one 50 tool which can be used to establish such relationships (Konrad et al., 2011; Olden et al., 2014; 51 Gillespie et al., 2015). An accepted definition of FEs is yet to emerge in the literature, but we 52 define FEs as any prescribed modification of the flow regime of a regulated river system for which 53 river ecosystem responses are measured. This definition can encapsulate such terms as 54 environmental flows and artificial floods, and includes modifications such as increases or 55 decreases in flow magnitude/ duration and change in reservoir draw off valve height. 56 There is currently no consensus on the effects of FEs on water quality variables in rivers (Gillespie 57 et al., 2015), with equivocal change in river dissolved oxygen (DO), temperature (T) and electrical

58 conductivity (EC) observed during FEs (Table 1). Water quality changes can impact benthic 59 macroinvertebrate communities, which play important functional roles (nutrient cycling, 60 productivity, decomposition rates and movement of materials) in rivers (Wallace & Webster, 1996). 61 They also serve as a crucial food web link between basal resources and higher aquatic organisms 62 such as birds and mammals, and are an important component of river ecology monitoring 63 programmes as they respond differentially to environmental pressures (Metcalfe, 1989). The 64 monitoring of macroinvertebrate responses to FEs is therefore undertaken regularly and a general 65 consensus in response is emerging from the global literature: macroinvertebrate abundance, 66 richness, diversity and the abundance of Ephemeroptera typically decrease post-FE (e.g. Pardo et 67 al., 1998; Harby et al., 2001; Cereghino et al., 2004; Robinson et al., 2004a; Mannes et al., 2008). 68 Despite this, impacts in regulated streams in the UK uplands, where many reservoirs exist, remain 69 poorly understood.

70 Many UK upland catchments are dominated by moorland, relatively low temperatures and high 71 precipitation, resulting in hydrologically 'flashy' streams (Hope et al., 1997; Neal et al., 2010; 72 Ramchunder et al., 2011). Where dammed, they also tend to exhibit antiquated infrastructure 73 unsuitable for meeting the demands of contemporary legislation (e.g. reservoirs have manually 74 operated outflows and physical and safety restraints on release flow characteristics (e.g. 75 magnitude). Some reservoirs are also now far below their original capacities due to sedimentation 76 (Labadz et al., 1991) resulting in frequent overspill events (Gustard, 1989). The combination of 77 these hydrological factors is likely to mean that macroinvertebrate communities in these systems 78 are resilient to dynamic flow regimes and already exposed to them. The introduction of 79 environmental flows truncated in magnitude due to infrastructure limitations and similar to normal 80 compensation flows are unlikely to result in major shifts in macroinvertebrate community 81 composition. However, whilst it may be logistically difficult to generate individual flow events of a 82 large enough magnitude to elicit ecosystem change, multiple disturbances may provide cumulative

83 effects on river ecosystems (Robinson et al., 2004, 2018). These hypotheses need to be tested 84 given the emphasis of current legislation on introducing environmental flows in regulated river 85 systems, and the large financial investments being made in compliance with such legislation (e.g. 86 £7.6m between 2015 and 2020 by Yorkshire Water (Yorkshire Water, 2013)). 87 This paper addresses the research gaps outlined above by reporting responses of river EC, DO, pH, 88 temperature and macroinvertebrates to FEs in upland regulated rivers. The aims of the study were 89 to: (i) identify any impacts of these FEs on downstream water quality and macroinvertebrates and, 90 (ii) assess the potential for the use of FEs for managing water quality and macroinvertebrate

91 communities.

92

93 **2. Materials and Methods**

94 2.1 EXPERIMENTAL DESIGN

95 The study area was located within the south Pennines, UK (Figure 1) and was characterised 96 predominantly by peat surface geology (BGS, 2012) and low forest and semi-natural land cover 97 (CORINE, 2010) (Table 2). Average annual rainfall for the study area is approximately 1,200mm 98 (Evans et al., 2006) and four reservoirs are located here. Widdop reservoir was constructed in the 99 late 19th century whilst building of the chain of three Walshaw Dean reservoirs was finalised in 100 1907 (Tedd & Hoton, 1994). The dams of the Walshaw Dean reservoirs are tallest at 24m although 101 Widdop reservoir has the greatest maximum capacity (c. 2.9 million m³). The smallest capacity is at 102 Walshaw Dean Lower reservoir (c. 0.7 million m³) (Tedd & Hoton, 1994). Both Widdop and 103 Walshaw Dean Lower reservoirs are used exclusively for water supply and no hydroelectric or 104 recreational activities are associated with either. Typically, both reservoirs provide seasonally 105 variable deep-release (i.e. hypolimnetic) compensation flows (Widdop: 0.04 & 0.15 m³s⁻¹; Figure 2; Walshaw Dean Lower: 0.04 & 0.17 m³s⁻¹, between January 1st - October 31st and November 1st -106 107 December 31st, respectively). The seasonally variable element of these compensation flow regimes

108 was introduced in 2006 to better replicate a natural flow regime. To enable objective assessments 109 of ecosystem response to each FE, a paired site approach was taken whereby experimental 110 'impact' (I) sites were paired with 'control' (C) sites. Sites were located downstream of Widdop 111 (impact) and Walshaw Dean Lower (control) reservoir, at between 253 and 307 m aod. FEs were 112 made from Widdop reservoir, and so sites lu, Im and II (Impact upper, middle and lower, 113 respectively) were established downstream of this reservoir to record ecosystem response during 114 FEs (Figure 1). These sites were paired with three C sites: Cu, Cm and Cl downstream of Walshaw 115 Dean Lower reservoir where typical reservoir operation remained unchanged for the duration of 116 the study. Water quality data were collected from all six sites whilst macroinvertebrate sampling 117 was undertaken at Im and Cm only. Geological and land cover characteristics of the drainage basins 118 of paired I and C sites were approximately similar (Table 2). Long-term flow data recorded at Iu 119 demonstrated the generally dynamic nature of the flow regime (Figure 2) which was driven by 120 regular overspill events. Long-term flow data for comparable sites downstream of Walshaw Dean 121 Lower reservoir were not available. 122 **2.2** FLOW EXPERIMENTS 123 Four FEs were carried out by reservoir operational staff (FE 1-4 respectively, Table 3). The peak 124 magnitude of each FE was maximised within the practical restrictions placed on the reservoir 125 operator (Yorkshire Water Services Limited (YWSL) by the Environment Agency (EA), local

126 stakeholders, water resource availability and the capability of reservoir infrastructure. However, in

127 comparison to reservoir overspill events, peak magnitudes of FEs were unexceptional and this may

128 actually negate the need for flow releases in flashy upland catchments or limit their ability to bring

129 about ecological change (Figure 2).

130 2.3 WATER LEVEL AND RIVER DISCHARGE

131 To characterise the water quality dynamics of rivers during each FE, discharge data (recording

132 frequency: 15 min.) were provided by YWSL for *Iu* (Flow meter: Warren Jones Ultra Sonic, WJ440).

At *Cu*, YWSL did not record discharge and a SEBA Hydrometrie MDS Dipper-3(T3) vented water level data logger (recording frequency: 15 min.) was therefore installed in a stilling basin at a permanent weir located ~20 m upstream of *Cu*. Ratings ($y = 15.45 x^{1.49}$; R²= 1) were obtained from a water level-discharge rating curve constructed from a rating table for water level and discharge at *Cu* (YWSL, unpublished data). These coefficients were then used to calculate discharge from logged water level. Water level was also recorded at sites *Im* and *II* (using SEBA loggers as above), but discharge data were not collected.

140 **2.4 WATER QUALITY**

141 To examine river water quality responses to FEs, EC, pH and DO (% saturation) were recorded at 15 142 min intervals using a YSI 6560 probe (accuracy: ± 0.5 % of reading + 1 μ S cm⁻¹ (YSI, 2005)), a 6-series 143 pH probe (accuracy: ±0.2 units (YSI, 2011)) and a 6150 ROX [®] Optical Dissolved Oxygen 6-series 144 probe (accuracy: ±1 % of reading or 1 % air saturation (whichever is greatest) (YSI, 2008)), 145 respectively. Probes were installed on YSI 6600 V2-2 sondes fitted with a protective shield and 146 automated wiping brush to reduce fouling, and installed at Iu and Cu for each FE (±24 hours). Prior 147 to each deployment, each probe was calibrated by the EA against known standards and sound 148 functioning checked once deployed. Each sonde was located in a well-mixed location of the river to 149 enable comparison between sites and data were transmitted using GRPS to a dedicated website 150 maintained by Meteor Communications (Europe) Limited. River temperature was recorded at 15 151 min intervals before (>12 hours) and during each FE using a combination of Gemini Tinytag Aquatic 152 2 data loggers and a SEBA Hydrometrie MDS Dipper-3(T3) (manufacturer stated accuracies ± 0.5 153 and ± 0.1 °C, respectively). During deployment, cross-calibration of the latter probe to Gemini 154 Tinytag Aquatic 2 data loggers was undertaken across a range of temperatures to ensure 155 comparability ($y = 1 \times +0.04$; $R^2 = 1$). Each sensor was shielded from direct sunlight and internal 156 clocks synchronised prior to deployment. T sensors were secured in well-mixed locations within 157 the rivers.

158 2.5 BENTHIC MACROINVERTEBRATE RESPONSE

159 To assess the impact of FEs on macroinvertebrate populations, five replicate 0.05 m² Surber 160 samples (250 µm mesh) were collected randomly from riffle habitat at Im and Cm within 48 hours 161 before and after each FE. Riffles were sampled to ensure directly comparable responses between 162 impacted and control rivers using common sampling methods, although it is acknowledged that 163 responses in other types of mesohabitats may differ from those studied here. Sampling was 164 undertaken relatively soon after each FE to detect any immediate changes as result of increased 165 hydraulic stresses, bed movement and invertebrate drift, and before significant levels of recovery 166 could potentially occur, although our design also enabled longer-term responses to be assessed 167 across the full FE programme. All samples were preserved immediately in 70 % methanol and 168 were then sieved, removing fine particles to aid sorting, employing Protocol P3 (full count) without 169 subsampling as described by Stark et al. (2001). Individuals were counted and identified to species 170 level using a light microscope (x50 magnification) with the exception of Chironomidae (family); 171 Oligochaeta (sub-class) and Sphaeriidae (genus).

172 **2.6** DATA ANALYSIS

173 2.6.1 Quality control procedures

174 Data quality was first ensured using a similar approach to Jones & Graziano (2013) whereby 175 removal of data occurred if any of the following standards were met (which were assumed to 176 indicate probe/logger malfunction): EC: < 10 or > 300 μ S cm⁻¹; doubled or halved in a 15 min 177 period; pH: < 3 or > 8; doubled or halved in a 15 min period; DO: < 20 or > 150 % saturation; 178 doubled or halved in a 15 min period; T: < -1 or > 35 °C; air temperature: < -10 or > 35 °C; 179 discharge and water level: doubled or halved within a 15 min period. Data were also assessed 180 visually for drift and probe failure/malfunction and neither approach resulted in data removal. 181 2.6.2 Statistical testing

182 R Studio was used for all statistical analyses. To assess the impact of each FE on river water quality

183 properties, a modified paired Before-After-Control-Impact (BACIP) (Stewart-Oaten et al., 1986; 184 Smith, 2002) analysis was undertaken which replaced 'After' with 'During' (e.g. Dinger & Marks, 185 2007) to allow the during-FE impact to be assessed statistically through interrogation of a 186 modelled interaction term (Smith, 2002). For each FE, response variables (raw water quality data) 187 were modelled using GAMM (Wood, 2011) with Gaussian distribution specified, as a function of 188 time (fitted as a smoother), site (two levels: experimental (Iu, Im & II) and paired control (Cu, Cm & 189 *Cl* respectively)) and *period* (two levels: 'before' and 'during') and the interaction between these 190 two factors (site * period). 'Before' was defined as all data from 00:00:00 until the start of each 191 FE and 'during' was defined by the start and end times of each FE. Significance of the site * 192 *period* interaction term was assessed using t-statistics and associated p-values. 193 Prior to macroinvertebrate data analysis, the commonly used biomonitoring indices taxonomic 194 richness, dominance and 1/Simpson's diversity index (e.g. Ramchunder et al., 2012), BMWP, ASPT 195 and LIFE (AQEM, 2011), species level PSI (Extence et al., 2013) and the recently adopted WHPT 196 system (Paisley et al., 2007) were calculated for each Surber sample. Additionally, 'global' β-197 diversity (Whittaker, 1972) was calculated following Brown et al. (2007) to allow for a novel 198 assessment of the response of β -diversity to FEs. Furthermore, total number of individuals, 199 Coleoptera, Diptera, Ephemeroptera, Plecoptera and Trichoptera were estimated per m² for 200 comparison with previous studies which suggested that these taxa show responses to river 201 regulation (e.g. Armitage, 1978; Gillespie et al., 2015a). 202 To assess macroinvertebrate response to FEs (both individual and cumulative), indices for Surber 203 samples at Im and Cm for each sampling date before and after each FE were modelled using

204 GLMM (Wood, 2013), model selection being made by minimising Akaike's An Information

205 Criterion. Modelling used the formula: $bi = \alpha + \beta$ site * period + ε where bi = biotic index, α =

206 regression intercept, θ = regression coefficient, *site* * *period* was the interaction term between

207 factors site (replicate coded for each site) and period (before or after each FE (to test the effect of

individual FEs) or before or after the first and last FE respectively (to test the effect of cumulative
FEs)) and *ε* = model error. Replicate sample number (for macroinvertebrate analyses) was
incorporated into models as a random effect. Negative binomial distribution was specified for all
count data, and Gaussian distributions for all other metrics. Significance of the *site* * *period*interaction term was examined using t-statistics and p-values to provide an indication of whether
an impact had occurred.

214 To further assess macroinvertebrate response, composition of macroinvertebrate assemblages 215 before and after each FE were visualised using the results of a 2-dimensional NMDS undertaken on 216 means of replicates taken before and after each FE at each site. The analysis was based on Bray-217 Curtis dissimilarities of $Log_{10}(n+1)$ taxa abundance (Oksansen et al., 2013). Moreover, to test 218 similarity of macroinvertebrate community composition before and after each individual FE and all 219 FEs, site * period interaction terms were tested using multivariate analysis of variance (MANOVA) 220 on taxon abundance matrices of replicates from each site using 999 permutations and Bray-Curtis 221 dissimilarities (Oksansen et al., 2013). All statistical tests were deemed significant at p < 0.05 and 222 all plot creation and statistical analyses were undertaken using R v 3.2.3 (2016).

223

3. Results

225 3.1 WATER QUALITY RESPONSE

The largest range in EC was observed during FEs 3 and 4 but was very small (6 μS cm⁻¹), mean EC decreased at *lu* during all FEs, but statistically significant impacts were observed only for FEs 2 and 4 (Table 4; Figure 3). Mean DO response to each FE varied: statistically significant impacts were only observed during FEs 2 and 4 (Table 4), where small (<10 % saturation) reductions in DO occurred at *lu* (Figure 3). Statistically significant reductions in pH were observed during FE 2 and 4, but the largest range in pH during either of these FEs was small at 0.41 during FE2 (Table 4; Figure 3).

233 Mean river temperature before and during each FE was highest for FE1 and lowest for FE4 for all

sites (Table 4). A general diurnal trend in T was observed on each FE day at all sites, although this

trend appeared to be suppressed at sites *Iu* and *Cu* (immediately downstream of Widdop and

236 Walshaw Dean Lower reservoirs respectively) (Figure 3). During FEs, minor increases (< 0.5 °C) in T

237 were observed at experimental cf. control sites, resulting in statistically significant impacts at sites

closest and furthest from the reservoir during FEs 2 and 4, respectively (Table 4).

239 **3.2** Response of Benthic Macroinvertebrates

240 Non-significant interaction terms were identified for the majority of statistical tests (Table 5).

241 Where tests indicated that an impact had occurred to macroinvertebrate indices at *Im*, mean

changes were generally small: 1/ S (-1.5 during FE 2), D: (+0.1 during FE 2), Ephemeroptera (-140

individuals/m² during FE 2 and +16 during FE 4). For comparison, mean total density across all

samples was 738 individuals/m² (Figures 4 and 5).

245 Throughout the period of FE implementation, NMDS axis 1 and 2 scores for site *Im* were generally 246 lower and higher, respectively, than for site Cm, although the direction of change was not the same 247 after each FE (Figure 6). Temporally, NMDS scores at both sites were characterised by generally 248 increasing axis 1 scores throughout the series of FEs, but this increase was delayed at site *Im* until 249 after FE 3. The magnitude of changes in composition after each FE were similar at both sites 250 (Figure 6). Changes in NMDS scores at site Cm represented shifts from populations characterised 251 by taxa such as Rhyacophila dorsalis and Amphinemura sulcicollis to Isoperla grammatica and 252 *Plectrocnemia conspersa*. At *Im* the macroinvertebrate assemblage was initially characterised by 253 taxa such as Simuliidae and Leuctra sp. Subsequently, species such as Elmis aenea and Leuctra 254 inermis became increasingly prevalent (Figure 6). A significant effect of cumulative FEs was 255 observed for *Baetis rhodani* and *A. sulcicollis* as well as the density of all Diptera (Table 5).

256

257 4. Discussion

258 In response to legislative pressures (e.g. the EU WFD, Australian Water Resources Act (2007)) 259 environmental flows (manipulation of reservoir releases to the downstream channel to more 260 closely resemble natural flows) are being used widely in attempts to improve or restore river water 261 quality and ecological properties (Gillespie et al., 2015; Stein et al., 2017; Arthington et al., 2018). 262 However, the evidence for impacts of such flow modification is limited and remains largely 263 equivocal (Gillespie et al., 2015b; Robinson et al., 2018). This paper has provided novel information 264 on ecosystem responses to a series of FEs in an upland UK catchment. Some impacts on 265 downstream EC, DO, pH and macroinvertebrates were identified, but responses were not 266 consistent between FEs, limited in magnitude and likely to be of little ecological significance. 267 **4.1 WATER QUALITY RESPONSE TO FLOW EXPERIMENTS** 268 There is currently a requirement to understand the potential for FEs to be used to mitigate impacts 269 associated with regulated systems to meet legislative goals (Acreman & Ferguson, 2010), for 270 example, Good Ecological Potential under the EU WFD (European Commission, 2015). Evidence 271 that minor changes in water quality occurred was found, but, none of the changes were large 272 enough in magnitude, or sustained post FE, to suggest that FEs in the UK uplands would be useful 273 as management tools with the aim of invoking a major ecosystem response. 274 EC was found to have a broadly inverse relationship with discharge during each FE, but the largest 275 fall in EC was only 6 µS cm⁻¹ and pre-FE concentrations were returned to immediately after each 276 FE. In contrast, previous studies have observed complex, spatially and temporally variable impacts 277 of FEs on EC. For example, Petts et al. (1985) observed an initial reduction (prior to peak discharge) 278 followed by a rise in EC after peak discharge on a larger river in the UK. This relationship was 279 thought to depend on in-channel sources of solutes. Foulger & Petts (1984) also highlighted the 280 potential importance of in-channel sources in determining EC response to FEs. The most upstream 281 site in the impact stream (Iu) in this study was relatively close to the outlet of the reservoir and 282 therefore sources of solutes were limited and unlikely to be a major influence on EC during FEs.

This potentially explains the apparent simple, minor dilution effect observed in the majority of the FEs. Under normal compensation flow conditions EC is likely to increase due to factors such as increased water residence time and exposure to mineral substrate in the river channel. It is hypothesised that during FEs the influence of such processes was reduced due to faster travel time between the reservoir outflow and *lu*, resulting in lower EC. Future work could aim to determine whether biogeochemical processes (e.g. suppressed groundwater interaction) are drivers of such responses.

290 In contrast to the findings of most other studies which noted increased DO during FEs (e.g. 291 Shannon et al., 2001; Bednarek & Hart, 2005, Naliato et al., 2009), this study found evidence of 292 minor (<10 % saturation) reductions in DO. Naliato et al. (2009) also observed reductions in DO 293 during FEs and linked these instances to reservoir stratification. Stratification of a reservoir can 294 result in the development of a hypolimnetic layer of relatively low DO water (Petts, 1985). If water 295 is drawn from this layer during an FE but from higher in the water column before, DO may decline. 296 Water prior to and during each FE reported on in this study was drawn from the same valve (which 297 was located at the bottom of the dam wall of Widdop reservoir) and therefore a modification in 298 valves during reservoir stratification cannot explain the observations noted. The changes in DO 299 observed are likely to be either due to modification of physical-chemical processes such as 300 aeration rates (e.g. Butts et al., 1989) or biotic processes such as production/respiration in the 301 reservoir water column as hypothesised by Chung et al. (2008) or a combination of factors. 302 However, given that DO did not change to within a range where biological effects would be 303 expected (e.g. Kramer, 1987) and was not consistent between FEs, any mechanisms were clearly 304 minor in influence and temporally variable. A more in-depth assessment of physical-chemical and 305 biological processes (e.g. respiration), extended both temporally and longitudinally, may shed 306 more light on the processes involved.

307 The impact of FEs on pH had not previously been assessed prior to this study (Gillespie et al.,

308 2015), and therefore the observations of reduced pH in each FE, albeit minor, give this observation 309 particular importance. The observed reductions in pH may be either due to a reduction in stilling 310 basin residence time (and therefore interaction with buffering substrate), increased carbonic acid 311 formation due to increased aeration during FEs, disruption of biological processes, or a 312 combination of the aforementioned (Glaser et al., 1990; Morrison et al., 2001). However, given the 313 minimal changes in pH and lack of increased solute concentration during FEs (see McCahon and 314 Pascoe, 1989 for discussion of importance of solute concentrations in determining ecological 315 impact during reduced river pH), ecological impacts would be unlikely.

316 This study found very little evidence of impacts of FEs on river water temperature at any of three 317 sites within 2 km downstream of the experimental reservoir. Where statistically significant effects 318 were identified, temperature change at the impact site was not outside of the accuracy of the 319 probes and can therefore be disregarded. In agreement with the findings of this study, King et al. 320 (1998) (South Africa) and Robinson et al. (2004) (Switzerland) both reported no change in river 321 temperature during FEs. Both studies suggested that this was due to pre-FE and FE water being 322 hypolimnetic in origin and this likely explains the observations in our study. It is therefore plausible 323 that, for FEs to have a notable impact on river water temperature in the study area, the draw off 324 level of water from the water column must be modified from pre-FE conditions. Dickson et al. 325 (2012) showed that water derived from the upper water column raised water temperature in cold 326 alpine rivers, although these findings were due to unplanned flow magnitude increases linked to 327 reservoir overspill effects rather than FEs. Further research may enable comparison of pre-FE and 328 FE relationships between river temperature response and hydrological indices which was not 329 possible in the current study.

Our findings are useful in a river management context as they challenge the paradigm that
 increasing flows can always be an effective technique for restoring the ecological quality of
 regulated rivers, but some key research questions remain. For example, we know little about how

333 these regulated systems respond to FEs of alternative characteristics (e.g. at alternative times of

334 year, different hydrological characteristics) or how river EC, DO and pH respond longitudinally

during FEs. Echoing the recommendations of Gillespie et al. (2015b), such questions should be

336 prioritised for future research to enable informed management decisions to be made.

337 4.2 BENTHIC MACROINVERTEBRATE RESPONSE TO FLOW EXPERIMENTS

338 FEs have been shown to invoke change in downstream biotic assemblages in several studies from 339 around the world (Gillespie et al., 2015b). This study is the first to assess specifically the impact of 340 FEs on macroinvertebrate assemblage in an upland regulated river in the UK. In contrast with 341 various published studies (e.g. Pardo et al., 1998; Harby et al., 2001; Cereghino et al., 2004; 342 Robinson et al., 2004a; Mannes et al., 2008; Benítez-Mora & Camargo, 2014), this research did not 343 reveal any major change in either traditional or recently adopted macroinvertebrate biomonitoring 344 indices or in specific taxa as a result of individual FEs or all FEs combined. Some statistically 345 significant impacts were identified, but effect sizes were typically small, suggesting that FEs had 346 limited impact on the macroinvertebrate assemblage assessed, at least during the first 48 hours 347 after FEs when effects were measured in the current study. In contrast, assessments of 348 macroinvertebrate response to FEs globally have all revealed significant shifts in such measures 349 (e.g. reduced total abundance (Robinson et al., 2003, 2018), taxonomic richness (Bednareck & 350 Hart, 2005; Mannes et al., 2008), Ephemeroptera (Lauters et al., 1996; Harby et al., 2001) and 351 Chironomidae (Robinson et al., 2004b)).

The macroinvertebrate response identified by this study may be a reflection of the characteristics of the macroinvertebrate assemblage (e.g. behaviour) within the study river cf. others where dramatic responses have been observed. Dynamic antecedent hydrological conditions in the study river may contribute to the presence of predominantly disturbance resistant/resilient assemblages (Monk et al., 2006; Durance & Ormerod, 2007; Gillespie et al., 2015a; White et al., 2017). These upland systems are characterised by relatively low pH, flashy flows and low productivity

(Ramchunder et al., 2009; Aspray et al., 2017), thus biological communities are necessarily adapted to these conditions. Combined with the fact that flow releases greater than those experienced due to reservoir overspill could not be achieved, these factors can explain the minor response in macroinvertebrates. If further evidence is found to support this theory, it will contribute towards management decisions for other regulated rivers where the implementation of extreme FEs is restricted, for example, in urban catchments where flooding is a concern, or where water resource availability is low.

365 Analyses of the overall taxonomic composition of the macroinvertebrate assemblage at the impact 366 site revealed no statistically significant change as a result of individual FEs. Again, this finding is in 367 contrast to published literature (e.g. Robinson et al., 2003) where individual FEs have resulted in 368 clear shifts in taxonomic composition. However, of particular note was a statistically significant 369 effect of the four FEs cumulatively, an effect which has been noted over multiple years for example 370 in the Spöl river system in Switzerland (Robinson et al., 2018). This effect was driven primarily by 371 reductions (relative to the control site) in *B. rhodani* and *A. sulcicollis* abundance and changes in 372 the abundance of all Diptera at the impact site relative to the control site (Figure 7). This finding is 373 particularly important as both taxa were highlighted as being associated with river regulation in a 374 recent study on upland UK regulated rivers (Gillespie et al., 2014), suggesting that cumulative FEs 375 may have the potential to mitigate for this association.

Given the very limited water quality impacts of the FEs in this study, we deduce that the response in *B. rhodani, A. sulcicollis* and Diptera was hydraulically driven. Mean density of *A. sulcicollis* remained approximately constant at the impact site, whereas it increased by c. 500% at the control site. Conversely, a decrease in *B. rhodani* mean density was observed at the impact site, whereas it remained approximately constant at the control site (Figure 7). For *Amphinemura*, this finding suggests that the FEs either had the ability to suppress population increasing behaviour (e.g. reproduction) of the extant population, or that they eliminated individuals at approximately the

383 same rate as net increases to the population were being made (taking into account natural death, 384 recruitment and migration rates for example). This seems unlikely as Amphinemura are typically 385 well adapted to moderate-fast flow velocities that would be encountered during flow experiments 386 (Extence et al., 1999), although bed sediments were entrained during the high flows likely causing 387 additional stress. For *Baetis*, the reduction in abundance due to FEs most likely occurred because 388 they are typically stone-surface-dwelling collector-gatherers, and will have involuntarily entered 389 the drift during FEs despite being strong swimmers. Similar decreases for B. rhodani have been 390 reported from rivers post-flooding in Spain (Pupilli and Puig, 2003). An alternative explanation is 391 that these species are both largely detritivorous, and FEs are likely to have depleted standing 392 stocks of particulate matter from the riverbed. Further studies that explicitly monitor population 393 dynamics, behavioural responses and food availability would be needed to examine these 394 hypotheses in more detail.

395 Our evidence suggests that *cumulative* rather than *single* FEs at study sites such as ours are the 396 management tool with most promise. Further research to identify macroinvertebrate, other 397 biological group (e.g. algae, macrophytes, fish) and geomorphological responses to both single and 398 cumulative FEs in similar upland rivers is required to assess the validity and generality of this 399 theory. A shift in taxonomic composition following several FEs is consistent with the literature (e.g. 400 Robinson et al., 2004, 2018; Mannes et al., 2008), but it is important to note that the statistical 401 design used in this study assumes that both control and impact sites are identical apart from the 402 FE implementation (see Underwood (1994) and Conquest (2000) for discussion). Of course, in 403 reality this is not true and other factors may play a role and these could change with time. We 404 therefore suggest that further studies look to see whether this finding can be replicated at other 405 similar sites.

406 Invertebrate response to these FEs was observed, although, importantly, we have provided
407 evidence that *cumulative*, rather than *single* FEs may invoke invertebrate assemblage response.

Interestingly, widely used biomonitoring indices (i.e. taxonomic richness, BMWP, ASPT and WHPT which has now been adopted by UK regulatory agencies) failed to pick up on any invertebrate response. These findings support other regulated river studies that have suggested these indices may be unsuitable for use in these upland river environments (e.g. Gillespie et al., 2015a). The generality of our findings for water quality and invertebrate responses to FEs needs to be tested across other upland river systems.

414

415 **5. Conclusions**

416 The current study has indicated that managed high flow releases from reservoirs in flashy upland catchments are unlikely to bring about substantial changes in water quality and benthic 417 418 macroinvertebrate communities. It was found that, despite being impounded, these streams 419 experienced great variation in flow due to frequent overspill events and were already subject to 420 regular disturbance. Moreover, environmental peak flows that could be released from these 421 reservoirs were small in comparison to some prior overspill events. Whilst it is logistically 422 impossible to conduct e-flow trials on all rivers, our suggestion that multiple small flow events may 423 be more effective in bringing about ecological change than single releases should at least be 424 verified in a handful of other locations to determine if Pennine region rivers can be assumed to 425 show similar behaviours.

426

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702 Tables

- Table 1: Publications evidencing increases, decreases or no change in electrical conductivity (EC),
- 704 dissolved oxygen (DO) or water temperature (T) during Flow Experiments from reservoirs.

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Table 2: Percentage surface geology and land cover composition of upper, middle and lower (u, m and I, respectively) impact (I) and control (C) sites and study area drainage basins (sources: BGS, 2012 & CORINE, 2010 respectively); altitude and catchment (source: OS, 2012).

Table 3: Hydrological characteristics of each Flow Experiment (FE) (measured at Iu). ROC = rate of change; statistics based on 15-min records from site Iu.

Table 4: Mean (& St. dev.) and test statistics (t) for electrical conductivity (EC) (μ S cm⁻¹), dissolved oxygen (DO) (%), pH and river temperature (T) (°C) indices before and during FEs 1-4 for each site where measurements were taken. *p < 0.05, **p < 0.01.

Table 5: GLMM test statistics for impact of Flow Experiments (FEs) 1-4 on macroinvertebrate indices and community composition respectively. *p < 0.05, **p < 0.01. For FE1-4 we tested directly before vs after these experiments. For the overall response, data from before FE1 were compared to data collected after FE4. High resolution (e.g. sub-family) statistics are only shown where significant effects were identified. Mean and standard deviation of each taxon before and after each FE at Im and Cm are included in Table 1 *of the supplementary information*.

707 Table 1:

Parameter	Increase	Decrease	No change Cambray et al., 1997	
EC	Foulger & Petts, 1984; Shannon et al., 2001; Bruno et al., 2010	Petts et al., 1985; Jakob et al., 2003; Cánovas et al., 2012		
DO	Shannon et al., 2001; Bednarek & Hart, 2005, Naliato et al., 2009	Chung et al., 2008; Naliato et al., 2009		
T	Cambray et al., 1997; King et al., 1998; Ashby et al., 1999; Lagarrigue et al., 2002; Chung et al., 2008; Naliato et al 2009	Foulger & Petts, 1984; Lagarrigue et al., 2002; Bruno et al., 2010	King et al., 1998; Robinson et al., 2004a	

710 Table 2:

	Surface geology (%) Mud/ silt/ sand-		Land co	Altitude (m aod)	Catchment size (km ²)		
	stone	Agricultural	Forests/semi-natural	Wetland	Waterbody		
Study area	42.90	16.10	41.20	40.70	2.00	n/a	40.66
lu	48.00	0.00	62.30	25.90	11.80	307	3.09
Cu	20.2	1.80	26.00	67.30	4.90	289	9.05
Im	56.00	0.00	66.10	23.80	10.10	292	3.63
Ст	27.80	8.20	27.90	59.50	4.30	264	10.32
11	34.90	4.40	40.50	51.70	3.50	271	10.50
Cl	31.20	11.60	28.30	56.10	4.00	253	11.09
Overall study area	42.90	16.10	41.20	40.70	2.00	n/a	40.66

711 Table 3:

FE	Duration (h)	Magnitude (% increase)	ROC (rising limb) (m ³ s ⁻¹ d ⁻¹)	ROC (falling limb) (m ³ s ⁻¹ d ⁻¹)	Max. discharge (m ³ s ⁻¹)
1	4.8	287.10	1.61	1.07	0.17
2	2.64	424.39	8.39	3.15	0.31
3	2.88	294.02	9.95	7.13	0.67
4	3.12	423.85	11.39	13.24	0.99

712 N.B. water was drawn from valves at the bottom of the reservoir water column during all FEs.

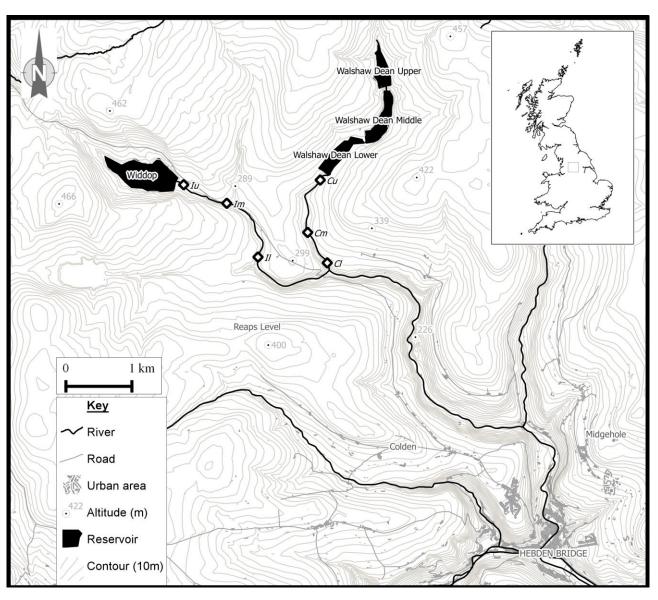
	FE 1		FE 2		FE 3		FE 4	
	Before	During	Before	During	Before	During	Before	During
<u>EC</u>								
lu	53.90	52.63	57.90	52.91	57.90	57.30	59.76	58.00
	(0.32)	(0.50)	(0.74)	(1.30)	(2.18)	(1.83)	(0.99)	(1.63)
Cu	74.50	75.00	72.00	72.00	71.00	71.00	56.29	56.00
	(0.53)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.46)	(0.00)
Т	-0.88		7.84 **		0.84		-3.51 **	
<u>D0</u>								
lu	103.45	103.93	97.30	96.97	96.25	97.23	95.83	96.09
	(1.39)	(1.80)	(0.30)	(0.94)	(0.18)	(0.46)	(0.13)	(0.89)
Cu	93.92	98.65	91.99	94.07	93.80	94.71	92.19	92.44
	(1.02)	(0.66)	(0.24)	(0.33)	(0.12)	(0.22)	(0.18)	(0.13)
Т	0.69		-2.95 **	:	-1.80		-2.39 *	
<u>рН</u>								
lu	5.75	5.62	6.06	5.76	5.61	5.58	4.99	4.87
	(0.01)	(0.05)	(0.04)	(0.15)	(0.02)	(0.02)	(0.02)	(0.13)
Cu	6.91	7.02	6.91	6.97	6.65	6.72	5.67	5.69
	(0.02)	(0.02)	(0.01)	(0.01)	(0.02)	(0.01)	(0.01)	(0.01)
Т	-1.28		6.31 **		-0.14		-2.08 *	
T								
lu	15.86	16.13	10.41	10.95	11.80	11.85	7.36	7.47
	(0.04)	(0.05)	(0.07)	(0.18)	(0.02)	(0.02)	(0.02)	(0.05)
Cu	15.34	15.77	10.35	10.54	12.11	12.29	7.26	7.33
	(0.09)	(0.10)	(0.03)	(0.07)	(0.02)	(0.07)	(0.01)	(0.02)
Т	-0.50		1.83		1.77		2.23 *	
Im	14.34	16.03	9.91	10.74	11.80	11.99	7.13	7.36
	(0.35)	(0.38)	(0.05)	(0.24)	(0.03)	(0.05)	(0.04)	(0.05)
Ст	14.23	15.95	10.00	10.50	12.16	12.58	7.28	7.63
	(0.50)	(0.54)	(0.07)	(0.17)	(0.05)	(0.09)	(0.08)	(0.04)
Т	-1.13		0.05		0.20		-1.16	
11	13.22	15.93	9.70	10.46	11.83	12.23	6.97	7.52
	(0.49)	(0.91)	(0.05)	(0.38)	(0.06)	(0.10)	(0.15)	(0.07)
Cl	13.76	14.56	10.06	10.12	12.06	12.23	7.37	7.61
	(0.13)	(0.30)	(0.04)	(0.07)	(0.01)	(0.09)	(0.06)	(0.01)
Т	-0.50		-2.58 *		0.55		0.27	

715 Table 5

	FE1	FE2	FE3	FE4	Before –
					After all FEs
Total density (ind. / m ²)	1.30	0.91	1.05	-0.39	-0.74
Taxonomic richness	0.79	0.43	0.81	-1.57	-0.16
1/Simpson's diversity index (1/S)	-0.48	2.21 *	-0.81	-0.77	-1.7
β –diversity	0.62	0.41	0.08	-0.12	0.73
Taxonomic dominance (D)	1.08	-2.13 *	0.55	0.24	-1.78
BMWP	0.50	0.14	2.09	-1.55	0.05
ASPT	0.23	-0.46	1.74	-1.11	-1.40
LIFE	-0.99	0.57	1.32	1.14	0.45
WHPT	1.10	0.21	-1.24	-0.02	1.55
Coleoptera	-0.24	-0.43	2.23 *	-0.68	-1.29
Diptera	1.46	-1.12	0.14	0.52	2.31 *
Chironomidae	1.99 *	-0.10	1.96	-1.03	-1.90
Simuliidae	0.33	1.99 *	-	-60.3	-1.74
Ephemeroptera	-3.68***	4.09 ***	-1.13	-3.01 ***	-1.12
<i>Baetis</i> sp.	-2.03*	2.97 ***	-0.16	-1.36	0.35
Baetis rhodani	0.49	-3.86 ***	-0.54	-	2.71 **
Plecoptera	1.99*	-1.31	0.41	0.78	-0.83
Amphinemura sulcicollis	-1.73	-0.22	-	-0.20	2.48 *
Leuctra sp.	2.36 *	-	-1.52	-	0.90
Leuctra hippopus	2.41 *	0.35	2.25 *	0.30	-0/94
Trichoptera	0.83	0.42	0.31	-1.35	0.27
Oligochaeta	0.51	1.87	-	-	-

716 Figures

717 Figure 1: Location of the study area within Great Britain (inset), detailing rivers and reservoirs in 718 black, study sites (impact (I) and control (C), upper (u), middle (m) and lower (I)), altitude (m AOD), 719 urban areas and roads. Map data: Ordnance Survey (2015). Produced using QGIS (2015) & Gimp 720 (2014). 721 722 Figure 2: Maximum daily discharge measured immediately upstream of Iu (March 2000-December 723 2014) (left) and January – December 2013 (right). The period and timing of Flow Experiments (FEs) 724 is denoted by grey shading and arrows respectively. 725 726 Figure 3: Discharge/ water level (grey shading) and water quality observations before and during 727 each Flow Experiment (FE) at impact (full lines) and control (dashed lines) sites where 728 measurements were taken. Site locations for each parameter are denoted to the right: u = upper, 729 m = middle, l = Lower).730 731 Figure 4: Boxplots, mean and standard deviation of macroinvertebrate indices before (grey boxes) 732 and after each Flow Experiment at impact and control sites (left and right column, respectively). 733 734 Figure 5: Boxplots, mean and standard deviation of macroinvertebrate community composition 735 indices before (grey boxes) and after each Flow Experiment at impact and control sites (left and 736 right column, respectively). 737 738 Figure 6: Panel A: NMDS plot for mean macroinvertebrate samples. Arrows show the direction of 739 change in population composition before and after each Flow Experiment (FE) and from before FE1 740 to after FE4 at each site. Panel B: species NMDS scores. Note: taxa labels are abbreviated; full 741 names can be found in Supplementary information A. 742 743 Figure 7: Interaction plot displaying mean density of Amphinemura sulcicollis (top) and Baetis 744 rhodani (bottom) before Flow Experiment (FE) 1 and after FE4 at impact (Im) (solid line) and 745 control (Cm) (dashed line) sites. X-axis offset error bars of ±1 standard deviation are shown for 746 each point.





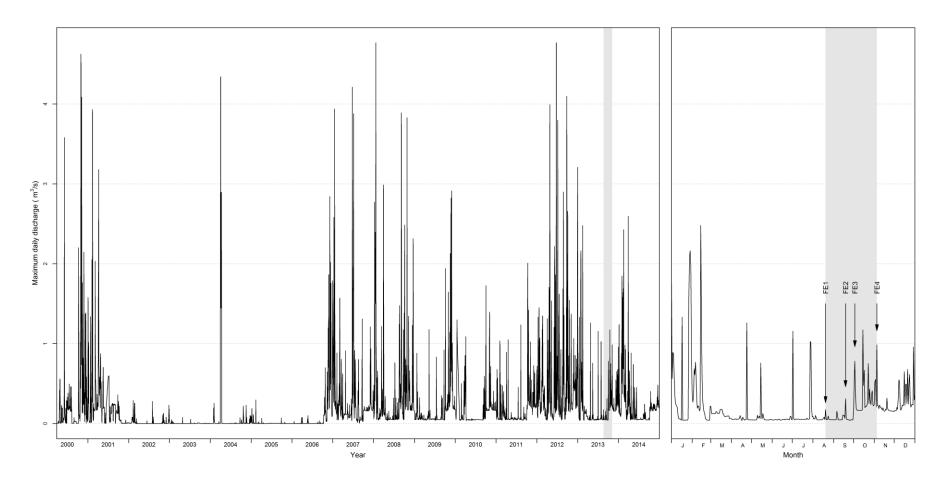
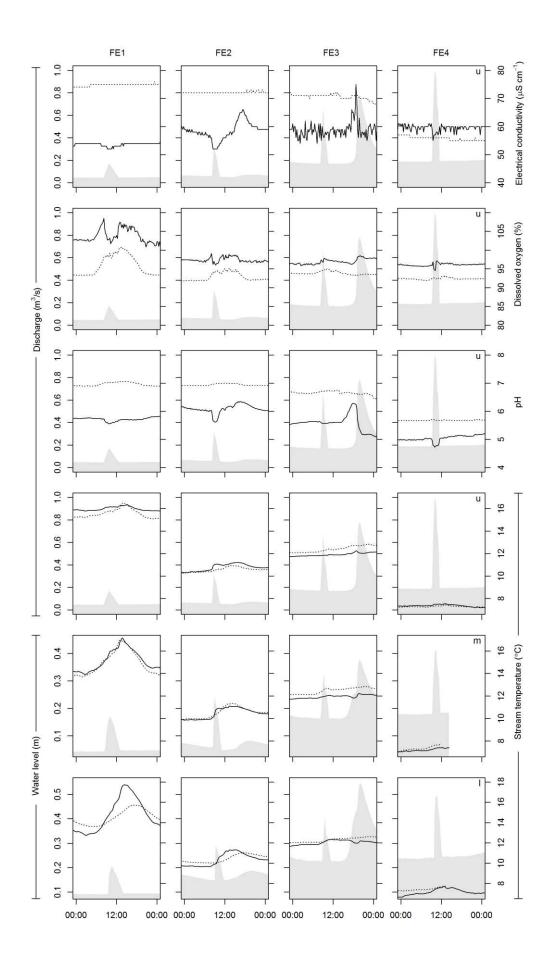


Figure 2:





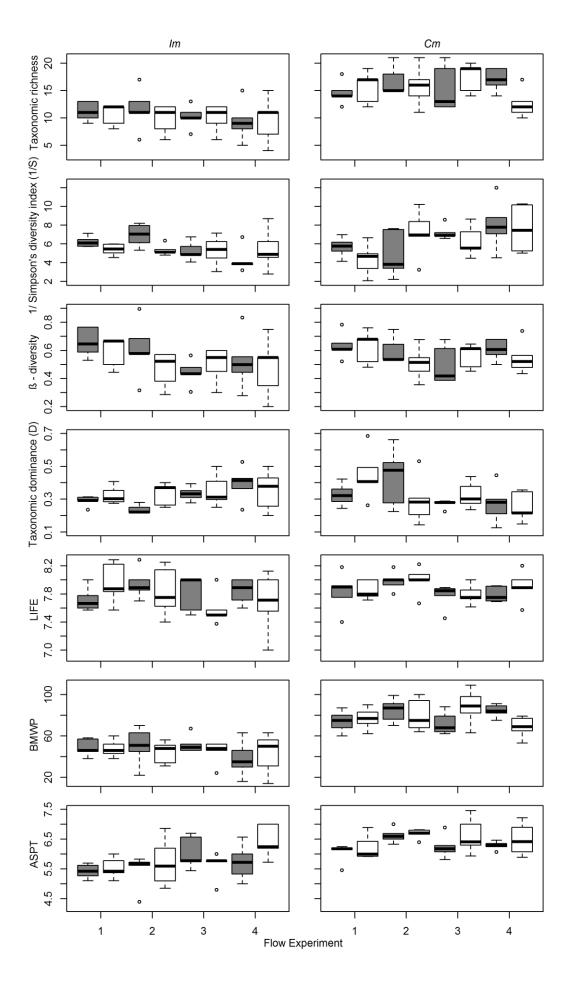


Figure 4:

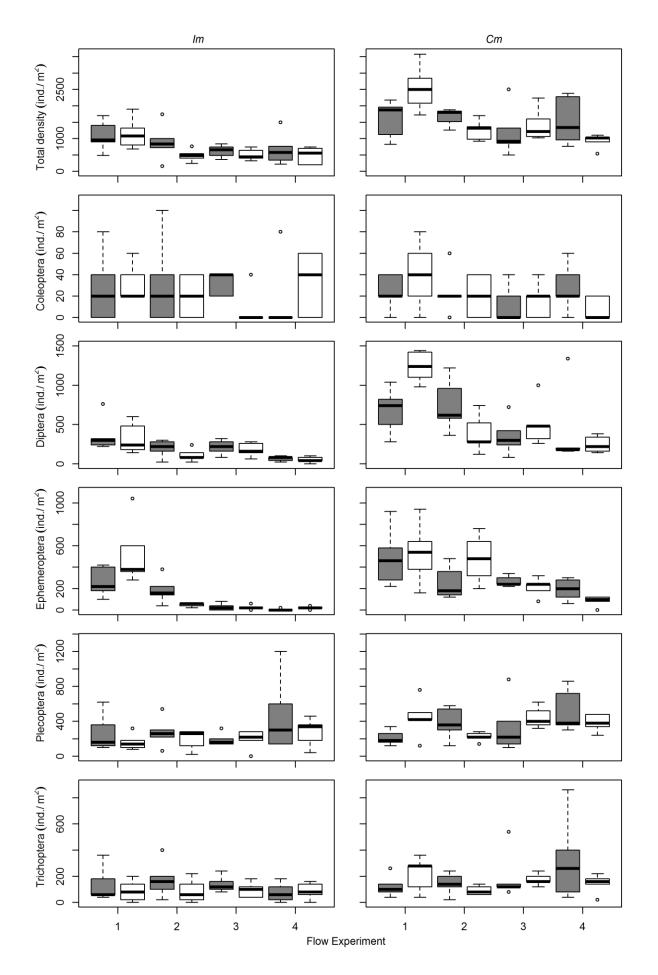


Figure 5: 41

