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- 1 *Limited impacts of experimental flow releases on water quality and macroinvertebrate community*
- 2 *composition in an upland regulated river*
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- 7 Running title: Impacts of experimental flow releases

8 **Abstract**

9 River regulation following the construction of dams has affected the hydrology, water quality
10 and biology of watercourses across the globe. The term 'environmental flows' has been used to
11 describe measures which can be employed to return some lost elements of the natural flow
12 regime. Their introduction has been suggested as a way to mitigate the impacts of river
13 regulation throughout the world but understanding of the effects of artificial high flows on
14 water quality and biota is limited for many different river types. We report a field study which
15 manipulated compensation flows from reservoirs in the Pennine uplands of northern England,
16 and measured changes in water quality and benthic macroinvertebrates using a before-after-
17 control-impact approach. These resulted in minor short-term changes in water quality but
18 there was no evidence of immediate (within 48 hours) responses by the macroinvertebrate
19 community to individual flow releases. However, a shift in macroinvertebrate community
20 composition was found after multiple releases, characterised by reductions in *Amphinemura*
21 *sulcicollis* (Plecoptera) and *Baetis rhodani* (Ephemeroptera) and changes in the density of all
22 Diptera. The introduction of short-term flow pulses in flashy regulated river systems is unlikely
23 to yield significant changes in water quality and biota. Nevertheless, cumulative rather than
24 single environmental flow events show promise for mitigating some of the impacts of river
25 regulation. More widely, our findings indicate that environmental flow releases from reservoirs
26 may have to go beyond occasional experimental high flow releases if these rivers are to more
27 closely mimic unregulated river systems.

28
29 **Keywords:** water quality; macroinvertebrates; reservoir; regulated rivers; LIFE scores; Water
30 Framework Directive (WFD); environmental flows.

31

32

33 **1. Introduction**

34 River regulation is globally ubiquitous (Nillson et al., 2005; Lehner et al., 2011) and it has been
35 estimated that >60% of the world's freshwater flows are obstructed by dams (Petts, 1985; McCully,
36 1996; Grill et al., 2019). Furthermore, 3,700 dams are either planned or under construction, which
37 will result in a further reduction in the occurrence of free-flowing rivers c. 21% (Zarfl, 2015).
38 Considerable evidence demonstrating the impacts of damming of rivers on downstream
39 hydrological, water quality and ecological characteristics is available (Petts, 1985; Poff &
40 Zimmerman, 2010; Maavara et al., 2017). A major focus now is in understanding how to mitigate
41 such impacts, both to avoid further/ ongoing environmental degradation and to meet legislative
42 targets such as those embedded in the EU Water Framework Directive (WFD) (EC, 2000) or the US
43 Clean Water Act (1972) (Acreman and Ferguson, 2010; Arthington et al., 2018).
44 Contemporary environmental legislation requires that regulated rivers meet targets based on
45 abiotic and biotic aspects of river ecosystems. A reconsideration of how regulated flow regimes are
46 managed can potentially mitigate impacts associated with dams, thereby meeting these targets
47 (Acreman & Ferguson, 2010; Acreman et al., 2014). However, before management practices can be
48 implemented, an understanding of the relationship between regulated river flow management and
49 ecosystem response must be established (Gillespie et al., 2015). Flow experiments (FEs) are one
50 tool which can be used to establish such relationships (Konrad et al., 2011; Olden et al., 2014;
51 Gillespie et al., 2015). An accepted definition of FEs is yet to emerge in the literature, but we
52 define FEs as any prescribed modification of the flow regime of a regulated river system for which
53 river ecosystem responses are measured. This definition can encapsulate such terms as
54 environmental flows and artificial floods, and includes modifications such as increases or
55 decreases in flow magnitude/ duration and change in reservoir draw off valve height.
56 There is currently no consensus on the effects of FEs on water quality variables in rivers (Gillespie
57 et al., 2015), with equivocal change in river dissolved oxygen (DO), temperature (T) and electrical

58 conductivity (EC) observed during FEs (Table 1). Water quality changes can impact benthic
59 macroinvertebrate communities, which play important functional roles (nutrient cycling,
60 productivity, decomposition rates and movement of materials) in rivers (Wallace & Webster, 1996).
61 They also serve as a crucial food web link between basal resources and higher aquatic organisms
62 such as birds and mammals, and are an important component of river ecology monitoring
63 programmes as they respond differentially to environmental pressures (Metcalf, 1989). The
64 monitoring of macroinvertebrate responses to FEs is therefore undertaken regularly and a general
65 consensus in response is emerging from the global literature: macroinvertebrate abundance,
66 richness, diversity and the abundance of Ephemeroptera typically decrease post-FE (e.g. Pardo et
67 al., 1998; Harby et al., 2001; Cereghino et al., 2004; Robinson et al., 2004a; Mannes et al., 2008).
68 Despite this, impacts in regulated streams in the UK uplands, where many reservoirs exist, remain
69 poorly understood.

70 Many UK upland catchments are dominated by moorland, relatively low temperatures and high
71 precipitation, resulting in hydrologically 'flashy' streams (Hope et al., 1997; Neal et al., 2010;
72 Ramchunder et al., 2011). Where dammed, they also tend to exhibit antiquated infrastructure
73 unsuitable for meeting the demands of contemporary legislation (e.g. reservoirs have manually
74 operated outflows and physical and safety restraints on release flow characteristics (e.g.
75 magnitude). Some reservoirs are also now far below their original capacities due to sedimentation
76 (Labadz et al., 1991) resulting in frequent overspill events (Gustard, 1989). The combination of
77 these hydrological factors is likely to mean that macroinvertebrate communities in these systems
78 are resilient to dynamic flow regimes and already exposed to them. The introduction of
79 environmental flows truncated in magnitude due to infrastructure limitations and similar to normal
80 compensation flows are unlikely to result in major shifts in macroinvertebrate community
81 composition. However, whilst it may be logistically difficult to generate individual flow events of a
82 large enough magnitude to elicit ecosystem change, multiple disturbances may provide cumulative

83 effects on river ecosystems (Robinson et al., 2004, 2018). These hypotheses need to be tested
84 given the emphasis of current legislation on introducing environmental flows in regulated river
85 systems, and the large financial investments being made in compliance with such legislation (e.g.
86 £7.6m between 2015 and 2020 by Yorkshire Water (Yorkshire Water, 2013)).
87 This paper addresses the research gaps outlined above by reporting responses of river EC, DO, pH,
88 temperature and macroinvertebrates to FEs in upland regulated rivers. The aims of the study were
89 to: (i) identify any impacts of these FEs on downstream water quality and macroinvertebrates and,
90 (ii) assess the potential for the use of FEs for managing water quality and macroinvertebrate
91 communities.

92

93 **2. Materials and Methods**

94 2.1 EXPERIMENTAL DESIGN

95 The study area was located within the south Pennines, UK (Figure 1) and was characterised
96 predominantly by peat surface geology (BGS, 2012) and low forest and semi-natural land cover
97 (CORINE, 2010) (Table 2). Average annual rainfall for the study area is approximately 1,200mm
98 (Evans et al., 2006) and four reservoirs are located here. Widdop reservoir was constructed in the
99 late 19th century whilst building of the chain of three Walshaw Dean reservoirs was finalised in
100 1907 (Tedd & Hoton, 1994). The dams of the Walshaw Dean reservoirs are tallest at 24m although
101 Widdop reservoir has the greatest maximum capacity (c. 2.9 million m³). The smallest capacity is at
102 Walshaw Dean Lower reservoir (c. 0.7 million m³) (Tedd & Hoton, 1994). Both Widdop and
103 Walshaw Dean Lower reservoirs are used exclusively for water supply and no hydroelectric or
104 recreational activities are associated with either. Typically, both reservoirs provide seasonally
105 variable deep-release (i.e. hypolimnetic) compensation flows (Widdop: 0.04 & 0.15 m³s⁻¹; Figure 2;
106 Walshaw Dean Lower: 0.04 & 0.17 m³s⁻¹, between January 1st - October 31st and November 1st -
107 December 31st, respectively). The seasonally variable element of these compensation flow regimes

108 was introduced in 2006 to better replicate a natural flow regime. To enable objective assessments
109 of ecosystem response to each FE, a paired site approach was taken whereby experimental
110 'impact' (I) sites were paired with 'control' (C) sites. Sites were located downstream of Widdop
111 (impact) and Walshaw Dean Lower (control) reservoir, at between 253 and 307 m aod. FEs were
112 made from Widdop reservoir, and so sites *Iu*, *Im* and *Il* (Impact upper, middle and lower,
113 respectively) were established downstream of this reservoir to record ecosystem response during
114 FEs (Figure 1). These sites were paired with three *C* sites: *Cu*, *Cm* and *Cl* downstream of Walshaw
115 Dean Lower reservoir where typical reservoir operation remained unchanged for the duration of
116 the study. Water quality data were collected from all six sites whilst macroinvertebrate sampling
117 was undertaken at *Im* and *Cm* only. Geological and land cover characteristics of the drainage basins
118 of paired *I* and *C* sites were approximately similar (Table 2). Long-term flow data recorded at *Iu*
119 demonstrated the generally dynamic nature of the flow regime (Figure 2) which was driven by
120 regular overspill events. Long-term flow data for comparable sites downstream of Walshaw Dean
121 Lower reservoir were not available.

122 2.2 FLOW EXPERIMENTS

123 Four FEs were carried out by reservoir operational staff (FE 1-4 respectively, Table 3). The peak
124 magnitude of each FE was maximised within the practical restrictions placed on the reservoir
125 operator (Yorkshire Water Services Limited (YWSL) by the Environment Agency (EA), local
126 stakeholders, water resource availability and the capability of reservoir infrastructure. However, in
127 comparison to reservoir overspill events, peak magnitudes of FEs were unexceptional and this may
128 actually negate the need for flow releases in flashy upland catchments or limit their ability to bring
129 about ecological change (Figure 2).

130 2.3 WATER LEVEL AND RIVER DISCHARGE

131 To characterise the water quality dynamics of rivers during each FE, discharge data (recording
132 frequency: 15 min.) were provided by YWSL for *Iu* (Flow meter: Warren Jones Ultra Sonic, WJ440).

133 At *Cu*, YWSL did not record discharge and a SEBA Hydrometrie MDS Dipper-3(T3) vented water
134 level data logger (recording frequency: 15 min.) was therefore installed in a stilling basin at a
135 permanent weir located ~20 m upstream of *Cu*. Ratings ($y = 15.45 x^{1.49}$; $R^2 = 1$) were obtained from
136 a water level-discharge rating curve constructed from a rating table for water level and discharge
137 at *Cu* (YWSL, unpublished data). These coefficients were then used to calculate discharge from
138 logged water level. Water level was also recorded at sites *Im* and *Il* (using SEBA loggers as above),
139 but discharge data were not collected.

140 2.4 WATER QUALITY

141 To examine river water quality responses to FEs, EC, pH and DO (% saturation) were recorded at 15
142 min intervals using a YSI 6560 probe (accuracy: ± 0.5 % of reading + $1 \mu\text{S cm}^{-1}$ (YSI, 2005)), a 6-series
143 pH probe (accuracy: ± 0.2 units (YSI, 2011)) and a 6150 ROX[®] Optical Dissolved Oxygen 6-series
144 probe (accuracy: ± 1 % of reading or 1 % air saturation (whichever is greatest) (YSI, 2008)),
145 respectively. Probes were installed on YSI 6600 V2-2 sondes fitted with a protective shield and
146 automated wiping brush to reduce fouling, and installed at *Iu* and *Cu* for each FE (± 24 hours). Prior
147 to each deployment, each probe was calibrated by the EA against known standards and sound
148 functioning checked once deployed. Each sonde was located in a well-mixed location of the river to
149 enable comparison between sites and data were transmitted using GRPS to a dedicated website
150 maintained by Meteor Communications (Europe) Limited. River temperature was recorded at 15
151 min intervals before (>12 hours) and during each FE using a combination of Gemini Tinytag Aquatic
152 2 data loggers and a SEBA Hydrometrie MDS Dipper-3(T3) (manufacturer stated accuracies ± 0.5
153 and ± 0.1 °C, respectively). During deployment, cross-calibration of the latter probe to Gemini
154 Tinytag Aquatic 2 data loggers was undertaken across a range of temperatures to ensure
155 comparability ($y = 1 x + 0.04$; $R^2 = 1$). Each sensor was shielded from direct sunlight and internal
156 clocks synchronised prior to deployment. T sensors were secured in well-mixed locations within
157 the rivers.

158 2.5 BENTHIC MACROINVERTEBRATE RESPONSE

159 To assess the impact of FEs on macroinvertebrate populations, five replicate 0.05 m² Surber
160 samples (250 µm mesh) were collected randomly from riffle habitat at *1m* and *Cm* within 48 hours
161 before and after each FE. Riffles were sampled to ensure directly comparable responses between
162 impacted and control rivers using common sampling methods, although it is acknowledged that
163 responses in other types of mesohabitats may differ from those studied here. Sampling was
164 undertaken relatively soon after each FE to detect any immediate changes as result of increased
165 hydraulic stresses, bed movement and invertebrate drift, and before significant levels of recovery
166 could potentially occur, although our design also enabled longer-term responses to be assessed
167 across the full FE programme. All samples were preserved immediately in 70 % methanol and
168 were then sieved, removing fine particles to aid sorting, employing Protocol P3 (full count) without
169 subsampling as described by Stark et al. (2001). Individuals were counted and identified to species
170 level using a light microscope (x50 magnification) with the exception of Chironomidae (family);
171 Oligochaeta (sub-class) and Sphaeriidae (genus).

172 2.6 DATA ANALYSIS

173 2.6.1 Quality control procedures

174 Data quality was first ensured using a similar approach to Jones & Graziano (2013) whereby
175 removal of data occurred if any of the following standards were met (which were assumed to
176 indicate probe/ logger malfunction): EC: < 10 or > 300 µS cm⁻¹; doubled or halved in a 15 min
177 period; pH: < 3 or > 8; doubled or halved in a 15 min period ; DO: < 20 or > 150 % saturation;
178 doubled or halved in a 15 min period; T: < -1 or > 35 °C; air temperature: < -10 or > 35 °C;
179 discharge and water level: doubled or halved within a 15 min period. Data were also assessed
180 visually for drift and probe failure/malfunction and neither approach resulted in data removal.

181 2.6.2 Statistical testing

182 R Studio was used for all statistical analyses. To assess the impact of each FE on river water quality

183 properties, a modified paired Before-After-Control-Impact (BACIP) (Stewart-Oaten et al., 1986;
184 Smith, 2002) analysis was undertaken which replaced 'After' with 'During' (e.g. Dinger & Marks,
185 2007) to allow the during-FE impact to be assessed statistically through interrogation of a
186 modelled interaction term (Smith, 2002). For each FE, response variables (raw water quality data)
187 were modelled using GAMM (Wood, 2011) with Gaussian distribution specified, as a function of
188 *time* (fitted as a smoother), *site* (two levels: experimental (*Iu*, *Im* & *Il*) and paired control (*Cu*, *Cm* &
189 *Cl* respectively)) and *period* (two levels: 'before' and 'during') and the interaction between these
190 two factors (*site * period*). 'Before' was defined as all data from 00:00:00 until the start of each
191 FE and 'during' was defined by the start and end times of each FE. Significance of the *site *
192 period* interaction term was assessed using t-statistics and associated p-values.

193 Prior to macroinvertebrate data analysis, the commonly used biomonitoring indices taxonomic
194 richness, dominance and 1/Simpson's diversity index (e.g. Ramchunder et al., 2012), BMWP, ASPT
195 and LIFE (AQEM, 2011), species level PSI (Extence et al., 2013) and the recently adopted WHPT
196 system (Paisley et al., 2007) were calculated for each Surber sample. Additionally, 'global' β -
197 diversity (Whittaker, 1972) was calculated following Brown et al. (2007) to allow for a novel
198 assessment of the response of β -diversity to FEs. Furthermore, total number of individuals,
199 Coleoptera, Diptera, Ephemeroptera, Plecoptera and Trichoptera were estimated per m² for
200 comparison with previous studies which suggested that these taxa show responses to river
201 regulation (e.g. Armitage, 1978; Gillespie et al., 2015a).

202 To assess macroinvertebrate response to FEs (both individual and cumulative), indices for Surber
203 samples at *Im* and *Cm* for each sampling date before and after each FE were modelled using
204 GLMM (Wood, 2013), model selection being made by minimising Akaike's An Information
205 Criterion. Modelling used the formula: $bi = \alpha + \beta \text{ site} * \text{period} + \varepsilon$ where *bi* = biotic index, α =
206 regression intercept, β = regression coefficient, *site * period* was the interaction term between
207 factors *site* (replicate coded for each site) and *period* (before or after each FE (to test the effect of

208 individual FEs) or before or after the first and last FE respectively (to test the effect of cumulative
209 FEs) and ε = model error. Replicate sample number (for macroinvertebrate analyses) was
210 incorporated into models as a random effect. Negative binomial distribution was specified for all
211 count data, and Gaussian distributions for all other metrics. Significance of the *site * period*
212 interaction term was examined using t-statistics and p-values to provide an indication of whether
213 an impact had occurred.

214 To further assess macroinvertebrate response, composition of macroinvertebrate assemblages
215 before and after each FE were visualised using the results of a 2-dimensional NMDS undertaken on
216 means of replicates taken before and after each FE at each site. The analysis was based on Bray-
217 Curtis dissimilarities of $\text{Log}_{10}(n+1)$ taxa abundance (Oksanen et al., 2013). Moreover, to test
218 similarity of macroinvertebrate community composition before and after each individual FE and all
219 FEs, *site * period* interaction terms were tested using multivariate analysis of variance (MANOVA)
220 on taxon abundance matrices of replicates from each site using 999 permutations and Bray-Curtis
221 dissimilarities (Oksanen et al., 2013). All statistical tests were deemed significant at $p < 0.05$ and
222 all plot creation and statistical analyses were undertaken using R v 3.2.3 (2016).

223

224 **3. Results**

225 3.1 WATER QUALITY RESPONSE

226 The largest range in EC was observed during FEs 3 and 4 but was very small ($6 \mu\text{S cm}^{-1}$), mean EC
227 decreased at *lu* during all FEs, but statistically significant impacts were observed only for FEs 2 and
228 4 (Table 4; Figure 3). Mean DO response to each FE varied: statistically significant impacts were
229 only observed during FEs 2 and 4 (Table 4), where small (<10 % saturation) reductions in DO
230 occurred at *lu* (Figure 3). Statistically significant reductions in pH were observed during FE 2 and 4,
231 but the largest range in pH during either of these FEs was small at 0.41 during FE2 (Table 4; Figure
232 3).

233 Mean river temperature before and during each FE was highest for FE1 and lowest for FE4 for all
234 sites (Table 4). A general diurnal trend in T was observed on each FE day at all sites, although this
235 trend appeared to be suppressed at sites *Iu* and *Cu* (immediately downstream of Widdop and
236 Walshaw Dean Lower reservoirs respectively) (Figure 3). During FEs, minor increases (< 0.5 °C) in T
237 were observed at experimental cf. control sites, resulting in statistically significant impacts at sites
238 closest and furthest from the reservoir during FEs 2 and 4, respectively (Table 4).

239 3.2 RESPONSE OF BENTHIC MACROINVERTEBRATES

240 Non-significant interaction terms were identified for the majority of statistical tests (Table 5).
241 Where tests indicated that an impact had occurred to macroinvertebrate indices at *Im*, mean
242 changes were generally small: 1/ S (-1.5 during FE 2), D: (+0.1 during FE 2), Ephemeroptera (-140
243 individuals/m² during FE 2 and +16 during FE 4). For comparison, mean total density across all
244 samples was 738 individuals/m² (Figures 4 and 5).
245 Throughout the period of FE implementation, NMDS axis 1 and 2 scores for site *Im* were generally
246 lower and higher, respectively, than for site *Cm*, although the direction of change was not the same
247 after each FE (Figure 6). Temporally, NMDS scores at both sites were characterised by generally
248 increasing axis 1 scores throughout the series of FEs, but this increase was delayed at site *Im* until
249 after FE 3. The magnitude of changes in composition after each FE were similar at both sites
250 (Figure 6). Changes in NMDS scores at site *Cm* represented shifts from populations characterised
251 by taxa such as *Rhyacophila dorsalis* and *Amphinemura sulcicollis* to *Isoperla grammatica* and
252 *Plectrocnemia conspersa*. At *Im* the macroinvertebrate assemblage was initially characterised by
253 taxa such as Simuliidae and *Leuctra* sp. Subsequently, species such as *Elmis aenea* and *Leuctra*
254 *inermiss* became increasingly prevalent (Figure 6). A significant effect of cumulative FEs was
255 observed for *Baetis rhodani* and *A. sulcicollis* as well as the density of all Diptera (Table 5).

256

257 4. Discussion

258 In response to legislative pressures (e.g. the EU WFD, Australian Water Resources Act (2007))
259 environmental flows (manipulation of reservoir releases to the downstream channel to more
260 closely resemble natural flows) are being used widely in attempts to improve or restore river water
261 quality and ecological properties (Gillespie et al., 2015; Stein et al., 2017; Arthington et al., 2018).
262 However, the evidence for impacts of such flow modification is limited and remains largely
263 equivocal (Gillespie et al., 2015b; Robinson et al., 2018). This paper has provided novel information
264 on ecosystem responses to a series of FEs in an upland UK catchment. Some impacts on
265 downstream EC, DO, pH and macroinvertebrates were identified, but responses were not
266 consistent between FEs, limited in magnitude and likely to be of little ecological significance.

267 4.1 WATER QUALITY RESPONSE TO FLOW EXPERIMENTS

268 There is currently a requirement to understand the potential for FEs to be used to mitigate impacts
269 associated with regulated systems to meet legislative goals (Acreman & Ferguson, 2010), for
270 example, Good Ecological Potential under the EU WFD (European Commission, 2015). Evidence
271 that minor changes in water quality occurred was found, but, none of the changes were large
272 enough in magnitude, or sustained post FE, to suggest that FEs in the UK uplands would be useful
273 as management tools with the aim of invoking a major ecosystem response.

274 EC was found to have a broadly inverse relationship with discharge during each FE, but the largest
275 fall in EC was only $6 \mu\text{S cm}^{-1}$ and pre-FE concentrations were returned to immediately after each
276 FE. In contrast, previous studies have observed complex, spatially and temporally variable impacts
277 of FEs on EC. For example, Petts et al. (1985) observed an initial reduction (prior to peak discharge)
278 followed by a rise in EC after peak discharge on a larger river in the UK. This relationship was
279 thought to depend on in-channel sources of solutes. Foulger & Petts (1984) also highlighted the
280 potential importance of in-channel sources in determining EC response to FEs. The most upstream
281 site in the impact stream (*Iu*) in this study was relatively close to the outlet of the reservoir and
282 therefore sources of solutes were limited and unlikely to be a major influence on EC during FEs.

283 This potentially explains the apparent simple, minor dilution effect observed in the majority of the
284 FEs. Under normal compensation flow conditions EC is likely to increase due to factors such as
285 increased water residence time and exposure to mineral substrate in the river channel. It is
286 hypothesised that during FEs the influence of such processes was reduced due to faster travel time
287 between the reservoir outflow and *lu*, resulting in lower EC. Future work could aim to determine
288 whether biogeochemical processes (e.g. suppressed groundwater interaction) are drivers of such
289 responses.

290 In contrast to the findings of most other studies which noted increased DO during FEs (e.g.
291 Shannon et al., 2001; Bednarek & Hart, 2005, Naliato et al., 2009), this study found evidence of
292 minor (<10 % saturation) reductions in DO. Naliato et al. (2009) also observed reductions in DO
293 during FEs and linked these instances to reservoir stratification. Stratification of a reservoir can
294 result in the development of a hypolimnetic layer of relatively low DO water (Petts, 1985). If water
295 is drawn from this layer during an FE but from higher in the water column before, DO may decline.
296 Water prior to and during each FE reported on in this study was drawn from the same valve (which
297 was located at the bottom of the dam wall of Widdop reservoir) and therefore a modification in
298 valves during reservoir stratification cannot explain the observations noted. The changes in DO
299 observed are likely to be either due to modification of physical-chemical processes such as
300 aeration rates (e.g. Butts et al., 1989) or biotic processes such as production/respiration in the
301 reservoir water column as hypothesised by Chung et al. (2008) or a combination of factors.
302 However, given that DO did not change to within a range where biological effects would be
303 expected (e.g. Kramer, 1987) and was not consistent between FEs, any mechanisms were clearly
304 minor in influence and temporally variable. A more in-depth assessment of physical-chemical and
305 biological processes (e.g. respiration), extended both temporally and longitudinally, may shed
306 more light on the processes involved.

307 The impact of FEs on pH had not previously been assessed prior to this study (Gillespie et al.,

2015), and therefore the observations of reduced pH in each FE, albeit minor, give this observation particular importance. The observed reductions in pH may be either due to a reduction in stilling basin residence time (and therefore interaction with buffering substrate), increased carbonic acid formation due to increased aeration during FEs, disruption of biological processes, or a combination of the aforementioned (Glaser et al., 1990; Morrison et al., 2001). However, given the minimal changes in pH and lack of increased solute concentration during FEs (see McCahon and Pascoe, 1989 for discussion of importance of solute concentrations in determining ecological impact during reduced river pH), ecological impacts would be unlikely.

This study found very little evidence of impacts of FEs on river water temperature at any of three sites within 2 km downstream of the experimental reservoir. Where statistically significant effects were identified, temperature change at the impact site was not outside of the accuracy of the probes and can therefore be disregarded. In agreement with the findings of this study, King et al. (1998) (South Africa) and Robinson et al. (2004) (Switzerland) both reported no change in river temperature during FEs. Both studies suggested that this was due to pre-FE and FE water being hypolimnetic in origin and this likely explains the observations in our study. It is therefore plausible that, for FEs to have a notable impact on river water temperature in the study area, the draw off level of water from the water column must be modified from pre-FE conditions. Dickson et al. (2012) showed that water derived from the upper water column raised water temperature in cold alpine rivers, although these findings were due to unplanned flow magnitude increases linked to reservoir overflow effects rather than FEs. Further research may enable comparison of pre-FE and FE relationships between river temperature response and hydrological indices which was not possible in the current study.

Our findings are useful in a river management context as they challenge the paradigm that increasing flows can always be an effective technique for restoring the ecological quality of regulated rivers, but some key research questions remain. For example, we know little about how

333 these regulated systems respond to FEs of alternative characteristics (e.g. at alternative times of
334 year, different hydrological characteristics) or how river EC, DO and pH respond longitudinally
335 during FEs. Echoing the recommendations of Gillespie et al. (2015b), such questions should be
336 prioritised for future research to enable informed management decisions to be made.

337 4.2 BENTHIC MACROINVERTEBRATE RESPONSE TO FLOW EXPERIMENTS

338 FEs have been shown to invoke change in downstream biotic assemblages in several studies from
339 around the world (Gillespie et al., 2015b). This study is the first to assess specifically the impact of
340 FEs on macroinvertebrate assemblage in an upland regulated river in the UK. In contrast with
341 various published studies (e.g. Pardo et al., 1998; Harby et al., 2001; Cereghino et al., 2004;
342 Robinson et al., 2004a; Mannes et al., 2008; Benítez-Mora & Camargo, 2014), this research did not
343 reveal any major change in either traditional or recently adopted macroinvertebrate biomonitoring
344 indices or in specific taxa as a result of individual FEs or all FEs combined. Some statistically
345 significant impacts were identified, but effect sizes were typically small, suggesting that FEs had
346 limited impact on the macroinvertebrate assemblage assessed, at least during the first 48 hours
347 after FEs when effects were measured in the current study. In contrast, assessments of
348 macroinvertebrate response to FEs globally have all revealed significant shifts in such measures
349 (e.g. reduced total abundance (Robinson et al., 2003, 2018), taxonomic richness (Bednareck &
350 Hart, 2005; Mannes et al., 2008), Ephemeroptera (Lauters et al., 1996; Harby et al., 2001) and
351 Chironomidae (Robinson et al., 2004b)).

352 The macroinvertebrate response identified by this study may be a reflection of the characteristics
353 of the macroinvertebrate assemblage (e.g. behaviour) within the study river cf. others where
354 dramatic responses have been observed. Dynamic antecedent hydrological conditions in the study
355 river may contribute to the presence of predominantly disturbance resistant/resilient assemblages
356 (Monk et al., 2006; Durance & Ormerod, 2007; Gillespie et al., 2015a; White et al., 2017). These
357 upland systems are characterised by relatively low pH, flashy flows and low productivity

358 (Ramchunder et al., 2009; Aspray et al., 2017), thus biological communities are necessarily
359 adapted to these conditions. Combined with the fact that flow releases greater than those
360 experienced due to reservoir overspill could not be achieved, these factors can explain the minor
361 response in macroinvertebrates. If further evidence is found to support this theory, it will
362 contribute towards management decisions for other regulated rivers where the implementation of
363 extreme FEs is restricted, for example, in urban catchments where flooding is a concern, or where
364 water resource availability is low.

365 Analyses of the overall taxonomic composition of the macroinvertebrate assemblage at the impact
366 site revealed no statistically significant change as a result of individual FEs. Again, this finding is in
367 contrast to published literature (e.g. Robinson et al., 2003) where individual FEs have resulted in
368 clear shifts in taxonomic composition. However, of particular note was a statistically significant
369 effect of the four FEs cumulatively, an effect which has been noted over multiple years for example
370 in the Spöl river system in Switzerland (Robinson et al., 2018). This effect was driven primarily by
371 reductions (relative to the control site) in *B. rhodani* and *A. sulcicollis* abundance and changes in
372 the abundance of all Diptera at the impact site relative to the control site (Figure 7). This finding is
373 particularly important as both taxa were highlighted as being associated with river regulation in a
374 recent study on upland UK regulated rivers (Gillespie et al., 2014), suggesting that cumulative FEs
375 may have the potential to mitigate for this association.

376 Given the very limited water quality impacts of the FEs in this study, we deduce that the response
377 in *B. rhodani*, *A. sulcicollis* and Diptera was hydraulically driven. Mean density of *A. sulcicollis*
378 remained approximately constant at the impact site, whereas it increased by c. 500% at the control
379 site. Conversely, a decrease in *B. rhodani* mean density was observed at the impact site, whereas it
380 remained approximately constant at the control site (Figure 7). For *Amphinemura*, this finding
381 suggests that the FEs either had the ability to suppress population increasing behaviour (e.g.
382 reproduction) of the extant population, or that they eliminated individuals at approximately the

383 same rate as net increases to the population were being made (taking into account natural death,
384 recruitment and migration rates for example). This seems unlikely as *Amphinemura* are typically
385 well adapted to moderate-fast flow velocities that would be encountered during flow experiments
386 (Extence et al., 1999), although bed sediments were entrained during the high flows likely causing
387 additional stress. For *Baetis*, the reduction in abundance due to FEs most likely occurred because
388 they are typically stone-surface-dwelling collector-gatherers, and will have involuntarily entered
389 the drift during FEs despite being strong swimmers. Similar decreases for *B. rhodani* have been
390 reported from rivers post-flooding in Spain (Pupilli and Puig, 2003). An alternative explanation is
391 that these species are both largely detritivorous, and FEs are likely to have depleted standing
392 stocks of particulate matter from the riverbed. Further studies that explicitly monitor population
393 dynamics, behavioural responses and food availability would be needed to examine these
394 hypotheses in more detail.

395 Our evidence suggests that *cumulative* rather than *single* FEs at study sites such as ours are the
396 management tool with most promise. Further research to identify macroinvertebrate, other
397 biological group (e.g. algae, macrophytes, fish) and geomorphological responses to both single and
398 cumulative FEs in similar upland rivers is required to assess the validity and generality of this
399 theory. A shift in taxonomic composition following several FEs is consistent with the literature (e.g.
400 Robinson et al., 2004, 2018; Mannes et al., 2008), but it is important to note that the statistical
401 design used in this study assumes that both control and impact sites are identical apart from the
402 FE implementation (see Underwood (1994) and Conquest (2000) for discussion). Of course, in
403 reality this is not true and other factors may play a role and these could change with time. We
404 therefore suggest that further studies look to see whether this finding can be replicated at other
405 similar sites.

406 Invertebrate response to these FEs was observed, although, importantly, we have provided
407 evidence that *cumulative*, rather than *single* FEs may invoke invertebrate assemblage response.

408 Interestingly, widely used biomonitoring indices (i.e. taxonomic richness, BMWP, ASPT and WHPT
409 which has now been adopted by UK regulatory agencies) failed to pick up on any invertebrate
410 response. These findings support other regulated river studies that have suggested these indices
411 may be unsuitable for use in these upland river environments (e.g. Gillespie et al., 2015a). The
412 generality of our findings for water quality and invertebrate responses to FEs needs to be tested
413 across other upland river systems.

414

415 **5. Conclusions**

416 The current study has indicated that managed high flow releases from reservoirs in flashy upland
417 catchments are unlikely to bring about substantial changes in water quality and benthic
418 macroinvertebrate communities. It was found that, despite being impounded, these streams
419 experienced great variation in flow due to frequent overspill events and were already subject to
420 regular disturbance. Moreover, environmental peak flows that could be released from these
421 reservoirs were small in comparison to some prior overspill events. Whilst it is logistically
422 impossible to conduct e-flow trials on all rivers, our suggestion that multiple small flow events may
423 be more effective in bringing about ecological change than single releases should at least be
424 verified in a handful of other locations to determine if Pennine region rivers can be assumed to
425 show similar behaviours.

426

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702 **Tables**

703 Table 1: Publications evidencing increases, decreases or no change in electrical conductivity (EC),
704 dissolved oxygen (DO) or water temperature (T) during Flow Experiments from reservoirs.

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Table 2: Percentage surface geology and land cover composition of upper, middle and lower (u, m and l, respectively) impact (I) and control (C) sites and study area drainage basins (sources: BGS, 2012 & CORINE, 2010 respectively); altitude and catchment (source: OS, 2012).

Table 3: Hydrological characteristics of each Flow Experiment (FE) (measured at lu). ROC = rate of change; statistics based on 15-min records from site lu.

Table 4: Mean (& St. dev.) and test statistics (t) for electrical conductivity (EC) ($\mu\text{S cm}^{-1}$), dissolved oxygen (DO) (%), pH and river temperature (T) ($^{\circ}\text{C}$) indices before and during FEs 1-4 for each site where measurements were taken. * $p < 0.05$, ** $p < 0.01$.

Table 5: GLMM test statistics for impact of Flow Experiments (FEs) 1-4 on macroinvertebrate indices and community composition respectively. * $p < 0.05$, ** $p < 0.01$. For FE1-4 we tested directly before vs after these experiments. For the overall response, data from before FE1 were compared to data collected after FE4. High resolution (e.g. sub-family) statistics are only shown where significant effects were identified. Mean and standard deviation of each taxon before and after each FE at Im and Cm are included in Table 1 *of the supplementary information*.

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707 Table 1:

Parameter	Increase	Decrease	No change
EC	Foulger & Petts, 1984; Shannon et al., 2001; Bruno et al., 2010	Petts et al., 1985; Jakob et al., 2003; Cánovas et al., 2012	Cambray et al., 1997
DO	Shannon et al., 2001; Bednarek & Hart, 2005, Naliato et al., 2009	Chung et al., 2008; Naliato et al., 2009	
T	Cambray et al., 1997; King et al., 1998; Ashby et al., 1999; Lagarrigue et al., 2002; Chung et al., 2008; Naliato et al 2009	Foulger & Petts, 1984; Lagarrigue et al., 2002; Bruno et al., 2010	King et al., 1998; Robinson et al., 2004a

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710 Table 2:

	Surface geology (%)		Land cover (%)			Altitude (m aod)	Catchment size (km ²)
	Mud/ silt/ sand- stone	Agricultural	Forests/semi-natural	Wetland	Waterbody		
Study area	42.90	16.10	41.20	40.70	2.00	n/a	40.66
<i>Iu</i>	48.00	0.00	62.30	25.90	11.80	307	3.09
<i>Cu</i>	20.2	1.80	26.00	67.30	4.90	289	9.05
<i>Im</i>	56.00	0.00	66.10	23.80	10.10	292	3.63
<i>Cm</i>	27.80	8.20	27.90	59.50	4.30	264	10.32
<i>Il</i>	34.90	4.40	40.50	51.70	3.50	271	10.50
<i>Cl</i>	31.20	11.60	28.30	56.10	4.00	253	11.09
Overall study area	42.90	16.10	41.20	40.70	2.00	n/a	40.66

711 Table 3:

FE	Duration (h)	Magnitude (% increase)	ROC (rising limb) (m ³ s ⁻¹ d ⁻¹)	ROC (falling limb) (m ³ s ⁻¹ d ⁻¹)	Max. discharge (m ³ s ⁻¹)
1	4.8	287.10	1.61	1.07	0.17
2	2.64	424.39	8.39	3.15	0.31
3	2.88	294.02	9.95	7.13	0.67
4	3.12	423.85	11.39	13.24	0.99

712 N.B. water was drawn from valves at the bottom of the reservoir water column during all FEs.

713

714 Table 4:

	FE 1		FE 2		FE 3		FE 4	
	Before	During	Before	During	Before	During	Before	During
<u>EC</u>								
<i>lu</i>	53.90 (0.32)	52.63 (0.50)	57.90 (0.74)	52.91 (1.30)	57.90 (2.18)	57.30 (1.83)	59.76 (0.99)	58.00 (1.63)
<i>Cu</i>	74.50 (0.53)	75.00 (0.00)	72.00 (0.00)	72.00 (0.00)	71.00 (0.00)	71.00 (0.00)	56.29 (0.46)	56.00 (0.00)
<i>T</i>	-0.88		7.84 **		0.84		-3.51 **	
<u>DO</u>								
<i>lu</i>	103.45 (1.39)	103.93 (1.80)	97.30 (0.30)	96.97 (0.94)	96.25 (0.18)	97.23 (0.46)	95.83 (0.13)	96.09 (0.89)
<i>Cu</i>	93.92 (1.02)	98.65 (0.66)	91.99 (0.24)	94.07 (0.33)	93.80 (0.12)	94.71 (0.22)	92.19 (0.18)	92.44 (0.13)
<i>T</i>	0.69		-2.95 **		-1.80		-2.39 *	
<u>pH</u>								
<i>lu</i>	5.75 (0.01)	5.62 (0.05)	6.06 (0.04)	5.76 (0.15)	5.61 (0.02)	5.58 (0.02)	4.99 (0.02)	4.87 (0.13)
<i>Cu</i>	6.91 (0.02)	7.02 (0.02)	6.91 (0.01)	6.97 (0.01)	6.65 (0.02)	6.72 (0.01)	5.67 (0.01)	5.69 (0.01)
<i>T</i>	-1.28		6.31 **		-0.14		-2.08 *	
<u>I</u>								
<i>lu</i>	15.86 (0.04)	16.13 (0.05)	10.41 (0.07)	10.95 (0.18)	11.80 (0.02)	11.85 (0.02)	7.36 (0.02)	7.47 (0.05)
<i>Cu</i>	15.34 (0.09)	15.77 (0.10)	10.35 (0.03)	10.54 (0.07)	12.11 (0.02)	12.29 (0.07)	7.26 (0.01)	7.33 (0.02)
<i>T</i>	-0.50		1.83		1.77		2.23 *	
<i>lm</i>	14.34 (0.35)	16.03 (0.38)	9.91 (0.05)	10.74 (0.24)	11.80 (0.03)	11.99 (0.05)	7.13 (0.04)	7.36 (0.05)
<i>Cm</i>	14.23 (0.50)	15.95 (0.54)	10.00 (0.07)	10.50 (0.17)	12.16 (0.05)	12.58 (0.09)	7.28 (0.08)	7.63 (0.04)
<i>T</i>	-1.13		0.05		0.20		-1.16	
<i>ll</i>	13.22 (0.49)	15.93 (0.91)	9.70 (0.05)	10.46 (0.38)	11.83 (0.06)	12.23 (0.10)	6.97 (0.15)	7.52 (0.07)
<i>Cl</i>	13.76 (0.13)	14.56 (0.30)	10.06 (0.04)	10.12 (0.07)	12.06 (0.01)	12.23 (0.09)	7.37 (0.06)	7.61 (0.01)
<i>T</i>	-0.50		-2.58 *		0.55		0.27	

715 Table 5

	FE1	FE2	FE3	FE4	Before – After all FEs
Total density (ind. / m ²)	1.30	0.91	1.05	-0.39	-0.74
Taxonomic richness	0.79	0.43	0.81	-1.57	-0.16
1/Simpson's diversity index (1/S)	-0.48	2.21 *	-0.81	-0.77	-1.7
β –diversity	0.62	0.41	0.08	-0.12	0.73
Taxonomic dominance (D)	1.08	-2.13 *	0.55	0.24	-1.78
BMWP	0.50	0.14	2.09	-1.55	0.05
ASPT	0.23	-0.46	1.74	-1.11	-1.40
LIFE	-0.99	0.57	1.32	1.14	0.45
WHPT	1.10	0.21	-1.24	-0.02	1.55
Coleoptera	-0.24	-0.43	2.23 *	-0.68	-1.29
Diptera	1.46	-1.12	0.14	0.52	2.31 *
Chironomidae	1.99 *	-0.10	1.96	-1.03	-1.90
Simuliidae	0.33	1.99 *	-	-60.3	-1.74
Ephemeroptera	-3.68***	4.09 ***	-1.13	-3.01 ***	-1.12
<i>Baetis</i> sp.	-2.03*	2.97 ***	-0.16	-1.36	--0.35
<i>Baetis rhodani</i>	0.49	-3.86 ***	-0.54	-	2.71 **
Plecoptera	1.99*	-1.31	0.41	0.78	-0.83
<i>Amphinemura sulcicollis</i>	-1.73	-0.22	-	-0.20	2.48 *
<i>Leuctra</i> sp.	2.36 *	-	-1.52	-	0.90
<i>Leuctra hippopus</i>	2.41 *	--0.35	2.25 *	0.30	-0/94
Trichoptera	0.83	0.42	0.31	-1.35	0.27
Oligochaeta	0.51	--1.87	-	-	-

716 **Figures**

717 Figure 1: Location of the study area within Great Britain (inset), detailing rivers and reservoirs in
718 black, study sites (impact (I) and control (C), upper (u), middle (m) and lower (l)), altitude (m AOD),
719 urban areas and roads. Map data: Ordnance Survey (2015). Produced using QGIS (2015) & Gimp
720 (2014).

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722 Figure 2: Maximum daily discharge measured immediately upstream of lu (March 2000-December
723 2014) (left) and January – December 2013 (right). The period and timing of Flow Experiments (FEs)
724 is denoted by grey shading and arrows respectively.

725
726 Figure 3: Discharge/ water level (grey shading) and water quality observations before and during
727 each Flow Experiment (FE) at impact (full lines) and control (dashed lines) sites where
728 measurements were taken. Site locations for each parameter are denoted to the right: u = upper,
729 m = middle, l = Lower).

730
731 Figure 4: Boxplots, mean and standard deviation of macroinvertebrate indices before (grey boxes)
732 and after each Flow Experiment at impact and control sites (left and right column, respectively).

733
734 Figure 5: Boxplots, mean and standard deviation of macroinvertebrate community composition
735 indices before (grey boxes) and after each Flow Experiment at impact and control sites (left and
736 right column, respectively).

737
738 Figure 6: Panel A: NMDS plot for mean macroinvertebrate samples. Arrows show the direction of
739 change in population composition before and after each Flow Experiment (FE) and from before FE1
740 to after FE4 at each site. Panel B: species NMDS scores. Note: taxa labels are abbreviated; full
741 names can be found in Supplementary information A.

742
743 Figure 7: Interaction plot displaying mean density of *Amphinemura sulcicollis* (top) and *Baetis*
744 *rhodani* (bottom) before Flow Experiment (FE) 1 and after FE4 at impact (Im) (solid line) and
745 control (Cm) (dashed line) sites. X-axis offset error bars of ± 1 standard deviation are shown for
746 each point.

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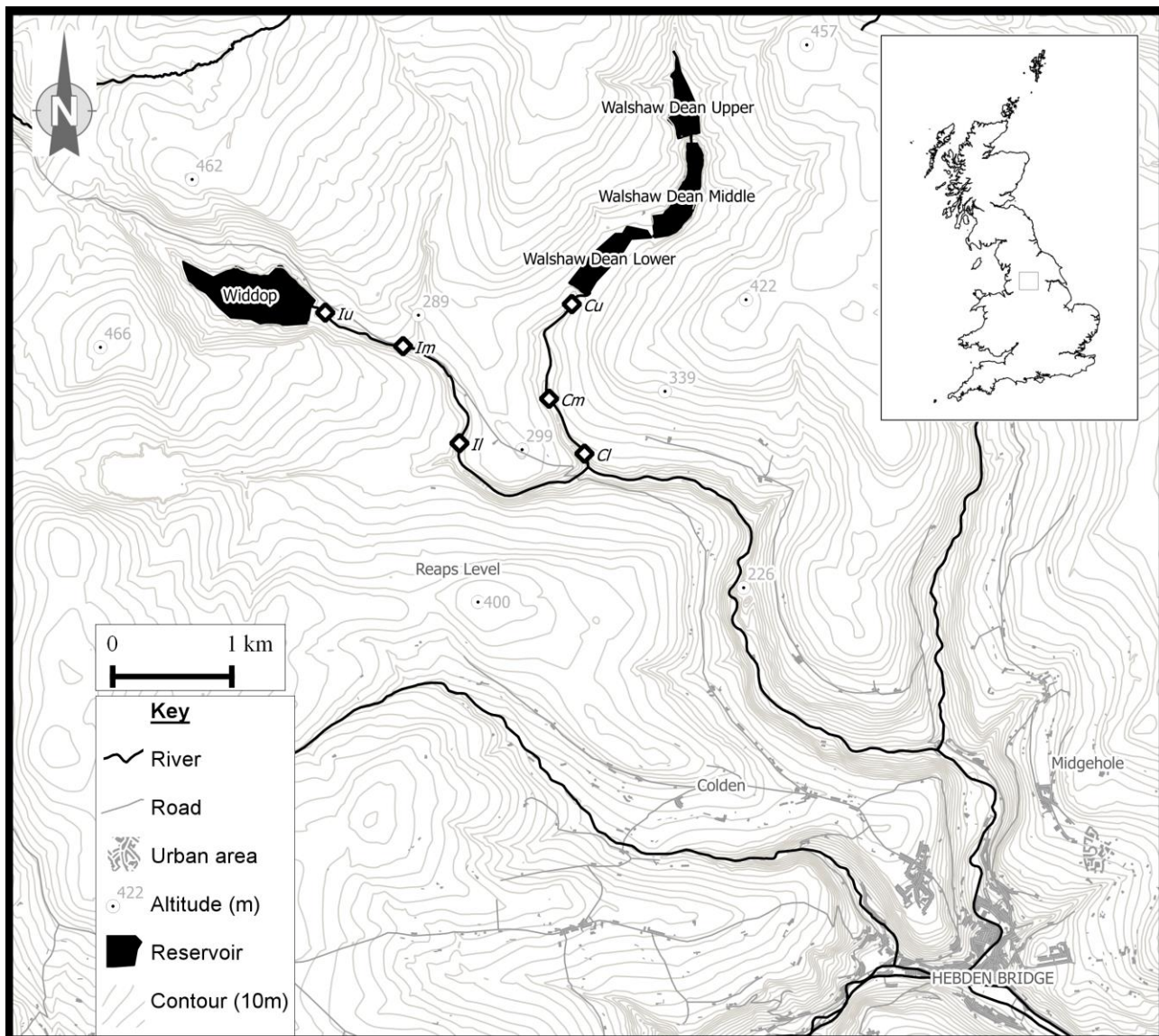


Figure 1:

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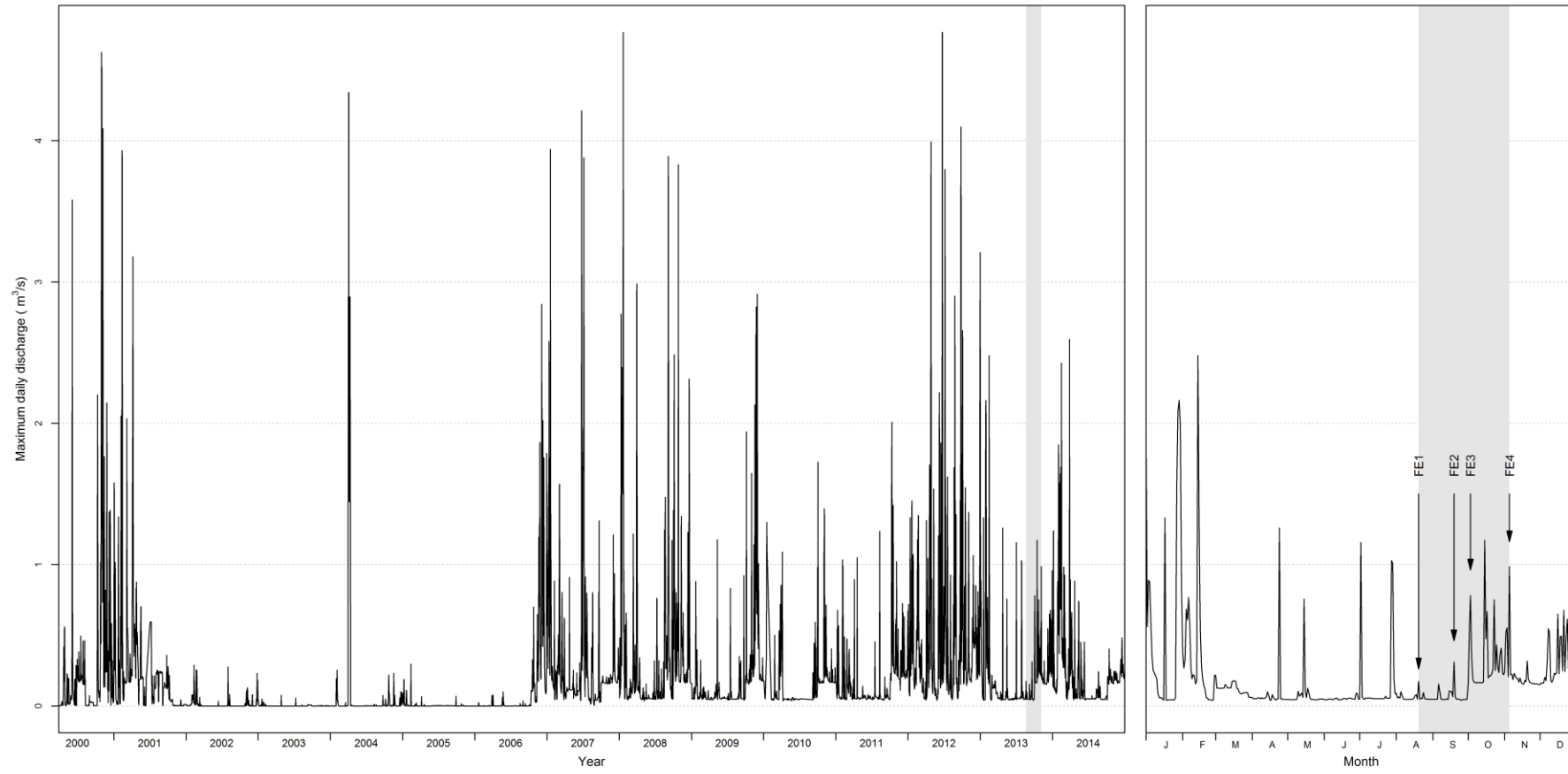


Figure 2:

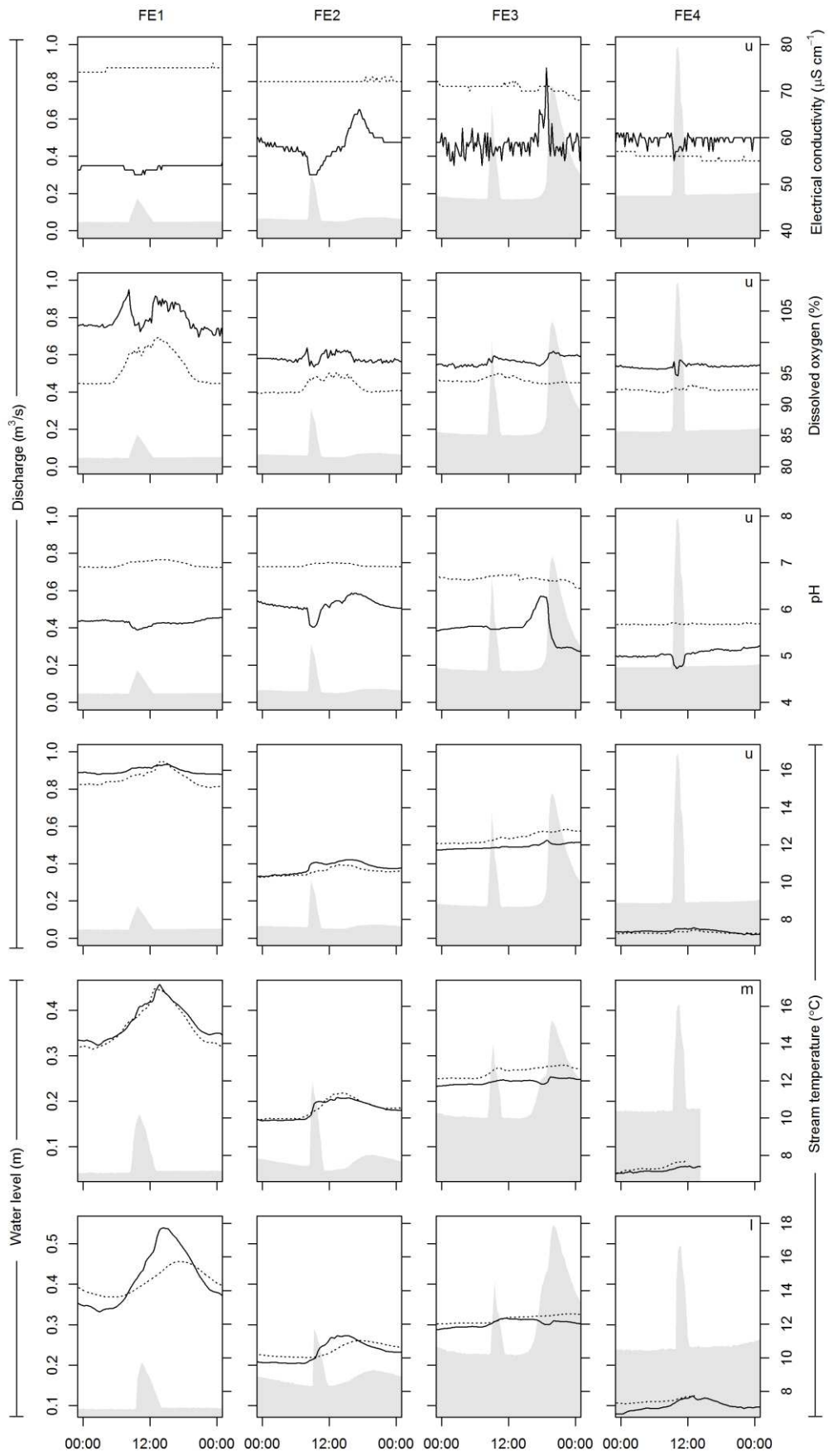


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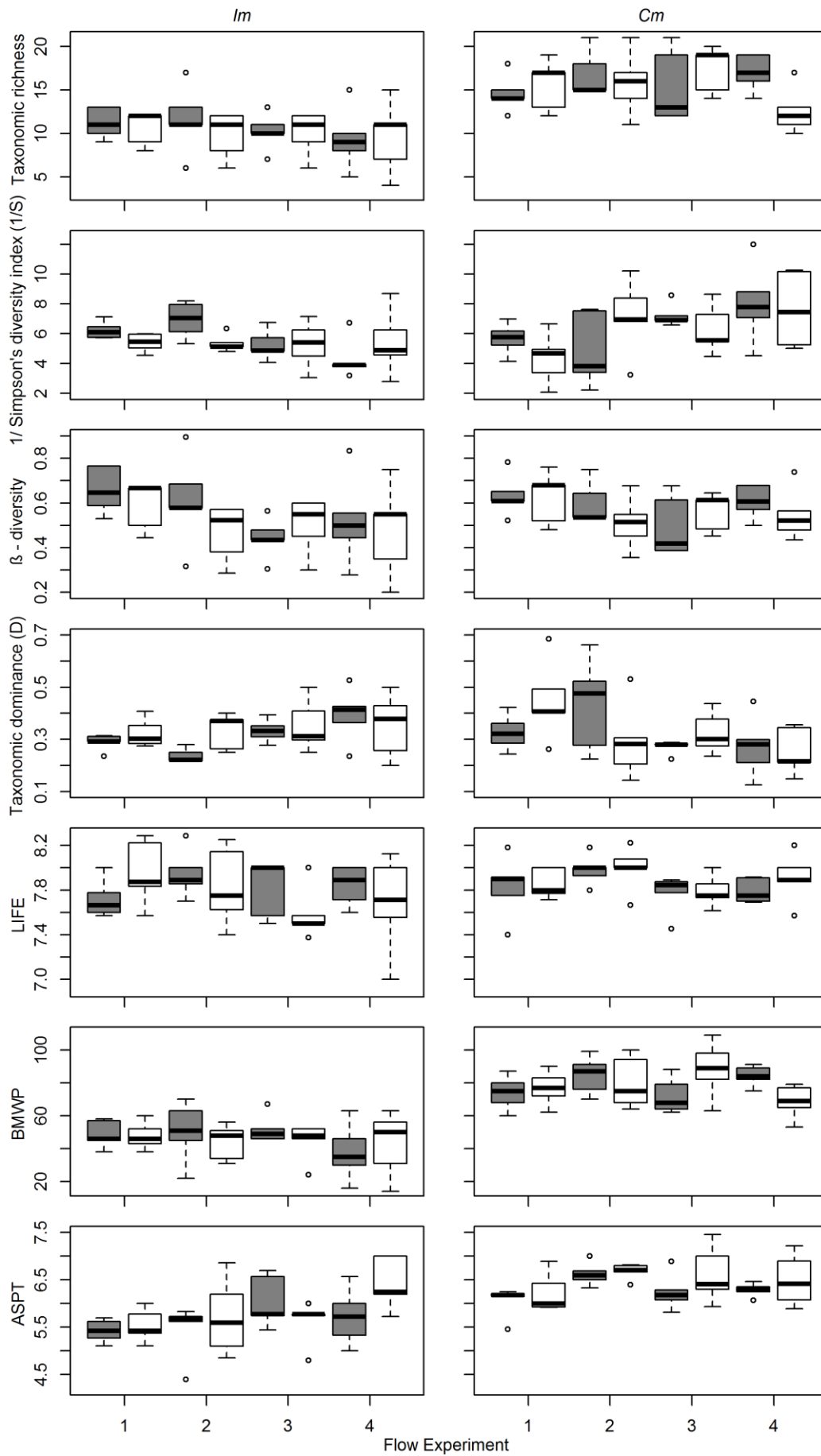


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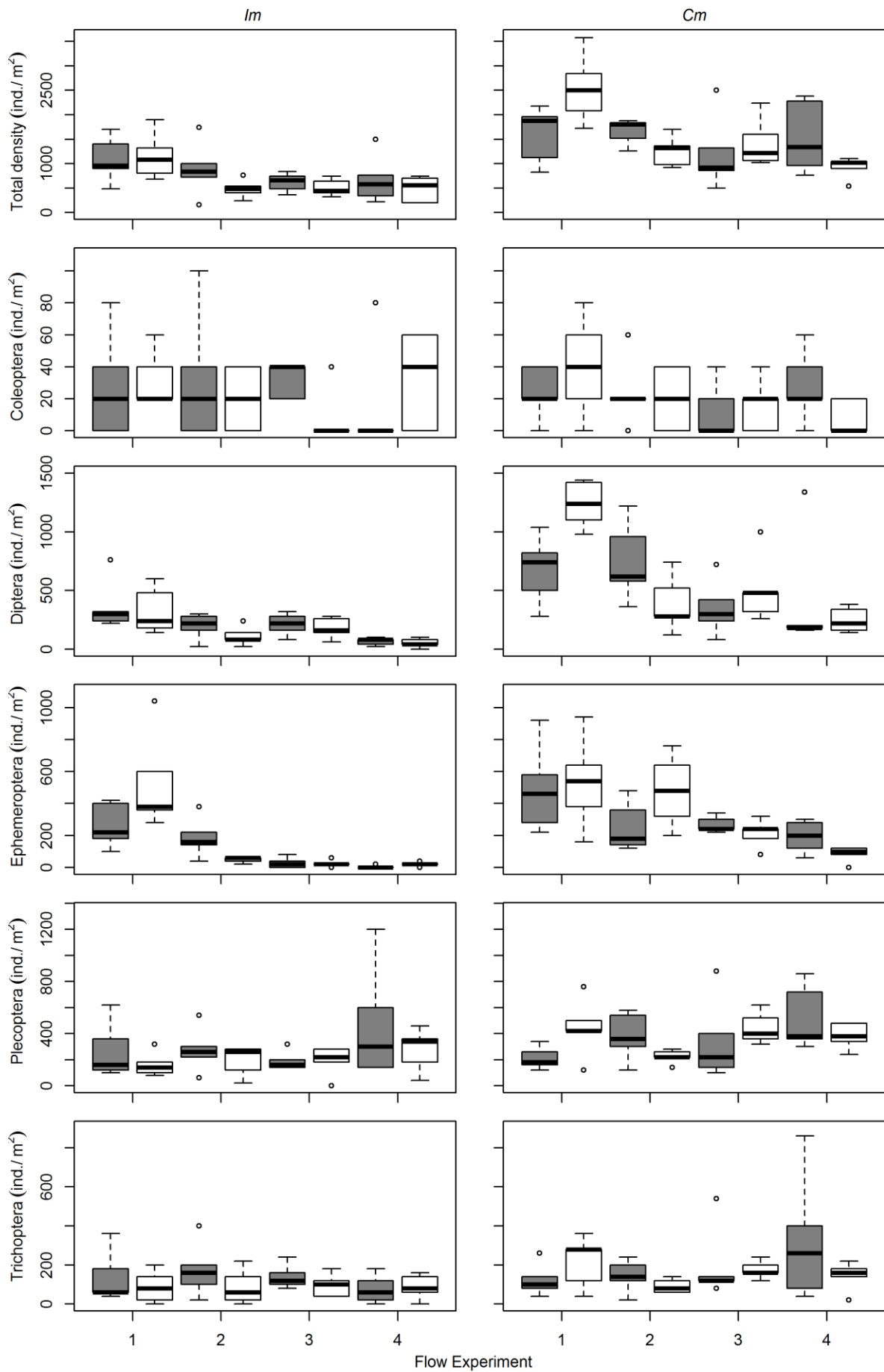
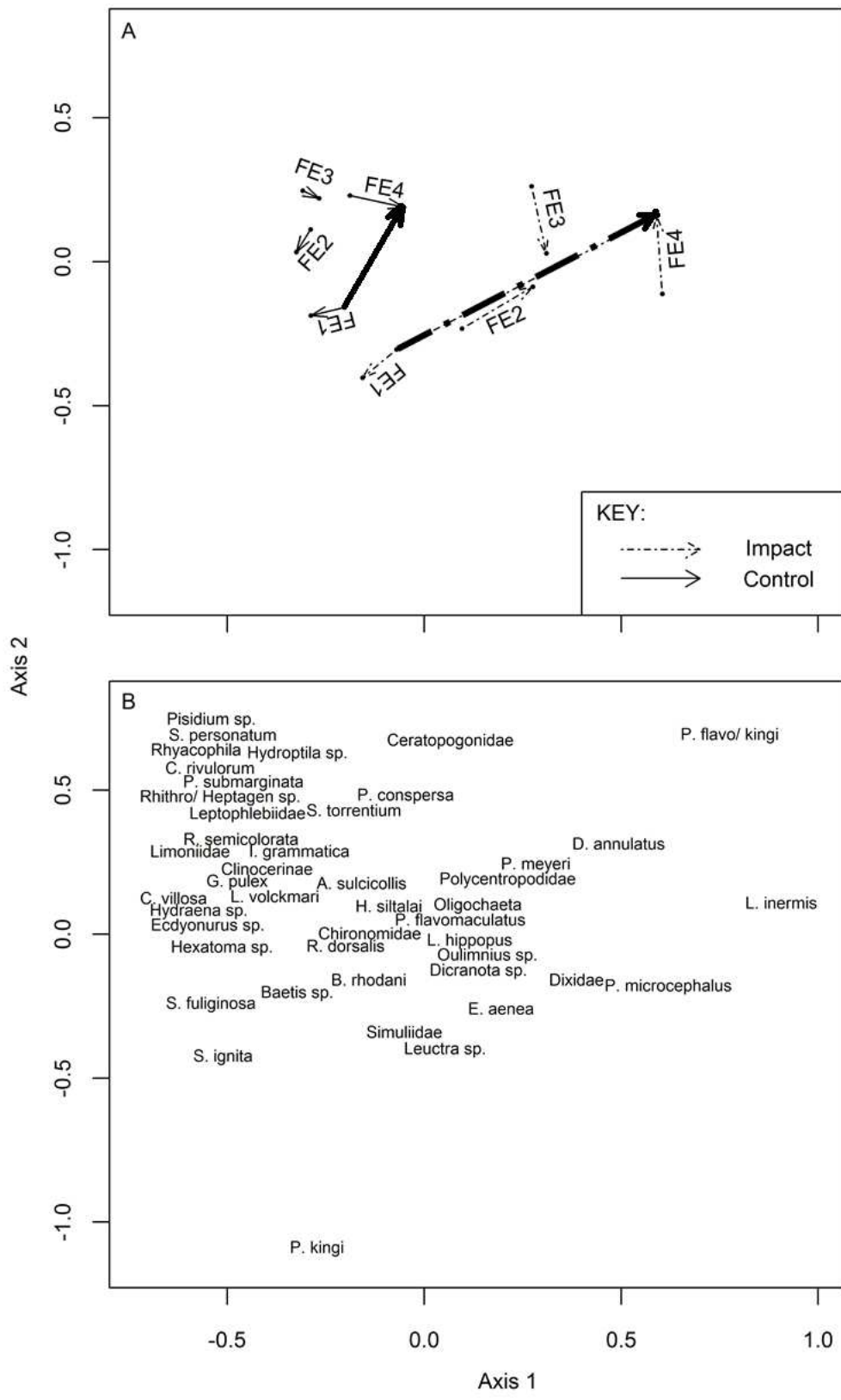
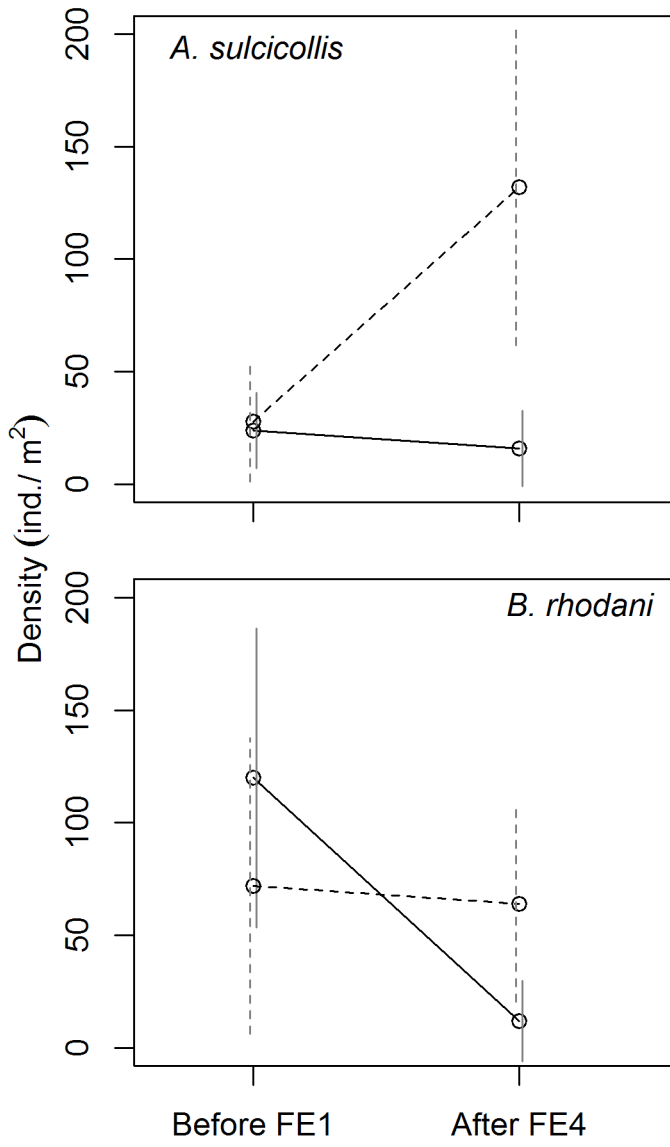


Figure 5:



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