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Impacts of land use intensification on human wellbeing: Evidence from rural Mozambique

Abstract

Intensifying land use is often seen as a corollary of improving rural livelihoods in developing countries. However, land use intensification (LUI) frequently has unintended impacts on ecosystem services (ES), which may undermine the livelihoods of the same people who could benefit from intensification. Poorer households are disproportionately dependent on ES, so inequalities may also rise. A disaggregated analysis of LUI is thus fundamental to better understand how LUI can progress in an equitable manner. Using a suite of multi-scale, multidisciplinary social-ecological methods and operationalising multidimensional concepts of land use intensity and wellbeing, we examine three case studies in rural Mozambique. Drawing on qualitative focus group discussions, 1576 household surveys and geospatial data from 27 Mozambican villages, we assess how wellbeing and inequality change with three common LUI pathways: transitions to smallholder commercial crop production, charcoal production, and subsistence expansion. Wellbeing improved with intensification of smallholder commercial and subsistence agriculture, inequality did not change. Intensification of unsustainable charcoal production showed no overall effect on either wellbeing or inequality. Improvements in wellbeing amongst the poorest households were only found with intensification of commercial crop production where villages had highly accessible markets. Our findings suggest that socioeconomic benefits from agricultural intensification and expansion may overcome localised environmental trade-offs, at least in the short term. However, unsustainable charcoal resource management and limited productive investment opportunities for rural households resulted in both reduced market access and limited wellbeing improvements. Sustainable and inclusive markets are therefore crucial developments alongside LUI to sustain wellbeing improvements for all households, to ensure that no one is left behind.

Keywords:

Sustainable Development; Livelihoods; Human Wellbeing; Poverty; Land Use Intensification; Ecosystem Services

31 **1. Introduction**

32 Growing global demand for food, fibre, fuel and economic globalization are increasing
33 pressures on arable land and remaining forests (Lambin and Meyfroidt, 2010).
34 Consequently, land use is set to intensify in sub-Saharan Africa (SSA) alongside increasing
35 rural population pressures and competition from national and global investors (Jayne et al.,
36 2014). However, much of the remaining available land is concentrated within a few
37 countries (Chamberlin et al., 2014). Simultaneously, poverty analysts emphasise the value of
38 access to productive assets, such as land, through which people can create routes out of
39 poverty (Ellis and Freeman, 2004). When households have access to land resources, land use
40 intensification (LUI) may therefore provide routes out of poverty (Jayne et al., 2003;
41 Shackleton et al., 2007). Accordingly, intensifying land use is a consequence of global and
42 regional economic development and of particular importance to rural livelihoods.

43 It is widely held that reducing poverty in SSA will rely largely on stimulating agricultural
44 growth (The World Bank, 2009), thus cropland expansion is expected to be necessary for
45 smallholder-led development across the region (Chamberlin et al., 2014; Jayne et al., 2014).
46 Yet, conversion of land for agriculture is the leading cause of deforestation in SSA (Gibbs et
47 al., 2010; Hosonuma et al., 2012; Rudel et al., 2013). In parallel, biomass energy (particularly
48 of charcoal and firewood) is the most important fuel source for SSA, its consumption has
49 been shown to play a critical role in economic growth for the region (Ozturk and Bilgili,
50 2015). By 2030, over 12 million people will be involved in SSA's charcoal sector
51 (Mwampamba et al., 2013). However, 80% of global charcoal-based tropical deforestation
52 occurs in Africa (Chidumayo and Gumbo, 2013).

53 Improvements in rural livelihoods are often an implicit assumption with LUI (Liao and
54 Brown, 2018). Despite some evidence for observed beneficial wellbeing outcomes of
55 smallholder intensification pathways, particularly of those deemed sustainable (Asfaw et al.,
56 2012; Pauw and Thurlow, 2011; Rist et al., 2010; Shively and Pagiola, 2004), there are
57 concerns that associated negative environmental impacts (de Vries et al., 2013; Flynn et al.,
58 2009) undermine rural livelihoods (Rasmussen et al., 2018; Woollen et al., 2016). Across
59 much of SSA, many rural households are inextricably dependent on woodland and forest-
60 derived ecosystem services (ES) (Ryan et al., 2016; Shackleton et al., 2007). Furthermore, as
61 the poorest are disproportionately dependent on natural resources (Angelsen et al., 2014;
62 Makoudjou et al., 2017), there is potential to exacerbate rural inequalities. Understanding
63 how human wellbeing changes with LUI is therefore key in the pursuit of global
64 development, especially as ES underpin many of the UN Sustainable Development Goals
65 (SDGs) (Wood et al., 2018). Furthermore, with the imperative of the SDGs to 'leave no one
66 behind', a disaggregated analysis of LUI is critical (Milder et al., 2014) as it is fundamental in
67 identifying the most vulnerable groups, to recognise how they use, access and depend upon
68 resources (Daw et al., 2011; Dawson and Martin, 2015; Fisher et al., 2013).

69 Much research on LUI tends to focus on the environmental impacts (Foley, 2005),
70 particularly of agricultural intensification and expansion (Allan et al., 2015; Matson et al.,
71 1997; Power, 2010; Tscharntke et al., 2005). Few examinations of the livelihood impacts
72 mostly assess the extent of a particular land cover (e.g. swidden agriculture) or of
73 unidimensional intensification indicators, such as agricultural yields or fertiliser application
74 rates (van Vliet et al., 2012). Yet, LUI is a complex process that incorporates multiple
75 dimensions embedded within complex socio-ecological systems and landscapes.
76 Furthermore, land use impacts have rarely been traced through to livelihood and wellbeing
77 outcomes, or to an examination of the net multidimensional and social-ecological outcomes
78 (Rasmussen et al., 2018). Understanding the outcomes of LUI on both the environment and
79 people must take a replicable and dynamic multidimensional approach, applicable to the
80 landscape scale. One such approach is Erb et al's., (2013) conceptual framework for LUI,
81 where LUI is a combined process of inputs to a production system (e.g. of land, labour or
82 technology), outputs from the production system (e.g. products and services) and
83 modifications to system properties and functions (e.g. to soil quality, biodiversity and
84 carbon stocks and flows). The framework puts the production system at the centre and
85 embedded within a given landscape, making the framework applicable at the system level,
86 and uses indicators of intensification for all three dimensions. Importantly, under this
87 framework, LUI is the intensification of any land use, including forestry, inland fisheries,
88 urban areas and agriculture (both crop and livestock), and thus may also result in changing
89 land use, for example from forestry to agriculture, or a shift from subsistence agriculture to
90 commercial agriculture. With this definition, and somewhat counter-intuitively, LUI can
91 involve inputs of land, thus agricultural expansion is a form of LUI. See SI.1 for a schematics
92 of Erb et al's., (2013) LUI framework, and for further explanation of intensification
93 agricultural production systems, under this framing of LUI.

94 The impacts of LUI on rural livelihoods are not fully understood (van Vliet et al., 2012), yet
95 understanding how livelihoods change with LUI is critical as changes in land and land use
96 have reflexive implications for livelihood outcomes (Carr and McCusker, 2009). Market
97 factors also have implications for both LUI and livelihoods, as the development of market
98 opportunities is a main driver of LUI (van Vliet et al., 2012). Markets stimulate livelihood
99 diversification, particularly growth into non-farm sectors (Haggblade et al., 2010), thus
100 people's ability to escape poverty is diminished by poorly functioning markets (Ellis and
101 Freeman, 2004). Equally, poor market access hampers LUI (Bamire and Manyong, 2003;
102 Woodhouse, 2002), whereas improved access stimulates and intensifies commercial forest
103 product extraction (Robinson et al., 2002) and cropland expansion (Hertel et al., 2014).
104 However, whilst markets can increase local incomes, this can result in trade-offs with
105 human, environmental and social capitals (van Vliet et al., 2012). LUI and markets thus have
106 significant, but poorly understood consequences for rural populations. A clearer
107 understanding of how LUI may proceed in a more equitable manner is required, so that no
108 one is left behind.

109 There are calls for research to examine multiple land uses (Fischer et al., 2014) and to use a
110 unified, systematic, and multidimensional approach to measure LUI (Erb et al., 2013). In this
111 paper, we apply the integrative LUI conceptual framework, as defined by Erb et al., (2013),
112 to define and measure LUI using locally relevant indicators. Mozambique retains surplus
113 land (Chamberlin et al., 2014; Lambin and Meyfroidt, 2011) available for intensification and
114 thus offers the opportunity to examine how multidimensional wellbeing (MDWB) and
115 associated inequalities change with intensification of three of the most prevalent LUI
116 pathways occurring in SSA, under conditions of relative land abundance: smallholder
117 subsistence expansion, transitions from smallholder subsistence to commercial crop
118 production, and charcoal production. Using three case-study LUI pathways in Mozambique,
119 we reflect on the smallholder-dominated landscapes of rural SSA, to contribute new insights
120 to current understandings of LUI. The objectives of this study are as follows:

- 121 • Adapt and apply Erb et al's., (2013) conceptual framework to empirically measure
122 three multidimensional case-study LUI pathways
- 123 • Explore the relationship between three prevalent LUI pathways and measures of
124 MDWB
- 125 • Examine the implications of market access on LUI and MDWB

126

127 **2. Methods**

128 **2.1. Study sites**

129 **2.1.1. Land use history in Mozambique**

130 Mozambique has a unique land use and land tenure history, largely shaped by colonial rule,
131 civil conflict and resolution, and more recent emergence of forced displacement from large-
132 scale land acquisitions. With independence from Portugal in 1975, large colonial-run farms
133 were abandoned and subsequently converted into state-run enterprises, following socialist
134 development ideology (Zaehringer et al., 2018). During the Civil War (1977-1992), State
135 enterprises were discontinued and many rural households abandoned rural areas (Unruh,
136 1998); the civil war reduced the amount of land under agricultural production, largely
137 confining agricultural areas to urban peripheries (Temudo and Silva, 2011). Post-war,
138 farming lands were reoccupied by internally displaced populations; despite repopulation of
139 rural areas, Mozambique is currently considered land abundant (Chamberlin et al., 2014;
140 Lambin and Meyfroidt, 2011). The 1990 Constitution defines land as state property, allowing
141 only use rights to individuals (Brück and Schindler, 2009). Following post-war agricultural
142 reforms promoting a liberalised market economy, sector development has emphasised
143 investment for large-scale agricultural operations and encouraged foreign companies to
144 acquire secure land rights, known as *Direito de Uso e Aproveitamento da Terra* (DUAT)
145 (German et al., 2016). According to the Mozambican Land Law (Government of
146 Mozambique, 1997), land use rights can be allocated providing no prior usage or if the
147 requester can prove their use for at prior ten years. However, recent land conflicts have
148 emerged whereby companies have obtained land rights from often-inhabited areas, leading
149 to land and resource conflicts (Bleyer et al., 2016; Zaehringer et al., 2018). The Mozambican
150 Land Law (Government of Mozambique, 1997) has legal procedures whereby farmers must
151 be compensated by means of an agreed payment or relocation. For example, the
152 “Regulamento sobre o Processo de Reassentamento” (Decree no. 31/2012) states that the
153 quality of life has to be maintained or improved when resettlement takes place
154 (Government of Mozambique, 2017). The National Land Policy also has a specific objective
155 for ‘promotion of private investment in ways that do not harm local interests’, though there
156 are no clear mechanisms to achieve this (German et al., 2016). However, in practice
157 outcomes are largely unsatisfactory (Kaarhus, 2018; Vermeulen and Cotula, 2010).

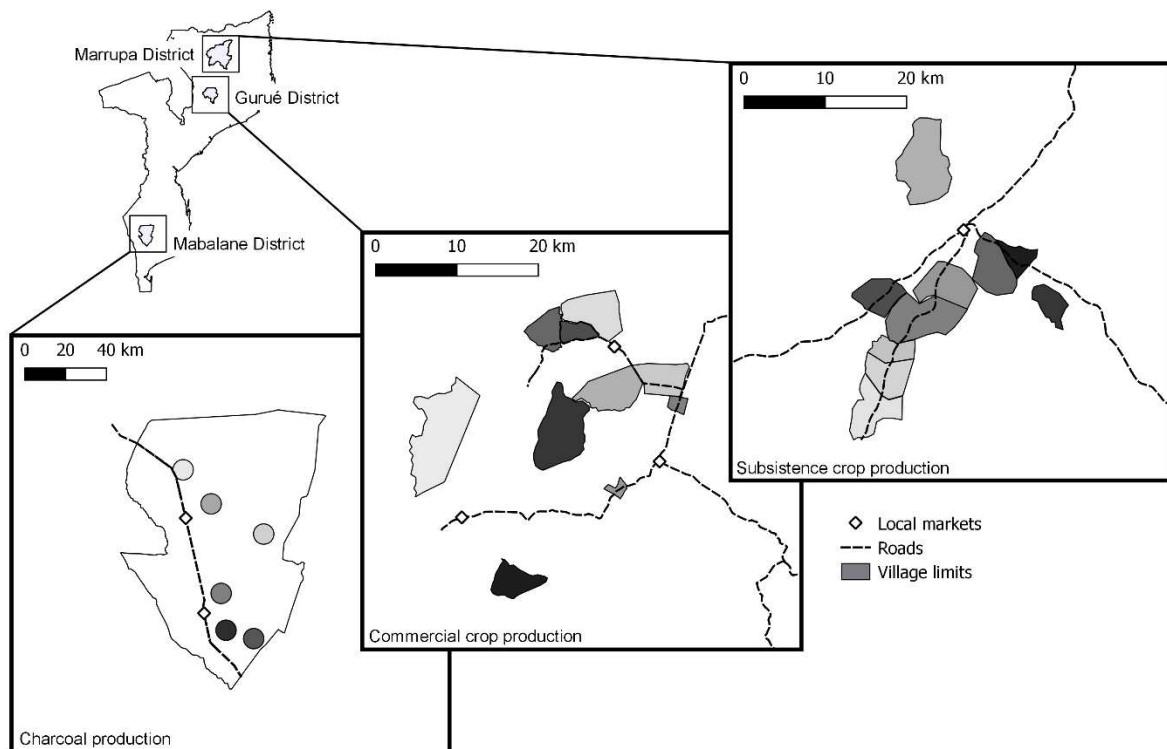
158 **2.1.2. Contemporary land use histories across the study sites**

159 For this study, twenty-seven villages were studied in Gurué (n=10), Mabalane (n=7) and
160 Marrupa (n=10) Districts, in Mozambique (Fig. 1). Gurué District, in Zambezia Province, is
161 one of the main commercial crop producing regions in Mozambique and smallholder
162 commercial agriculture is a dominant production mode in the region; the most important
163 commercial crops are soya, pulses, sunflower and sesame (Government of Mozambique,

164 2010), which are mostly grown for the export market. More than 90% of the District's
165 agricultural land is cultivated by smallholders (estimated holding size of 1.5-2.5 hectares),
166 using few or no exogenous technological inputs. Almost 7% of the region's agricultural area
167 is leased by the private sector (Government of Mozambique, 2015), giving rise to increasing
168 land conflicts between local smallholders and large-scale commercial operators (Zaehringer
169 et al., 2018).

170 Mabalane District, in Gaza Province, is currently the major charcoal production area
171 supplying Maputo city, where charcoal is the dominant source of domestic urban energy.
172 Rural production is dominated by non-local, large-scale operators who typically employ
173 migrant producers and retain 92% of profits (Baumert et al., 2016). Charcoal production is
174 also a dominant income generating strategy for rural households in Mabalane, whereby
175 local households engage in small-scale charcoal production, producing fewer than 100 sacks
176 per month (ibid). Following a von-Thunen pattern of forest extraction (Ahrends et al., 2010),
177 the area of land used for charcoal production in Mabalane has grown with increasing
178 distance from Maputo city (Luz et al., 2015).

179 In Marrupa District, Niassa Province, sparse population densities, isolation from the rest of
180 the country, and a lack of basic infrastructure have led to historically underdeveloped
181 commercial markets (agricultural, forest or otherwise) (ORGUT Consulting, 2016; Temudo
182 and Silva, 2011). Some cash crop production exists in the region (e.g. tobacco), and
183 households sell surplus agricultural produce. The dominant land-use pressure in the study
184 site originates from population growth, driving the expansion of subsistence cultivation, as
185 opposed to agricultural expansion for cash crops (Temudo and Silva, 2011). Smallholder (< 5
186 ha) low-input rain-fed subsistence cultivation systems, with long fallow cycles, is the
187 dominant land use, where maize is the staple crop (Åkesson et al., 2009; ORGUT Consulting,
188 2016; Temudo and Silva, 2011). Given the low population densities in the region, land
189 scarcity does not generally exist, although private investments are seen to be increasing
190 local land conflicts (Künnemann and Monsalve Suárez, 2013; Matavel et al., 2011; Mousseau
191 and Mittal, 2011).



192

193 **Fig. 1: Village locations in each case study site and spatial patterns of land use**
 194 **intensification gradients: Charcoal production in Mabalane District; Smallholder**
 195 **commercial crop production in Gurué District; Subsistence crop production in Marrupa**
 196 **District. Darker shades of grey indicate villages with higher levels of land use**
 197 **intensification (see results section 3.1), lighter shades of grey indicate villages with lower**
 198 **levels of land use intensification.**

199

200 **2.2. Data collection**

201 Between 2014 and 2015, quantitative and qualitative social and geospatial data were
 202 collected from the 27 studied villages: Mabalane was sampled during May-October 2014;
 203 Marrupa May-August 2015; Gurué August-December 2015. Villages had similar vegetation
 204 types, infrastructure, climatic conditions and dominant land use activities, relative to each
 205 case-study site in which they were located (Baumert et al., 2016; Luz et al., 2015;
 206 Mahamane et al., 2017; Smith et al., 2019; Vollmer et al., 2017; Woollen et al., 2016;
 207 Zorrilla-Miras et al., 2018). We based village selection on stringent criteria to ensure
 208 comparability between villages (e.g. similar baselines), to enhance the validity of the LUI
 209 chronosequence (see SI.2 for village selection criteria).

210 The aim of the village sample was to choose villages with comparable infrastructure in each
211 site, to enable comparisons between villages. However, post sampling we found an anomaly
212 village in Marrupa that had year-round access to improved water, and consequently the
213 MDWB indicator for this one village was substantially higher. As such, in our results we
214 present the results of the nine comparable villages. See SI.5 for a table of the village-level
215 wellbeing data and LUI indices.

216 **2.2.1. Social data**

217 A household list was compiled in each village, whereby households were defined as people
218 'eating from the same pot'. We conducted participatory wealth rankings with key
219 informants. Information from the participatory wealth rankings were used to identify local
220 indicators of wealth and wellbeing (Chambers, 1994) and the wealth rankings were used to
221 select participants for the household survey, using a stratified random sampling approach
222 (Laws et al., 2013). Household surveys (n=1576) were designed to collect data within sites,
223 and identified demographic information, ownership of agricultural land, involvement in key
224 income generating activities (e.g. charcoal production, commercial crop production) and
225 responses to the wellbeing indicators (Table 1). We conducted semi-structured interviews
226 and trend analyses with key informants to determine village characteristics, infrastructure,
227 resource access, distances to main markets and roads, prevalent income generating
228 activities and historical narratives of land use. We also conducted focus group discussions:
229 In Gurué, with soya producers to triangulate market access information from the village
230 survey; in Marrupa, with smallholder farmers to determine the main subsistence crops
231 grown; in Mabalane, with charcoal producers, charcoal associations and village committee
232 members to determine a village's charcoal production history and market access (Baumert
233 et al., 2016).

234 **2.2.2. Geospatial data**

235 We combined participatory mapping, GPS tagging and high-resolution google earth imagery
236 to determine village limits. In Gurué and Marrupa, local leaders defined village limits using
237 landscape features and by tagging physical locations of limits using a GPS. In Mabalane
238 village limits were less rigidly defined, so instead we use a 5 km buffer around village
239 centres (Woollen et al., 2016). We estimated woodland cover using maps of aboveground
240 woody biomass constructed from ALOS PALSAR 2 radar backscatter data from late 2014
241 (Ryan et al., 2012). Woodland was defined as pixels where biomass exceeded 10 Mg C ha⁻¹,
242 as this threshold is suitable to distinguish woodlands from other non-wooded land cover
243 types in an African context (McNicol et al., 2018; Ryan et al., 2012). Mapping and woodland
244 quantification was carried out using QGIS software (v 2.18.3, 2017).

245 **2.3. Data analysis**

246 The data analyses comprised a three-step process. The first was the construction of a
 247 MDWB index using social data collected through the household survey, and creation of two
 248 subsequent measures of the MDWB index, including village destitution headcounts and
 249 inequality (section 2.3.1). The second was the creation of multidimensional LUI gradients for
 250 each of our three study sites, which combined social data from the household survey and
 251 geospatial data of village-level measures of aboveground woody biomass (section 2.3.2).
 252 The third process modelled the relationships between our MDWB measures and LUI
 253 gradients (section 2.3.3).

254 **2.3.1. Multidimensional wellbeing index**

255 We use a multidimensional concept of wellbeing, as there is a need to examine more than
 256 just income when measuring progress in development and poverty reduction (Alkire and
 257 Santos, 2013; Fisher et al., 2013; Nussbaum and Sen, 1993). We adopt the Alkire-Foster
 258 methodology for ordinal variables, which underpins the Multidimensional Poverty Index
 259 (MPI), an international measure of poverty used in the United Nations Human Development
 260 Reports (Alkire et al., 2015; Alkire and Santos, 2013). The MPI encompasses numerous
 261 indicators that reflect the multiple deprivations experienced by people across dimensions of
 262 health, living standards and education.

263 Wellbeing indicators were selected by triangulating participatory wealth rankings results
 264 and a structured secondary literature review (for full methodology see Vollmer et al., 2017).
 265 The MDWB index comprised 15 indicators of wellbeing, grouped across 3 dimensions (Table
 266 1). Wellbeing indicators were counted and each dimension weighted equally. The MDWB
 267 index was normalised, ranging from 0-1, where 1 is the highest MDWB possible.

268 To explore the depth of destitution faced by households, our MDWB index comprises cut-off
 269 lines within each wellbeing indicator, which distinguish the poor and the destitute, as
 270 described by Alkire and Seth, (2016). Following Vollmer et al., (2017) we define a household
 271 as multidimensionally destitute (hereafter 'destitute') if they are considered destitute in at
 272 least 4 indicators, across at least 2 dimensions. In reference to our MDWB index, a
 273 household is considered destitute if their MDWB score is 0.7 or less. The destitution
 274 headcount denotes the percentage of the village population below this MDWB cut-off. To
 275 assess inequalities of MDWB within villages, we examined the village-level Gini coefficient of
 276 the MDWB index.

277 **Table 1: Multidimensional wellbeing components (adapted from Alkire and Seth, 2016;**
 278 **Vollmer et al., 2017).**

Dimension	Wellbeing indicator	A household is considered destitute if ...
-----------	---------------------	--

Human capital	Water source	All household members do not have year-round access to improved water sources, in accordance to the MDG guidelines
	Distance to water source	The time to collect water exceeds a 60 minute round trip
	Sanitation	All household members do not have access to a lavatory (e.g. defecate outside)
	Infant mortality	A child under 5 has died within the household
	Medical diagnosis	No diagnosis (from traditional or modern) was acquired for household members
	Medical treatment	No product (traditional or modern) was received for household members
	Medical affordability	No household member can afford treatment, or affords treatment with a lot of difficulty
	Child education	No child (of schooling age) has received compulsory education
	Household education	No household member has achieved post-compulsory education
Social capital	Access to services	No farmer services, credit or advice were received by any household member

	Food security	Any household member has experienced food insecurity
Economic capital	Housing material: roof	The roof is built using unimproved materials (e.g. grass roof)
	Housing material: wall	The walls are built using unimproved materials (e.g. no bricks used)
	Housing material: floor	The floor is made from unimproved materials (e.g. bare floor)
	Asset ownership	All household members own no assets (e.g. mobile phone)

279 **2.3.2. Multidimensional land use intensity gradients**

280 We use the conceptual framework proposed by Erb et al., (2013) to define our
281 multidimensional LUI gradients (Please see SI.1 for the conceptual framework schematics).
282 Erb et al., (2013) suggest “land-based production systems embedded within a territory
283 should be at the centre of the research on land-use intensity” (p 467). Their framework
284 integrates three dimensions: inputs to the production system (e.g. of land, labour or
285 technology), outputs from the production system (e.g. products), and modifications to
286 system properties and functions (e.g. to soil quality, biodiversity and carbon stocks). LUI is
287 therefore an emergent property of a bundle of land use and landscape changes.

288 Understanding the temporal dynamics of ES feedbacks and trade-offs remains a challenge
289 (Bennett et al., 2009), so in order to understand our observations in time, the LUI gradients
290 are space-for-time substitutions that assume within each case-study area, individual study
291 villages are on the same pathway of LUI, where individual villages each represent a different
292 point along the space-for-time continuum. For the Gurué and Marrupa cases, we proxied
293 chronosequence LUI gradients by constructing a linear index from the site-specific
294 measurements of inputs, outputs and system-level modifications, using principal
295 component analysis (Vyas and Kumaranayake, 2006) (please refer to SI.3 for the LUI
296 measurements used in each study site, and SI.4 and SI.5 for the associated PCA results). In
297 Mabalane we use a chronological gradient as a proxy for LUI, as described by Baumert et al.,
298 (2016) (Please refer to SI.5 for the ordinal indices for the LUI gradient). Qualitative recall

299 data on historic changes in land use strengthened the inference from our proxy
300 chronosequence LUI gradients.

301 Commercial crop production (Gurué)

302 LUI measurements for this site were scaled to the household, as qualitative information
303 from the village surveys indicated that agricultural expansion was driven by households
304 increasing their production of commercial crops, as opposed to growth in local populations.
305 The LUI indicators focus on the intensification of the four main commercial crops in the
306 region: soya, pulses, sunflower and sesame. Critical inputs for increased land use intensity
307 were land (mean hectares (ha) per household (hh)) and labour (percentage of households
308 producing commercial crops). Outputs were measured as the amount of cash generated
309 from commercial crop production (MZN/hh). According to village narratives, households
310 increased their production by clearing woodland, thus system alterations were attributed to
311 the expansion of agricultural land replacing woodland, and is measured as the area of
312 woodland per household (km²/hh), within the village limits (please refer to SI.3 for the LUI
313 measurements used in each study site, and SI.4 and SI.5 for the associated PCA results).

314 Charcoal production (Mabalane)

315 Each village represents different intensities of charcoal production. Inputs to the system
316 involve labour, and some mechanisation (e.g. chainsaws). All producers use the same kiln
317 technology, in the form of inefficient earth mounds. Charcoal is an income generating
318 activity, therefore outputs were cash. Selective harvesting of large hardwood species (e.g.
319 *Colophospermum mopane*) was the dominant production practice, as opposed to clear-
320 cutting, thus system alterations are attributed to woodland degradation (Ndegwa et al.,
321 2016).

322 Intensification of charcoal production followed a nonlinear extraction pattern: villages with
323 longer histories of charcoal production reported that with a decline in suitable charcoal
324 trees, large-scale operators moved to new areas for production (Baumert et al., 2016).
325 Subsequently, inputs (labour and mechanisation) and outputs (cash) were highest in peak
326 villages, but comparable in early and late villages. Chronologically, land use intensifies
327 linearly (e.g. lower to higher intensification over time). However, nonlinear production
328 systems, such as unsustainable charcoal production, create challenges when applied to Erb
329 et al's., (2013) framework, as the framework implies linearity with increasing inputs and
330 outputs to the production system. Therefore, rather than using input, output and system
331 alteration measurements, we use a chronological gradient as a proxy for LUI, as described
332 by Baumert et al., (2016) and Woollen et al., (2016) (please refer to SI.5 for the ordinal
333 indices). The chronological proxy makes some assumptions about the LUI measurements: 1)
334 The strength of the market influences the rate of production, whereby peak villages have
335 the highest levels of inputs (more people producing charcoal in the village) and outputs

336 (more income generated); 2) Villages with longer production histories have higher system
337 alterations, as cumulatively over time more trees have been felled. A chronological gradient
338 also circumvents some challenges with measuring charcoal-driven woodland degradation at
339 the landscape level, as it is difficult to discern tree felling for charcoal from other woodland-
340 resource extraction practices (e.g. harvesting of poles and firewood) (Barreda-bautista et al.,
341 2011; Ndegwa et al., 2016).

342 Subsistence crop production (Marrupa)

343 Measurements are relative to the village level, to account for the population pressures
344 driving agricultural expansion. Input measurements included labour (hh/km²) and the total
345 area of land under cultivation within the village (ha). Outputs were measured as the total
346 amount of maize (the main staple subsistence crop) produced for consumption (kg).
347 According to village narratives, subsistence agricultural land is created through the clearing
348 of woodland within village limits. System alterations were thus attributed to the expansion
349 of agricultural land replacing woodlands and measured as the woodland cover (%) within
350 the village limits (please refer to SI.3 for the LUI measurements used in each study site, and
351 SI.4 and SI.5 for the associated PCA results).

352 **2.4. Statistical analyses**

353 This research attempts to address a complex issue, which necessitates linking two distinct
354 and multidimensional measures, operating at different levels (in this case at the village and
355 household level). This requires relatively advanced modelling approaches, such as the
356 Bayesian multi-level models, for analysing complex and multi-level issues (Mostafa, 2016;
357 Green and Worden, 2015). Analysis of mixed-scale data with traditional regression or
358 ANOVA violates the independence assumption and nested nature of our data (Burkner
359 2017). Hence we apply a two-step process to conduct multi-level models fitted within a
360 Bayesian framework using Markov Chain Monte Carlo (MCMC) sampling.

361 MDWB was measured at the household level, whilst LUI is measured at the landscape-level.
362 In the first step we fitted models that predict household-level wellbeing as a function of
363 household wealth, the village in which the household was situated, and the LUI measure of
364 that village. We used these models to post-stratify predictions of mean MDWB at the village
365 level (i.e. predictions weighted for the observed distribution of wealth classes present in
366 each village). This village-level average of household-level MDWB incorporates the different
367 distributions of wealth across different villages, which may themselves be products of land-
368 use, so is a more appropriate quantity to use to examine the relationship with LUI than
369 household wellbeing conditional on wealth. In the second step, we therefore fitted village-
370 level regressions of predicted mean village MDWB as a function of LUI.

371 We modelled household-level MDWB separately in each of the three case-study areas,
372 fitting multilevel models with Gaussian errors. In each case, the response variable was the

373 MDWB index and the models included a categorical variable indicating the wealth rank of
374 the household and one or more indices of LUI. For Gurué the model included two
375 continuous indices of LUI derived from principal components analysis (PC1 = an index of
376 commercialisation, PC2 = an index of agricultural expansion for commercial agriculture). For
377 Mabalane the model used an ordinal index designed to reflect a chronological progression in
378 the intensity of charcoal production. For the purposes of modelling, this was treated as
379 reflecting a continuous underlying latent variable. For Marrupa the model used a continuous
380 index of LUI derived from principal components analysis (PC1 = an index of agricultural
381 expansion for subsistence agriculture). In all cases, the models also included random
382 intercepts for wealth rank nested within village to account for the grouping structure of the
383 data and the differences in the criteria used to assign wealth ranks within each village. We
384 placed uninformative uniform priors on the beta coefficients and half-Student t priors with 3
385 degrees of freedom and a scale of 10 on the group-level and residual standard deviations.
386 The models were fitted within a Bayesian framework using Markov Chain Monte Carlo
387 (MCMC) sampling via the brms package version 2.0.0 (Bürkner, 2017) and rstan version
388 2.16.2 (Stan Development Team, 2018). Four MCMC chains were run in parallel for 2,000
389 samples each, with the first 1,000 samples in each chain discarded as warm-up.
390 Convergence was judged by visual inspection of trace-plots and calculation of Gelman-Rubin
391 statistics, where $r < 1.01$ was taken to indicate adequate convergence (Gelman et al., 2013).

392 As households were selected for inclusion in the study based on a stratified sampling
393 scheme, with different sampling intensities within each wealth stratum we carried out
394 poststratification to derive village-level predictions of mean MDWB, destitution headcounts
395 and Gini coefficients (Gelman and Little, 1997). Model-based predictions were made for
396 every household present within each of the study villages, based on the original sampling
397 frame, and the three village-level metrics were calculated directly for each MCMC draw of
398 these predictions. We then fitted village-level linear regression models for each metric to
399 each of the village-level predictions to quantify their relationships to LUI, using the same
400 indices as for the household-level models.

401 To quantify the association between LUI and individual components of the MDWB index
402 (Table 1), and distances to markets, we conducted spearman's correlations. All analyses
403 were performed in R, version 3.5.0 (R Core Team, 2018). The annotated R-code for our
404 models can be found in the supplementary materials.

405

406 **3. Results**

407 **3.1. Land use intensification processes and market access**

408 Fig.1 shows the spatial distributions of LUI, where darker shades of grey indicate villages
409 with higher intensifications of land use. Supplementary information (SI.5) provides
410 individual village LUI indices (PCA scores and ordinal indices).

411 **3.1.1. Smallholder commercial crop production**

412 The land use narrative in Gurué was one of agricultural expansion for commercial
413 agriculture, driven by increasing degrees of agricultural commercialisation at the household
414 level. Principal component analysis (PCA) displayed two coexisting components. The first
415 component (PC1) explained 66.7% of the variability, representing household transitions
416 from lower to higher degrees of commercialisation. The second component (PC2) explained
417 24.8% of the variability, denoting the expansion of agriculture into forested land (Fig. S4.1).
418 Data from village surveys and focus group discussions with soya producers indicated that
419 villages had well-established commercial crop markets, where producers sold directly to
420 ambulant buyers or contracted middle-men for export markets. Local markets were also
421 numerous (three identified) and were close to all villages (ranging from 0 - 17km). We found
422 that distance (km) to markets was correlated with PC1 ($\rho = 0.64$, $p = 0.04$), whereby
423 villages closer to markets had higher measurable indices of LUI, but not with PC2 ($\rho = -$
424 0.06 , $p = 0.87$).

425 **3.1.2. Charcoal production**

426 The land use narrative in Mabalane was dominated by urban-based large-scale operators,
427 who employed migrant workers to produce charcoal. Rural households engaged in small-
428 scale production alongside migrant producers and sold directly to the large-scale operators.
429 Households' access to the charcoal market was therefore closely linked to the prevalence of
430 the large-scale producers operating in each village. Villages with longer production histories
431 (higher LUI) indicated declines in these large-scale buyers. One village was located close to
432 the railway line, so households also sold direct to buyers on trains bound for Maputo.
433 Villages close to the local urban markets (< 15 km from the villages) would also sell direct to
434 urban consumers. The distance (km) to local markets and the chronological LUI gradient
435 were correlated ($\rho = 0.69$, $p = 0.08$), whereby villages closer to markets had higher
436 measurable indices of LUI.

437 **3.1.3. Subsistence crop production**

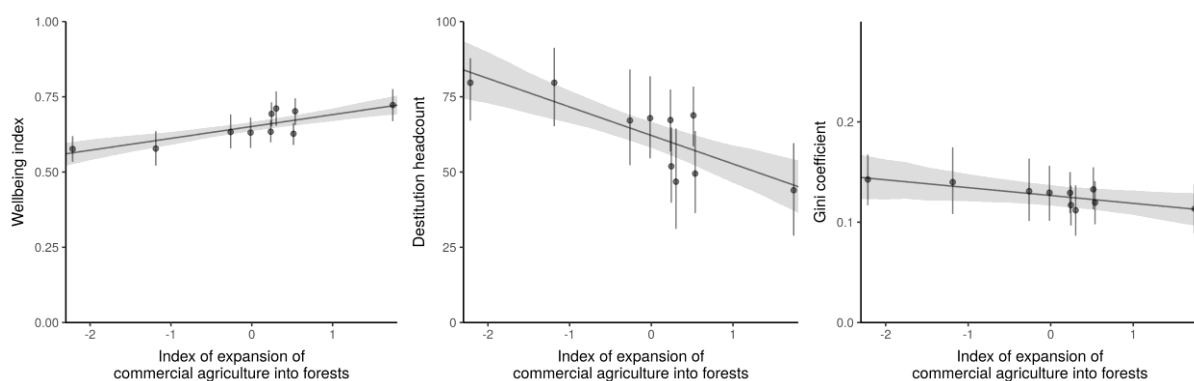
438 The land use narrative in Marrupa was one of expansion of subsistence agriculture, driven
439 by population growth. This corresponded with the PCA results, which displayed one
440 dominant component explaining 76.6% of the variation and characterised the expansion of

441 subsistence agriculture, replacing forested land (Fig. S4.1). Although small amounts of
 442 commercial cropping existed in the study sites, these markets were underdeveloped. For
 443 example, nine villages were engaged in growing tobacco, of which eight villages reported
 444 restrictive issues with low sale prices and limited profits. Producers felt exploited by the low
 445 prices and lack of alternative buyers. Two villages reported producing other commercial
 446 crops, one producing vegetables (e.g. lettuce, tomato) and the other sesame. The village
 447 surveys reported that only two villages reported intra-village markets, but all villages
 448 indicated that the municipal town of Marrupa was their main market, with distances ranging
 449 between 7 - 32km. Distance (km) to Marrupa town was correlated with the PCA score ($\rho =$
 450 0.57 , $p = 0.08$), indicating that villages closer to markets had higher measurable indices of
 451 LUI.

452 3.2. MDWB, destitution and inequality

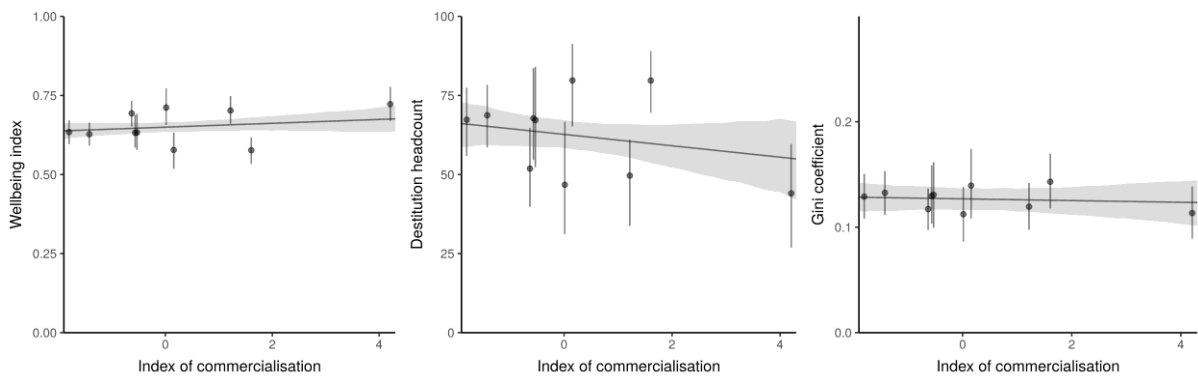
453 3.2.1. Smallholder commercial crop production

454 Household MDWB increased with the expansion of agricultural land into forested land (PC2)
 455 ($\beta_{WB-PC2} = 0.039$, CI95 = 0.024, 0.055; Fig.2a), but we observed no change with household
 456 transitions from lower to higher degrees of commercialisation (PC1) ($\beta_{WB-PC1} = 0.006$, CI95
 457 = -0.003, 0.015, Fig. 3a). There was no observable relationship between the prevalence of
 458 destitution within villages (destitution headcount) and transitions from lower to higher
 459 degrees of commercialisation (PC1) ($\beta_{DH-PC1} = -1.825$, CI95 = -4.521, 0.803, Fig. 3b),
 460 however, destitution headcounts reduced with the expansion of agricultural land into
 461 forested land (PC2) ($\beta_{DH-PC2} = -9.458$, CI95 = -13.249, -5.495; Fig.2b). Correspondingly, we
 462 observed no relationship between inequality and either PC1 or PC2 ($\beta_{GC-PC1} = -0.001$, CI95
 463 = -0.005, 0.004; $\beta_{GC-PC2} = -0.008$, CI95 = -0.016, 0.000; Fig. 2c and Fig. 3c). Disaggregating
 464 individual wellbeing indicators showed that with household transitions from lower to higher
 465 degrees of commercialisation (PC1), we only observed declines in the proportion of
 466 households considered destitute in their access to services. With the expansion of
 467 agricultural land into forested land (PC2), we observed declines in the proportion of
 468 households considered destitute in five wellbeing indicators: household education, child
 469 education, roof material, water source and access to services (please see SI.6 for the
 470 correlations between the LUI rank and all wellbeing indicators).



472 **Fig.2: Trends, with increasing intensity of expanding commercial smallholder crop**
 473 **production in Gurué District, in a) multidimensional wellbeing, b) destitution headcounts**
 474 **(proportion of village that are destitute), and c) village inequality (gini coefficient).**

475

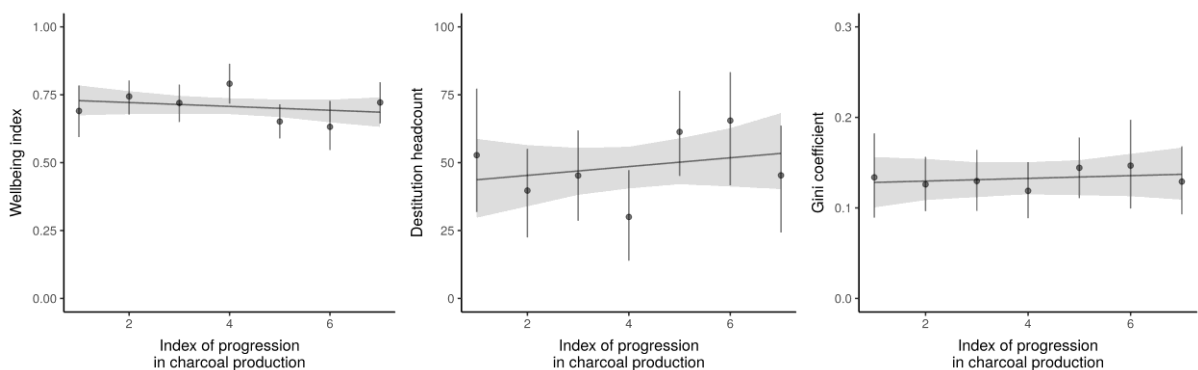


476

477 **Fig.3: Trends, with increasing intensity of smallholder commercialisation in Gurué District,**
 478 **in a) multidimensional wellbeing, b) destitution headcounts (proportion of village that are**
 479 **destitute), and c) village inequality (gini coefficient).**

480 3.2.2. Charcoal production

481 We found no change in the mean village MDWB index with intensification of charcoal
 482 production ($\beta_{WB} = -0.007$, $CI_{95} = -0.023, 0.008$; Fig. 4a). Destitution headcounts did not
 483 change with intensification of charcoal production ($\beta_{DH} = 1.619$, $CI_{95} = -2.209, 5.665$; Fig.
 484 4b), nor did level of village inequality ($\beta_{GC} = 0.001$, $CI_{95} = -0.006, 0.009$; Fig.4c).
 485 Disaggregating individual wellbeing indicators showed no declines in any individual
 486 wellbeing indicators, but we observed increases in the proportion of households considered
 487 destitute in their access to medical treatment (both modern and traditional) (please see SI.6
 488 for the correlations between the LUI rank and all wellbeing indicators).

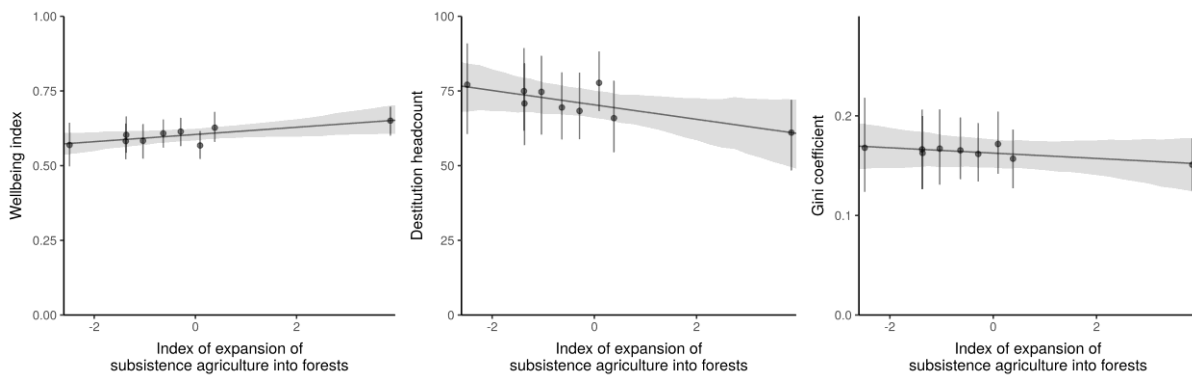


489

490 **Fig. 4: Trends, with increasing intensity of charcoal production in Mablane District, in a)**
491 **multidimensional wellbeing, b) destitution headcounts (proportion of village that are**
492 **destitute), and c) village inequality (gini coefficient).**

493 3.2.3. Subsistence crop production

494 Household MDWB increased with expansion of agricultural land into forested land (PC1)
495 ($\beta_{WB} = 0.012$, CI95 = -0.000, 0.023; Fig. 5a). However, we observed no clear relationships
496 between PC1 with either destitution headcounts ($\beta_{DH} = -2.412$, CI95 = -5.132, 0.227; Fig. 5b)
497 or inequality ($\beta_{GC} = -0.003$, CI95 = -0.009, 0.004; Fig. 5c). Disaggregating individual
498 wellbeing indicators showed that the proportion of households considered destitute in
499 terms of food security decreased with expansion of cultivation (PC1), but increased for adult
500 education (please see SI.6 for the correlations between the LUI rank and all wellbeing
501 indicators).



502

503 **Fig. 5: Trends, with increasing intensity of expanding smallholder subsistence crop**
504 **production in Marrupa District, in a) multidimensional wellbeing, b) destitution**
505 **headcounts (proportion of village that are destitute), and c) village inequality (gini**
506 **coefficient).**

507

508 4. Discussion

509 We observed increases in the MDWB index with expansion of commercial and subsistence
510 agriculture, supporting generalised claims that cropland expansion can provide a pathway
511 for smallholder-led development across SSA (Chamberlin et al., 2014; Jayne et al., 2014).
512 However this particular finding may depend on a number of context-specific factors,
513 including inclusive and equitable market access and relative land abundance, both of which
514 we examine further in our discussion. Furthermore, we found no evidence to suggest that
515 intensification of agricultural expansion affected inequalities in MDWB, suggesting that
516 there may have been relatively equitable access to benefits from agricultural expansion
517 between households in each site. These findings likely reflect the low technological input
518 and land-abundant context of Mozambique, however current trends of increasing global
519 land scarcity means that agricultural intensification processes will require technological
520 inputs (Chamberlin et al., 2014). Whilst the sites selected for this study were not directly
521 affected by conflicts rising from foreign companies acquiring land rights (though see
522 Zaehring et al., 2018), it is impossible to rule out leakage effects, such as households
523 opening up new land for agriculture as a result of their displacement, contributing further to
524 land scarcity. Land scarcity typically increases income inequalities, which is increasingly
525 pronounced in land-abundant countries such as Mozambique when local land conflicts arise
526 from large-scale land investments (Zaehring et al., 2018). Furthermore, agricultural
527 intensification can exacerbate poverty and rural inequalities if social inequalities (e.g.
528 gender, class, ethnicity) and environmental concerns are not taken into account (Ellis and
529 Maliro, 2013; Kerr, 2012), and if land tenure remains insecure (Dawson et al., 2019).

530 Contributing to current debates over the poverty reduction potential of charcoal
531 (Mwampamba et al., 2013; Schure et al., 2015; Zulu and Richardson, 2013), we observed no
532 changes in the MDWB index, destitution headcounts or MDWB inequality with charcoal
533 intensification. In this respect, our results provide no conclusive evidence to the
534 contribution of charcoal to rural households, highlighting the multi-faceted livelihoods and
535 complex socio-ecological systems in which the charcoal industry operates. That we observed
536 no improvements in any wellbeing indicators with intensification of charcoal production,
537 suggests that derived income may not have been invested locally. Indeed this is perhaps not
538 surprising, as it is urban stakeholders who have been shown to benefit financially from the
539 commercial charcoal sector (Ribot, 1998). This is a common phenomenon in countries
540 across SSA with more formalised charcoal markets (Schure et al., 2013). In the Mabalane
541 study area, less than 10 % of economic benefits from charcoal production are retained
542 locally (Baumert et al., 2016). However, elsewhere in Mozambique and Malawi households
543 invest in physical capital (e.g. improved building materials and solar panels) and human
544 capital (e.g. purchasing medicine or transport to formal healthcare services, paying school
545 fees) (Jones et al., 2016; Smith et al., 2017). Our findings are perhaps more indicative of
546 limited access to derived income and of productive investments. Finally, the observed

547 increases in the proportion of households considered destitute in their access to medical
548 treatment may also be indicative of the loss of medicinal tree species in areas with higher
549 LUI (Woollen et al., 2016), supporting observations that unsustainable charcoal production
550 undermines certain ES upon which rural households rely (Chidumayo and Gumbo, 2013).

551 Wellbeing indicators that correlated with LUI were both endogenous, where endogeneity
552 refers to a process that develops from within and is mediated by household agency
553 (Bebbington, 1999; Cleaver, 2005), and exogenous (e.g. infrastructural development). These
554 findings are indicative of the co-evolution of land use and livelihoods (Carr and McCusker,
555 2009), and align with understandings of the role of infrastructural development in inducing
556 LUI (Lambin et al., 2001). Our results also corroborate existing studies, whereby agricultural
557 intensification is associated with household food security and access to education services
558 (Delgado, 1997; Hanjra et al., 2009; Hanjra and Gichuki, 2008). The increase in destitution
559 for adult education with the expansion of subsistence agriculture is perhaps unexpected,
560 and may be related to historically lower access to educational services, yet further
561 investigation would be required for clarification.

562 The findings of our study suggest that increasing LUI did not equate to degradation of ES, to
563 the point that negative impacts on human wellbeing occurred (Diamond, 2005). Rather, we
564 find that higher rates of LUI equate to improved wellbeing, aligning with paradoxical global
565 studies that demonstrate declines in ES are associated with gains in wellbeing (Millenium
566 Ecosystem Assessment, 2005; Raudsepp-Hearne et al., 2010). For the observed
567 improvements in MDWB, and perceived lack of detrimental impacts on livelihoods in our
568 case-study sites, given the land-abundant context of Mozambique, it is also possible that
569 levels of environmental degradation have yet to reach a tipping point in our study areas
570 (Lenton, 2013), that wellbeing depends on improving food services, or that there are
571 unknown and unmeasurable time-lags that may still lead to future wellbeing declines
572 (Raudsepp-Hearne et al., 2010).

573 **4.1. Sustainable and Inclusive Markets**

574 Whilst we make inferences on the implications of LUI on MDWB, we acknowledge that we
575 cannot infer causality and we recognise that LUI may not drive our observed changes, as
576 non-ES services are essential to MDWB and livelihoods. However, as land use and these
577 other variables co-evolve (Carr and McCusker, 2009), it is unrealistic to examine them in
578 isolation from each other. The importance of markets for rural development and poverty
579 reduction is well established, as is the requirement to integrate markets into our
580 understanding of the contribution of ES to poverty alleviation (Fisher et al., 2014). Hence,
581 we discuss our observed patterns in light of differential market access across the three sites.

582 The three pathways presented here have distinct markets, creating differential
583 opportunities and outcomes for local livelihoods, particularly of the poorest. Charcoal

584 markets across SSA, for example, are ill-defined and poorly functioning, largely due to
585 punitive regulations and the informal and illicit nature of the sector (Doggart and Meshack,
586 2017; Schure et al., 2013). Without a functioning market for charcoal, resources are
587 harvested unsustainably, forest resource degradation ensues (Ndegwa et al., 2016; Rembold
588 et al., 2013; Woollen et al., 2016) and rural production markets shift to increasing distances
589 from urban demand centres (Ahrends et al., 2010). In contrast, commercial agricultural
590 markets across SSA are better supported, as their development is considered critical for
591 economic growth across the region (The World Bank, 2009). Unlike commercial agriculture
592 however, by definition, subsistence production has limited market dependence, as per-
593 capita production (and consumption) remains constant, irrespective of functioning markets
594 (Wharton, 1969).

595 We observed no change in destitution headcounts with LUI neither with the intensification
596 of charcoal production nor with the expansion of subsistence cultivation. In both cases,
597 market infrastructure was underdeveloped with access barriers (e.g. distance), and in the
598 charcoal production site households' market integration depended on the viability of the
599 resource base. Expansion of smallholder cultivation is particularly important for rural
600 livelihoods and food security of the poor, when investment and market opportunities are
601 insecure (Meyfroidt, 2018; van Vliet et al., 2012). However, our findings suggest that under
602 circumstances of limited market access, expansion alone struggles to reduce destitution as
603 access to functioning markets is critical to the ability of the poor to move out of poverty
604 (Bamire and Manyong, 2003; Ellis and Freeman, 2004; Woodhouse, 2002). Access to
605 functioning markets alongside LUI appeared integral to reducing destitution headcounts.
606 Thus, our findings support claims that access to sustainable and inclusive markets is
607 essential for pro-poor growth strategies (McMullen, 2011; Mitchell and Coles, 2011).

608 The poorest are differentially integrated into markets, due to high transaction costs and
609 market barriers (De Janvry and Sadoulet, 2000), which may be a reason why destitution did
610 not decrease with transitions from lower to higher degrees of crop commercialisation (PC1
611 in Gurué). A further explanation may also be because wealthier households have better
612 access to, and typically benefit more from farm inputs (Ellis and Maliro, 2013). However,
613 there is little opportunity for households to market products and consequently improve
614 their wellbeing if infrastructure is poorly developed (Barham and Chitemi, 2009). Indeed,
615 reduced destitution headcounts were only observed with expansion of commercial
616 agricultural land, in the presence of better-developed market infrastructure and low cost
617 barriers (e.g. nearby markets and internal market access within villages). Consequently,
618 increasing local capacities is important to enhance derived benefits from improved market
619 access (Zorrilla-Miras et al., 2018), thus equitable market access should be developed
620 concurrently if LUI is to benefit the poorest. Additionally, the spatial distributions of LUI
621 followed general von-Thunen pattern of expansion along transport routes (Ahrends et al.,
622 2010; von Thünen, 1966), particularly with intensification of charcoal production and
623 subsistence expansion, and all but one of our LUI gradients correlated with market distance.

624 Therefore, the spatial linkages between markets and LUI should be recognised alongside
625 rural development pathways, reflecting the focus of development practitioners and
626 researchers broadening into facilitating producers' market access (Shepherd, 2007).

627 **4.2. Considering Development in Land Use Intensification**

628 Land use intensifies in a linear fashion (e.g. from lower to higher intensification, over time),
629 whilst the underlying complex socio-ecological processes and feedbacks are non-linear
630 (Lambin and Meyfroidt, 2010). However, LUI research currently lacks commonly shared
631 definitions, terminology, or approach, hindering our understanding of the underlying
632 processes, patterns, dynamics and associated social and environmental trade-offs of LUI
633 (Erb et al., 2013). The LUI discourse has, to-date, been centred on agricultural
634 intensification, largely ignoring the multitude of land uses which occur within other land
635 cover types that are also subject to intensification, such as charcoal production.
636 Furthermore, livelihoods and human wellbeing are scarcely integrated into discussions and
637 framings of LUI. Instead, there has been a prevailing focus on food production, where food
638 security frames much of the discussion (Erb et al., 2013). Discussions surrounding LUI (such
639 as the land sharing, land sparing debate) have been dichotomously framed by commodity
640 production and biodiversity conservation, leading to calls for LUI to be framed around
641 notions of land scarcity and commodity production to avoid conflicts that arise from a
642 framing of food security (Fischer et al., 2014). Yet as we show in this paper, LUI and
643 livelihoods co-evolve (Carr and McCusker, 2009), thus LUI is fundamentally a social process
644 influenced by socioeconomic opportunities and capabilities (Erb, 2012), such as markets,
645 which have significant and differential implications for livelihoods.

646 Associated trade-offs from LUI (such as social-ecological, generational or between
647 development goals) are inevitable (Galafassi et al., 2017; Howe et al., 2014; Lotze-Campen
648 et al., 2010; Masron and Subramaniam, 2019). The intensification of land use underpins
649 multiple SDGs, such as SDG3: Good health and wellbeing, 6: Clean water, 7: Affordable and
650 clean energy, 13: Climate action, and 15: Life on earth. Concurrently LUI undermines
651 multiple SDGs. Agriculture in particular is a significant contributor to environmental
652 degradation and climate change through, for example, its role in global land use change and
653 associated emissions from agricultural activities and waste management (Smith et al.,
654 2014). However, the prevalent framings of LUI, most noticeably surrounding food security,
655 food production, land scarcity and biodiversity conservation outcomes (Erb et al., 2013;
656 Fischer et al., 2014), have limited scope with which to examine associated trade-offs for
657 wellbeing and livelihood outcomes, particularly when linked to ES. This is a fundamental
658 limitation, especially in the pursuit of global development goals, as ES are not only
659 important for the rural poor, but are fundamental to global development (Millenium
660 Ecosystem Assessment, 2005; Teeb, 2009).

661 High and persistent poverty levels across SSA have focussed attention towards developing
662 “pro-poor” strategies, reflecting concerns that inequality is rising as progress in income and
663 productivity are primarily realised by those with higher incomes (Anderson et al., 2006;
664 Davis et al., 2010; Dawson et al., 2016). Poverty and livelihood outcomes are often
665 overlooked in discussions around LUI (Liao and Brown, 2018; Loos et al., 2014), yet when
666 trade-offs are not considered in policy design, poorer people are more likely to be
667 negatively impacted (McShane et al., 2011). Decisions on trade-offs should focus on equity,
668 justice and fairness (Bowen et al., 2017), thus the livelihoods of the poorest should take
669 priority (Lehmann et al., 2018) in LUI discussions and decision-making. In line with such
670 arguments, findings from the expansion of commercial agriculture case-study exhibit
671 conditions under which certain levels of environmental degradation, as a result of LUI, may
672 be justifiable given the wellbeing benefits for the poor (resulting in lose-win outcomes).
673 Importantly however, where environmental degradation does not improve the wellbeing of
674 the poor, perhaps because derived benefits cannot be re-invested to sustain the production
675 system or used to improve wellbeing, such as with the charcoal production case-study,
676 incurred trade-offs are unjustifiable (resulting in lose-lose outcomes) and to be mitigated.

677 Alongside existing calls for explicit inclusion of livelihoods in on-going LUI debates (Liao and
678 Brown, 2018), we argue that framings of LUI should incorporate human-environment
679 relationships, to better reflect the realities of smallholder dominated LUI processes and
680 effectively engage with discussions around sustainable development trade-offs. Key
681 questions remain as to whether the value that humans derive from intensifying land-based
682 production systems offset the often negative system level changes and outcomes so that
683 wellbeing, particularly of the poor, can be enhanced. More research is thus required to
684 understand the impacts of LUI on both ES and wellbeing outcomes, to obtain equitable and
685 sustainable development whilst addressing inevitable trade-offs.

686

687 **5. Conclusion**

688 In this study, we have applied Erb et al.'s, (2013) integrative conceptual framework to create
689 multidimensional LUI gradients. By exploring LUI through a disaggregated livelihoods lens
690 and examining how MDWB changes with LUI, we advocate for broader research into LUI,
691 beyond that of a dichotomous and narrow framing around food production and
692 conservation, to reflect multi-functional and smallholder-dominated rural landscapes and
693 critically engage with discussions around sustainable development.

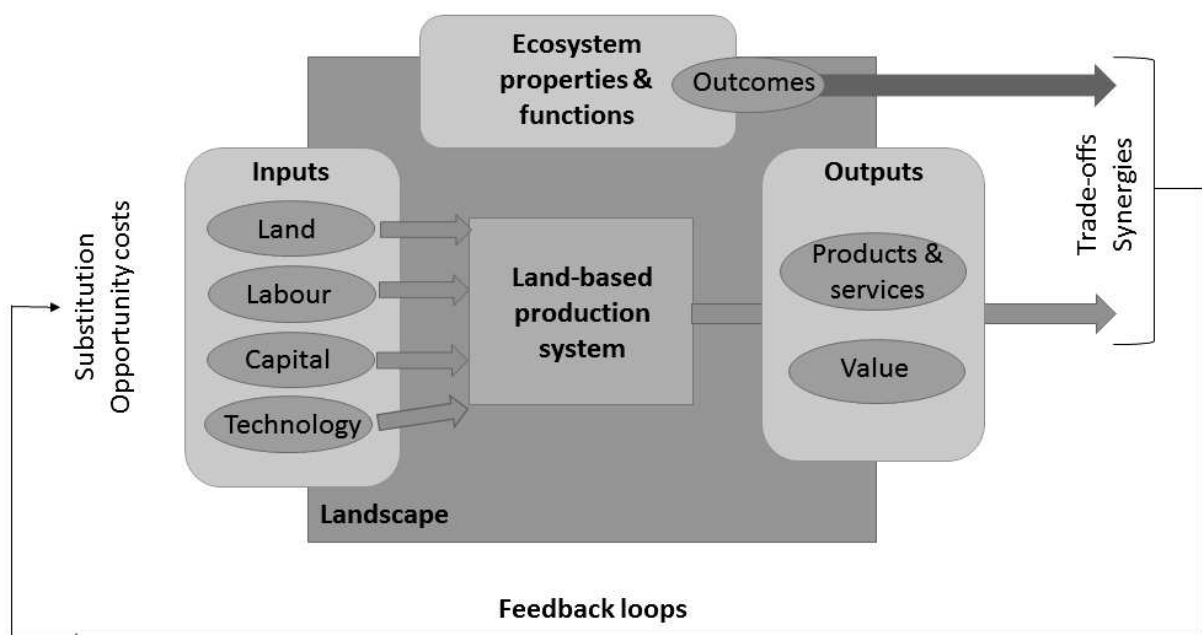
694 We found that MDWB improved with intensification of smallholder commercial and
695 subsistence agriculture, suggesting that the socioeconomic benefits from agricultural LUI
696 pathways may overcome localised environmental trade-offs in the short term, under
697 circumstances of low-input systems with relative land abundance. Under similar
698 circumstances however, MDWB outcomes did not change with intensification of charcoal
699 production. Our disaggregated analysis also showed that LUI had differential impacts for
700 different groups. Only with intensification of commercial crop production, where there was
701 higher market access, did we observe reductions in destitution headcounts. In contrast,
702 destitution headcounts did not change in the sites with reduced market access, providing
703 evidence that under such circumstance benefits from LUI struggle to reach the poorest.
704 With extractive commercial woodland resources such as charcoal, sustainable resource
705 management is key to maintaining market access, though equitable access is necessary for
706 such resources to benefit poorer households. Hence, positive wellbeing outcomes for rural
707 households require economic benefits to be retained locally and productive investment
708 opportunities made available. Sustainable and inclusive markets are therefore essential
709 developments alongside LUI to improve wellbeing for all households, to ensure that no one
710 is left behind.

711

712 6. Supplementary information

713 SI.1. Conceptual frameworks for land use intensity and measurable indicators

714 Inputs to the production system include land, capital, labour and technology (Fig. S1.1).
715 Outputs of the production system include products and services, and value. We define
716 outputs in terms of products and services as this encompasses not only provisioning
717 services, but allows for the inclusion of supporting, regulating and cultural services as
718 outputs of the production systems (Millenium Ecosystem Assessment, 2005). Value is
719 defined in the broadest sense to allow for multiple value types to be included, in recognition
720 of the complexity of ecosystem service and nature valuation (De Groot et al., 2002; Pascual
721 et al., 2012). We include value as a separate output indicator as the purpose of a production
722 system is not only to obtain products and services, but also to generate value. Thereby, LUI
723 in this paper can include increases in value as an intensification process (e.g. if you switch
724 from subsistence to commercial production the service output is the same, but the value of
725 the output may increase as a result). A production system therefore encompasses any land
726 use from which we can derive value.



727

728 **Fig. S1.1: Conceptual framework of land use intensity adapted from Erb et al., (2013). The**
729 **framework schematics show the three dimensions of land use intensity and associated**
730 **indicators of land base production systems, occurring within a landscape. The alterations**
731 **or outcomes of changes to the system properties (i.e. ecosystem properties and functions)**
732 **create trade-offs and/or synergies which feedback into the production system.**

733 Examples of applying Erb et als., (2013) LUI framework and how it can manifest itself in our
734 study areas can be described by four examples of LUI that smallholder farmers can pursue

735 to increase their crop yields, or increase income from production of commercial crops. Crop
736 yield increases can be obtained by expanding agricultural area ratios in the landscape or
737 increasing outputs in existing agricultural fields. Cropland expansion often occurs by
738 expansion into forest land; in land scarce situations this may manifest as expansion into less
739 favourable areas, such as marginal land conversion or terracing. Thus within a landscape,
740 cropland expansion is considered a form of intensification. Increasing outputs in existing
741 agricultural areas can be obtained by increasing cropping frequencies and decreasing fallow
742 length, requiring an increase in labour to land ratios. If agricultural technologies are
743 available, such as mechanised tilling, irrigation or improved crops, cost to land ratios will
744 increase. Increased income from agriculture can be obtained by producing more commercial
745 crops either through increasing yields of existing commercial crops, or by swapping
746 subsistence crops for commercial crops. Each of these approaches causes changes to the
747 system properties within the landscape that they occur, such as land cover, water quality
748 and quantity, carbon cycling, soil condition and biodiversity, and can have varied trade-offs
749 and synergies.

750

751 **SI.2. Village selection criteria**752 **Table S.2.1: Village characteristics used to determine the village selection criteria**

Village structure	Foundation year, population (number of households), number of satellite villages
Access	Road type, main market accessed, type of vehicular access
Migration	Post-war migration, current migration
Land	Ownership of secure land rights: <i>Direito do Uso e Aproveitamento da Terra</i> (DUAT)
Water	Type and number of potable water sources available
Education	Number of school, highest education levels, attendance rates
Health care	Main health issues in the village, type of health centres available
Livelihood activities	Dominant livelihood activities in the village, year activity started

753

754

755 **SI.3. Land use intensification measurements used in each study site**

756 **Table S3.1: Summary of LUI measurements used in the principal component analysis, and**
 757 **data collection methods used.**

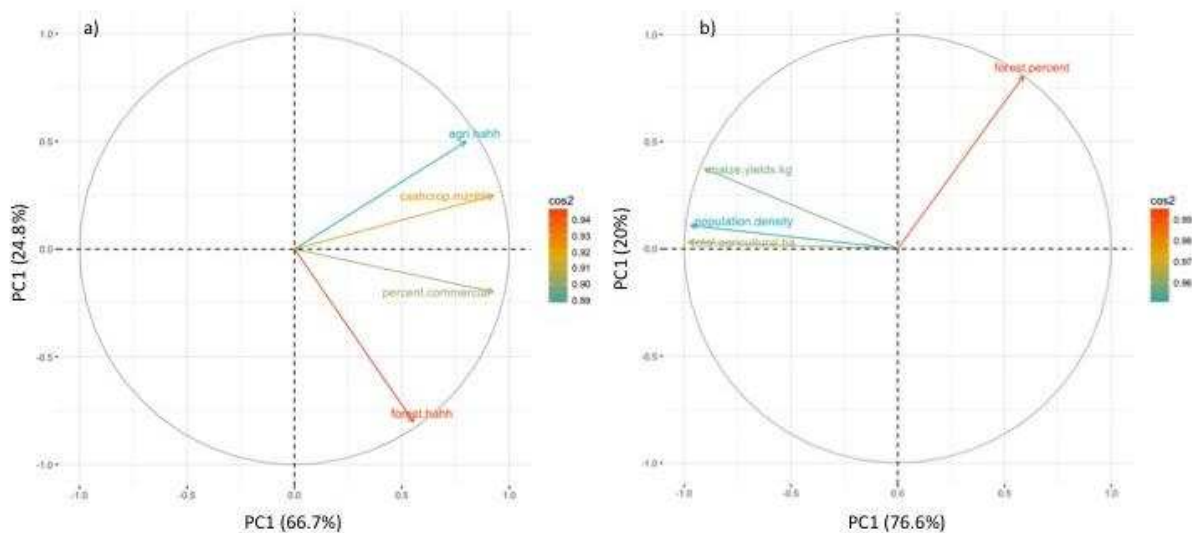
LUI	Dimension type	Indicator	Data collection method
Smallholder commercial crop production (n = 10)	Input	Proportion of the village producing commercial crops (% of households (hh))	Household survey
		Mean area of land under cultivation (ha/hh)	Household survey
	Output	Total cash outputs from commercial crops (MZN/hh)	Household survey
	System property	Area of woodland per household (km ² /hh)	Biomass maps, village limits and household list
Subsistence crop production (n = 10)	Input	Population density within village limits (hh/km ²)	Household list and village limits
		Total land under cultivation (ha)	Household survey
	Output	Total maize produced for consumption (kg)	Household survey

	System property	Woodland cover within village limits (%)	Biomass maps and village limits
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759

760 **SI.4. Principal component analysis outputs for village land use intensification**
761 **indicators in Gurué and Marrupa.**



762

763 **Fig. S4.1: Variable correlations plots of the principal component analysis outputs for land**
764 **use intensification measurements. In a) Gurué, PCA1 denotes household transitions from**
765 **lower to higher degrees of commercialisation, PC2 denotes the expansion of agricultural**
766 **land, replacing forested land. In b) Marrupa, PCA1 denotes the expansion of subsistence**
767 **agriculture, replacing forested land.**

768

769 **SI.5. Village-level wellbeing data and land use intensification measurements**
 770 **(PCA scores and ordinal indices).**

771 **Table S5.1: Village-level wellbeing data and land use intensification measurements (PCA**
 772 **scores and ordinal indices).**

District	Village MDWB index	Destitution headcount (%)	Gini coefficient	Household transitions from lower to higher degrees of commercialisation (low = -1.80)	Expansion of commercial agriculture into forested land (low = -2.22)*	Ordinal charcoal sequence (low = 1)	Expansion of subsistence agriculture, replacing forested land (low = 2.49)**
Gurue	0.59	92.09	0.11	1.61	-2.22	-	-
	0.58	95.14	0.11	0.16	-1.19	-	-
	0.64	71.21	0.15	-0.53	-0.26	-	-
	0.63	74.61	0.11	-0.57	-0.01	-	-
	0.64	68.99	0.12	-1.80	0.24	-	-
	0.70	55.90	0.10	-0.63	0.25	-	-
	0.72	50.02	0.10	0.01	0.30	-	-
	0.62	70.59	0.15	-1.42	0.52	-	-

	0.71	46.98	0.14	1.22	0.54	-	-
	0.71	43.02	0.10	4.21	1.75	-	-
Mabalane	0.68	57.89	0.17	-	-	1.00	-
	0.75	47.91	0.11	-	-	2.00	-
	0.72	57.23	0.11	-	-	3.00	-
	0.80	27.78	0.12	-	-	4.00	-
	0.64	65.10	0.15	-	-	5.00	-
	0.61	76.67	0.15	-	-	6.00	-
	0.72	39.65	0.13	-	-	7.00	-
Marrupa	0.58	79.72	0.12	-	-	-	2.49
	0.77	51.71	0.12	-	-	-	1.51
	0.56	84.51	0.17	-	-	-	1.38
	0.60	73.74	0.17	-	-	-	1.37
	0.59	75.84	0.17	-	-	-	1.04

	0.61	70.66	0.18	-	-	-	0.64
	0.61	66.96	0.16	-	-	-	0.29
	0.56	85.27	0.15	-	-	-	-0.09
	0.66	69.50	0.15	-	-	-	-0.38
	0.68	59.60	0.14	-	-	-	-3.85

773 For the expansion of commercial agriculture a lower PCA score (min = -2.22) indicates a
774 lower level of LUI. For this particular system, fewer inputs equate to fewer people producing
775 commercial crops, and less land under cultivation, fewer outputs equate to less cash
776 generated from cash crops, and fewer changes to the system properties equate to higher
777 forest cover. A higher PCA score (max = 1.75) indicates a higher level of LUI. For this system,
778 higher inputs equates to more people producing commercial crops, and more land under
779 cultivation, higher outputs equate to more cash generated from cash crops and more
780 changes to the system properties equate to lower forest cover.

781 For the expansion of subsistence agriculture, a lower PCA score (min = -3.85) indicates a
782 higher level of LUI. For this particular system, higher inputs equate to higher population
783 densities and more land under cultivation, higher outputs equate to more maize being
784 produced, and more changes to the system properties equate to lower forest cover. A
785 higher PCA score (max = 2.49) indicates a lower level of LUI. For this system, fewer inputs
786 equate to lower population densities and less land under cultivation, fewer outputs equate
787 to less maize being produced, and fewer changes to the system properties equate to higher
788 forest cover. In Fig.6 we reversed the PCA scores for model fitting and plotting, so that
789 negative PCA scores correspond to lower LUI.

790

791 **SI.6. Spearman correlation between LUI and the proportion of households**
 792 **within villages considered destitute in individual wellbeing indicator**

793 **Table S6.1: Spearman correlation between LUI and the proportion of households within**
 794 **villages considered destitute in individual wellbeing indicator**

Wellbeing indicator	Commercial crop production (Gurué)		Charcoal production (Mabalane)		Subsistence crop production (Marrupa)	
	rho	p-value	rho	p-value	rho	p-value
Water source	-0.498	0.14	0.033	0.94	-0.017	0.97
Distance to water source	-0.345	0.33	-0.314	0.56	0.527	0.14
Sanitation	-0.644	0.04	-0.429	0.41	-0.3	0.92
Infant mortality	0.316	0.37	-0.383	0.45	-0.05	0.91
Medical diagnosis	0.067	0.85	0	1	0.44	0.235
Medical treatment	-0.434	0.21	-0.131	0.8	0.099	0.79
Medical affordability	-0.675	0.03	0.2	0.71	-0.226	0.56
Child education	-0.783	0.007	-0.522	0.28	0.084	0.83
Household education	-0.89	0.0005	-0.696	0.12	0.782	0.01

Access to services	-0.539	0.11	0.086	0.91	-0.025	0.95
Food security	0.024	0.94	0.086	0.92	-0.883	0.003
Housing material: roof	-0.705	0.02	0.143	0.8	-0.114	0.77
Housing material: wall	-0.628	0.05	0.377	0.46	-0.612	0.08
Housing material: floor	-0.207	0.56	-0.371	0.49	0	1
Asset ownership	-0.158	0.66	-0.638	0.17	0.125	0.75

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