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# Permeability Prediction and Diagenesis in Tight Carbonates Using Machine Learning Techniques

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**ABSTRACT.** Machine learning techniques have found their way into many problems in geoscience but have not been used significantly in the analysis of tight rocks. We present a case study testing the effectiveness of artificial neural networks and genetic algorithms for the prediction of permeability in tight carbonate rocks. The dataset consists of 130 core plugs from the Portland Formation in southern England, all of which have measurements of Klinkenberg-corrected permeability, helium porosity, characteristic pore throat diameter, and formation resistivity. Permeability has been predicted using genetic algorithms and artificial neural networks, as well as seven conventional ‘benchmark’ models with which the machine learning techniques have been compared. The genetic algorithm technique has provided a new empirical equation that fits the measured permeability better than any of the seven conventional benchmark models. However, the artificial neural network technique provided the best overall prediction method, quantified by the lowest the root-mean-square error (RMSE) and highest coefficient of determination value ( $R^2$ ). The lowest RMSE from the conventional permeability equations was from the RGPZ equation, which predicted the test dataset with an RMSE of 0.458, while the highest RMSE came from the Berg equation, with an RMSE of 2.368. By comparison, the RMSE for the genetic algorithm and artificial neural network methods were 0.433 and 0.38, respectively. We attribute the better performance of machine learning techniques over conventional approaches to their enhanced capability to model the connectivity of pore microstructures caused by codependent and competing diagenetic processes. We also provide a qualitative model for the poroperm characteristics of tight carbonate rocks modified by each of eight diagenetic processes. We conclude that, for tight carbonate reservoirs, both machine learning techniques predict permeability more reliably and more accurately than conventional models and may be capable of distinguishing quantitatively between pore microstructures caused by different diagenetic processes.

**KEYWORDS:** permeability, neural networks, genetic algorithms, machine learning, tight carbonates, MICP, porosity, diagenesis.

## 34 Introduction

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35 The permeability of reservoir rocks needs to be measured with a high accuracy in order to  
36 maximize the efficiency of hydrocarbon production from unconventional reservoirs ([Ma and](#)  
37 [Holditch, 2015](#)). The pulse-decay method is an efficient method for measuring permeability in  
38 very tight rocks ([Rashid et al., 2017](#); [Hussein et al., 2017](#)). This technique measures the  
39 reduction in the inlet pressure of a fixed volume of gas as it passes into a low permeability  
40 sample. However, for very tight core plugs this measurement can take many hours.  
41 Furthermore, multiple measurements are required at different gas pressures in order to calculate  
42 the Klinkenberg-corrected permeability ([Zhang et al., 2013](#)). Since all tight rocks are extremely  
43 sensitive to gas slippage, this correction is extremely important if an accurate permeability is  
44 required ([Akai et al., 2016](#)). Each of these measurements is expensive, and consequently a  
45 limited number of core plugs can be measured in any given reservoir. Furthermore, tight  
46 carbonate reservoirs have a tendency to be heterogeneous, resulting from patchy development  
47 of a range of different diagenetic properties ([Al-Zainaldin et al., 2015](#); [Glover et al., 2018](#)),  
48 leading to a variability in petrophysical properties and reservoir quality over a range of scales.  
49 It is generally not possible to representatively sample and measure the permeability of  
50 heterogeneous tight carbonate reservoirs because to do so would require an unfeasibly large  
51 and expensive dataset. A quicker, less expensive and more reliable way to estimate the  
52 permeability of very tight and heterogeneous reservoir rocks would, therefore, be a valuable  
53 and welcome technical resource in the characterisation of these reservoirs.

54 In this paper, we have assessed the capability of two machine learning techniques for the  
55 estimation of the permeability of tight carbonate rocks using a limited set of input parameters  
56 that can be obtained easily, cheaply, and often routinely from core analysis measurements. The  
57 first technique is the use of artificial neural networks (e.g., [Rajasekaran and Pai, 2003](#)), while  
58 the second technique uses genetic algorithms (e.g., [Cuddy and Glover, 2002](#); [Rajasekaran and](#)  
59 [Pai, 2003](#)). The results have been compared against the predicted permeabilities from a set of  
60 seven of the best currently available theoretical and empirical permeability prediction models  
61 (equations) from the literature (e.g., [Rashid et al., 2015a](#); [2015b](#)).

62 It is not the intention of this paper to be a review of either machine learning, or of neural  
63 networks or genetic algorithms, or even a review of the application of these approaches to  
64 geophysical problems. There is a very rich literature for the former, the latter is served by a  
65 very good reviews (e.g., [Van der Baan and Jutten, 2000](#); [Sen and Mallik, 2018](#)). For neural  
66 networks alone, there is a distinction to be made between feedforward multilayer perceptron

67 network (MLPN) and radial basis function (RBF) types. The former, itself has many types,  
68 including probabilistic approaches (PNN), time delay neural networks (TDNN), convolutional  
69 neural networks (CNN), deep stacking and tensor deep stacking neural networks (DSNN and  
70 TDSNN). It is far better that we consider some of the recent applications of machine learning  
71 to petrophysical applications. While there are many possible applications, recent advances have  
72 included all aspects of petrophysics from logging, through facies determination and rock  
73 characterisation to the determination of key parameters for calculating reservoir volumetrics  
74 and permeability.

75 In logging both integrated hybrid neural network (IHNN) (Zhu et al., 2018) and Integrated  
76 Deep Learning Models (IDL) (Zhu et al., 2019a) have been implemented in order to improve  
77 the estimation of total organic carbon (TOC) significantly, allowing the characterisation of  
78 shale gas reservoirs to be improved, while Onalo et al. (2018; 2019) have used a non-linear  
79 autoregressive neural networks with exogenous input (NARX) to estimate the shear and  
80 compressional sonic travel times in well logs, finding sufficiently accurate predictions of the  
81 actual sonic well logs that many of the sonic properties including sonic porosity, Poisson's  
82 ratio were capable of being predicted.

83 Machine learning has also been used to determine the optimal parameters for reservoir  
84 characterisation. A good example of this is Zhu et al.'s recent study (Zhu et al., 2019b) of water  
85 saturation in organic shale reservoirs, where the parameters of a shale petrophysical model are  
86 calculated using genetic algorithms. The approach does not need electrical measurements as  
87 input, which makes it ideally suitable for organic shale reservoirs. The characterisation of  
88 fractures in reservoirs is also a multi-parameter problem which cannot be approached simply.  
89 A combination of genetic algorithms and back propagation neural networks (BPNN) has been  
90 found to have the ability to predict fracture zones using deep and shallow electrical logs as  
91 input (Xue et al., 2014).

92 Facies determination and petrophysical characterisation is a clear beneficiary of machine  
93 learning techniques. Back propagation neural networks and convolutional neural networks  
94 have been used to improve the estimation of total organic carbon, as well as volatile  
95 hydrocarbon and remaining hydrocarbon determinations in shale oil reservoirs (Wang et al,  
96 2019), outperforming conventional methodologies for estimating these parameters.

97 In this work, we are interested in the prediction of permeability in tight carbonate rocks and  
98 the effect of diagenesis. Lim and Kim (2004) proposed fuzzy logic and neural network  
99 approaches to the prediction of porosity and permeability in reservoirs, indicating that the  
100 approaches showed some potential for future development. Tang (2008) and Tang et al. (2011)

101 have used probabilistic neural networks to classify facies in carbonate reservoirs with some  
102 degree of success. [Zhou et al. \(2019\)](#) have combined the study of diagenesis with the use of a  
103 deep-autoencoder random forest algorithm to determine the link between different states of  
104 diagenesis and the electrical parameters (m and n values) of tight gas sandstone reservoirs,  
105 while [Zhu et al. \(2017a; 2017b\)](#) were able to produce a reasonable prediction of permeability  
106 in tight gas sandstone reservoirs using a complex combination of machine learning techniques  
107 and input from NMR data, giving results comparable to those obtained by [Rashid et al. \(2015b\)](#)  
108 with conventional permeability prediction approaches.

109 While there are many papers now available in the literature exploring machine learning  
110 methods for permeability prediction, fewer compared approaches together and also with a  
111 cohort of conventional prediction methods. In addition, there are very few which concentrate  
112 on the prediction of permeability in challenging tight carbonate reservoirs. Considering that  
113 this type of unconventional reservoir is likely to be more important in the future, and that  
114 conventional experimental determination of permeability in very low permeability rocks is  
115 complex, time-consuming and expensive, the use of machine learning could be a method of  
116 choice if it is found to be reliable. In this work we also consider the interplay between machine  
117 learning efficacy and its derived parameters with the diagenetic processes that control  
118 permeability in these rocks.

119

## 120 **Dataset**

---

121 The core plug dataset consisted of 130 samples derived from the Portland Formation, which  
122 crops out in quarries on the Isle of Portland in southern England. The samples were all sourced  
123 from either the Jordans quarry and mine, or the Fancy Beach quarry, which are all in close  
124 proximity at 50°33'10"N 02°26'25"W, and which are operated by Albion Stone. The Isle of  
125 Portland is composed mostly of Upper Jurassic marine strata with a small thickness of basal  
126 Cretaceous Purbeck Formation on top. The lowest formation to be exposed in the area is the  
127 Upper Jurassic Kimmeridge Clay, which occurs beneath Portland Harbour and Castletown and  
128 is exposed under the foot of the high northern cliffs. Above it lies the Portland Sand, which is  
129 composed largely of marls with some sandy horizons. The true Portland Stone lies above the  
130 Portland Sand and consists of the Portland Cherty Series overlain by the Portland Freestone.  
131 The Portland Freestone is a well-cemented oolitic limestone. Stone from the various beds of  
132 the Portland Freestone have historically and contemporaneously been in much demand as fine

133 building stone (e.g., St. Paul's Cathedral). The Purbeck sequence lies on top of the Portland  
134 Freestone and marks the bottom of the Cretaceous.

135 The samples used in this work have been sourced from the Base Bed and the Whitbed, which  
136 occur in the Portland Freestone, and which are dominated by sparite-cemented oolites ([Barton  
137 et al., 2011](#)). The Whitbed contains common shells, usually distributed evenly but sometimes  
138 concentrated in zones. These shells are commonly cemented. The Base bed is less shelly and  
139 commonly contains completely cemented shell moulds. The cemented nature of this rock  
140 makes it ideal building stone as well as a good, well-studied tight carbonate reservoir analogue.

141 Helium porosity  $\phi$ , characteristic pore throat size from mercury injection capillary pressure  
142 measurements  $d_{PT}$ , formation resistivity factor  $F$ , and fluid permeability  $k$  were measured on  
143 each sample at the University of Aberdeen and by oil service companies in the late 1990s.  
144 [Table 1](#) gives a summary of these measurements.

145 The helium porosity was measured on dried 1.5" core plugs using a helium pycnometer that  
146 had been built in the laboratory and optimised to allow measurements to be made with an error  
147 of better than  $\pm 0.001$ , and provides measurements with approximately five times better  
148 accuracy than typical standard automated commercial pycnometers. Porosity was also  
149 calculated by water saturation and Archimedes bulk volume, but the difficulty in fully  
150 saturating these tight carbonates resulted in discrepancies of greater than 0.05, which led us to  
151 discount using the saturation porosity measurements for permeability prediction with  
152 conventional methods. The mercury injection capillary measurements were made with a  
153 Micromeritics Autopore V, with a maximum applied pressure of 60,000 psi. Formation factor  
154 was calculated from saturated sample conductivity and saturating fluid resistivity, both  
155 measured using a Quadtech LCR meter at the frequency where the quadrature component was  
156 minimised (approximately 1 kHz) according to the methodologies set out in [Glover \(2015\)](#).  
157 The observed incompleteness of saturation in some samples leads us to believe that the  
158 formation factors and cementation exponents measured in this work may be in more error than  
159 some of the other core measurements. However, as we will see later, such errors do not translate  
160 into large errors in predicted permeability when using conventional permeability prediction  
161 equations.

162 Since it is a critical parameter in the comparative analyses carried out in this work, a  
163 considerable amount of effort was put into measuring the permeability of the samples  
164 accurately using both steady-state measurements and pulse-decay measurements. The former  
165 of these was used to measure the higher permeability samples, while the latter was used for the

166 tighter samples. Klinkenberg-corrected steady-state helium gas permeability was measured on  
 167 a bespoke gas permeability rig composing three ranges of gas pressure application and three  
 168 ranges of gas flow measurement. Pulse-decay measurements were made using helium as the  
 169 process gas according to the methodology given in Jones (1997). For both the steady-state and  
 170 pulse-decay measurements, Klinkenberg corrections were made based on at least 5 effective  
 171 pressure measurements, while measurements which did not provide the required linear plot of  
 172 apparent permeability against the inverse mean effective flow pressure were discarded.

173 A graphical summary of the dataset is shown in Figure 1. A portion (100) of the 130 samples  
 174 were used as a training data set for both of the machine learning applications, and in those 5  
 175 conventional models which required calibration of one or more constant values in their  
 176 formulae.

177

## 178 Conventional Permeability Models

179 A total of seven conventional permeability equations were implemented on the dataset to  
 180 compare with the results of the machine learning methods. The first is based on one of the  
 181 earliest permeability models proposed by Kozeny (1927), and modified later by Carman  
 182 (1937). The modified equation is commonly written (e.g., Glover et al., 2006) as

$$183 \quad k_{\text{Kozeny-Carman}} = \frac{cd_g^2\phi^3}{(1-\phi)^2}, \quad (1)$$

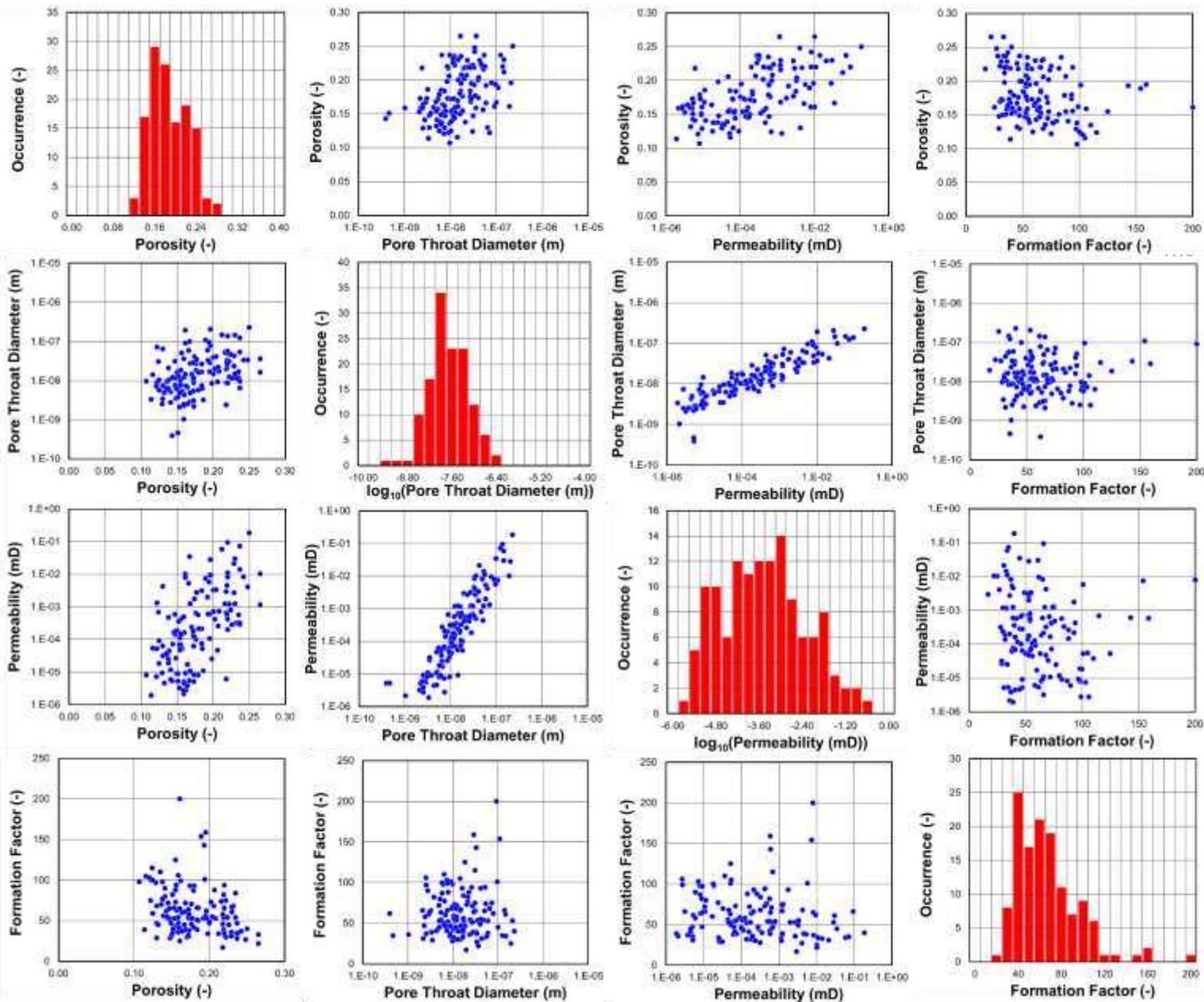
184 where  $\phi$  is porosity,  $d_g$  is the mean grain size in  $\mu\text{m}$ , and  $c$  is a constant. Though commonly  
 185 used, the Kozeny-Carman relationship has been superseded by other models due to its inability  
 186 to take account of dead-end pores (Walker and Glover, 2010). The constant is usually found  
 187 empirically, though some ‘standard’ but often erroneous values have been published.

188

189 **Table 1.** Statistical summary of the limestone dataset used in this work.

	Porosity (-)	Formation resistivity factor (-)	Characteristic pore throat diameter (m)	Permeability (mD)
Maximum	0.265	200	$2.27 \times 10^{-7}$	0.185
Minimum	0.107	17	$3.92 \times 10^{-10}$	$1.917 \times 10^{-6}$
Arithmetic mean	0.179	62.3	$2.88 \times 10^{-8}$	0.00525
Standard deviation	0.0371	29.6	$4.06 \times 10^{-8}$	0.0202
Skewness	0.264	1.56	2.85	6.73

190



**Figure 1.** Graphical view of the Portland dataset. Histograms of parameters are shown on the diagonal, while the cross-plots describe the strength of correlation between parameters. Note the logarithmic transformation applied on some parameters in this figure. **Phi** = total (He) porosity, **dPT** = pore throat diameter (m), **F** = Formation Factor, **K** = Klinkenberg-corrected pulse-decay permeability (mD).

205 The second equation is that of [Berg \(1975\)](#), which is

$$206 \quad k_B = 8.4 \times 10^{-2} d_g^2 \phi^{5.1}, \quad (2)$$

207 where the permeability is in  $\text{m}^2$ , while the porosity  $\phi$  is fractional, and  $d_g$  is the mean grain  
 208 diameter in meters. This model was derived empirically from a mixed dataset including  
 209 carbonates, but not containing tight carbonates. Consequently, this model was not expected to  
 210 perform well in tight carbonates ([Rashid et al., 2015b](#)), an expectation that was borne out in  
 211 the results.

212 A similar equation was derived empirically by Van Baaren ([Van Baaren, 1979](#))

$$213 \quad k_{VB} = 10 d_d^2 \phi^{(3.64+m)} B^{-3.64}, \quad (3)$$

214 where  $d_d$  is the dominant modal grain size in metres,  $m$  is the cementation exponent, and  $B$  is a  
 215 sorting index, which is equal to 0.7 for extremely well sorted grains, and unity for extremely  
 216 poorly sorted grains ([Glover et al., 2006](#)). Since the sorting index is unknown here, it was  
 217 treated as an empirical parameter to be found from fitting the training data.

218 Unlike the previous three empirical models, the RGPZ equation is an analytically-derived  
 219 permeability model based on electro-kinetic theory ([Glover et al., 2006](#)). This model has both  
 220 an approximate and an exact form ([Glover et al., 2006](#); [Rashid et al., 2015a](#); [2015b](#))

$$221 \quad k_{RGPZ\text{-approximate}} = \frac{d_g^2 \phi^{3m}}{4am^2}, \quad \text{and} \quad (4)$$

$$222 \quad k_{RGPZ\text{-exact}} = \frac{d_g^2}{4am^2 F(F-1)^2}, \quad (5)$$

223 where  $k$  is in  $\text{m}^2$ ,  $\phi$  is porosity,  $d_g$  is the grain size in meters,  $m$  is the cementation exponent,  $a$   
 224 is a constant equal to  $8/3$  for spherical grains, and  $F$  is the formation resistivity factor. The  
 225 approximate form can be used only if  $F \gg 1$ , which for the purposes of the model practically  
 226 means  $F > 20$ . Since all tight rocks will conform to this limitation, the approximate form of the  
 227 RGPZ equation should perform as well as the exact form.

228 [Rashid et al. \(2015b\)](#) proposed a modified form of the original RGPZ equation to  
 229 account for the fact that carbonate pores are less connected than pores in sandstones. The  
 230 resulting modified RGPZ equation for carbonates includes a multiplier  $\eta$  which is carbonate  
 231 microstructure-dependent. The addition of this multiplier essentially converts Eqs. (4) and (5)  
 232 into empirical relationships with  $\eta$  as a fitting parameter ([Rashid et al., 2015a](#); [2015b](#))

$$233 \quad k_{RGPZ\text{-carbonate}} = \frac{d_g^2}{4am^2 \eta F(\eta F - 1)^2}. \quad (6)$$

234 The multiplier  $\eta$  is expected to depend upon the extent and timing of different diagenetic  
235 processes as each seeks to modify the pore network architecture in its own way. Consequently,  
236 the value of the multiplier  $\eta$  is expected to be useful in trying to quantify the effects of  
237 competing diagenetic processes in the control of reservoir quality.

238 [Rashid et al. \(2015b\)](#) also proposed a new equation which relates permeability to the grain  
239 size  $d_g$ , and the formation resistivity factor  $F$

$$240 \quad K_{Generic} = \frac{d_g^2}{bF^3}, \quad (7)$$

241 where  $b$  is an empirically-derived fitting parameter. This permeability equation conforms to  
242 the general form of permeability equations which is discussed in [Walker and Glover \(2010\)](#).

243

## 244 **Permeability Prediction & Machine Learning**

---

245 The prediction of permeability is a relatively simple case of what is known in machine learning  
246 and statistics as regression ([Vapnik, 1999](#); [Cuddy and Glover, 2002](#)). Difficulties only arise  
247 because (i) the predicted permeabilities are very small ([Nazari et al., 2019](#)), (ii) the accuracy of  
248 input parameters, whether it be training or test parameters can be low ([Rashid et al., 2015b](#)),  
249 and (iii) the input parameters are not independent in a complex and often unknown way.  
250 Regression is a supervised learning approach where the computer program learns from a set of  
251 training input data to estimate or predict the value of a new observation of continuous variable  
252 type. The machine learning software allows the computer to reach some learned state on the  
253 basis of training data which contains both measurable parameters that may or may not be  
254 directly related to permeability, and measurement of the permeability itself ([Cuddy and Glover,  
255 2002](#)). The application of that learned state to new data results in a predicted permeability,  
256 which should be compared against independent measurements of permeability for the purposes  
257 of validation, as in this paper. However, in general use the predicted results would not be  
258 checked in such a way, or may perhaps be checked occasionally.

259 There are many types of machine learning algorithms. A non-exhaustive list of the main  
260 types would include (i) naive Bayes classifiers, (ii) nearest neighbour classifiers, (iii) support  
261 vector machines ([Cortes and Vapnik, 1995](#)) (iv) decision trees, (v) boosted trees, (vi) random  
262 forests, (vii) artificial neural networks (ANNs) ([Cuddy and Glover, 2002](#); [Yarveicy et al.  
263 \(2018\)](#)), and (viii) genetic algorithms (GAs) ([Cuddy and Glover, 2002](#)). Most of these, and other  
264 machine learning algorithms come in various different types. For example, a type of support  
265 vector machine allowing robust least-squares fitting, called the least-squares support vector

266 machine (LSSVM) is described and used by [Yarveicy et al. \(2014\)](#), and has been applied in the  
267 field of petroleum and natural gas engineering successfully by number of authors  
268 ([Eslamimanesh et al., 2012](#); [Farasat et al., 2013](#); [Rafiee-Taghanaki et al., 2013](#); [Shokrollahi et al., 2013](#);  
269 [Ghiyasi et al., 2014](#)).

270 This paper compares the efficacy of using ANNs and GAs to predict the permeability of  
271 tight carbonate rocks, in a similar way that [Yarveicy and Ghiarsi \(2017\)](#) compared the efficacy  
272 of the extremely randomised trees approach and the LSSVM approach to modelling gas hydrate  
273 phase equilibria. [Yarveicy et al. \(2018\)](#) have also carried out comparative studies using ANNs,  
274 LSSVMs, adaptive neuro-fuzzy inference systems (ANFIS) and adaptive boosting  
275 classification and regression trees (AdaBoost-CART) to predict equilibrium in carbon  
276 dioxide/water/Piperazine system, finding that the latter was by far the better approach in this  
277 particular system.

278 In addition, this paper also compares machine learning approaches with seven conventional  
279 permeability prediction equations.

280

## 281 **Artificial Neural Networks**

---

282 Artificial neural networks are models which mimic the ability of the brain to learn and solve  
283 extremely diverse problems. There are many types of such a model, and the one used here is  
284 the Feed Forward Multilayer Perceptron Network (MLPN), which is commonly used for  
285 nonlinear regression ([Hagan et al., 2014](#)). This type of network consists of an input layer which  
286 includes the input parameters. In this work three input parameters were used; the porosity  $\phi$ ,  
287 the pore throat diameter  $d_{PT}$  and the formation factor  $F$ .

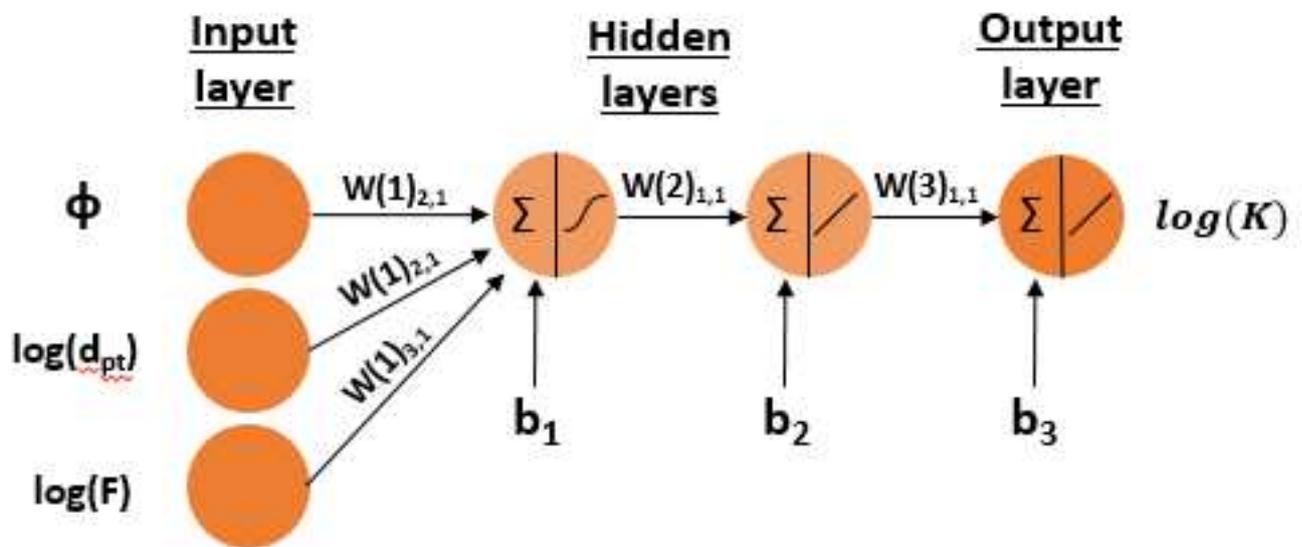
288 There is also an output layer which provides the output of the network, and which is  
289 the logarithmically-transformed predicted permeability. Between the input and output layers  
290 there exists at least one hidden layer as shown in

291 [Figure 2](#). The basic unit of a neural network is called the artificial neuron, which completely  
292 or partially composes one layer. The function of each neuron is to sum all incoming signals,  
293 together with a bias value. After the summation, a transfer/activation function is applied to the  
294 sum before the signal is transmitted to other neurons, in a different layer.

295 Before it can be deployed to predict permeability, a neural network must be trained. During  
296 this training process the network's internal model parameters are adjusted to optimize the  
297 network's output by reducing the difference (or error) between the output of the neural network  
298 and the reference data (the training permeability in our case). These internal model parameters

299 are the weighting factors connecting the neurons together and the bias values. The overall  
 300 process of training progressively reduces the error between the network output and the  
 301 reference (measured) values (Negnevitsky, 2002; Hagan et al., 2014). There are many training  
 302 methods such as stochastic learning and gradient descent learning (Rajasekaran and Pai, 2003).  
 303 The algorithm used here is Adam, one of the most efficient general purpose stochastic learning  
 304 algorithms which has been introduced and described in detail by Kingma and Lei Ba (2015).  
 305 The complexity of the neural network model is influenced by its size, i.e., the number of  
 306 neurons and hidden layers. Bearing in mind the principle of Occam’s razor, a network needs to  
 307 have enough complexity to model the patterns inherent in the data, yet not be so complex that  
 308 it attempts fitting any random noise that will occur to some extent in any dataset (Hagan et al.,  
 309 2014).

310 **Figure 2** shows the neural network structure adopted in this case study, and was optimised  
 311 by experimentation with different structures. The adopted structure is simple but also efficient  
 312 in capturing the inherent patterns in the data. It consists of a single neuron in each of two hidden  
 313 layers.



314  
 315

316 **Figure 2.** The MLPN structure which has been used in this work. There is one hidden  
 317 neuron in each of the hidden layers. The transfer function in the first hidden layer is a  
 318 sigmoidal function while the transfer function in the second hidden layer and the output layer  
 319 are linear functions.

320

321 It is known that artificial neural networks perform better when their parameters are  
322 distributed normally or quasi-normally (Hagan et al., 2014). Many of the used parameters are  
323 naturally normal or quasi normal. However, some, such as measured permeability follow a log-  
324 normal distribution. These parameters were transformed so they resembled a normal  
325 distribution more closely so that the modelling could take place. The results of the neural  
326 network modelling can be transformed back to a lognormal distribution.

327

## 328 Genetic Algorithms

---

329 Genetic algorithms optimize fit to a pattern by simulating the process of natural selection. In  
330 this process the most successful ‘organisms’ from a population survive to pass their genes on  
331 to their progeny (Cuddy and Glover, 2002). The chance of survival is related to certain  
332 characteristics of the organism which can be passed on to the next generation and/or mutated.  
333 Consequently, some of their progeny inherit those characteristics from their parents which  
334 improve their survival.

335 In the genetic algorithm method, the starting point is a population of ‘organisms’ which, in  
336 this specific case represent prediction equations. These ‘organisms’ have randomly allocated  
337 genes, which contain the information required to reconstruct each permeability prediction  
338 equation. The most successful equations, defined as those which are best at predicting the  
339 permeability of a training set of data, are allowed to partially swap their genes, in the hope that  
340 their ‘progeny’ become even more successful. Random mutations are also allowed at a  
341 probability which serves to enhance the diversity of the genetic information of the population  
342 (Aminzadeh and De Groot, 2006).

343 The genetic algorithm technique has been used successfully in the search for suitable  
344 empirical permeability prediction equations (e.g., Cuddy and Glover, 2002, Fang et al., 1992).  
345 Its particular strength is that it is able to suggest the form of a prediction equation as well as its  
346 various coefficients. In our application, the objective is to predict permeability from porosity,  
347 pore throat diameter and formation factor. The general equation for the empirical relationship  
348 that would have the ability to predict permeability from these three input parameters can be  
349 written as

$$350 \quad k = f(\phi, d_{PT}, F) = [a \phi^b] \bullet_1 [c d_{PT}^d] \bullet_2 [e F^f] \bullet_3 [g] , \quad (8)$$

351 where k is permeability. The coefficients and exponents are denoted as letters a, b, c, d, e, f and  
352 g, all of which have continuous values, and the entities represented by  $\bullet_1$ ,  $\bullet_2$  and  $\bullet_3$ , which are



383 chromosome having the highest fitness among the last generation is selected for being the  
384 solution model because it has the highest predictive power.

385

## 386 **Results and Discussion**

---

387 The full dataset of 130 samples was divided at random into two subsets. The first subset,  
388 comprising 100 samples, was called the training data subset. This was used to train machine  
389 learning techniques and to calibrate those conventional models which required tuned empirical  
390 parameters. The second subset comprised 30 samples, and was called the test data subset. This  
391 was used to test the efficacy of both the machine learning techniques and the conventional  
392 prediction equations.

393

### 394 **Conventional models**

395 [Figure 4](#) shows the permeability predicted using each of the benchmark conventional models  
396 as a function of the measured permeability. Of the conventional models, the Berg model  
397 performed the worst because this model has an empirical origin that is not calibrated for tight  
398 carbonates but for the clastic dataset for which it was originally developed. Consequently, its  
399 empirical coefficient of  $8.4 \times 10^{-2}$  is fixed. If this value were allowed to vary and to be used as  
400 a fitting parameter, it would provide an improved solution.

401 The other models provided very good results over almost 6 orders of magnitude, especially  
402 the various forms of the RGPZ model and the Generic models, which are either purely  
403 analytical (RGPZ-exact and the RGPZ-approximate models), or empirical (RGPZ-carbonate  
404 and Generic models). [Table 2](#) contains the coefficient of determination and RSME values for  
405 the training and test datasets associated with each implementation, as well as the final trained  
406 equation.

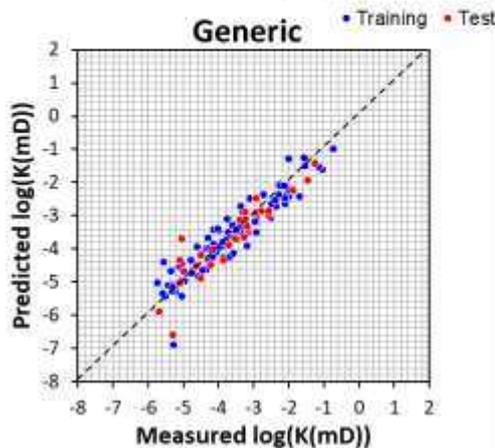
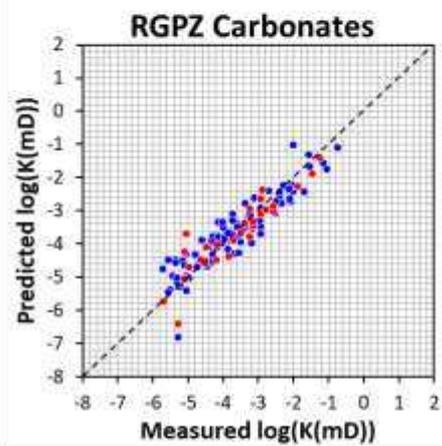
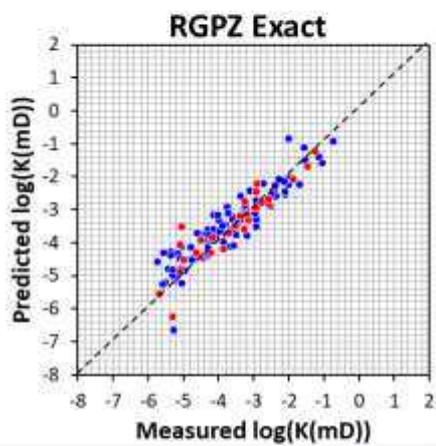
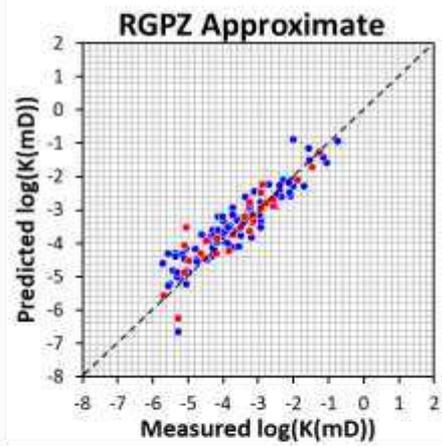
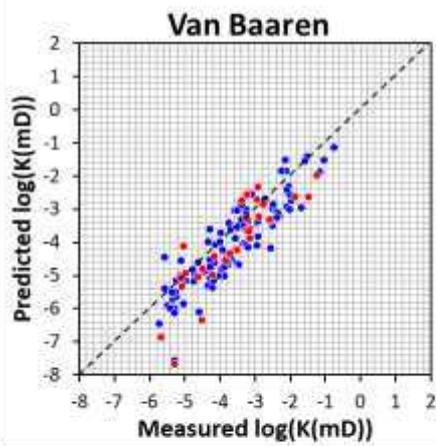
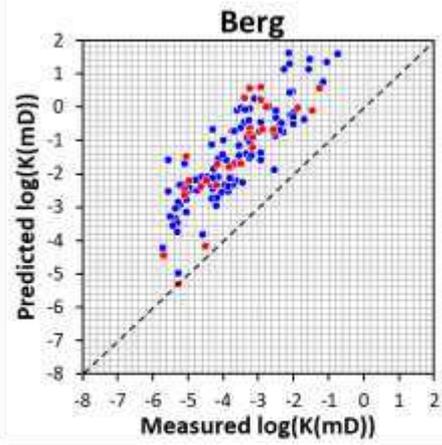
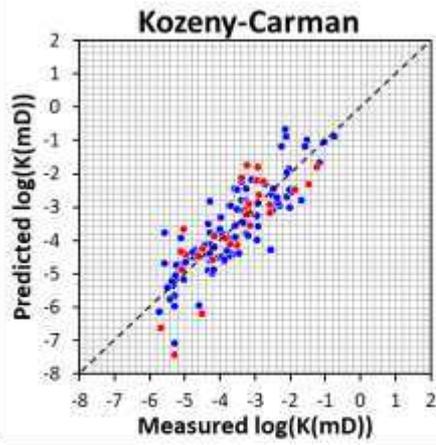
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410 **(Overleaf) Figure 4.** Permeability prediction using the seven conventional permeability  
411 prediction equations, each shown as a function of the independently measured permeability.  
412 The whole dataset is split into a training subset and a test subset. Only the Berg equation and  
413 the two original RGPZ equations do not require the fitting of at least one parameter to data.  
414 For these three, there is no distinction between the training and test data. For the others, their  
415 empirical parameters will have been fitted using the training dataset, for which the fit should  
416 be optimal, and then applied to the test data, for which the fit should be slightly suboptimal.  
417 In each case the uncertainties in each value are approximately the same size as that of the  
418 symbols.



420 Overall the quality of the fits obtained from the conventional models was considered to be  
421 very good. This is partly due to the choice of benchmarking conventional models we have used  
422 in this paper. There are many other equations, some with a very long pedigree, that would do  
423 much worse.

424 Although this paper concerns itself predominantly with machine learning, it is worthwhile  
425 taking away a lesson from the conventional model test that we have done. First, permeability  
426 prediction will only be good if it uses input parameters that are of high quality. In this work,  
427 we strove to make the highest quality measurements we could. Second, the permeability  
428 prediction equation needs to be one which is relevant to the type of rock being predicted. Hence,  
429 for tight carbonate rocks, the permeability prediction equation ought to have been developed  
430 with tight carbonate rocks in mind. Third, those permeability prediction equations that require  
431 fitting to obtain one or more empirical coefficients need to use calibration data sets that contain  
432 data for the type of rock being fitted. In our particular case this is tight carbonate rocks, the  
433 observation is equally true of other types of rocks.

434 In all cases, the conventional models which have performed most successfully on tight  
435 carbonate rocks are those which have either (i) been specifically designed for tight carbonate  
436 rocks, or (ii) have been developed, or had their empirical coefficients determined using datasets  
437 containing tight carbonate rocks. In general, good quality prediction can only be expected over  
438 a large number of orders of magnitude if the calibration data also extends over a similar range  
439 of orders of magnitude. In other words, if the rocks on which one wishes to predict permeability  
440 varies from a few  $\mu\text{D}$  to hundreds of  $\text{mD}$ , the calibration of the empirical coefficients needs to  
441 be carried out using a dataset which covers the same range.

442

### 443 **Neural network models**

444 The optimum network structure for our implementation of the neural network approach was  
445 found through experimentation, varying the number of neurons in the hidden layers and  
446 observing the response of the objective function. In this work, we found predicting permeability  
447 itself does not produce equally good results over the entire range. As some permeabilities are  
448 order of magnitude higher than others, the error associated with these far exceeds the error from  
449 lower end permeability samples, causing the algorithm to be biased toward predicting the few  
450 highest permeabilities as accurately as possible, while disregarding accurate prediction of the  
451 lower values. To alleviate this major issue, the root mean squared error (RMSE) of log  
452 transformed permeability was implemented as the objective function. This approach has the

453 advantage of equalizing the contribution of the errors from the entire permeability range. The  
454 objective function is given by Eq. (9), where it should be noted that the permeabilities are  
455 treated in the logarithmic domain because they are distributed log normally.

456

$$457 \quad \text{Objective function} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\log(K_{\text{predicted}}) - \log(K_{\text{measured}}))^2} \quad (9)$$

458

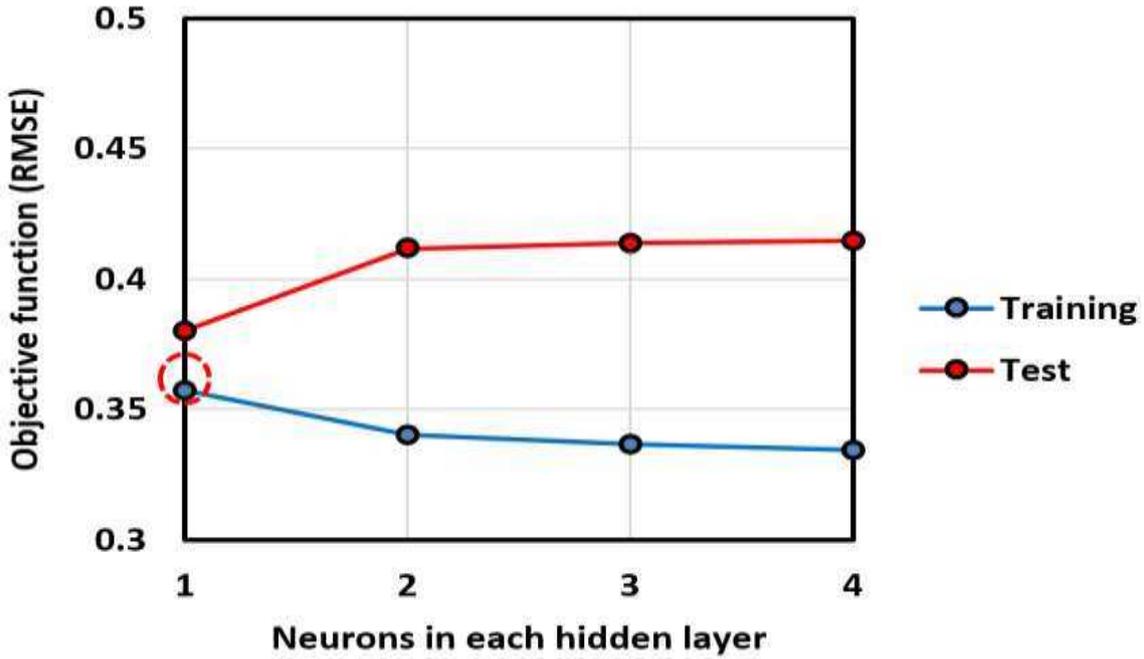
459 In our application, it became clear that using larger number of neurons improved the fit of  
460 the predicted permeability to the training subset resulting in a lower value of the objective  
461 function. However, the accuracy of the subsequent use of the neural network on unseen samples  
462 diminished. This is because the neural network was ‘over-fitting’ the training samples.  
463 Overfitting occurs due to the model being too powerful such that it exceeds the requirement of  
464 just fitting the patterns in the data, and starts to memorize the training points. This process  
465 results in the performance of the model on the training dataset increasing without limit, but  
466 such an increase in performance is counter-productive because it occurs at the expense of the  
467 model’s capability to fit unseen data (Negnevitsky, 2002). Since the training data points are  
468 normally noise contaminated, and may not adequately represent the entire population, it is  
469 critical to avoid overfitting when deciding on the size of the neural network size to be used.  
470 Often simple neural networks perform just as well, and sometimes even better than very  
471 complex neural networks.

472 [Figure 5](#) shows the results of varying the number of neurons for our application with three  
473 input parameters: porosity, grain size and formation factor. A network with one neuron in each  
474 hidden layer was chosen, giving the smallest value of objective function for the test samples.

475 The permeability prediction results from the MLPN model are shown in [Figure 6](#), where the  
476 predicted permeability is plotted as a function of the measured permeability for both the  
477 training and the test data in the dual-logarithmic domain. It is clear from this figure that the  
478 neural network provides a very good fit to the measured permeability data over six orders of  
479 magnitude.

480

481



**Figure 5.** Hyperparameter testing of network size showing that, for this application, a smaller network size is less accurate on the training data but its generalization on unfamiliar samples is more robust.

The permeability prediction results from the MLPN model are shown in [Figure 6](#), where the predicted permeability is plotted as a function of the measured permeability for both the training and the test data in the dual-logarithmic domain. It is clear from this figure that the neural network provides a very good fit to the measured permeability data over six orders of magnitude.

### Genetic algorithm models

The permeability prediction results from implementing the genetic algorithm technique are also shown in [Figure 6](#), once again with the predicted permeability plotted as a function of the measured permeability for both the training and the test data and in the dual-logarithmic domain. The predicted permeabilities using this technique are also clearly very good compared to most of the conventional models.

The genetic algorithm technique has the advantage of also providing a prediction equation that is tailored to the training dataset. In this case the genetic algorithm technique provides permeability prediction through this equation

$$k = \frac{122365341777308 \phi^{2.748} d_{PT}^{1.864}}{F^{0.6}}, \quad (10)$$

503 which can be rewritten in a generalized form

$$504 \quad k = \frac{M\phi^a d_{PT}^b}{F^c}, \quad (11)$$

505 which is consistent with Eq. (10), and where M, a, b and c are fitting parameters, whose exact  
506 values are sample-dependent and whose mean values are formation-dependent.

507 Equation (11) contains two parameters which are known to be partially correlated. The  
508 formation factor F is known to be dependent on both porosity and cementation exponent m  
509 through Archie's first law (Archie, 1942), which can be stated as  $F = \phi^m$ . This equation arises  
510 from the fact that electrical flow through a rock with insulating grains occurs only through the  
511 conducting fluid occupying the pores. The resistivity of the rock depends on the amount of  
512 fluid present, which is given by the porosity and assumes that the pores are completely saturated  
513 with the fluid, and also depends on how well that fluid is connected, which is described by the  
514 value of the so-called cementation exponent m (Glover, 2015). Consequently, Equation (10)  
515 may be rewritten as

$$516 \quad k = 122365341777308 \phi^{(2.748+0.6m)} d_{PT}^{1.864}, \quad (12)$$

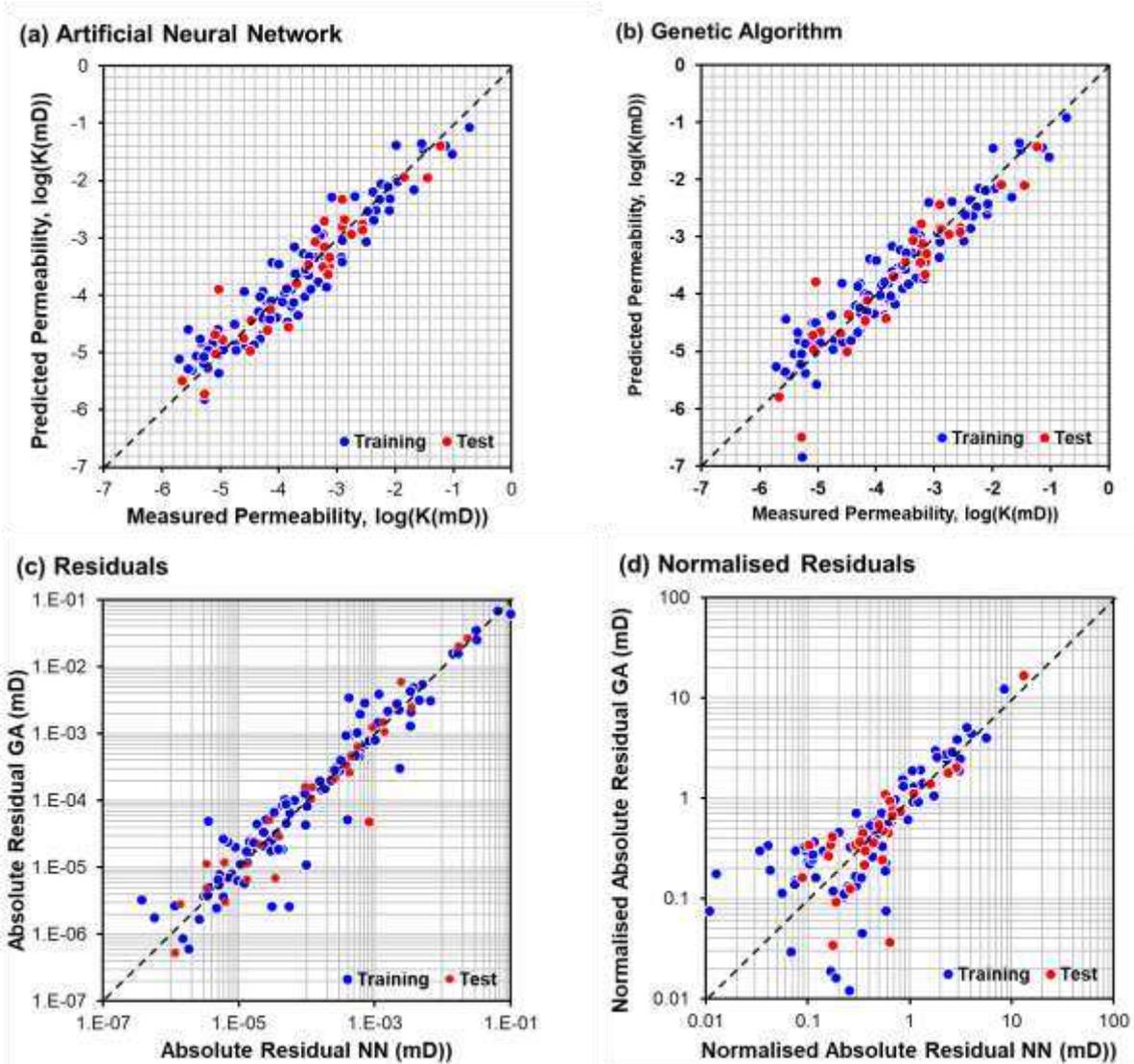
517 or generically as

$$518 \quad k = M\phi^{(a+cm)} d_{PT}^b. \quad (13)$$

519 The large value of M in Equation (12) arises solely from the fact that the input parameters  
520 for the genetic algorithm model used permeability training data in millidarcies. When  
521 converted to  $m^2$ , this value becomes 0.0122, which corresponds well to the value for the  
522 constant term in the RGPZ equation. This term is  $1/4am^2$ , and can be calculated for the mean  
523 behaviour of the dataset from the data given in Table 1. When the cementation exponent is  
524 calculated using Archie's first law from this data, we obtain a mean cementation exponent of  
525  $m=2.402$ , which provides a value of 0.0162 for the constant term. Consequently, we conclude  
526 that the M term in Equation (12) is consistent with the theoretically-derived RGPZ equation.

527 Considering the other variables in Equation (12), we find that the genetic algorithm method  
528 underestimates the porosity exponent compared to the RGPZ equation, providing  $2.748+0.6m$ ,  
529 which is equal to 4.189 when  $m=2.402$ , compared to a value of  $3m$ , which is equal to 7.206 for  
530 the RGPZ equation; an overestimation of just over 3. The genetic algorithm method also  
531 underestimates the grain size exponent, giving 1.864 compared to the RGPZ equation's value  
532 of exactly 2.

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**Figure 6.** Permeability prediction results for the trained neural network (a) and using the genetic algorithm approach (b), when applied to the training and the test datasets as a function of the laboratory measured permeabilities. (c) Cross-plot of the absolute residuals from the genetic algorithm (GA) method with that from the neural network method. (d) Cross-plot of the normalised absolute residuals from the genetic algorithm (GA) method with that from the neural network method. Almost all the predictions fall within one order of magnitude away from the actual measurements.

Equation (13) gives some insight into the controls on permeability offered by the rock matrix and its microstructure. It implies three main controls on permeability:

1. Porosity. This recognizes that the higher the porosity, the higher the permeability is likely to be. The sensitivity of permeability to changes in porosity is given by what we might now call the sensitivity factor  $a$  in Equation (13).

- 548 2. Connectivity of the pores. This recognizes that high porosities, if unconnected will have  
549 zero permeability and for any given porosity permeability will be greater if pore  
550 connectivity is higher. The sensitivity of permeability to changes in connectivity is  
551 given by what we might now call the sensitivity factor  $c$  in Equation (13).
- 552 3. The characteristic pore throat diameter. It is an important parameter for permeability  
553 since narrower pore throats act like bottlenecks for fluid flow. The sensitivity of  
554 permeability to characteristic pore throat diameter is given by what we might now call  
555 the sensitivity factor  $b$  in Equation (13).

556

### 557 **Prediction performance**

558 The error metrics for all predictions are shown in **Table 2** and in [Figure 7](#).  
559 Good fits are represented by low values of root mean squared error (RSME) together with high  
560 values of coefficient of determination ( $R^2$ ). The quality of the predictions is far from uniform.  
561 Even with their historic success on conventional sandstone reservoirs, the older empirical  
562 models were not successful on these tight carbonate rocks. The RGPZ-carbonate equation  
563 provided the best fit from the conventional approaches, with the lowest RMSE error of 0.458.  
564 The other RGPZ equations performed slightly worse as they do not include the carbonate  
565 calibration parameter  $\eta$ , but nevertheless provided acceptable predictions. The genetic  
566 algorithm solution performed marginally better than the rest of the conventional equations,  
567 with an RMSE of 0.433. On the other hand, the artificial neural network technique provided  
568 the most accurate predictions with an RMSE of only 0.380.

569 The marginal difference between the two machine learning approaches arises from only a  
570 few data points. Reference to [Figure 6](#) shows that there are two data points, one in the training  
571 dataset and another in the test dataset whose permeabilities are underestimated by one order of  
572 magnitude. The reason why these particular points are not predicted well is not currently  
573 known. However, we carried out a sample by sample comparison of both machine learning  
574 approaches, and find that the prediction error for the genetic algorithms correlates with the  
575 prediction error. [Figure 6c](#) shows a cross-plot of the absolute residuals from the genetic  
576 algorithm method (GA) against that from the neural network (NN) method. It is clear that  
577 samples whose permeability is badly predicted by one method is also badly predicted by the  
578 other, with correlation coefficients of 0.963, 0.994 and 0.962, for the training dataset, test  
579 dataset, and combined datasets, respectively. We recognise that this comparison might be  
580 biased towards large permeability measurements due to the large range of permeabilities used

581 in the study. The large range of permeabilities covered in the prediction might lead to small  
582 percentage errors in large permeabilities being given more weight than large percentage errors  
583 in small permeabilities. Hence, we also show the cross-plot of the absolute residuals normalised  
584 by the measured permeability, which is shown in [Figure 6d](#). Once again, the normalised  
585 absolute residuals correlate well, with coefficients of correlation of 0.945, 0.991 and 0.969, for  
586 the training dataset, test dataset, and combined datasets, respectively. In [Figure 6d](#), the abscissa  
587  $x=1$  and ordinate  $y=1$  represent an error in prediction of the same magnitude as the measured  
588 permeability (i.e., a  $\pm 100\%$  error) for the neural network and genetic algorithm methods,  
589 respectively. Those points with coordinates  $(x,y) > (1,1)$  represent predictions by both  
590 techniques that are very much in error, and for these the degree of bad prediction in one  
591 machine learning method is similar to that in the other. For those points where the prediction  
592 is better, i.e.,  $(x,y) < (1,1)$ , there is more scatter indicating that one method produces a better  
593 prediction than the other.

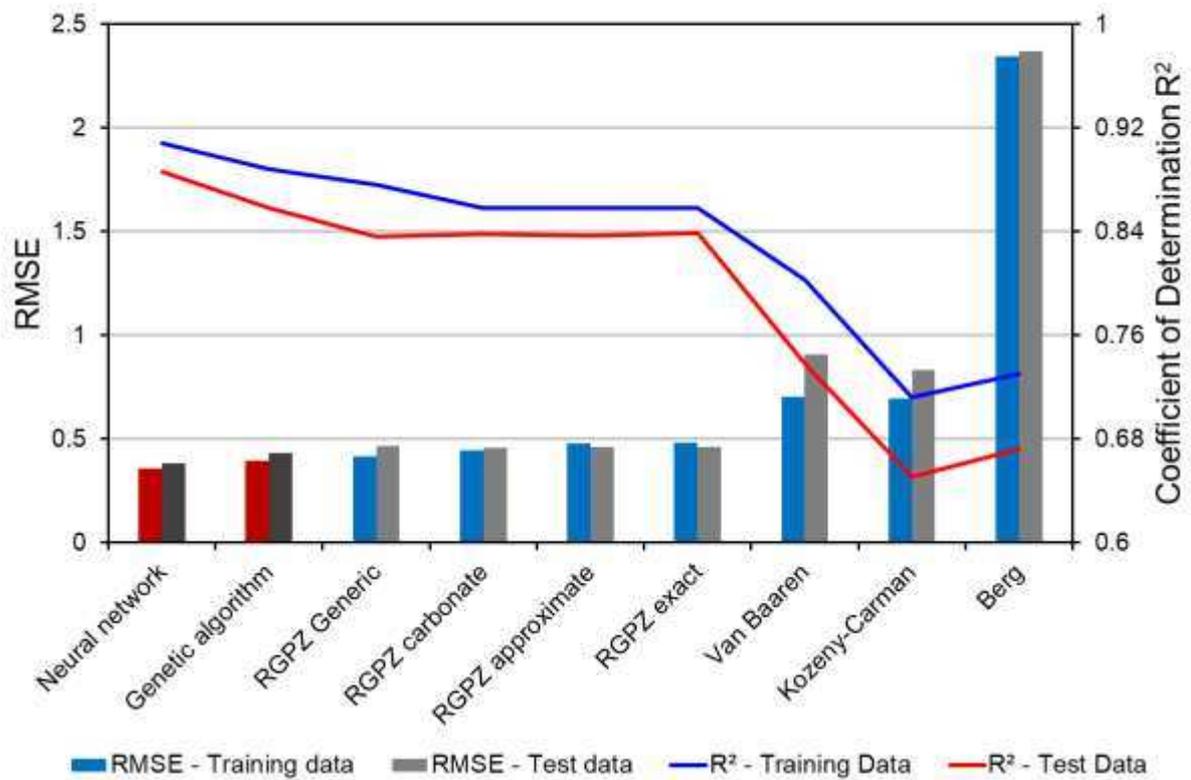
594 From the analysis above we infer that the lack of prediction accuracy is not predominantly  
595 a function of the technique being used, but due to a problem with the input parameters. There  
596 are two possibilities here: (i) that non-systematic errors in one or more of the input parameters  
597 leads to both machine learning techniques badly predicting some of the samples, and (ii) the  
598 permeability of the tight carbonate rocks depending upon some petrophysical characteristic  
599 that is not characterised sufficiently by any of the input parameters used in this study. An  
600 example of the latter might be that the permeability is dependent upon the rock wettability, but  
601 none of the input parameters include information about rock wettability. Further work would  
602 need to be carried out in order to ascertain whether this was the case.

603 We note, however, that the few samples for which the predictions were worst have a  
604 different structure from the rest of the dataset in that they have a high permeability but a low  
605 porosity and a small pore throat size. From such parameters, we infer that the pore space that  
606 is present must be highly connected. Samples with this type of microstructure occur when the  
607 diagenetic process of cementation has occluded original pore volume, reducing the size of the  
608 throats connecting the pores as well as the pores themselves, but leaving the remaining flow  
609 paths highly connected. Examples of such behaviour can be found in Fontainebleau and  
610 Lochaline sandstones ([Walker and Glover, 2018](#)) and in carbonates ([Rashid et al., 2015a;](#)  
611 [2015b, 2017](#)). The inefficiency of the machine learning techniques stems from the relative lack  
612 of samples with this type of structure in the training dataset. The permeability is predicted badly  
613 precisely because the machine learning techniques have not been prepared to recognise samples  
614 with this type of pore microstructure. It is a sobering thought that any machine learning

615 algorithm is only as good as the quality of the data with which it is trained and upon which it  
 616 is applied.

617 It is worth noting that the fits by empirical equations and machine learning implementations  
 618 are slightly better for the training dataset than the test dataset. This is due to the chance that the  
 619 models are calibrated on a sample that is not quite representative enough of the characteristics  
 620 of the formation. Besides this, there is also a chance of inclusion of two outliers in the test  
 621 dataset that reduces the efficacy of all the models in the test dataset.

622



623

624

625 **Figure 7.** Permeability prediction metrics for all conventional and machine learning  
 626 techniques for the training and test datasets.

627 **Table 2.** A summary of accuracy measures for the permeability prediction solutions for the Portland limestone, arranged in order of performance,  
 628 best first.

Permeability Model	Trained equation/model	Training subset		Test subset	
		RMSE	R <sup>2</sup>	RMSE	R <sup>2</sup>
Feed forward multilayer perceptron network	A fitted model as following: $NI = [-0.37531 \times \phi - 2.19641 \times \log(d_{pT}) + 0.30725 \times \log(F)] + 0.61237$ 1 <sup>st</sup> neuron output = $1 / (1 + e^{-NI})$ 2 <sup>nd</sup> neuron output = $-0.8194 \times A1 + 0.33022$ $\text{Log}(k) = 2.7881 \times 2^{\text{nd}} \text{ neuron output} + 0.22452$ Input and output parameters are normalized to a range of -1 to 1	0.357	0.908	0.380	0.886
Genetic algorithm	$122365341777308 \phi^{(2.748+0.6m)} d_{pT}^{1.864}$	0.394	0.888	0.433	0.858
RGPZ carbonate	$\frac{d_g^2}{4am^2\eta F(\eta F - 1)^2}$ where $\eta = 1.15$	0.445	0.858	0.458	0.838
RGPZ approximate	$\frac{d_g^2 \phi^{3m}}{4am^2} *$	0.476	0.858	0.461	0.837
RGPZ exact	$\frac{d_g^2}{4am^2 F(F-1)^2} *$	0.482	0.858	0.462	0.839
RGPZ Generic	$\frac{d_g^2}{83.72F^3}$	0.415	0.876	0.467	0.836
Kozeny-Carman	$\frac{8615961931 d_g^2 \phi^3}{(1 - \phi)^2}$	0.696	0.712	0.833	0.651
Van Baaren	$10 d_a^2 \phi^{(3.64+m)} 0.001^{-3.64}$	0.704	0.803	0.907	0.738
Berg	$8.4 * 10^{-2} d_g^2 \phi^{5.1} *$	2.344	0.73	2.368	0.672

629 \* These equations are not calibrated but are fixed empirical (Berg) or theoretically derived equations (RGPZ approximate and RGPZ exact).

630 The results presented in this paper show that the implementation of genetic algorithms and  
631 artificial neural networks results in more accurate predictions in comparison to predictions  
632 made by all the benchmark permeability models including the most recent ones. The genetic  
633 algorithm technique helped reduce the error of the best performing conventional permeability  
634 model by 5%. On the other hand, the artificial neural networks technique reduced the error by  
635 17%, which is a significant improvement. On the other hand, the solution of the neural network  
636 approach, which is something of a ‘black box’, is not as transparent as the trained equation  
637 provided by the genetic algorithm method. The representation of the solution in a concise  
638 mathematical equation provides a much clearer insight into the significance and role of each  
639 input parameter into the permeability prediction. Also, the resulting equation can be applied  
640 more easily to similar facies without the need for special software and/or technical skills. The  
641 solution equation can also be recalibrated with a small number of samples because of the  
642 smaller number of model parameters.

643 By contrast, the permeability prediction using artificial neural networks is mathematically  
644 more complex. While it is simple to visualize the solution of a neural network that has a single  
645 input feature on a xy graph, to visualize it when two input parameters are used requires a three-  
646 dimensional plot. Nonetheless, visualizing the response of networks that use multi-dimensional  
647 input features is indeed challenging.

648

### 649 **Limitations of machine learning**

650 The main result of this study is that both the machine learning techniques tested performed  
651 better than all of the conventional permeability equations over a range of 6 orders of magnitude.  
652 There are, however, some important limitations of machine learning which need to be  
653 considered before blindly applying them.

654 The first is that it can be simple to fall into the trap of creating neural networks which are  
655 too complex, and which will seem to be doing a good job of permeability prediction on the  
656 training data, but which lead to over-training. Such models will not perform as well on the  
657 target dataset, and that partial failure will not be clear because independent permeability  
658 measurements will not be available. Why, after all, predict permeability if one already knows  
659 it.

660 The second is that both techniques are to some extent a black box, although that is less true  
661 of genetic algorithms. Consequently, if there is a failure in the techniques, it is not always clear  
662 to the operator.

663 Third, both techniques need training. The training dataset must be a random sample of the  
664 whole population on which the technique is to be used. This is not just the trivial constraints  
665 that the sampling should be truly random, of sufficient number to capture all of the complexities  
666 in the target data, and covering the same range of measurements in the same proportion. By  
667 definition, rare events, conditions and outliers in general will be lost from the analysis. Machine  
668 learning is in a certain light, a form of conservative filtering that keeps the common and rejects  
669 the rare. Consequently, machine learning will fail to predict rare but important values.

670 The fourth limitation concerns the interpretation of what is a good genetic algorithm result.  
671 If there are sufficient organisms evolving, it is reasonable that one of the most successful will  
672 provide the permeability prediction equation that is the most appropriate. We use the words  
673 ‘most appropriate’ deliberately, because it will not necessarily be the best. The set of successful  
674 chromosomes, however numerous and however statistically defined are non-unique. In other  
675 words, two chromosomes which are very different could provide equally good results. How is  
676 one then to choose which to use on a set of target data, where the accuracy of the result cannot  
677 be tested.

678 In summary, no matter how well-implemented, the use of machine learning will always be  
679 associated with some anxiety that the predictions are as good as we have found. If that anxiety  
680 is such that the final results always need to be validated by some independent measure of  
681 permeability, the utility of the approach is weakened.

682

### 683 **Diagenesis and machine learning**

684 As we have seen, both the genetic algorithm and neural network models perform better than  
685 the best of the theoretical and empirical models. It is instructive to examine the reasons for this  
686 in tight, often diagenetic altered, carbonate rocks. Conventionally, the spread in a poroperm  
687 diagram is attributed to the permeability depending upon factors other than porosity. However,  
688 many of the theoretical and empirical models presented in this paper include a range of other  
689 parameters, including, for example, grain size, formation factor and cementation exponent but  
690 still result in a suboptimal prediction of permeability. This is because the permeability is some  
691 additional function of a parameter that is not included in the structure of the prediction equation,  
692 or that there is a lack of orthogonality between the input parameters. The defined structure of  
693 the prediction equation limits the efficacy of the model to predict permeability in only those  
694 rocks where the imposed structure is valid, i.e., simple functional dependencies of a limited  
695 number of known input parameters.

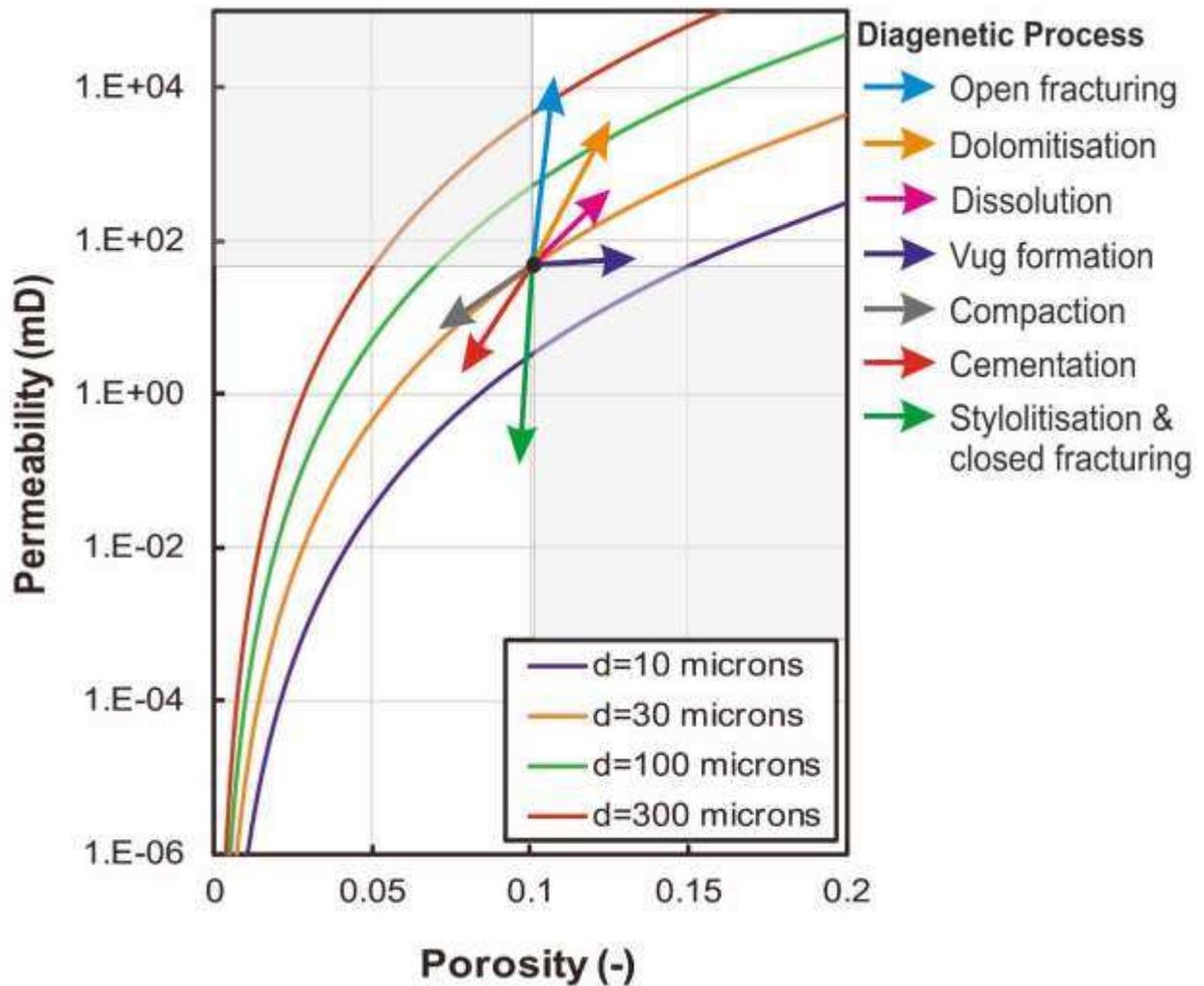
696 Here, both machine learning techniques perform better than all the conventional approaches,  
697 so we can infer that they have a common ability to make better use of the available data than  
698 conventional predictive equations. The common characteristic of the two machine learning  
699 methodologies used in this work, as well as all machine learning approaches, is that they start  
700 with either no structure or relatively little structure. The neural networks have only defined  
701 input and output values, while the genetic algorithm has input and output values as well as a  
702 very generalised equation. In machine learning, structural complexity arises from training and  
703 is theoretically only limited by the availability of a representative training dataset.  
704 Consequently the result of complex interacting processes should be modellable with accuracy.

705 The permeability of tight carbonate rocks is the result of the complex, interacting process  
706 of diagenesis. Hence, we hypothesize that the permeability of rocks which have undergone  
707 diagenesis would be ideally suited to machine learning methods, whose greater sensitivity to  
708 subtle and complex changes in the input parameters can be taken into account.

709 Of all of the conventional models, it was the carbonate version of the RGPZ model that  
710 came closest to the machine learning models. This model includes the  $\eta$  parameter, which is  
711 supposed to take account of the fact that the pore network architecture in carbonate rocks is  
712 more complex than that in clastic rocks (Rashid et al., 2015b). However, a single value, set to  
713  $\eta=1.15$  in this work, is a very crude method for taking account of the pore network which will  
714 have a connectedness that may depend upon codependent and competing processes of  
715 compaction, cementation, vug formation, dissolution, dolomitisation and fracturing.

716 [Figure 8](#) shows a generic poroperm cross plot implemented for the modified carbonate  
717 RGPZ model for four different grain sizes. The superimposed arrows (which are imposed at an  
718 arbitrary point on an arbitrary curve, but are equally relevant to any point on any of the curves)  
719 show the approximate directions each diagenetic process will produce when acting upon the  
720 pore network architecture of a carbonate rock. None of the arrows follow the curves, because  
721 that would indicate that the process was not altering either the rock matrix or the pore network  
722 architecture. The transparent grey areas in the figure are an indication of those where gain or  
723 loss in porosity leads to a loss or gain in permeability. There are however no diagenetic  
724 processes which cause such tendencies.

725



726

727 **Figure 8.** Diagrammatic poroperm cross-plot based on the modified carbonate RGPZ model  
 728 for grain sizes. The arrows, which all originate at an arbitrary point on one of the curves, but  
 729 equally well apply to any point on any of the curves, represents the results of different  
 730 diagenetic processes altering the pore network architecture of the rock and hence its porosity  
 731 and permeability characteristics. High reservoir quality occurs towards the top right of the  
 732 figure.

733

734 Compaction (grey arrow) reduces porosity, but can result in less permeability loss than  
 735 expected depending on the sorting, shape and strength of the grains. Cementation (red arrow)  
 736 results in loss of porosity as cement fills the pore spaces, and significant loss of permeability  
 737 because the cement will either partially or totally occlude pore throats, hence blocking fluid  
 738 flow pathways. By comparison, dissolution (magenta arrow) tends to dissolve rock matrix  
 739 indiscriminately, increasing porosity but not preferentially in the pore throats. Consequently,  
 740 though mobility does increase, it does not do so significantly. Dolomitisation (orange arrow)  
 741 has a much greater effect because the recrystallisation concomitant upon dolomitisation  
 742 provides larger porosity within pores that are well connected, and hence support much greater

743 permeability. Vug formation (dark blue arrow), for example by preferential dissolution,  
744 introduces significant porosity. However, this porosity is often distributed in an unconnected  
745 manner in a background matrix of low permeability, and hence results in very little increase in  
746 permeability. Stylolitisation (green arrow) has negligible impact upon the porosity of a rock,  
747 but by concentrating clay minerals along the stylolite surface, the macroscopic permeability of  
748 the rock perpendicular to the stylolites is greatly reduced. Since stylolite form perpendicular to  
749 the direction of greatest principal stress, this direction is usually vertical. Fracturing (light blue  
750 arrow), of course, introduces very little extra porosity to a rock, but that porosity is arranged  
751 for the efficient transport of fluid in the direction of the fractures. Consequently, porosity  
752 increases slightly upon fracturing, but permeability in the direction of the fractures can increase  
753 by two or more orders of magnitude if the fractures are open. If the fractures are closed, the  
754 trend would be very similar to that for the stylolites, with the closed fractures providing a  
755 similar compartmentalised single role.

756 Taking all of these diagenetic factors in consideration, it is unlikely that the  $\eta$  parameter  
757 would be able to take account of all of the diagenetic controls on permeability provided by  
758 these codependent and competing diagenetic processes. However, the training of either a new  
759 network or genetic algorithm on a reasonable size training dataset would be likely to result in  
760 a model that takes account of the main controls of diagenesis on permeability.

761 Finally, we recognize that the true novelty of this paper is not that it tests two machine  
762 learning methodologies for the first time on a high-quality, well-characterised tight carbonate  
763 system, but the recognition that the quasi-quantitative parameters obtained from these  
764 techniques may contain information which will help us improve the quantitative analysis of the  
765 type and extent of diagenesis with regards to its control on rock permeability.

766

## 767 **Conclusions**

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768 In this work, both artificial neural network and genetic algorithm techniques have been  
769 demonstrated to show potential for the prediction of technically challenging tight carbonate  
770 reservoirs. The genetic algorithm technique is more useful if one wishes to gain more insight  
771 into which parameters are controlling the predicted permeability, and has the benefit of  
772 providing an equation that can be subsequently applied easily to other datasets or used as the  
773 starting point of training with another dataset. However, when accuracy is the top priority, the  
774 neural network technique was found to be more accurate.

775 We have considered the reasons for the machine learning techniques providing a better  
776 predicted permeability compared to the conventional models, considering that some of the  
777 conventional models are very high quality and contain the same parameters used in machine  
778 learning approaches. We have concluded that the better performance of machine learning  
779 techniques over conventional approaches can be attributed to their enhanced capability to  
780 model the connectivity of pore microstructures using a significant training dataset. This allows  
781 machine learning methods to take account of small changes in pore microstructure caused by  
782 the complex, codependent and competing diagenetic processes that have conspired to create  
783 the pore microstructure of any given carbonate rock. In doing so, we have created a qualitative  
784 model which describes how the poroperm characteristics of tight carbonate rocks are modified  
785 by each of eight diagenetic processes.

786 We conclude that, for tight carbonate reservoirs, both machine learning techniques predict  
787 permeability more reliably and more accurately than conventional models and may be capable  
788 of distinguishing quantitatively between pore microstructures caused by different diagenetic  
789 processes.

790

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794

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