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1 **Early Triassic oceanic red beds coupled with deep sea oxidation in South Tethys**

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9  
10 **ABSTRACT:** Carbonate oceanic red beds (ORBs) are unusual in Phanerozoic shelf  
11 settings but can be widespread during discrete intervals. Several scenarios have been  
12 invoked to explain the origin of these ORBs but there remains uncertainty about the  
13 process by which the red pigmentations of ORB form. Here, we propose that the  
14 occurrence of ORBs at intermediate water depths in shelf regions is controlled by  
15 fluctuations in the redox state of deeper waters. We have examined Early Triassic  
16 Peri-Gondwana shelf sections in South Tibet which show the development of Spathian  
17 (late Early Triassic) ORBs at intermediate water depths. The red color of these ORBs is  
18 imparted by randomly dispersed hematite crystals that are micrometers in size, showing  
19 weak alteration by late burial diagenesis. Widespread anoxia, including in the oceanic  
20 realm, was well developed in the Early Triassic. Synchronous occurrence of Spathian  
21 ORBs on deep shelf regions is closely related to the improved oxidation in deeper settings,

22 from anoxia to dysoxia, based on changes in the redox proxy of pyrite framboid sizes. It is,  
23 therefore, inferred that prolonged deep-water anoxia might serve as source of Fe (II) to  
24 exert control on the formation of ORBs when intensified upwelling develops, and the  
25 occurrence of ORBs marks the terminal stage of an oceanic anoxic event.

26 Key words: oceanic red bed; microfacies; Spathian; deep water oxidation; framboid pyrite

## 27 **1. Introduction**

28 Oceanic/marine red beds (ORBs or MRBs) are present throughout the geological  
29 history especially in deep-water, pelagic settings (Hu et al., 2012; Song et al., 2017). ORBs  
30 can be subdivided into three groups in term of their lithology: red shales, red cherts, and  
31 red carbonates (Hu et al., 2012). The red color is caused by the presence of small amounts  
32 of hematite, goethite, either/or Fe- or Mn-bearing calcite (Cai et al., 2009, 2012; Li et al.,  
33 2011). ORBs have occurred sporadically since the beginning of Phanerozoic, and have  
34 thicknesses ranging from meters to hundreds of meters, but they are often restricted to  
35 short stratigraphic intervals (Hu et al., 2012; Song et al., 2017).

36 Amongst Phanerozoic ORBs, Cretaceous examples have received the most attention  
37 (Wang et al., 2005, 2011; Hu et al., 2006, 2012) due to their global distributions in deep  
38 oceans (Wang et al., 2005; Hu et al., 2012). Studies of their red pigmentation, using redox  
39 sensitive elements, have generally shown that prevailing conditions were oxic (Li et al.,  
40 2011; Wang et al., 2011). It is noteworthy that the deposition of the Cretaceous ORBs  
41 typically began soon after ocean anoxic events (Hu et al., 2012). Indeed most Phanerozoic  
42 ORBs appear to develop following episodes of widespread ocean anoxia (Song et al.,

43 2017). As a result, several increased oxidation scenarios have been proposed to account for  
44 the origin of ORBs: (1) oxidation of anoxic deep oceans caused by enhanced ocean  
45 circulation (Wang et al., 2009); (2) oxidation of the ferruginous sea (Song et al., 2017). The  
46 former put much emphasis on the redox change and the latter on the inventory of Fe(II),  
47 both of which are needed for the formations of ORBs (Song et al., 2017). To understand  
48 the dynamics of the oxidation of ferruginous sea during ORBs formation, a detailed  
49 temporal and spatial investigation of redox states is needed. We address this little studied  
50 issue here.

51 Early Triassic ORBs have been sporadically recorded (Von Rad et al., 1994;  
52 Brühwiler et al., 2009; Takahashi et al., 2009; Sun Y.D. et al., 2015), but they lack  
53 constraints on temporal and spatial distributions which obscure the understanding of their  
54 origin. We present four Early-Middle Triassic sections from South Tibet, that include two  
55 with ORBs. These four sections span a range of water depths from inner shelf to deep basin,  
56 thereby allowing us to assess factors controlling the formation of the ORBs at a detailed  
57 temporal and spatial scale.

## 58 **2. Geological setting**

### 59 *2.1. Paleogeography*

60 South Tibet consists of three tectonic units: the Lesser Himalaya (the crystalline  
61 Precambrian basement of the High Himalaya), the High Himalaya and the Tethyan  
62 Himalaya (Liu and Einsele, 1994) (Fig. 1A). The Tethyan Himalaya unit is separated from  
63 the High Himalaya to the south by a series of discontinuous E-W striking thrusts, and is

64 bounded from the Lhasa Block to the north by the Indus-Tsangbo suture. Our study  
65 sections are within the middle of the Tethyan Himalaya unit with three sections from the  
66 southern part and one section from the northern part (Fig. 1B).

67 During the Permian-Triassic transitional interval, South Tibet was located on the  
68 northern margin of Peri-Gondwana at a paleolatitude of  $\sim 40^{\circ}\text{S}$  (Shen et al., 2003) (Fig. 1C)  
69 and experienced at least two rifting events during the late Paleozoic (Liu and Einsele, 1994;  
70 Shen et al., 2003). These saw the Qiangtang Block and then the Lhasa Block detach from  
71 the North Indian plate and drift northward. The detachment of the Lhasa Block is inferred  
72 to have commenced at the end of Permian, and marked the opening of the Neo-Tethys (Liu  
73 and Einsele, 1994; Shen et al., 2006). Subsidence rates on the northern margin of  
74 Peri-Gondwana during the initial rift-stage were low, resulting in the widespread  
75 accumulation of thin, inner shelf deposits during the earliest Early Triassic (Liu and  
76 Einsele, 1994). With the opening of the Neo-Tethys, an Early Triassic asymmetrical basin  
77 developed in South Tibet, which is characterized by low sedimentation rates of  
78 shallow-water sediments in the southern zones and high sedimentation rates of deep-water  
79 turbidites in central and northern zones (Liu and Einsele, 1994).

## 80 *2.2. Stratigraphy and study sections*

81 The Selong section ( $28^{\circ}40'15''\text{N}$ ,  $85^{\circ}49'36''\text{E}$ ) is located near the village of Selong, 77  
82 km NW of old Tingri County where the Lower Triassic Kangshare Formation has a  
83 well-established biostratigraphy based on conodonts and ammonoids (Orchard et al., 1994;  
84 Wang Z.H. and Wang Y.G., 1995; Shen et al., 2006; Wang et al., 2017; Yuan et al., 2018). It

85 is divided into three units in ascending order: a ~4 m thick limestone yielding the Smithian  
86 ammonoid *Nyalamites angustecostatus* and *Owenites carpenteri* (Fig. S1); a middle unit  
87 consisting of a 1.2 m thick shale; an upper unit comprising a 2.2 m thick limestone  
88 containing the Spathian ammonoid *Procarnites kokeni* (Fig. S1) and conodont  
89 *Neospathodus waageni* (Wang Z.H. and Wang Y.G., 1995). The Smithian-Spathian  
90 boundary is approximately placed in the lowermost part of a dark gray shale that overlies  
91 Smithian carbonates (Fig. 2).

92 The Tulong section (28°27'11"N, 86°09'12"E) is situated near Tulong village, 36 km  
93 NW of the capital of Nyalam County. A detailed ammonoid biostratigraphic scheme  
94 developed for the Tulong Formation places the Smithian-Spathian boundary at the top of a  
95 9 m thick Smithian limestone bed that is overlain by a 3 m thick shale (Brühwiler et al.,  
96 2009). The Spathian succession is conspicuous for the occurrence of a 6 m thick ORB,  
97 developed in both shale and limestone (Fig. 2). The red strata span much of the Spathian  
98 and are capped by 1 m of gray limestone, above which the presence of ammonoid  
99 *Pseudodanubites gymnites* marks the Spathian-Anisian boundary (Brühwiler et al., 2009).

100 The Xialong section (28°31'21"N, 86°41'48"E) is located 1 km west of Xialong  
101 village, 32 km SW of the capital of old Tingri county. The Lower Triassic lithological  
102 succession belongs to the Tulong Formation and can be divided into three units, which are  
103 similar to the Tulong section. The lower unit consists of a ~6 m-thick limestone yielding an  
104 ammonoid fauna *Owenites carpenteri*, *Pseudosageceras augustum* and *Subvishnuites*  
105 *posterus* (Fig. S1) that suggests a middle-late Smithian age. The middle unit comprises a

106 ~10 m thick shale (Fig. 2), and here, the Smithian-Spathian boundary is placed at the  
107 lowermost part of this unit, based on the lithological correlation with the Tulong section.  
108 The upper unit is a ~7 m-thick limestone, with two thin levels of ORB in the lower part  
109 (Fig. 2).

110 The Xiukang section (29°08'05"N, 87°59'26"E) is located near the small village of  
111 Xiukang, 34 km NE of the capital of Lhaze County and consists of alternations of shale  
112 and limestone that belong to the Zhongbei Group. The occurrence of *Eumorphotis*  
113 *multiformis*, *Claraia* sp. (Li et al., 2018) and ammonoid *Ophiceras* (Shen et al., 2010) in  
114 the lower part of the section indicates an Early Triassic age, and the upper part yields the  
115 bivalve *Daonella* sp. typical of the Anisian (Li et al., 2018). The Smithian-Spathian  
116 boundary is here defined by the organic carbon isotope record (Song H.Y. et al., 2018) as  
117 well as by the last occurrence of bivalves *Eumorphotis* and *Claraia* that both suffered a  
118 major decline in diversity and abundance during the late Smithian (Komatsu et al., 2008).

### 119 **3. Methods**

120 Samples from both ORB and non-ORB beds were thin sectioned. Micro-Raman  
121 imaging was performed at the State Key Laboratory of Biogeology and Environmental  
122 Geology (Wuhan, China) with a WITec 300 Confocal Raman Imaging system. A 532 nm  
123 laser was used and focused by a 100X objective (N.A.=0.9) for image scans, with a spatial  
124 resolution of 0.36 micron per pixel. The laser power was maintained at 5 mW to avoid  
125 sample damage by laser radiation. An optic fibre, 50 microns in diameter, was used to  
126 collect a Raman spectrum at a confocal depth of at least 0.5 micron below the polished

127 surface of the thin section. A 600 grooves mm<sup>-1</sup> grating was used to provide spectra with a  
128 wavenumber resolution around 4 cm<sup>-1</sup>. The data was processed with the WITec Project  
129 Five Plus software. All Raman spectra were corrected for cosmic rays. The peak intensity  
130 for different mineral bonds were mapped and converted into a color-coded hyperspectral  
131 Raman map. For all presented average Raman spectra, pixels from Raman images were  
132 selected on the basis of their nearly identical point spectra and the resulting average spectra  
133 were corrected with background subtraction. For standard Raman spectrum of each mineral  
134 in this study refer to <http://rruff.info/>.

135         Size analysis of pyrite framboids was also conducted to evaluate redox conditions.  
136 Samples were fresh cut and polished and the pyrite petrography was investigated using the  
137 scanning electron microscope (Hitachi SU8000) equipped with energy-dispersive X-ray  
138 spectroscopy (SEM-EDS) under backscattered electron (BSE) mode at the State Key  
139 Laboratory of Biogeology and Environmental Geology (Wuhan, China). Criteria to  
140 determine the intensity of anoxia based on size distribution of pyrite framboids follow the  
141 protocol of Wilkin et al. (1996) and Bond and Wignall (2010).

## 142 **4. Results and interpretation**

### 143 *4.1. Microfacies description and interpretation*

144         Macro-sedimentary structures are scarce in the Lower Triassic outcrops of South Tibet,  
145 so depositional environment determinations heavily rely on microfacies analysis. A total of  
146 nine microfacies were detected and grouped into two associations that correspond to  
147 different environments of a middle carbonate ramp and outer ramp. For the detailed

148 microfacies classification see Table 1.

149 *4.1.1. Facies association 1: Oncoid-cortoid dominated middle ramp association*

150 *Description:* Facies association 1 consists of four microfacies including MF1 to MF4.

151 Oncoid-cortoid grainstone/packstone (MF1) (Fig. S2A, B) and cortoidal floatstone (MF2)

152 (Fig. S2C) only occur within the Olenekian at Selong, and are dominated by oncoids (1 to

153 3 mm in long axis) that consist of a bioclastic nucleus and successive concentric coatings.

154 Bioclastic packstone with diverse fossils (MF3) (Fig. S2D) is common in the Olenekian at

155 Selong, but is rare elsewhere. Nodular, burrowed bioclastic packstone/wackestone with

156 diverse fossils (MF4) (Fig. S2E, F) is conspicuous for its red color in its only outcrop in

157 the Spathian at Tulong. Abundant burrows, stromatactis and occasional erosional surface

158 are found in MF4.

159 *Interpretation:* MF1 to MF4 are interpreted to represent a spectrum of environments

160 from high energy, proximal middle ramp to distal middle ramp. MF1 and MF2 were

161 deposited in the high energy, proximal middle carbonate ramp, a zone that is subject to

162 frequent storm waves. Modern oncoids and cortoids form in the intertidal to shallow

163 subtidal environments and are considered to be representative of shallow subtidal domain

164 (Ratcliffe, 1988; Flügel, 2010). The presence of moderately-sorted oncoids and cortoids in

165 MF1 in a fining upward beds (Fig. S2A), suggests deposition under waning current

166 velocity probably during storm transport (e.g., Pérez-López and Pérez-Valera, 2012).

167 Cortoids, in association with thick-shelled bivalves floating in a micritic matrix, are

168 interpreted as rapidly-emplaced proximal tempestite (e.g., Chatalov, 2016).

169 MF3 and MF4 were formed in the distal middle ramp, where sediments were  
170 occasionally reworked by storm waves (Burchette and Wright, 1992). Packstone of  
171 fragmented bioclasts, including bivalves and echinoderms, with abundant burrows indicate  
172 an open shelf environment. The red, nodular, bioclastic wackestone contains abundant  
173 stromatactis (Fig. S2E), ammonites and occasional erosional surfaces (Fig. S2F), and is  
174 strikingly similar to the Devonian ‘griotte facies’ and Jurassic ‘Ammonitico rosso facies’ of  
175 the Alpine-Mediterranean region. The latter are interpreted as storm-transported sediment  
176 in deep shelf environment (Flügel, 2010). The erosional surface in MF4 is represented by a  
177 sharp contact between overlying bioclastic wackestone and the underlying lime mudstone  
178 (Fig. S2F), probably implying the seafloor was eroded by strong currents induced by storm  
179 waves.

#### 180 *4.1.2. Facies association 2: pelagic faunas dominated ramp association*

181 *Description:* Facies association 2 consists of five microfacies, MF5 to MF9. Bioclastic  
182 wackestone containing diverse fossils (MF5) (Fig. S3A) is a common and widespread  
183 facies in the Early Triassic of South Tibet. Thin-shelled, filamentous bivalve  
184 packstone/wackestone (MF6) (Fig. S3B), consisting of densely packed bivalves, occurs  
185 only in the Spathian interval at Xialong and Xiukang. Lime mudstone (MF7) and marly  
186 siltstone (MF8) (Fig. S3C) occur as thin intercalations within marly limestone or shales,  
187 both of which are predominantly restricted to the Smithian-Spathian transitional interval at  
188 Xialong. Radiolaria packstone/wackestone (MF9) (Fig. S3D), consisting of abundant  
189 calcified radiolaria, is a major microfacies throughout the Early Triassic at Xiukang, in

190 which erosional surface and floating pebbles were found.

191 *Interpretation:* MF5 to MF6 are interpreted to be deposited in deep shelf/basin  
192 environments generally below the storm wave base. It is controversial to determine the  
193 paleobathymetric position of the thin-shelled bivalves in MF6; many authors regard these  
194 filaments as planktonic larval shells living in bathyal deep-water settings, but some argue  
195 for a benthic origin (Allison et al., 1995). The parallel arrangement of the shells above a  
196 micro-erosional surface (Fig. S3B) suggests current activity. This is supported by the  
197 presence of planar bedding and pebbles that are indicative of sediment-gravity flows at  
198 Xiukang (Li et al., 2018). Moreover, the coexistence of thin-shelled bivalves and radiolaria  
199 further supports the deep shelf/basin environment in which MF6 was deposited.

## 200 *4.2. Depositional Environments*

### 201 *4.2.1. Selong section*

202 The Smithian and Spathian carbonates at Selong are dominated by middle ramp facies  
203 association 1 (Figs. S4, 3A). The Smithian interval is characterized by the presence of  
204 oncoid grainstone (MF1) interbedded with bioclastic packstone with diverse fossils (MF3)  
205 (Fig. 3B) including echinoderms, thick-shelled bivalves, small gastropods, and  
206 foraminifera. Oncoids disappear in the Spathian, and instead, occasional cortoids are found  
207 floating in the micritic matrix of this interval (Fig. 3C). These Spathian cortoids show  
208 striking similarities with those found in the contemporaneous Virgin Limestone of Nevada  
209 (Woods, 2013), although the Tibetan occurrence lacks any associated ooids and oncoids.  
210 The floatstone structure of cortoids in the Selong section suggests the re-deposition by

211 storm-induced bottom currents in this middle ramp setting.

#### 212 *4.2.2. Tulong section*

213 The Spathian at Tulong section is conspicuous for its red color and mainly consists of  
214 MF4 that formed at the middle/outer ramp transition (Figs. 4A , S5). The ORB beds mainly  
215 consist of shale and nodular bioclastic wackestone with diverse fossils (MF4) including  
216 echinoderms, bivalves, foraminifera, and ostracods (Fig. 4B). They are characterized by  
217 abundant burrows and stromatactis (Fig. 4C), as well as occasional erosional surfaces. The  
218 vertical, curved, unbranched burrows are 3 to 12 mm in width and ca. 3 cm in length (Fig.  
219 4C), showing sediment filling within which abundant stromatactis consisting of spar bodies  
220 with flat bases and digitate tops are present (Fig. S2E). Erosional surfaces are characterized  
221 by sharp truncation surfaces separating the underlying micrite from the echinoderm  
222 wackestone (Fig. S2F)

223 The abundant stromatactis found in burrows in these Tibetan examples supports the  
224 burrow network origin of the Spathian stromatactis (e.g., Bathurst, 1980). The presence of  
225 erosional surfaces in red nodular bioclastic wackestone that are commonly interpreted as  
226 storm-transported sediments (e.g., Devonian ‘griotte facies’ and Jurassic ‘Ammonitico  
227 rosso facies’ of the Alpine-Mediterranean region, Flügel, 2010), suggests a deep water  
228 depositional environment occasionally affected by storm currents.

#### 229 *4.2.3. Xialong section*

230 The Spathian carbonate beds at Xialong mainly consist of bioclastic wackestone with  
231 a monotonous composition of thin-shelled bivalves (MF6) (Fig. S6). Two ca. 0.5 m thick,

232 light brown beds are present 3 m above the inferred Smithian-Spathian boundary (Fig. 5A);  
233 these are thin-shelled bivalve wackestone with rare ostracods (Fig. 5B) and marly siltstone  
234 (Fig. 5C).

235 The fossil assemblage is suggestive of a deep-water environment (e.g., Lukeneder et  
236 al., 2012). The assemblage of small foraminifera, thin-shelled bivalves, juvenile ammonites  
237 and occasional calcispheres (interpreted to be calcite-replaced radiolarian in Beds 89, 92)  
238 in marly siltstone suggests a deep water setting. The lack of evidence for sediment  
239 reworking by bottom currents indicates low energy deposition and further supports the  
240 notion of deep-water below the storm wave base.

#### 241 *4.2.4. Xiukang section*

242 The Lower Triassic Xiukang section comprises a 23 m thick succession of dark gray  
243 shales intercalated with thin-bedded bioclastic packstone/wackestone (Figs. 6A, S7)  
244 containing radiolarians and thin-shelled bivalves (Fig. S3B, D). Thin planar and wavy  
245 bedding is seen in the outcrop (Fig. 6B), along with floating pebbles (Fig. 6C). In this  
246 section the thin bedding is seen to be interbedded layers of densely packed, thin shelled  
247 bivalves (filamentous limestone) (MF6), often with sharp, apparently erosive bases, and  
248 micrite or wackestone (Fig. S3B).

249 Floating pebbles in lenticular limestone, as well as the micro-erosive bases suggest  
250 these deposits were transported and deposited as sediment-gravity flows. The existence of  
251 densely-packed thin-shelled bivalves and radiolarians suggests a deep basin setting.

252 *4.3. Petrographic observations*

253 Micro-Raman imaging shows that the ORB sample from the Tulong section  
254 comprises micritic calcite, quartz and micron-sized hematite (Fig. 7A), which were  
255 identified by their diagnostic Raman peaks at 1085 cm<sup>-1</sup>, 463 cm<sup>-1</sup> and 1320 cm<sup>-1</sup> (Fig. 7C).  
256 The hematite is closely associated with calcite and is often present as inclusions inside the  
257 calcite crystals, indicating that they are primary hematite deposited prior to or coeval with  
258 the calcite. SEM imaging and EDS analysis confirm the presence of micrometer to  
259 sub-micrometer sized, euhedral or subhedral hematite crystals that are associated with  
260 calcite and quartz (Fig. 7D). The age-equivalent carbonate at Selong mainly consists of  
261 calcite, quartz, and rare rutile (Fig. 7B) that display a prominent Raman peak at 600-620  
262 cm<sup>-1</sup> (Fig. 7C), with no hematite detected. Therefore, the red color of the Tulong ORB is  
263 attributed to the finely disseminated hematite occurring as inclusions in, or interstitial  
264 fillings between, calcite crystals (Fig. 7A).

265 *4.4. Paleo-redox conditions*

266 All samples from Xiukang, a deep basinal section, yield abundant and generally small  
267 (mean diameter ~ 6 μm) pyrite framboids, suggesting generally anoxic/euxinic conditions  
268 (Figs. 8, S8), but with the larger examples from the Dienerian to the Spathian (Fig. 9). In  
269 detail, framboids from Beds 1 to 3 (Induan Stage) are rather small with a mean diameter  
270 range from 3.4 to 4.4 μm, but they show an abrupt increase to 5.9 μm at the boundary  
271 between Beds 3 and 4 (Dienerian-Smithian boundary), and then remain stable in Beds 4 to  
272 7 (Smithian stage) before an increase to 6.6 μm at the boundary between Beds 7 and 8

273 (Smithian-Spathian boundary). Framboid diameter declines once again (to 5.5  $\mu\text{m}$ )  
274 between Beds 8 to 9 (lower Spathian), and is immediately followed by an increase up to  
275 7.3  $\mu\text{m}$  in Bed 10. The mean framboid diameters in Beds 10, 11 and 20 (middle and upper  
276 Spathian) are stable and relatively large with a mean diameter of 7.4  $\mu\text{m}$ , suggesting a  
277 stable dysoxic conditions. Finally, framboid diameter drops to 6.0  $\mu\text{m}$  in Bed 24 in the  
278 early Anisian age.

279 Further up slope, at the Tulong section, framboids are generally very rare, especially  
280 in the ORB intervals, indicating oxic conditions. Only four beds (Beds 15, 26 to 28)  
281 yielded common framboids (mean count of  $> 110$ ) and they are small (mean diameter of  
282 4.6  $\mu\text{m}$ ) (Fig. 8), indicating euxinia.

283 Stratigraphic and age correlations reveal that the development of ORBs in our deep  
284 shelf section (Tulong) coincides with the occurrence of framboid populations indicating  
285 stable dysoxia in the deeper basin section (Xiukang) (Fig. 9).

## 286 **5. Discussion**

### 287 *5.1. Spatial distribution of the Spathian ORBs*

288 The widespread Spathian ORBs are restricted to middle-outer shelf regions, a pattern  
289 that is obviously different from that of Cretaceous ORBs. The Spathian ORBs have been  
290 described from Thakkhola section in Nepal (Von Rad et al., 1994), Jiarong, Mingtang and  
291 Chaohu sections in South China (Sun Y.D., et al., 2015; Song et al., 2017), WHK1 section  
292 in New Zealand (Hori et al., 2011) and the Momotaro-jinja section in Japan (Takahashi et  
293 al., 2009), confirming their widespread and potentially global distribution. All Spathian

294 ORBs were deposited in middle shelf to distal outer shelf regions, except the  
295 Momotaro-jinja section that belongs to a deep ocean environment. The occurrence of the  
296 Spathian ORBs in Momotaro-jinja might represent a local event, since ORBs are absent in  
297 most deep basin sections including Xiukang in South Tibet, and Ursula Creek in British  
298 Columbia (Henderson, 2011). By contrast, the Cretaceous ORBs are ubiquitous in deep  
299 oceans (Wang et al., 2005, 2011; Hu et al., 2012).

### 300 *5.2. Origin of hematite in ORBs*

301 A few studies of ORBs have confirmed that the morphology and spatial distribution of  
302 hematite grains can be used to assess their origin. Hematite that is tens of micrometer in  
303 diameter and shows preferential orientation along fracture or layer boundaries is typically  
304 interpreted as “secondary” (late diagenetic) in origin, while those, which are smaller  
305 (submicrometer to micrometers) in diameter and randomly scattered in the matrix, are  
306 interpreted to be of “primary” origin (Sun S. et al., 2015). Hematite grains from the ORBs  
307 at Tulong are small (submicrometer to micrometers in diameter) and are randomly  
308 scattered (Fig. 7A, D), indicating their “primary” origin.

### 309 *5.3. Paleoenvironmental implications of the Spathian ORBs*

310 Previous studies have shown that ocean anoxic events are usually followed by  
311 development of ORBs and have thus postulated that anoxic deep oceans can serve as the  
312 Fe (II) reservoir to supply iron for the formation of ORBs (Song et al., 2017). In some  
313 models ORB formation is linked with the oceanic/climatic consequences of prolonged  
314 ocean anoxia. Wang et al. (2011) suggested that enhanced burial of organic carbon during

315 oceanic anoxic events would have led to the drawdown of atmospheric  $p\text{CO}_2$ , with  
316 consequent climate cooling (e.g., Damsté et al., 2010). This in turn, would have enhanced  
317 the formation of cold, well-oxygenated deep water and lead to the deposition of ORBs in  
318 deep oceans, if the preceding anoxic event was sufficiently long lived to allow build up of  
319 ferruginous deep waters. However, this model for Cretaceous ORBs does not directly  
320 apply to the Spathian ORBs of Tibet which accumulated in an outer shelf setting rather  
321 than in deeper waters.

322 The development of ORBs in shelf regions (Tulong section) is closely related to the  
323 improved oxidation of oxygen-poor, deep waters (Xiukang section) indicated by  
324 framboidal pyrite evidence (Fig. 9). Thus, the presence of Spathian ORBs marks the  
325 terminal stage of the Early Triassic oceanic anoxic event, and turnover of deep water  
326 flushing ferruginous waters up slope is a likely scenario for the Tibetan ORBs. Diverse  
327 redox proxy evidence has shown that the Early Triassic oceans were generally anoxic at a  
328 time of intense global warming (Wignall and Twitchett, 2002; Wignall et al., 2010; Song  
329 H.J. et al., 2012; Sun et al., 2012; Song H.Y. et al., 2014; Huang et al., 2017; Zhang et al.,  
330 2018). The deep-water anoxia persisted for much of the Early Triassic but was interrupted,  
331 in the early to middle Spathian, by an oxidation event that coincided with a significant  
332 cooling episode (Sun et al., 2012). The early to middle Spathian oxidation event is  
333 supported by evidence from pyrite petrography (this paper; Song et al., 2019),  
334 Fe-speciation (Clarkson et al., 2016; Song et al., 2019), carbon and sulfur isotopes (Song et  
335 al., 2013, 2014) and uranium isotope (Zhang et al., 2018).

336 The oxidization of deep waters in the Spathian of South Tibet could relate to  
337 strengthening of ocean circulation as a result of global climate cooling. The climate  
338 cooling would enhance the sinking of O<sub>2</sub>- and nutrition-rich cold water while  
339 pole-to-equator thermal gradients strengthened (Kidder and Worsley, 2004). Moreover,  
340 intensified wind shear and associated wind-driven upwelling, in return, would enhance the  
341 vertical mixing of the stratified ocean, to transfer large amount of Fe (II) from the anoxic  
342 deeps to shelf regions where it was oxidized to form precursors of ORBs at intermediate  
343 water depths (Fig. 10), which were then dehydrated to form hematites during the early  
344 diagenesis. The source of Fe maybe related to a terrigenous fraction (Neuhuber et al.,  
345 2007), but the lack of red beds in shallow-shelf settings indicates that hematite does not  
346 have a terrestrial (land-derived) component.

347 The occurrence of Spathian ORBs is accompanied by a significant rebound in  
348 biodiversity, supporting the amelioration of benthic ocean conditions. The Spathian  
349 witnessed a rapid increase in diversity of foraminifera (Song et al., 2011), ammonoids  
350 (Brayard et al., 2006), and ichnospecies richness (Feng et al., 2018) as well as the first  
351 occurrence of calcareous algae (Song et al., 2011) and metazoan reefs sponge (Brayard et  
352 al., 2011). A new biodiversity database also shows a significant increase in marine genera  
353 numbers in the Spathian (Song H.J. et al., 2018). Abundant burrows that are centimeters in  
354 size (Fig. 4C) are found in ORBs in South Tibet, further supporting well-ventilated, benthic  
355 conditions. Therefore, the occurrence of Spathian ORBs indicates a significant  
356 amelioration of ocean dysoxia, which might be driven by the intense ocean upwelling that

357 provides adequate nutrient and oxygen.

## 358 **6. Conclusions**

359 The Spathian thick-bedded ORBs are widespread in South Tibet and are restricted to  
360 deep shelf to slope depositional environments. These ORBs mainly consist of red nodular  
361 limestone with diverse fossils and abundant burrows, indicating well ventilated ocean  
362 conditions. The red color of ORBs is attributed to micrometer-sized hematite grains that  
363 are randomly scattered in the matrix, without any preferential orientations in fractures and  
364 layer boundary, excluding the possibility that they are originated from diagenetic  
365 alternations.

366 Early Triassic deep, basinal waters in South Tibet recorded persistent anoxia/euxinia  
367 except in the early-middle Spathian when dysoxia developed. This improved oxygenation  
368 coincides with climate cooling and the development of ORBs in deep shelf regions. It is  
369 suggested that, upwelling caused by climate cooling flushed large amounts of Fe (II) from  
370 anoxic deep water to deep shelf regions where it was oxidized to hematite to form ORBs.

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382 Programme (IGCP) 630 project.

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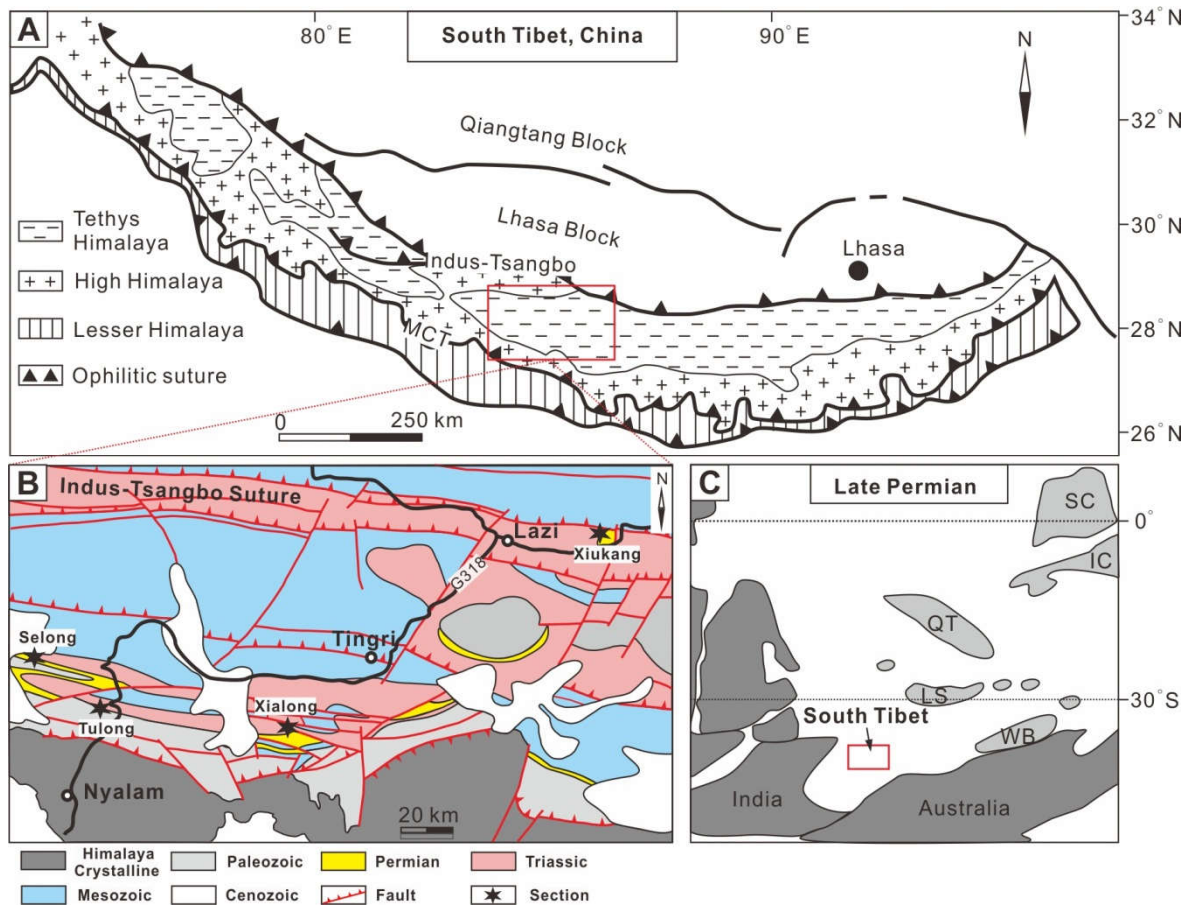
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564

565 **Figure Captions**



566

567 **Fig. 1.** (A) Major tectonic units of Himalaya region and study area (marked by the red

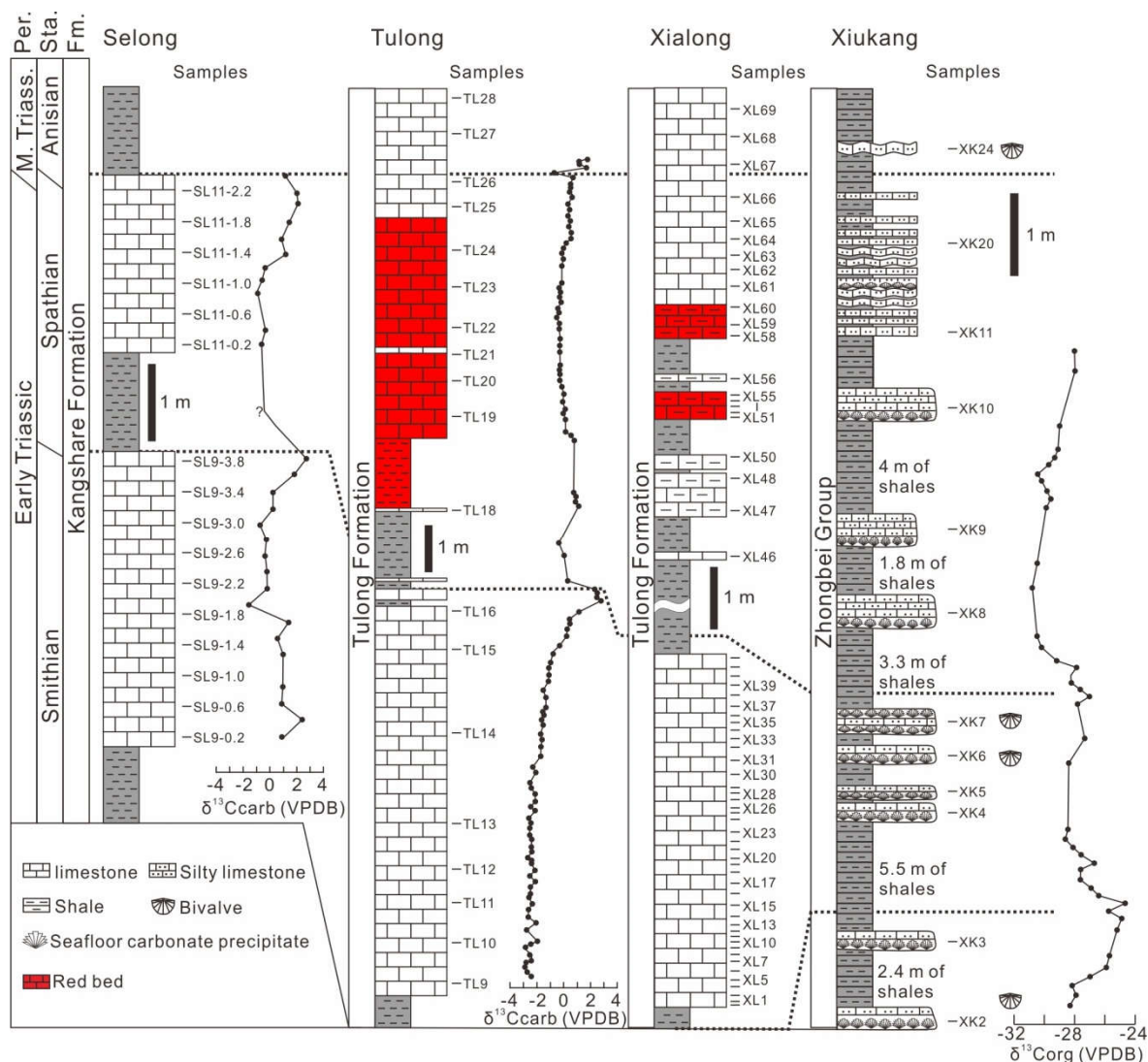
568 rectangle), Modified from Liu and Einsele (1994). (B) Geological and location map

569 showing the study sections (National Geological Archive, China,

570 <http://www.ngac.org.cn/Map/List>) (C) Reconstruction map showing the paleo-position of

571 the South Tibet at the end of the Permian (red rectangle) (modified from Shen et al., 2003).

572 WB—Western Burma; LS—Lhasa; QT—Qiangtang; IC—Indochina; SC—South China.



573

574 **Fig. 2.** Correlation of the sections from the South Tibet region. The Smithian-Spathian and  
 575 Spathian-Anisian boundaries of the Tulong, Selong, and Xiukang sections are defined by  
 576 ammonoid biozones (Brühwiler et al., 2009), conodont data (Garzanti et al., 1998), bivalve  
 577 combined with organic carbon isotopes (Li et al., 2018). Only the Smithian-Spathian  
 578 boundary is recognized at the Xialong section by the occurrence of ammonoid  
 579 *Pseudosageceras augustum* and *Subvishnuites posterus*. Carbonate carbon isotope data of  
 580 the Tulong section is after Brühwiler et al. (2009). Organic carbon isotope data of the  
 581 Xiukang section is from Song H.Y. et al. (2018).

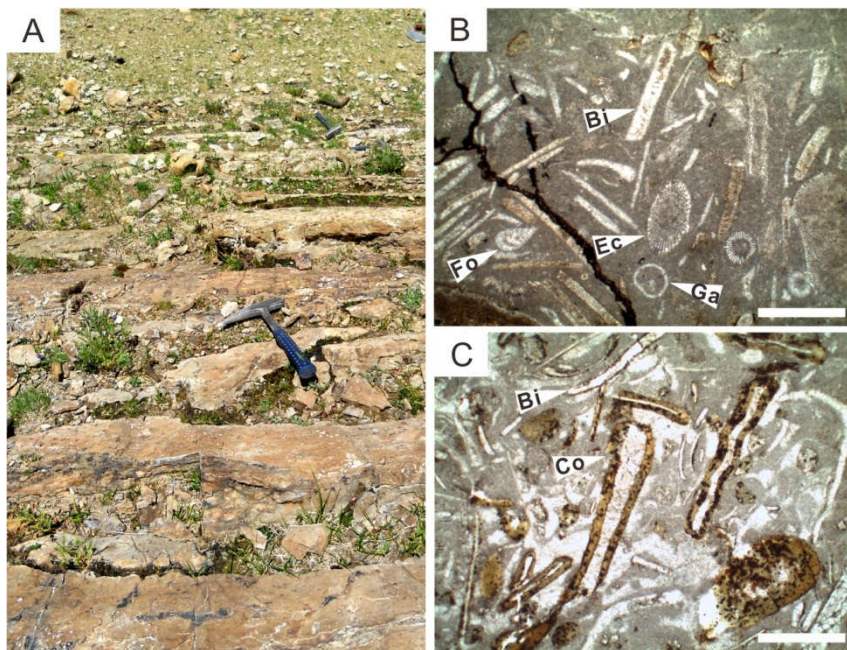
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588 **Fig. 3.** (A) Field photo of the Spathian carbonate beds at the Selong section, hammer (35

589 cm in length) for scale. (B) Bioclastic packstone with diverse fossils including bivalve (Bi),

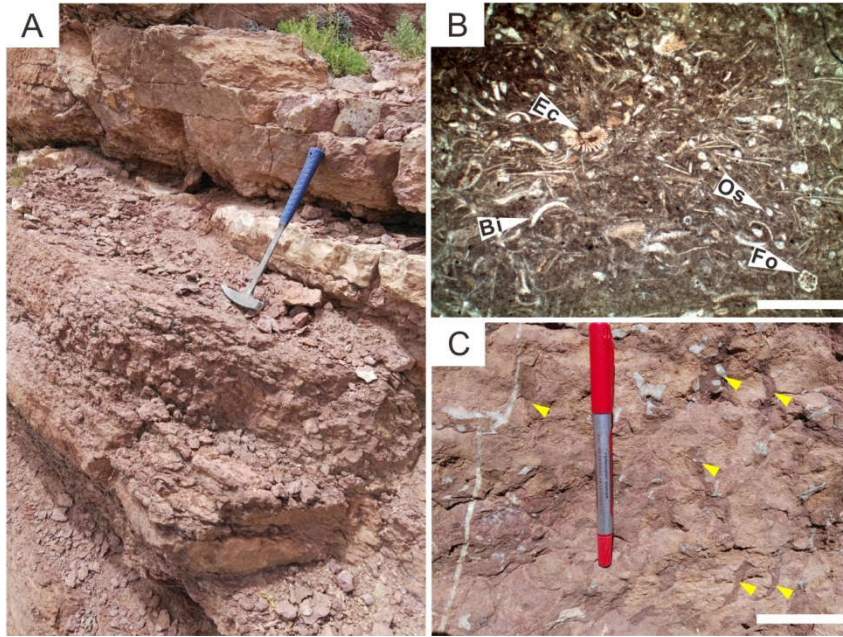
590 echinoid (Ec), foraminifera (Fo), and gastropods (Ga), Sample SL11-2.2, scale bar = 1 mm.

591 (C) Cortoid floatstone showing preferentially oriented cortoids (Co) that consists of bright

592 yellow cortex encrusted on thick-shelled bivalves (Bi), Sample SL11-0.6, scale bar = 1

593 mm.

594



595

596 **Fig. 4.** (A) Field photo of Spathian, red, nodular limestone at Tulong. Hammer (35 cm in

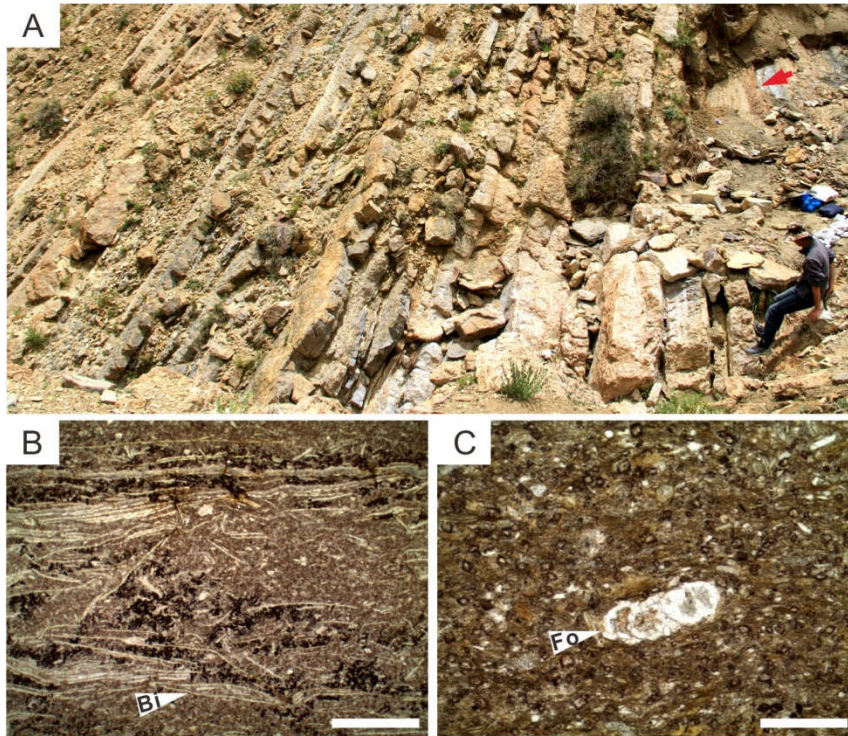
597 length) for scale. (B) Bioclastic packstone with diverse fossils including bivalve (Bi),

598 echinoid (Ec), foraminifera (Fo), and ostracods (Os), Sample TL19-0.2, scale bar = 1 mm.

599 (C) Outcrop photograph showing abundant burrows (yellow arrows) developed in red

600 limestone at the Tulong section, pen (15 cm in length) for scale.

601



602

603 **Fig. 5.** (A) Field photo of Spathian carbonate beds at Xialong, person (178 cm in height)

604 for scale. (B) Bivalve wackestone consisting of thin-shelled bivalves (Bi) with weak

605 preferential orientation, Sample XL-60, scale bar = 1 mm. (C) Marly siltstone with rare

606 small foraminifera (Fo), Sample XL56, scale bar = 0.5 mm.

607

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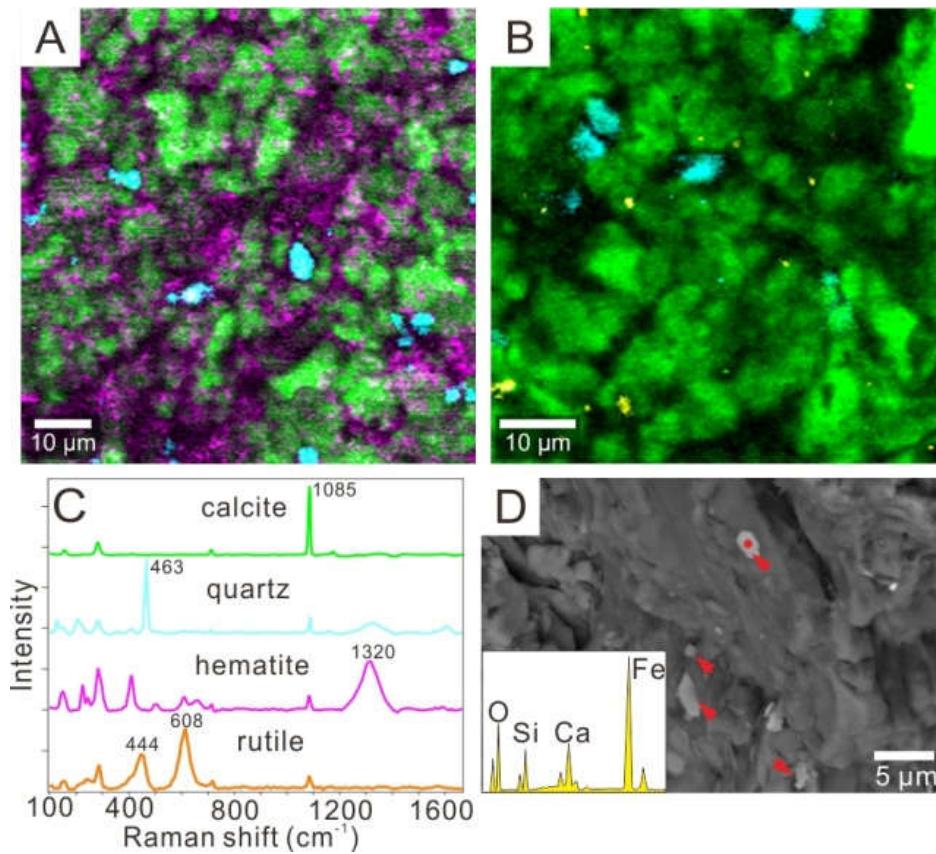
609

610 **Fig. 6.** (A) Field photo of the Lower Triassic sequence at Xiukang, person (178 cm in

611 height) for scale. (B) Silty limestone showing parallel bedding, Bed 10, scale bar = 5 cm.

612 (C) Thin-bedded, silty limestone containing floating pebble (arrow), Bed 6, pen (15 cm in

613 length) for scale.



614

615 **Fig. 7.** (A) Raman map of ORB sample from Tulong section showing randomly scattered

616 hematites in matrix, Sample TL19+0.2. Color carmine is for hematite, green for calcite,

617 turquoise for quartz. (B) Raman map of non-ORB sample from Selong section showing the

618 absence of hematite, Sample SL11+100. Colors: light yellow is rutile, green is calcite,

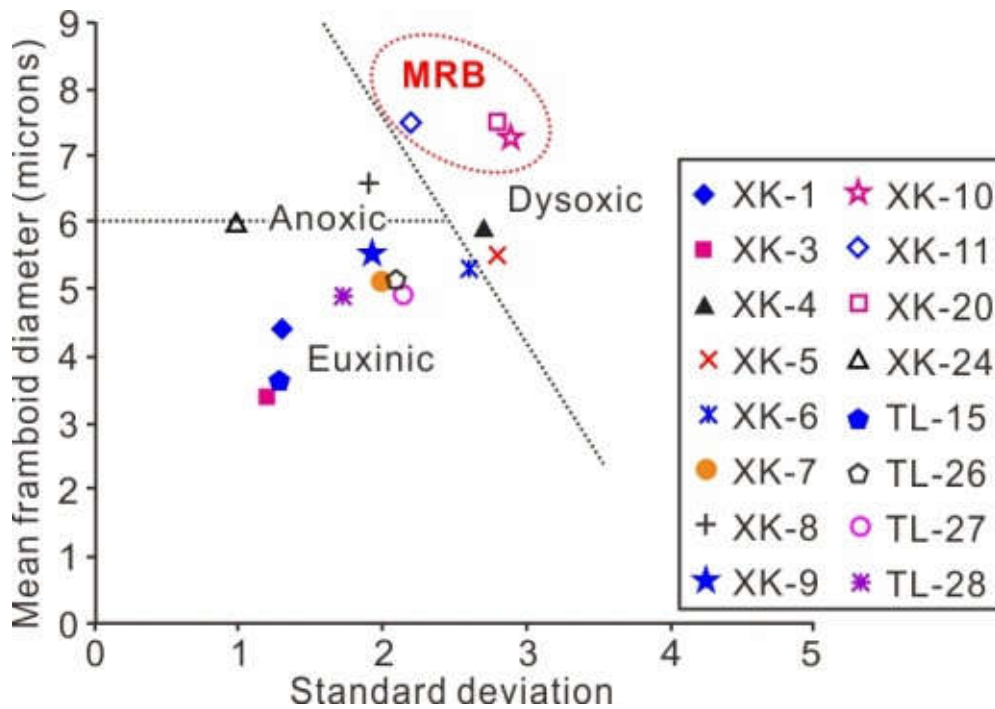
619 turquoise is quartz. (C) Raman spectra of the minerals present in A and B. (D) SEM image

620 of the ORB sample in A showing micrometer-sized, euhedral to subhedral hematite crystals

621 (arrows) that are randomly scattered in matrix. Inset in D, EDS spectrum of the marked

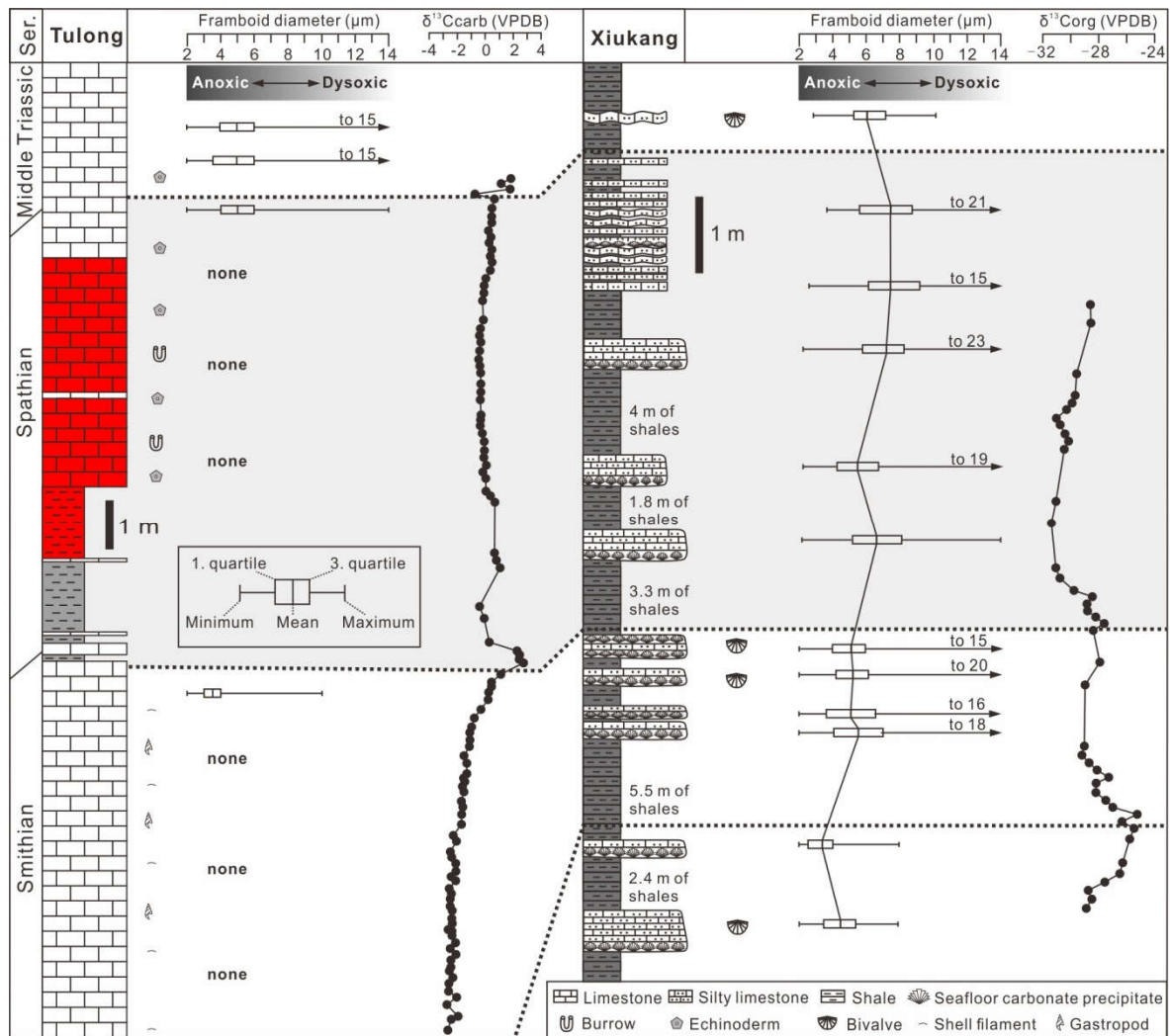
622 hematite.

623



624

625 **Fig. 8.** Cross-plot diagram showing mean diameter vs. standard deviation of framboidal  
 626 pyrite from Tulong and Xiukang sections. Note that the samples (XK-10, XK-11, XK-20)  
 627 plotted within the dashed-line ellipse mark the occurrence of ORBs in Tulong section. The  
 628 boundary separating fields for euxinic and/or anoxic and dysoxic environments follows  
 629 that of Wilkin et al. (1996) and Bond and Wignall (2010).



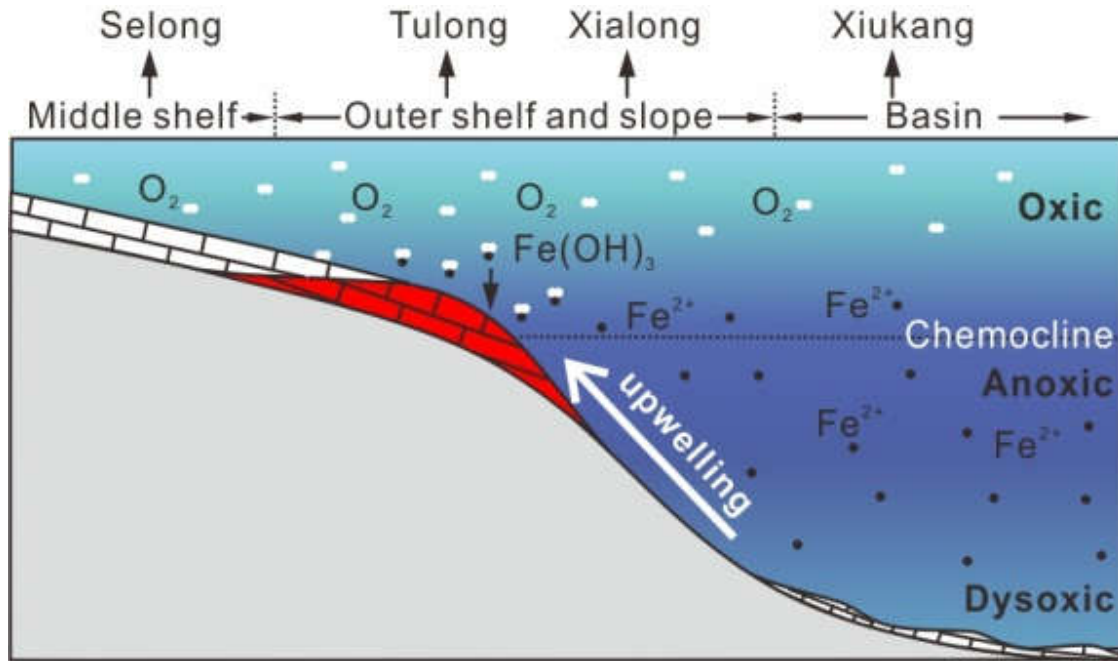
630

631 **Fig. 9.** Logs of the Tulong and Xiukang sections showing framboid pyrite

632 “box-and-whisker” plots. Note the stepwise increase in the diameter of framboid pyrite in

633 Xiukang section, reaching a maximum during the Spathian, which is coincident with the

634 occurrence of ORBs at the Tulong section.



635

636 **Fig. 10.** Schematic model for the Spathian ORBs deposition in South Tibet (modified from  
 637 Wang et al., 2009). The strengthening of ocean circulation due to climate cooling leads to  
 638 intense upwelling, transferring large amounts of Fe (II) to shelf regions where it is oxidized  
 639 and accumulates to form ORBs.

640

641 **Table 1.** Microfacies classification and description.

642