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1 **Early Triassic oceanic red beds coupled with deep sea oxidation in South Tethys**

2
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9
10 **ABSTRACT:** Carbonate oceanic red beds (ORBs) are unusual in Phanerozoic shelf
11 settings but can be widespread during discrete intervals. Several scenarios have been
12 invoked to explain the origin of these ORBs but there remains uncertainty about the
13 process by which the red pigmentations of ORB form. Here, we propose that the
14 occurrence of ORBs at intermediate water depths in shelf regions is controlled by
15 fluctuations in the redox state of deeper waters. We have examined Early Triassic
16 Peri-Gondwana shelf sections in South Tibet which show the development of Spathian
17 (late Early Triassic) ORBs at intermediate water depths. The red color of these ORBs is
18 imparted by randomly dispersed hematite crystals that are micrometers in size, showing
19 weak alteration by late burial diagenesis. Widespread anoxia, including in the oceanic
20 realm, was well developed in the Early Triassic. Synchronous occurrence of Spathian
21 ORBs on deep shelf regions is closely related to the improved oxidation in deeper settings,

22 from anoxia to dysoxia, based on changes in the redox proxy of pyrite framboid sizes. It is,
23 therefore, inferred that prolonged deep-water anoxia might serve as source of Fe (II) to
24 exert control on the formation of ORBs when intensified upwelling develops, and the
25 occurrence of ORBs marks the terminal stage of an oceanic anoxic event.

26 Key words: oceanic red bed; microfacies; Spathian; deep water oxidation; framboid pyrite

27 **1. Introduction**

28 Oceanic/marine red beds (ORBs or MRBs) are present throughout the geological
29 history especially in deep-water, pelagic settings (Hu et al., 2012; Song et al., 2017). ORBs
30 can be subdivided into three groups in term of their lithology: red shales, red cherts, and
31 red carbonates (Hu et al., 2012). The red color is caused by the presence of small amounts
32 of hematite, goethite, either/or Fe- or Mn-bearing calcite (Cai et al., 2009, 2012; Li et al.,
33 2011). ORBs have occurred sporadically since the beginning of Phanerozoic, and have
34 thicknesses ranging from meters to hundreds of meters, but they are often restricted to
35 short stratigraphic intervals (Hu et al., 2012; Song et al., 2017).

36 Amongst Phanerozoic ORBs, Cretaceous examples have received the most attention
37 (Wang et al., 2005, 2011; Hu et al., 2006, 2012) due to their global distributions in deep
38 oceans (Wang et al., 2005; Hu et al., 2012). Studies of their red pigmentation, using redox
39 sensitive elements, have generally shown that prevailing conditions were oxic (Li et al.,
40 2011; Wang et al., 2011). It is noteworthy that the deposition of the Cretaceous ORBs
41 typically began soon after ocean anoxic events (Hu et al., 2012). Indeed most Phanerozoic
42 ORBs appear to develop following episodes of widespread ocean anoxia (Song et al.,

43 2017). As a result, several increased oxidation scenarios have been proposed to account for
44 the origin of ORBs: (1) oxidation of anoxic deep oceans caused by enhanced ocean
45 circulation (Wang et al., 2009); (2) oxidation of the ferruginous sea (Song et al., 2017). The
46 former put much emphasis on the redox change and the latter on the inventory of Fe(II),
47 both of which are needed for the formations of ORBs (Song et al., 2017). To understand
48 the dynamics of the oxidation of ferruginous sea during ORBs formation, a detailed
49 temporal and spatial investigation of redox states is needed. We address this little studied
50 issue here.

51 Early Triassic ORBs have been sporadically recorded (Von Rad et al., 1994;
52 Brühwiler et al., 2009; Takahashi et al., 2009; Sun Y.D. et al., 2015), but they lack
53 constraints on temporal and spatial distributions which obscure the understanding of their
54 origin. We present four Early-Middle Triassic sections from South Tibet, that include two
55 with ORBs. These four sections span a range of water depths from inner shelf to deep basin,
56 thereby allowing us to assess factors controlling the formation of the ORBs at a detailed
57 temporal and spatial scale.

58 **2. Geological setting**

59 *2.1. Paleogeography*

60 South Tibet consists of three tectonic units: the Lesser Himalaya (the crystalline
61 Precambrian basement of the High Himalaya), the High Himalaya and the Tethyan
62 Himalaya (Liu and Einsele, 1994) (Fig. 1A). The Tethyan Himalaya unit is separated from
63 the High Himalaya to the south by a series of discontinuous E-W striking thrusts, and is

64 bounded from the Lhasa Block to the north by the Indus-Tsangbo suture. Our study
65 sections are within the middle of the Tethyan Himalaya unit with three sections from the
66 southern part and one section from the northern part (Fig. 1B).

67 During the Permian-Triassic transitional interval, South Tibet was located on the
68 northern margin of Peri-Gondwana at a paleolatitude of $\sim 40^{\circ}\text{S}$ (Shen et al., 2003) (Fig. 1C)
69 and experienced at least two rifting events during the late Paleozoic (Liu and Einsele, 1994;
70 Shen et al., 2003). These saw the Qiangtang Block and then the Lhasa Block detach from
71 the North Indian plate and drift northward. The detachment of the Lhasa Block is inferred
72 to have commenced at the end of Permian, and marked the opening of the Neo-Tethys (Liu
73 and Einsele, 1994; Shen et al., 2006). Subsidence rates on the northern margin of
74 Peri-Gondwana during the initial rift-stage were low, resulting in the widespread
75 accumulation of thin, inner shelf deposits during the earliest Early Triassic (Liu and
76 Einsele, 1994). With the opening of the Neo-Tethys, an Early Triassic asymmetrical basin
77 developed in South Tibet, which is characterized by low sedimentation rates of
78 shallow-water sediments in the southern zones and high sedimentation rates of deep-water
79 turbidites in central and northern zones (Liu and Einsele, 1994).

80 *2.2. Stratigraphy and study sections*

81 The Selong section ($28^{\circ}40'15''\text{N}$, $85^{\circ}49'36''\text{E}$) is located near the village of Selong, 77
82 km NW of old Tingri County where the Lower Triassic Kangshare Formation has a
83 well-established biostratigraphy based on conodonts and ammonoids (Orchard et al., 1994;
84 Wang Z.H. and Wang Y.G., 1995; Shen et al., 2006; Wang et al., 2017; Yuan et al., 2018). It

85 is divided into three units in ascending order: a ~4 m thick limestone yielding the Smithian
86 ammonoid *Nyalamites angustecostatus* and *Owenites carpenteri* (Fig. S1); a middle unit
87 consisting of a 1.2 m thick shale; an upper unit comprising a 2.2 m thick limestone
88 containing the Spathian ammonoid *Procarnites kokeni* (Fig. S1) and conodont
89 *Neospathodus waageni* (Wang Z.H. and Wang Y.G., 1995). The Smithian-Spathian
90 boundary is approximately placed in the lowermost part of a dark gray shale that overlies
91 Smithian carbonates (Fig. 2).

92 The Tulong section (28°27'11"N, 86°09'12"E) is situated near Tulong village, 36 km
93 NW of the capital of Nyalam County. A detailed ammonoid biostratigraphic scheme
94 developed for the Tulong Formation places the Smithian-Spathian boundary at the top of a
95 9 m thick Smithian limestone bed that is overlain by a 3 m thick shale (Brühwiler et al.,
96 2009). The Spathian succession is conspicuous for the occurrence of a 6 m thick ORB,
97 developed in both shale and limestone (Fig. 2). The red strata span much of the Spathian
98 and are capped by 1 m of gray limestone, above which the presence of ammonoid
99 *Pseudodanubites gymnites* marks the Spathian-Anisian boundary (Brühwiler et al., 2009).

100 The Xialong section (28°31'21"N, 86°41'48"E) is located 1 km west of Xialong
101 village, 32 km SW of the capital of old Tingri county. The Lower Triassic lithological
102 succession belongs to the Tulong Formation and can be divided into three units, which are
103 similar to the Tulong section. The lower unit consists of a ~6 m-thick limestone yielding an
104 ammonoid fauna *Owenites carpenteri*, *Pseudosageceras augustum* and *Subvishnuites*
105 *posterus* (Fig. S1) that suggests a middle-late Smithian age. The middle unit comprises a

106 ~10 m thick shale (Fig. 2), and here, the Smithian-Spathian boundary is placed at the
107 lowermost part of this unit, based on the lithological correlation with the Tulong section.
108 The upper unit is a ~7 m-thick limestone, with two thin levels of ORB in the lower part
109 (Fig. 2).

110 The Xiukang section (29°08'05"N, 87°59'26"E) is located near the small village of
111 Xiukang, 34 km NE of the capital of Lhaze County and consists of alternations of shale
112 and limestone that belong to the Zhongbei Group. The occurrence of *Eumorphotis*
113 *multiformis*, *Claraia* sp. (Li et al., 2018) and ammonoid *Ophiceras* (Shen et al., 2010) in
114 the lower part of the section indicates an Early Triassic age, and the upper part yields the
115 bivalve *Daonella* sp. typical of the Anisian (Li et al., 2018). The Smithian-Spathian
116 boundary is here defined by the organic carbon isotope record (Song H.Y. et al., 2018) as
117 well as by the last occurrence of bivalves *Eumorphotis* and *Claraia* that both suffered a
118 major decline in diversity and abundance during the late Smithian (Komatsu et al., 2008).

119 **3. Methods**

120 Samples from both ORB and non-ORB beds were thin sectioned. Micro-Raman
121 imaging was performed at the State Key Laboratory of Biogeology and Environmental
122 Geology (Wuhan, China) with a WITec 300 Confocal Raman Imaging system. A 532 nm
123 laser was used and focused by a 100X objective (N.A.=0.9) for image scans, with a spatial
124 resolution of 0.36 micron per pixel. The laser power was maintained at 5 mW to avoid
125 sample damage by laser radiation. An optic fibre, 50 microns in diameter, was used to
126 collect a Raman spectrum at a confocal depth of at least 0.5 micron below the polished

127 surface of the thin section. A 600 grooves mm⁻¹ grating was used to provide spectra with a
128 wavenumber resolution around 4 cm⁻¹. The data was processed with the WITec Project
129 Five Plus software. All Raman spectra were corrected for cosmic rays. The peak intensity
130 for different mineral bonds were mapped and converted into a color-coded hyperspectral
131 Raman map. For all presented average Raman spectra, pixels from Raman images were
132 selected on the basis of their nearly identical point spectra and the resulting average spectra
133 were corrected with background subtraction. For standard Raman spectrum of each mineral
134 in this study refer to <http://rruff.info/>.

135 Size analysis of pyrite framboids was also conducted to evaluate redox conditions.
136 Samples were fresh cut and polished and the pyrite petrography was investigated using the
137 scanning electron microscope (Hitachi SU8000) equipped with energy-dispersive X-ray
138 spectroscopy (SEM-EDS) under backscattered electron (BSE) mode at the State Key
139 Laboratory of Biogeology and Environmental Geology (Wuhan, China). Criteria to
140 determine the intensity of anoxia based on size distribution of pyrite framboids follow the
141 protocol of Wilkin et al. (1996) and Bond and Wignall (2010).

142 **4. Results and interpretation**

143 *4.1. Microfacies description and interpretation*

144 Macro-sedimentary structures are scarce in the Lower Triassic outcrops of South Tibet,
145 so depositional environment determinations heavily rely on microfacies analysis. A total of
146 nine microfacies were detected and grouped into two associations that correspond to
147 different environments of a middle carbonate ramp and outer ramp. For the detailed

148 microfacies classification see Table 1.

149 *4.1.1. Facies association 1: Oncoid-cortoid dominated middle ramp association*

150 *Description:* Facies association 1 consists of four microfacies including MF1 to MF4.

151 Oncoid-cortoid grainstone/packstone (MF1) (Fig. S2A, B) and cortoidal floatstone (MF2)

152 (Fig. S2C) only occur within the Olenekian at Selong, and are dominated by oncoids (1 to

153 3 mm in long axis) that consist of a bioclastic nucleus and successive concentric coatings.

154 Bioclastic packstone with diverse fossils (MF3) (Fig. S2D) is common in the Olenekian at

155 Selong, but is rare elsewhere. Nodular, burrowed bioclastic packstone/wackestone with

156 diverse fossils (MF4) (Fig. S2E, F) is conspicuous for its red color in its only outcrop in

157 the Spathian at Tulong. Abundant burrows, stromatactis and occasional erosional surface

158 are found in MF4.

159 *Interpretation:* MF1 to MF4 are interpreted to represent a spectrum of environments

160 from high energy, proximal middle ramp to distal middle ramp. MF1 and MF2 were

161 deposited in the high energy, proximal middle carbonate ramp, a zone that is subject to

162 frequent storm waves. Modern oncoids and cortoids form in the intertidal to shallow

163 subtidal environments and are considered to be representative of shallow subtidal domain

164 (Ratcliffe, 1988; Flügel, 2010). The presence of moderately-sorted oncoids and cortoids in

165 MF1 in a fining upward beds (Fig. S2A), suggests deposition under waning current

166 velocity probably during storm transport (e.g., Pérez-López and Pérez-Valera, 2012).

167 Cortoids, in association with thick-shelled bivalves floating in a micritic matrix, are

168 interpreted as rapidly-emplaced proximal tempestite (e.g., Chatalov, 2016).

169 MF3 and MF4 were formed in the distal middle ramp, where sediments were
170 occasionally reworked by storm waves (Burchette and Wright, 1992). Packstone of
171 fragmented bioclasts, including bivalves and echinoderms, with abundant burrows indicate
172 an open shelf environment. The red, nodular, bioclastic wackestone contains abundant
173 stromatactis (Fig. S2E), ammonites and occasional erosional surfaces (Fig. S2F), and is
174 strikingly similar to the Devonian ‘griotte facies’ and Jurassic ‘Ammonitico rosso facies’ of
175 the Alpine-Mediterranean region. The latter are interpreted as storm-transported sediment
176 in deep shelf environment (Flügel, 2010). The erosional surface in MF4 is represented by a
177 sharp contact between overlying bioclastic wackestone and the underlying lime mudstone
178 (Fig. S2F), probably implying the seafloor was eroded by strong currents induced by storm
179 waves.

180 *4.1.2. Facies association 2: pelagic faunas dominated ramp association*

181 *Description:* Facies association 2 consists of five microfacies, MF5 to MF9. Bioclastic
182 wackestone containing diverse fossils (MF5) (Fig. S3A) is a common and widespread
183 facies in the Early Triassic of South Tibet. Thin-shelled, filamentous bivalve
184 packstone/wackestone (MF6) (Fig. S3B), consisting of densely packed bivalves, occurs
185 only in the Spathian interval at Xialong and Xiukang. Lime mudstone (MF7) and marly
186 siltstone (MF8) (Fig. S3C) occur as thin intercalations within marly limestone or shales,
187 both of which are predominantly restricted to the Smithian-Spathian transitional interval at
188 Xialong. Radiolaria packstone/wackestone (MF9) (Fig. S3D), consisting of abundant
189 calcified radiolaria, is a major microfacies throughout the Early Triassic at Xiukang, in

190 which erosional surface and floating pebbles were found.

191 *Interpretation:* MF5 to MF6 are interpreted to be deposited in deep shelf/basin
192 environments generally below the storm wave base. It is controversial to determine the
193 paleobathymetric position of the thin-shelled bivalves in MF6; many authors regard these
194 filaments as planktonic larval shells living in bathyal deep-water settings, but some argue
195 for a benthic origin (Allison et al., 1995). The parallel arrangement of the shells above a
196 micro-erosional surface (Fig. S3B) suggests current activity. This is supported by the
197 presence of planar bedding and pebbles that are indicative of sediment-gravity flows at
198 Xiukang (Li et al., 2018). Moreover, the coexistence of thin-shelled bivalves and radiolaria
199 further supports the deep shelf/basin environment in which MF6 was deposited.

200 *4.2. Depositional Environments*

201 *4.2.1. Selong section*

202 The Smithian and Spathian carbonates at Selong are dominated by middle ramp facies
203 association 1 (Figs. S4, 3A). The Smithian interval is characterized by the presence of
204 oncoid grainstone (MF1) interbedded with bioclastic packstone with diverse fossils (MF3)
205 (Fig. 3B) including echinoderms, thick-shelled bivalves, small gastropods, and
206 foraminifera. Oncoids disappear in the Spathian, and instead, occasional cortoids are found
207 floating in the micritic matrix of this interval (Fig. 3C). These Spathian cortoids show
208 striking similarities with those found in the contemporaneous Virgin Limestone of Nevada
209 (Woods, 2013), although the Tibetan occurrence lacks any associated ooids and oncoids.
210 The floatstone structure of cortoids in the Selong section suggests the re-deposition by

211 storm-induced bottom currents in this middle ramp setting.

212 *4.2.2. Tulong section*

213 The Spathian at Tulong section is conspicuous for its red color and mainly consists of
214 MF4 that formed at the middle/outer ramp transition (Figs. 4A , S5). The ORB beds mainly
215 consist of shale and nodular bioclastic wackestone with diverse fossils (MF4) including
216 echinoderms, bivalves, foraminifera, and ostracods (Fig. 4B). They are characterized by
217 abundant burrows and stromatactis (Fig. 4C), as well as occasional erosional surfaces. The
218 vertical, curved, unbranched burrows are 3 to 12 mm in width and ca. 3 cm in length (Fig.
219 4C), showing sediment filling within which abundant stromatactis consisting of spar bodies
220 with flat bases and digitate tops are present (Fig. S2E). Erosional surfaces are characterized
221 by sharp truncation surfaces separating the underlying micrite from the echinoderm
222 wackestone (Fig. S2F)

223 The abundant stromatactis found in burrows in these Tibetan examples supports the
224 burrow network origin of the Spathian stromatactis (e.g., Bathurst, 1980). The presence of
225 erosional surfaces in red nodular bioclastic wackestone that are commonly interpreted as
226 storm-transported sediments (e.g., Devonian ‘griotte facies’ and Jurassic ‘Ammonitico
227 rosso facies’ of the Alpine-Mediterranean region, Flügel, 2010), suggests a deep water
228 depositional environment occasionally affected by storm currents.

229 *4.2.3. Xialong section*

230 The Spathian carbonate beds at Xialong mainly consist of bioclastic wackestone with
231 a monotonous composition of thin-shelled bivalves (MF6) (Fig. S6). Two ca. 0.5 m thick,

232 light brown beds are present 3 m above the inferred Smithian-Spathian boundary (Fig. 5A);
233 these are thin-shelled bivalve wackestone with rare ostracods (Fig. 5B) and marly siltstone
234 (Fig. 5C).

235 The fossil assemblage is suggestive of a deep-water environment (e.g., Lukeneder et
236 al., 2012). The assemblage of small foraminifera, thin-shelled bivalves, juvenile ammonites
237 and occasional calcispheres (interpreted to be calcite-replaced radiolarian in Beds 89, 92)
238 in marly siltstone suggests a deep water setting. The lack of evidence for sediment
239 reworking by bottom currents indicates low energy deposition and further supports the
240 notion of deep-water below the storm wave base.

241 *4.2.4. Xiukang section*

242 The Lower Triassic Xiukang section comprises a 23 m thick succession of dark gray
243 shales intercalated with thin-bedded bioclastic packstone/wackestone (Figs. 6A, S7)
244 containing radiolarians and thin-shelled bivalves (Fig. S3B, D). Thin planar and wavy
245 bedding is seen in the outcrop (Fig. 6B), along with floating pebbles (Fig. 6C). In this
246 section the thin bedding is seen to be interbedded layers of densely packed, thin shelled
247 bivalves (filamentous limestone) (MF6), often with sharp, apparently erosive bases, and
248 micrite or wackestone (Fig. S3B).

249 Floating pebbles in lenticular limestone, as well as the micro-erosive bases suggest
250 these deposits were transported and deposited as sediment-gravity flows. The existence of
251 densely-packed thin-shelled bivalves and radiolarians suggests a deep basin setting.

252 *4.3. Petrographic observations*

253 Micro-Raman imaging shows that the ORB sample from the Tulong section
254 comprises micritic calcite, quartz and micron-sized hematite (Fig. 7A), which were
255 identified by their diagnostic Raman peaks at 1085 cm⁻¹, 463 cm⁻¹ and 1320 cm⁻¹ (Fig. 7C).
256 The hematite is closely associated with calcite and is often present as inclusions inside the
257 calcite crystals, indicating that they are primary hematite deposited prior to or coeval with
258 the calcite. SEM imaging and EDS analysis confirm the presence of micrometer to
259 sub-micrometer sized, euhedral or subhedral hematite crystals that are associated with
260 calcite and quartz (Fig. 7D). The age-equivalent carbonate at Selong mainly consists of
261 calcite, quartz, and rare rutile (Fig. 7B) that display a prominent Raman peak at 600-620
262 cm⁻¹ (Fig. 7C), with no hematite detected. Therefore, the red color of the Tulong ORB is
263 attributed to the finely disseminated hematite occurring as inclusions in, or interstitial
264 fillings between, calcite crystals (Fig. 7A).

265 *4.4. Paleo-redox conditions*

266 All samples from Xiukang, a deep basinal section, yield abundant and generally small
267 (mean diameter ~ 6 μm) pyrite framboids, suggesting generally anoxic/euxinic conditions
268 (Figs. 8, S8), but with the larger examples from the Dienerian to the Spathian (Fig. 9). In
269 detail, framboids from Beds 1 to 3 (Induan Stage) are rather small with a mean diameter
270 range from 3.4 to 4.4 μm, but they show an abrupt increase to 5.9 μm at the boundary
271 between Beds 3 and 4 (Dienerian-Smithian boundary), and then remain stable in Beds 4 to
272 7 (Smithian stage) before an increase to 6.6 μm at the boundary between Beds 7 and 8

273 (Smithian-Spathian boundary). Framboid diameter declines once again (to 5.5 μm)
274 between Beds 8 to 9 (lower Spathian), and is immediately followed by an increase up to
275 7.3 μm in Bed 10. The mean framboid diameters in Beds 10, 11 and 20 (middle and upper
276 Spathian) are stable and relatively large with a mean diameter of 7.4 μm , suggesting a
277 stable dysoxic conditions. Finally, framboid diameter drops to 6.0 μm in Bed 24 in the
278 early Anisian age.

279 Further up slope, at the Tulong section, framboids are generally very rare, especially
280 in the ORB intervals, indicating oxic conditions. Only four beds (Beds 15, 26 to 28)
281 yielded common framboids (mean count of > 110) and they are small (mean diameter of
282 4.6 μm) (Fig. 8), indicating euxinia.

283 Stratigraphic and age correlations reveal that the development of ORBs in our deep
284 shelf section (Tulong) coincides with the occurrence of framboid populations indicating
285 stable dysoxia in the deeper basin section (Xiukang) (Fig. 9).

286 **5. Discussion**

287 *5.1. Spatial distribution of the Spathian ORBs*

288 The widespread Spathian ORBs are restricted to middle-outer shelf regions, a pattern
289 that is obviously different from that of Cretaceous ORBs. The Spathian ORBs have been
290 described from Thakkhola section in Nepal (Von Rad et al., 1994), Jiarong, Mingtang and
291 Chaohu sections in South China (Sun Y.D., et al., 2015; Song et al., 2017), WHK1 section
292 in New Zealand (Hori et al., 2011) and the Momotaro-jinja section in Japan (Takahashi et
293 al., 2009), confirming their widespread and potentially global distribution. All Spathian

294 ORBs were deposited in middle shelf to distal outer shelf regions, except the
295 Momotaro-jinja section that belongs to a deep ocean environment. The occurrence of the
296 Spathian ORBs in Momotaro-jinja might represent a local event, since ORBs are absent in
297 most deep basin sections including Xiukang in South Tibet, and Ursula Creek in British
298 Columbia (Henderson, 2011). By contrast, the Cretaceous ORBs are ubiquitous in deep
299 oceans (Wang et al., 2005, 2011; Hu et al., 2012).

300 *5.2. Origin of hematite in ORBs*

301 A few studies of ORBs have confirmed that the morphology and spatial distribution of
302 hematite grains can be used to assess their origin. Hematite that is tens of micrometer in
303 diameter and shows preferential orientation along fracture or layer boundaries is typically
304 interpreted as “secondary” (late diagenetic) in origin, while those, which are smaller
305 (submicrometer to micrometers) in diameter and randomly scattered in the matrix, are
306 interpreted to be of “primary” origin (Sun S. et al., 2015). Hematite grains from the ORBs
307 at Tulong are small (submicrometer to micrometers in diameter) and are randomly
308 scattered (Fig. 7A, D), indicating their “primary” origin.

309 *5.3. Paleoenvironmental implications of the Spathian ORBs*

310 Previous studies have shown that ocean anoxic events are usually followed by
311 development of ORBs and have thus postulated that anoxic deep oceans can serve as the
312 Fe (II) reservoir to supply iron for the formation of ORBs (Song et al., 2017). In some
313 models ORB formation is linked with the oceanic/climatic consequences of prolonged
314 ocean anoxia. Wang et al. (2011) suggested that enhanced burial of organic carbon during

315 oceanic anoxic events would have led to the drawdown of atmospheric $p\text{CO}_2$, with
316 consequent climate cooling (e.g., Damsté et al., 2010). This in turn, would have enhanced
317 the formation of cold, well-oxygenated deep water and lead to the deposition of ORBs in
318 deep oceans, if the preceding anoxic event was sufficiently long lived to allow build up of
319 ferruginous deep waters. However, this model for Cretaceous ORBs does not directly
320 apply to the Spathian ORBs of Tibet which accumulated in an outer shelf setting rather
321 than in deeper waters.

322 The development of ORBs in shelf regions (Tulong section) is closely related to the
323 improved oxidation of oxygen-poor, deep waters (Xiukang section) indicated by
324 framboidal pyrite evidence (Fig. 9). Thus, the presence of Spathian ORBs marks the
325 terminal stage of the Early Triassic oceanic anoxic event, and turnover of deep water
326 flushing ferruginous waters up slope is a likely scenario for the Tibetan ORBs. Diverse
327 redox proxy evidence has shown that the Early Triassic oceans were generally anoxic at a
328 time of intense global warming (Wignall and Twitchett, 2002; Wignall et al., 2010; Song
329 H.J. et al., 2012; Sun et al., 2012; Song H.Y. et al., 2014; Huang et al., 2017; Zhang et al.,
330 2018). The deep-water anoxia persisted for much of the Early Triassic but was interrupted,
331 in the early to middle Spathian, by an oxidation event that coincided with a significant
332 cooling episode (Sun et al., 2012). The early to middle Spathian oxidation event is
333 supported by evidence from pyrite petrography (this paper; Song et al., 2019),
334 Fe-speciation (Clarkson et al., 2016; Song et al., 2019), carbon and sulfur isotopes (Song et
335 al., 2013, 2014) and uranium isotope (Zhang et al., 2018).

336 The oxidization of deep waters in the Spathian of South Tibet could relate to
337 strengthening of ocean circulation as a result of global climate cooling. The climate
338 cooling would enhance the sinking of O₂- and nutrition-rich cold water while
339 pole-to-equator thermal gradients strengthened (Kidder and Worsley, 2004). Moreover,
340 intensified wind shear and associated wind-driven upwelling, in return, would enhance the
341 vertical mixing of the stratified ocean, to transfer large amount of Fe (II) from the anoxic
342 deeps to shelf regions where it was oxidized to form precursors of ORBs at intermediate
343 water depths (Fig. 10), which were then dehydrated to form hematites during the early
344 diagenesis. The source of Fe maybe related to a terrigenous fraction (Neuhuber et al.,
345 2007), but the lack of red beds in shallow-shelf settings indicates that hematite does not
346 have a terrestrial (land-derived) component.

347 The occurrence of Spathian ORBs is accompanied by a significant rebound in
348 biodiversity, supporting the amelioration of benthic ocean conditions. The Spathian
349 witnessed a rapid increase in diversity of foraminifera (Song et al., 2011), ammonoids
350 (Brayard et al., 2006), and ichnospecies richness (Feng et al., 2018) as well as the first
351 occurrence of calcareous algae (Song et al., 2011) and metazoan reefs sponge (Brayard et
352 al., 2011). A new biodiversity database also shows a significant increase in marine genera
353 numbers in the Spathian (Song H.J. et al., 2018). Abundant burrows that are centimeters in
354 size (Fig. 4C) are found in ORBs in South Tibet, further supporting well-ventilated, benthic
355 conditions. Therefore, the occurrence of Spathian ORBs indicates a significant
356 amelioration of ocean dysoxia, which might be driven by the intense ocean upwelling that

357 provides adequate nutrient and oxygen.

358 **6. Conclusions**

359 The Spathian thick-bedded ORBs are widespread in South Tibet and are restricted to
360 deep shelf to slope depositional environments. These ORBs mainly consist of red nodular
361 limestone with diverse fossils and abundant burrows, indicating well ventilated ocean
362 conditions. The red color of ORBs is attributed to micrometer-sized hematite grains that
363 are randomly scattered in the matrix, without any preferential orientations in fractures and
364 layer boundary, excluding the possibility that they are originated from diagenetic
365 alternations.

366 Early Triassic deep, basinal waters in South Tibet recorded persistent anoxia/euxinia
367 except in the early-middle Spathian when dysoxia developed. This improved oxygenation
368 coincides with climate cooling and the development of ORBs in deep shelf regions. It is
369 suggested that, upwelling caused by climate cooling flushed large amounts of Fe (II) from
370 anoxic deep water to deep shelf regions where it was oxidized to hematite to form ORBs.

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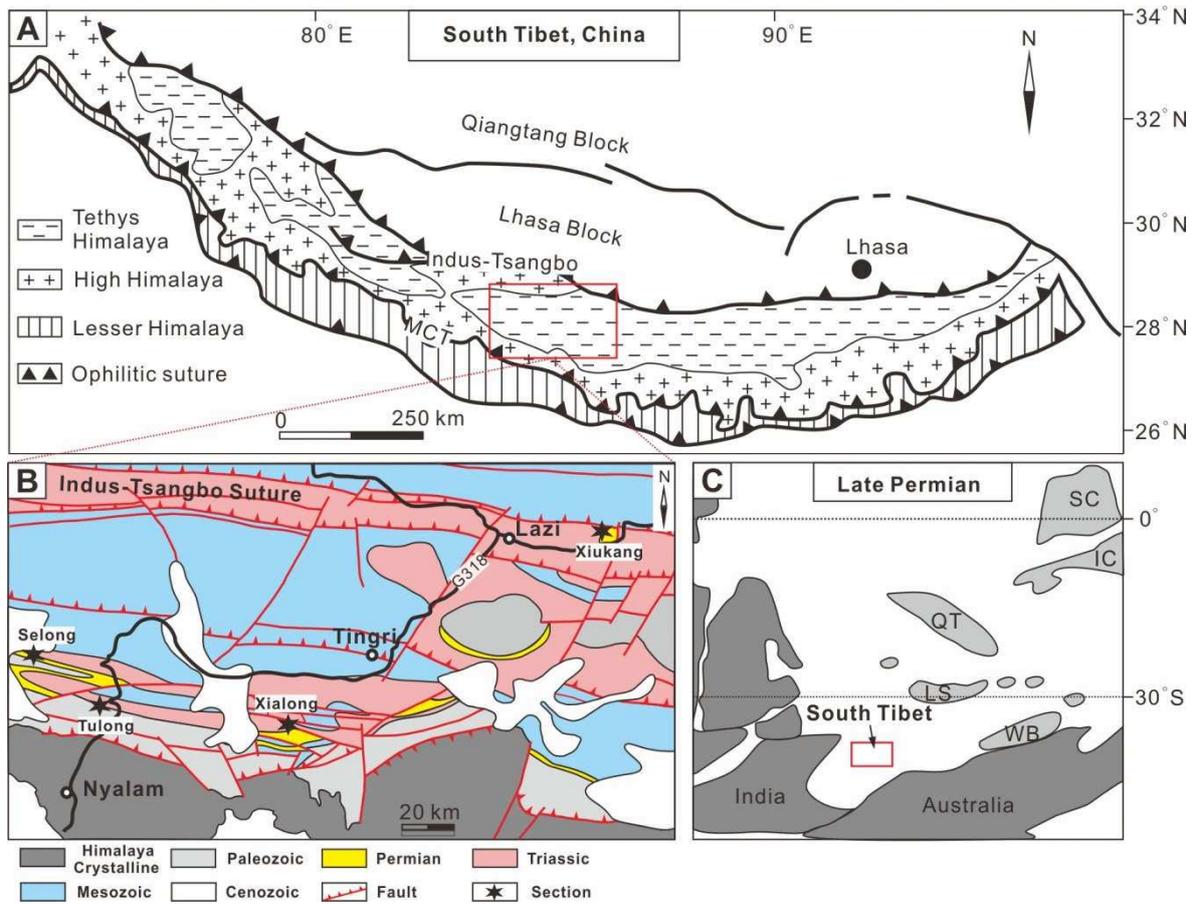
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564

565 **Figure Captions**



566

567 **Fig. 1.** (A) Major tectonic units of Himalaya region and study area (marked by the red

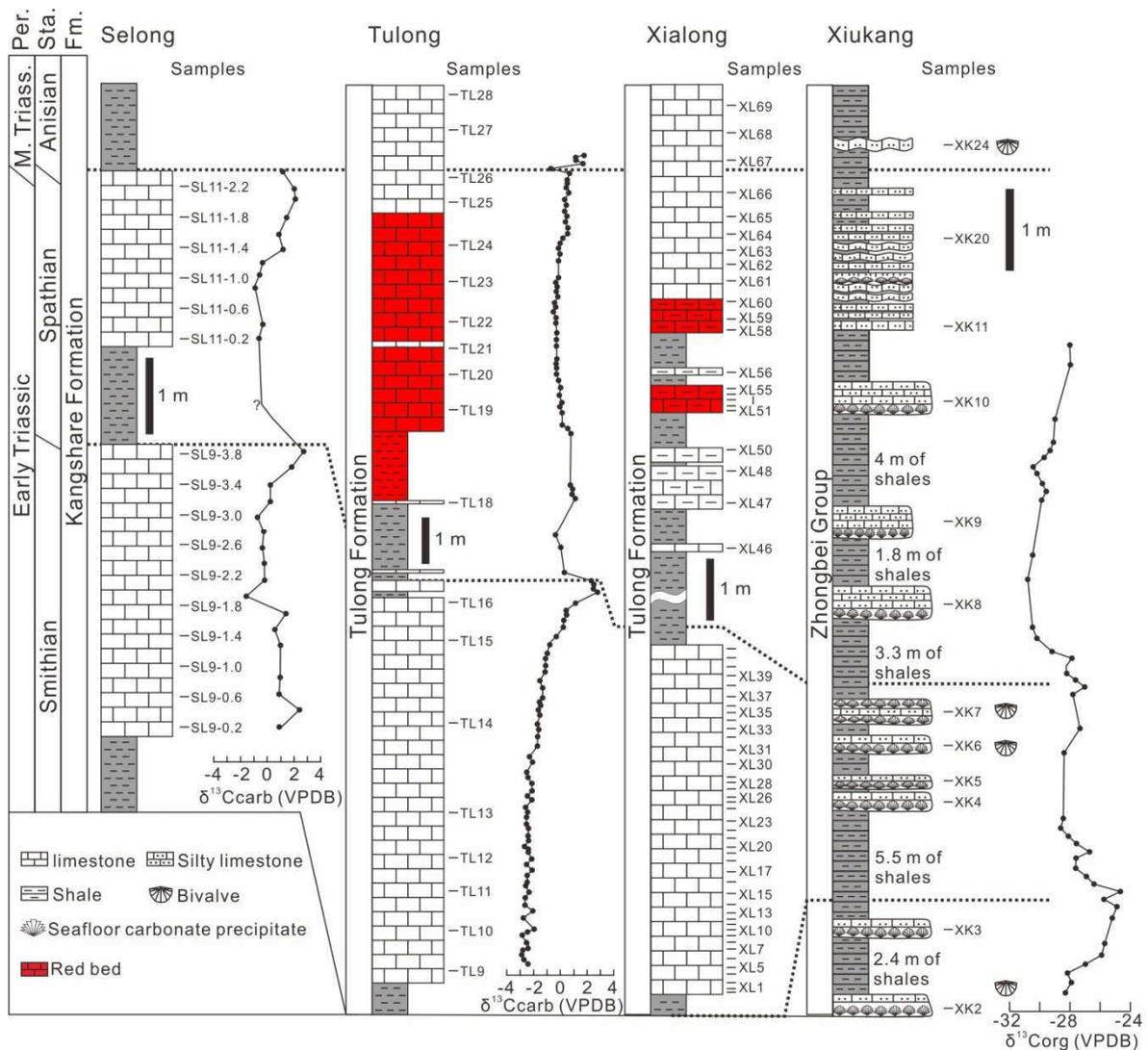
568 rectangle), Modified from Liu and Einsele (1994). (B) Geological and location map

569 showing the study sections (National Geological Archive, China,

570 <http://www.ngac.org.cn/Map/List>) (C) Reconstruction map showing the paleo-position of

571 the South Tibet at the end of the Permian (red rectangle) (modified from Shen et al., 2003).

572 WB—Western Burma; LS—Lhasa; QT—Qiangtang; IC—Indochina; SC—South China.



573

574 **Fig. 2.** Correlation of the sections from the South Tibet region. The Smithian-Spathian and
 575 Spathian-Anisian boundaries of the Tulong, Selong, and Xiukang sections are defined by
 576 ammonoid biozones (Brühwiler et al., 2009), conodont data (Garzanti et al., 1998), bivalve
 577 combined with organic carbon isotopes (Li et al., 2018). Only the Smithian-Spathian
 578 boundary is recognized at the Xialong section by the occurrence of ammonoid
 579 *Pseudosageceras augustum* and *Subvishnuites posterus*. Carbonate carbon isotope data of
 580 the Tulong section is after Brühwiler et al. (2009). Organic carbon isotope data of the
 581 Xiukang section is from Song H.Y. et al. (2018).

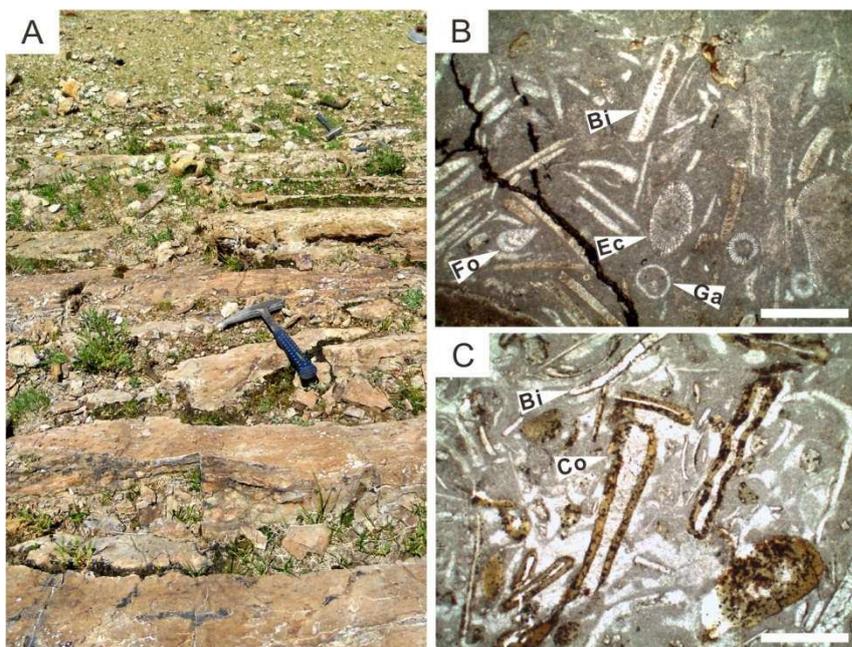
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588 **Fig. 3.** (A) Field photo of the Spathian carbonate beds at the Selong section, hammer (35

589 cm in length) for scale. (B) Bioclastic packstone with diverse fossils including bivalve (Bi),

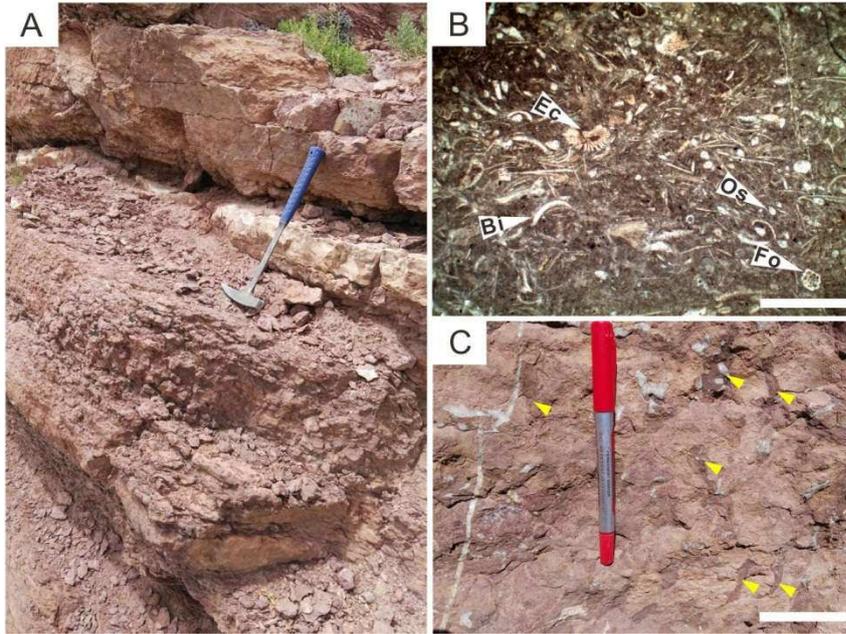
590 echinoid (Ec), foraminifera (Fo), and gastropods (Ga), Sample SL11-2.2, scale bar = 1 mm.

591 (C) Cortoid floatstone showing preferentially oriented cortoids (Co) that consists of bright

592 yellow cortex encrusted on thick-shelled bivalves (Bi), Sample SL11-0.6, scale bar = 1

593 mm.

594



595

596 **Fig. 4.** (A) Field photo of Spathian, red, nodular limestone at Tulong. Hammer (35 cm in

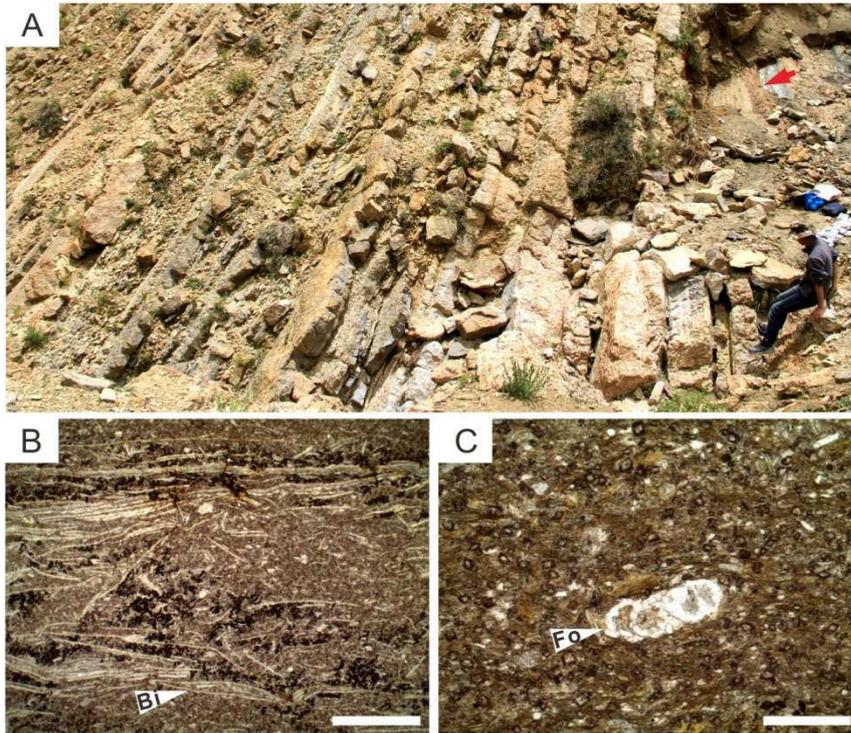
597 length) for scale. (B) Bioclastic packstone with diverse fossils including bivalve (Bi),

598 echinoid (Ec), foraminifera (Fo), and ostracods (Os), Sample TL19-0.2, scale bar = 1 mm.

599 (C) Outcrop photograph showing abundant burrows (yellow arrows) developed in red

600 limestone at the Tulong section, pen (15 cm in length) for scale.

601



602

603 **Fig. 5.** (A) Field photo of Spathian carbonate beds at Xialong, person (178 cm in height)

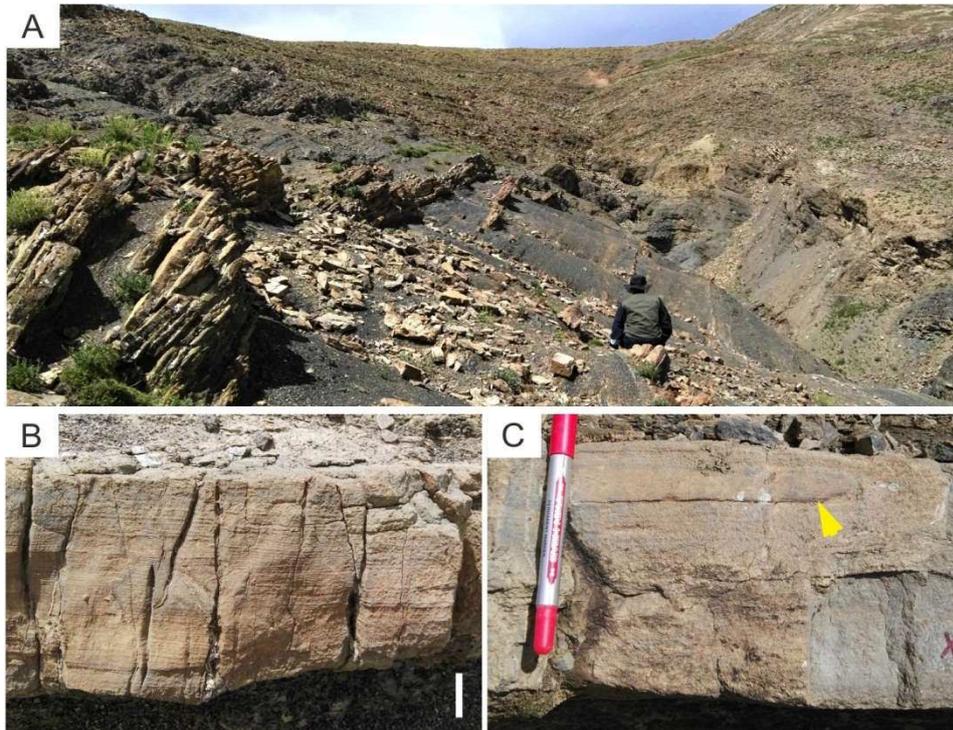
604 for scale. (B) Bivalve wackestone consisting of thin-shelled bivalves (Bi) with weak

605 preferential orientation, Sample XL-60, scale bar = 1 mm. (C) Marly siltstone with rare

606 small foraminifera (Fo), Sample XL56, scale bar = 0.5 mm.

607

608



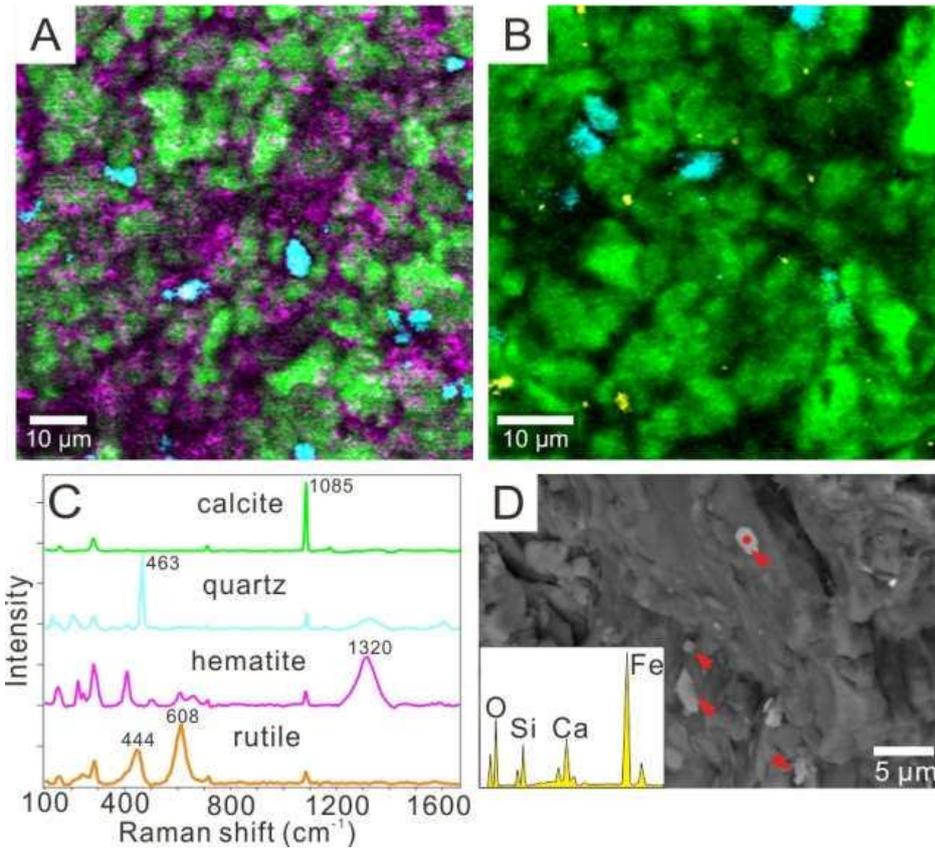
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610 **Fig. 6.** (A) Field photo of the Lower Triassic sequence at Xiukang, person (178 cm in

611 height) for scale. (B) Silty limestone showing parallel bedding, Bed 10, scale bar = 5 cm.

612 (C) Thin-bedded, silty limestone containing floating pebble (arrow), Bed 6, pen (15 cm in

613 length) for scale.



614

615 **Fig. 7.** (A) Raman map of ORB sample from Tulong section showing randomly scattered

616 hematites in matrix, Sample TL19+0.2. Color carmine is for hematite, green for calcite,

617 turquoise for quartz. (B) Raman map of non-ORB sample from Selong section showing the

618 absence of hematite, Sample SL11+100. Colors: light yellow is rutile, green is calcite,

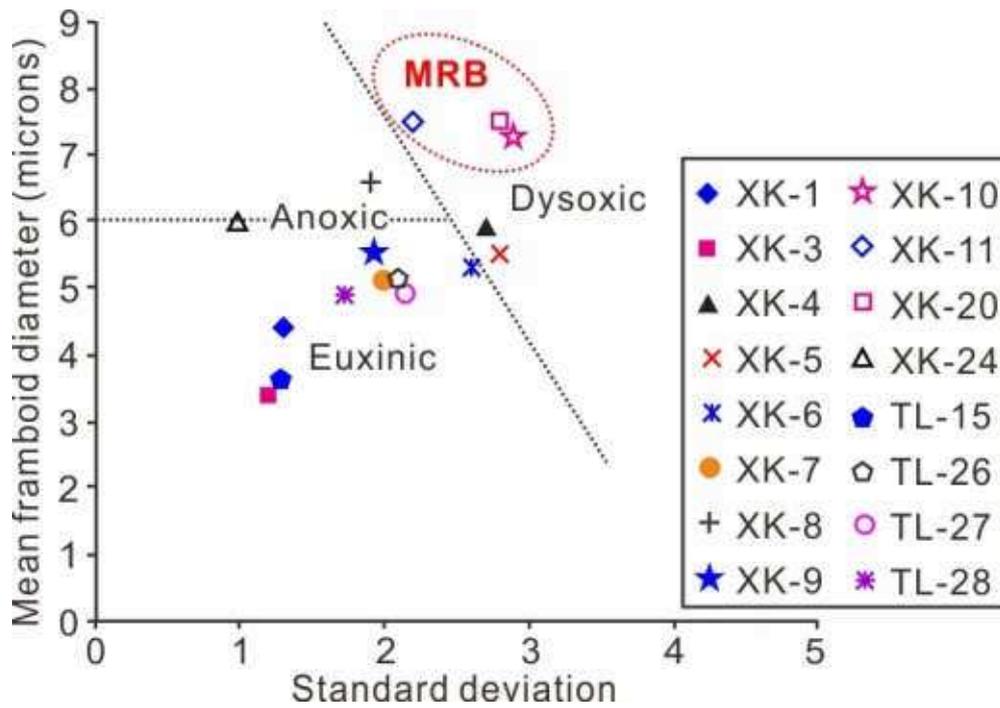
619 turquoise is quartz. (C) Raman spectra of the minerals present in A and B. (D) SEM image

620 of the ORB sample in A showing micrometer-sized, euhedral to subhedral hematite crystals

621 (arrows) that are randomly scattered in matrix. Inset in D, EDS spectrum of the marked

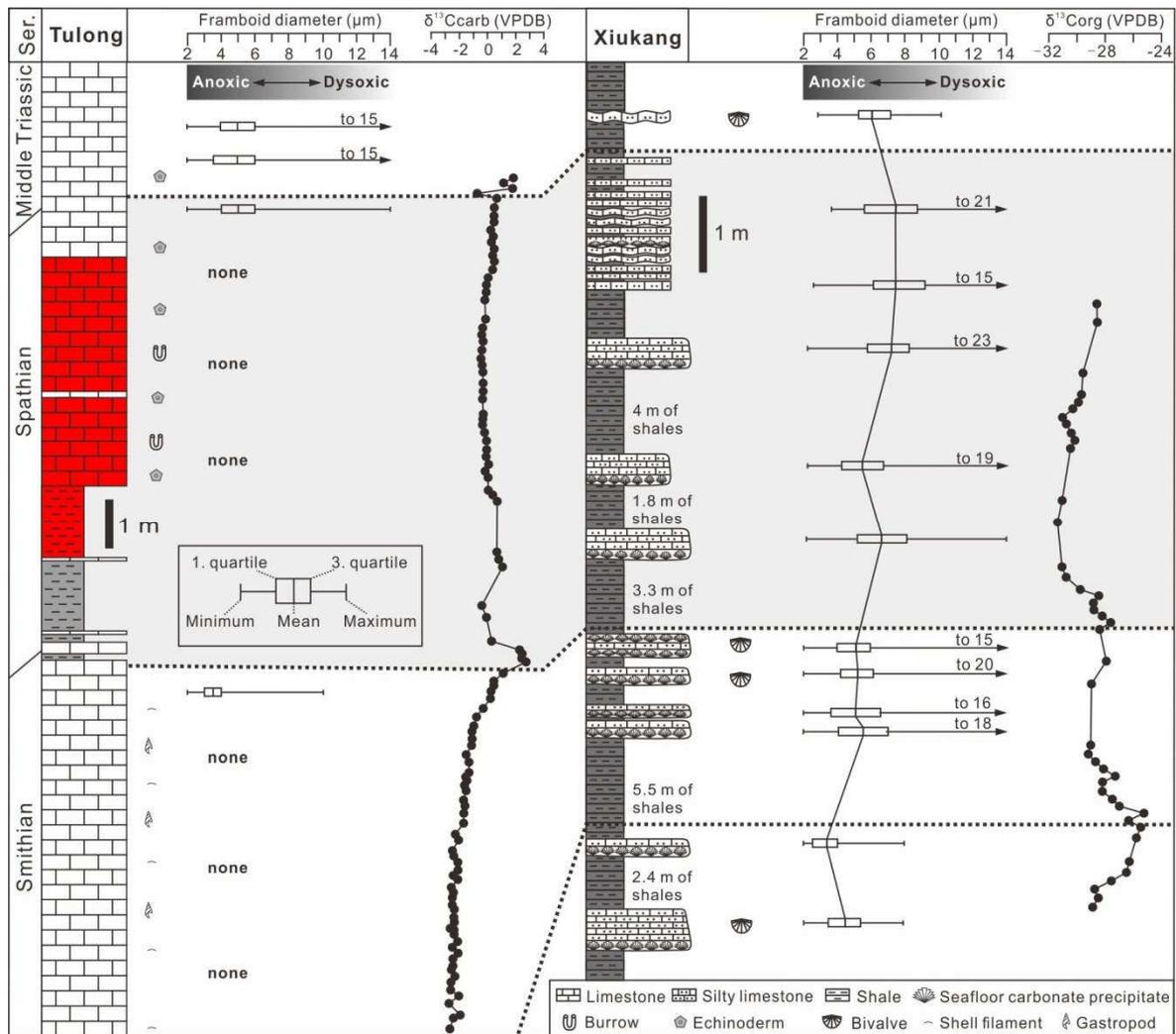
622 hematite.

623



624

625 **Fig. 8.** Cross-plot diagram showing mean diameter vs. standard deviation of framboidal
 626 pyrite from Tulong and Xiukang sections. Note that the samples (XK-10, XK-11, XK-20)
 627 plotted within the dashed-line ellipse mark the occurrence of ORBs in Tulong section. The
 628 boundary separating fields for euxinic and/or anoxic and dysoxic environments follows
 629 that of Wilkin et al. (1996) and Bond and Wignall (2010).



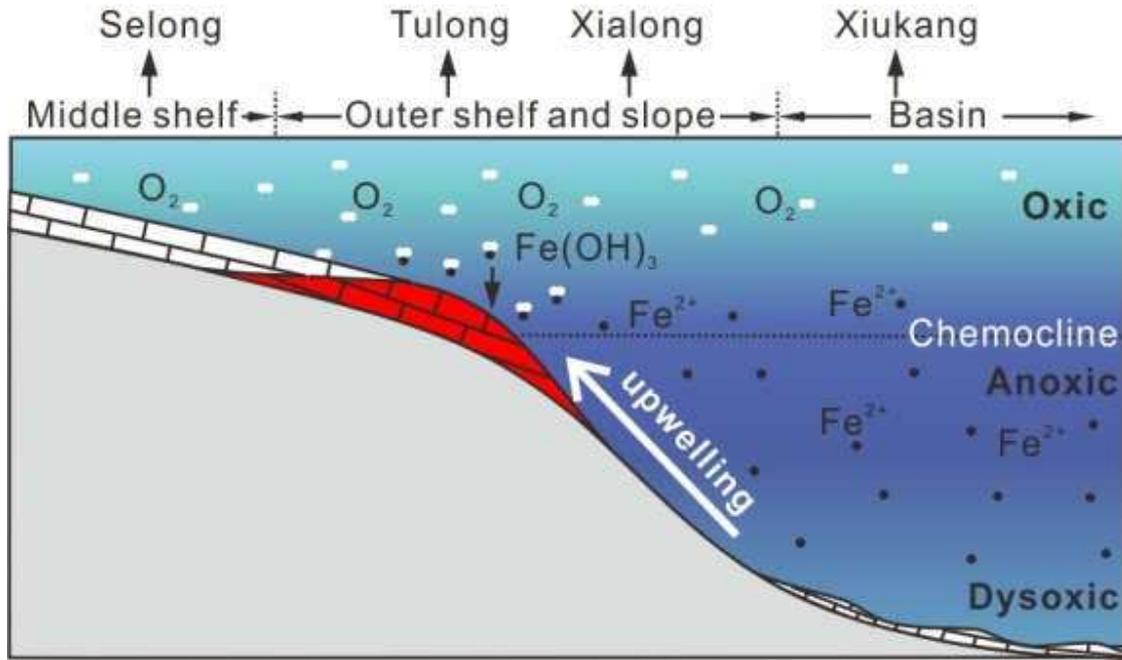
630

631 **Fig. 9.** Logs of the Tulong and Xiukang sections showing framboid pyrite

632 “box-and-whisker” plots. Note the stepwise increase in the diameter of framboid pyrite in

633 Xiukang section, reaching a maximum during the Spathian, which is coincident with the

634 occurrence of ORBs at the Tulong section.



635

636 **Fig. 10.** Schematic model for the Spathian ORBs deposition in South Tibet (modified from
 637 Wang et al., 2009). The strengthening of ocean circulation due to climate cooling leads to
 638 intense upwelling, transferring large amounts of Fe (II) to shelf regions where it is oxidized
 639 and accumulates to form ORBs.

640

641 **Table 1.** Microfacies classification and description.

642