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# Synthesizing Real-Time Schedulability Tests using Evolutionary Algorithms: A Proof of Concept

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**Abstract**—This paper assesses the potential for mechanised assistance in the formulation of schedulability tests. The novel idea is to use evolutionary algorithms to semi-automate the process of deriving response time analysis equations. The proof of concept presented in this paper focuses on the synthesis of mathematical expressions for the schedulability analysis of messages on Controller Area Network (CAN). This problem is of particular interest, since the original analysis developed in the early 1990s was later found to be flawed. Further, as well as known exact tests that have been formally proven, there are a number of useful sufficient tests of pseudo-polynomial complexity and closed-form polynomial-time upper bounds on response times that provide useful comparisons.

**Index Terms**—real-time systems, schedulability analysis, evolutionary algorithms, Controller Area Network

## I. INTRODUCTION

Real-time systems are characterised by the need for both functional and timing correctness. Verifying the timing correctness of a real-time system is typically framed as a two step process: *timing analysis* seeks to characterise the amount of time that each task can take to execute, or each message can take to be transmitted. Using this information, *schedulability analysis* aims to characterise the worst-case end-to-end response time of functionality involving one or more tasks or messages, taking into account the way in which they are scheduled and any interference between them. An upper bound on the worst-case response time can then be compared to the deadline to determine if timing requirements can be met.

It is interesting to consider how schedulability tests are typically devised. Usually this is a creative manual process. Researchers try to determine the worst-case possible scenario(s) given a model of the behaviour of the system, its tasks, messages, and scheduling policies. Often these worst-case scenarios are derived via pencil-and-paper or white-board examination of how the system may behave, with schedules depicted for a small number of tasks or messages. In some cases, theorems and proofs are derived proving properties of the worst-case scenario(s). From a consideration of these worst-case scenarios, researchers then look to construct schedulability tests or response time analyses that upper bound the response times for any valid scenario. Thus providing some form of guarantee that each task or message will always meet its deadline, provided of course that the system behaves as modelled, and the assumed worst-case scenario really does

represent the worst-case. Informal proofs of the correctness of schedulability tests are often made via hand-crafted logical arguments checked by co-authors and reviewed by peers. Further efforts at validation are usually done via simulation. While simulation of large numbers of synthetically generated task or message sets cannot prove correctness, since not every scenario can be considered, they can sometimes show that an analysis technique is flawed (i.e. optimistic) by revealing a counter-example. Such corner-cases can be very rare, and may not always be revealed by this form of verification.

The research literature on real-time scheduling is littered with the bodies of flawed proofs of theorems that appeared to the authors, peer reviewers, and many readers to be correct when first published, but were later found to be incorrect. High profile examples include the original analysis for Controller Area Network (CAN) published by Tindell et al. [33]–[35] in 1994–5 that was subsequently shown to be incorrect by Bril et al. [7] in 2006 and comprehensively refuted, revisited, and revised by Davis et al. [11] in 2007. (Interestingly, Di Natale and Zeng [22] showed that this flaw is exposed by only around one-in-a-million synthetically generated message sets). More recently, in 2015, Nelissen et al. [23] discovered flaws in scheduling theory for self-suspending tasks [18] published in 2010, with a subsequent critical review by Chen et al. [9] in 2018 felling a whole swathe of subsequent research in this area. Another example is the early analysis of wormhole routing on a Network-on-Chip (NoC) by Shi and Burns [32] published in 2008. This analysis was shown to be optimistic by Xiong et al. [39] in 2016. They presented a revised analysis, only for that method to be proven optimistic by Indrusiak et al. [14] later that same year. In response to these problems, recent efforts at mechanised formal proofs for real-time analysis [8] are beginning to gain traction, with recent work on the PROSA project<sup>1</sup> aiming to formally prove the revised CAN schedulability analysis [11].

In this paper we address a related aspect of the overall problem of schedulability analysis. System models are gradually improving in their fidelity and thus taking into account more detailed behaviours; however, this is making both worst-case scenarios and sound response time equations more difficult to derive. The main contribution of this paper is to propose

<sup>1</sup><http://prosa.mpi-sws.org/>

mechanised assistance to researchers in the formulation of schedulability tests. Specifically, we propose the use of evolutionary algorithms to semi-automate the process of deriving response time analysis equations. While the research effort on PROSA seeks to provide a means of *proof-assistance* for use in real-time scheduling problems, we aim to complement that by providing a means of *formulation-assistance*.

Utilising the proposed semi-automated formulation assistance, the overall work flow for a particular scheduling problem can be summarised as follows. First, researchers consider the system model and scheduling policies used, and determine a set of *symbols* and *operators* forming a *grammar* that can be used to express response time analysis equations that could potentially provide solutions to the problem. Second, they obtain a set of *verification vectors*. Each verification vector represents a concrete system, and provides the parameter values for all of the entities that are scheduled in that system, as well as their *indicative* response times. The indicative response times are guaranteed lower bounds on the worst-case response time, and are typically obtained via measurements taken from: (i) a real system, (ii) a cycle-accurate simulation of the system, or (iii) a simulation using an appropriate high level model. The grammar and the verification vectors are used as inputs into the formulation assistant. The formulation assistant uses an evolutionary algorithm to create *populations* of *candidate* response time equations that comply with the grammar. Each candidate equation is evaluated against the data for every entity in the set of verification vectors, resulting in a set of *computed* response times. The *fitness* of the candidate equation is then determined by comparing the set of computed response times that it produces with the set indicative response times. High fitness implies that the computed response times provide a tight upper bound on the indicative response times. The evolutionary algorithm creates subsequent *generations* of candidate equations by recombining and mutating candidates from the previous generation that are selected with a probability depending on their fitness. This selection pressure ensures that the overall fitness of the population increases over a number of generations, and the algorithm is able to find individual candidates with high fitness. The best candidate equations are returned as the output of the formulation assistant.

The aim of using a formulation assistant to provide suggestions for response time equations is not to supplant researchers in this area, but rather to help them in finding effective response time analyses that can be explored in more detail, including being subject to both informal and formal proof, which remains the responsibility of the researcher. The overall processes is illustrated in Figure 1, which depicts researchers taking a system model and using it to create a grammar and a set of verification vectors that form the inputs to the formulation assistant. The formulation assistant produces candidate equations that can be checked and refined by the researcher. In a final step, the resulting candidate analysis may be checked via a proof assistant to provide the final proven schedulability analysis.

One of the benefits of using an evolutionary approach to

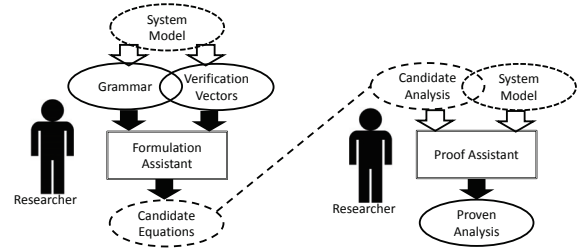


Fig. 1. Overall process of deriving proven analysis.

assist researchers in this way is that it can potentially find a range of equations from simple yet effective tests that may be useful for fast design space exploration, to more complex tests that provide more precise results. The approach is flexible and can potentially be seeded with equations that are known to be correct for simplified versions of the problem, enabling exploration of a family of similar scheduling problems. Further, it removes the need for researchers to implement multiple different candidate schedulability tests, since each one is tested automatically against the verification vectors.

This use of verification vectors is both a potential pitfall and an advantage. If there are corner cases and worst-case scenarios that are not captured in the set of verification vectors, then there is clearly the potential for the equations produced to be optimistic, as indeed is the case with an entirely manual process. However, whenever such corner cases are found they can simply be added to the set of verification vectors and the process re-run to find better solutions that correctly account for those scenarios. As future work, not explored in this paper, we also envisage the co-evolution of verification vectors along with the candidate equations.

The main contribution of this paper is in introducing the concept of a *formulation assistant*, based on an evolutionary algorithm that can be used to find effective schedulability tests (i.e. response time analysis) for real-time systems. We provide a proof-of-concept implementation and evaluation of this idea based on the problem of schedulability analysis for Controller Area Network (CAN). This particular research area was chosen as an exemplar for a number of reasons: The correct response time analysis equations are not entirely obvious, as evidenced by the original publication of a flawed approach [33]–[35]. Further, the equations lend themselves to simplification, with many different formulae providing valid upper bounds with varying degrees of pessimism. Finally, since message transmission times can easily be calculated (unlike the WCET problem for tasks) and network time is measured in units of bit times, simulations or proven exact analysis can be used to accurately capture indicative response times. Exploring this area also leaves open possible extensions and variations on the basic behaviour, for example looking at the different response time equations that would be needed for systems where nodes on the network use FIFO queues [13], or where the message in the transmit buffer cannot be aborted [16], [21]. Both of these mechanisms impact the overall scheduling policy and hence the response times of messages.

In the real-time domain, evolutionary algorithms have previously been applied on a variety of problems including:

- (i) Task mapping and allocation for distributed [24], [20] and Network-on-Chip [19], [27]–[30] systems;
- (ii) Test data generation aimed at finding worst-case execution times [36]–[38], [2]; and
- (iii) Stress-testing reactive real-time systems with the aim of finding task arrival patterns that result in missed deadlines [5], [6].

Although employing evolutionary techniques, all of these works differ from the research reported in this paper in terms of both the type of problem addressed and the type of evolutionary methods used. To the best of our knowledge, the research reported here represents the first application of evolutionary techniques (specifically genetic programming / grammatical evolution) to the problem of finding schedulability tests for real-time systems. (A preliminary publication of our concept and ideas appeared in arXiv [15]).

## II. BACKGROUND

In this section, we provide a brief background on Symbolic Regression, Genetic Programming, and Grammatical Evolution.

*Symbolic Regression* is a form of regression analysis that searches the space of mathematical expressions to find a formula that best fits the measurement data provided. As a simple example of symbolic regression, one might try to determine the mathematical expression or formula for the remaining area  $A$  of an ellipse which has a semi-minor axis of length  $x$ , a semi-major axis of length  $y$ , and a circular area removed from it of radius  $x$ , based on the following data set  $(x, y, A)$ : (1, 1, 0), (1, 2, 3.14), (1, 3, 6.28), (1, 4, 9.42), (2, 2, 0), (2, 3, 6.28), (2, 4, 12.57). Note, the correct formula is  $(xy - x^2)\pi$ . While symbolic regression has long been the province of mathematicians, during the 1990s effective computerized approaches were developed based on Genetic Programming [17] and Grammatical Evolution [25], [26].

*Genetic Programming* introduced by Koza [17] in the early 1990s is based on the concept of an evolutionary algorithm which operates on a population of candidate computer programs. Each candidate program is represented by a tree structure, i.e. a graph with nodes, edges, and terminals (leaves), where the nodes are *functions* (for example  $+$ ,  $-$ ,  $*$ ,  $/$ ,  $\min$ ,  $\max$ ) and the terminals are *symbols* (for example  $x$ ,  $y$ ,  $1$ ,  $\pi$ ). By contrast genetic algorithms typically represent candidate solutions via fixed-length coded strings of numbers. With Genetic Programming the population is first initialised with a randomly generated set of candidate programs, with tree structures occupied by combinations of the available functions and symbols. The fitness of each candidate program is then evaluated by *executing* it using the input values from the measurement data provided, and comparing the resulting output to the reference value associated with those inputs. The smaller the deviation from the reference value the greater the fitness. Subsequent generations of candidate programs are created via evolution by recombining and mutating candidate

programs from the previous generation that are selected on the basis of their fitness. This selection pressure acts to improve the overall fitness of the population over the generations. Hence, after a number of generations the method is typically able to find candidate programs with high fitness. Recombination involves selecting a node at random on each of two candidates and then swapping the sub-trees at that point. Mutation, on the other hand, selects a node or a terminal at random and replaces it with a randomly selected function or symbol. Alternatively, a randomly selected sub-tree may be replaced by another randomly generated sub-tree. For example, using prefix notation, two possible candidates aimed at computing the remaining area  $A$  of the ellipse mentioned earlier are:  $(* \pi (- (* y y) (* x x)))$  and  $(* \pi (+ (* x y) (* y y)))$ . Via re-combining, the next generation might include  $(* \pi (+ (* x y) (* x x)))$  with further mutation giving  $(* \pi (- (* x y) (* x x)))$ , which is in fact the correct solution. For an introduction to the main principles of Genetic Programming, including detailed illustrative examples, see the work of Sette and Boullart [31].

*Grammatical Evolution* introduced by Ryan and O'Neill [25], [26] in the late 1990s retains the fundamental concepts of Genetic Programming; however, rather than performing the evolutionary process on the actual programs, Grammatical Evolution represents candidate programs as expressions in the form of variable length strings encoded according to a grammar defined in Backus–Naur Form (BNF). These strings are then evolved via re-combination and mutation operations that respect the specific rules of the defined grammar. Grammatical Evolution has the advantage that the rules of the grammar provide direct control over precisely how the functions and symbols may be combined. It permits implementation in any programming language, and produces solutions that can be translated into an arbitrary programming language or simply interpreted as mathematical expressions.

For the proof-of-concept formulation assistant described in this paper, we make use of an approach based on Grammatical Evolution. We selected an evolutionary approach in preference to Tabu Search or Simulated Annealing because the mutation and crossover operators can easily be applied over grammar trees, and the population-based approach supports parallelism. Further, with Grammatical Evolution the grammar rules provide control over how functions and symbols are combined which is useful in applying domain knowledge to the problem.

## III. CONTROLLER AREA NETWORK

Controller Area Network (CAN) [3], [4] is a broadcast communications bus that is widely used for in-vehicle networks in the automotive and commercial vehicle industries. It is also used in building, home, and factory automation; and in computer integrated manufacturing. CAN is an asynchronous multi-master serial data bus that uses Carrier Sense Multiple Access / Collision Resolution (CSMA/CR). The CAN protocol requires that nodes wait for a bus idle period before attempting to transmit. If two or more nodes attempt to transmit messages at the same time, then the node with the highest priority



message will win arbitration and continue to send its message. The other nodes will cease transmitting and wait for the bus to become idle again before attempting to re-transmit their messages. (Full details of the CAN physical layer protocol are given in [3]). In effect CAN messages are sent according to fixed priority non-pre-emptive scheduling.

#### A. Background Research on CAN

In 1994-5, Tindell et al. [33]–[35] showed how research into fixed priority scheduling for single processor systems could be applied to the scheduling of messages on CAN. The analysis of Tindell et al. provides a method of calculating the maximum queuing delay and hence the worst-case response time of each message on the network. In 2007, Davis et al. [11] corrected significant flaws in this early analysis that could potentially result in it providing guarantees for messages that could subsequently miss their deadlines during operation. As with all fixed priority systems, appropriate priority assignment is essential to achieve schedulability at high bus utilisations. Davis et al. [11] also showed that Deadline minus Jitter Monotonic Priority Order, claimed by Tindell et al. to be optimal for CAN, is not optimal with respect to exact schedulability tests; and that Audsleys Optimal Priority Assignment (OPA) algorithm [1] is required in this case. Subsequently, Davis and Burns [10] introduced the concept of robust priority ordering, able to best tolerate additional interference due to errors on the bus.

#### B. System Model

In this section we describe the system model and notation used to analyse the worst-case response times of CAN messages. The system is assumed to consist of a number of nodes connected to each other via a CAN bus. Each node is assumed to ensure that whenever arbitration starts on the bus, the highest priority message queued at that node is entered into arbitration. A fixed set of hard real-time messages are transmitted over the network. Each message  $i$  has a unique priority and is transmitted by a single node. We overload  $i$  to mean either message  $i$  or priority  $i$  as appropriate. We use  $hp(i)$  to denote the set of messages with priorities higher than  $i$ , and  $lp(i)$  to denote those with priorities lower than  $i$ . Each message  $i$  has a maximum transmission time of  $C_i$ . The event that triggers queuing of an instance of message  $i$  is assumed to occur with a minimum inter-arrival time of  $T_i$ , referred to as the message period. Each message  $i$  has a hard deadline  $D_i$ , corresponding to the maximum time allowed from the initiating event for an instance of the message to the end of its transmission, at which point the message data is available on the receiving nodes that require it. The deadline of each message is constrained to be less than or equal to its period ( $D_i \leq T_i$ ). Each message  $i$  is assumed to be placed in a queue and available for transmission in a bounded time  $J_i$  after its initiating event, where  $J_i$  is the release jitter of the message. The worst-case response time  $R_i$  of message  $i$  is defined as the maximum possible delay from the initiating event for an instance of that message, until it is received at the receiving nodes. A message is schedulable if its worst-case response

time is less than or equal to its deadline ( $R_i \leq D_i$ ). A system is schedulable if all of its messages are schedulable.

#### C. Existing Schedulability Analysis

In this section we recapitulate the exact and sufficient schedulability analysis for CAN given by Davis et al. [11]. The worst-case response time of message  $i$  can be determined by examining the response time of all instances of message  $i$  that occur within a priority level- $i$  busy period; assuming that message  $i$  and all higher priority messages are released with their maximum jitter at the start of the busy period, and then subsequently re-released as soon as possible. Further, immediately before the initial release of these messages, the longest message of lower priority than  $i$  begins transmission.  $B_i$  is the blocking factor at priority  $i$ , equivalent to the longest transmission time of any message of lower priority:

$$B_i = \max_{k \in lp(i)} (C_k) \quad (1)$$

In the following, we use the index variable  $q$  to represent an instance of message  $i$ . The first instance, released at the start of the busy period corresponds to  $q = 0$ . The longest time from the start of the busy period to instance  $q$  beginning transmission is given by the solution to the following fixed point equation:

$$w_i^{m+1}(q) = B_i + qC_i + \sum_{k \in hp(i)} \left\lceil \frac{w_i^m(q) + J_k + \tau_{bit}}{T_k} \right\rceil C_k \quad (2)$$

Note  $\tau_{bit}$  is the time for one bit to be transmitted on the bus. The summation term represents interference from higher priority messages that can win arbitration over message  $i$  and so delay its transmission. Iteration starts with a value of  $w_i^0(q) = B_i + qC_i$ , and ends on convergence when  $w_i^{n+1}(q) = w_i^n(q)$ , or when  $J_i + w_i^{n+1}(q) - qT_i + C_i > D_i$  in which case the message is unschedulable. The response time of instance  $q$  is given by:

$$R_i(q) = J_i + w_i(q) - qT_i + C_i \quad (3)$$

and the worst-case response time of message  $i$  is given by:

$$R_i = \max_{q=0 \dots Q_i-1} (R_i(q)) \quad (4)$$

where  $Q_i$  is the number of instances of message  $i$  in the priority level- $i$  busy period (see [11] for details of how  $Q_i$  is computed). For ease of reference, we refer to the exact schedulability test given by (2), (3), and (4) as **E1**.

As shown by Davis et al. [11], when messages have constrained deadlines, an upper bound on the worst-case response time of message  $i$  may be found by computing the maximum queuing delay  $w_i$  using the following fixed point iteration, where the revised blocking term  $\max(B_i, C_i)$  accounts for *push-through* blocking from previous instances of the same message:

$$w_i^{n+1} = \max(B_i, C_i) + \sum_{k \in hp(i)} \left\lceil \frac{w_i^n + J_k + \tau_{bit}}{T_k} \right\rceil C_k \quad (5)$$

Here, iteration starts with a suitable initial value such as  $w_i^0 = \max(B_i, C_i)$ , and ends when  $w_i^{n+1} + J_i + C_i > D_i$  in which case the message is unschedulable, or when  $w_i^{n+1} = w_i^n$  in which case the message is schedulable and an upper bound on its worst-case response time is given by:

$$R_i = J_i + w_i + C_i \quad (6)$$

This sufficient test is used in commercial schedulability analysis tools, for example Mentor Graphics Volcano Network Architect toolset<sup>2</sup>, due to its ease of implementation, speed of operation, and extensibility [12].

#### D. Simplifying the Schedulability Tests

Below, we re-arrange the sufficient test given by (5) and (6) removing the queuing delay  $w_i$  which is in effect a working variable. Since we measure time in units of  $\tau_{bit}$ , this value can be replaced by 1. Further, since  $\forall k C_k > \tau_{bit}$  then  $\lceil (x+1)/y \rceil$  can be replaced by  $\lfloor x/y \rfloor + 1$  to give an equivalent formulation. We refer to this sufficient test as **S1**.

$$R_i = J_i + C_i + \max(B_i, C_i) + \sum_{k \in hp(i)} \left( \left\lfloor \frac{R_i - J_i - C_i + J_k}{T_k} \right\rfloor + 1 \right) C_k \quad (7)$$

We note that due to the fixed point iteration required to find a solution, this equation has pseudo-polynomial time complexity. It can be simplified to give a closed-form polynomial time over-approximation by substituting  $D_i$  for  $R_i$  on the right hand side. Thus we have sufficient test **S2**:

$$R_i = J_i + C_i + \max(B_i, C_i) + \sum_{k \in hp(i)} \left( \left\lfloor \frac{D_i - J_i - C_i + J_k}{T_k} \right\rfloor + 1 \right) C_k \quad (8)$$

Further simplifications are possible, retaining sufficiency at the cost of a further degradation in precision. For example, since  $-J_i - C_i$  within the floor function can only reduce the value obtained this can be removed, hence we have sufficient test **S3**:

$$R_i = J_i + C_i + \max(B_i, C_i) + \sum_{k \in hp(i)} \left( \left\lfloor \frac{D_i + J_k}{T_k} \right\rfloor + 1 \right) C_k \quad (9)$$

Finally, the original flawed schedulability test of Tindell et al. [33]–[35] can be expressed as follows. We refer to this test as **F1**:

$$R_i = J_i + C_i + B_i + \sum_{k \in hp(i)} \left( \left\lfloor \frac{R_i - J_i - C_i + J_k}{T_k} \right\rfloor + 1 \right) C_k \quad (10)$$

Note the close similarity between **S1** and **F1**, which differ only in the blocking term, with  $B_i$  substituted for  $\max(B_i, C_i)$ .

We use schedulability tests **S1**, **S2**, **S3**, **F1**, and the exact test **E1** as a basis for comparisons in Section V.

## IV. FORMULATION-ASSISTANT

In this section, we describe the generic framework used to implement a *formulation assistant* aimed at helping researchers to find effective schedulability tests for real-time systems, in the form of response time analysis equations.

The idea of a formulation assistant starts from an underlying assumption that for a system composed of  $n$  entities that are scheduled, a sound upper bound on the worst-case response time of each entity  $i$  can be formulated as an equation in the canonical form:  $R_i = \langle \text{expr} \rangle$ , where  $\langle \text{expr} \rangle$  is a complex expression composed, via an appropriate *grammar*, from further nested *expressions*, *operators*, and *terminals* comprising *symbols* representing the parameters of the system.

The set of available symbols and operators must be defined by the researcher, with due consideration for the scheduling problem at hand, and the *dimensionality* of the results produced (see Section IV-B). The symbols and operators provide the fundamental building blocks from which appropriate response time analysis equations can be constructed. Symbols can include various parameters ( $X_i$ ) of entity  $i$ , such as its period ( $T_i$ ) and deadline ( $D_i$ ), and parameters of the system itself, such as the number of processors. Operators can include simple arithmetic functions with two arguments such as addition, subtraction, max, and min, as well as compound operators made up of multiplication, ceiling, and floor. More complex operators are also possible including summation that iterates over sub-sets of entities, with an additional index variable  $k$  permitting the use of further symbols (e.g.  $X_k$ ) pertaining to each of these. Further, *recursive* equations are possible, since the symbol  $R_i$  may also appear in expressions on the right hand side of the equation (see Section IV-D for details of how these are evaluated). Finally, since response times are measured in integer units of processor or network clock cycles, we assume that all values used are integers, and all operators use integers as their input and output values.

We note that when designing a grammar, it is essential that the set of operators fulfil the *closure* property, meaning that each operator is able to process all possible values generated by other operators and the symbols (i.e parameters). Further, the grammar must also be *sufficient* in the sense that it must be possible to solve the problem using the proposed set of operators and symbols [31]. As well as an appropriate grammar, the method relies on a set of *verification vectors*. Each vector provides the *parameter values* for all of the scheduled entities in a concrete system, as well as their *indicative* response times. The indicative response times are guaranteed lower bounds on the worst-case response time of that entity, and may be obtained via measurements taken from: (i) a real system, (ii) a cycle-accurate simulation of the system, or (iii) a simulation using an appropriate high level model.

The formulation assistant uses an evolutionary algorithm which makes use of the grammar and the verification vectors to find response time equations that compute tight upper bounds on the indicative response times given in the verification vectors. The evolutionary algorithm first creates an initial

<sup>2</sup><http://www.mentor.com/products/vnd/communication-management/vna/>

population of *candidate* equations at random and evaluates their *fitness*. The fitness of a candidate equation determines the probability that it will be selected to produce new candidates in the next *generation* via recombination with other candidates and mutation. The idea is that this selection pressure ensures that the overall fitness of the population increases over a number of generations, and the algorithm is able to find individual candidate equations with high fitness, i.e. good solutions to the optimisation problem considered. The objective or fitness function is key to this.

The fitness of each candidate equation is determined with respect to the set of verification vectors. Each candidate equation is evaluated for each entity in each verification vector, using the parameter values stored in the vector. This results in a *computed* response time. The computed response times are compared to the indicative response times to determine the overall fitness of the candidate equation.

#### A. Fitness Function

The fitness function compares the indicative response times from the verification vectors with the computed response times produced by a candidate equation. The design of the fitness function is vitally important in finding good quality solutions to the optimisation problem considered.

For a given candidate equation, fitness is computed for each of the  $n$  entities in each of the  $V$  verification vectors. The overall fitness is then simply the sum of these  $nV$  fitness values. For each entity, the pair of indicative  $R_i^{indic}$  and computed  $R_i^{comp}$  response times are compared, and the fitness function defined as follows:

$$F = \begin{cases} 0 & R_i^{comp} = R_i^{indic} \\ \min\left(100, \left(\frac{R_i^{comp}}{R_i^{indic}} - 1\right)\right) & R_i^{comp} > R_i^{indic} \\ W\left(1 - \frac{R_i^{comp}}{R_i^{indic}}\right) & R_i^{comp} < R_i^{indic} \end{cases} \quad (11)$$

If the computed response time is equal to its indicative counterpart, then the equation provides perfect analysis, and the contribution to the fitness function is zero. Alternatively, if the computed response time is greater than its indicative counterpart, then the candidate equation at least provides a sound analysis, even though it may not be a good one. In this case, the fitness depends on the degree of over-approximation up to a limit (a 100-fold over-approximation). Finally, if the computed response time is smaller than its indicative counterpart, then the equation does not provide sound analysis. In this case, the fitness depends on both the degree of under-approximation, and the weighting factor ( $W > 1$ ) used to penalise unsound results. Here, the largest value that can be obtained is  $F = W$ , which occurs when the computed response time is zero.

As the evolutionary algorithm iterates over a number of generations, the weighting factor  $W$  used in the fitness function is varied to adjust the amount of tolerance given to unsound equations. Initially,  $W = 1$  giving a balance between under and over-approximation. Assuming there are  $G$  generations in total, then  $W$  is increased exponentially

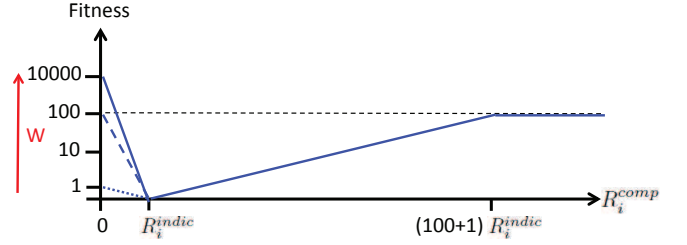


Fig. 2. Fitness function

for the first  $G/2$  generations up to  $W = 10000$ , meaning that a single under-approximation ( $R_i^{comp} = 0$ ) contributes a fitness score equal to having a two-fold ( $R_i^{comp} = 2R_i^{indic}$ ) over-approximation for 10,000 entities. (More precisely, for generation  $g = 0 \dots G/2$ ,  $W = 10000^{(2g/G)}$ ). For the second  $G/2$  generations,  $W = 10000$  permitting the algorithm to refine the resulting expressions against an unchanging fitness function. Figure 2 illustrates how the fitness function varies with the value of  $R_i^{comp}$  and the weighting factor used to penalise under-approximation of  $R_i^{indic}$ .

Note that although the fitness function was derived according to the arguments given above, and refined via the evaluation of different variants (see Section VI), a systematic study of the most appropriate fitness functions to use remains an avenue for future work.

#### B. Dimensionality and Scale-Invariance

It is important that the grammar is designed to ensure that the expressions generated have the correct *dimensionality*, i.e. produce values in the same units as the response time  $R_i$ . This ensures that the candidate equations produced are also *scale-invariant*, meaning that it does not matter what scale the unit of measurement has, provided that it is used consistently throughout. These properties can be achieved by ensuring that all of the operators produce outputs that are of the same type and dimensionality as their inputs.

Since parameters such as the period  $T_i$ , deadline  $D_i$  and response time  $R_i$  are measured in the same units of time, expressions such as  $R_i = D_i$  are dimensionally correct. By contrast, an expression such as  $R_i = D_i * D_i$  is dimensionally incorrect, since the right hand side evaluates to a quantity that is in units of time squared. Assigning such a value to  $R_i$  would be both incorrect and meaningless. The operators add, subtract, min, max, and summation all result in the same units (dimensionality) for their outputs as their inputs, whereas multiply and divide (including floor and ceiling) do not. To preserve the correct dimensionality of the resulting expression, every multiply operation has to be exactly matched by a corresponding divide (either floor or ceiling) and vice-versa. To ensure that this is the case in our proof-of-concept implementation we make use of compound operators, effectively  $\lceil A/B \rceil C$  and  $\lfloor A/B \rfloor C$  rather than individual ceiling, floor, and multiply operators. This approach has the advantage that all of the expressions produced are dimensionally correct, and therefore meaningful. Further, it greatly reduces the size of the potential search space by eliminating all of the dimensionally incorrect expressions that could otherwise occur.



### C. Grammar Operators and Symbols

In this subsection, we outline the grammar used to describe response time equations. The basic grammar given below is appropriate for problems of fixed priority preemptive or non-preemptive scheduling on a single processor or network. The set of operators fulfil the essential *closure* property, meaning that each operator is able to process all possible values generated by other operators and the terminal symbols (i.e. parameters). The canonical form of the candidate equations is:  $R_i = \langle \text{expr} \rangle$ , where  $\langle \text{expr} \rangle$  is expressed in Backus–Naur Form in the text box at the end of this sub-section.

Note in this grammar, we use the symbols  $\star$  and  $\$,$  and  $\star$  and  $/$  to represent multiply combined with ceiling, and multiply combined with floor as follows:  $C \star (A \$ B) = \lceil A/B \rceil C$ ,  $C \star (A/B) = \lfloor A/B \rfloor C$ . Further,  $\sim$  and  $\_$  are used to represent the max and min operators, thus  $(A \sim B) = \max(A, B)$  and  $(A \_ B) = \min(A, B)$ . Finally, the  $\text{sigma}$  operator evaluates the summation of its second operand over the set of values specified by its first operand ( $\langle \text{range} \rangle$ ), which can indicate values of  $k$  from the sets  $lp(i)$ ,  $lep(i)$ ,  $hp(i)$ ,  $hep(i)$ , and  $all(i)$  i.e. all priorities.

The grammar is designed to constrain the complexity of the expressions that can be produced in a number of ways. Firstly,  $\text{sigma}$  (i.e. summation) terms are not permitted to nest inside other summations. This is enforced by  $\langle \text{exprInSum} \rangle$  which does not include  $\text{sigma}$  expressions. Similarly, the compound operators for multiply combined with ceiling and multiply combined with floor are not permitted to nest inside floor or ceiling expressions. This is enforced by  $\langle \text{exprInFloorCeil} \rangle$ . The grammar further enforces that parameters indexed by  $k$ , the iterator in summations, are only permitted within summations. This is enforced via the use of  $\langle \text{kVar} \rangle$  and  $\langle \text{kNumDenVar} \rangle$ . Further, the response time can only be composed of multiples of the parameters representing blocking  $B_i$ , release jitter  $J_i$ , transmission time (or execution time)  $C_i$ , and interference  $C_k$ . Other parameters such as  $T_i$ ,  $T_k$ , and  $J_k$  can only contribute to the values of the multipliers. This is enforced via the separation between  $\langle \text{iVar} \rangle$  and  $\langle \text{iNumDenVar} \rangle$ , and between  $\langle \text{kVar} \rangle$  and  $\langle \text{kNumDenVar} \rangle$ .

For experiments seeking to find non-recursive expressions, the grammar permits the use of the deadline  $D_i$  as a proxy for the response time on the right hand side of the expressions. In the grammar for recursive expressions this is simply replaced by  $R_i$  in the definition of  $\langle \text{iNumDenVar} \rangle$ . Note that the deadlines of other entities (e.g.  $D_k$ ) have no impact on the response time, since deadlines are in effect arbitrary points in time, that do not affect the actual schedule produced. Hence  $D_k$  does not appear in the grammar.

With the exception of deadlines, explained above, all of the message parameters from the system model used for the analysis of CAN (see section III) are included in the grammar. Note, the blocking factor  $B_i$  is also included even though it is a simple compound term. This is done because formulation assistance requires domain knowledge, and it is reasonable to

assume that researchers would know that blocking is important in any form of fixed priority scheduling.

Note that although many of the CAN schedulability analysis equations, for example (7) to (10) include the term “+1” in addition to a floor function, we do not permit the constant 1 in the grammar. This is because the constant 1 is a dimensionless quantity, and its use would prevent expressions from being dimensionally correct and scale-invariant. Instead, we note that the addition of the denominator to the numerator in a floor or ceiling function is the same as “+1”, i.e.  $\lfloor (A+B)/B \rfloor = \lfloor A/B \rfloor + 1$  hence use of the constant 1 or indeed any other value that is not derived from the set of parameters is not essential in the derivation of correct equations.

```

<test> ::=  $R_i = \langle \text{expr} \rangle$ 
<expr> ::=
  (<expr><op><expr>) | <iVar> |
  <expr> * (<exprInFloorCeil> $ <exprInFloorCeil>) |
  <expr> * (<exprInFloorCeil> / <exprInFloorCeil>) |
  sigma(<range>) (<exprInSum>) | ((<expr>) ~ (<expr>)) |
  ((<expr>) _ (<expr>))
<exprInSum> ::=
  (<exprInSum><op><exprInSum>) | <iVar> | <kVar> |
  <exprInSum> * (<exprInSumFloorCeil> $ <exprInSumFloorCeil>) |
  <exprInSum> * (<exprInSumFloorCeil> / <exprInSumFloorCeil>) |
  ((<exprInSum>) ~ (<exprInSum>)) |
  ((<exprInSum>) _ (<exprInSum>))
<exprInFloorCeil> ::=
  (<exprInFloorCeil><op><exprInFloorCeil>) | <iVar> |
  <iNumDenVar> | sigma(<range>) (<exprInSumFloorCeil>) |
  ((<exprInFloorCeil>) ~ (<exprInFloorCeil>)) |
  ((<exprInFloorCeil>) _ (<exprInFloorCeil>))
<exprInSumFloorCeil> ::=
  (<exprInSumFloorCeil><op><exprInSumFloorCeil>) |
  <iVar> | <kVar> | <iNumDenVar> | <kNumDenVar> |
  <exprInSumFloorCeil> * (<exprInSumFloorCeil> $ <exprInSumFloorCeil>) |
  <exprInSumFloorCeil> * (<exprInSumFloorCeil> / <exprInSumFloorCeil>) |
  <op> ::= + | -
  <range> ::= forall_k_InLp_i | forall_k_InLep_i | forall_k_InHp_i |
  forall_k_InHep_i | forall_k
  <iVar> ::=  $B_i$  |  $C_i$  |  $J_i$ 
  <kVar> ::=  $C_k$ 
  <iNumDenVar> ::=  $T_i$  |  $D_i$  (or in the recursive case ::=  $T_i$  |  $R_i$ )
  <kNumDenVar> ::=  $T_k$  |  $J_k$ 

```

### D. Recursive Equations

Since candidate equations may include the symbol  $R_i$  on the right hand side (RHS) they are assumed to be potentially recursive and therefore to require iterative evaluation. Iterative evaluation starts using an initial value, equivalent to the response time without any interference (i.e.  $J_i + C_i$ ) for any  $R_i$  symbols on the RHS of the equation. The equation is then evaluated, producing a new value for  $R_i$ . This value is then substituted for any  $R_i$  symbols on the RHS ready for the next iteration, and so on. The intermediate results of evaluating the equation are monitored on each iteration, and a number of rules and constraints are applied to ensure viable behaviour. These rules are designed to permit the evolution of equations that converge towards a solution in either a monotonic or non-monotonic way (for example, similar to the behaviour of a binary search). The rules are as follows: (i) If the result is the same on two consecutive iterations, then it has converged and iteration is terminated. All equations without  $R_i$  on the RHS converge like this after two iterations, since all other parameter values are fixed. (ii) If iteration does not converge, then it is limited to at most  $N$  steps, where  $N$  is a suitably large number



defined by the researcher. We note that a reasonable limit is required in practice to avoid excessive run times. A limit of 100 iterations is used in our proof-of-concept experiments. (iii) If a negative value, divide by zero, or too large an integer is produced, then iteration is terminated. In the case of a negative value, the result is assumed to be zero, which is a very poor value from the perspective of the fitness function. In the case of a divide by zero, or too large an integer, the final result is assumed to be the largest integer represented by the implementation. Again, this is also a poor value from the perspective of the fitness function.

## V. PROOF-OF-CONCEPT EVALUATION

In this section, we describe evaluation of the proof-of-concept formulation assistant on the problem of CAN schedulability analysis. Here, we aim to evolve simple but effective analysis formulated as a single expression that is directly comparable to existing schedulability tests **S1**, **S2**, **S3**, and **F1** for CAN. We note that the exact test **E1** is more complex, requiring a two stage process. Evolving such tests is beyond the scope of this initial investigation.

### A. Verification Vectors

The verification vectors for the proof-of-concept implementation were obtained assuming a CAN bus using 11-bit message identifiers. First, we randomly generated 100 verification vectors of 20 messages each as follows: The period  $T_i$  of each message was chosen at random according to a log-uniform distribution from the range 5 to 500ms; thus generating an equal number of messages in each time band (e.g. 5 to 50ms, 50 to 500 ms etc.). The deadline of each message was chosen at random according to a uniform distribution in the range  $0.5T_i$  to  $T_i$ . Thus all messages had constrained deadlines, with a minimum deadline of 2.5ms, and a maximum deadline of 500ms. The release jitter of each message was chosen at random according to a uniform distribution in the range 0 to  $0.5D_i$ . The number of data bytes was chosen at random according to a uniform distribution in the range 1 to 8 bytes. The priorities of the messages were assigned in Deadline minus Jitter ( $D_i - J_i$ ) monotonic priority order.

The timing characteristics of each verification vector (message set) were adjusted to obtain the desired total network utilisation. This was done by scaling the period, deadline, and release jitter of every message by the same factor. Five message sets were thus obtained for each of the 20 utilisation levels from 50% to 97.5% in steps of 2.5%. (This equates to a variety of bus speeds in the range 66KBits/sec to 250KBits/sec).

In addition, a further 25 verification vectors were generated (5 for each of the 5 utilisation levels from 25% to 35% in steps of 2.5%) using the same parameters as described above, but with their priorities assigned at random. (See section VI for a discussion as to why we added these vectors).

Finally, we also generated 10 verification vectors that highlight the flaw in the original analysis of CAN. These message sets were deemed schedulable by the schedulability test **F1**, but

are in fact *unschedulable* according to the exact test **E1**. Due to the difficulty in generating such message sets, each comprised 10 messages, with 8 data bytes, implicit deadlines ( $D_i = T_i$ ), zero release jitter, and utilisation levels from 95% to 99.5%. Priorities were assigned in Deadline Monotonic order. The 10 message sets revealing this flaw were found from a total of approx. 100,000 message sets with these characteristics.

In experiments 1-3 we determined the indicative response times ( $R_i^{indic}$ ) using exact analysis. This gives the evolutionary algorithm the best possible data to work from<sup>3</sup>. We then relaxed the quality of this data in experiment 4 to see if the evolutionary algorithm could still produce high quality candidate equations from imperfect data, similar to that produced via simulation or measurement in cases where the worst-case scenario(s) are unknown. Note, we did not use simulation to generate indicative response times, since to do so would raise the question of what to simulate. As the worst-case scenario is known for CAN, simulation of that scenario would only serve to provide a slow means of finding the exact worst-case response times. Instead we used the analytical form of exact analysis, as that provides a *ground truth* to compare against, and can be evaluated quickly. We then controlled the degree of approximation of the indicative response times fed into the evolutionary algorithm, as described in the following section. Note that since all message sets considered in our proof-of-concept evaluation had a total utilisation of strictly less than 1, we were able to use exact analysis to calculate the exact response time for each message irrespective of whether it was schedulable or not. This was achieved by only terminating the fixed point iteration in (2) on convergence, rather than when the deadline was exceeded.

### B. Parameter Settings for the Grammatical Evolution

We used the EpochX open source genetic programming framework (v1.4.1) to implement Grammatical Evolution. The basic parameter settings used were as follows: population size 1000, number of generations 2000, mutation rate 0.1 (with mutation of a small number of symbols permitted at the same time). The form of selection used was *Fitness Proportionate Selection*, where the probability of selecting each candidate for re-combination is determined in proportion to the reciprocal<sup>4</sup> of its fitness value. We repeated each experiment 500 times, recording the single best result from each run. We then took the 50 top results from this set (see Section VI for a discussion as to why we did this). The verification vectors used contained 100 message sets in Deadline minus Jitter monotonic priority order, of which 43 were schedulable; 25 message sets in random priority order, of which 7 were schedulable, and 10 message sets that reveal the flaw in test **F1**, none of which were schedulable. The parameters of the message sets were as described in Section V-A.

<sup>3</sup>This is representative of problems where exact response times can be found by simulating over the hyperperiod, but efficient schedulability tests are unknown.

<sup>4</sup>The reciprocal of the fitness value is used, since in our experiments smaller fitness values represent better fitness.

### C. Results

To assess the quality of the results produced, we made use of a larger set of assessment vectors that were not used in the evolutionary process. These were generated in the same way as the verification vectors, but contained 10 times as many message sets. The assessment vectors contained 1000 message sets with priorities in Deadline minus Jitter monotonic priority order, of which 421 were schedulable; 250 message sets in random priority order, of which 48 were schedulable, and 100 message sets that highlighted the flaw in test **F1**, none of which were schedulable. Note, the fitness values referred to in the remainder of the paper are with respect to the assessment vectors (i.e. Assessment Fitness).

Test	Assessment Fitness	Num. of optimistic $R_i^{comp}$
<b>S1</b>	1702	0
<b>S2</b>	12038	3
<b>S3</b>	16445	0
<b>F1</b>	244889	100
<b>E1</b>	0	0
$R_i = 0$	260000000	26000
$R_i = D_i$	3681912	5038

TABLE I  
ASSESSMENT FITNESS OF EXISTING SCHEDULABILITY TESTS

Table I gives the fitness values for the existing schedulability tests, including the sufficient tests: **S1**, **S2**, and **S3**, the flawed test **F1**, and the exact test **E1**. Also shown is the fitness for  $R_i = 0$  and  $R_i = D_i$ . Note that the exact test **E1** has a fitness of zero, as it provides perfect results. The flawed test **F1** has a fitness score of 1501, slightly better than that of test **S1** (1582), if the assessment vectors that expose the flaw are omitted; however, adding those vectors increases its fitness score to 244889 due to 100 optimistic values of  $R_i^{comp}$ . Note, test **S2** also results in 3 optimistic values for  $R_i^{comp}$ , this may seem surprising since the test is sufficient; however, the optimistic values occur for cases where the message is unschedulable ( $R_i^{indic} > R_i^{comp} > D_i$ ) and is correctly identified as such by the test. Assuming  $R_i = 0$  results in the maximum (i.e. worst possible) fitness score of 260000000, since the response time of every one of the 2600 messages in the assessment vectors is underestimated by the maximum amount. Assuming that  $R_i = D_i$  also results in poor fitness due to the fitness function heavily penalising the unschedulable cases ( $R_i^{indic} > D_i$ ).

1) *Experiment 1: Baseline:* As a baseline, we used the formulation assistant with no recursion permitted (i.e.  $R_i$  excluded from the grammar), precise indicative response times acting as a ground truth, and none of the corner cases that expose the flaw in test **F1**. The best candidate expression that was found is shown below, and then repeated after simplification as an equation. The fitness of this equation is 12624, which is comparable to the fitness (12038) of test **S2** that also does not include  $R_i$  in its formulation. This equation did not result in underestimation of response times for any messages in the assessment vectors.

$$((\text{Ji} + \text{sigma\_}(\text{forall\_k\_InHp\_i})) \wedge ((\text{Ci} \wedge ((\text{Tk} + (\text{Ci} + (\text{Jk} - (\text{Ji} - \text{Di})))) - \text{Tk}) \wedge \text{Tk}))) \vee (\text{Bi} + \text{Ci}))$$

$$R_i = J_i + C_i + B_i + \sum_{k \in hp(i)} \max \left( C_i, \left\lceil \frac{D_i - J_i + C_i + J_k}{T_k} \right\rceil C_k \right) \quad (12)$$

The mean fitness of the top 50 results in this experiment was 21651 and the mean number of optimistic  $R_i^{comp}$  values was 8.84, with 7 expressions producing no optimistic values, and 9 others producing 3 or fewer optimistic values, similar to test **S2**.

2) *Experiment 2: Adding Recursion:* Here, we added the possibility of recursion to the baseline settings by including  $R_i$  instead of  $D_i$  in the grammar. The best candidate expression that was found is shown below, and then repeated after simplification as an equation. The fitness of this equation is 3084, which is a substantial improvement over the fitness (12038) of test **S2**, while still some way from the fitness (1702) of test **S1** that also includes  $R_i$  in its formulation. This equation did not result in underestimation of response times for any messages in the assessment vectors.

$$(((\text{Ji} + (\text{Bi} + (\text{Ci} + \text{sigma\_}(\text{forall\_k\_InHp\_i})(\text{Ck})))) \wedge (\text{Bi})) + \text{sigma\_}(\text{forall\_k\_InHp\_i})(\text{Ck} * ((\text{Ri} - (\text{Ji} - \text{Jk}))) - ((\text{Tk} - (\text{Ck}))) \wedge ((\text{Jk}) \wedge (\text{Bi} + ((\text{Tk} - \text{Ji}) - \text{Ck})))))) \wedge \text{Tk}))$$

$$R_i = J_i + C_i + B_i + \sum_{k \in hp(i)} \left\lceil \frac{R_i - J_i + J_k - \max(0, B_i - J_i - C_k)}{T_k} \right\rceil C_k \quad (13)$$

The mean fitness of the top 50 results in this experiment was 27918 and the mean number of optimistic  $R_i^{comp}$  values was 8.98, with 13 expressions producing no optimistic values.

Note, it would appear that the small amount of pessimism in both (12) and (13) is enough to avoid / obscure incorrect classification of the corner cases, even though these equations were generated without regard to them.

3) *Experiment 3: Adding Corner Cases:* Here, we added extra verification vectors that reveal the flaw in test **F1**. Again, recursion was permitted, and precise indicative response times provided a ground truth. The best candidate expression that was found is shown below, and then repeated after simplification as an equation. The fitness of this equation is 3096, which is very similar to the fitness (3084) of the best expression from experiment 2, while still some way from the fitness (1702) of test **S1** that also includes  $R_i$  in its formulation. This equation did not result in underestimation of response times for any messages in the assessment vectors.

$$(\text{Bi} + (\text{Ci} + (\text{Ji} + \text{sigma\_}(\text{forall\_k\_InHp\_i})(\text{Ck} * (((((\text{Ri}) - \text{Tk})) \wedge (\text{Ck})) \wedge (\text{Jk})) + (\text{Ri} - \text{Ji})) \wedge \text{Tk}))))))$$

$$R_i = J_i + C_i + B_i + \sum_{k \in hp(i)} \left\lceil \frac{R_i - J_i + \min(\max(R_i, C_k), J_k)}{T_k} \right\rceil C_k \quad (14)$$

The mean fitness of the top 50 results in this experiment was 20816 and the mean number of optimistic  $R_i^{comp}$  was 6.32, with 20 expressions producing no optimistic values. These improvements over experiment 2 are most likely due to exposure to corner cases in the verification vectors helping to avoid underestimation of response times in those cases.

4) *Experiment 4: Adding Approximation:* This experiment was the same as experiment 3, except that we approximated the indicative response times with respect to the ground truth. Approximate indicative response times used values chosen at random from a uniform distribution in the range  $[0.8R_i^{exact}, R_i^{exact}]$ . Note, in the case of unschedulable messages (with  $R_i^{exact} > D_i$ ), this could potentially cause them to appear to be schedulable.

The best candidate expression that was found is shown below, and then repeated after simplification as an equation. The fitness of this equation is 4710, which is worse than the fitness of the best expressions from experiments 2 and 3 (3084 and 3096), but still considerably better than the fitness of the polynomial time tests **S2** and **S3**. Again, this equation did not result in underestimation of response times for any messages in the assessment vectors.

```
((Ji+(Bi+Ci))+sigma_(forall_k_InHp_i)
(Ck*((Ci+Ri)+Jk)-Ji)$Tk))
```

$$R_i = J_i + C_i + B_i + \sum_{k \in hp(i)} \left\lceil \frac{R_i - J_i + C_i + J_k}{T_k} \right\rceil C_k \quad (15)$$

The mean fitness of the top 50 results in this experiment was 27575 and the mean number of optimistic  $R_i^{comp}$  was 29.02, with only 6 expressions producing no optimistic values. This degradation in performance with respect to experiment 3 is due to the use of less precise indicative response times.

It is interesting to note that there is substantial commonality in the resulting expressions from experiments 1–4. In particular, certain building blocks often occur, for example  $J_i + C_i + B_i$  and the summation over  $hp(i)$  with  $C_k$  multiplied by some factor in the numerator and  $T_k$  in the denominator. Further,  $R_i$ ,  $+J_k$ , and  $-J_i$  often appeared in this multiplier. These building blocks are present in the known sufficient tests. (Note  $\lceil \frac{X+1}{Y} \rceil = \lfloor \frac{X}{Y} \rfloor + 1$  when  $X$  and  $Y$  are positive integers, thus floor and ceiling operators can be interchanged in approximate formulae where all parameters are positive integers).

5) *Experiment 5: Random Search:* Finally, we note that a purely random search is ineffective at generating useful formulae. Repeating experiment 3 for 50 runs with purely random generation of 2,000,000 expressions that comply with the grammar resulted in a best expression with a fitness of 56477, compared to a best of 3096 for the evolutionary algorithm. The best expression found via random search is shown below, and then repeated after simplification as an equation. We note that in common with other expressions found by random search, this equation returns values no smaller than the message period, which is an easy but inaccurate way of avoiding underestimating the response time in the majority of cases, but also deems almost every message unschedulable, since all messages have constrained deadlines ( $D_i \leq T_i$ ).

```
((((((((((((Ji)^(Bi))))^(Bi*(Ci$Ri))))^(Ci*(Ti$Ci))))^(Ji))))
(Ji))+sigma_(forall_k_InHp_i)(Ck)
```

$$R_i = \left\lceil \frac{T_i}{C_i} \right\rceil C_i + \sum_{k \in hp(i)} C_k \quad (16)$$

## VI. LESSONS LEARNED

In this section we discuss the lessons learned in developing a proof-of-concept formulation assistant that can be used to derive expressions for response time analysis of CAN messages. During development we made improvements in four main areas:

### 1. Improving the grammar:

- Dimensionality:* We restricted the use of ceiling, floor, and multiply to only appear as compound operations. This ensured that all expressions produced were scale invariant and dimensionally correct, and therefore meaningful.
- Nesting restrictions:* We constrained the use of the summation operator preventing it from being nested within another summation, since this led to expressions that were very complex and slow to evaluate. Similarly, we prevented ceiling and floor functions from nesting within other ceiling or floor functions.
- Symbol restrictions:* We noted that due to the way in which the system is scheduled, the actual response times can only be composed of multiples of  $C_i$ ,  $B_i$ ,  $J_i$ , and  $C_j$ . We therefore restricted the other symbols such as  $T_i$ ,  $T_j$ ,  $J_j$  to only appear within the multipliers for expressions that included those former symbols.

### 2. Improving the Verification Vectors:

- Correlations between parameters:* Correlations between parameter values had to be avoided, as this can lead to the inappropriate substitution of one parameter for another. The initial verification vectors we used had all of the messages in Deadline minus Jitter monotonic priority order. This resulted in strong correlations between the value of  $D_i$  and the values of  $D_j$  for higher priority messages, and similarly between  $T_i$  and  $T_j$ . As a consequence, the evolutionary algorithm would often find high fitness, but flawed expressions that contained summations of the deadlines or periods of higher priority messages. This issue was addressed by including additional vectors with random priority ordering.
- Range of values for variables:* The verification vectors used required careful consideration to ensure sufficient variability in parameter values. This was necessary, because the evolutionary approach cannot distinguish between parameters that always take the same or very similar values. Initially, release jitter was set to a small range of values from 0 to 2.5ms. We found that this resulted in  $J_i$  or  $J_j$  appearing in the evolved expressions as a proxy for  $C_i$  or  $B_i$ , hence producing flawed equations. This problem was addressed by expanding the range of jitter values. For similar reasons, we ensured that the message lengths were variable (1-8 data bytes), rather than a fixed size, and therefore that  $C_i$ ,  $C_j$ , and  $B_i$  could be distinguished. We also used constrained deadlines so that  $T_i$  and  $D_i$ , and  $T_k$  and  $D_k$  were distinguishable.



### 3. Tuning the Grammatical Evolution:

- a) *Fitness Function*: As with any evolutionary algorithm, the design of the fitness function is vitally important. In particular, the form of the fitness function needed to heavily penalise under-approximation of indicative response times; however, applying a heavy penalty to the early generations of candidate equations can be counter-productive, hence the variable weighting factor  $W$  used in our implementation. We experimented with different values for  $W$ . We found that improved results were obtained if we increased the value of  $W$  over the first half of the generations up to a value of 10,000. We tried values of  $W = 1, 10, 100, 1,000, 10^4, 10^5$ , and  $10^6$ , with notable improvements up to  $10^4$ , but not thereafter. We therefore used  $W = 10,000$ .
- b) *Offset to the Fitness Function*: We explored adding an offset of 0, 0.5, 1, 2, 4, and 8 to the value returned by the fitness function for each message. This offset impacts the fitness proportionate selection, with larger offsets giving a higher probability that weaker candidates would still be included in the selection. We found no significant improvement using non-zero offsets and hence used zero.
- c) *Number of Generations*: We explored the effect that the number of generations: 500, 1000, 2000, 5000, had on the performance of the evolutionary algorithm. We found that increasing the number of generations beyond 2000 had no significant effect on the quality of the resulting expressions. We therefore used 2000.
- d) *Escaping from local minima*: Search based on Grammatical Evolution is a trade off between *exploration* of the vast search space and *exploitation*, i.e. searching in the vicinity of good solutions. Small changes to an expression can easily result in large changes in computed response times and hence fitness, which causes difficulties in escaping from evolutionary dead-ends (local minima). To mitigate this problem, we repeated each experiment 500 times, taking the results of the best 50 runs.

### 4. Improving the implementation:

- a) *Parser implementation*: The standard EpochX parser is capable of handling arbitrary expressions (i.e. Java code) and as a consequence is relatively slow when all that is needed is to parse simple mathematical expressions. We implemented our own parser, which amounts to approximately two pages of Java code, this improved the overall run time of the evolutionary algorithm by a factor of approximately 100.
- b) *Parallel execution*: We used a high performance compute cluster to evolve the 500 populations for each experiment in parallel. This resulted in an overall elapsed time for each experiment of approximately 48 hours.

## VII. CONCLUSIONS

In this paper, we introduced the idea of a formulation assistant to aid researchers seeking to derive schedulability tests for real-time systems. Our proof-of-concept formulation assistant focused on the problem of deriving schedulability analysis equations for Controller Area Network (CAN) using Grammatical Evolution of expressions.

The main contributions of this work are as follows:

- (i) We showed that an approach based on Grammatical Evolution is viable and can provide interesting insights into the schedulability analysis equations required.
- (ii) The best equations produced by the formulation assistant were broadly similar in quality to known sufficient schedulability tests, when measured against a large set of assessment vectors.
- (iii) The equations produced were viable and showed a relatively small degradation in quality when approximate rather than exact response times were used as the basis of the fitness function. This indicates that the approach could be effective when the input data is derived from simulation, i.e. in cases where exact response times are unknown.
- (iv) The lessons learned in the development of the proof-of-concept formulation assistant, discussed in Section VI.

There are a number of interesting directions for future work. These include:

- *An Island-based approach*: We intend to explore an island-based approach that enables the re-combination and evolution of the best solutions found from a number of different island populations that are first evolved in parallel and then combined. The aim being to avoid the algorithm becoming trapped in evolutionary dead-ends (local minima).
- *Unsolved schedulability analysis problems*: We intend to apply the formulation assistant concept to both solved and unsolved schedulability analysis problems, particular in the area of Network-on-Chip, using simulation as a means of determining indicative response times.
- *Co-evolution of verification vectors*: Since simulation can typically only provide *necessary* schedulability information as reference data, it is important to try and avoid candidate equations being wrongly classified as *sufficient*, when in fact in some cases they can underestimate the exact response times. We therefore intend to explore the co-evolution of the verification vectors alongside the population of candidate equations. The aim being to identify corner cases, improving the quality of the verification vectors and hence also the resulting schedulability analysis expressions.

We note that the schedulability analysis equations derived by the formulation assistant approach are ultimately only as good as the verification and assessment vectors used. While we expect that the vectors themselves can be improved via co-evolution, there is still no guarantee that all relevant corner cases will be discovered. This is where the approach links back to researchers with experience in schedulability analysis who can interpret the expressions derived, simplify them and seek to prove their correctness by other means, such as employing proof assistance.

In the appendix that follows, we examine whether the best expressions returned by the evolutionary algorithm in



experiments 1 – 4 provide *sufficient* schedulability tests. The sketch proofs showing that (12) and (15) provide sufficient tests and the counterexamples showing that (13) and (14) do not, illustrate the way in which formulation assistance is intended to be used by researchers. Formulation assistance provides a means of finding candidate schedulability analysis equations, but does not fully automate the process of deriving schedulability tests. The burden of proof that such tests are sufficient remains with the researchers. They can interpret, refine, and simplify the expressions found via formulation assistance, develop proofs (possibly employing automated proof assistance) and also construct counter examples, which can in turn be used to refine and improve the verification and assessment vectors used by the evolutionary algorithm.

#### APPENDIX

In this appendix, we examine whether the best expressions returned by the evolutionary algorithm in experiments 1 – 4 provide *sufficient* schedulability tests.

To show that the expression with the best fitness from Experiment 4, i.e. (15) provides a sufficient test, we make use of the proven exact schedulability analysis for CAN [11]. This analysis needs to examine all instances of message  $i$  that are released within a priority level- $i$  busy period. The length  $L_i$  of this busy period is given by Eq. (8) in [11]. If  $L_i \leq T_i - J_i$  then this busy period ends before the release of the next instance of message  $i$  and hence only the first instance of that message need be examined to determine schedulability. Equivalently, if the solution  $L_i^*$  to the following fixed point iteration, starting from an initial value of  $L_i^* = J_i + C_i$ , does not exceed  $T_i$ , then only the first instance of message  $i$  need be examined to determine schedulability. (Note, that when  $L_i^* \leq T_i$  only one instance of message  $i$  occurs within the busy period and so its contribution  $C_i$  is taken outside of the summation).

$$L_i^* = J_i + C_i + B_i + \sum_{k \in hp(i)} \left\lceil \frac{L_i^* - J_i + J_k}{T_k} \right\rceil C_k \quad (17)$$

Re-writing and simplifying the exact test i.e. (2) and (3) for the case where  $q = 0$ , schedulability of the first instance of message  $i$  can be checked via the following fixed point iteration, starting from an initial value of  $R_i^* = J_i + C_i$ .

$$R_i^* = J_i + C_i + B_i + \sum_{k \in hp(i)} \left\lceil \frac{R_i^* - J_i - C_i + J_k + \tau_{bit}}{T_k} \right\rceil C_k \quad (18)$$

Together, (17) and (18) form a sufficient schedulability test. If  $L_i^* \leq T_i$ , then only the first instance of message  $i$  need be checked to determine schedulability, and if  $R_i^* \leq D_i$ , then that first instance is schedulable.

To prove that the best expression from Experiment 4 (15) provides a sufficient test, we need only show that if  $R_i \leq D_i$  in (15), then it follows that both  $L_i^* \leq T_i$  in (17) and  $R_i^* \leq D_i$  in (18). Comparing (15) and (17) the two fixed point iterations are identical except for the extra  $+C_i$  term in the numerator of the ceiling function in (15). Since  $C_i > 0$ , it follows that  $L_i^* \leq R_i$  (as computed by (15)) and hence if  $R_i \leq D_i$ , we have  $L_i^* \leq R_i \leq D_i \leq T_i$ . Comparing (15)

and (18) the two fixed point iterations are identical except that the  $+C_i$  term in the numerator of the ceiling function in (15) is replaced by  $+\tau_{bit} - C_i$  in (18). Since  $C_i > 0 > \tau_{bit} - C_i$ , it follows that  $R_i^* \leq R_i$  (as computed by (15)) and hence if  $R_i \leq D_i$ , we have  $R_i^* \leq R_i \leq D_i$ . Thus if message  $i$  is deemed schedulable according to (15) then it is also schedulable according to the sufficient test comprising (17) and (18), hence (15) also provides a sufficient test.

Using the above result, we can also show that the best expression from Experiment 1, i.e. (12) also provides a sufficient test. Comparing (12) and (15), we can ignore the  $C_i$  term inside the  $\max()$  function in (12) as this term can only make the computed value of  $R_i$  from this equation larger. The only remaining difference between (12) and (15) is then the substitution of  $D_i$  for  $R_i$  within the numerator of the ceiling function. It follows that whenever (12) computes a value for  $R_i \leq D_i$ , then the value computed by (15) can be no larger, and thus also indicates schedulability. Since (15) provides a sufficient test, so does (12).

Next, we show that the expression with the best fitness from Experiment 3, i.e. (14) does *not* provide a sufficient test. This can be seen from the following counter example with three messages. The message parameters ( $C_i, D_i, T_i, J_i, B_i$ ) measured in bit transmission times are as follows: message 1 (125, 1000, 1000, 750, 125), message 2 (125, 375, 10,000, 0, 125), and message 3 (125, 10,000, 10,000, 0, 0). Equation (14) computes the response time of message 2 as 375; however, the exact value is 500. This occurs when message 1 exhibits its maximum release jitter of 750 and is released simultaneously with message 2, just as message 3 starts transmission. Message 1 is then released again 250 bit times later leading to a sequence of transmitted instances of messages 3,1,1,2, and a response time of 500 for message 2. Message 2 is therefore unschedulable, and hence (14) which deems this message schedulable does *not* provide a sufficient test. (We note that this counterexample points to the need for improved verification and assessment vectors that permit large values for release jitter, creating *back-to-back* interference).

Finally, we show that the expression with the best fitness from Experiment 2, i.e. (13) also does *not* provide a sufficient test. This can be seen from the following counterexample with three messages as follows: message 1 (65, 200, 200, 0, 135), message 2 (65, 10,000, 10,000, 0, 135), and message 3 (135, 10,000, 10,000, 0, 0). Equation (13) computes the response time of message 2 as 265; however, the exact value is 330. This occurs when messages 1 and 2 are released simultaneously, just as message 3 starts transmission. Message 1 is then released again 200 bit times later leading to a sequence of transmitted instances of messages 3,1,1,2, and a response time of 330 for message 2. The reason that (13) does not provide a sufficient test can also be seen via comparison with (18), which is an exact test when the priority level- $i$  busy period includes only one instance of message  $i$ , as is the case here for message 2. Since (18) is exact, yet (13) can result in a smaller computed value of  $R_i$  when  $B_i - C_k > C_i - \tau_{bit}$ , it follows that (13) can produce optimistic results.

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