

This is a repository copy of *Examining the Use of Economic Evaluations in Health-related Humanitarian Programs in Low- and Middle-Income Countries:A Systematic Review*.

White Rose Research Online URL for this paper:

<https://eprints.whiterose.ac.uk/151985/>

Version: Published Version

Article:

Makhani, Lizna, Moran, Valerie, Sadique, Zia et al. (3 more authors) (2019) Examining the Use of Economic Evaluations in Health-related Humanitarian Programs in Low- and Middle-Income Countries:A Systematic Review. *Health Policy and Planning*. pp. 1-9. ISSN 1460-2237

<https://doi.org/10.1093/heapol/czz144>

Reuse



This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here:

<https://creativecommons.org/licenses/>

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.

Examining the use of economic evaluations in health-related humanitarian programmes in low- and middle-income countries: a systematic review

Lizna A Makhani¹, Valerie Moran^{2,3}, Zia Sadique¹, Neha S Singh ¹, Paul Revill ⁴ and Bayard Roberts^{1,*}

¹Faculty of Public Health and Policy, London School of Hygiene and Tropical Medicine, 15-17 Tavistock Place, London WC1H 9SH, UK, ²Luxembourg Institute of Health, 1 A-B Rue Thomas Edison, 1445 Strassen, Luxembourg, ³Luxembourg Institute of Socio-Economic Research, 11 Porte des Sciences, 4366 Esch-sur-Alzette, Luxembourg and ⁴Centre for Health Economics, University of York, Alcuin 'A' Block, University of York, Heslington, York YO10 5DD, UK

*Corresponding author. Faculty of Public Health and Policy, London School of Hygiene and Tropical Medicine, 15-17 Tavistock Place, London WC1H 9SH, UK. E-mail: bayard.roberts@lshtm.ac.uk

Accepted on 9 October 2019

Abstract

The costly nature of health sector responses to humanitarian crises and resource constraints means that there is a need to identify methods for priority setting and long-term planning. One method is economic evaluation. The aim of this systematic review is to examine the use of economic evaluations in health-related humanitarian programmes in low- and middle-income countries. This review used peer-reviewed literature published between January 1980 and June 2018 extracted from four main electronic bibliographic databases. The eligibility criteria were full economic evaluations (which compare the costs and outcomes of at least two interventions and provide information on efficiency) of health-related services in humanitarian crises in low- and middle-countries. The quality of eligible studies is appraised using the modified 36-question Drummond checklist. From a total of 8127 total studies, 11 full economic evaluations were identified. All economic evaluations were cost-effectiveness analyses. Three of the 11 studies used a provider perspective, 2 studies used a healthcare system perspective, 3 studies used a societal perspective and 3 studies did not specify the perspective used. The lower quality studies failed to provide information on the unit of costs and did not justify the time horizon of costs and discount rates, or conduct a sensitivity analysis. There was limited geographic range of the studies, with 9 of the 11 studies conducted in Africa. Recommendations include greater use of economic evaluation methods and data to enhance the microeconomic understanding of health interventions in humanitarian settings to support greater efficiency and transparency and to strengthen capacity by recruiting economists and providing training in economic methods to humanitarian agencies.

Keywords: Economic evaluation, humanitarian crisis, cost-effectiveness, disability-adjusted life years, public health interventions

Introduction

Humanitarian crises include complex emergencies such as armed conflict, famines, major epidemic outbreaks and natural disasters such as earthquakes. The health challenges faced by crisis-affected

populations, including refugees and internally displaced persons, include high mortality and morbidity rates due to communicable diseases, malnutrition, non-communicable diseases (NCDs), poor mental health and poor reproductive and sexual health. It was estimated that 201 million individuals needed humanitarian protection

Key Messages

- Economic evaluations are crucial in guiding humanitarian operational and policy decision-making, improving aid efficiency and strengthening accountability.
- This review identified only 11 studies using economic evaluations of health interventions in humanitarian crises in low- and middle-income countries. Few of these studies applied a societal perspective, most studies used aggregate programmatic costs data and the quality of the studies was mixed.
- Studies with lower quality scores focused on the effectiveness data and included limited costing data.
- There should be a greater focus on the use of economic evaluations of health interventions in humanitarian crises and capacity strengthening on the collection and application of economic evaluation data among agencies and researchers working in humanitarian crises.

and aid in 2017, with the vast majority living in low- and middle-income countries (LMICs) (Global Humanitarian Assistance, 2018). In the same year, a record of US\$27.3 billion was spent on humanitarian assistance (compared with US\$18.4 billion in 2013), with much of it focused on conflicts in the Middle-East—most notably Syria and Yemen (Global Humanitarian Assistance, 2018). With increases in the number of people requiring humanitarian aid, rising financial costs for providing humanitarian health services (particularly NCDs), and resource constraints in aid availability, there is a need to maximize the efficiency of the use of humanitarian aid and ensure accountability and transparency and better planning and priority setting in its use (Spiegel *et al.*, 2014; Spiegel, 2017).

The scarcity of resources in humanitarian settings becomes an economic problem of how to allocate limited resources. One method to help address this problem is through economic evaluations. An economic evaluation is 'the comparative analysis of alternative courses of action in terms of both their costs and consequences' (Drummond *et al.*, 2015). The main types of full economic evaluation include cost-effectiveness (sometimes called cost-utility) and cost-benefit analyses. The differences between approaches lie in details and sometimes are more cosmetic than substantial. Broadly, cost-effectiveness/cost-utility analysis involves comparison of costs and outcomes, with outcomes expressed as natural units (e.g. cases averted) or, more commonly, in generic measures of health outcome reflecting both mortality and morbidity [e.g. quality-adjusted life years (QALYs) or disability-adjusted life years (DALYs)]. In cost-benefit analyses, outcomes are typically expressed in monetary units. Whatever the particular method used, analyses can help to inform whether more effective but more costly alternatives are worth the commitment of additional resources. This requires assessing the benefits gained against what could otherwise be gained with other uses of those resources (i.e. to opportunity costs) (Drummond *et al.*, 2015). A full description of the types of economic evaluations is listed in [Supplementary Data 1](#). Economic evaluations can therefore support policy-makers and donors to better understand which health interventions offer best value from the limited resources available, which can inform immediate spending decisions, and support long-term budget planning decisions, as well as efforts to generate additional resources for humanitarian crises. In addition, there is a recognition of the value of integrating economic evaluations into the current humanitarian landscape where there are accountability and transparency deficits (Blanchet *et al.*, 2017; Spiegel, 2017; Roberts, 2018).

A number of systematic reviews have been conducted on evidence on the effectiveness of health interventions in humanitarian crises (Hall *et al.*, 2011; Kimbrough *et al.*, 2012; Tol *et al.*, 2014; Ramesh *et al.*, 2015; Ruby *et al.*, 2015; Smith *et al.*, 2015; Blanchet

et al., 2017; Singh *et al.*, 2018). However, to the best of our knowledge, there has been no systematic examination of the use of economic evaluations of health-related interventions in humanitarian settings. This systematic review aims to examine the use of economic evaluations in health-related humanitarian programmes in LMICs. The objectives were to: (1) analyse the use of economic evaluation methods (cost-effectiveness, cost-utility and cost-benefit analyses) of health interventions in humanitarian programmes and (2) assess the quality of evidence using economic evaluation methods.

Materials and methods

This systematic review follows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines (Moher *et al.*, 2009) and the Centre for Reviews and Dissemination (CRD) guidance on systematic reviews of economic evaluations (Centre for Reviews and Dissemination, University of York, 2009).

Search strategy

This review focused only on peer-reviewed literature published between 1 January 1980 and 30 June 2018 (as studies prior to 1980 were considered to be very few in number and of lower quality) (Blanchet *et al.*, 2017). This review used the following four main electronic bibliographic databases, which is considered of great relevance to the subject area: Medline, Embase, GlobalHealth and EconLit. The search strategy consisted of search terms related to: (1) humanitarian crises, (2) LMICs (as the vast majority of crisis-affected populations lives in LMICs), (3) public health interventions and (4) economic evaluations. The search terms for the first three topics were obtained from the Humanitarian Health Evidence Review (Blanchet *et al.*, 2015). For the economic evaluation search terms, the UK National Health Service (NHS) Economic Evaluation Database (EED) search strategy was utilized with some modifications to incorporate characteristics from studies conducted in LMICs. The NHS EED is a database containing abstracts of full economic evaluations of health technologies (Centre for Reviews and Dissemination, University of York, 2009). The NHS EED search strategy contained search terms related to QALY, whereas DALYs are more often used in LMIC settings; thus, search terms related to DALYs were incorporated into the search strategy (Glanville *et al.*, 2009). The NHS EED strategy was chosen for its high sensitivity and specificity (Glanville *et al.*, 2009). A detailed list of all search terms is presented in [Supplementary Data 2](#).

Table 1 Inclusion and exclusion criteria

Category	Included	Excluded
Population of interest	Populations that are affected by humanitarian crises, armed conflict, natural and man-made disasters and early recovery in low- and middle-income countries. The low- and middle-income countries were determined using the 2018 World Bank classification	Studies that focus on humanitarian crises in high-income countries Studies centred on asylum seekers or refugees in high-income countries Studies related to military combatants and military veterans
Intervention	Any health-related intervention (i.e. communicable disease, NCDs, nutrition, sexual and reproductive health, mental health and psychosocial support, injury and rehabilitation, water, sanitation and hygiene)	Studies that do not specify health interventions
Types of studies	Full economic evaluations such as cost-effectiveness, cost-utility, cost-benefit and cost minimization. The study design could be a randomized controlled trial or modelling studies	The following studies were excluded: partial economic evaluations, review papers, studies examining preparedness and resiliency not linked to an intervention and studies not linked to any health outcomes in a humanitarian context
Outcomes and outputs of interest	Primary outcomes (e.g. incremental cost-effectiveness ratio) Secondary outcomes (e.g. cost per case averted) Primary outputs (e.g. cost)	Costing-only studies
Data type	Studies must try to relate cost to outcome data	Costing-only studies
Date of publication	1 January 1980 to 30 June 2018	
Publication language	English	Other languages

Inclusion and exclusion criteria

Table 1 lists the criteria used to select and exclude studies for this review.

A humanitarian crisis is defined as ‘a serious disruption of the functioning of a community or a society causing widespread human, material, economic or environmental losses which exceed the ability of the affected community or society to cope using its own resources, necessitating a request to national or international level for external assistance. The disaster situation may either be man-made or a natural occurrence’ (Blanchet *et al.*, 2015). Other key definitions for public health interventions, and economic terms, are listed in [Supplementary Data 1](#). Only studies conducted in LMICs, as determined by the World Bank 2018 classification, were included because the vast majority of civilians affected by humanitarian crises lives in LMICs, and availability and use of resources differ significantly from those in high-income countries (Blanchet *et al.*, 2015; World Bank Group, 2018). Studies related to post-conflict settings or with armed combatants/military veterans were not included as these studies are not representatives of the unique environment or civilian populations in crisis settings. In addition, for eligibility, studies needed to have conducted an economic evaluation in a health-related humanitarian programme.

Partial economic evaluations, such as costing studies, were deemed to be ineligible for inclusion in the study. This decision was made to fully understand and discuss the quality and quantity of full economic evaluations that are conducted in health-related humanitarian programmes. Full economic evaluations provide a value for money analysis by comparing the cost and outcomes of two or more interventions, thus allowing an answer to questions related to efficiency, whereas partial economic evaluations do not assess efficiency (Napper and Newland, 2014).

Data screening and extraction

The data screening and extraction was performed in a five-stage process by the authors LAM and NSS. The first stage consisted of downloading and importing search results from the four databases into a reference management software. In the second stage, duplicates were removed. The third stage entailed screening the titles and

publication type for eligibility. Records were excluded if they were not peer-reviewed journal articles or did not specify an economic study on a health intervention in a humanitarian setting. The fourth stage involved screening abstracts for eligibility. The fifth stage included a full-text assessment for eligibility. The final stage assessed whether the study was a full or partial economic evaluation. A full economic evaluation compared both the costs and outcomes of two or more interventions requiring a measurement and valuation of both costs and outcomes (Napper and Newland, 2014). A partial economic evaluation considered costs or outcomes but did not involve a comparison between interventions nor did it relate costs to benefits (Napper and Newland, 2014). The full economic evaluations were determined to be eligible for data extraction and quality assessment.

The data were extracted from the final selected studies into a database in Microsoft Excel. The data extraction fields were broadly classified into the following three main categories: study characteristics, economic evaluation methodology and findings (Centre for Reviews and Dissemination, University of York, 2009). The study characteristics included: study authors, year, study country, title, study objective/question, setting, population type, humanitarian crisis type and public health intervention type. The economic evaluation methodology included: economic evaluation type, perspective, time horizon, discounting, comparator, analytical approach, effectiveness data, cost data, monetary benefit and utility valuation. The findings were: key results, authors’ conclusions and quality assessment.

Quality assessment

The following three quality assessment tools were reviewed to determine the best tool for assessing full economic evaluations: the CHEC-list (Evers *et al.*, 2005), the ‘Drummond’ 35-point checklist (Drummond and Jefferson, 1996) and the modified ‘Drummond’ 36-point checklist (Centre for Reviews and Dissemination, University of York, 2009). The CHEC-list was deemed to be unsuitable for this review as it primarily focuses on clinical trials and does not include questions related to productivity changes, an important factor for humanitarian settings. Although the Drummond 35-point

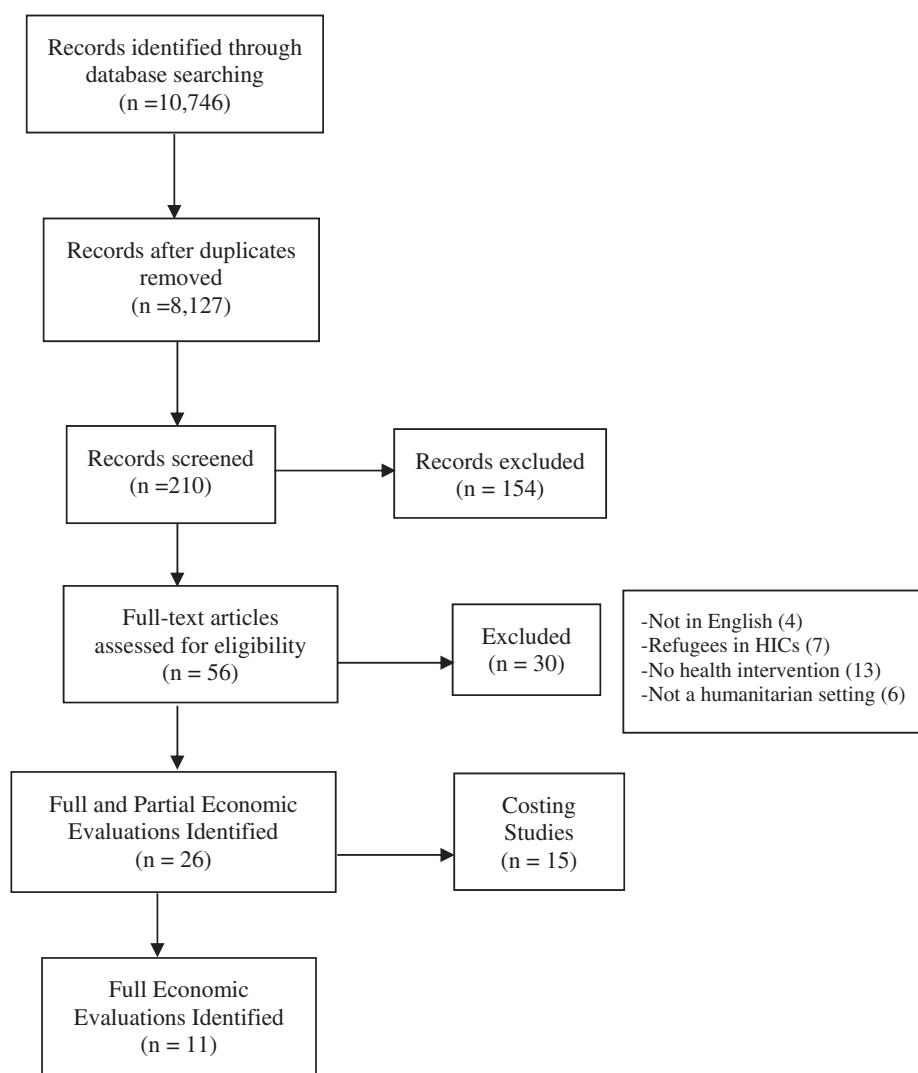


Figure 1. PRISMA flow diagram

checklist was widely used, the modified 36-point Drummond checklist was chosen as the quality assessment tool because it contained an additional item assessing the generalizability of results, making it the most comprehensive checklist for this review. The remaining 35 questions were the same questions found on the original Drummond checklist.

A quality scoring system was developed based on the 36-item checklist and grouped into the following three broad categories: study design, data collection and analysis and interpretation of results. Each question under each category was given equal weightage. The study design contained 7 questions, the data collection included 14 questions and the analysis and interpretation of results had 15 questions. A full list of the quality assessment questions are presented in [Supplementary Data 3](#).

Data analysis

Descriptive thematic analysis was used, guided by the study objectives and extraction sheet criteria (e.g. study characteristics, evaluation methods, analytical perspective and approach). This was conducted independently by two authors, and any discrepancies were resolved through discussions with the senior author. A meta-analysis was deemed inappropriate as the

studies reporting a range of interventions and outcomes were not consistent for a statistical procedure. In addition, a meta-analysis did not meet the study objectives. Instead, a narrative synthesis approach was used to analyse the data ([Petticrew et al., 2013](#)).

Results

Identification and selection of studies

A total of 10 746 studies were extracted from four peer-reviewed databases (Medline 1997; Embase 3944; GlobalHealth 1594; EconLit 3211). [Figure 1](#) displays the PRISMA flow diagram.

After removing duplicates, a total of 8127 studies were screened by their titles. After the screening of title and publication type, a total of 210 articles were screened for their abstracts. This stage resulted in 154 articles being excluded because studies were conducted in a high-income country, not in a humanitarian setting, were published in a different language or did not include a health intervention. The remaining 56 studies went through a full-text assessment where 30 articles were excluded for the following reasons: the study was not written in English, focused on refugees in a high-

Table 2 Study characteristics of eligible economic evaluation studies (N = 11)

Study authors (year)	Study country	Population type	Humanitarian crisis type	Type of public health intervention	Type of economic evaluation	Perspective	Analytical approach	Measure of outcome
Naficy <i>et al.</i> (1998)	Malawi	Refugee	Conflict	Cholera treatment and vaccination	Cost-effectiveness	Provider	Decision tree	Cost per cholera case prevented Cost per cholera death averted
Griekspoor <i>et al.</i> (1999)	Sudan	General population	Conflict	Treatment of visceral leishmaniasis	Cost-effectiveness	Not specified	Not specified	Cost per DALY averted
Gosselin <i>et al.</i> (2011)	Haiti	General population	Natural disaster	Orthopaedic trauma	Cost-effectiveness	Provider	Not specified	Cost per DALY averted
Tekeste <i>et al.</i> (2012)	Ethiopia	General population	Natural disaster	Treatment for SAM	Cost-effectiveness	Societal	Not specified	Cost per cured case
Deboutte <i>et al.</i> (2013)	Democratic Republic of Congo	Pregnant women	Conflict	EmOC	Cost-effectiveness	Not specified	Not specified	Cost per HALE gained
Sardar <i>et al.</i> (2013)	Zimbabwe	General population	Outbreak	Cholera vaccination, treatment, sanitation and health promotion	Cost-effectiveness	Not specified	Mathematical modelling	Cost per case averted
Gargano <i>et al.</i> (2015)	Somalia	Internally displaced population	Conflict	Rotavirus immunization for diarrheal disease	Cost-effectiveness	Healthcare system	Decision tree	Cost per DALY averted
Gargano <i>et al.</i> (2015)	Somalia	Refugee	Conflict	Vaccination for ARI (pneumonia)	Cost-effectiveness	Healthcare system	Decision tree	Cost per DALYs averted
McBain <i>et al.</i> (2016)	Sierra Leone	General population	Conflict	Mental health—Youth Readiness Intervention	Cost-effectiveness	Societal	Markov modelling	Cost per QALY gained
Gargano <i>et al.</i> (2017)	South Sudan	Refugee	Conflict	Vaccination for ARI (pneumonia)	Cost-effectiveness	Provider	Decision tree	Cost per DALYs averted
Howard <i>et al.</i> (2017)	Pakistan	Refugee	Conflict	Targeted IRS	Cost-effectiveness	Societal	Not specified	Cost per DALYs averted

SAM, severe acute malnutrition; EmOC, Emergency Obstetric Care; HALE, health-adjusted life expectancy; ARI, acute respiratory infection; IRS, indoor residual spraying.

income setting, did not have an intervention or did not meet criteria for a humanitarian setting. The remaining 26 studies included 11 economic evaluations that met inclusion criteria and 15 costing studies that were excluded. Although partial economic evaluations such as costing studies did not meet inclusion criteria, the costing-only studies are listed in [Supplementary Data 4](#) as they might be of interest to researchers.

Study characteristics

Over a span of almost 20 years, 11 economic evaluations meeting eligibility criteria were published and the study characteristics are summarized in [Table 2](#).

The oldest study was published in 1998, whereas the latest study was published in 2017. Of the 11 studies, 9 studies were published in the last decade. Of the 11 studies, 9 studies were conducted in African countries, 1 study was conducted in Haiti and the remaining 1 study was conducted in Pakistan. Most studies focused on conflict-affected settings, two studies focused on a natural disaster and one study focused on a disease outbreak setting. Seven studies focused on communicable diseases: one study on rehydration therapy for cholera, one study on an intervention for visceral

leishmaniasis, one study on comparing four cholera control programmes, one study on adding the rotavirus vaccine, one study on *Haemophilus influenzae* type B conjugate (Hib) vaccine and pneumococcal conjugate vaccine (PCV) for acute respiratory infections, one study on indoor residual sprays for malaria and one study on the Hib vaccine and PCV vaccine for acute respiratory infections. There were one study on community-based therapeutic care for nutrition, one study on short orthopaedic missions for injury and rehabilitation services, one study on a mental health behavioural intervention and one study on obstetric care. Of the 11 studies, 8 studies noted that the compared health intervention was cost-effective, one study noted that the intervention was not cost-effective, and the conclusions from the remaining two studies were unclear. The key findings from the 11 economic evaluation studies are summarized in [Supplementary Data 5](#).

All of the identified economic evaluations used cost-effectiveness analyses, and they used various perspectives of cost-effectiveness analysis ([Table 2](#)). Of the 11 studies, 3 studies used a healthcare provider perspective, 2 studies adopted a healthcare system perspective, 3 studies used a societal perspective and 3 studies did not specify the perspective used, which is considered poor practice in economic

Table 3 Quality assessment scores

Studies	Study design (<i>n</i> = 7)	Data collection (<i>n</i> = 14)	Analysis and interpretation of results (<i>n</i> = 15)
Naficy <i>et al.</i> (1998)	6	6	12
Griekspoor <i>et al.</i> (1999)	5	8	11
Gosselin <i>et al.</i> (2011)	2	4	2
Tekeste <i>et al.</i> (2012)	5	5	4
Deboutte <i>et al.</i> (2013)	5	6	9
Sardar <i>et al.</i> (2013)	2	5	3
Gargano <i>et al.</i> (2015)	6	11	15
Gargano <i>et al.</i> (2015)	6	14	15
McBain <i>et al.</i> (2016)	6	14	12
Gargano <i>et al.</i> (2017)	4	10	10
Howard <i>et al.</i> (2017)	7	12	15

n = the total number of questions under each section.

evaluation. The costs of health care are grouped into direct medical (e.g. medicines and health service provider costs), direct non-medical (e.g. transport costs to patient and health facility), indirect medical (e.g. costs of health care not directly related to interventions under evaluation, such as health workers in a health facility but not directly involved in the intervention) and indirect non-medical costs (e.g. management and supervisory costs), patient costs (e.g. out-of-pocket costs) and productivity costs (e.g. loss of income due to disability and premature death). The issue of which costs to include in an economic evaluation depends on the perspective of the analysis. Three studies used a provider perspective and accounted for the direct and indirect medical costs incurred by the humanitarian agency providing the services. The health system perspective includes direct and indirect costs related to medical services and direct non-medical costs within the scope of the health system (e.g. medicine transport costs and subsequent use of health care at other facilities), and two studies that used a healthcare system perspective compared the cost-effectiveness of a supplemental humanitarian programme with that of a national health programme. The societal perspective is the most holistic approach and includes all direct and indirect medical and non-medical provider and health system costs irrespective of who incurs the costs (e.g. patient transport costs to facilities and productivity loss such as lost earning by the patient), and three studies using a societal perspective reported costs to the healthcare system and also productivity costs to the society as a result of the health condition.

The analytical approach also varied among the 11 studies (Table 2). Four studies used decision tree analysis, one study used a Markov model, one study used a mathematical model and five studies did not specify an analytical approach. There were also differences in the way the outcome measures were reported. Seven studies reported outcomes as cost per DALY averted or health-adjusted life expectancy or QALY gained, whereas the remaining studies reported outcomes as cost per case averted, or cost per death averted.

Quality assessment findings

The quality of studies assessed on the study design, data collection and analysis and interpretation of results shows that most studies reported comprehensively on the study design techniques but lacked quality in data collection and interpretation of the results (Table 3).

For study design, 8 of the 11 studies were sufficiently well designed, scoring 5/7 for the study design criteria. Only one study scored a full 7/7, and three studies scored 2/7 or 4/7 on the quality scoring metrics. The low-quality studies lacked the justification required for choosing a cost-effectiveness analysis or did not state the importance and rationale for the interventions chosen.

The scoring on the quality of data collection recorded 3 of the 11 studies as high quality. These three studies scored 12/14 or higher on the data collection methods and were able to justify the sources of effectiveness and cost data as well as clearly state the assumptions and methodology required to document the economic costs. Six studies that exhibited poor quality scored between 4/14 and 8/14, tended to exclude information on the source of effectiveness, the valuation of health states, price adjustment and currency conversion and did not report resources separately from unit costs. These six studies lacked details about how data were synthesized and the reason behind the analytical model used. Two studies were of moderate quality, scoring 10/14 or 11/14 on the data collection techniques.

The quality assessment on the analysis and interpretation of results evaluated the studies on the choice of parameters such as discount rates, as well as the sensitivity analysis and results communicated in the studies. Of the 11 studies, 3 studies exhibited high quality by meeting all 15/15 criteria, 4 studies exhibited medium quality and 4 studies exhibited low quality. The studies with low quality failed at noting and justifying a time horizon of costs and discount rates and exhibited a lack of reporting on sensitivity analyses. Only 7 of the 11 studies addressed the generalizability of results in their discussion. Studies that scored good quality in the data collection section also performed well in the analysis and interpretation.

Discussion

Study findings

To the best of our knowledge, this review is the first of its kind to systematically examine the use of economic evaluation studies of health interventions in humanitarian settings. The key finding of this review is that there is limited evidence of full economic evaluations of health interventions in humanitarian programmes. This suggests that opportunities are being missed to help guide operational and policy decision-making and to improve the efficiency and accountability of humanitarian health programming.

Overall, 11 studies represent good practices in identifying and using outcomes data, but they contain some limitations in the application of economic data. The study design assessment suggested that most studies justified the choice of economic evaluation and interventions for the study design. The variance in quality between studies primarily occurred in the data collection and analysis techniques. The quality of studies is important as these studies provide effectiveness and cost data that can be utilized for resource allocation decisions and thus for budgetary considerations for humanitarian programmes.

The perspective for the evaluations ranged from provider to societal. The studies pursuing a provider perspective tended to use data from non-governmental organizations, whereas the healthcare system perspective was used when the intervention compared a national programme with a supplemental programme. Only three studies utilized a societal perspective. Three studies did not specify the perspective, and it was assumed that these studies were likely from a provider perspective based on the use of administrative cost data. According to the World Health Organization (WHO) CHOICE

guidelines on general cost-effectiveness analysis, a wider societal perspective is recommended to demonstrate all costs regardless of who pays (World Health Organization, 2003). The studies may not have taken a societal perspective because of the availability or difficulty of collecting cost data. This could be improved by planning a costing structure at the start of the study. Although the study perspective may not be societal, it is still important to make an inventory list of productivity costs of crisis-affected populations. This will be helpful for conducting sensitivity analysis to determine the strength of the parameters and assumptions. The information is also important to understand costs incurred by both providers and beneficiaries.

The higher quality studies were able to clearly state and justify the necessary components such as the time horizon, the analytical approach, discount rate and parameters. The studies also converted prices to appropriate currencies, accounted for inflation in the cost analysis, and presented the major outcomes in both aggregated and disaggregated forms. The weaker studies lacked a clear justification for conducting an economic study. These studies seemed to focus primarily on the effectiveness data and contained little information on the source of the cost data. This possibly indicates that the cost component was added later rather than at the inception of the project.

The weaker studies also used financial costs without converting them into economic costs, which include opportunity cost. In humanitarian setting, many programmatic interventions are supported by donations, which do not have any immediate financial consequence but there is an opportunity for costs of benefits forgone from the next best alternative use of those resources. The costs tended to be aggregated rather than evaluated on a unit basis. The studies mostly focused on shorter time horizons due to the humanitarian settings; although a proportion of fixed costs should have been apportioned to interventions, it often was not clear if and how this was done, and most studies included only variable costs instead. The reason for using variable costs might be because it was easier to estimate based on the quantity of inputs and outputs reported for the programme. Many studies also did not specify the discount rates for both costs and outcomes. One study reported a 10% discount rate, but this may be overestimated based on the inflation data from the conflict-affected country.

There also seemed to be problems in how outcomes were reported. The studies appeared to conduct cost-effectiveness by comparing the ratio of effectiveness measures over the total costs, rather than an incremental analysis (a ratio of the difference in costs and effects of the two alternatives). The reported outcomes tended to be in terms of cost per DALY averted, consistent with the WHO CHOICE guidelines that recommend the use of DALYs as an outcome measure (World Health Organization, 2003).

Another key aspect of economic evaluation is conducting a sensitivity analysis to test the robustness of the parameters and assumptions of the analytical model. The sensitivity analysis informs the readers whether the results will still be within a sizeable range if the parameters were shifted. Many of the studies did not report on a sensitivity analysis. Although some studies only included a one-way analysis, a robust approach for high-quality studies would include a probabilistic analysis.

Policy implications

There are clearly numerous challenges for incorporating economics in humanitarian programmes. First, substantial security and logistical challenges arise in the complex contexts of humanitarian crises,

including population mobility and lack of control groups. Humanitarian interventions must be prompt and so may allow little time for preparation for economic evaluations. There may also be capacity challenges, with humanitarian agencies lacking technical capacity to conduct such studies, including not having the robust information systems required to support economic evaluations (and more effective decision-making more broadly). There are also ethical constraints. For example, if the evaluation implies withholding assistance.

Despite the logistical, capacity and ethical challenges, many studies on the effectiveness of health interventions, including randomized controlled trial designs, have been conducted in such settings (Blanchet *et al.*, 2017). Therefore, these challenges can be overcome in some circumstances, particularly more stable contexts such as longer-term refugee situations. Depending on the economic perspective used, data on costs can be relatively easily incorporated into effectiveness studies (although the economic costs, including opportunity costs, would need to be calculated). Economic evaluations reporting cost-effectiveness can then allow decision-makers to rank alternatives and provide a common measure to assess budgetary impact and identify programmes to scale up. The benefits could be substantial through improved efficiency, value for money, health benefits, equity and transparency and accountability. Indeed, Carbonnier (2016) noted the untapped potential for humanitarian economics.

There are a number of potential explanations for this gap in the use of economic evaluation studies. First, there may be limited awareness of the benefits of economic evaluation among donors, governments and agencies working on humanitarian response. This may be coupled with a lack of expertise on health economics in humanitarian responses and research. Second, there may also be misunderstandings around the role of economic evaluation, with a misconception that it may lead to withholding humanitarian assistance to particular vulnerable groups. This is not the case, and instead economic evaluations can help maximize benefits and improve equity. Third, there may also be a lack of political will, with donors and implementing agencies wary of conducting (cost)-effectiveness studies in case the outcomes are unfavourable (and instead preferring to focus on inputs and outputs). This calls for better transparency, accountability and governance in humanitarian organizations and the sector more broadly, including collecting and reporting data on economic evaluations of their activities (Blanchet *et al.*, 2017; Roberts, 2018).

Clearly, not every programme's cost-effectiveness should be evaluated; rather, cost-effectiveness should be established for key new interventions with limited or no existing cost-effectiveness data. There are also data from economic evaluations from interventions in more stable settings that could be applied or adjusted to humanitarian settings. Similarly, the timing of implementing cost-effectiveness studies is important for determining the use of economic evaluation methods. For example, although cost-effectiveness evidence for key sexual and reproductive health interventions is urgently needed (Singh *et al.*, 2018), there is an argument for not evaluating the cost-effectiveness of implementing each sexual and reproductive health intervention at the onset of a crisis when costs will likely be higher than in a protracted setting.

Opportunities for economic evaluation

The inherent contextual constraints of conducting economic evaluations in humanitarian settings are fully recognized, but there is still an opportunity to conduct the (cost)-effectiveness studies to support

policy-makers and funding institutions in decision-making (Ager *et al.*, 2014). When effectiveness studies are being designed, it is important to also think about the cost component. The costing structure or economic evaluation can be integrated in the studies at the inception of the project rather than as an afterthought. Ensuring that accurate costing data are collected also requires sufficiently robust information systems. Study designs such as quasi-experimental designs, interrupted time series and/or matching can be used to help obtain the effectiveness data required for economic evaluations in the challenging contexts of humanitarian crises. Econometric methods can then be used to support the analysis. This information will also prove valuable in gaining new insights into the different types of costs associated with the intervention, including the productivity and opportunity costs, which can also help to inform future interventions. The perspective of the economic evaluation is crucial to determine and report, and where feasible and appropriate, a societal perspective should ideally be applied, to ensure that the full range of costs and benefits, particularly related to the recipients, is included.

Government, donors and humanitarian agencies and organizations need to start addressing the gap in using economic evaluation methods by better utilizing economists to understand and report on the economic challenges. They can also strengthen the capacity of policy-making staff to better use economic evaluation methods. Universities should strengthen teaching on the particular challenges of conducting economic evaluations in humanitarian settings. Investment is also required by donors and humanitarian agencies in improved information systems to allow for the better collection of more accurate, transparent, comparable and timely data for economic evaluation.

Study limitations

This review included only English-language studies, although articles in other languages may be relevant. In addition, this review only considered peer-reviewed articles and the inclusion of grey literature, expert interviews or reviews of references may have resulted in a greater number of eligible studies. Disease outbreak and epidemic search terms were not included in the search strategy as this would have significantly reduced the specificity of the search. Any epidemics or outbreaks of major importance are typically labelled as humanitarian emergencies by authorities and researchers/publications. This approach was based on expert consultation for other systematic reviews we have previously conducted on humanitarian crises (Blanchet *et al.*, 2015). The quality scores were calculated by giving equal weightage for each question, which may skew the quality scores as it could be argued that some questions should have received more weight than others. This method for quality scores was chosen because the use of other published quality scores was not recommended by the CRD guidance (Centre for Reviews and Dissemination, University of York, 2009). This review only focused on full economic evaluations, while briefly highlighting the partial economic evaluations, thus limiting the total number of studies that could be identified for quality assessment. It is important to note that both full and partial economic evaluations are important in humanitarian settings, and further research could examine the quality of costing studies in humanitarian settings. The geographical location of the studies reported in this systematic review is mostly located in Africa. Thus, there is limited generalizability from individual study findings to other continents. This is particularly so given that humanitarian crises are very context specific so even more challenging to generalize than for other economic evaluations

conducted in more stable settings (for which, even then, generalizability can be difficult).

Conclusion

Economic evaluations provide information on efficiency and, thus, are a good tool to use when assessing value for money in resource constraint settings. This review identified only 11 economic evaluations of public health interventions in humanitarian settings, LMICs, with most in sub-Saharan Africa. Areas for improvement in future economic evaluations were identified, including the sourcing of cost data, productivity changes, sensitivity analysis and valuation of health states and costs to improve the quality of economic studies. Furthermore, utilizing quasi-experimental techniques will aid in enhancing micro- and macroeconomic understanding of health interventions in humanitarian settings. The greater use of, and capacity in, economic evaluation methods in humanitarian crises would support decision-making, improve efficiency and value for money, prioritize and scale up health programmes and strengthen the transparency and accountability of humanitarian aid.

Supplementary data

Supplementary data are available at *Health Policy and Planning* online.

Acknowledgements

Salary support for this review was provided under the RECAP project by the United Kingdom Research and Innovation as part of the Global Challenges Research Fund (ES/P010873/1).

Conflict of interest statement. None declared.

Ethical approval. The Research Governance and Integrity Office of the London School of Hygiene and Tropical Medicine assessed the study protocol and determined that an ethics approval was not required for a systematic review.

References

- Ager A, Burnham G, Checchi F *et al.* 2014. Strengthening the evidence base for health programming in humanitarian crises. *Science* 345: 1290–2.
- Blanchet K, Roberts B, Ramesh A *et al.* 2015. *An Evidence Review of Research on Health Interventions in Humanitarian Crises*. London: ELRHA.
- Blanchet K, Ramesh A, Frison S *et al.* 2017. Evidence on public health interventions in humanitarian crises. *The Lancet* 390: 2287–96.
- Carbonnier G. 2016. *Humanitarian Economics: War, Disaster and the Global Aid Market*. Oxford, UK: Oxford University Press.
- Centre for Reviews and Dissemination, University of York. 2009. *Systematic Reviews: CRD's Guidance for Undertaking Reviews in Health Care*. York: CRD, University of York.
- Deboutte D, O'Dempsey T, Mann G, Faragher B. 2013. Cost-effectiveness of caesarean sections in a post-conflict environment: a case study of Bunia, Democratic Republic of the Congo. *Disasters* 37(Suppl 1): S105–20.
- Drummond MF, Sculpher MJ, Claxton K, Stoddart GL, Torrance GW. 2015. *Methods for the Economic Evaluation of Health Care Programmes*. 4th edn. Oxford: Oxford University Press.
- Drummond MF, Jefferson TO. 1996. Guidelines for authors and peer reviewers of economic submissions to the BMJ. *British Medical Journal* 313: 275–83.
- Evers S, Goossens M, de Vet H *et al.* 2005. Criteria list for assessment of methodological quality of economic evaluations: consensus on Health Economic Criteria. *International Journal of Technology Assessment in Health Care* 21: 240–5.

- Gargano LM, Hajjeh R, Cookson ST. 2015. Pneumonia prevention during a humanitarian emergency: cost-effectiveness of Haemophilus influenzae type B conjugate vaccine and pneumococcal conjugate vaccine in Somalia. *Prehospital and Disaster Medicine* 30: 402–11.
- Gargano LM, Hajjeh R, Cookson ST. 2017. Pneumonia prevention: cost-effectiveness analyses of two vaccines among refugee children aged under two years, Haemophilus influenzae type b-containing and pneumococcal conjugate vaccines, during a humanitarian emergency, Yida camp, South Sudan. *Vaccine* 35: 435–42.
- Gargano LM, Tate JE, Parashar UD *et al.* 2015. Comparison of impact and cost-effectiveness of rotavirus supplementary and routine immunization in a complex humanitarian emergency, Somali case study. *Conflict and Health* 9: 5.
- Glanville J, Kaunelis D, Mensinkai S. 2009. How well do search filters perform in identifying economic evaluations in MEDLINE and EMBASE. *International Journal of Technology Assessment in Health Care* 25: 522–9.
- Global Humanitarian Assistance. 2018. *Global Humanitarian Assistance Report, GHA Annual Report*.
- Gosselin RA, Gialamas G, Atkin DM. 2011. Comparing the cost-effectiveness of short orthopedic missions in elective and relief situations in developing countries. *World Journal of Surgery* 35: 951–5.
- Griekspoor A, Sondorp E, Vos T. 1999. Cost-effectiveness analysis of humanitarian relief interventions: visceral leishmaniasis treatment in the Sudan. *Health Policy and Planning* 14: 70–6.
- Hall A, Blankson B, Shoham J. 2011. *The Impact and Effectiveness of Emergency Nutrition and Nutrition-Related Interventions: A Review of Published Evidence 2004-2010*. Oxford, UK: Emergency Nutrition Network, 1–68.
- Howard N, Guinness L, Rowland M *et al.* 2017. Cost-effectiveness of adding indoor residual spraying to case management in Afghan refugee settlements in Northwest Pakistan during a prolonged malaria epidemic. *PLoS Neglected Tropical Diseases* 11: e0005935.
- Kimbrough W, Saliba V, Dahab M *et al.* 2012. The burden of tuberculosis in crisis-affected populations: a systematic review. *The Lancet Infectious Diseases* 12: 950–65.
- McBain RK, Salhi C, Hann K *et al.* 2016. Costs and cost-effectiveness of a mental health intervention for war-affected young persons: decision analysis based on a randomized controlled trial. *Health Policy and Planning* 31: 415–24.
- Moher D, Liberati A, Tetzlaff J *et al.* 2009. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *PLoS Medicine* 6: e1000097.
- Naficy A, Rao MR, Paquet C *et al.* 1998. Treatment and vaccination strategies to control cholera in sub-Saharan refugee settings: a cost-effectiveness analysis. *JAMA* 279: 521–5.
- Napper M, Newland J. 2014. *Health Economics Information Resources: A Self-Study Course: Module 3*. NIH. <https://www.nlm.nih.gov/nichsr/edu/healthecon/index.html>, accessed 21 October 2019.
- Petticrew M, Rehfuess E, Noyes J *et al.* 2013. Synthesizing evidence on complex interventions: how meta-analytical, qualitative, and mixed-method approaches can contribute. *Journal of Clinical Epidemiology* 66: 1230–43.
- Ramesh A, Blanchet K, Ensink JHJ *et al.* 2015. Evidence on the effectiveness of water, sanitation, and hygiene (WASH) interventions on health outcomes in humanitarian crises: a systematic review. *PLoS One* 10: e0124688.
- Roberts B. 2018. Accountability. In: Allen T, Macdonald A, Radice H (eds). *Humanitarianism: A Dictionary of Concepts*. London: Routledge, 1–15.
- Ruby A, Knight A, Perel P *et al.* 2015. The effectiveness of interventions for non-communicable diseases in humanitarian crises: a systematic review. *PLoS One* 10: e0138303. [1371/journal.pone.0138303](https://doi.org/10.1371/journal.pone.0138303).
- Sardar T, Mukhopadhyay S, Bhowmick AR *et al.* 2013. An optimal cost effectiveness study on Zimbabwe cholera seasonal data from 2008. *PLoS One* 8: e81231.
- Singh NS, Smith J, Aryasinghe S *et al.* 2018. Evaluating the effectiveness of sexual and reproductive health services during humanitarian crises: a systematic review. *PLoS One* 13: e0199300.
- Smith J, Roberts B, Knight A *et al.* 2015. A systematic literature review of the quality of evidence for injury and rehabilitation interventions in humanitarian crises. *International Journal of Public Health* 60: 865–72.
- Spiegel PB. 2017. The humanitarian system is not just broke, but broken: recommendations for future humanitarian action. *The Lancet*. pii: S0140-6736(17)31278-3.
- Spiegel P, Khalifa A, Mateen F. 2014. Cancer in refugees in Jordan and Syria between 2009 and 2012: challenges and the way forward in humanitarian emergencies. *The Lancet Oncology* 15: e290–7.
- Tekeste A, Wondafrash M, Azene G *et al.* 2012. Cost effectiveness of community-based and in-patient therapeutic feeding programs to treat severe acute malnutrition in Ethiopia. *Cost Effectiveness and Resource Allocation* 10: 4.
- Tol WA, Barbui C, Galappatti A, McBain RK *et al.* 2014. Mental health and psychosocial support in humanitarian settings: linking practice and research. *The Lancet* 378: 1581–91.
- World Bank Group. 2018. *World Bank Country and Lending Groups*. <https://datahelpdesk.worldbank.org/knowledgebase/articles/906519>, accessed 21 October 2019.
- World Health Organization. 2003. *WHO Guide to Cost-Effectiveness Analysis*. Geneva: World Health Organization.