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3D deployment optimization for Heterogeneous Wireless Directional Sensor Networks on Smart City

Abstract—The development of smart cities and the emergence of 3D urban terrain data have introduced new requirements and issues to the research of wireless sensor network (WSN) 3D deployment. In this paper, we study the deployment issue of heterogeneous wireless directional sensor networks (HWDSNs) on 3D smart cities. Traditionally, studies about the deployment problem of WSNs show solicitude for omni-directional sensors on 2D plane or in 3D full space. As WSNs exist in complex 3D environments and directional sensors are emerging, the work of this paper will have more practical significance. Based on the 3D urban terrain data, we transform the deployment problem into a multiobjective optimization problem (MOP), in which objectives of *Coverage, Connectivity Quality and Lifetime*, as well as the *Connectivity and Reliability* constraints are simultaneously given close attention to. A graph-based 3D signal propagation model employing the line-of-sight (LOS) concept is used to calculate the signal path loss. The novel distributed parallel multiobjective evolutionary algorithms (MOEAs) are proposed. For verification, a real-world and an artificial urban terrains are utilized. Compared with other state-of-the-art MOEAs, the novel algorithms address the deployment problem more effectively and more efficiently in terms of optimization performance and operation time, respectively.

Index Terms—Wireless sensor network deployment, heterogeneous wireless directional sensor networks (HWDSNs), signal propagation model, line-of-sight (LOS), distributed parallelism.

I. INTRODUCTION

WITH development of smart cities [1], complex 3D urban environment has brought new problems and challenges to the study of wireless sensor network (WSN) deployment.

Many studies have addressed the deployment problem of WSNs. Nevertheless, the majority of them focus on the coverage and lifetime of networks [2]–[4] and deploy WSNs on 2D plane or 3D full space. Additionally, the sensors studied are usually omni-directional, which are disk-shaped in 2D and sphere-shaped in 3D, and are based on the deterministic boolean model; that is, within a predefined distance, denoted as R_s , objects can be detected; otherwise, the sensor does not “know” whether there are objects.

Based on the concept of directional sensor networks [5], Teng et al. [6] put forward a fuzzy ring based fan-shaped perception model. Sung et al. [7] explored the coverage problem of directional sensor nodes utilizing the concept of Voronoi diagram. Actually, the sensing ability of a sensor will gradually decrease with the increment of distance (omni-directional and

directional sensors) and angle (directional sensors). In the works of [8] and [9], two probabilistic sensing models, using various mathematical formulae to simulate the sensing behavior of sensors, were proposed, which better suited real-world applications. Also, traditionally, in the WSNs, the sensors are of the same type and the WSNs are homogeneous. However, heterogeneous WSNs comprised of different types of sensors or sensors with different sensing abilities are more practical. The work of [1] pointed out that the WSNs in the smart cities would be heterogeneous [10]. Based on the work of [11], we will consider heterogeneous directional sensor networks.

The deep integration between information and communication technology (ICT) calls for more network performance [12], in order to achieve the data transmission stability in Internet of Things (IoT) [13], a stable and reliable network is essential [12]. In the smart cities, wireless networks should be stable and reliable in real-time supervisory, data acquisition and communication because of the complex, hard and uncontrollable situation. In the smart cities, there are critical offers such as high temperatures, noise, and climate changes [14], the data transmission will be affected by the interference and the abrupt of link because of these changing environment, which require strong connection and reliability. Connectivity is basic for the reliable data transmission, also important for the topology control and routing protocol. Node’s position change affects not only the network lifetime, but also the connection, communication and reliability. Besides the basic coverage and lifetime, connection and reliability [15] also could be concerned to ensure the wireless networks performance, specially in the complex environment.

The way of node clustering and routing [16] greatly influences the network performance. Halder et al. [17] discovered that the energy imbalance across the network mainly owing to the data transmission to relay nodes from different sections of the network and put forward a heterogeneous node deployment strategy to deploy sensor nodes and relay nodes to extend network lifetime. While Chu et al. [18] considered the energy consumption rates of different nodes, the residual energy of each node, the communication costs among nodes and the cooperation of different nodes (even some nodes increased energy consumption to assist other nodes in reducing energy consumption), proposing a distributed cooperative topology control. Hacıoglu et al. [19] presented a clustering-based routing methodology, which minimized the communication costs among clusters and maximized the node quantity in each cluster, and nondominated sorting genetic algorithm II (NSGA-II) [20] was combined to select excellent solutions.

However, all these studies only explored the case of 2D plane.

Wang et al. [21] utilized particle swarm optimization (PSO) algorithm [22] to optimize the deployment of WSNs in the industrial environment, and the network reliability was guaranteed by associating each sensor node and each relay node with several relay nodes. Al-Turjman et al. [23] [24] conducted deployment optimization of relay nodes in the 3D space, and the network lifetime and reliability were concerned. Alexandris [25] discussed the connectivity of the networks with communication link. Shu et al. [26] discussed the way of prolonging the connectivity of WSNs.

Additionally, according to the real-world 3D urban terrain data, we know that the terrain may be uneven, and obstacles (e.g., buildings) may exist. The 2D plane situation is too simple to accurately simulate practical situations. Akbarzadeh et al. [8], Topcuoglu et al. [27] and Temel et al. [28] studied the deployment problem on 3D terrain. Omni-directional sensors were considered in [28], while [8] and [27] studied directional sensors. Because of the unevenness of the 3D terrain, the concept of line-of-sight (LOS) [29] was employed in the above works. Moreover, only one objective (i.e., coverage) was studied in [8] and [28]; the stealth and cost of WSNs were also considered in [27], however, all three objectives were transformed to one value on the basis of multiattribute utility theory. Unlike the above three works, Cao et al. [11] deployed heterogeneous directional sensors on 3D terrains (plain, hill and mountain), considered multiple objectives (coverage, connectivity uniformity and deployment cost) and utilized multiobjective evolutionary algorithms (MOEAs) to optimize them simultaneously; however, **no buildings were taken into account, thus, the node positions were not constrained.**

Compared to wired communication, there is no need to arrange and maintain cables for wireless communication; however, the communication range is limited. Usually, for the connectivity of WSNs, the communication range of sensor nodes is simply assumed to be twice the length of the sensing distance, and the full coverage of the target area indicates the connectivity of the WSNs. However, this simplification is unsuitable for real-world applications. Generally, the wireless signal can be reflected, scattered and diffracted and will dissipate along the transmission path. Traditionally, to calculate the signal intensity at a given point, from the transmitter, a large number of signal rays are simulated. The accumulation of signal rays at a given point becomes its signal intensity. He et al. [30], [31] utilized the ray-tracing method based on image concept, which was more computationally efficient.

Unlike other works, the contributions of this paper can be summarized as follows:

- 1) The deployment problem of heterogeneous wireless directional sensor networks (HWDSNs), including sensor nodes with various sensing abilities and relay nodes, based on 3D urban terrain data is studied, which is applicable to smart cities.
- 2) A graph-based 3D signal propagation model (SPM) integrating the LOS concept is proposed to simulate the transmission behavior of wireless signals and calculate the signal intensity at a given point.

- 3) Three objectives, *Coverage*, *Connectivity Quality* and *Lifetime*, as well as the *Connectivity* and *Reliability* constraints are considered. And several state-of-the-art MOEAs are utilized to optimize them simultaneously.
- 4) A variety of distributed parallel MOEAs are proposed to tackle the deployment problem effectively and efficiently. Specifically, multiple populations are employed, and their importance is **varying** during the evolution.

The remainder of this paper is organized as follows. Section II details the optimization objectives of the multiobjective deployment problem. The proposed algorithms are provided insight into in Section III. Followed in Section IV is the experimental study. Finally, we conclude this paper in Section V.

II. OPTIMIZATION OBJECTIVES

In this section, we describe the objectives, *Coverage*, *Connectivity Quality* and *Lifetime*, as well as the *Connectivity* and *Reliability* constraints, used to simulate the 3D deployment problem of HWDSNs on 3D urban terrains.

A. Coverage

Following the routine in the work of [11], the uncertain comprehensive coverage model includes a probabilistic directional sensing model and a fusion operator based on a non-probabilistic measure. The probabilistic directional sensing model imitates the gradual decreasing of sensing ability with respect to the increment of distance and angle. In [11], the horizontal and vertical sensing angles are considered together, thus, the sensing area will be a cone, which is not in accord with the real-world situation. In this paper, we consider these two angles separately, and the corresponding sensing area will be pyramid-shaped, accordant with practical circumstances.

On the 3D terrain, the obstacles can block sensors, thus, the concept of LOS [29] is introduced. The sensing regions of different sensors may overlap. Traditionally, the additivity of probability is considered; however, in real-world environments, the situation is not so simple. For this reason, the work of [11] used a non-probabilistic measure (i.e., the Sugeno measure [32], [33]) to fuse the overlapped sensing regions of multiple sensors.

Let O_{th} denote the coverage degree threshold. To evaluate coverage degree, define [21]:

$$O_s(p) = \begin{cases} 1, & O_q(p) \geq O_{th} \\ 0, & \text{otherwise} \end{cases} \quad (1)$$

$$f_{CV} = 1.0 - \frac{1}{P} \sum_{j=1}^P O_s(p_j) \quad (2)$$

where $O_s(p)$ represents whether point p is under surveillance or not, f_{CV} denotes the fitness of the *Coverage* objective.

B. Connectivity Quality

1) *Path Loss*: The wireless signal is dissipated along the propagation path; additionally, when encountering obstacles

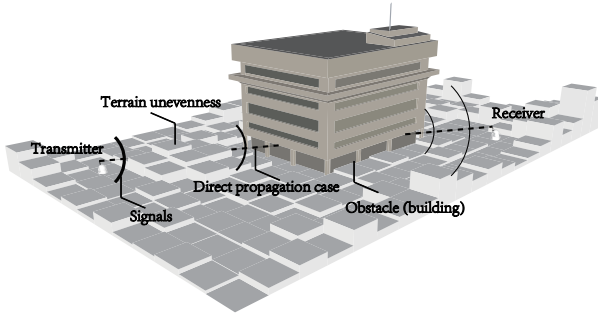


Fig. 1: Illustration of obstacle processing.

(e.g., buildings), the signal is reflected, scattered, diffracted or absorbed [30].

$$P_{PL} = 10n \log_{10} d + \sum_{i=1}^N l(i) \alpha(i)^{i-1} \quad (3)$$

where P_{PL} is the path loss of the signal power; $n \in [2, 5]$ is the path loss coefficient; d is the distance between the transmitter TX and the receiver RX ; N is the number of obstacles (e.g. buildings) along the direct path from the transmitter and the receiver; $l(i)$ is the exponent of attenuation of obstacle i ; and $\alpha(i)$ is the penetration rate of obstacle i , which is within the range of $[0, 1]$, and $\alpha(i)^{i-1}$ indicates that the longer the distance between the transmitter and the obstacle, the less its effect to the signal intensity.

According to the works of [34], [35], we set the path loss exponent n as 3.0. In the equation 3, for a direct path, d is the distance between TX and RX , while for a reflected path, d is the distance between the virtual source and RX .

2) *Graph-based 3D SPM*: The proposed graph-based 3D SPM is derived from the work of [30]. In [30], the **graph theory** is utilized to detect edges and feature points, which were combined to identify obstacles. In this paper, the concept of LOS [29] used in [11] is employed and extended to simulate the direct and reflected wireless signals from the transmitter to the receiver and recognize obstacles along this signal.

As illustrated in Fig. 1, for rough urban terrain, the signal can be blocked by buildings or a rise in the ground, while if the terrain is flat, the only obstacle is the building. **Specifically, the details are provided in Algorithm 1. First, we figure out the differences of the two points along the X and Y axes, respectively as in Line 2. Then, we check points along the axis with larger difference as in Line 3 or 30. In checking a point, if the other coordinate is also an integer, is coincides with a point in the terrain matrix map , and the stored height is referred to, otherwise, two stored heights of adjacent points are considered and the higher one is cached as in Lines 10-17. And it will be compared with that of the point (Line 8) in the line connecting the two positions. If the line is in an obstacle, Lines 18-26 will be invoked. If the line is in free space previously (Line 20), an obstacle is occurred, and its type will be recorded and the counter will increase by 1. Additionally, if the line enters the building from the terrain, the type is updated to the building (Line 25). If the line is in free space, we update the tag (Line**

Algorithm 1: LOS

Data: Positions of the transmitter and receiver: $(i, j, h1)$ and $(a, b, h2)$

Result: Number of obstacles: N_{ob} , types of obstacles: T_{ob} .

```

1  $N_{ob} = 0, Tag = -1;$ 
2  $\Delta x = |a - i|, \Delta y = |b - j|;$ 
3 if  $\Delta x \geq \Delta y$  and  $i \neq a$  then
    /* Check points along the X axis */
4     Record the number of coordinates between the
    transmitter and receiver along the X axis as  $x1$ ;
5     Record the slopes as  $k = (\text{double}) \frac{b-j}{a-i}$  and
     $k1 = (\text{double}) \frac{h2-h1}{a-i};$ 
6     for  $m = 1$  to  $\Delta x - 1$  do
        /* Compute the coordinate of the
        next point */
7          $x2 = i + x1 \times m, y2 = j + k \times (x2 - i);$ 
8          $z = h1 + k1 \times (x2 - i);$ 
9         if  $y2 == (\text{int}) y2$  then
10              $x3 = (\text{int}) x2, y3 = (\text{int}) y2;$ 
11              $z1 = \text{map}[x3][y3];$ 
12         else
13              $x3 = (\text{int}) x2, y3 = (\text{int}) y2;$ 
14              $z1 = \text{map}[x3][y3];$ 
15              $y3 = y3 + 1;$ 
16              $z2 = \text{map}[x3][y3];$ 
17              $z1 = \max(z1, z2);$ 
18         if  $z < z1$  then
            /* An obstacle occurs */
19             if  $Tag < 1$  then
20                  $Tag = 1;$ 
21                 if  $z1 \geq h_{max}$  then
22                     /* Building */
23                      $T_{ob}[N_{ob}++] = 1;$ 
24                 else
25                     /* Terrain undulation */
26                      $T_{ob}[N_{ob}++] = 0;$ 
27                 if  $z1 \geq h_{max}$  then
28                     /* From terrain to building */
29                      $T_{ob}[N_{ob} - 1] = 1;$ 
30             else
31                 /* Free space */
32                 if  $Tag == 1$  then
33                      $Tag = 0;$ 
34 if  $\Delta x < \Delta y$  and  $j \neq b$  then
    /* The similar procedure is invoked
    along the Y axis */

```

27). Finally, we will obtain the number of obstacles and the corresponding types (Line 1).

For the reflection case, the graph theory is employed to trace the reflected line from TX to RX . Simultaneously considering the direct and reflected signal cases, the final path loss of TX to RX can be calculated following the procedure of Algorithm 2.

And the fitness function is as follows:

$$f_{CQ} = \frac{1}{2} \left(\frac{\sum_{i=1}^{N'_S} \sum_{j=1}^{N^{nb}_{SR,i}} P^{PL}_{SR,i,j}}{\sum_{i=1}^{N'_S} N^{nb}_{SR,i} P^{PL}_{th,SR}} + \frac{\sum_{i=1}^{N'_R} \sum_{j=1}^{N^{nb}_{RR,i}} P^{PL}_{RR,i,j}}{\sum_{i=1}^{N'_R} N^{nb}_{RR,i} P^{PL}_{th,RR}} \right) \quad (4)$$

where f_{CQ} is the fitness value of the objective *Connectivity Quality*; $P^{PL}_{SR,i,j}$ ($P^{PL}_{RR,i,j}$) denotes the path loss value of sensor i (relay node i) and its neighbor relay node j ; $P^{PL}_{th,SR}$ ($P^{PL}_{th,RR}$) denotes the path loss threshold of sensor node (relay node); N'_S (N'_R) is the number of feasible sensor nodes (relay nodes) deployed outside obstacles; and $N^{nb}_{SR,i}$ ($N^{nb}_{RR,i}$) is the number of neighboring relay nodes that can communicate with sensor i (relay node i) through wireless signals, that is, the corresponding path loss is below $P^{PL}_{th,SR}$ ($P^{PL}_{th,RR}$).

C. Lifetime

In the considered network, there are two types of nodes: sensor nodes and relay nodes. The former is responsible for the monitor of the environment and information transmission to the latter; while the latter is in charge of collecting information from sensor nodes or other relay nodes, and transmitting data to another relay node or the sink node. Correspondingly, each sensor node selects the relay node with least path loss to join its cluster. As a result, each relay node will manage a bunch of sensor nodes, and its communication burden will be much more than a sensor node. Therefore, we focus on the lifetime of relay nodes, and the objective function is as follows:

$$f_{LT} = \frac{\max_{1 \leq i \leq N_R} (N_i^{RS} P^{PL}_{RR,i})}{N_S P^{PL}_{th,RR}} \quad (5)$$

where f_{LT} denotes the fitness of the *Lifetime* objective; N_R (N_S) denotes the number of relay nodes (sensor nodes); N_i^{RS} denotes the number of sensor nodes, including the sensor in its cluster as well as those from other clusters when acting as a relay node for other relay nodes, the relay node i in charge of, here, if we see a relay node with less path loss to the sink node is nearer to the sink node, then, each relay node selects a nearest relay node nearer to the sink node as its next hop, otherwise, the sink is the destination; $P^{PL}_{RR,i}$ denotes the path loss value between relay node i and its next hop.

D. Connectivity and Reliability Constraints

For the *Connectivity* constraint, each sensor node should be able to communicate with its cluster head (i.e., selected relay node), otherwise, a penalty value is added. For the relay nodes, assuming each relay as a vertex and the communication status of each two relay nodes as an edge, as a result, the

Algorithm 2: Path Loss of Propagation Signals

```

1 Initially, the reflection faces (i.e., building surfaces) are
  recorded in advance;
2 For a  $TX$ , the reflection faces around it are put into a
  queue;
3 Initialize  $PL_{min}$  by the path loss of the direct signal;
4 while The queue is not empty do
5   Pop up a reflection face from the queue;
6   Find out the intersection point  $p_{sec}$  of the virtual line
  connecting the virtual  $TX$  and the  $RX$ ;
  /* The prior reflection face */
7   Let  $I_{parent}$  denote the index of the prior reflection
  face;
  /* Initialize freespace tag */
8    $T_{free} = 1$ ;
  /* Check out whether the signal is
  blocked before the last
  reflection */
9   while  $p_{sec}$  is within the reflection face and  $T_{free}$  and
 $I_{parent} \geq 0$  do
10    Select element  $I_{parent}$  in the queue as the current
  reflection face;
 $p_{sec}$  becomes the virtual  $RX$ ;
11    The virtual  $TX$  is updated with respect to the
  current reflection face;
12    Find out the new intersection point  $p_{sec}$ ;
  if The signal from  $p_{sec}$  to the virtual  $RX$  is
  blocked then
13       $T_{free} = 0$ ;
14    else
15       $T_{free} = 1$ ;
16    Update  $I_{parent}$ ;
17  if  $p_{sec}$  is within the reflection face and  $T_{free}$  then
  /* The current last reflection
  face */
18  Let  $PL_{tmp}$  denote the direct path loss from the
  virtual  $TX$  and the  $RX$ , in which only obstacles
  within the current  $p_{sec}$  and the  $RX$  are
  considered;
19  if  $PL_{tmp} < PL_{min}$  then
20     $PL_{min} = PL_{tmp}$ ;
21  if The maximum volume of the queue is not reached
  and the reflection time of the current face is below
  the maximum value then
22    Push reflection faces around the current last
  reflection face into the queue;
23  return  $PL_{min}$ ;

```

network can be represented as a graph. In this graph, one or more subcomponents are formed, within each of them, nodes can communicate with each other, while between any two nodes in different components, no communication path can be constructed. To find the subcomponents, we can traverse the graph, for which, many classic algorithms can be referred to. If the largest component size is less than the number of deployed relay nodes, that is to say, more than one subcomponents are formed, a penalty value is exerted. Specifically, we have:

$$p_C = (N_S - n_S) \times v_p \quad (6)$$

where p_C represents the *Connectivity* constraint penalty value, n_S denotes the size of the largest subcomponent, and v_p is the penalty coefficient.

Because of energy depletion or accidents, in the deployed HWDSN, some node may collapse in advance, if it is a critical juncture in the connected route of two relay nodes, the connectivity of the whole network can be destroyed, and for the worst case, many nodes will be disconnected, therefore, reliability should be taken into consideration. To guarantee the reliability of the network, each sensor or relay node should be able to communicate with more than one relay node, otherwise, we add a penalty value. Mathematically, we have:

$$p_R = \left(\sum_{i=1}^{N_S} n_{SR,i} + \sum_{i=1}^{N_R} n_{RR,i} \right) \times v_p \quad (7)$$

$$n_{SR,i} = \max(0, N_{th,R} - N_{SR,i}^{nb}) \quad (8)$$

$$n_{RR,i} = \max(0, N_{th,R} - N_{RR,i}^{nb}) \quad (9)$$

where p_R represents the penalty value of the *Reliability* constraint, $n_{SR,i}$ ($n_{RR,i}$) denotes the extra number of communicable relay nodes of sensor node (relay node) i , and $N_{th,R}$ is the least number of relay nodes that each sensor node or relay node can communicate with.

E. Objective Summary

In the deployment optimization problem considered in this paper, HWDSNs and 3D urban terrain are considered. HWDSNs are made up of heterogeneous directional sensors that have various sensing abilities as well as relay nodes. In the 3D urban terrain, there are buildings, and the ground can be even or rough.

There are three objectives: *Coverage*, *Connectivity Quality* and *Lifetime* as well as two constraints: *Connectivity* and *Reliability* being simultaneously considered. The objective *Coverage* is to maximize the coverage degree of the urban terrain. Thus, for a limited number of sensors, they should be scattered on the whole terrain as uniformly as possible. Nevertheless, for the objective *Connectivity Quality*, the aim is to minimize the path loss. For extreme situations, all sensor and relay nodes should be deployed in a very limited area, near the sink node. As to *Lifetime*, we mainly consider the relay node issue. By simultaneously considering these three objectives, the deployment quality can be more comprehensively guaranteed.

The fitness values are normalized to within the range of $[0, 1]$, and the aim of MOEAs is to simultaneously minimize them. For the penalty value, it should be larger than the maximum fitness value, in this paper, we simply set the coefficient as $v_p = 10^6$. The overall objectives are as follows:

$$\min \begin{cases} f_{CV} + p_C + p_R \\ f_{CQ} + p_C + p_R \\ f_{LT} + p_C + p_R \end{cases} \quad (10)$$

As the penalty value is several magnitudes larger than the maximum fitness values of all objectives, there exist numerous Pareto fronts, each of which corresponds to a penalty output. In other words, the penalty significantly affects the convergence of the solution set. And before the penalty value of 0 is achieved, the algorithm will focus on the convergence of the solutions.

F. Individual Representation

For the 3-objective deployment problem, we employ several MOEAs for optimization, and novel MOEAs are also proposed. In an MOEA, a population comprised of a number of individuals is in evolution. Each individual is a candidate solution for the deployment problem, in which a gene denotes a sensor (relay) node. Each sensor node is represented by its position and sensing direction, while each relay node is encoded by its position. For example, an individual is in the following form: $(s_1^S, \dots, s_{N_S}^S, s_1^R, \dots, s_{N_R}^R)$ and $s_i^S = (x_i^S, y_i^S, \theta_i^h, \theta_i^v)$, $i = 1, \dots, N_S$, $s_j^R = (x_j^R, y_j^R)$, $j = 1, \dots, N_R$; here, s_i^S represents sensor i , which is denoted by its deployment position (x_i^S, y_i^S) on the terrain and its horizontal sensing direction θ_i^h and vertical sensing direction θ_i^v , while s_j^R represents relay node j , denoted by its position (x_j^R, y_j^R) .

III. PROPOSED ALGORITHMS

To address the proposed 3-objective deployment optimization problem, we put forward novel distributed parallel MOEAs, which are based upon the message passing interface (MPI)-based distributed parallel cooperative co-evolutionary multiobjective evolutionary algorithm (DPCC-MOEA) [36], namely, DPCCMOEA with multiple populations (DPCCMOEA-MP).

A. Overview

Same to DPCCMOEA, DPCCMOEA-MP is based on the framework of Multiobjective Evolutionary Algorithm Based on Decomposition (MOEA/D) [37]. That is to say, in the population, each individual solves the problem of the weighted sum of all objectives; each individual corresponds to a different weight vector, and all individuals cooperate with each other to tackle the target multi-objective deployment problem. The procedure of DPCCMOEA-MP is illustrated in Algorithm 3.

Algorithm 3: DPCCMOEA-MP

```

1 Initialize parameters and allocate memory;
2 Group variables as detailed in Section III-C;
3 Calculate population importance values as in Section
  III-B, and construct the parallel structure as in Section
  III-E;
4 Each CPU confirms the group of variables to evolve, as
  well as identifies its population index and receives some
  individuals of the population as in Section III-E;
  /* Main loop */
5 while The maximum generation number is not reached do
6   Exchange information among CPUs as in Section
   III-F;
7   Each CPU selects a number of individuals as parents
   to evolve the variables in the group as in Sections
   III-D1 and III-D2;
8   Crossover is performed to generate the remaining
   variables of each offspring as in Section III-D3;
9   if Threshold is reached then
10    Update importance values and the parallel
    structure as in Sections III-B and III-E;
11    Update threshold;
12 Refine all population to one population;
13 return The final population;

```

B. Population Adding

In DPCCMOEA, only one population is utilized, while in DPCCMOEA-MP, besides the main population optimizing all objectives, M subpopulations are also utilized, each of which optimizes one objective, here M is the number of objectives. During the evolutionary process, different attentions are paid to different populations, resulting in different variants of DPCCMOEA-MP, as follows:

- 1) DPCCMOEA-MP-I: in the prophase, various populations have nearly equal importances; while during the anaphase, more computation resources are consumed by the main population, as follows:

$$F_I^I = \frac{1.0}{1.0 + e^{-10 \times \left(\frac{ITER_{max} - ITER_{cur}}{ITER_{max}} - 0.5 \right)}} \quad (11)$$

where, $ITER_{cur}$ denotes the current iteration number, and $ITER_{max}$ represents the maximum iteration number.

- 2) DPCCMOEA-MP-II: the situation is opposite to that of DPCCMOEA-MP-I, as follows:

$$F_{II}^I = \frac{1.0}{1.0 + e^{-10 \times \left(\frac{ITER_{cur} - 0.5}{ITER_{max}} \right)}} \quad (12)$$

- 3) DPCCMOEA-MP-III: during the whole evolution process, various populations have equal importance.

For clarity, the changing behaviors of F_I^I and F_{II}^I are shown in Fig. 2.

C. Variable Grouping

On the 3D urban terrain, the sensor nodes to be deployed can be enormous, thus, according to section II-F, the number

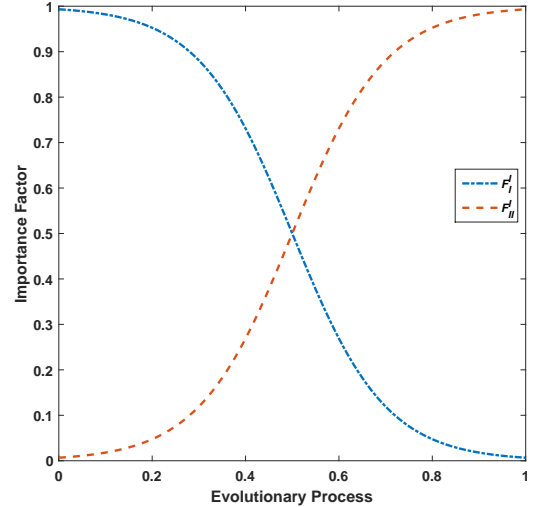


Fig. 2: Illustration of importance factor.

of variables in each individual can be large, resulting in a high-dimensional deployment optimization problem. To effectively tackle this kind of problem, separating variables to several groups and optimizing them under the cooperative coevolutionary (CC) framework [38] is a good choice. Naturally, the variables can be allocated to three groups: the positions of sensors, the sensing angles of sensors and the positions of relay nodes. In each group, variables are of the same property, facilitating the improvement of the optimization.

D. Evolution

1) *Evolution of Subpopulations*: As the subpopulations focus on optimizing their target objectives, in evolution, the best individual of the corresponding objective, updated after each generation, is referred to and the optimization strategy of *DE/current-to-best/1* is employed. Here, we modify the parameter F in *differential evolution (DE)* [39]: according to the weight vectors, the larger the distance between the target individual and the best individual, the smaller the F . Additionally, the best individual in *DE/current-to-best/1* is selected through tournament selection to avoid being trapped in local optima.

2) *Evolution of the Main Population*: As to the main population, the evolution of individuals is the same as in DPCCMOEA.

3) *Integration*: After the evolution of a group of variables, in DPCCMOEA, this offspring is integrated with its parent and other two individuals. However, equal importance is put to its parent and other ones. To balance the exploration and exploitation abilities of the populations, with the processing of evolution, the possibility of integrating variables of the parent are increasing.

E. Parallel Structure

As multiple populations are utilized in DPCCMOEA-MP variants, a three-layer structure is constructed, which is based on a three-fold decomposition:

- 1) The 3-objective deployment optimization problem is decomposed and optimized by 4 populations: a main population for all objectives, as well as one subpopulation for each objective.
- 2) The variables are decomposed to several groups. Thus, each population is divided into several **small populations**, each of which is responsible for a group of variables.
- 3) Individuals in each **small population** are allocated to a number of sets; the purpose is that, more computation resources can be utilized and the algorithm can be adaptive to different amount of resources.

Then, we can allocate the computation resources as follows:

- 1) The number of CPUs allocated to different populations is proportional to the importance values.
- 2) The CPUs in the charge of each population are further uniformly allocated according to the number of **small populations** in the corresponding population.
- 3) If one **small population** owns more than one CPU, the individuals in the **small population** are decomposed to several sets of equal size, each of which corresponds to one CPU.

During each step of evolution, in each CPU, the same number of individuals will be evolved, thus, for populations with less importance (less CPUs), less offsprings are produced.

F. Communication

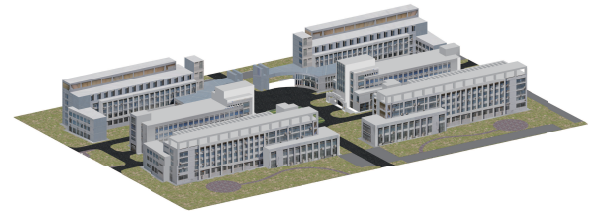
At the level of CPU, the von Neumann topology is formed [36]. In which, each CPU has four neighbors: the upper one, the lower one, the left one and the right one. Before each information transmission, one of the four directions is selected, and all CPU constructs the same data flow using the MPI parallel programming. The data for transmission can be the stored individuals, which can serve as reference **information** during evolution. **In usual**, compared to computation, the communication among CPUs in distributed environment will be much time-consuming. While information sharing among CPUs contributes to the performance improvement. For the deployment problem considered in this paper, the objective functions are very time-consuming, so information can be exchanged more frequently.

IV. EXPERIMENTAL STUDY

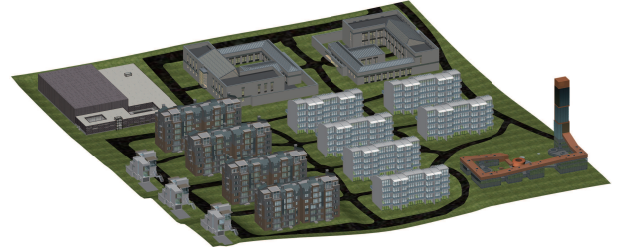
A. Urban Terrains

There are two kinds of 3D urban terrains, even terrain and rough terrain, used in this paper; they are shown in Fig. 3, detailed as follows:

- 1) Terrain I (even): Fig. 3a, is derived from a region in a campus. The terrain is flat, the altitude of which is uniform, and there are several college buildings on the terrain. The size is $185m \times 270m$.
- 2) Terrain II (rough): Fig. 3b, is an artificial residential **quarter**. The altitude difference of the ground is as high as $15.1490m$ and the highest position of which is even higher than the buildings in other positions. The rough terrain is of the size $200m \times 230m$.



(a) 3D urban terrain I



(b) 3D urban terrain II

Fig. 3: Illustration of 3D urban terrains.

The sampling resolution is $5m$, so the even terrain and the rough terrain are represented by 2D matrices with sizes 37×54 and 40×46 , respectively.

There are various 3D scene acquisition methodologies. In [40], UAV was used to assist the reconstruction procedure by taking pictures at specified positions. Point cloud-based [41] method is popular, while can be time-consuming. He et al. [30] proposed a graph theory based methodology, which first detected feature points and edges, and then formed the structures of buildings. Therefore, its efficiency is outperforming and can be applied to large-scale reconstruction of smart city.

B. Parameter Settings

The proposed MOEAs are compared with Cooperative Co-evolutionary Generalized Differential Evolution 3 (CCGDE3) [42], Cooperative Multi-Objective Differential Evolution (CMODE) [43], MOEA/D [37], Nondominated Sorting Genetic Algorithm III (NSGA-III) [44] as well as DPCCMOEA [36]. For the deployment optimization problem on each terrain, each algorithm runs 24 times. The number of fitness evaluations (FEs) for one run is set to $D \times 10^3$, and $D = 4N_S + 2N_R$ is the number of variables, here, we set N_S and N_R to 50 and 10, respectively.

For fair comparison, the population size of all algorithms is set to $NP = 120$. In CCGDE3, the fixed grouping is used, and the number of species is 2, each of which has 60 individuals. **As there are 3 objectives, CMODE maintains 3 subpopulations with 20 individuals for each, and the archive size is 120.** The detailed parameter settings of algorithms are listed in Table I.

For the proposed deployment problem, the path loss threshold of relay nodes is $P_{th,RR}^{PL} = 2 \times P_{th,SR}^{PL}$. While for the remaining parameter settings, please refer to [11] and [45]. Additionally, in [11], σ_{PAN} and σ_{TILT} vary in the range of $[0.5, 1.5]$, while in this paper, $\sigma_{PAN} = 1$ and $\sigma_{TILT} = 1.333333$, as the screen aspect ratio is assumed to be 4 : 3.

TABLE I: Algorithm Parameter Settings

Symbol	Attribute	Quantity
<i>DE</i> [39]		
F	weighting factor	0.5
CR	crossover rate	1.0
<i>simulated binary crossover (SBX)</i>		
p_c	crossover probability	1.0
η_c	distribution index	20
<i>polynomial mutation</i>		
p_m	mutation probability	$1/nDim$
η_m	distribution index	20
MOEA/D framework		
$niche$	neighborhood size	$0.1 \times NP$
$limit$	replace limit	$0.01 \times NP$
P_{slect}	parent selection probability	0.9

C. Performance Indicator

For the 3-objective deployment optimization problem, we cannot solve it exactly through mathematical methods to obtain the optimal Pareto front (PF). Thus, indicators such as inverted generational distance (IGD), generational distance (GD), etc. [46] are unapplicable, instead, we use the hypervolume (HV) indicator [46], and the reference point is set as (1.0, 1.0, 1.0). Also, the final PF visualizations of all algorithms after the 24 runs are provided.

D. Optimization Performance

For terrain I, Fig. 4a shows the evolutionary curves of averaged HV values of all runs generated by various algorithms; specifically, DPCCMOEA-MP-I reaches 0.7193, DPCCMOEA-MP-III reaches 0.7011, DPCCMOEA reaches 0.6175, NSGA-III reaches 0.5985, DPCCMOEA-MP-II reaches 0.5946, CMODE reaches 0.5733, MOEA/D reaches 0.4210 and CCGDE3 reaches 0.3100.

DPCCMOEA and all DPCCMOEA-MP variants except DPCCMOEA-MP-II perform better than the counterparts. By comparing DPCCMOEA and all DPCCMOEA-MP variants, we can know the usage of subpopulations contributes to the performance improvement. The best strategy ought to be that employed in DPCCMOEA-MP-I, in which, subpopulations are given more importance during the prophase compare to the anaphase. From the performances of DPCCMOEA and DPCCMOEA-MP-II, there ought to be a fitness waste in subpopulations of DPCCMOEA-MP-II. In DPCCMOEA-MP-I, the subpopulations are mainly used in the prophase, but it differs little from DPCCMOEA-MP-III, in which the main population and subpopulations have equal importance during the whole process. The visualization of the approximated PFs of all runs obtained by all algorithms can be found in the supplementary material.

As to terrain II, the detailed average HV values are as follows: NSGA-III reaches 0.3533, CMODE reaches 0.3222, DPCCMOEA reaches 0.2965, DPCCMOEA-MP-I reaches

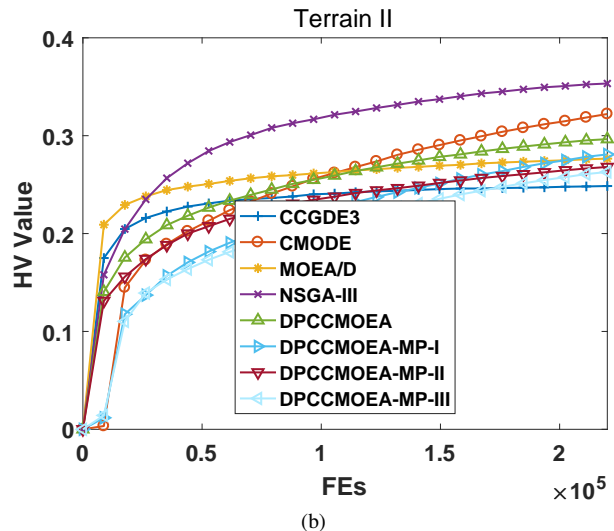
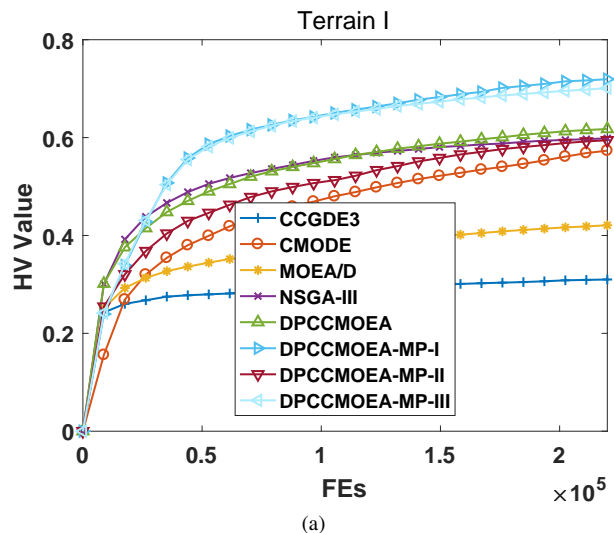


Fig. 4: Evolutionary curves of average HV values of 24 runs on terrain I and II.

0.2809, MOEA/D reaches 0.2766, DPCCMOEA-MP-II reaches 0.2680, DPCCMOEA-MP-III reaches 0.2629 and CCGDE3 reaches 0.2486, which are plotted in Fig. 4b.

The characteristics of different algorithms can be summarized in the following:

- 1) NSGA-III outperforms all other algorithms with considerable improvement.
- 2) Though CMODE converges slowly, it ranks the second with respect to the final result.
- 3) DPCCMOEA outperforms the multi-population variants, indicating the subpopulations are not beneficial for terrain II.
- 4) MOEA/D also overmatches two multi-population variants, thus, MOEA/D is simple but powerful for terrain II.
- 5) CCGDE3 is still the worst.

For the visualization of the approximated PFs, please refer to the supplementary material.

From the optimization performances of various algorithms, we can summarize that, for different terrains, the performance

varies greatly. The multi-population strategy exhibits its priority on terrain I, while all of them are inferior on terrain II.

E. Operation Time

The average operation times of all algorithms for one run are listed in TABLE II. We can see that, as distributed parallelism is employed in DPCCMOEA and DPCCMOEA-MP variants, much less time is consumed compared to other serial algorithms. Specifically, the experimental platform is the Tianhe-2 supercomputer and 72 CPUs are utilized, which is close to the speedups by comparing DPCCMOEA with CCGDE3 (i.e. 58.0), MOEA/D (i.e. 53.4), MOEA/DVA (i.e. 53.1) and NSGA-III (i.e. 57.4). Therefore, we can say DPCCMOEA-MP variants can solve the multiobjective deployment optimization problem more effectively and efficiently.

V. CONCLUSION

In this paper, we study the 3D smart city-based multiobjective deployment of HWDSNs by simultaneously considering *Coverage*, *Connectivity Quality* and *Lifetime* as well as guaranteeing constraints of *Connectivity* and *Reliability*, for which, two 3D urban terrains (i.e. a real-world campus and an artificial residential **quarter**) are utilized. For the signal propagation, a graph-based 3D model is presented via combining the LOS concept. To tackle the multiobjective deployment problem, based on DPCCMOEA, by utilizing subpopulations, several DPCCMOEA-MP variants are proposed. By verifying DPCCMOEA, DPCCMOEA-MP variants and other state-of-the-art MOEAs on the two 3D urban terrains, we find that the proper usage of subpopulations contributes to the optimization performance improvement of DPCCMOEA. Additionally, the distributed parallelism greatly reduces the operation time. As a conclusion, the presented DPCCMOEA-MP algorithms can address the proposed multiobjective deployment problem more effectively and efficiently as to the optimization performance and operation time, respectively. For the future work, we will further improve DPCCMOEA-MP algorithms, **specifically, as the difficulties of objectives are different, the importance values of subpopulations can be diverse and change during the evolution. Moreover, larger scale 3D urban terrain big data can be considered. For the reconstruction of 3D smart city, we will try to experiment other methodologies.** Additionally, more objectives can be added and many-objective algorithms can be devised. **In summary, as the proposed algorithms are parallel in nature, its efficiency is advantageous, thus, the proposed algorithms have wide applications in the future smart city.**

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TABLE II: Average Operation Times (secs) of CCGDE3, CMODE, MOEA/D, NSGA-III, DPCCMOEA, DPCCMOEA-MP-I, DPCCMOEA-MP-II and DPCCMOEA-MP-III for Each Terrain.

	CCGDE3		CMODE		MOEA/D		NSGA-III		DPCCMOEA	DPCCMOEA-MP-I	DPCCMOEA-MP-II	DPCCMOEA-MP-III
I	1.35E+04	(5.99E+01)	1.38E+04	(6.11E+01)	1.24E+04	(5.52E+01)	1.30E+04	(5.79E+01)	2.25E+02	1.99E+02	2.18E+02	1.94E+02
II	1.24E+04	(6.22E+01)	1.28E+04	(6.41E+01)	1.22E+04	(6.12E+01)	1.23E+04	(6.18E+01)	1.99E+02	1.94E+02	2.03E+02	1.93E+02
SUM	2.59E+04	(6.10E+01)	2.65E+04	(6.25E+01)	2.46E+04	(5.80E+01)	2.53E+04	(5.97E+01)	4.24E+02	3.93E+02	4.21E+02	3.87E+02

¹ Values in parentheses denote the speedups with respect to those of DPCCMOEA.

² Values in bold indicate better results.

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