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Self-Nano-Structuring in SrTiO₃: A Novel Strategy for Enhancement of Thermoelectric Response in Oxides

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3 **Self-Nano-Structuring in SrTiO₃: A Novel Strategy for Enhancement of**
4 **Thermoelectric Response in Oxides**
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Abstract:

Nano-structuring is recognised as an efficient route for enhancing thermoelectric response. Here we report a new synthesis strategy for nanostructuring oxide ceramics and demonstrate its effectiveness on an important n-type thermoelectric SrTiO₃. Ceramics of Sr_{0.9}La_{0.1}TiO₃ with additions of B₂O₃ were synthesized by the mixed oxide route. Samples were sintered in air followed by annealing in a reducing atmosphere. Crystallographic data from X-ray and electron diffraction showed $Pm\bar{3}m$ cubic symmetry for all the samples. High resolution transmission electron microscopy (HRTEM) showed the formation of a core-shell type structure within the grains for the annealed ceramics. The cores contain nanosize features comprising pairs of nano-size voids and particles; the feature sizes depend on annealing time. Atomic-resolution, high-angle annular-dark-field imaging and electron energy loss spectroscopy in the scanning transmission electron microscopy (STEM-HAADF-EELS) showed the particles to be rich in Ti and the areas around the voids to contain high concentrations of Ti³⁺. Additionally, dislocations were observed, with significantly higher densities in the shell areas. The observed dislocations are combined (100) and (110) edge dislocations. The major impact of the core-shell type microstructures, with nano-size inclusions, is the reduction of the thermal conductivity. Sr_{0.9}La_{0.1}TiO₃ ceramics containing grain boundary shells of size $\approx 1 \mu\text{m}$ and inclusions in the core of 60 to 80 nm exhibit a peak power factor of 1600 $\mu\text{W}/\text{m}\cdot\text{K}^2$ at 540 K; at 1000 K they exhibit a low thermal conductivity (2.75 W/m.K) and a power factor of 1050 $\mu\text{W}/\text{m}\cdot\text{K}^2$ leading to a high of ZT of 0.39 ± 0.03 . This is the highest ZT reported so far for Sr_{0.9}La_{0.1}TiO₃ based-compositions. This nanostructuring strategy should be readily applicable to other functional oxides.

Keywords: Nanostructuring, Thermal conductivity, Strontium Titanate, Oxide thermoelectric, Perovskite, Aberration Corrected Microscopy, 3D Electron Diffraction

Introduction:

The considerable amounts of heat wasted every day in industrial, commercial and domestic environments provide important opportunities for thermoelectric generators to convert some of this heat into usable electric power¹⁻³. Thermoelectric generators make use of materials whose thermoelectric performance is characterized by the dimensionless figure of merit, $ZT = (S^2\sigma T/k)$, where S is the Seebeck coefficient, σ the electrical conductivity and k the

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3 thermal conductivity. Over the last two decades, improvements of the ZT value have been
4 achieved in traditional thermoelectric materials and to some extent in newly developed oxide
5 thermoelectrics by micro- and nano-structuring of the materials ^{1,4-7} Part of the driving force
6 for nanostructuring has been the need to decouple the closely related material properties S , σ
7 and k and thereby increase the thermoelectric figure of merit, ZT beyond that in normal bulk
8 materials ⁸⁻¹².

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15 For many nanostructured bulk materials, the enhancement in the value of ZT can be
16 attributed to a significant reduction in the lattice thermal conductivity as a result of changes
17 in structure and local chemistry, which give rise to a high density of phonon-scattering
18 interfaces ¹³. However, the introduction of such interfaces can be counterproductive, as it will
19 generally result in a significant reduction of the electrical conductivity². An alternative
20 approach for the reduction of thermal conductivity is by the fabrication of nanocomposite
21 structures, for instance by compaction of the main material with nanosize inclusions, or by
22 in-situ nano-inclusion formation by means of precipitation ¹⁴⁻¹⁶. Here, the main role of nano-
23 inclusions is to generate additional phonon scattering centres without severely reducing the
24 bulk electrical conductivity. The size, shape and volume fraction of the nanoparticles have a
25 significant influence on the scattering efficiency, ¹⁷. However, it is reported that inclusions
26 could increase electrical conductivity while reducing thermal conductivity¹⁸. The general
27 approach for nanostructuring thermoelectrics involves the introduction of nanosized features
28 such as voids, particles with round or faceted morphology, atomic scale platelets dispersed
29 within the grains or the grain boundaries of the bulk material to reduce thermal conductivity.
30 In traditional thermoelectrics, significant ZT improvements have been achieved in many
31 systems; in half-Heusler alloys by adding nano-ZrO₂¹⁹, in Bi₂Te₃ by adding nano-SiC
32 particles ²⁰, and in Yb_{0.2}Co₄Sb_{12+y} by dispersing in situ partially oxidized Yb₂O₃ nanoparticles
33 ²¹ It is also has been reported that more significant enhancements can be achieved by
34 embedding metal or conductive nanoparticles into the matrix: examples include lead and
35 antimony in PbTe ²² antimony in Yb_yCo₄Sb₁₂ ²³.

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53 Metal oxides have great potential as thermoelectric materials for high temperature
54 applications, with SrTiO₃ being considered one of the most promising n-type oxides ^{2,24}. It
55 crystallizes in a simple cubic $Pm\bar{3}m$ perovskite structure, has a high S value of 600 $\mu\text{V}/\text{K}$ and
56 is normally utilised as an insulator. However, by doping the Sr sites with lanthanides or the
57 Ti sites with Nb, it becomes an n-type semiconductor ^{25,26}. The thermoelectric power factor,
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3 $S^2\sigma$, of La-doped single-crystal strontium titanate is comparable with that of traditional
4 thermoelectric materials such as Bi_2Te_3 ,². Despite these excellent electronic transport
5 properties, the lattice thermal conductivity of SrTiO_3 is comparatively high, limiting its
6 usability for practical applications. Various strategies have been employed to reduce the
7 thermal conductivity of SrTiO_3 ceramics including controlling the grain size^{27,28}, introducing
8 nano-size inclusions by SPS,²⁹ introducing conductive metallic particles within the matrix
9 ³⁰, co-doping of A-site with Lanthanides³¹, creation of A-site vacancies³² and nano-scale
10 modulation doping³³. The thermoelectric properties of A-site doped SrTiO_3 with 10 mole%
11 lanthanides ($\text{Sr}_{0.9}\text{Ln}_{0.1}\text{TiO}_3$ = La, Nd, Y and Pr) look very promising and have been
12 extensively studied^{25,34–36}. However, these compositions require high sintering temperatures
13 above 1600°C to achieve high sample densities, which could limit scalability as industrially-
14 viable thermoelectrics. For some years B_2O_3 has been used to lower the sintering temperature
15 of a variety of electroceramics³⁷. Additionally, it has been shown that B-doping of SrTiO_3
16 simultaneously increases the Seebeck coefficient and the electrical conductivity³⁸.

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29 Here, we present a novel strategy for self-nanostructuring in oxide ceramics and demonstrate
30 its effectiveness for optimising the transport properties of strontium titanate based
31 thermoelectrics. Through the use of different sintering atmospheres, a distinct core-shell grain
32 microstructure is achieved. In turn, the core of the formed grains is itself nano-structured,
33 comprising a matrix surrounding uniformly distributed pairs of nano-size precipitates and
34 voids. Atomic level imaging and chemical characterization, using scanning transmission
35 electron microscopy (STEM), high-angle annular dark field (HAADF), and electron energy
36 loss spectroscopy (EELS) has been employed to investigate the microstructural changes that
37 occur in $\text{Sr}_{0.9}\text{Ln}_{0.1}\text{TiO}_3$ upon annealing in a reducing atmosphere, with a particular focus on
38 the formation of these core-shell type structures containing pairs of voids and inclusions and
39 how they affect the thermoelectric response of the material. This approach to controlling the
40 nanostructure of thermoelectrics could find much wider applicability in the routes to
41 synthesise future target materials and achieving higher performance.

52 53 54 55 **Experimental:**

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57 Ceramics of $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ were produced by the standard mixed oxide route. All powders
58 were of 99.9% purity and obtained from Sigma Aldrich. The La_2O_3 was first dried at 1173 K
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3 for 6 h prior to mixing with TiO_2 and SrCO_3 in the required ratios. The powders were wet
4 mixed in a vibratory mill for 24 h with yttrium oxide stabilized zirconia balls and propan-2-
5 ol. After drying, the powders were calcined for 8 h at 1473 K in air atmosphere. B_2O_3 (0.6 wt
6 %) was added to the calcined powders and the mixtures were re-milled (under the conditions
7 described above), and dried before pressing uniaxially in a 20 mm die at 50 MPa. The pellets
8 were sintered at 1723 K for 4 h in air and then cooled at 633 K. Subsequently, the sintered
9 samples were annealed under a reducing atmosphere (Ar 5% - H_2) at 1623 K for periods of
10 12 h to 48 h. After determining the densities by the Archimedes method, the samples were
11 cut and their microstructures, crystal structures and thermoelectric responses evaluated.

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20 X-ray diffraction (XRD) was performed with a Philips X'Pert Pro diffractometer with 0.005°
21 step size (20 s per step) between 5° and 100° . Rietveld analysis of the data was undertaken
22 using TOPAS 4.2 software (Bruker AXS, Karlsruhe, Germany)³⁹. The microstructures of the
23 samples were analyzed using a Philips XL30 and a TESCAN field emission gun (FEG)-SEM,
24 both equipped with an energy-dispersive X-ray detector. Samples for transmission electron
25 microscopy (TEM) and STEM observation were prepared by both standard crushing and ion
26 beam-thinning techniques. whereby sintered disks were crushed to a powder using an agate
27 mortar and pestle. Grains of individual powders were dispersed in chloroform, dropped onto
28 a copper grid covered with a holey carbon film, and then dried. Structures were initially
29 investigated using selected area electron diffraction (SAED) and HRTEM techniques using a
30 FEI FEGTEM (Tecnai G2, Hillsboro, OR) operating at 300 kV. Subsequently, atomic level
31 resolution level structural characterization was carried out using an aberration-corrected Nion
32 microscope (UltraSTEM100; Nion Company, Kirkland, WA), located at the Daresbury
33 SuperSTEM Laboratory in the United Kingdom. Electron diffraction tomography was
34 performed using the same microscope and settings as above. Diffraction patterns were
35 recorded at consecutive tilt steps from -70° to $+70^\circ$ at 2° increments. The reciprocal lattice
36 reconstructions were performed using the PETS diffraction tomography platform⁴⁰.

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50 The Seebeck coefficient, S , and electrical conductivity, σ , were measured simultaneously at
51 temperatures up to 1000 K, in a helium atmosphere, using a ULVAC ZEM 3. Thermal
52 conductivity was obtained from measurements of the density, the thermal diffusivity
53 (custom-built apparatus in an argon atmosphere), and heat capacity (Netzsch STA 449 C); the
54 latter two measurements were carried out in an Ar atmosphere.

Results and Discussion:

Structural Bulk Properties.

Sintering of lanthanide substituted strontium titanate with excess lanthanides, i.e. $\text{Sr}_{0.9}\text{Ln}_{0.1}\text{TiO}_3$ (Ln = La, Nd and Pr), in air atmosphere requires high temperatures of 1900 K and above²⁵. However, high density ($\geq 95\%$ theoretical) crack-free samples of $\text{Sr}_{0.9}\text{Ln}_{0.1}\text{TiO}_3$ (hereafter denoted L10) were produced by sintering at the much lower temperature of 1723 K with the aid of 0.6 wt% additions of B_2O_3 . The air sintered L10 samples were light grey in color; after subsequent annealing under reducing conditions the samples were black in colour with marginally lower density, but generally 95% theoretical and above. The change in colour from grey to black reflects the increasing content of Ti^{3+} ^{31,41} as a result of sintering under reducing conditions.

Phase Analysis

X-ray Diffraction

XRD spectra of L10 samples sintered in air and then annealed at 1350°C for different times are shown in Figure 1a. For convenience, these samples will be referred to as 0h, 12h, 24h, 32h and 48h, respectively, where 0h represents air-sintered samples and the numbers (12 to 48) represent the annealing time in hours. Topas refinement of all XRD spectra confirmed the cubic, $Pm\bar{3}m$ space group for all samples. With increasing the annealing time under Ar-5% H_2 atmosphere, the XRD diffraction peaks move to lower diffraction angles, reflecting a small overall increase in the lattice parameter of the cubic phase, as shown in Figure 1b. The larger lattice parameter can be explained by the formation of Ti^{3+} to compensate for excess electrons from oxygen vacancies created during annealing under reducing conditions^{31,36}; Ti^{3+} ions have a larger atomic radius (0.81 Å) than Ti^{4+} ions (0.74 Å) in the TiO_6 octahedra⁴². These changes in lattice parameter due to reduction are consistent with previous investigations of perovskite materials sintered in different environments⁴³. All the XRD spectra showed three minor extra diffraction peaks at 2 theta of 28.9, 31.5 and 35.5. These peaks can be indexed as the $\text{Sr}_3\text{Ti}_2\text{O}_7$ Ruddlesden Popper phase⁴⁴ which is present here as a minority secondary phase. It is believed that Boron dissolves in $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ in the final stage of the liquid phase sintering⁴⁵ causing the solubility limit to be exceeded and thereby forming the $\text{Sr}_3\text{Ti}_2\text{O}_7$ Ruddlesden Popper secondary phase⁴⁶.

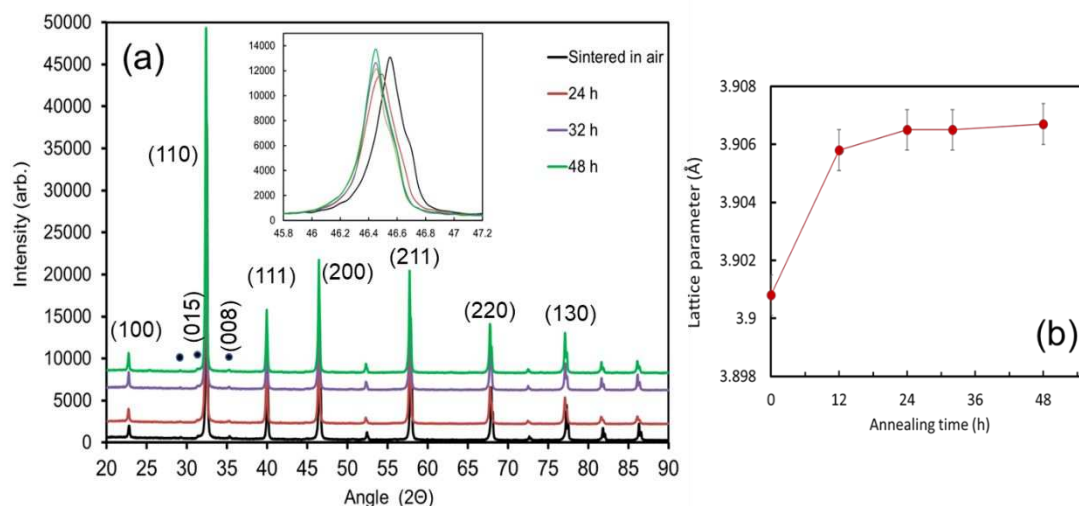


Figure 1. (a) XRD spectra for L10 samples sintered in air and annealed in Ar - 5%H₂ atmosphere for different times; black, red, purple and green spectra correspond to air-sintered, and annealed under reducing conditions for 24h,32h and 48 h, respectively. The inset shows the (200) peak shift as a function of annealing time. (b) Refined lattice parameters of the samples after annealing.

Microstructure evaluation

Scanning Electron Microscopy

To evaluate the sample microstructures after sintering and annealing SEM characterization was initially performed. Figure 2a presents the microstructure of the air sintered samples; it exhibits regular polygonal-shaped grains with average size $\sim 7 \pm 0.2 \mu\text{m}$. No sub-grain features were observed in the microstructures of the air sintered samples or the samples annealed for 12 h under a reducing atmosphere; the microstructures of both types of samples, in terms of grain size and shape, were very similar (as an example Figure S-1 in Supporting Information shows the microstructure of a sample annealed for 12 h). However, a major change in the microstructure was observed after annealing samples for 24 h or longer under reducing atmosphere: a core-shell type composite microstructure developed within the grains (Figure 2b). The grain shell appears as a bright band along the grain boundaries, while the grain core shows darker contrast compared to that of the shell. The thickness of the shell was uniform, being typically $1.0 \mu\text{m}$ and $1.6 \mu\text{m}$ for the samples annealed for 24 and 32 hours respectively. On further increasing the annealing time to 48 hours, the core-shell microstructure was still present, but the thickness of the shell was less uniform (Figure 2c). In order to explore the chemistry of the composite microstructure, SEM-EDS measurements

were performed (examples given in Supporting Information, Figure S-2 and S-3) and reveal that despite the different core and shell morphologies, the average composition is uniform throughout the newly formed microstructures.

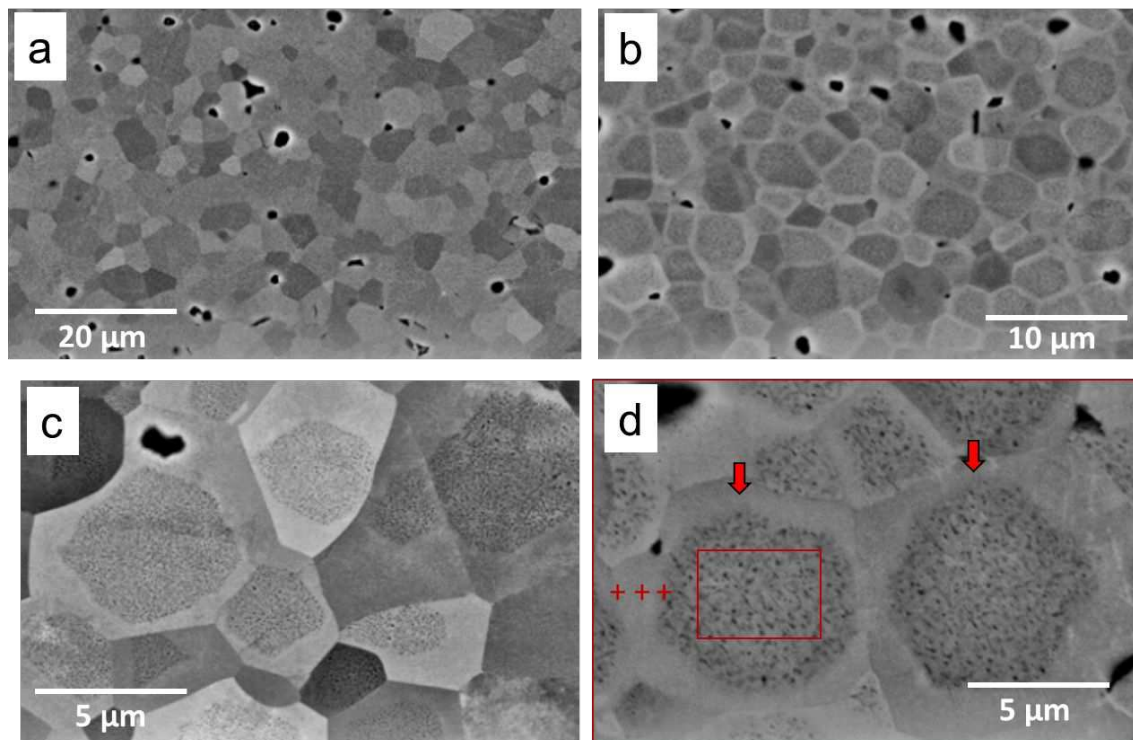
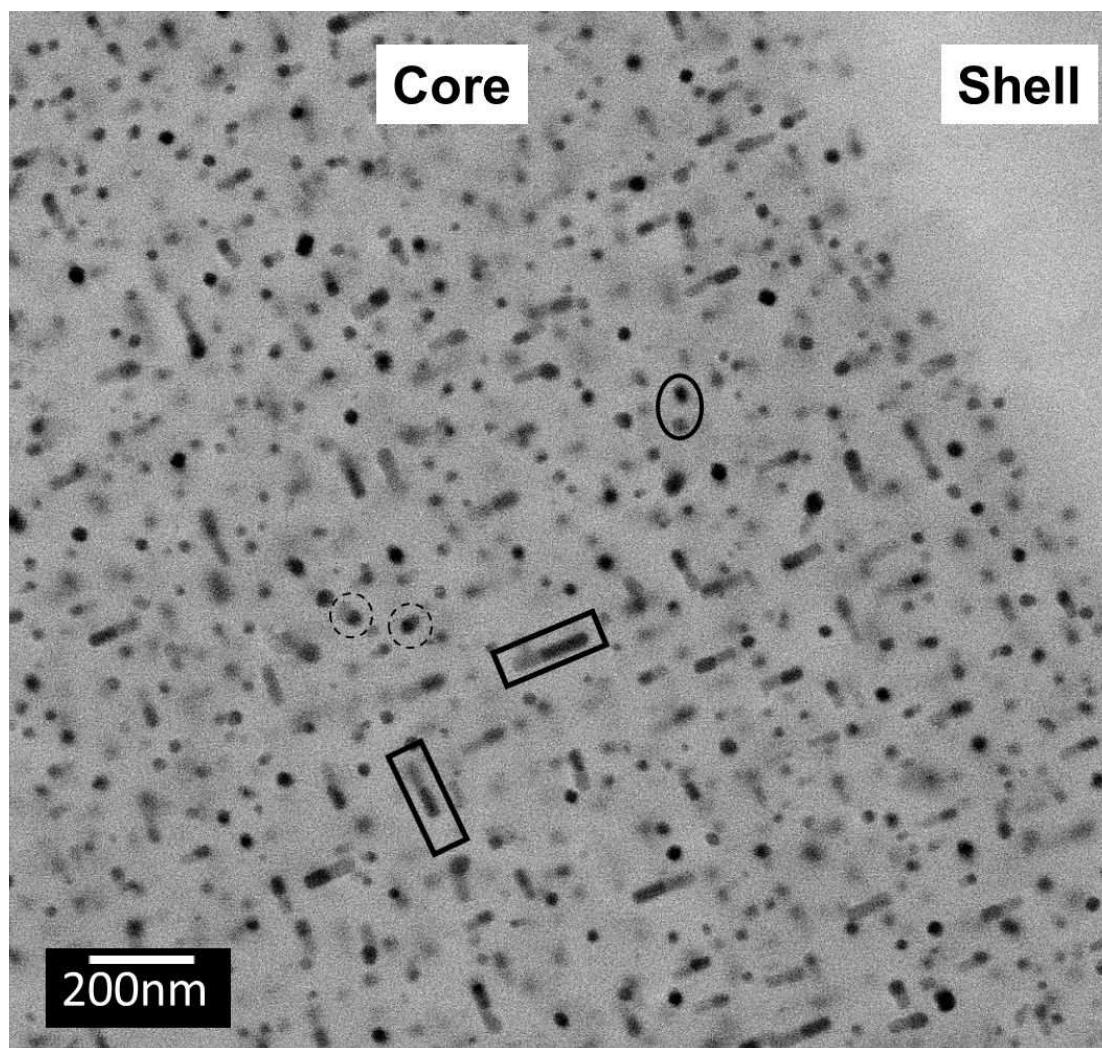


Figure 2. Back scattered electron (BSE) SEM images for L10 samples: (a) sintered in air, (b) sintered in air then annealed in Ar - 5%H₂ for 24 h, (c) SEM image for the sample sintered in air then annealed in Ar - 5%H₂ for 48h, (d) high magnification SEM image of sample annealed for 24 h, the red arrows in the figure highlight the shell areas; crosses and the red box indicate EDS analysis regions – see Supporting Information.

Higher magnification SEM images (acquired at a magnification of 100kx) revealed extra details of the nano size features in the core regions (Figure 3); these were predominantly: (i) elongated, rod-shaped features, having darker contrast at one end, and (ii) dark or bright round dots (Figure 3). The elongated features of varying size, with maximum length of about 200 nm for samples annealed for 48 h, appear to be randomly distributed in the matrix of the core. A number of the rod-shaped features are aligned along the main in-plane crystallographic directions of the matrix, suggesting that the ‘round dots’ could be rod-shaped features aligned vertically along the viewing direction. However, pairs of round dark and bright features with no specific orientation relationship with respect to each other can also be seen, such as those highlighted in Figure 3 inside dashed circles. The length of the rod-shaped features vary in size for each annealing time. The maximum size of rod-shaped features estimated from the BSE-SEM images is 80 nm, 160 nm and 220 nm for annealing times of 24

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3 h, 32 h, and 48 h respectively. The detailed microstructural characteristics of the nano size
4 features, and the orientation relationships between the nano size features and the matrix of the
5 grains, will be discussed in the STEM-EELS section. It should be noted that the minor
6 secondary phase observed in the X-ray diffraction spectra (Figure 1a) appears as needle-
7 shaped features at grain boundaries of the main phase (Figure S-4 of the Supporting
8 Information provided).
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Figure 3. High magnification (100 kx) BSE – SEM image showing the distribution of the nano sized features in the core area of a sample annealed under reducing conditions for 48 h.

Transmission Electron Microscopy.

To provide further insight into the details and nature of the core-shell type structure, conventional TEM and advanced electron microscopy using atomically resolved STEM and EELS was performed. TEM data for the shell and core areas of a L10 sample annealed for 24

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3 h in Ar - 5% H_2 are presented in Figure 4. The presence of a high density of dislocations in
4 the shell area is the main feature of the microstructure in Figure 4a. Some dislocations are
5 also visible in the core area of the sample. Dislocations are common lattice imperfections in
6 un-doped single crystal and polycrystalline $SrTiO_3$ prepared in air⁴⁷⁻⁴⁹. Some of the nano-
7 sized inclusions observed in the SEM microstructure analysis can also be seen in the core
8 area in this micrograph (arrowed, blue in colour). Higher magnification TEM images of the
9 core area for the sample, Figure 4(b, c), show a uniform distribution of inclusions, with sizes
10 ranging from 20 nm to 80 nm. The macroscopic morphology of these inclusions can be
11 broadly described as a 'peanut' or 'dumbbell' shape: closer inspection shows that the
12 inclusions actually comprise two 'sub-structures' (see inset Figure 4b). Commonly, Moire
13 fringes exist in HRTEM images of one of the components as shown in the top left inset of the
14 Figure 4b. Observation of these inclusions in the thinner areas of the TEM sample shows that
15 one part of the inclusions is in fact a nano sized void and the second component is a solid
16 particle precipitate (Figure 4c). Comparison of the Fourier transform (FFT) of the HRTEM
17 images acquired from such particles and the surrounding matrix, shows that the particles and
18 the matrix of the core may differ in structure (Figure 4c). Furthermore, as noted above, much
19 lower densities of dislocations were observed within the cores, but when present, the
20 dislocations were frequently observed to be connecting sets of particle-void pairs.
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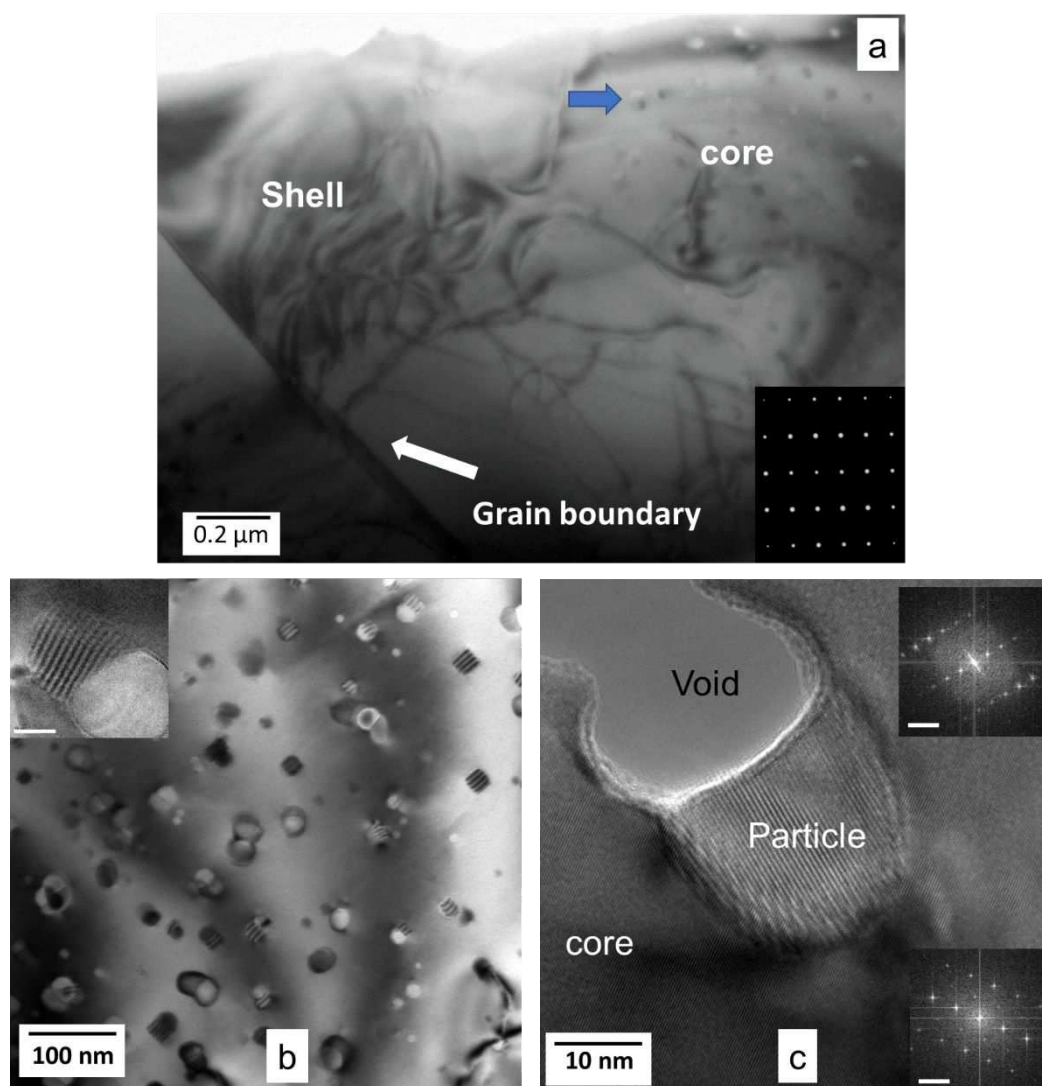
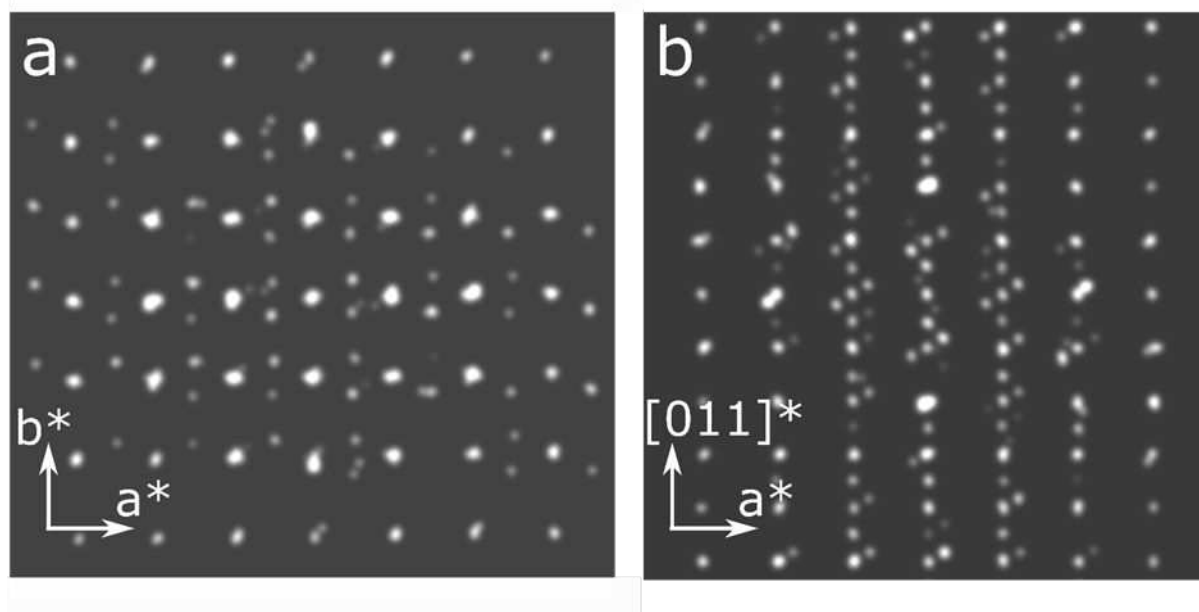


Figure 4. TEM data for a L10 sample sintered in air and then annealed in Ar - 5% H_2 for 24 h (a), [110] zone axis TEM image showing a high density of dislocations in the shell area. (b) Low magnification TEM image of sample. The scale bar in the top left inset represents 20 nm, (c) High magnification TEM of the same sample, the top right and bottom right insets represent FFTs of the particle and the core respectively. The scale bars in the FFTs represent 4 Å in real space.

More detailed analysis of the secondary phase was performed using precession electron diffraction tomography, allowing the complete reciprocal lattice of the crystal structure to be determined. The reciprocal lattice projections were found to be a superstructure of the parent perovskite structure of $SrTiO_3$ and projections of the crystal structure along one of the perovskite $\langle 100 \rangle$ directions and along one of the cubic $\langle 110 \rangle$ directions are shown in Figure 5a and 5b respectively. The superlattice reflections between the parent perovskite reflections indicate a much larger unit cell with a volume potentially as large as 1800 \AA^3 rather than the perovskite cell volume of 64 \AA^3 . The precise atomic arrangement that gives rise to the

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3 structure is still under investigation but the high degree of coherency between this phase and
4 the parent perovskite structure is clear from the reciprocal lattice reconstructions, hence the
5 strong directionality of the nanosized features in Figure 3. The small size of these phases, the
6 relatively weak superstructure reflection intensity and the high degree of coherency suggest
7 that this phase would not be easily identified in the conventional XRD analysis.
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35 Figure 5. Reciprocal lattice projections of the nanosize precipitate particles viewed along a)
36 the perovskite <100> direction and b) along the perovskite <011> direction.

37 38 **STEM-HAADF-EELS**

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40 In order to provide further insight into the details of the nano size features and dislocations,
41 atomically resolved STEM imaging and EELS measurements were performed. Firstly, the
42 particle-void nature of the inclusions was independently confirmed by low loss EELS
43 measurements of embedded nanoinclusions, demonstrating a drop in relative thickness (see
44 Figure S3) consistent with the presence of a nano-void.
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51 Figure 6 presents [001] zone HAADF - EELS data from the core area of the L10 sample
52 initially sintered in air prior to further annealing in Ar - 5%H₂ for 24 h. The horizontal and
53 vertical directions in the image are aligned with the lattice directions of the perovskite
54 structure. Figure 6a shows two sets of void-particle inclusions aligned in the same orientation
55 with respect to each other, consistent with the SEM observation. In this particular case, both
56 voids exhibit clear faceting; close up views (Figure 6b and 6c) show clearly how the
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3 boundaries between the void and particle parts of the inclusion, and between the inclusion
4 and the matrix, occur on [100] and [110] planes of the cubic structure of the L10 matrix.
5 EELS elemental analysis from such an inclusion (marked with a rectangle in Figure 5a)
6 reveals that the particle component of the inclusion is rich in Ti and O (Figure 6d,f) and
7 depleted in Sr and La (Figure 6e,g) compared to the matrix (see also Figure S-4 in Supporting
8 information).
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15 The chemistry of the particle part of the inclusion can be further elucidated by looking at the
16 near edge fine structure differences of the Ti L_{2,3} and O K EELS edges from a particle/void
17 and matrix area presented in Figure 7a. Figure 7b shows a Ti L_{2,3} spectrum extracted from the
18 Sr_{0.9}La_{0.1}TiO₃ matrix: the spectrum shows the characteristic white line shape of the edge,
19 with the additional splitting of the L₃ and L₂ peaks into e_g, t_{2g} sub-components, corresponding
20 to the Ti⁺⁴ octahedral coordination, as expected from SrTiO₃ type compounds^{50,51}. The
21 matrix spectrum is plotted against a Ti L_{2,3} spectrum extracted from the particle part of the
22 inclusion. It can be readily seen that the e_g-t_{2g} splitting of the L₃ and L₂ peaks is far less
23 pronounced, while the onset of the edge is slightly shifted to lower energies, indicating that
24 the valence of Ti in the particle is reduced towards Ti⁺³. Similarly, the near edge fine
25 structure of the O K edge (Figure 7e) acquired from the particle region (Figure 7a) is
26 significantly different from that of the matrix. The shape of the O K ELNES resembles that
27 observed in highly O deficient SrTiO₃ samples, as well as those of mixed valence Ti_xO_y or
28 Magneli type phases^{50,52}, in agreement with the Ti L_{2,3} ELNES observation of a slightly
29 lowered Ti valence. Additional HAADF images, elemental maps and relative thickness of
30 void-particle pairs are presented in Figures S-5 and S-6 of the Supporting information. The
31 experimental evidence suggests a strontium titanate phase with reduced Sr (La) content and
32 Ti valence, and non-stoichiometry, with deficiency of Sr and O. EELS techniques were used
33 to look for the presence of Boron; there was no evidence of Boron in the shell, the core or
34 particles.
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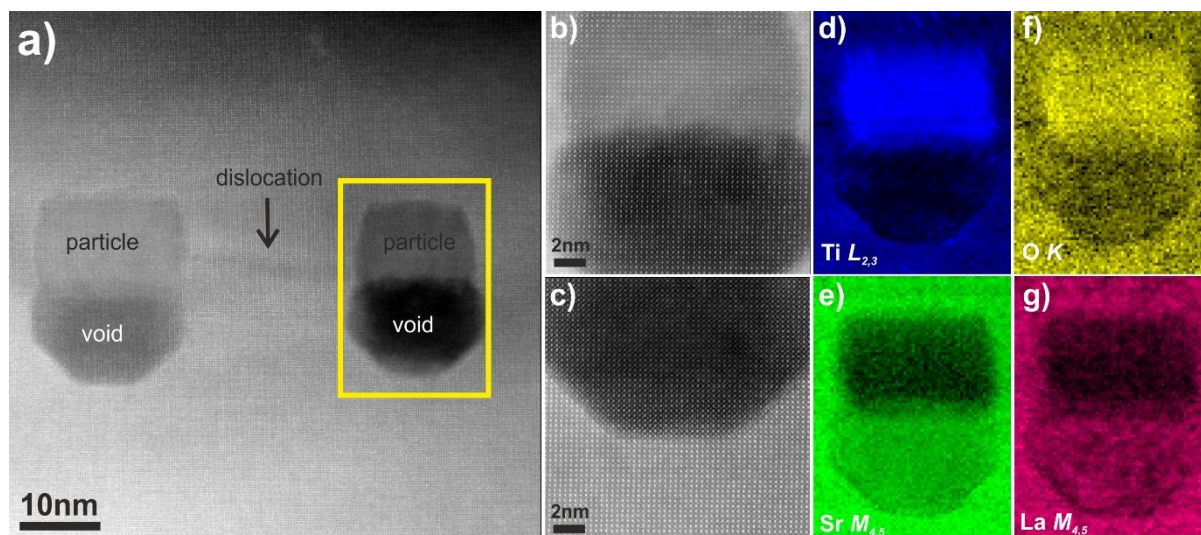


Figure 6. a) [001] zone axis HAADF STEM image of the L10 sample sintered in air then annealed in Ar - 5%H₂ for 24 h showing two sets of particle-void inclusions and b,c) HAADF STEM images showing the particle-void and void-matrix interfaces, respectively; d-g) EELS elemental maps produced using the Ti L_{2,3}, Sr M_{4,5} and La M_{4,5} ionisation edges, respectively

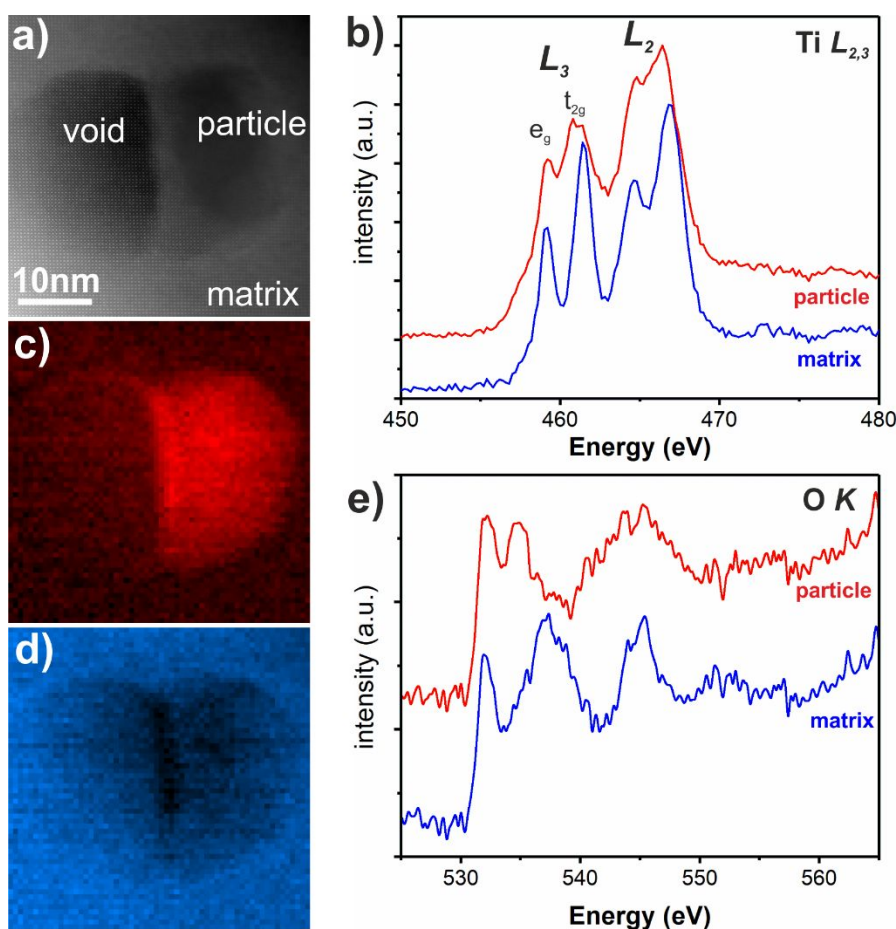
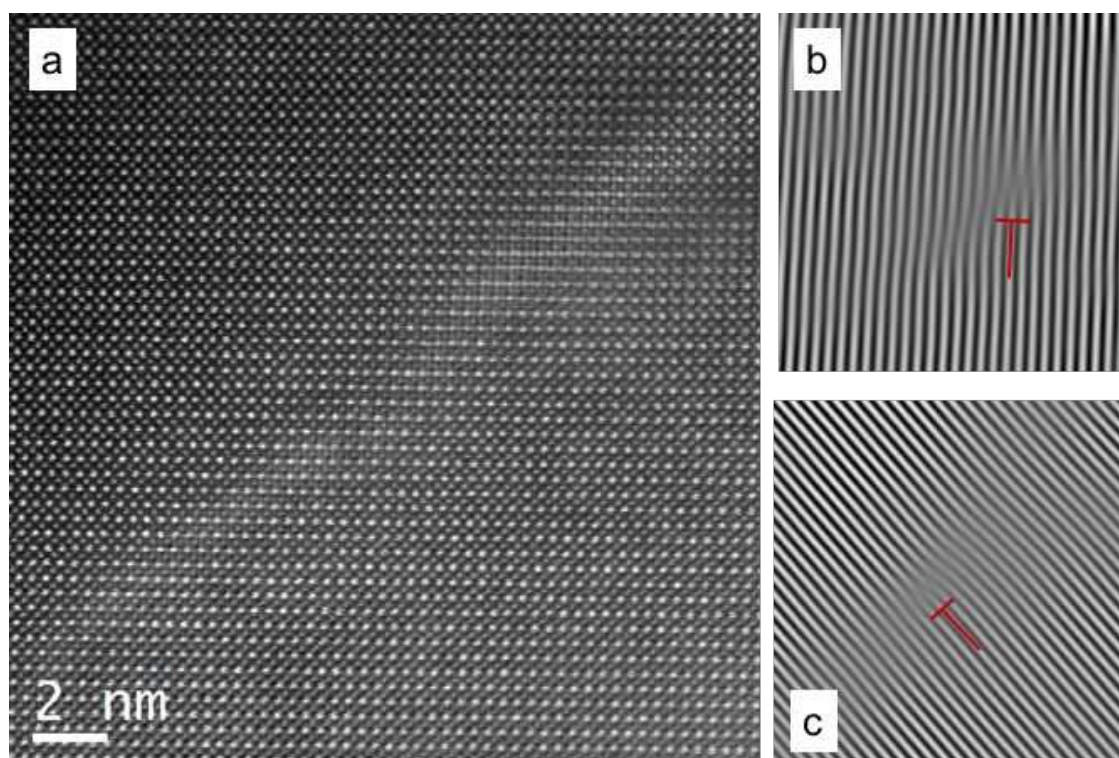


Figure 7. a) HAADF STEM image of a two-component inclusion in the L10 sample sintered in air then annealed in Ar - 5%H₂ for 24 h, b) Ti L_{2,3} spectra, respectively extracted from the

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3 particle component of the inclusion and the surrounding matrix. c, d) multi-linear least
4 squares fit maps using spectra in b) as input references; e) O K spectra extracted from the
5 particle component of the inclusion and the surrounding matrix, respectively.
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10 As mentioned earlier, dislocations were observed in the core and shell areas of the sample.
11 The nature of the dislocations was analysed using HAADF images by Fourier filtering to
12 enhance the visibility of the location of the dislocation core (as presented in Figure 8a-c). The
13 application of a Fourier filter on the (100) and (011) reflections from the image shown in
14 Figure 8a, confirms the presence of a complex dislocation core: Figure 8b-c, consisting of
15 combined (100) and (011) edge dislocations. This is similar to observations of dislocations in
16 the Nd analogue of the same composition investigated in a separate study ($\text{Sr}_{0.9}\text{Nd}_{0.1}\text{TiO}_3$)³⁶.
17 Advanced electron microscopy has provided chemical and structural details of these
18 important microstructural features so we can now address their effects on the electrical and
19 thermal transport properties of the $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ ceramics.
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53 Figure 8. a) HAADF image; b) Fourier filtered version of the image selecting the (100) spots
54 in Fourier space before applying an inverse Fourier transform; and c) Fourier filtered copy of
55 a) using the (011) reflections. The dislocation cores are clearly highlighted with this
56 procedure, and shown in (b) and (c) by red markers.
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Thermoelectric Response

The air-sintered samples are, as expected, insulators and exhibit low electrical conductivity, consistent with the transport properties of other air-sintered strontium-titanate-based thermoelectrics³². Thus, the discussion will focus on the transport properties of samples annealed under reducing conditions. However, the discussion of thermal properties includes the air sintered sample as a reference baseline.

The transport properties of the samples are presented in Figure 9. Both electrical and thermal properties are dependent on annealing time. The annealed samples show semiconducting behaviour at low temperature changing to metallic behaviour at higher temperatures (Figure 9a). The electrical conductivity increases with annealing time, consistent with an increased concentration of Ti^{3+} ions (in turn generating additional carriers) suggested by the STEM-EELS results. It should be mentioned that the addition of boron may have had a positive role on the electrical conductivity of the annealed samples, as boron, when added to single-crystal SrTiO_3 , is known to behave as a charge carrier dopant, remarkably increasing electrical conductivity³⁸.

Although it is difficult to determine the exact structure and chemistry of the nano inclusions, the STEM-EELS data point towards a Ti rich structure, still containing Sr, and exhibiting high level of oxygen deficiency. This phase could be akin to a reduced ternary titanate or a Sr-doped TiO_x Magneli-type phase⁵³. The electrical conductivity of TiO_x -based Magneli phases is high, ranging from 800 S/cm to 300 S/cm at temperatures of 450 K to 1000 K, depending on the oxygen deficiency level^{54,55}. Therefore, particles of a similar nature within the microstructure would have a positive effect on the overall electrical conductivity. The pore components of the nano inclusions will, by comparison, have low electrical conductivity. However, since there is no significant reduction in the overall macroscopic density of the annealed samples, it would appear that the effect of voids on the overall electrical conductivity is minimal. Another microstructural feature that will influence electrical conductivity is the presence of dislocations. The full characterization of the types of dislocations present in these materials is beyond the scope of this study and requires further exploration. However, an atomistic simulation study of the role of $\langle 100 \rangle \{011\}$ edge dislocations, similar to that highlighted in Figure 8, on the defect chemistry and oxide ion transport properties of SrTiO_3 found that oxygen vacancies close to the dislocation core have lower formation energies⁵⁶. Thus dislocations facilitate the removal of oxygen from the

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3 structure and aid the formation Ti^{3+} ions; in turn these are beneficial to the enhancement of
4 the electrical conductivity⁵⁶. Additionally, dislocations (as structural defects) can contribute
5 to phonon scattering and help to reduce thermal conductivity³⁶.
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10 The absolute value of Seebeck coefficients range from 85 to 240 $\mu\text{V}/\text{K}$ in the temperature
11 range 300 K to 1000 K and reduces systematically with increasing annealing time (Fig. 9b).
12 As discussed above, this decrease is mainly attributed to an increase in carrier concentration,
13 promoted by the reduction of Ti^{4+} to Ti^{3+} . The samples annealed for 24 h have the highest
14 Seebeck coefficients amongst the highly conductive samples investigated and values are
15 comparable with those for similar A-site doped SrTiO_3 thermoelectrics^{15,25}. Although an
16 increase in Seebeck coefficients was reported by Li and Liu following the use of dispersed
17 inclusions of nano SiC in the Bi_2Te_3 , they suggested that whilst the mechanism of
18 enhancement was not known, the origin of the higher observed Seebeck coefficients was an
19 energy filtering of low-energy carriers by low-energy defects associated with the
20 nanovoids²⁹.
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31 The combined effect of Seebeck coefficient and electrical conductivity on the thermoelectric
32 response is embodied in the power factor ($S^2\sigma$). Data for the present samples are illustrated in
33 Figure 9c. The power factor increases with temperature and peaks at 500 K to 600 K; this is
34 typical for donor-doped strontium titanate⁵⁷. Samples annealed for 24h, 32h and 48h all
35 showed high power factor values. The highest power factor of $1.6 \text{ mW m}^{-1} \text{ K}^{-1}$ was achieved
36 at 550 K for samples annealed for 24 h. This is one of the highest values recorded for La
37 doped SrTiO_3 ^{25,32,58,59}. As the samples annealed for 12 h show the lowest electrical
38 conductivity, it is clear that the high Seebeck coefficients are responsible for the high power
39 factor of the samples. The samples annealed for 12h showed the lowest power factor amongst
40 the samples; consequently, full characterization was not conducted on this this sample.
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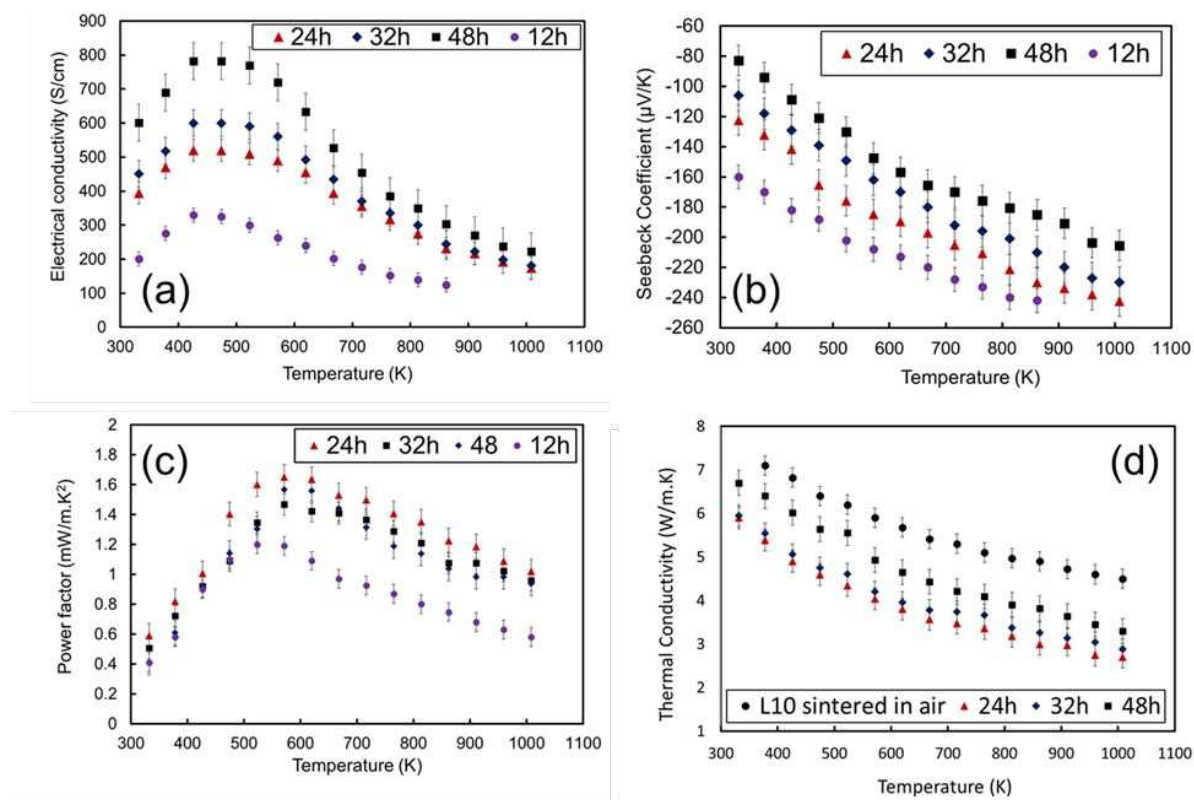


Figure 9 Temperature dependence of (a) Seebeck coefficient, (b) electrical conductivity, (c) power factor, and (d) total thermal conductivity for L10 samples (symbols: black ● represents samples sintered in air; symbols purple ●, red ▲, blue ◆, black ■ represent samples annealed under reducing conditions for 12 h, 24 h, 32 h, 48 h respectively).

To further understand the electrical conduction in the nanostructured samples, the carrier concentrations and mobility were calculated from the electrical conductivity and Seebeck coefficients. The carrier concentrations for the sample are calculated by the modified Hiekes' equation⁶⁰.

$$n = \left[\frac{A}{V} \right] \left[\frac{1}{e^{\frac{S \cdot e}{k}} + 1} \right] \quad (1)$$

where $\frac{A}{V}$ is the number of available sites for carrier per unit volume of the unit cell. For the cubic perovskite structure A is 1 and the V is the volume of the unit cell obtained from the refinement of the XRD data shown in Figure 1b. The factor e/k is the ratio of the electronic

charge (e) to the Boltzmann constant (k) and is approximately $0.011587 \mu\text{V/K}$. S is the Seebeck coefficient as shown in Figure 9b.

The modified Hiekes' equation is based on the assumption that only one electron is permitted on a given site and both degeneracies of spin and orbital are negligible⁶⁰. The attribution of vibrational entropy part is also assumed to be 0. Therefore, the calculated values of carrier concentration are expected to be higher than the real values. The carrier mobility can be calculated from the carrier concentration and electrical conductivity (σ) using the following equation:

$$\mu = \sigma / (e \cdot n) \quad (2)$$

The calculated values for carrier concentration and charge mobility are shown in Table 1. The annealed L10 ceramics have high carrier concentrations in the range 2.3×10^{21} to $4.54 \times 10^{21} \text{ cm}^{-3}$ increasing with annealing time. Carrier mobility values are in the range 0.586 to $0.822 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ increasing slightly with annealing time. The trends for carrier concentration and carrier mobility are a combined effect of nanostructuring and increased annealing time in the reducing atmosphere. The carrier concentration and mobility values reported here are in broad agreement with reported values for doped SrTiO_3 ceramics^{31,36}.

Table 1. Carrier concentration and mobility for L10 samples annealed in Ar - 5% H₂ atmosphere for different times.

Sample	Carrier concentration (cm^{-3})	Carrier mobility ($\text{cm}^2\text{V}^{-1}\text{s}^{-1}$)
12 h	2.13×10^{21}	0.586
24 h	3.19×10^{21}	0.741
32 h	3.72×10^{21}	0.752
48 h	4.54×10^{21}	0.822

In spite of the high power factor, it is the high thermal conductivity of strontium titanate that limits its use for thermoelectric applications²; a reduction of thermal conductivity is essential

for further improvement of the thermoelectric response of this material. The total thermal conductivity of the air sintered and the annealed samples are presented in Figure 9d. The air sintered samples show the expected very high thermal conductivity, typically $7.8 \text{ W m}^{-1} \text{ K}^{-1}$ at 350 K to $5.0 \text{ W m}^{-1} \text{ K}^{-1}$ at 1000 K, being slightly lower than that for un-doped polycrystalline, air-sintered strontium titanate³². In contrast the annealed samples show much lower thermal conductivity, with the 24 h samples exhibiting the lowest values across the temperature range, the minimum being $2.75 \text{ W m}^{-1} \text{ K}^{-1}$ at 1000 K. The lattice and electronic components of thermal conductivity (κ_{lattice} and $\kappa_{\text{electronic}}$) were calculated using the Wiedemann–Franz law, $\kappa_{\text{electronic}} = L\sigma T$, where L is the Sommerfeld value ($2.44 \times 10^{-8} \text{ W } \Omega \text{ K}^2$) of the Lorenz number⁶¹. The data are presented in Figure 10. The lattice component (Figure 10) dominates at all temperatures and falls with increasing measurement temperature and annealing time. The lowest lattice thermal conductivity of $2.25 \text{ W m}^{-1} \text{ K}^{-1}$ was achieved for the 24 h sample at 1000 K.

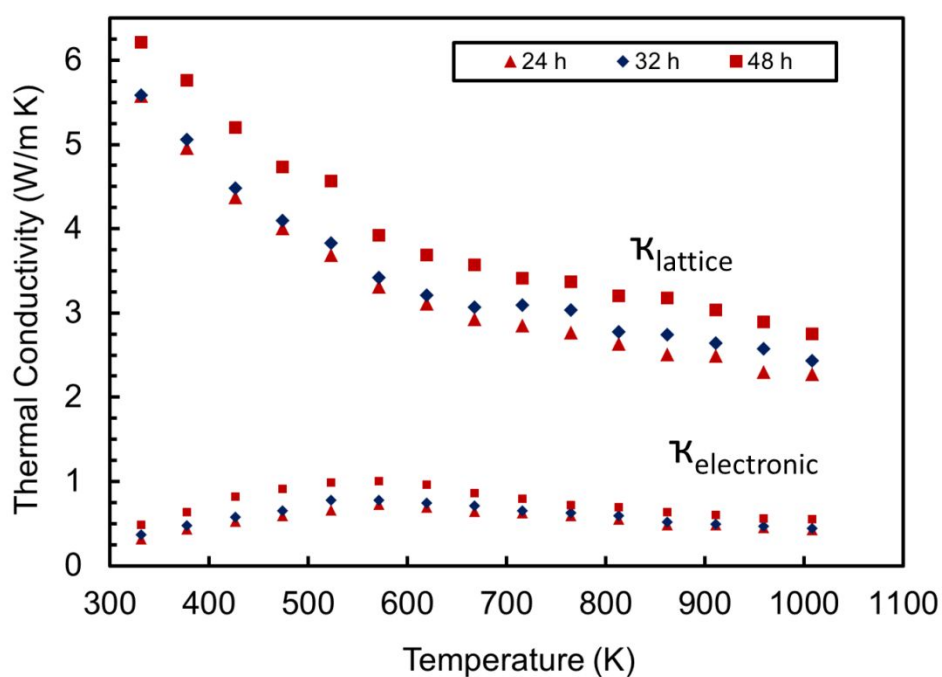


Figure 10. Temperature dependence of the lattice and electronic components of thermal conductivity components for $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$.

Perhaps the most effective role of nanostructuring in enhancing the performance of thermoelectrics is the reduction of the thermal conductivity^{11,29,62,63}. As highlighted in the introduction, SrTiO_3 has a high thermal conductivity at ambient and elevated temperatures. This is primarily due to the small mean free path for phonons $\sim 20 \text{ nm}$, as a result of the

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3 simple cubic perovskite structure of SrTiO₃; thus reduction of lattice component of the
4 thermal conductivity, K_{lattice} is essential. A number of approaches have been employed to
5 reduce the thermal conductivity of SrTiO₃. Wang et al showed that reduction of the grain size
6 from 20 μm to 55 nm greatly reduces the thermal conductivity in the temperature range 300
7 K to 1000 K; for example, from 4.5 W/m.K to 3.5 W/m.K at 1000 K⁶⁴. Wang et al fabricated
8 composites of Nb-doped SrTiO₃ and yttria-stabilized zirconia (YSZ) with YSZ nanosized
9 inclusions distributed at the grain boundaries and within the grains of Nb-doped SrTiO₃. The
10 composite exhibited much lower thermal conductivity and it was suggested that the reduction
11 was due to interface effects, specifically the enhanced scattering of phonons by the
12 inclusions. Our results are a further illustration of the impact of nanosized features on thermal
13 transport. Here the development of nanosized voids and inclusions are the primary reason for
14 the reduction in the thermal conductivity in SrTiO₃. Additionally, the presence of other
15 structural features generated by our new method of nanostructuring may contribute to the
16 lowering of thermal conductivity; (i) the presence of dislocations in the shell and core. The
17 numerous studies of the core structures of dislocations in SrTiO₃-based materials ^{49,65–67} and
18 our recent study of Sr_{0.9}Nd_{0.1}TiO₃ thermoelectric ³⁶ has shown that a localised variation in the
19 site occupancies, such as rearrangements of Ti-O octahedra and the appearance of Ti on Sr
20 sites due to different types of configuration of edge sharing octahedra, can occur in the
21 dislocation core regions. This localized chemical and structural variation and the associated
22 defects in the vicinity of the dislocations will tend to reduce lattice thermal conductivity. (ii)
23 The presence of core-shell type microstructures will encourage phonon scattering at their
24 interfaces. (iii) The formation of oxygen vacancies in SrTiO₃ as a result of the reduction
25 process, as demonstrated in the very recent work of Rahman *et al.* ⁶⁸, generates additional
26 phonon scattering centres to reduce thermal conductivity.
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46 To explore the relationship between the size of the void-particle nanostructures and thermal
47 conductivity, the size of the longest void-particle pairs in each of the samples (evaluated from
48 the BSE-SEM images), and the lattice thermal conductivity at 1015 K were plotted against
49 the annealing time spent in the reducing atmosphere (Figure 11). It can be seen that there is a
50 direct correlation between lattice thermal conductivity and void-particle size; the smaller the
51 size of the nano-sized features the lower the lattice thermal conductivity. This trend is valid
52 for all the measurement temperatures for thermal conductivity. However, we do not have
53 reliable data for the density of void-particle pairs in the different samples.
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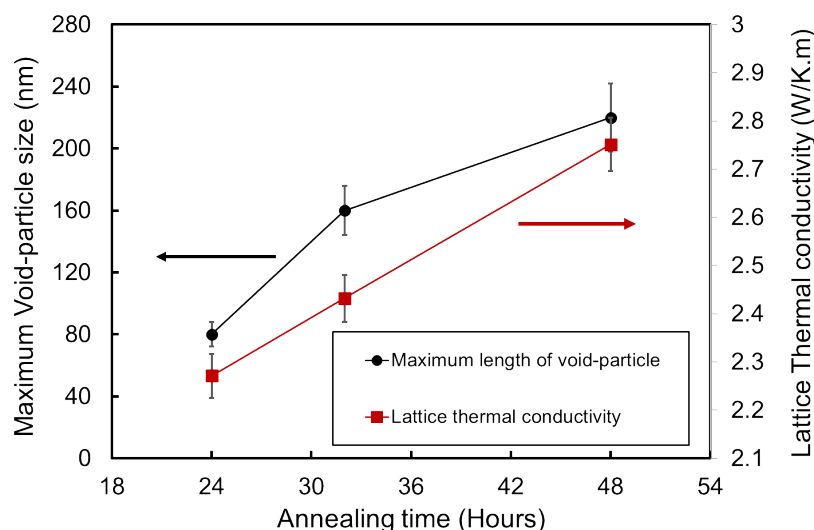


Figure 11. Maximum length of void-particle pair and lattice thermal conductivity at 1015 K as a function of sample annealing time.

The calculated thermoelectric figure of merit (ZT) based on values for the electronic and thermal transport properties (from Figure 8) are presented in Figure 12. It is clear that the microstructural development arising from increasing the annealing time led to a noticeable decrease in the thermal conductivity and increase in the Seebeck coefficient. The maximum ZT values achieved in this study for $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ -based ceramics was 0.39 ± 0.03 at 1015 K. This is the highest determined ZT for $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ compositions and one of the highest reported for any SrTiO_3 based thermoelectric³⁶.

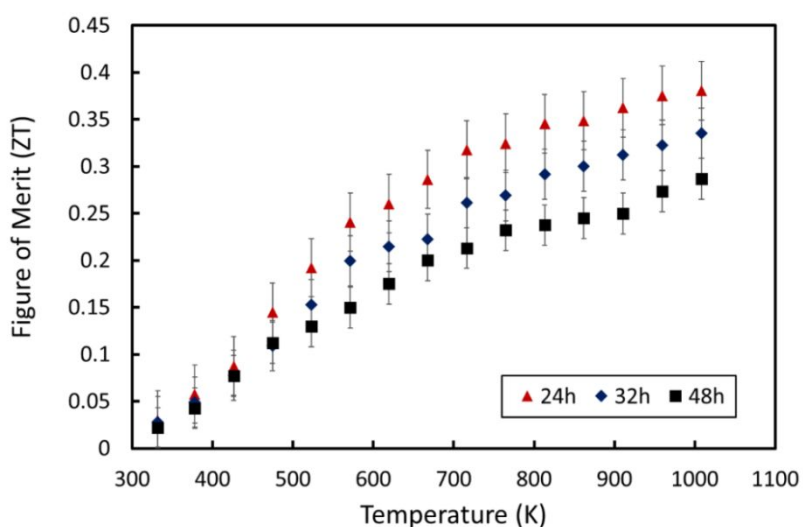


Figure 12. Temperature dependence of the thermoelectric figure of merit for $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ samples annealed under reducing conditions.

Conclusions

We have established a new path towards engineering nanostructures in strontium titanate based thermoelectrics which may be applicable to other oxides. High density $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ polycrystalline samples, with regular polygonal grains having a narrow grain size distribution and average size $\sim 7 \mu\text{m}$, were synthesised through liquid phase sintering by addition of boron and sintering in air atmosphere; they were then annealed in a reducing environment. This combined heat treatment led to the formation of a complex nano-structure within the grains.

X-ray diffraction confirmed $Pm\bar{3}m$ cubic crystal structure for all the samples. SEM revealed a core-shell type microstructure with the presence of nano-size features within the core area. HRTEM showed that the nano-size features are in the form of pairs of nano-sized voids and particles; their sizes depending on the annealing time. Atomic-resolution STEM-HAADF-EELS characterization in an aberration-corrected microscope showed that the precipitates are rich in Ti and the areas around the voids contain a high concentration of Ti^{3+} . Additionally, a high density of dislocations was observed in the shell areas; dislocations are also present in the core of the nano-structures, but with a lower density. Their presence may enhance electrical conductivity, although this aspect of the structure remains to be explored in more details.

The self-nano-structured $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ ceramics showed a high power factor of $1600 \mu\text{W}/\text{m}\cdot\text{K}^2$ to $1050 \mu\text{W}/\text{m}\cdot\text{K}^2$ at temperatures of 600 K to 1015 K. However, the major impact of nano structuring was the reduction of thermal conductivity. Nano structured $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ ceramics with shell size of ~ 1 micron and inclusions of 60 to 80 nm exhibit a low thermal conductivity of $\kappa = 2.75 \text{ W}/\text{m}\cdot\text{K}$ at 1015 K leading to a high of ZT of 0.39 ± 0.03 at this temperature. This is the highest ZT achieved for the highly studied $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ composition^{15,25,26,34,59,69–71} and for other 10 mole % lanthanide doped SrTiO_3 thermoelectrics²⁵; a summary of published data is provided in Table S1. The study demonstrates a powerful nanostructuring strategy for significantly enhancing the performance of thermoelectric oxides; the approach could find much wider application in providing valuable guidance in the routes to synthesise future target materials.

Acknowledgments

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Supporting Information

Additional SEM images and EDS maps of $\text{Sr}_{0.9}\text{La}_{0.1}\text{TiO}_3$ ceramics, plus HAADF STEM images and EDS maps of void-particle inclusions within the ceramics, and related published ZT data are included as Supporting Information. This material is available free of charge from the publisher at <http://pubs.acs.org>.

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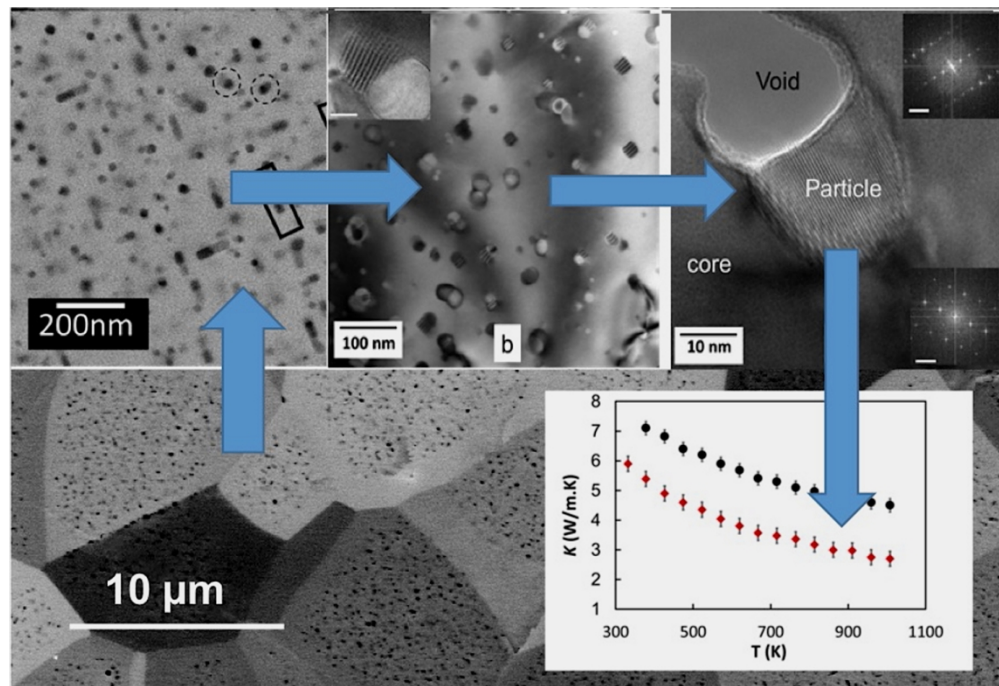
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Nanostructuring reduces thermal conductivity in strontium titanate leading to significantly enhanced thermoelectric performance

64x44mm (600 x 600 DPI)