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# A discrete approach for modelling backfill material in masonry arch bridges

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## Abstract

Masonry arch bridges form a significant portion of the European transport infrastructure network. Many of these bridges are relatively old but still in service. Increasing vehicle loads and speeds have highlighted the need for reliable estimates of their service condition. Past research demonstrated that load-carrying capacity of a masonry arch bridge is a function of the soil response. However, today, the approaches used for the simulation of soil in masonry arch bridges are over-simplistic and most of them do not take into account the soil-structure interaction phenomena. This paper presents a novel modelling approach, based on the discrete element method, for the simulation of backfill material in masonry arch bridges. According to the method, bricks in the barrel vault are simulated as an assembly of distinct blocks separated by zero thickness interfaces at each mortar joint. Backfill is represented as an assemblage of densely packed discrete irregular deformable particles, here called “inner-backfill particles”. A series of computational models were developed and their results are compared against full-scale experimental test results. A good agreement between the experimental and the numerical results was obtained which demonstrates the huge potential of this novel modelling approach. One of the major advantages of the proposed approach is its ability to simulate the initiation and propagation of cracking in the backfill and arch ring with the application of the external load. It is envisaged that the current modelling approach can be used by bridge assessment engineers for understanding soil pressures and load distribution on the backfill and arch ring and thus develop serviceability criteria for masonry arch bridges of their care.

**Keywords:** masonry, backfill, interface elements, discrete element modelling, arches, bridges

## Highlights

- A novel approach to represent cracking in backfill of masonry arch bridges proposed
- Backfill is considered as an assemblage of densely packed discrete irregular particles
- A fair to good agreement between the experimental and the numerical results was obtained
- Initiation and propagation of cracking in soil is captured with the application of external load
- The approach can be used to better understand the load distribution in masonry arch bridges

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## 1 Introduction

Masonry arch bridges form an integral part of the European railway and highway bridge stock. Although most of the masonry arch bridges were constructed back in the 19<sup>th</sup> century, such structures are still standing and carrying today's traffic loads. Weathering, demands of increasing load intensity and axle loads, as well as factors such as increased frequency of flood events brought about by climate change have introduced a poorly constrained uncertainty on the long term performance of such infrastructure assets. The cost of replacing masonry infrastructure in the UK alone would run into tens of billions of pounds, and their aesthetic and heritage value is significant (e.g. the Grade II-listed Hungerford Canal Bridge, in Berkshire, England). Moreover, failure of such infrastructure could lead to significant direct and indirect costs to the economy and society and could hamper rescue and recovery efforts. Therefore, there is a pressing need to accurately assess the performance of ageing masonry infrastructure and provide detailed and accurate data that will better inform maintenance programmes and asset management decisions. Without a strategic approach to caring for our ageing masonry infrastructure, we run the risk of over-investing in some areas while neglecting others that are in need of our attention, or indeed risk failing to address economic and societal need.

Over the last thirty years, a significant amount of experimental work has been carried out in order to understand the effect of backfill into the serviceability and ultimate load bearing capacity of masonry arch bridges. In a series of tests to destruction, Davey [1] found that soil-structure interaction increased the load bearing capacity of a masonry arch bridges and therefore such effects should not be ignored when evaluating the strength of a masonry arch bridge. Later, experimental testing with a view to underspend the soil-structure interaction have been carried out by Harvey et al. [2] and Melbourne et al. [3]. In Harvey's tests, soil pressures were relatively low, which according to [4], this might be due to the interface of the retaining walls which were built close behind the springing. In contrary, experimental tests carried out by Melbourne and Walker [5] revealed the development of relatively high soil pressure, even though pressure distribution was not recorded during testing. Moreover, with a view to investigate the distribution of the external load applied on an arch bridge, Fairfield [6] carried out several tests on semi-circular and segmental model arches with voussoirs made of timber. The backfill was uniformly graded dry sand and restrained by two glass walls. From the results analysis it was found that the collapse load increases with increased fill depth. Moreover, Hughes [7] constructed 1/6 scale models of a prototype masonry arch bridge containing backfill and carried out a series of centrifuge tests on it. They found that changing the fill type had a significant effect on the load bearing capacity. Similar observation about the effect of the backfill into the load carrying capacity of a masonry arch bridge have been observed by Gilbert et al. [8]. By testing a series of small-scale arch bridges with different fill materials, it was found that the load carrying capacity of masonry arch bridges with limestone as backfill is double compared to the one with clay backfill material. On the other hand, a significant number of experimental tests have been carried out on full-scale masonry arch bridges. For example, Melbourne et al. [9] performed a full-scale model test on a 6 m span multi-ring brickwork arch bridge in the TRRL Laboratory to identify the effect of the spandrel walls and the backfill material. Test results showed that failure was due to a four-hinge mechanism accompanied with ring separation. Also, it was observed that the backfill provided a significant lateral restraint to the deformation of the arch ring.

Significant efforts have also been made towards the development of analytical and advanced computational methods of analysis for masonry arch bridges. Experience from such studies demonstrated that the structural assessment of masonry arch bridges is complex and requires the development of advanced numerical models of analysis that consider the geometric non-linearity between masonry units and account for the interaction between the arch ring, parapets and fill material. Today, the numerical techniques used for the assessment of masonry arch bridges can be grouped into those based on the macro-modelling approach in which masonry is considered as an anisotropic continuum and the micro/meso-modelling approach which considers masonry as an assemblage of masonry units connected together by mortar joints (such as mathematical programming techniques based on the limit analysis; the finite element method based on contact element; and the discrete element method [10]). Numerical methods of analysis, like the Finite Element Method (FEM) based on the macro-modelling approach (Lourenco [11]), have been applied to understand the three-dimensional

97 behaviour of masonry structures. However, in such cases, the description of the discontinuity which  
98 characterises ageing masonry infrastructure is limited since they consider masonry as an anisotropic  
99 continuum (Boothby [12]). Recent advances in the mathematical programming techniques based on  
100 limit analysis [13-17] highlighted that they are quick to construct the model and obtain the results.  
101 However, a limitation of the approach is that only the ultimate load bearing capacity and the  
102 corresponding failure mode can be determined. Moreover, the backfill is replaced with external loads  
103 on the extrados of the barrel, while the passive earth pressure was considered with non-linear spring  
104 elements [37]. On the other hand, the finite element models can implement complex material  
105 constitutive laws to consider the heterogeneous and anisotropic behaviour of masonry [18, 19].  
106 Nevertheless, the description of discontinuity (e.g. voussoir to voussoir, voussoir to backfill) is difficult  
107 since they tend to consider masonry as a continuous material [19, 20]. Contrarily, the interaction of  
108 distinct blocks can be easily modelled in discrete element methods in which masonry can be considered  
109 as an assemblage of masonry units bonded together by zero thickness interfaces, which can open, close  
110 and slide according to stresses applied to them (Forgács et al. [21]; Sarhosis et al. [22]; Forgács et al.  
111 [23]). For an extensive discussion on the available experimental and numerical approaches for masonry  
112 arch bridges, the reader is directed to [24] and [25]. From the above, the presence of backfill has a  
113 significant influence on the behaviour of a masonry arch bridge. According to [13] & [26], for a  
114 numerical model to accurately predict the effects of backfill, it is essential that the model allows for:

- 115 i) distribution of concentrated loads applied on the top surface of the bridge through the  
116 backfill of the barrel arch ring;
- 117 ii) dead load of the backfill material so that the voussoirs of the arch ring are in compression;  
118 and
- 119 iii) passive earth resistance i.e. restrain sway of the barrel arch ring by generating passive  
120 resistance pressure and prevention of destabilising effects of the bridge due to variable live  
121 loads.

122 However, the quantification of the passive soil pressure is not a straightforward task. The classical  
123 approaches adopted for estimating passive soil pressure in soils cannot be directly used for the analysis  
124 of masonry arch bridges. This is because full passive pressures are not usually mobilised in the backfill,  
125 when external loads are applied in the masonry arch bridge. According to Fang et al. [27], the  
126 mobilization level will depend on the amount of movement of the barrel and the soil type. Therefore,  
127 accurate numerical models need to be developed for being able to model frictional backfill material  
128 directly, which will allow one to study the overall behaviour of the system.

129 In this paper, a novel modelling approach for the simulation of backfill in masonry arch bridges has  
130 been proposed. The approach is based on the discrete element method of analysis which was originated  
131 from the work developed by Cundall in 1971 [28]. According to the method, bricks in the barrel vault  
132 are represented as an assembly of distinct blocks separated by zero thickness interfaces at each mortar  
133 joint while backfill is represented as an assemblage of densely packed discrete irregular deformable  
134 particles. In this way, the discrete nature of backfill can be represented. In addition, the initiation and  
135 propagation of cracks in backfill with the application of external load can be estimated. Since backfill  
136 is represented by irregular in shape particles, the mechanical behaviour of the backfill is influenced by  
137 the size and properties of the irregular soil particles and their contact properties. A series of  
138 computational models were developed and their results are compared against full-scale experimental  
139 results. The proposed approach is able to estimate the initiation and propagation of cracking in the  
140 backfill and arch ring with the application of the external load. It is envisaged that the current modelling  
141 approach can be used by bridge assessment engineers for understanding soil pressures and load  
142 distribution on the backfill and arch ring and thus develop serviceability criteria for masonry arch  
143 bridges of their care.

## 144 **2 An alternative approach to simulate the backfill material in masonry arch bridges**

145 The proposed model is based on a phenomenological approach, which aims to simulate both the backfill  
146 material and soil-structure interaction phenomena in masonry arch bridges when subjected to external  
147 load. The proposed model was developed in a discrete element framework, the two-dimensional code

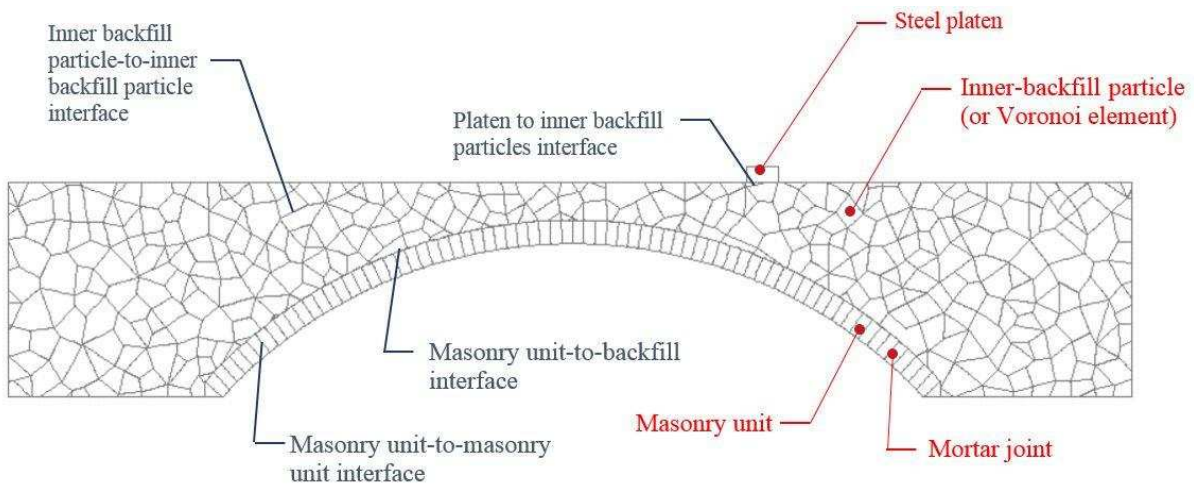
148 UDEC [29]. However, the proposed methodology can be adapted to any discrete element software. The  
 149 key features required to implement the proposed approach are described below.

150 **2.1 Representation of the elements**

151 In the presented DE model, masonry units and the backfill are represented by polygonal blocks that  
 152 may take any arbitrary geometry. These elements are made deformable by subdivision into finite  
 153 elements, commonly denoted as zones in DEM codes. Every discrete element is internally discretised  
 154 into uniform strain simplexes (triangular elements in 2D). Inside every simplex, a linear translation field  
 155 can be defined with the linear interpolation of the nodal translations. In this way, the unknowns of the  
 156 model are the displacements at the nodes. Each internal zone responds according to a prescribed linear  
 157 or non-linear stress-strain law, similarly to continuum elements in the finite element method (FEM).

158 The discontinuous nature of backfill or soil is represented by a series of irregular in shape particles of  
 159 polygonal or Voronoi shape (Mayya and Rajam [30]). Such fictitious irregular particles, here named  
 160 “inner-backfill particles” are shown in Figure 1. Inner-backfill particles are also subdivided into simple  
 161 triangular finite elements (**Error! Reference source not found.**), which give a detailed approximation  
 162 of the strain field. These particles containing internal meshing can be either assumed to behave in an  
 163 elastic or in an elasto-plastic manner. The appropriateness of each of these two assumptions to model  
 164 backfill will be examined below. Also, the size and shape of the irregular inner-backfill particles will  
 165 be investigated too, as these parameters will affect the mechanical behaviour of the backfill.

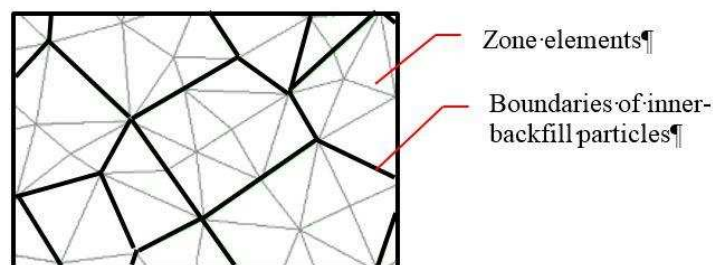
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167

168 **Figure 1** - Representation of backfill in a masonry arch as a series of polygonal particles herein called  
 169 “inner-backfill particles”

170



171

172 **Figure 2** - Triangular zones in the “inner-backfill particles”

173 **2.2 Contact representation**

174 The discrete elements can interact with each other through zero-thickness interface elements. These  
 175 interfaces can be viewed as locations where mechanical interactions between the blocks takes place and

176 their behaviour are governed by appropriate stress-displacement constitutive laws. These places could  
 177 be potential fracture slip lines as well. This approach is similar to the 'simplified micro-modelling'  
 178 option in finite element models of masonry [11]. However, the numerical treatment is different in DEM.  
 179 At the interfaces, blocks (e.g. inner-backfill particles, masonry units, steel platen etc) are connected  
 180 kinematically to each other by sets of point contacts, along the outside perimeter of the blocks, at  
 181 locations where corners or edges meet [31]. The main advantages of the point-contact hypothesis  
 182 method are its generality and its simplicity at being able to handle the various types of geometric  
 183 interaction between the blocks. It can also consider large block movements, including cases of  
 184 detachment and re-closure when external forces are applied to them, with no attempt to obtain a  
 185 continuous stress distribution through the contact surface.

186 In the proposed numerical approach, there are four different types of interfaces. These are shown in  
 187 Figure 1 and include:

- 188 a) Masonry unit to masonry unit interface;
- 189 b) Masonry unit to backfill interface;
- 190 c) Inner-backfill particles to inner backfill particles; and
- 191 d) Soil platen to inner-backfill particle interface.

192 In the code UDEC, blocks are characterised by rounded edges instead of sharp corners. Rounding affects  
 193 only the detection and geometrical characterisation of the contacts between the blocks. Contact between  
 194 sharp corners in blocks is difficult to treat numerically, and may lead to corner interlocking under large  
 195 displacements. Block and zone geometries and properties are not affected by rounding. The rounding  
 196 length can be defined by the user (default value is 0.5) and recommended to be at least 1% of the of the  
 197 representative block edge length in the model. The rounding is determined by a termed called “rounding  
 198 length” which gives the distance between the corner and between the point on the edge where the  
 199 rounding arch touches the edge (Figure 3e) and is the same for all the blocks in a model. Rounding  
 200 affects only the recognition and geometrical characteristics of contacts. Other characteristics such as  
 201 the estimation of the stains in a block are based on the original geometry without rounding. The nodes  
 202 have two translational degrees of freedom. The displacement vector of node, n, consists of two scalar  
 203 components:

204

$$205 \quad \mathbf{u}(t) = \begin{bmatrix} u_x^n(t) \\ u_y^n(t) \end{bmatrix} \quad (1)$$

206 And these are collected into the total displacement vector of the system containing altogether N nodes:

208

$$207 \quad \mathbf{u}^n(t) = \begin{bmatrix} u^1(t) \\ u^2(t) \\ \vdots \\ \vdots \\ u^N(t) \end{bmatrix} \quad (2)$$

209

210 Figure 3 shows the mechanical representation of the interfaces between adjacent blocks. From Figure  
 211 3a, for each contact point, there are two spring connections. These can transfer either a normal force or  
 212 a shear force from one block to the other (Figure 3b&c). The normal and the shear direction in case of  
 213 a corner-to-corner and a corner-to-edge contacts are explained in Figure 3d&e, respectively. In the  
 214 normal direction, the mechanical behaviour of the joints (i.e. the zero-thickness contact interface) is  
 215 governed by the following equation:

216  $\Delta\sigma_n = k_n \Delta u_n$  (3)

217 where  $k_n$  is the normal stiffness of the contact and  $\Delta u_n$  is the increment in normal contact displacement,  
 218 i.e., the relative displacement between the blocks at the contact point. Similarly, in the shear direction,  
 219 the mechanical behaviour is controlled by the constant shear stiffness  $k_s$  using the following expression:

220  $\Delta\tau_s = k_s \Delta u_s$  (4)

221 where  $\Delta\tau_s$  is the change in shear stress, and  $\Delta u_s$  is the increment in shear displacement. There is no  
 222 integration of stresses on the contact surface as in FEM joint elements (Sarhosis [32]). However, an  
 223 area is assigned to each contact point, and all the areas add up to the total contact surface. Therefore,  
 224 contact stresses can be evaluated at each point contact, and the standard joint constitutive models,  
 225 relating normal and shear stresses with contact displacements, can be employed.

226 In the present research work, the contacts are assumed to follow the Mohr-Coulomb failure criterion,  
 227 commonly used to represent shear failure in soils and rocks. The criterion has a limiting tensile strength,  
 228  $f_t$ . If the contact normal stress exceeds the tensile strength, then the normal stress is set to zero and the  
 229 interface opens. Alternatively, at those contacts undergoing compression, a small overlap will occur  
 230 between block edges (Figure 3b). The amount of overlap is controlled by the normal stiffness. Similarly,  
 231 in shear, in the elastic range, the response is controlled by contact shear stiffness (Figure 3c). In addition,  
 232 in the shear direction, slippage between blocks occurs when the tangential or shear stress at a contact  
 233 exceeds a critical value  $\tau_{max}$  defined by:

234  $|\tau_s| \leq c + \sigma_n \tan \varphi = \tau_{max}$  (5)

235 where  $\mu = \tan(\varphi)$  is the friction coefficient and  $\varphi$  the angle of friction and  $c$  the cohesive strength.  
 236 After slip takes place, the shear stress is reduced according to the Mohr-Coulomb criterion, but using  
 237 residual values for cohesion ( $c_{res}$ ) and friction ( $\varphi_{res}$ ), as shown in Figure 3c. Non-associative flow rule  
 238 is applied therefore the dilation angle ( $\psi$ ) is set to zero. After a contact breaks or slips, forces are  
 239 redistributed and it might cause adjacent contacts to break. During the process, the micro-properties at  
 240 the inner-block interfaces control the mechanical response of the material and should be calibrated to  
 241 represent the macro-behaviour. Cracks are initiated at the contact between interfaces. When the stress  
 242 applied on the contact exceeds either the tensile or the shear strength, inner blocks can separate or slide.  
 243 In this way, cracking at the brick, mortar and/or brick-to-mortar interface can be represented.

244 As a block move during the course of the simulation, it is remapped and tested for contact with new  
 245 neighbours. A triggering neighbourhood search is undertaken. This process is triggered by the  
 246 accumulated movement of the block. A variable  $u_{acc}$ , set to zero after each remap and is updated at every  
 247 time-step:

248  $u_{acc} = u_{acc} + \max \{ \text{abs}(du) \}$  (6)

249 where  $du$  is the incremental displacement of a corner, and the  $\max \{ \}$  function is taken over all corners  
 250 of the block. When  $u_{acc}$  exceeds a quarter of the rounding length, remapping and contact testing is  
 251 activated. The rounding length is also used to determine whether a contact is created or deleted. If two  
 252 blocks are found to be separated by a gap that is equal to or less than the rounding length, a contact is  
 253 created. This logic ensures that the data structure for all contacts is in place before physical contact  
 254 takes place.

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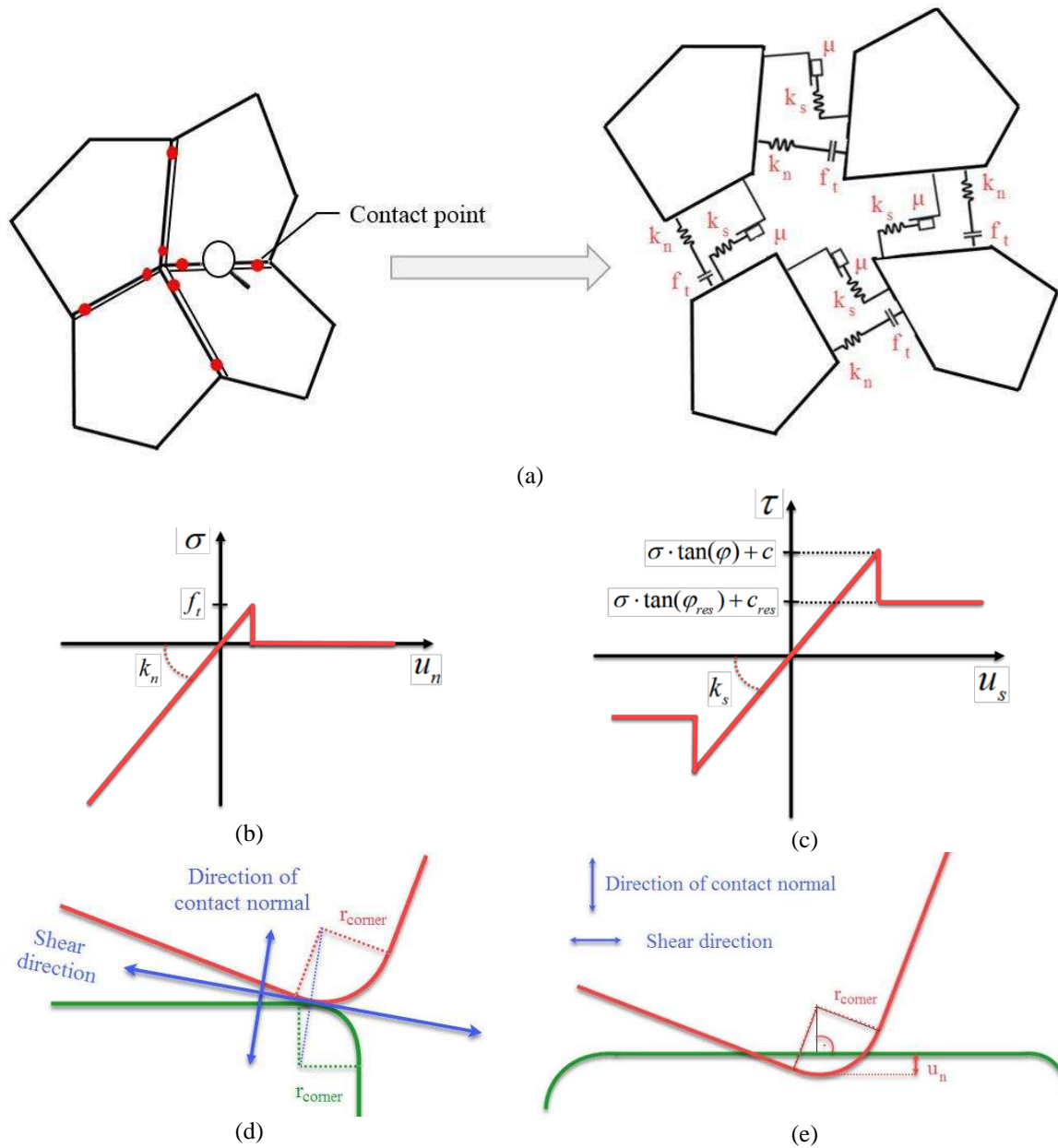
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**Figure 3.** (a) Mechanical representation of the interfaces between adjacent Voronoi elements in the masonry arch bridge shown in Figure 1; (b) behaviour under uniaxial loading; and (c) shear behaviour, Mohr-Coulomb slip model; ; (d) corner-to-corner; (e) corner-to-edge type contact and rounding length representation

**2.3 Solution procedure**

In the present DEM model, a dynamic solution algorithm is applied. The model unknowns are the displacements at the nodes, which include the block boundary vertices and the internal zone nodes (Figure 2). The equations of motion of the nodes are solved by an explicit time stepping algorithm. The equations of motion for each node are

$$m \frac{d\dot{u}_i}{dt} + \alpha m \dot{u}_i = f_i, \tag{7}$$

where  $u_i$  is the nodal displacement vector of node, ( $i=\{x,y\}$ ),  $m$  is the nodal mass,  $\alpha$  is the mass-proportional viscous damping parameter. The nodal force vector is given by a sum of three terms



275  $f_i = f_i^c + f_i^e + f_i^a$ , (8)

276 where  $f_i^c$  represents the contact forces, for nodes on the block boundaries,  $f_i^e$  are the nodal forces  
 277 obtained from the internal zone stresses, and  $f_i^a$  are the external applied loads, including gravity.

278 The time stepping algorithm employs the central-difference method (e.g. [33]). The finite-difference  
 279 approximation of equation (4), centred at time  $t$ , allows the calculation of the velocity at time  $(t+\Delta t/2)$   
 280 as

281  $\dot{u}_i^{(t+\frac{\Delta t}{2})} = \left[ D_1 \dot{u}_i^{(t-\frac{\Delta t}{2})} + \frac{f_i}{m} \Delta t \right] D_2$ , (9)

282 where  $D_1 = (1-\alpha\Delta t/2)$ , and  $D_2 = 1/(1+\alpha\Delta t/2)$ . Then, the new nodal displacements and locations at time  
 283  $(t+\Delta t)$  can be evaluated as

284  $u_i^{(t+\Delta t)} = u_i^{(t)} + \dot{u}_i^{(t+\frac{\Delta t}{2})} \Delta t$ , (10)

285  $x_i^{(t+\Delta t)} = x_i^{(t)} + \dot{u}_i^{(t+\frac{\Delta t}{2})} \Delta t$ , (11)

286 The new positions of the block nodes and edges allow the update of the location and orientation of the  
 287 existing contacts between blocks, as well as the detection of possible new contacts in large displacement  
 288 analysis [29]. Contact displacement increments are calculated from the relative movements of the  
 289 interacting blocks. Contact forces and stresses are updated by invoking the contact constitutive  
 290 equations, as described in the previous section. For the internal zones or elements, the new nodal  
 291 displacements lead to the new strains, from which zone stresses ensue by applying the assumed material  
 292 constitutive model. The assembled nodal forces, according to equation (8), allow the application of  
 293 equation (7) for the next step.

294 In the present work, this dynamic algorithm is also used to obtain static solutions, by means of dynamic  
 295 relaxation. In this procedure, artificial damping is applied to reach the equilibrium state. In order to  
 296 improve the convergence rate, an adaptive procedure is used to continuously update the damping  
 297 parameter  $\alpha$  in equation (9) [29]. The convergence criterion is based on attaining, at all nodes, a very  
 298 low value of the ratio of the nodal unbalanced force to the typical nodal force. The central difference  
 299 method is only conditionally stable. To avoid numerical instabilities, a limiting time step is evaluated.  
 300 In case of deformable blocks, the limiting time step is calculated, by analogy to a simple degree-of-  
 301 freedom linear elastic system, for each node as:

302  $\Delta t = 2 f_R \left( \frac{m}{K_N} \right)^{0.5}$ , (12)

303 where  $m$  is the nodal mass,  $K_N$  is an upper-bound of the nodal stiffness, obtained by summing the  
 304 stiffness of all the elements and contacts connected to the node. The user-defined factor  $f_R$  may be used  
 305 to reduce the time-step [29]. The time step adopted in the analysis is the minimum value of equation  
 306 (12) for all the nodes. The main disadvantage of this solution procedure is that many steps may be  
 307 required to reach equilibrium, or to attain a failure mechanism. On the other hand, the small time steps  
 308 typically provide a robust solution method that follows closely the nonlinear response of the contacts,  
 309 including the progressive changes in contact conditions.

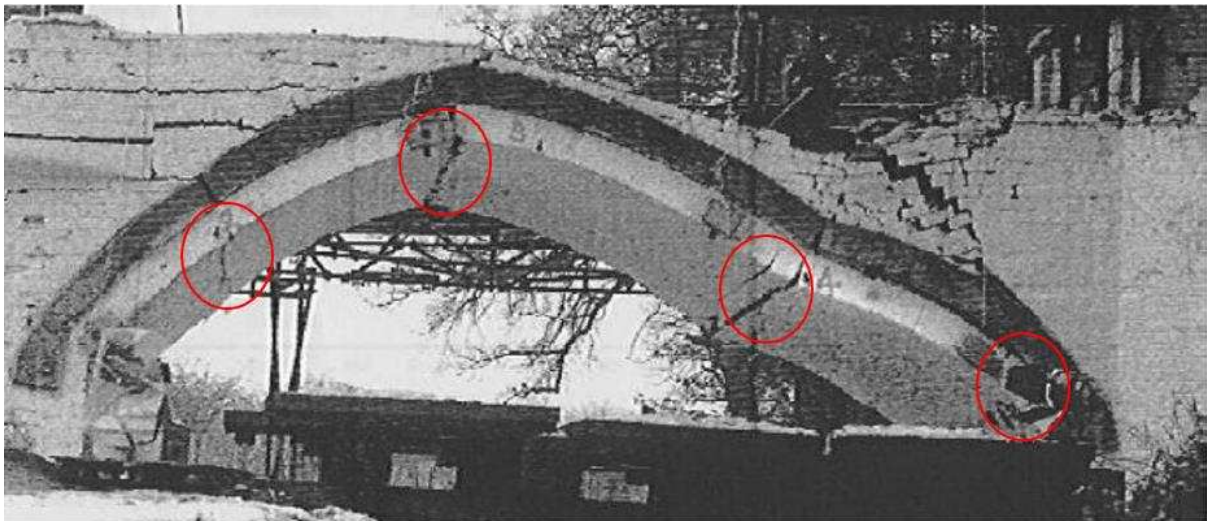
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311 **3 Development of the computational models for soil-structure interaction in masonry**  
312 **arch bridges**

313 To demonstrate the effectiveness of the proposed computational modelling approach, this section  
314 presents the development and validation of the computational models used to investigate three different  
315 approaches to represent backfill and investigate soil-structure interaction phenomena in masonry arch  
316 bridges. Extensive information of the development of the computational models and comparisons with  
317 experimental results are presented below.

318 **3.1 Experimental test setup**

319 The suitability of each of the computational modelling approaches were compared to full-scale  
320 experimental tests carried out on the Prestwood Bridge, located in Staffordshire, UK. Prestwood Bridge  
321 has a span of 6.550 m and a rise of 1.428 m. The vault barrel, which is a single ring of bricks laid as  
322 headers, has a thickness of 0.220 m. The width of the bridge is 3.8 m. The backfill depth at the crown  
323 is 0.165 m. According to [34], the backfill material presents a small amount of “reddish-brown sand  
324 with a little clay”. Material tests of the fill showed 7 kPa cohesion and 37° as internal frictional angle.  
325 The density of the brickwork was 2,000 kg/m<sup>3</sup>, while the measured secant modulus of the brickwork  
326 was 4.14 GPa, ( $\nu=0.3$ ). A line load was applied at quarter span across the width of the bridge using a  
327 300 mm wide loading element. This was to avoid the effect of a concentrated load and premature failure  
328 of the fill. Hydraulic jacks were used to apply the load at increments until the bridge was not able to  
329 carry further load and ultimately collapsed. At each loading increment, displacements were measured  
330 remotely using total stations. The maximum load applied to the bridge before collapse was 228 kN,  
331 with the first visible evidence of damage appearing at a load of 173 kN. Failure was due to the formation  
332 of a four-hinge mechanism as shown in Figure 4. The failure mechanism was developed with minimal  
333 or negligible material crushing. Further details of the execution of the experimental test and results  
334 carried out by the Transport and Road Research Laboratory (TRRL) can be found in [34].  
335



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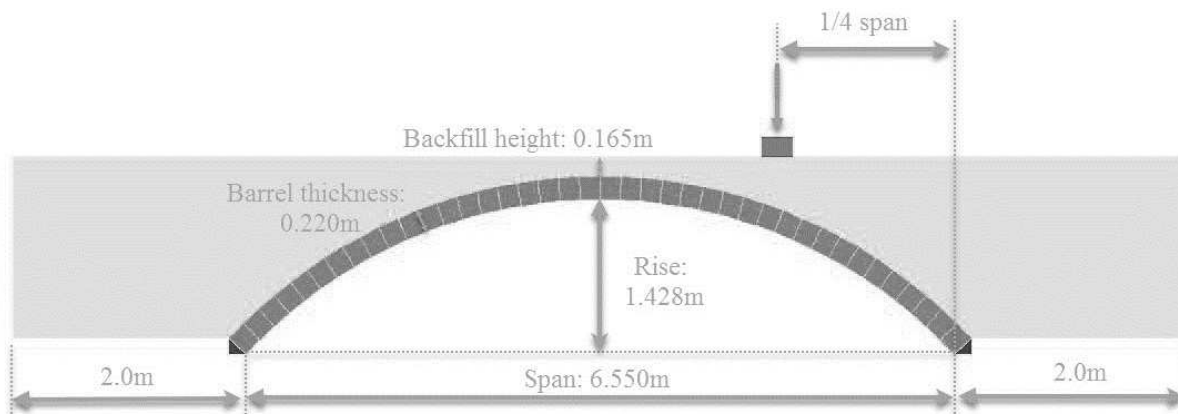
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**Figure 4 - Collapse mechanism of the Prestwood Bridge test (Page [34])**

338

339 **3.2 Development of the computational model**

340 Geometric models to represent the geometry of the Prestwood Bridge were created in the computational  
 341 model. The geometrical characteristics of the bridge were taken from [34] and can be seen in Figure 5.  
 342 The numerical model contains 40 courses of voussoirs. All of the discrete elements in the model were  
 343 made from linear elastic elements. Mortar joints between bricks were represented as zero thickness  
 344 interface elements behaving according to the Coulomb failure criterion, which limits shear stresses  
 345 along joints.



346 **Figure 5 - Typical geometry developed using the DEM model**

348 The material properties of the Prestwood bridge were obtained from the original experimental test report  
 349 [34] and are summarized in Chapter 3.1. The Young’s modulus of the discrete elements representing  
 350 the voussoirs is set equal to elastic modulus of brickwork (i.e. brick and mortar). Hence, the mechanical  
 351 role of the normal stiffness of the brick-brick interface element is only to avoid the interpenetration  
 352 between the discrete blocks. Therefore, the normal stiffness of these interfaces is set to a sufficiently  
 353 high value (see Table 1). The shear stiffness of brick-brick interface was chosen to represent the 1:2:9  
 354 mortar behaviour described in [35]. Since properties of the brick and mortar were not measured during  
 355 the experiment, they were obtained from the literature, where other researchers tried to model the  
 356 bridge. Thus, the friction between the bricks was set to 30°, while the cohesion and the tensile strength  
 357 of the mortar was set to a low value, which can represent the behaviour of a 1:2:9 mortar. The mortar  
 358 properties used for the development of the computational model are shown in Table 1.

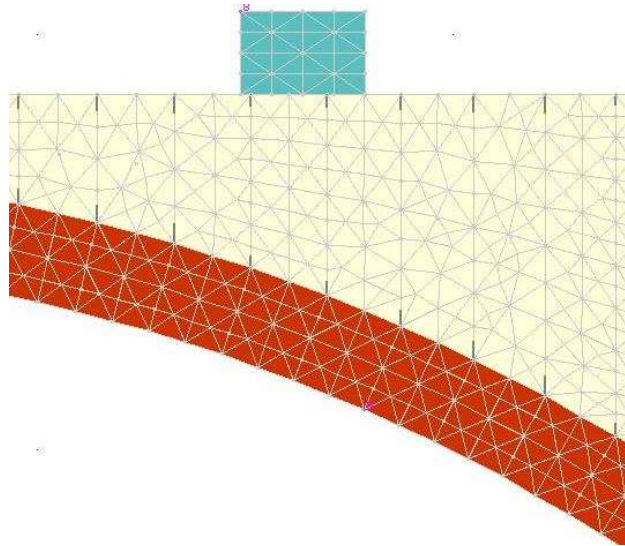
359 On the extrados of the arch, a brick-to-soil interface was assigned in the numerical model. According  
 360 to [36], the friction angle of the brick to soil interface depends on the roughness of the surface of the  
 361 masonry units and the type of the backfill material. In the current study, material calibration undertaken  
 362 and the friction angle of the brick-to-soil interface was assumed to be equal to 2/3 of the internal friction  
 363 of the backfill.

364 **Table 1 - Material properties of the interfaces**

	$k_n$ [GPa/m]	$k_s$ [GPa/m]	$\phi$ [°]	$c$ [MPa]	$f_t$ [MPa]	$\psi$ [°]
<b>Mortar joint interface</b>	35.0	7.0	30.0	0.05	0.05	0.0
<b>Arch ring-backfill interface</b>	35.0	7.0	25.0	0.00	0.00	0.0

365  
 366 With the aim to select the appropriate size of meshing, convergence studies were carried out. From the  
 367 outcomes of the convergent studies it was found that the size of finite element mesh should be equal or  
 368 less than 10 cm for the bricks and 10 cm for the backfill. The mesh assigned to the masonry arch bridge  
 369 model is shown in Figure 6.

370

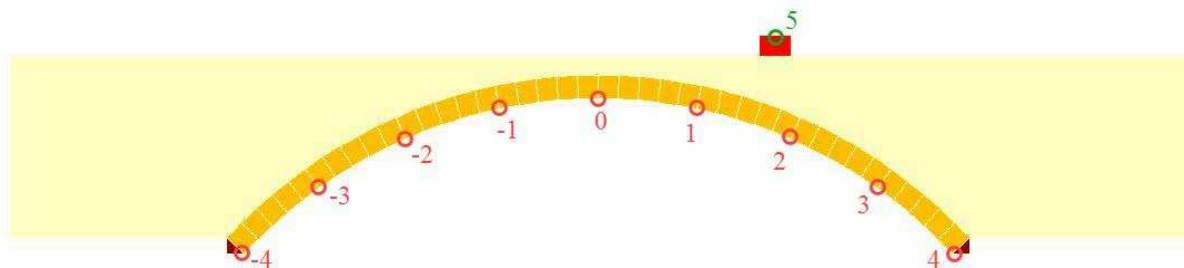


371  
 372 **Figure 6** – Triangular finite element mesh of bricks, backfill and loading plate as obtained from the  
 373 numerical model (Figure 2 shows part of the masonry arch bridge)

374 The developed 2D numerical model simulates plain strain conditions. Similar to the numerical model  
 375 developed by Cavicchi and Gambarotta [17], the base of the masonry arch bridge, as well as the left  
 376 and right hand sides of the backfill were fixed in all directions. According to these authors, the capacity  
 377 of the soil due to the cohesion of the backfill should be enough to avoid plastic lateral flow of the  
 378 backfill [17]. In addition, self-weight effects were assigned as gravitational load. Gravitational forces  
 379 give rise to compressive forces within the voussoirs of the arch and result in its stabilisation.

380 Initially, the model was brought into a state of equilibrium under its own self-weight by ensuring that  
 381 the maximum out-of-balance force was less than 0.001% of the total weight of the structure. Then, a  
 382 constant vertical velocity equal to 0.001 m/s applied to the load spreader plate at the top of the bridge  
 383 at quarter span of the arch. In order to maintain the analysis in a static manner and avoid that the  
 384 structure has a dynamic response, the velocity applied to the loading element had to be closely examined  
 385 and selected. To ensure a quasi-static behaviour of the masonry arch bridge, during simulations different  
 386 magnitude velocities were applied in the bridge model and a converge test was carried out.

387 A FISH function (internal programming language of UDEC) that was able to record the reaction forces  
 388 from the fixed velocity grid-points acting on the spreader plate (or platen) at each time step was written.  
 389 Such conditions were selected to replicate the real conditions of the experimental loading test carried  
 390 out by TRRL. Histories of displacements at the intrados of the arch have been recorded at all times (See  
 391 Figure 7- coloured in red and green and marked as -4...0...4; 5).



392  
 393 **Figure 7** – Locations where vertical displacements were recorded during the numerical simulation  
 394

395 To model the behaviour of the backfill material, three main type of numerical models were developed  
 396 and their suitability to represent the mechanical behaviour of real masonry arch bridges was  
 397 investigated. In particular, the backfill of the masonry arch bridge was represented as:

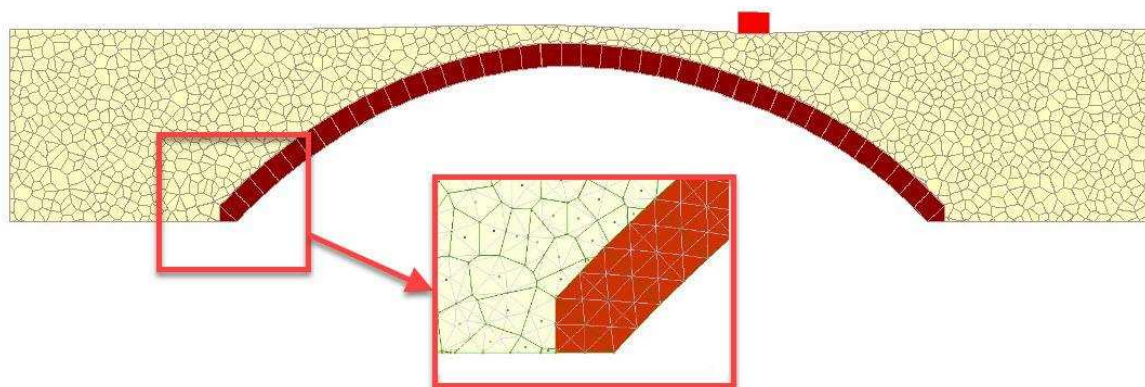
- 398 (i) a single block behaving in an elasto-plastic manner;
- 399 (ii) a series of inner-backfill particles behaving in an elasto-plastic manner.
- 400 (iii) a series of inner-backfill particles behaving in an elastic manner;

401  
 402 For case (i) and when the backfill was represented as a single discrete block, the backfill was discretized  
 403 into triangular finite element meshes. The constitutive law was allowed to represent the mechanical  
 404 behaviour of the backfill material was chosen to behave according to the Mohr-Coulomb failure criteria.  
 405 This approach to model the backfill is almost identical to that proposed by common models based on  
 406 the finite element method (FEM). The material properties of the backfill are summarized in Table 2.  
 407 Also, in the proposed model, it was assumed that crushing failure at the bricks does not occur. This is  
 408 what has been observed from the experimental study as well (Page [34]).

409  
 410 **Table 2 - Material properties of the backfill**

$\rho$ [kg/m <sup>3</sup> ]	E [GPa]	$\nu$ [-]	$\phi$ [°]	c [kPa]	$f_t$ [kPa]
2000	0.3	0.3	37.0	7.0	7.0

411  
 412 An alternative approach to model the backfill of the masonry arch bridge was proposed in cases (ii) and  
 413 (iii) in which the backfill material was represented as an assemblage of the so-called Voronoi-cells or  
 414 inner-backfill particles. To create these cells, “seeds” were generated randomly within the boundary of  
 415 the backfill domain, and for each seed, a corresponding region consisting of all points closer to that  
 416 seed was determined. In this way, inner backfill particles consisting of convex elements were created.  
 417 Inner-backfill particles could behave either in linear elasto-plastic (ii) or elastic (iii) manner. One of the  
 418 major advantages of this approach is its potential to predict cracking in the backfill due to the application  
 419 of the external load. Further details about the development of the novel approach are presented below.  
 420 The numerical model which contains inner-backfill particles is shown in Figure 8.



422  
 423 **Figure 8 – Numerical model with inner-backfill particles (average inner-backfill particles element**  
 424 **length is 10 cm).**

425 To represent the discrete nature of the backfill, a series of irregular in shape Voronoi elements (inner-  
 426 backfill particles) were introduced. These inner-backfill particles are densely packed; i.e. there is no  
 427 gap between them. These particles were modelled as deformable blocks and were subdivided into  
 428 triangular finite element zones. The average edge length of the finite element mesh assigned in each  
 429 inner-soil element was 10 cm (independently from the size of the inner-backfill particles). In the  
 430 numerical model, inner-backfill particles were separated by a zero-thickness interface. Such interfaces  
 431 possess finite stiffness, which means, if the number of Voronoi-cells increases (i.e. the size of the inner-  
 432 backfill particles is decreasing) the structural behaviour will be softer. To avoid this effect, the stiffness

433 of these interfaces was chosen inversely proportional to the average size of the Voronoi-cells ( $v_i$ ). In  
 434 the case of the elasto-plastic inner-backfill particles, the elastic properties of the backfill were  
 435 incorporated into the elastic material properties, hence the role of the interface's normal stiffness is to  
 436 avoid the interpenetration of the elements. In the case (iii), the inner-backfill particles simulated as  
 437 linear elastic, isotropic material while their interaction with each other was controlled by Coulomb  
 438 friction law. In this situation, the plastic behaviour of the backfill was incorporated into the interface  
 439 elements between the inner-backfill particles. The internal friction angle, the cohesion and the tensile  
 440 strength of these interfaces were chosen according to Table 4. In the case of purely elastic inner backfill  
 441 elements, the stiffness of interfaces has to be decreased in order to avoid interlocking of the densely  
 442 packed Voronoi-cells. A calibration procedure is presented below. The material properties for the  
 443 interfaces of the inner-backfill particles are summarized in Table 3. In the model, the zoning properties,  
 444 boundary conditions and loading remained unchanged to before.  
 445

446 **Table 3** - Properties of interfaces between Voronoi-cells (or inner-backfill particles)

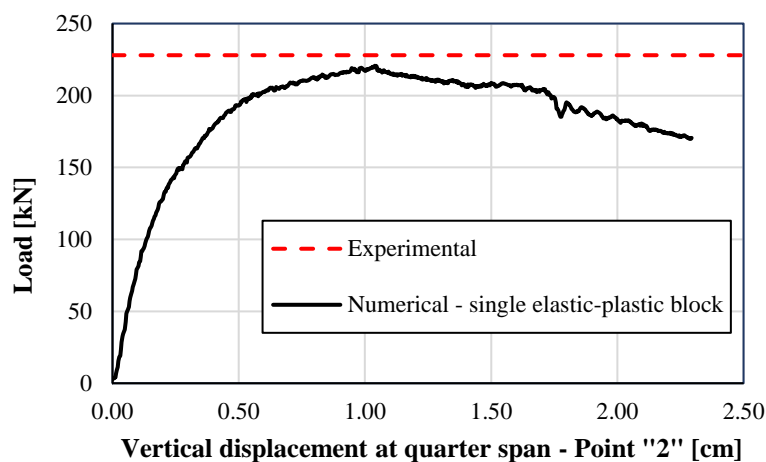
	$k_n$ [Pa/m]	$k_s$ [Pa/m]	$\phi$ [°]	$c$ [kPa]	$f_t$ [kPa]	$\psi$ [°]
<b>Interfaces between elasto-plastic Voronoi-cells</b>	$\frac{200\text{MPa}}{v_i}$	$\frac{100\text{MPa}}{v_i}$	37.0	7.0	7.0	0.0
<b>Interfaces between linear elastic Voronoi cells</b>	$\frac{20\text{MPa}}{v_i}$	$\frac{10\text{MPa}}{v_i}$	37.0	7.0	7.0	0.0

447

## 448 **4 Results and Discussion**

### 449 **4.1 Backfill as a single elasto-plastic block**

450 The load against quarter-span displacement relationship obtained from the numerical model was  
 451 compared to the maximum load carrying capacity of the masonry arch bridge obtained from the  
 452 experiment (Figure 9). The experimental collapse load of the Prestwood Bridge was 228 kN (Page [34]),  
 453 while the ultimate load bearing capacity obtained from this discrete element numerical model using  
 454 UDEC was 219 kN. From the above, the error percentage for the maximum load from the numerical  
 455 model against the experimental result is 4%.

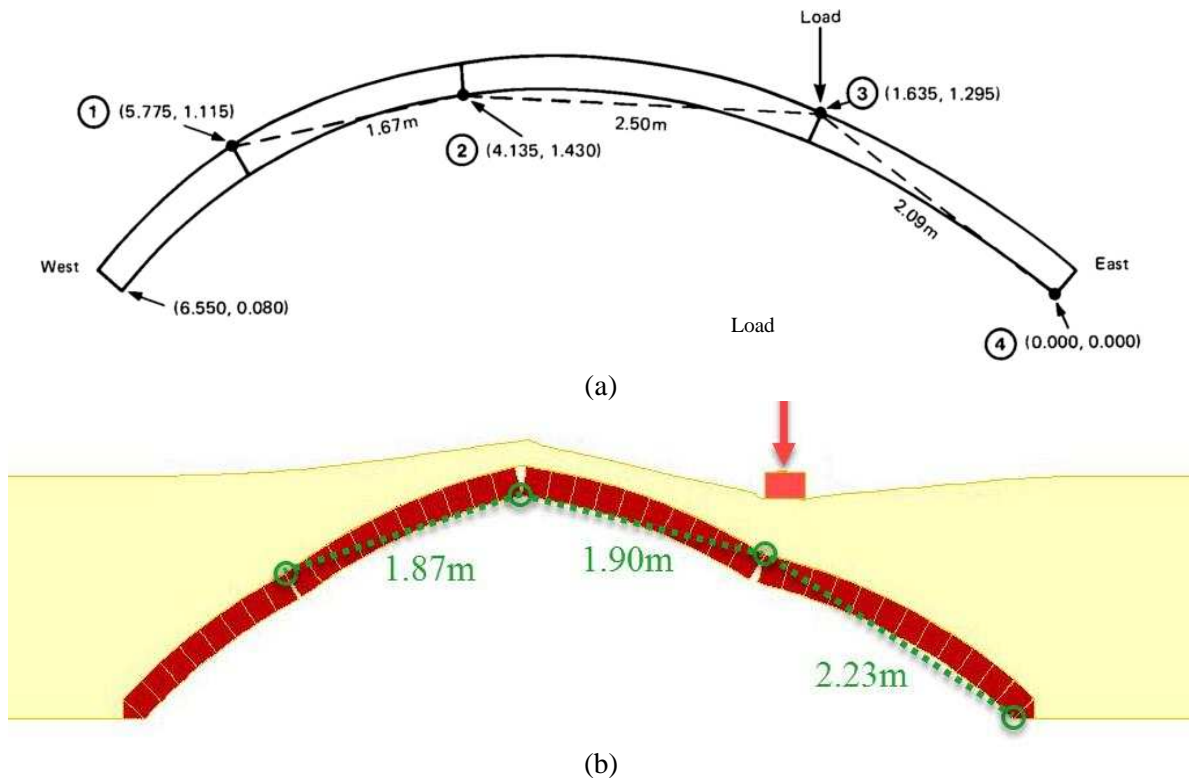


456

457 **Figure 9** - Load-displacement curve. Backfill represented by a single elastic-plastic block model

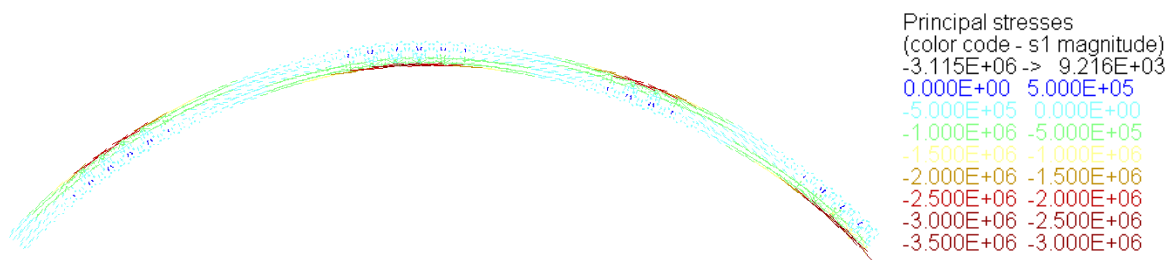
458 Verification on the suitability of the numerical model to capture the failure mode has also been  
 459 undertaken. The collapse mechanism obtained from the numerical model was compared to the failure  
 460 mechanism observed during the experiment. From Figure 10b, the four-hinge mechanism in the arch  
 461 ring is evident. Moreover, it is appreciated how the backfill under the applied load moved downwards,

462 while the backfill to the left side of the bridge moved upwards i.e. the typical sway mechanism. Slight  
 463 difference can be found at the second hinge position. It should be noted, that the left abutment of the bridge  
 464 was 8 cm higher compared to the right one. This imperfection can cause small differences in the  
 465 failure mechanism.



466  
 467  
 468  
 469  
 470 **Figure 10** – Failure mechanisms: (a) experiment; (b) numerical simulation

471 The thrust line within the arch barrel can be observed with the help of principal stress trajectories (see  
 472 Figure 11). In the location of plastic hinges, the adjacent voussoirs contacted with each other on a very  
 473 narrow surface able to cause stress concentrations within the elements.

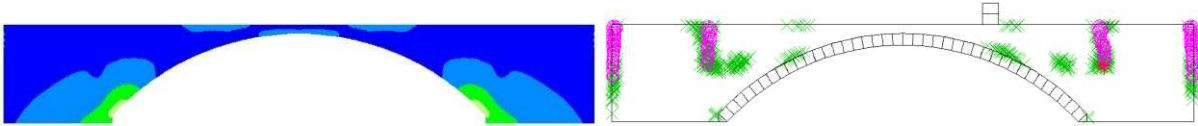


474  
 475 **Figure 11** – Principal stress trajectories in the arch barrel

476 The stresses and the plastic state of the backfill was measured during the numerical simulation and can  
 477 be seen in Figure 12. Initially, the external load is negligible compared to the self-weight of the  
 478 structure. Therefore, the contour of compressive principal stresses is nearly symmetric (Figure 12b). As  
 479 the intensity of the external load is increasing at quarter span, firstly, the active earth pressure was  
 480 activated below the loading element and later, with the application of further external load on some  
 481 passive earth pressure was mobilized at the left part of the structure (Figure 12d, e, f).

482

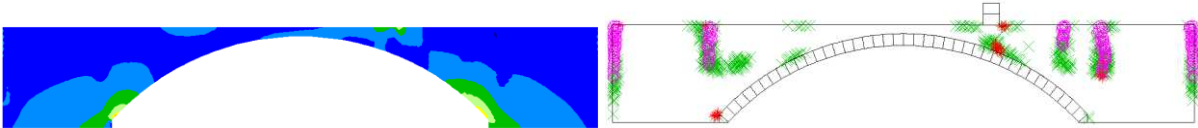
483



484

a.) Self-weight

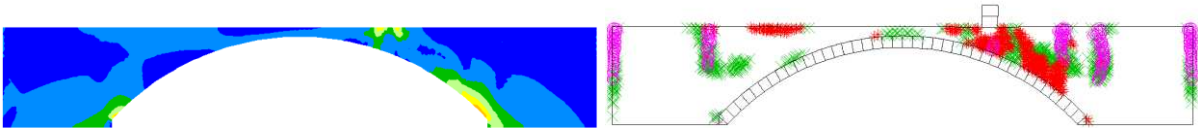
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486

b.) 40 kN

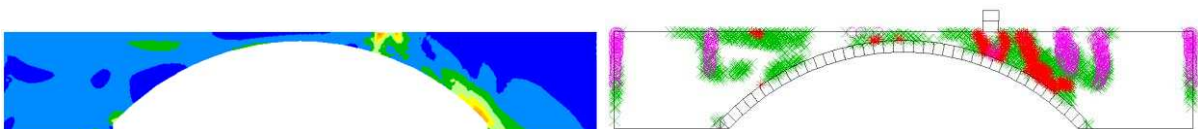
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488

c.) 90 kN

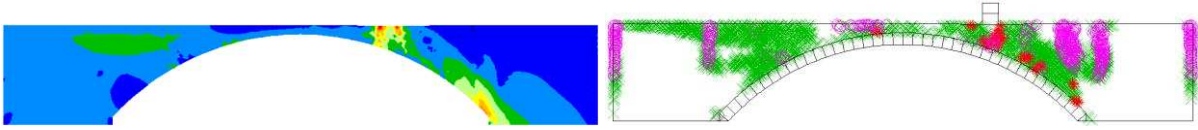
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490

d.) 130 kN

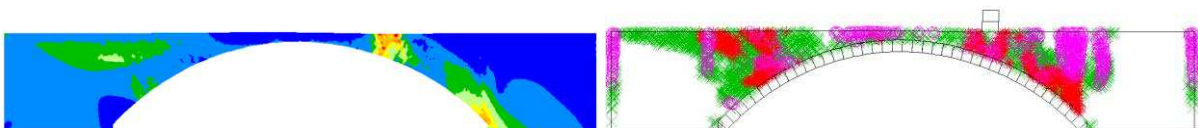
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492

e.) 170 kN

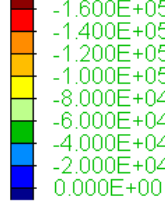
493



494

f.) Failure load: 216 kN

major principal stress cont  
 contour interval= 2.000E+04  
 -1.600E+05 to 0.000E+00



at yield surface (\*)  
 yielded in past (x)  
 tensile failure (o)

495

**Figure 12** - Failure mechanism of the masonry arch bridge as obtained from the numerical model –  
 Backfill simulated as a single elastic-plastic block

497

498

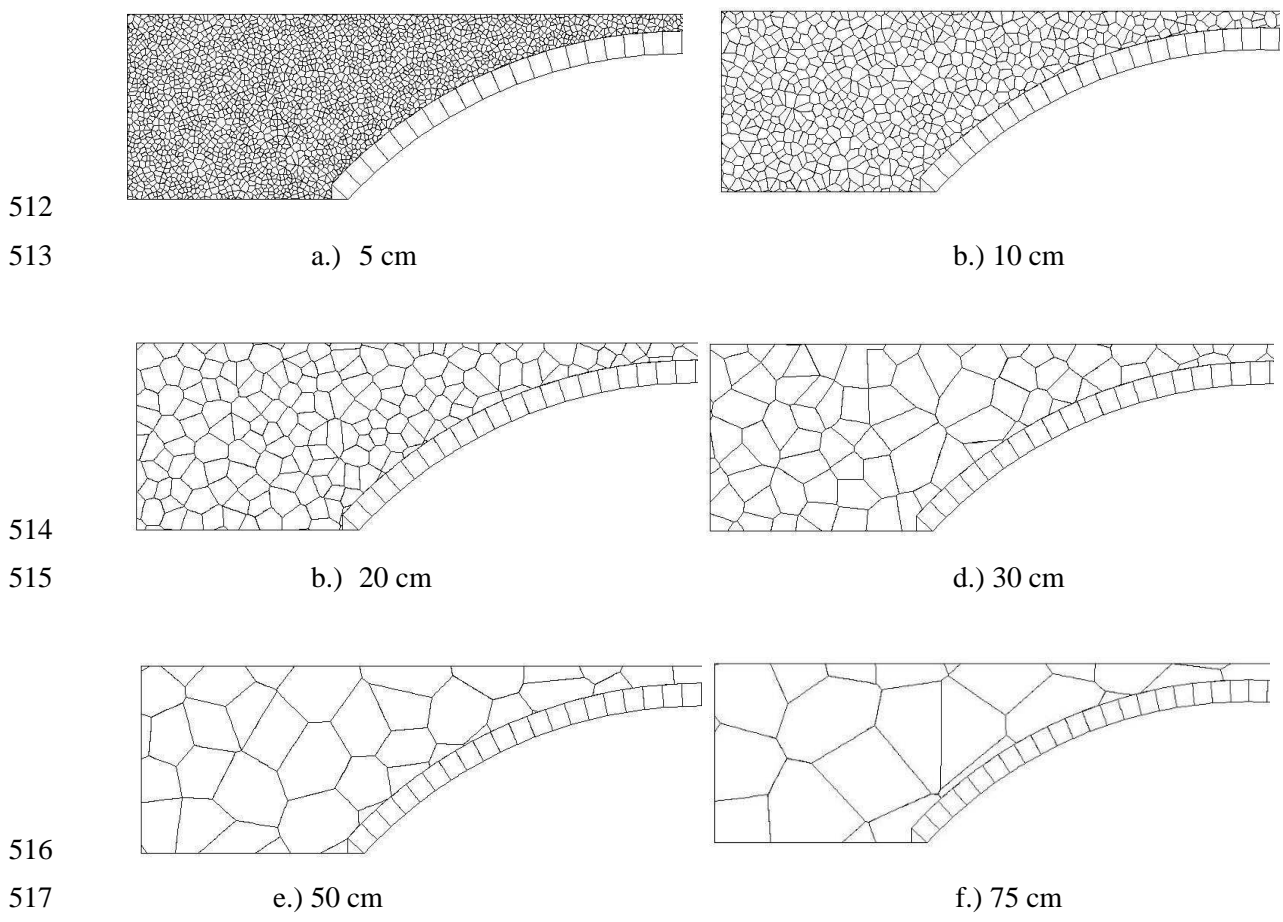


499 **4.2 Backfill represented as inner-backfill particles (or Voronoi-cells)**

500 In the following section, the results of the alternative approach to simulate backfill materials are  
501 presented. The effect of different Voronoi-sizes and interface stiffnesses on the mechanical behaviour  
502 is discussed. The forthcoming questions are addressed in this section:

- 503 - How the adequate average Voronoi-cell size should be chosen to ensure accurate estimation of  
504 the load bearing capacity of the arch bridge?
- 505 - What is the mechanical role of the inner backfill interfaces and how to choose their contact  
506 stiffnesses?

507 To answer the first question, masonry arch bridges having different in size Voronoi-cells to represent  
508 the backfill material were investigated. The size of the Voronoi-cell sizes ranged from 0.05m to 0.75m  
509 (see Figure 13). To answer the second question, various bridge models were undertaken in which  
510 Voronoi cells were allowed to behave according to: a) an elasto-plastic; and b) elastic manner. Results  
511 and discussion of the simulations undertaken are presented below.



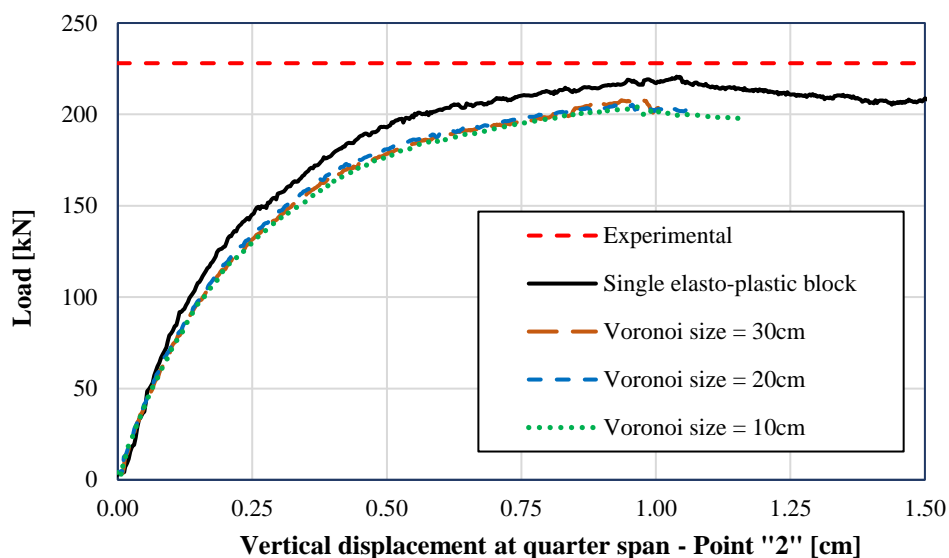
518 **Figure 13** – Numerical models with different Voronoi cell sizes

519

520 **4.2.1 Backfill represented as Voronoi-cells with elasto-plastic behaviour**

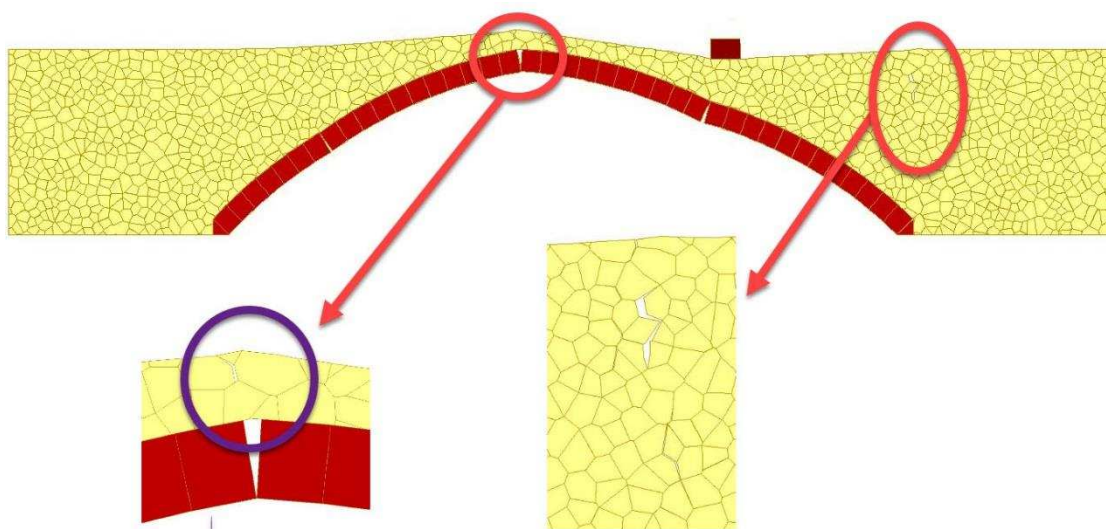
521 In case of elasto-plastic inner-backfill particles, the ultimate load bearing capacity was not affected by  
522 the size of the Voronoi-cells (Figure 14). Plastic deformations can occur within the Voronoi cells, so  
523 even for the case of larger Voronoi cells the load bearing capacity does not vary significantly. The  
524 stiffness of the model was found to be lower when compared to the bridge model with the backfill  
525 represented by a single elasto-plastic element. This is because the bridge model with backfill as a series  
526 of Voronoi elements contains additional interfaces between the inner-backfill particles, which possess  
527 finite stiffness. Considering different Voronoi-cell sizes, there are no differences between the stiffness

528 either, because the applied inner-backfill interface stiffness is inversely proportional to the Voronoi  
 529 size. The load bearing capacity of this model was approximately 10% lower compared to the  
 530 experimental results, and ~7% lower compared to the single elasto-plastic model. The difference  
 531 between the two numerical approaches can be explained as follows. In the case of the single block  
 532 model, after a finite element reaches the failure surface of the Mohr-Coulomb criteria, the cohesion and  
 533 the tensile strength does not drop to zero. The residual value of these strength parameters is equal to the  
 534 original value, while in case of Voronoi model, after an interface is slipped or cracked, the cohesion  
 535 and the tensile strength of that surfaces are set to zero.



536  
 537 **Figure 14** – Load-displacement curves for elasto-plastic Voronoi models

538 Although both numerical approaches (i.e. Voronoi vs single e-p block) can capture the experimental  
 539 ultimate load capacity, the superiority of the approach is the fact that initiation and propagation of  
 540 cracking can be obtained. In particular, regarding the failure mechanism (Figure 15), the masonry arch  
 541 with elasto-plastic Voronoi backfill model failed by a four-hinge mechanism. The location of hinge  
 542 positions matches exactly with the model, which contains a single elasto-plastic block as backfill  
 543 (Figure 10b). The biggest tensile crack was developed almost vertically above the right abutment.  
 544 Smaller tensile cracks appeared below the loading element, above the crown. There were some slipped  
 545 Voronoi elements in the vicinity of the passive earth pressure.



546  
 547 **Figure 15** – Failure mechanism of elasto-plastic Voronoi model (average element length is 10 cm)

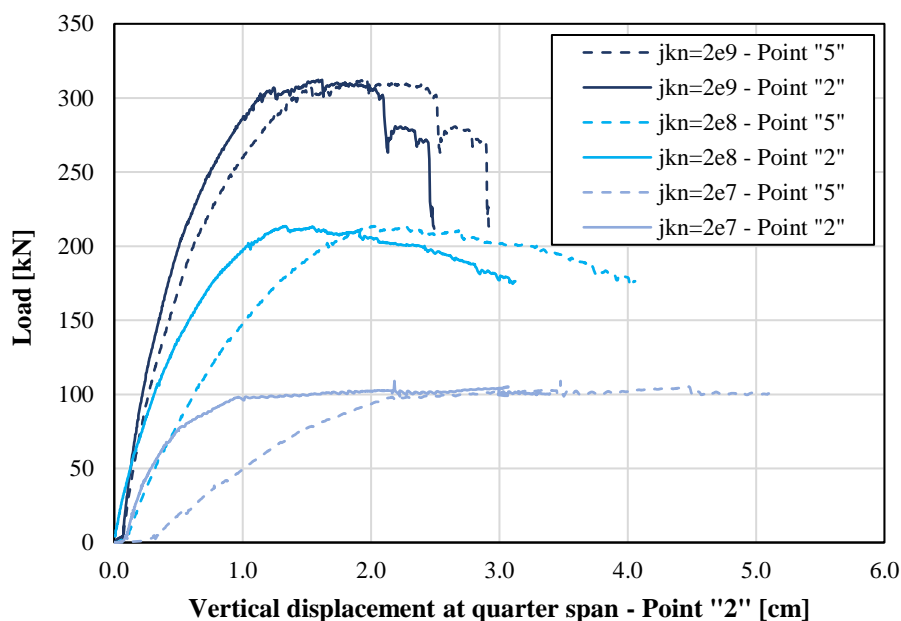
548

#### 549 4.2.2 Backfill represented as Voronoi-cells with elastic behaviour

550 The following results are connected to the numerical models, which contain elastic Voronoi cells, which  
551 represent the inner backfill particles. In these models, the plastic behaviour of the backfill was  
552 incorporated into the inter-backfill interfaces.

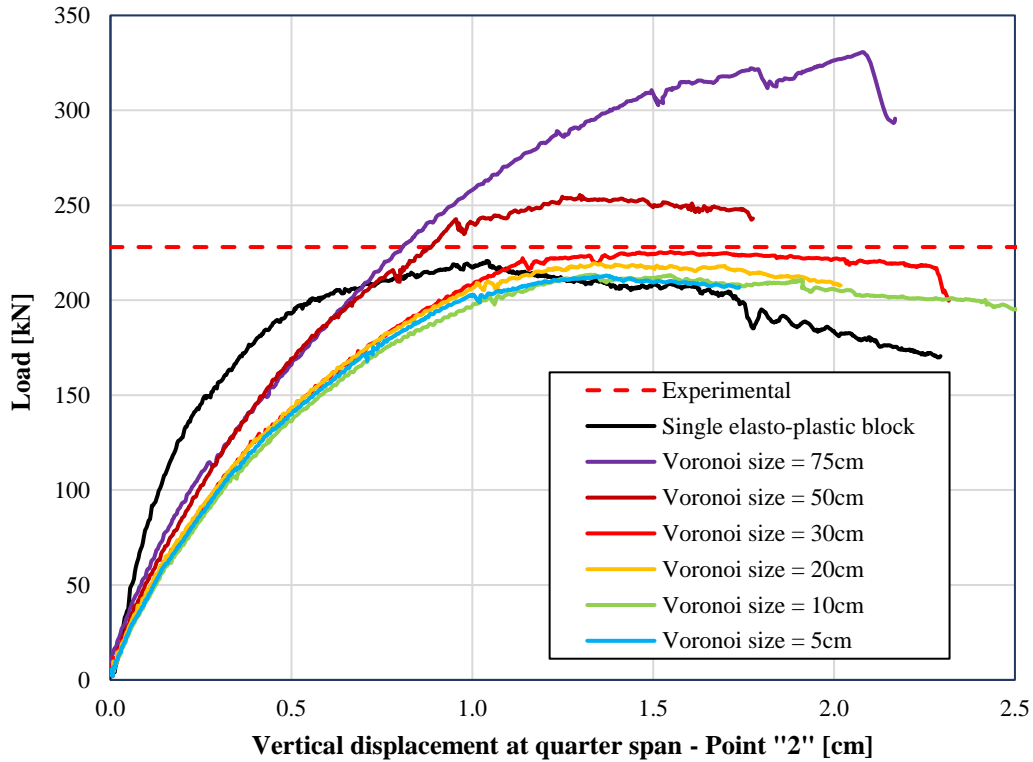
553 Firstly, the stiffness of the inner backfill interfaces was calibrated. In Figure 16, load displacements  
554 curves of the numerical model with a fixed, 10 cm Voronoi cells are presented. With the increasing  
555 contact stiffness, both stiffness and load bearing capacity of the structure are increasing. In case of lower  
556 stiffness, the interpenetration of the Voronoi cells is larger, which enables the development of the failure  
557 mechanism. In case of high contact stiffnesses interlocking between the Voronoi cells occurs, which  
558 numerically increases the load bearing capacity of the structure. The contact stiffness depends on the  
559 chosen tessellation, on the shape of the elements. In addition, from Figure 16, it is evident that for all  
560 arche bridge models investigated, the maximum load that the arch can carry was observed when the  
561 quarter span displacement was around 1.5 to 2 cm.

562



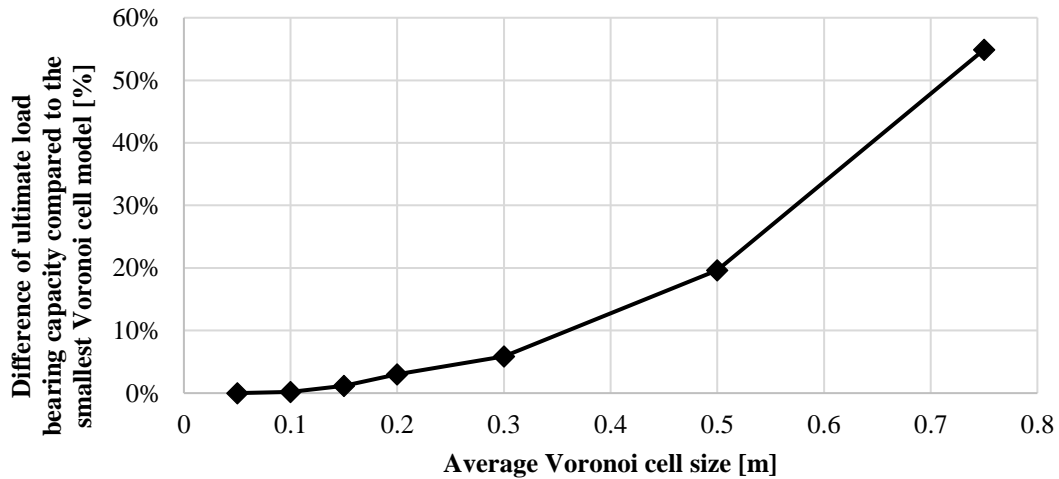
563 **Figure 16** – Load-displacement curves of elastic Voronoi model with different inner-backfill interface  
564 stiffness,  $k_n$  [ $N/m^3$ ] (average cell size: 10 cm)

565 The effect of the size of the Voronoi elements (or inner soil particles) was investigated in Figure 17.  
566 From Figure 17, as the average size of the Voronoi elements decreases, the ultimate load bearing  
567 capacity of the structure converges to a constant value, which in this case is close to the ultimate load  
568 carrying capacity obtained from the experimental study. Also, the smaller the size of the Voronoi, the  
569 less stiff the masonry arch bridge.



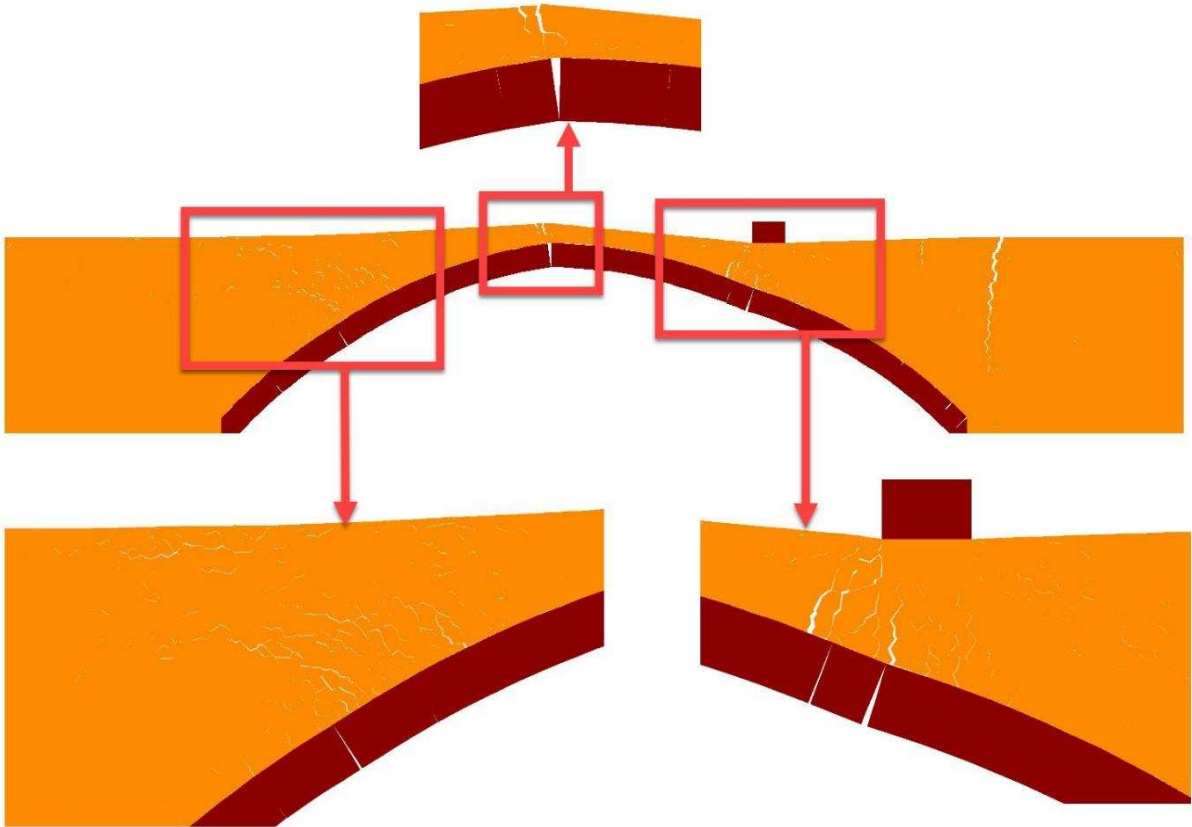
570  
571 **Figure 17** -Load displacement curves of elastic Voronoi model, with different size of Voronoi elements

572 Figure 18 compares the ultimate load against the average size of the inner backfill particle (or Voronoi  
573 element). According to Figure 18, the difference between the load bearing capacity of the 5cm and  
574 30cm model was around 6%. Considering the average size of the numerical model (~8m x 2m), it is  
575 suggested that the applied Voronoi cell size should be less than the 3% of the greatest model dimension.



576  
577 **Figure 18** – Convergence of the ultimate load in case of different Voronoi cell size

578 Compared to the elasto-plastic Voronoi case, the size and the number of cracks was bigger. During the  
579 loading procedure, in order to develop the failure mechanism within the backfill, the densely packed  
580 Voronoi cells needed to separate or slide upon each other. Thus, the deformation of the backfill appeared  
581 in the form of cracks, and sliding movements. In Figure 19, the crack propagation can be seen in the  
582 case of 5 cm Voronoi cells. The biggest crack appeared above the right abutment, similarly to the elasto-  
583 plastic Voronoi cells (Figure 15).

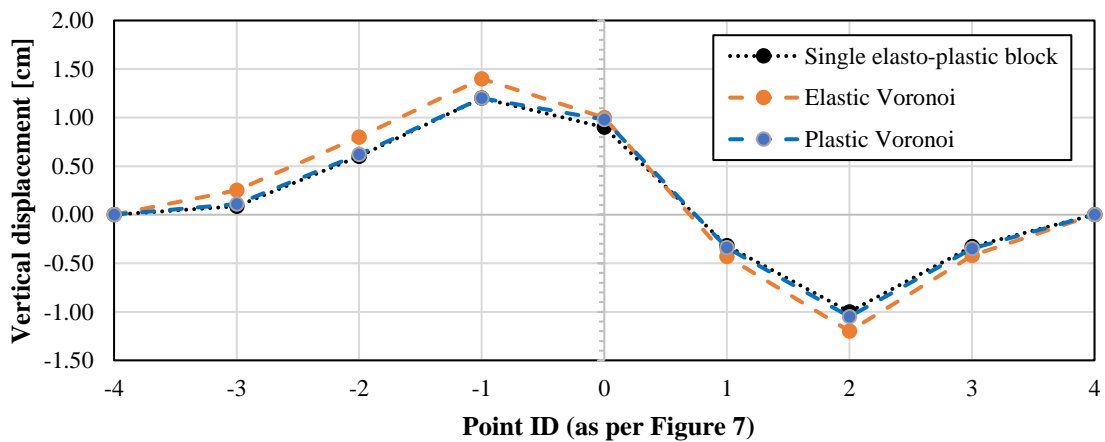


584

585 **Figure 19** – Crack propagation within the soil – average Voronoi-cell size: 5 cm.

586 Figure 20 shows the vertical displacements at ultimate load bearing capacity along the length of the  
 587 arch barrel for the different models developed (note point ID 2 is where the load applied, see also Figure  
 588 7). From Figure 20, the displacements are the same for the model in which backfill was considered as  
 589 a single elastic-plastic block as well as for the model where the backfill is considered as a series of  
 590 Voronoi elements behaving in an elastic-plastic manner. On the contrary, for the model in which backfill  
 591 was represented as a series of Voronoi elements behaving in an elastic manner, the displacements are  
 592 higher. This may be due to the interfaces between inner-backfill particles, which can move and rotate  
 593 freely as well as due to the hinging mechanism of the backfill particles.

594



595

596 **Figure 20** – Vertical displacements of the arch barrel for the different ID points shown in Figure 7

## 597 **5 Conclusions**

598 Many masonry arch bridges still in service are relatively old but subject to increasing vehicle loads.  
599 Past research demonstrated that load-carrying capacity of a masonry arch bridge is significantly affected  
600 not only by the backfill material, but also by the backfill to arch ring interaction. These interaction  
601 phenomena are not taken into account by many over-simplified approaches commonly used.

602 A novel modelling approach for the simulation of backfill in masonry arch bridges, based on the discrete  
603 element method, has been proposed. Bricks in the barrel vault are represented as an assembly of distinct  
604 blocks separated by zero thickness interfaces at each mortar joint, while backfill is represented as an  
605 assemblage of densely packed discrete irregular deformable particles. The mechanical behaviour of the  
606 backfill is influenced by the size and properties of the irregular soil particles and contacts. A series of  
607 computational models were developed, and their results compared against full-scale experimental  
608 results. A good agreement between the experimental and the numerical results was obtained  
609 demonstrating the significant potential of the proposed approach. The major findings of the proposed  
610 approach are summarized below:

- 611 • The four-hinge failure mechanism obtained with the three numerical models developed (the  
612 single block elasto-plastic soil, the elastic Voronoi, and the elasto-plastic Voronoi) is in good  
613 agreement with the experimental failure mode.
- 614 • The Voronoi models have the advantage of naturally modelling crack initiation and propagation  
615 as real discontinuity between soil particles. In particular, failure surfaces developing from the  
616 extrados hinges into the soil can be clearly represented. The randomness of the Voronoi patterns  
617 minimizes the possible mesh effects on fill behaviour.
- 618 • The three computational models developed herein were able to provide a good approximation  
619 of the experimental failure load. However, in the model with elastic Voronoi, the ultimate load  
620 bearing capacity was influenced by the properties (and in particular the stiffness properties) of  
621 the soil-to-soil particles, which have to be calibrated. Therefore, the elasto-plastic Voronoi  
622 model appears more robust.
- 623 • Crack location and propagation in the backfill and the overall structure is more accurate for  
624 smaller size Voronoi elements. Larger size Voronoi elements lead to higher load carrying  
625 capacity of the bridge, but failure mode remains a four-hinge mechanism.

626 Although the authors understand that arch and the backfill system constitute a 3D domain having finite  
627 thickness, the present work aims at assessing the suitability of the Voronoi/inner soil particles model,  
628 which is more efficiently performed using two dimensional models. Also, as part of this study, the effect  
629 of the spandrel is ignored, since it is impossible to model them in a 2D model (plane strain). In the  
630 future, further research is going to be carried to include the three-dimensional effects of the Voronoi  
631 particles as well as investigate methodologies used for the calibration of the interface material properties  
632 between inner soil elements and how such micro-parameters affect the global behaviour of the bridge.  
633 In addition, the suitability of the approach to masonry arch bridges subjected to geometric irregularities  
634 as well as mechanical behaviour under earthquake load conditions will be evaluated.

## 635 **Acknowledgement**

636 The work presented in this paper was partially financially supported by an EPSRC doctoral training  
637 award (CASE/179/65/82).

638

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