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# Estimation of Valvular Resistance of Segmented Aortic Valves Using Computational Fluid Dynamics

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#### Abstract

Aortic valve stenosis is associated with an elevated left ventricular pressure and transaortic pressure drop. Clinicians routinely use Doppler ultrasound to quantify aortic valve stenosis severity by estimating this pressure drop from blood velocity. However, this method approximates the peak pressure drop, and is unable to quantify the partial pressure recovery distal to the valve. As pressure drops are flow dependent, it remains difficult to assess the true significance of a stenosis for low-flow low-gradient patients. Recent advances in segmentation techniques enable patient-specific Computational Fluid Dynamics (CFD) simulations of flow through the aortic valve. In this work a simulation framework is presented and used to analyze data of 18 patients. The ventricle and valve are reconstructed from 4D Computed Tomography imaging data. Ventricular motion is extracted from the medical images and used to model ventricular contraction and corresponding blood flow through the valve. Simplifications of the framework are assessed by introducing two simplified CFD models: a truncated time-dependent and a steady-state model. Model simplifications are justified for cases where the simulated pressure drop is above 10 mmHg. Furthermore, we propose a valve resistance index to quantify stenosis severity from simulation results. This index is compared to established metrics for clinical decision making, i.e. blood velocity and valve area. It is found that velocity measurements alone do not adequately reflect stenosis severity. This work demonstrates that combining 4D imaging data and CFD has the potential to provide a physiologically relevant diagnostic metric to quantify a ric valve stenosis severity. Keywords: Aortic valve stenosis, Heart valve disease, Hemodynamics,

Computational fluid dynamics, Patient-specific

#### 1 1. Introduction

<sup>2</sup> Aortic valve stenosis (AS) is the narrowing of the aortic valve<del>aorta at the</del>

<sup>3</sup> location of the aortic valve and disturbs-impedes blood flow into the systemic

[1] R 1.5.

4 circulation. Once developed, AS consistently increases with age, and it is

estimated that 2.8-3.9% of the population older than 70 years of age suffer from
some form of AS (Eveborn et al., 2012; Nkomo et al., 2006). AS is often caused
by calcification of the Aortic Valve (AV) leaflets, resulting in a stiffer valve that
impedes the opening and closing function of the valve. Hence, in systole, the
valve may not open completely, and a large pressure difference is required to
maintain flow. If left untreated, AS may eventually lead to heart failure.

AS obstructs flow from the ventricle into the aorta, and a large effective 11 pressure difference is required to maintain cardiac output. The drop in pressure 12 is an indicator for the severity of AS. However, non-invasive diagnostic quanti-13 tative evaluation of the pressure drop is challenging. Hence, in current clinical 14 practice other indirect metrics are used. At present, the main criteria to judge 15 AS severity are: the mean transaortic pressure drop; maximum velocity of the 16 jet (v<sub>max</sub>), and the Aortic Valve Area (AVA) by continuity equation (Chambers, 17 2016; Nishimura et al., 2014; Baumgartner et al., 2016). All these metrics are 18 routinely obtained by echocardiography. However, v<sub>max</sub> and the mean pres-19 sure drop are both flow-dependent, and may conflict with AVA measurements 20 for 20-30% of patients with severe AS (Eleid et al., 2013). Typically, these 21 diagnostic measures conflict for cases with low-flow-gradient AS. For this 22 patient group it remains difficult to assess whether AS is significantly present 23 (Vogelgesang et al., 2017). 24

Echocardiography is inexpensive, readily available and easy to perform, and an established method to derive metrics indicative of stenosis severity. When echocardiography results are inconclusive, Computed Tomography (CT) or cardiac Magnetic Resonance Imaging (MRI) can be used to derive additional indicators, e.g the aortic diameter or amount of calcification (Chun et al., 2008). Furthermore, CT and cardiac MRI enable detailed three-dimensional recon-

structions of the full-heart anatomy. Moreover, segmentation methods from 31 cardiac CT and MRI images have improved considerably over the past years 32 (Ecabert et al., 2008, 2011; Grbic et al., 2012; Ionasec et al., 2010). Further-33 more, recent developments see high-quality valve models incorporated into ex-34 isting segmentation frameworks (Weese et al., 2017). These detailed 3D models 35 of the AV can be used in combination with 3D Computational Fluid Dynamics 36 (CFD) to evaluate the hemodynamic performance of the patient-specific valve 37 (Weese et al., 2017). However, in order to quantify the load on the ventricle, 38 extending the CFD model to include the (contracting) Left Ventricle (LV) may 39 yield information on the true significance of the stenotic valve. 40

In systole, a healthy valve opens completely, and imposes little to no re-41 sistance to blood flow. However, flow through the diseased valve is similar to 42 flow through an orifice. Blood is accelerated into the orifice, and pressure is 43 converted to kinetic energy. When blood enters the Ascending Aorta (AA), it 44 is decelerated, and pressure is partly recovered. (Fig. 1). Pressure is not com-45 pletely recovered due to viscous losses, including those from turbulence. This 46 results in an effective pressure drop between the LV and AA. To quantify the 47 relative contribution of the valve to the effective pressure drop, a valve resistance 48 index is proposed: 49

$$I_{\rm VR} = \frac{\Delta P_{\rm V}}{\Delta P_{\rm E}} \tag{1}$$

This index quantifies the pressure loss due to the presence of the value  $(\Delta P_V)$ 50 with respect to the total effective pressure loss between the LV and AA ( $\Delta P_{\rm E}$ ). 51 For healthy valves, pressure is expected to recover approximately to the same 52 pressure level as in the Left Ventricular Outflow Tract (LVOT). When the cross-53 sectional area of the AA exceeds that of the LVOT, blood velocity (and kinetic 54 energy) in the AA decrease. Consequently, (static) pressure may recover be-55 yond LVOT pressure. However, for diseased valves, it is expected that only a 56 (small) part of pressure is recovered, and excessive viscous and turbulent losses 57 58 dominate.

[2] R 1.7.

The main aim of this work is to evaluate the valve resistance index proposed in Equation 1 with clinically accepted measures, such as,  $v_{max}$  and the AVA. Additionally, the CFD model with the contracting left ventricle is used to evaluate the accuracy of simplified valve-only CFD models and Bernoulli approximations. For this purpose, the workflow described by Weese *et al.* (Weese *et al.*, 2017) is extended to include both the AV and contracting ventricle.

#### <sup>65</sup> 2. Materials and Methods

#### 66 2.1. Aortic Valve Anatomies

86

<sup>67</sup> Cardiac CT segmentation data was obtained from an anonymized dataset <sup>68</sup> used in a previous study (Weese et al., 2017). Original images were acquired <sup>69</sup> using electrocardiogram-gated CT angiography with 10% intervals of the elec-<sup>70</sup> trocardiographic R-R interval. CT images had an in-plane resolution of 0.31-<sup>71</sup> 0.68 mm and slice thickness of 0.34-0.70 mm. Segmented anatomical structures <sup>72</sup> include the LV, LVOT and AV. Fig. 2B shows a typical segmented anatomy at <sup>73</sup> different phases of the cardiac cycle.

A single Structured Surface models of the LV and AV throughout systole was 74 were generated for each patient with a Shape Constrained Deformable Model 75 (SCDM). The authors refer to Ecabert et al. or Weese et al. for a detailed 76 description of the SCDM (Ecabert et al., 2008, 2011; Weese et al., 2017). The 77 surface model was built from the image at mid-systole was selected, and de-78 veloped into the CFD model. This model had the valve in the most open 79 position, typically at 20% or 30% of the electrocardiographic R-R interval. The 80 structured surface model consisted of 3094 vertices and 6169 triangles with an 81 average edge length of 2.6 mm (Fig. 2B). The geometric AVA was estimated 82 from the structured surface model by a projection method (Weese et al., 2017). 83 All segmentation surface models throughout the cardiac cycle were then con-84 verted into binary masks, covering the LV and LVOT, to facilitate registration. 85

**[3]** R 1.6.

#### 87 2.2. Image Registration

Each consecutive segmented binarized image pair was registered using The 88 Sheffield Image Registration Toolkit (Barber & Hose, 2005). The resulting 3D 89 discrete mapping fields morphed one image onto the next. The Sheffield Image 90 Registration Toolkit produced smooth, non-linear registration maps with sub-91 pixel accuracy. To compute the 3D mappings between the images, the Sheffield 92 Image Registration Toolkit uses an intensity-based linear least-squares algo-93 rithm, iteratively applied to handle large displacements. The 3D registration 94 map was spatially interpolated to the vertices of the surface model at mid-95 systole. This yielded a set of iso-topological surface models in the R-R interval 96 This yielded a set of surface models in the R-R interval with the same topology 97 as the surface model at mid-systole. Registration was done on the binarized 98 segmented images, hence no information on the motion of the AV and AA was 99 available. For this reason, and for CFD stability the mean rigid motion of the 100 model was removed from the overall model motion. Velocity vectors  $\vec{v}$  for each 101 vertex n of the surface model were a function of time and computed from the 102 consecutive iso-topological surface models by: 103

$$\vec{v}_n(t) = \frac{\vec{x}_n(t + \Delta t) - \vec{x}_n(t)}{\Delta t}$$
(2)

With  $\vec{x}$  the position of vertex n at time t in the cardiac cycle. Vertex positions are sparse in time, and were interpolated using cubic splines.

#### 106 2.3. Mesh Generation

Volumetric meshing was performed with ANSYS Fluent Meshing R17.2 (AN-SYS Inc, Canonsburg, Pennsylvania, United States). Structured surface models were truncated by a manually defined plane two to five mm proximal to the valve annulusbase and orthogonal to the valve axis (Fig. 2C). The outflow boundary was extended by 3.5 times the diameter of the AA. The inflow boundaries of the truncated models were extended by 1.5 times the LVOT diameter. The volume was filled with tetrahedra in the core, and ten layers of pentahedra elements inflated from the wall. Element sizes were chosen based on a mesh sensitivity
study, and ranged between 0.5-2.5 mm. Maximum element edge length in the
LV was constrained to 2.5 mm. Edge lengths in the proximity of the AV were
constrained to 0.5 mm to capture valve features.

#### 118 2.4. Computational Methods

Fluid flow is governed by the Navier-Stokes equations. For moving grids, the integral form of the continuity equation for a control volume  $\Omega$  with surface  $\Gamma$  can be written as.

$$\frac{\partial}{\partial t} \int_{\Omega} \rho dV + \int_{\Gamma} \rho (\vec{v} - \vec{v}_g) \cdot \vec{n} dA = 0$$
(3)

With  $\rho$  the density of blood,  $\vec{v}$  the velocity vector,  $\vec{v}_g$  the velocity of the (boundary) grid, and  $\vec{n}$  the normal vector to the surface  $\Gamma$ . Similarly, the momentum equation can be written as:

$$\frac{\partial}{\partial t} \int_{\Omega} (\rho \vec{v}) dV + \int_{\Gamma} \rho \vec{v} (\vec{v} - \vec{v}_g) \cdot \vec{n} dA = -\int_{\Gamma} p \mathbf{I} \cdot \vec{n} dA + \int_{\Gamma} \boldsymbol{\tau} \cdot \vec{n} dA \qquad (4)$$

<sup>125</sup> Where p is the pressure, **I** the identity tensor, and  $\tau$  the viscous stress tensor. <sup>126</sup> A diffusion based smoothing method was applied for grid motion.

$$\nabla \cdot (\gamma \nabla \vec{v}_g) = 0 \tag{5}$$

$$\gamma = \frac{1}{d^{\alpha}} \tag{6}$$

<sup>127</sup> With  $\vec{v}_g$  the grid velocity,  $\gamma$  the diffusion coefficient and d the normalized dis-<sup>128</sup> tance to the boundary. For all simulations  $\alpha = 1$  and resulted in skewed grid <sup>129</sup> motion towards the interior, i.e. elements in the interior deformed more. The <sup>130</sup> boundary conditions (Fig. 3) for the diffusion equation were:

$$\Gamma_{AA}, \Gamma_{Sinus}, \Gamma_{AV} : \vec{v}_g = 0$$
  
$$\Gamma_{LVOT} : \vec{v}_g = f(s)\vec{v}_n(t)$$
  
$$\Gamma_{LV} : \vec{v}_g = \vec{v}_n(t)$$

f(s) is a ramp function that linearly scaled boundary velocity to zero in the LVOT as a function of the position s in the LVOT, i.e. f(s) = 1 proximal to the LVOT, and f(s) = 0 distal to the LVOT.

Blood was modeled as an in-compressible fluid with a density of  $1050 \text{ kg} \cdot \text{m}^{-3}$ 134 and dynamic viscosity of 0.004 Pa. s. No-slip boundary conditions were as-135 sumed at the walls, and at boundary  $\Gamma_{out}$  pressure is set to zero. The governing 136 equations were solved with ANSYS Fluent R17.2 (ANSYS Inc, Canonsburg, 137 Pennsylvania, United States). Simulations were executed on the ACC Cyfronet 138 AGH Prometheus Supercomputer (Academic Computer Centre Cyfronet, AGH 139 University of Science and Technology, Kraków, Poland). Each simulation was 140 assigned one compute node with 24 CPU's. 141

#### 142 2.4.1. Transient Models

For the transient models a (bounded) central difference scheme was used for 143 the advection and diffusion terms. The transient term was integrated with a 144 second order backward difference approximation. Convergence criteria at each 145 time-step were set at 0.05 for locally scaled residuals of x-, y-, z-velocity, and 146 continuity. Sub-grid turbulent dissipation was modeled with Large Eddy Sim-147 ulation and the Wall Adapting Local Eddy-Viscosity model (Nicoud & Ducros, 148 1999). Time steps were defined as 1/10000th of the cardiac cycle. Vertex ve-149 locities were spatially interpolated from the structured surface model onto the 150 re-meshed surface of the computational domain by an inverse distance-weighted 151 interpolation using eight nearest neighbors of the structured model. Stroke vol-152 ume was pre-computed with a discrete form of Gauss's theorem (Hughes et al., 153 1996) for the structured and re-meshed surfaces. Vertex velocities of the refined 154 computational mesh were scaled to match the stroke volume of the structured 155

<sup>156</sup> surface model. The time-dependent grid velocity was applied to the boundary

157 of the LV and LVOT. For the truncated model, the pre-computed flow wave-

<sup>158</sup> form was used as a time-dependent plug-flow boundary condition. <u>To test</u>

whether diastolic filling of the ventricle had to be simulated, five cardiac cycles
were simulated for case 11. Results in Table 1 demonstrate that diastolic filling
had a negligible (< 1%) effect on the observed peak-systolic pressure drop and</li>
valve resistance index. Hence, diastolic filling was neglected, and only a single

163 systolic cycle was simulated to restrict the computational burden.

#### 164 2.4.2. Steady-state Model

Peak flow-rate was obtained from the pre-computed flow waveform, and prescribed as a boundary condition for the truncated steady-state model. Turbulence is modeled with the Shear Stress Transport  $k-\omega$  model (Menter, 1994).

#### 168 2.5. Post-Processing

A centreline with equally spaced points (0.1mm intervals) was defined for 169 each surface model with the Vascular Modelling Toolkit (Antiga et al., 2008). 170 Pressure was evaluated on the centreline, and the effective  $(\Delta P_E)$  and value 171  $(\Delta P_V)$  pressure-drops were computed. These pressure drops were used to com-172 pute the valve resistance index  $I_{VR}$  (Equation 1). Furthermore, Bernoulli esti-173 mates ( $\Delta P_B = P_{LVOT} - P_{VC}$ ) and simplified Bernoulli estimates ( $\Delta P_{SB} = 4v_{VC}^2$ ) 174 were computed from the simulation results. Note that  $v_{VC}$  is the velocity at the 175 vena contracta, and corresponds to  $v_{max}$ . The point on the centreline closest 176 to the truncation plane was used to evaluate  $P_{LVOT}$ . The vena contracta was 177 178 identified by inspecting the centreline, i.e. where pressure was lowest.

#### 179 3. Results

The workflow described in Fig. 2 was used on retrospective CT datasets of 180 I8 patients with non-calcified and (partially) severely calcified tricuspid AV's 182 (Fig. 4). Projected AVA ranged between 0.90.88 and 4.34.35 cm<sup>2</sup> (Table 2). 183 Image derived maximum flow rate at peak systole ranged between 178 and 635

# [**4**] R 1.1 & 1.2

[5] Note that a slightly larger timestep and coarser mesh was used to facilitate reasonable simulation times - hence results differ slightly from the original simulations

ml/s, and simulated velocities in the vena contracta range between 0.88 and 184 5.36 m/s. The effective pressure drop  $\Delta P_{\rm E}^{\rm CLV}$  ranges between 2.5 and 102.5 185 mmHg. Net pressure drops across the aortic valve range between: -2.3 mmHg 186 and 91.5 mmHg for the full model; -1.4 mmHg and 89.5 mmHg for the truncated 187 transient model; 0.4 mmHg and 89.8 mmHg for the steady-state model.  $\Delta P_{\rm B}^{\rm CLV}$ 188 and  $\Delta P_{SB}^{CLV}$  range between 1.0-103.2 mmHg and 3.1-115.1 mmHg. The valve 189 resistance index lies between -0.40 and 0.96. The local pressure gradient in the 190 LVOT was between -0.77 and -0.07 mmHg/mm 191

Fig. 5 illustrates the CFD results of a healthy (case 8) and a stenotic valve 192 (case 17). The healthy case exhibits a lower jet velocity through the AV than 193 the stenotic case. For the stenotic valve a distinct jet is formed, and turbulent 194 structures develop. The jet is wider and not as pronounced for the healthy valve. 195 Pressure contours demonstrate that the the effective pressure drop between 196 the LV and AA is about 9 mmHg for the healthy case and approximately 110 197 mmHg for the stenotic case. in the healthy case. The effective pressure drop is 198 substantially larger (approximately 110 mmHg) for the stenotic valve. 199

Fig. 6 visualizes the relationship between  $v_{max}$  and the proposed valve resis-200 tance index. When assessing AS severity by  $v_{max}$ , 12 cases would be considered 201 healthy, one case as having a mild stenosis, and three as having a moderate 202 stenosis. Two cases would be classified as having a severe stenosis. Cases 15 203 and 16 would be classified as having no or a mild stenosis. However, both exhibit 204 large valve resistance indices of 0.84 and 0.86 respectively, of similar magnitude 205 as the clearly stenotic cases 13 and 17. Furthermore, it is observed that case 18, 206 actually has the largest valve resistance index, but would have been classified as 207 moderate with  $v_{max}$  as criteria. Healthy values exhibit value resistance indices 208 close to or below zero. Furthermore, an inverse linear relationship between geo-209 metric AVA and valve resistance index may be observed; when AVA decreases, 210 the valve resistance index increases. (Fig. 6). 211

Fig. 7A and 7B qualitatively demonstrate the differences between each of the CFD models. Unsteady flow phenomena distal to the AV are observed. Flow patterns for the transient models are similar, but local discrepancies in the velocity field can be noticed. Unsteady flow patterns propagate far into the
AA for this particular stenotic case.

Qualitatively the shape of the jet and the pressure contours are similar prox-217 imal to and in the immediate vicinity of the valve for the steady-state and 218 transient models (Fig. 7). However, flow structures distal to the valve are less 219 well-matched. This is expected because the jet has not had time to develop fully 220 in space for the transient models. Despite the loss of fidelity in the detailed flow 221 fields, the steady-state model captures the overall pressure drop adequately. 222 Pressures proximal to the AV, in the vena contracta and distal to the AV are 223 approximately the same for all models. 224

Differences in  $\Delta P_V$  of  $0.3 \pm 1.33$  and  $0.9 \pm 1.63$  are found between the tran-225 sients models, and truncated steady and full model respectively (Fig. 8A and 226 B). A bias of  $0.7 \pm 1.07$  mmHg is observed between both truncated models (Fig. 227 8C). The simplified Bernoulli and full 4D CFD model are in poor agreement: a 228 bias of  $11.3 \pm 6.6$  mmHg (Fig. 9B). At low flow the simplified Bernoulli equation 229 gives a poor estimate for the peak-systolic effective pressure-drop. Bernoulli 230 estimates demonstrate a bias of  $6.6 \pm 3.27$  mmHg compared to the full model. In 231 general, discrepancies from the full model predominantly occur at low pressure 232 pressure drops (Fig 8 and 9). E.g., the relative difference between  $\Delta P_V^{CLV}$  and 233  $\Delta P_{V}^{TT}$  for case 6 is 140%. In contrast, a relative difference of only 2% is found 234 for case 17. 235

#### 236 4. Discussion

This paper presents a medical image-based CFD framework to simulate flow across a patient-specific AV. A valve resistance index is defined, and compared to measures typically used in the clinic to demonstrate the frameworks potential value. Additionally, the effect of model simplifications on pressure-drop computations are presented.

#### 242 4.1. Sample characteristics

Computed geometric AVA's (Table 2) suggest that the current sample con-243 tains 11 healthy or mildly stenosed cases, six moderate cases, and one severe 244 case (Nishimura et al., 2014). When considering  $v_{max}$  as severity index, it is 245 found that 12 cases can be classified as healthy, one as mild, three as moderate, 246 and two as having a severely stenotic valve. Unfortunately, no echocardiography 247 or cardiac catheterization data was available to clinically classify the patients. 248 Nevertheless, computed velocities, pressure-drops and AVA correspond well to 249 values reported in literature (Chambers, 2016; Baumgartner et al., 1999). For 250 example, cardiac catheterization and echocardiography measurements in AS pa-251 tients by Yang et al show systolic pressure drops between the LV and AA up 252 to 129 mmHg for patients with (echocardiography derived) AVA's of  $0.4 \text{ cm}^2$ 253 (Yang et al., 2015). Furthermore, the same study reports echocardiography 254 based peak-systolic  $v_{max}$  measurements of 2.3 - 5.2 m/s. The reported upper 255 limits for  $\Delta P_E$  and  $v_{max}$  in this study are 103 mmHg and 5.4 m/s, and thus 256 respect the limits typically reported in literature. 257

#### 258 4.2. Valve Resistance Index

The valve resistance index is a measure of how much pressure is lost due to 259 the presence of the AV. This index can be interpreted as a percentage, e.g. an 260 index of 0.60 means that 60% of pressure loss can be attributed to the AV. Figure 261 6 demonstrates that healthy values (cases 1-9) have value resistance indices of 262 around zero, i.e. any pressure lost around the AV is fully recovered in the AA. 263 For some cases, recovered pressure even exceeds pressure in the LVOT (cases 264 1-3). This can be explained by the fact that the cross-sectional area of the 265 AA is typically two to three times larger than the cross-sectional area of the 266 LVOT (see Table 2). Due to the larger cross-sectional area, velocity in the AA 267 will be lower, and more kinetic energy is converted back into static pressure. 268 Hence, pressure may recover beyond that of the LVOT, leading to a negative 269 valve resistance index Therefore, a healthy valve, in its open position, exerts 270

[**6**] R 1.7.

271 no additional load on the left ventricle at peak systole. For severely stenotic

valves, the valve dominates the effective pressure drop (cases 17 and 18), i.e.
approximately 90% of the effective pressure drop is attributed to the AV. This is
in line with numerical results presented by Traeger *et al* (Traeger *et al.*, 2015).
Although not the main aim of their work, their illustrations suggest that a valve
with an area of 0.9 cm<sup>2</sup> (Gorlin derived) may exhibit a valve resistance index
of approximately 0.9 at flow rates of 200 and 400 ml/s.

Figure 6 clearly demonstrates the inability of  $v_{max}$  to identify a stenosis 278 consistently. Due to low-flow, cases 15 and 16 demonstrate a  $v_{\rm max}$  that would 279 be considered normal, or mildly stenotic in clinical practice. However, the valve 280 resistance index for these cases reveals that - similar to other stenotic valves -281 the effective pressure drop is dominated by the AV. A disproportional amount 282 of the pressure loss is due to the presence of the valve. Such a conclusion can 283 not be drawn from  $v_{max}$  (Fig. 6) and  $\Delta P_E$  measurements alone. Hence, for 284 cases where AVA and  $v_{max}$  conflict, indistinct cases the valve resistance index 285 may provide relevant information on stenosis severity. 286

#### 287 4.3. Comparison CFD Models

Qualitatively, no major differences are observed between the transient mod-288 els (Fig. 7). Similar (turbulent) structures are formed distal to the AV where 289 the jet breaks down, and pressure is recovered. Steady-state simulations demon-290 strate averaged velocity and pressure distributions, and do not capture local flow 291 disturbances in detail. Nevertheless, steady-state simulations capture the global 292 pressure drop across the AV within reasonable limits. Both truncated models 293 provide acceptable estimates for the pressure drop across the AV. At low pres-294 sure drops (<10 mmHg) the truncated models overestimate the pressure drop 295 considerably in the relative sense. An artificial plug-flow assumption at the 296 inflow boundary may not be appropriate for the low-gradient cases. Indeed, 297 velocity profiles in the LVOT are not plug-like (Garcia et al., 2011). Work 298 by Bruening and colleagues shows that significant overestimation of the pres-299 sure drop can occur when assuming a plug-flow velocity profile opposed to a 300 patient-specific flow profile from 4D velocity-encoded MRI (Bruening et al., 301

2018). However, differences between the full and truncated transient model are
small in this study, and the added accuracy of the full model may therefore not
outweigh the additional computational cost.

The simplified Bernoulli equation - derived from echocardiography measure-305 ments in the clinic - overestimates the pressure drop substantially. Overestima-306 tion of the pressure drop is a well known problem with the Simplified Bernoulli 307 equation. Both numerical (Casas et al., 2015; Donati et al., 2017) and pa-308 tient studies (Baumgartner et al., 1999) have demonstrated this overestimation. 309 It should be noted that  $v_{max}$  is directly obtained from the simulated velocity 310 field. Clinically, measurements are done with echocardiography, and additional 311 sources of errors are likely, such as: poor spatial resolution, misalignment of the 312 probe, or probe settings (Lui et al., 2005). 313

#### 314 4.4. Limitations Imaging and Geometry

Segmentation with the SCDM is at the moment only possible for tri-cuspid 315 AV's. Substantial segmentation errors are expected for bicuspid valves. Weese 316 et al (Weese et al., 2017) showed that segmentation works in presence of calci-317 fications. However, strong calcifications are likely to influence segmentation ac-318 curacy and blood flow. Hence, a thorough evaluation of segmentation accuracy 319 is required. For example, it may be necessary to map patient-specific calcifica-320 tions onto the shape constrained deformable model. Further inaccuracies may 321 be introduced by the registration process. 322

[7] R 1.4.

Segmentation is performed on electrocardiography triggered CT images at 10% intervals of the R-R curve. It is assumed that the temporal resolution is sufficient to capture the (fully) open state of the AV. Poor temporal resolution may also cause over- or underestimation of flow-rate. Mitral regurgitation is not quantified, and patient flow-rates are likely overestimated. For example, patients with severe Mitral valve regurgitation may see a regurgitant fraction of more than 50% (Zoghbi et al., 2017).

#### 330 4.5. Limitations CFD

No valvular fluid-solid interaction is considered in this study due to the nu-331 merical challenges and lack of patient-specific material properties. It is expected 332 that only local intraventricular and aortic flow fields are influenced. It is not 333 expected that peak-systolic pressure drops and v<sub>max</sub> are affected. Work by As-334 torino et al. supports this choice. Their work suggests that modeling the valve 335 in the fixed open position yields an acceptable approximation for flow at peak 336 systole, opposed to simulating the fully coupled fluid-solid interaction (Astorino 337 et al., 2012). 338

The multi-cycle simulations that were performed on case 11 lacked the patient-specific mitral valve. As such, end-diastolic flow patterns may not be physiologically correct. For example, a recent study showed that mitral valve opening dynamics and shape substantially influence end-diastolic vortex formation (Vasudevan et al., 2019). Whether the single-cycle approach is still acceptable in the presence of the segmented mitral valve has not been investigated.

#### 346 5. Conclusion

An image-based CFD workflow of the AV and heart anatomy is presented. 347 This workflow allows for the computation of a valve resistance index, that quan-348 tifies the contribution of the AV to the effective pressure drop from the LV to 349 the AA. It is demonstrated that this index has the potential to complement has 350 the potential to outperform existing measures, such as,  $v_{max}$  and the geomet-351 ric AVA for patients that demonstrate discordant grading. Furthermore, it is 352 shown that simplified CFD models provide a reasonable estimate of the aortic 353 valve pressure drop at a given flow rate. However, at low-flow conditions simpli-354 fications to boundary conditions may not be justified, and more physiologically 355 accurate inflow boundary conditions should be considered. 356

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#### 361 Conflicts of Interest

M.J.M.M. Hoeijmakers is an employee of ANSYS. J. Weese and I. Wächter-Stehle are employees of Philips.

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# 482 Figures



**Centreline Position** 

Figure 1: Top: schematic of the Left Ventricle (LV), Left Ventricular Outflow Tract (LVOT), Aortic Valve (AV), Vena Contracta (VC) and Ascending Aorta (AA). Bottom: typical pressure along the centreline.  $\Delta P_V$ : net pressure drop across the AV.  $\Delta P_E$ : effective pressure drop between the LV and AA.  $\Delta P_B$ : Bernoulli estimate, i.e. the maximum pressure drop across the valve,  $\Delta P_{SB}$ : simplified Bernoulli estimate from VC velocity. Mitral Valve (MV) and Left Atrium (LA) are added for anatomical reference.

	Cycle 1	Cycle 2	Cycle 3	Cycle 4	Cycle 5
$P_{LV}$ [mmHg]	6.86	6.90	6.89	6.88	6.94
$P_{LVOT}$ [mmHg]	3.42	3.44	3.43	3.43	3.49
$I_{VR}$ [-]	0.499	0.499	0.498	0.498	0.503

Note: simulations performed with a time-step of  $1 \cdot 10^{-3}$ s to limit simulation times.

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single cycle



Figure 2: Illustration of the workflow from A) the Shape Constrained Deformable Model framework (Ecabert et al., 2011; Weese et al., 2017); B) Segmented aortic valve and left ventricle and corresponding structured surface modelmesh; C) image registration and mesh truncation; D) 4D CFD Model of the AV and contracting ventricle, 3D truncated transient model, and 3D truncated steady-state model.



Figure 3: Boundary and domain definitions. Boundaries  $\Gamma_{LV}$  (light gray line) and  $\Gamma_{LVOT}$  (dark gray line) are deforming.  $\Gamma_{AV}$ ,  $\Gamma_{Sinus}$ ,  $\Gamma_{AA}$  (black lines) and  $\Gamma_{out}$  (dashed line) are static boundaries, i.e.  $\vec{v}_g$  is zero. Boundary motion is scaled to zero in the LVOT by a ramp function f(s), with s the position in the LVOT



Figure 4: Axial view of the segmented AV for all cases. Cases 1-9 have a  $I_{\rm VR}$  < 0.25, cases 10 and 11 0.25 <  $I_{\rm VR}$  < 0.75, and cases 12-18 a  $I_{\rm VR}$  > 0.75. Case numbering corresponds to Table 2.



Figure 5: Volume renders of velocity (A) and contour plots of pressure (B) at peak systole for a healthy valve (left - case 8) and a stenotic valve (right - case 17).



Figure 6: Left: CFD derived  $v_{max}$  vs. valve resistance index. Severity classifications are based on guidelines (Nishimura et al., 2014). Healthy:  $v_{max} < 2.6$  m/s. Mild: 2.6 m/s  $< v_{max} < 2.9$  m/s, moderate: 3.0 m/s  $< v_{max} < 4.0$  m/s, severe:  $v_{max} > 4.0$  m/s. Right: Geometric AVA vs. valve resistance index. Healthy/Mild: AVA > 1.5 cm<sup>2</sup>, moderate: 1.0 cm<sup>2</sup> < AVA < 1.5 cm<sup>2</sup>, severe AVA < 1.0 cm<sup>2</sup>. Furthermore, cases are separated in groups,  $I_{VR} < 0.25$  ( $\circ$ ), 0.25  $< I_{VR} < 0.75$  ( $\times$ ) and  $I_{VR} > 0.75$  ( $\triangle$ ). Note that the reported AVA is the geometric projected AVA, and not the effective orifice area (by echocardiography) as reported in the guidelines (Nishimura et al., 2014).



Figure 7: Volume render of velocity magnitude (A) and pressure contours (B) for each of the CFD models.



Figure 8: Comparison between CFD models and their respective  $\Delta P_V$ . Top row: scatter plot with linear regression results and line of equality. Bottom row: Bland-Altman of the difference. A) Transient truncated model vs. full model ( $R^2 = 0.998$ ); B) Truncated steadystate vs. full model ( $R^2 = 0.998$ ); C) Truncated steady-State vs. truncated transient model ( $R^2 = 0.999$ ).



Figure 9: Comparison between the Bernoulli estimates and pressure drops computed with the full CFD model. A) Bernoulli estimate vs. full model ( $R^2 = 0.995$ ); B) Simplified Bernoulli ( $4v^2$ ) estimate vs. full model ( $R^2 = 0.973$ ); C) Simplified Bernoulli estimate vs. Bernoulli estimate ( $R^2 = 0.991$ ).

Case	$\frac{\mathbf{HR}}{\mathrm{bpm}}$	$\begin{array}{c} \mathbf{A_{LVOT}}\\ \mathrm{cm}^2 \end{array}$	$\begin{array}{c} \mathbf{A_{AV}}\\ \mathrm{cm}^2 \end{array}$	$\begin{array}{c} \mathbf{A_{AA}} \\ \mathrm{cm}^2 \end{array}$	$\mathbf{Q_{max}}$ ml/s	$rac{\mathbf{v_{max}}}{\mathrm{m/s}}$	$\mathbf{\Delta} \mathrm{P}^{\mathrm{CLV}}_{\mathrm{E}}$ mmHg	$\mathbf{\Delta} \mathbf{P}_{\mathrm{V}}^{\mathrm{CLV}}$ mmHg	$\mathbf{\Delta} \mathbf{P}_{\mathrm{V}}^{\mathrm{TT}}$ mmHg	$\mathbf{\Delta} \mathbf{P}_{\mathrm{V}}^{\mathrm{TS}}$ mmHg	$\mathbf{\Delta} \mathbf{P}_{\mathrm{B}}^{\mathrm{CLV}}$ mmHg	$\mathbf{\Delta} \mathrm{P}^{\mathrm{CLV}}_{\mathrm{SB}}$ mmHg	$\nabla \mathbf{P}_{\mathrm{LVOT}}^{\mathrm{CLV}}$ mmHg/mm	$\begin{array}{c} {I_{\rm VR}}^\dagger \\ -2mm \end{array}$	${\scriptstyle \substack{I_{\rm VR}\\0}}$	${\stackrel{\rm I_{\rm VR}}{}^{\ddagger}}\\{+2mm}$
1	73	4.8	3.9	10.1	489	1.47	5.7	-2.3	-1.4	0.4	1.7	8.6	-0.20	-0.33	-0.40	-0.47
2	56	5.5	4.3	14.7	433	1.14	3.4	-1.1	-0.6	0.4	1.2	5.2	-0.11	-0.25	-0.32	-0.39
3	66	4.6	3.3	9.5	397	1.33	4.6	-0.7	0.1	1.4	2.5	7.1	-0.15	-0.09	-0.15	-0.22
4	58	3.4	2.4	7.2	330	1.64	8.4	-0.2	0.4	1.2	3.3	10.8	-0.28	0.04	-0.02	-0.09
5	87	3.1	2.3	7.4	178	0.88	2.5	-0.1	0.1	0.4	1.0	3.1	-0.07	0.03	-0.02	-0.08
6	63	4.3	2.7	6.7	321	1.33	5.4	0.2	0.9	1.3	2.3	7.1	-0.18	0.09	0.03	-0.04
7	66	4.1	2.8	7.8	451	1.81	8.8	0.3	1.5	2.8	4.9	13.1	-0.25	0.09	0.03	-0.03
8	61	4.3	2.7	9.5	415	1.75	9.1	1.3	1.5	2.4	4.8	12.2	-0.32	0.21	0.14	0.07
9	66	4.5	3.2	10.2	488	1.76	9.0	1.6	1.4	2.9	5.4	12.4	-0.29	0.24	0.18	0.11
10	63	5.1	3.0	11.1	635	2.39	18.0	6.8	6.0	7.5	12.5	22.8	-0.70	0.45	0.37	0.30
$\simeq 11$	67	4.5	2.3	12.1	296	1.42	6.8	3.3	3.5	3.6	5.0	8.1	-0.19	0.53	0.48	0.42
12	66	3.9	1.3	8.5	416	3.47	41.7	31.8	32.6	32.9	38.4	48.1	-0.63	0.79	0.76	0.73
13	74	3.8	1.2	9.3	510	4.40	65.2	50.0	53.7	52.3	63.0	77.5	-0.46	0.78	0.77	0.75
14	80	3.6	1.3	9.5	417	3.47	40.2	31.6	30.6	31.1	39.8	48.2	-0.40	0.81	0.79	0.77
15	82	5.8	1.6	11.9	302	1.97	12.6	10.6	10.7	11.5	13.2	15.5	-0.26	0.88	0.84	0.79
16	98	4.0	1.1	8.3	286	2.75	26.6	22.8	22.4	22.7	26.3	30.3	-0.26	0.88	0.86	0.84
17	57	4.7	1.0	10.9	511	5.36	102.5	91.5	89.5	89.8	103.2	115.1	-0.77	0.91	0.89	0.88
18	74	5.6	0.9	8.1	251	3.16	36.4	34.9	33.9	34.3	38.0	39.9	-0.19	0.97	0.96	0.95

Table 2: Pressure drop estimates for each case and all models, ordered by valve resistance index

 $^\dagger$  Valve resistance index when  $\mathrm{P}_\mathrm{LVOT}$  is taken 2 mm upstream truncation plane

 $\ddagger$  Valve resistance index when  $\mathrm{P}_{\mathrm{LVOT}}$  is taken 2 mm downstream truncation plane



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