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Tiruvaimozhi, YV and Sankaran, M (2019) Soil respiration in a tropical montane grassland ecosystem is largely heterotroph-driven and increases under simulated warming. *Agricultural and Forest Meteorology*, 276-277. ARTN: 107619. ISSN: 0168-1923

<https://doi.org/10.1016/j.agrformet.2019.107619>

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1 **Soil respiration in a tropical montane grassland ecosystem is largely heterotroph-driven and**
2 **increases under simulated warming**

3

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11 Declarations of interest: none

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29 **Abstract**

30 Soil respiration, a major source of atmospheric carbon (C), can feed into climate warming, which in
31 turn can amplify soil CO₂ efflux by affecting respiration by plant roots, arbuscular mycorrhizal fungi
32 (AMF) and other heterotrophic organisms. Although tropical ecosystems contribute >60% of the
33 global soil CO₂ efflux, there is currently a dearth of data on tropical soil respiration responses to
34 increasing temperature. Here we report a simulated warming and soil respiration partitioning
35 experiment in tropical montane grasslands in the Western Ghats in southern India. The study aimed to
36 (a) evaluate soil respiration responses to warming, (b) assess the relative contributions of autotrophic
37 and heterotrophic components to soil respiration, and (c) assess the roles of soil temperature and soil
38 moisture in influencing soil respiration in this system. Soil respiration was tightly coupled with
39 instantaneous soil moisture availability in both the warmed and control plots, with CO₂ efflux levels
40 peaking during the wet season. Soil warming by ~1.4 °C nearly doubled soil respiration from 0.62 g
41 CO₂ m⁻² hr⁻¹ under ambient conditions to 1.16 g CO₂ m⁻² hr⁻¹ under warmed conditions. Warming
42 effects on soil CO₂ efflux were dependent on water availability, with greater relative increases in soil
43 respiration observed under conditions of low (with a minimum of 2.6%), compared to high (with a
44 maximum of 64.3%), soil moisture. Heterotrophs contributed to the majority of soil CO₂ efflux, with
45 respiration remaining unchanged when roots and/or AMF hyphae were excluded as the partitioning
46 treatments were statistically indistinguishable. Overall, our results indicate that future warming is
47 likely to substantially increase the largely heterotroph-driven soil C fluxes in this tropical montane
48 grassland ecosystem.

49

50 **Keywords:** Climate change, open top chambers, respiration partitioning, shola-grassland ecosystem,
51 soil carbon, heterotrophic respiration

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57 **Introduction**

58 Soils are substantial carbon (C) sinks, storing about 2157-2293 Pg C, ~3 times as in aboveground
59 vegetation (Batjes 1996; Cartmill 2011; Ciais et al. 2013). The soil-to-atmosphere C flux of 64-94 Pg
60 C yr⁻¹ globally, or soil respiration, also makes them significant C sources. This is ~30% of the total
61 terrestrial and marine atmospheric C contribution, and ~10 times the C contribution from
62 anthropogenic sources such as fossil fuel combustion (Raich and Schlesinger 1992; Baggs 2006;
63 Hashimoto et al. 2015; Le Quéré et al. 2017; Zhao et al. 2017; Bond-Lamberty 2018). Tropical
64 ecosystems are estimated to contribute >60% of the global soil CO₂ efflux (Bond-Lamberty and
65 Thomson 2010; Hashimoto et al. 2015), suggesting that even slight increases in soil respiration levels
66 in these regions can translate to large additions to global atmospheric CO₂ pools.

67 Increased atmospheric CO₂ levels are a major contributor to global warming (IPCC, 2013),
68 which in turn can feed back to influence soil CO₂ efflux. Many studies have reported warming-
69 induced increases in soil respiration in subtropical, temperate and boreal ecosystems (e.g. Buchmann
70 2000; Rustad et al. 2001; Conant et al. 2004; Bronson et al. 2007; Lu et al. 2013; Li et al. 2016;
71 Wangdi et al. 2017), and it is estimated that warming has accounted for a 3% increase in soil
72 respiration levels from 1989 to 2008 in tropical ecosystems as well (Bond-Lamberty and Thomson
73 2010). Warmer conditions can influence CO₂ fluxes by affecting both autotrophic respiration, from
74 plant roots and plant-associated symbionts such as arbuscular mycorrhizal fungi (AMF), and
75 heterotrophic respiration due to microbial (fungal and bacterial) and animal decomposers. Increasing
76 temperatures can lead to altered rates of metabolism in plant roots (Atkin et al. 2000), as well as
77 increased plant C investment in AMF leading to changes in root colonization levels, greater hyphal
78 growth and increased mycorrhizal respiration (Hawkes et al. 2008; Rudgers et al. 2014; Birgander et
79 al. 2017). Heterotrophic respiration can be affected under warmer conditions by changed soil
80 microbial biomass, community composition, bacterial:fungal ratios (Singh et al. 2010; DeAngelis et
81 al. 2015; Auffret et al. 2016), and microbial metabolism leading to altered decomposition rates (see
82 Classen et al. (2015) and references therein for a review of soil microbial (including AMF) responses
83 to warming).

84 The contributions of roots, AMF and microbial decomposers to soil respiration differ across
85 ecosystems. For instance, root respiration can contribute anything between 5 to >90% of the total CO₂
86 efflux from soils. Microbial decomposers have also been reported to contribute between ~30% and
87 >90% of the CO₂ efflux from soils, and may be correlated with the autotrophic respiration
88 contribution (Hanson et al. 2000; Bond-Lamberty et al. 2004). Ecosystems also differ in soil
89 temperature and soil moisture controls on soil respiration, with CO₂ efflux responding to changes in
90 either or both (e.g. Cartmill 2011; Wu et al. 2011; Liu et al. 2016; Hoover et al. 2016). While there are
91 a number of reports of CO₂ efflux measurements from tropical ecosystems (e.g. Bond-Lamberty and
92 Thomson (2010) and studies referred therein), there is a paucity of studies that have evaluated soil
93 respiration responses to experimental warming in these ecosystems (Aronson and McNulty 2009; Lu
94 et al. 2013). Consequently, there is a dearth of data on tropical soil respiration responses, relative
95 contributions of the autotrophic and heterotrophic components, and abiotic controls on CO₂ efflux
96 under increasing temperature regimes.

97 We evaluated soil respiration responses to simulated warming in a tropical montane grassland
98 ecosystem in the Western Ghats biodiversity hotspot, India, and assessed the relative contributions of
99 roots, AMF and microbial decomposers to soil CO₂ efflux, over 2 years. These montane grasslands
100 support high biodiversity but are also threatened as land use change has greatly reduced their extent,
101 and the remaining grasslands are believed to be particularly vulnerable to climate change (Sukumar et
102 al. 1995; Arasumani et al. 2018). In particular, we tested the prediction that soil respiration will be
103 higher under simulated warming than under ambient (control) conditions. In addition, we quantified
104 autotrophic and heterotrophic contributions to soil respiration in this ecosystem by measuring CO₂
105 efflux with and without plant roots and/or AMF hyphal components. We also assessed the roles of
106 instantaneous soil temperature and soil moisture in influencing instantaneous soil respiration in this
107 ecosystem.

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112 **Methods**

113 *Study area*

114 The experiment was conducted in tropical montane grasslands of the shola-grassland ecosystem, a
115 unique mosaic of grassland interspersed with pockets of stunted evergreen forests (sholas), found in
116 the higher reaches of the Western Ghats (Robin and Nandini 2012). These grasslands support several
117 species of grasses and herbs, and a variety of wild herbivores such as sambar (*Rusa unicolor*), gaur
118 (*Bos gaurus*), Asiatic elephant (*Elephas maximus*) and the endemic Nilgiri tahr (*Nilgiritragus*
119 *hylocrius*). These grasslands are representative of other montane grassland and forest-grassland
120 mosaics globally, such as Afromontane ecosystems (Kotze and Samways, 2001; Parr et al. 2014),
121 Campos-Araucaria forest mosaics in southern Brazil (Overbeck et al. 2007), and forest-patana
122 grassland mosaics in Sri Lanka (Gunatilleke et al. 2008).

123 Our experiment was located in the Avalanche area of the Nilgiris Biosphere Reserve (11.27°
124 N 76.55° E, elevation: ~2300 m), in the state of Tamil Nadu in southern India. The average annual
125 temperature in the region is 14.4 °C, and the average annual rainfall is 1847 mm ([https://en.climate-](https://en.climate-data.org/location/24046/)
126 [data.org/location/24046/](https://en.climate-data.org/location/24046/)). The majority of the precipitation in these grasslands occurs during the
127 South-West monsoon season from early June to early September, and the North-East monsoon season
128 from early October to early December, accounting for ~905 mm and ~528 mm rainfall on average,
129 respectively. Summer precipitation from early March to late May averages ~200 mm, while the winter
130 months from late December to late February are the driest (District Statistical Handbook, The Nilgiris,
131 2015-2016; <https://nilgiris.nic.in/documents/>). Temperatures peak around May (average minimum and
132 maximum temperatures are 12.07 °C and 21.7 °C, respectively), and are lowest around January (with
133 average minimum and maximum temperatures of 5.85 °C and 20.7 °C, respectively), with winter
134 temperatures frequently dropping below 0 °C.

135

136 *Experimental setup*

137 Open top chambers (OTCs)

138 To study soil respiration responses to simulated increasing temperature, we used 9 open top chambers
139 (OTCs), which are passive warming structures (Aronson and McNulty 2009; Godfree et al. 2011), and

140 adjacent paired control plots (1 m²) experiencing ambient temperature conditions. Three OTCs and
141 control plots each were set up within three 10 m × 20 m fences located in areas of similar slope and
142 aspect, in May and June 2014. The OTCs were hexagonal structures, 3 m in diameter and ~50 cm tall
143 (design modified from Godfree et al. (2011)). Iron frames supported the pyramidal structures, each
144 with five sides having acrylic/polycarbonate walls placed at an inclination of ~60° to the ground and
145 the sixth side left open after initial trials at our field site showed us that OTCs with all six sides closed
146 increased temperatures up to 11 °C, as opposed to up to 4 °C in the 5-sided OTCs (data not shown).

147

148 Respiration partitioning treatments

149 To assess autotrophic and heterotrophic contributions to soil respiration in our system and to measure
150 their responses to warming, we set up respiration collars within the OTCs and adjacent to the control
151 plots. Soils within these collars were ‘partitioned’ to measure respiration contributions of ‘full soil’,
152 soil without roots, and soil without roots and AMF (referred to here on as ‘partitioning treatments’;
153 protocol adapted from Marthews et al. 2014; Fig. 1). Each OTC/control plot had three collars, one
154 each for the three soil partitioning treatments, for a total of 54 collars. The partitioning treatments
155 were set up in the first week of November 2014.

156 The partitioning treatments consisted of polyvinyl carbonate (PVC) pipe collars (length: 40
157 cm, diameter: 10.6 cm) buried in the soil to a depth of 35 cm with 5 cm remaining above the ground.
158 Pits 35 cm deep were made at each collar location, and were re-filled with the same soil after inserting
159 the collar and sifting through the soil to remove all severed roots and other organic debris. The ‘full
160 soil’ treatment had collars with 4 circular holes of 4 cm diameter, with 2 pairs of holes drilled along
161 opposite sides of the collars (Fig. 1a). These holes allowed roots and AMF hyphae in the top 12 cm of
162 the soil to freely grow into the collars, and contribute to CO₂ efflux. Collars for the ‘soil without
163 roots’ treatment had similar holes drilled, but were covered with nylon meshes with 40µ pores to
164 allow the growth of AMF extraradical mycelium (ERM) into the collars, but not fine roots (Fig. 1b).
165 The ‘soil without roots and AMF’ treatment had collars with no holes, preventing the growth of roots
166 or AMF hyphae into them, thus allowing for CO₂ efflux measurement from root- and AMF-free soil
167 (Fig. 1c).

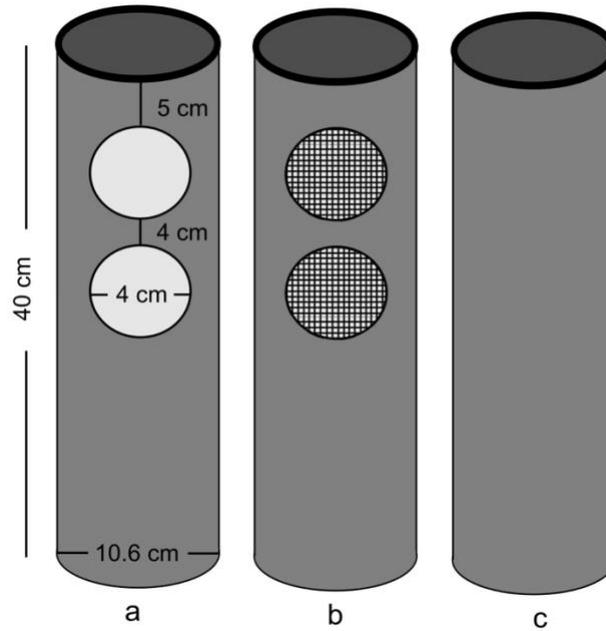


Fig. 1 A representation of the design and dimensions of the PVC pipe collars used for the soil partitioning treatments. (a) Full soil treatment, with circles representing the holes made on one side of the collars, (b) Soil without roots treatment, with circles representing holes covered by nylon meshes to keep out fine roots, and (c) Soil without roots and AMF treatment.

168 We tested the efficacy of the partitioning treatments using additional treatment collars set up
 169 in early October 2015, from which we collected soils and measured the amounts of roots, AMF
 170 hyphae and microbial biomass in November 2016. We found that the treatments were successful in
 171 allowing/preventing the growth of roots and/or AMF hyphae within the collars (detailed methods and
 172 results in Supplementary Information A). Further, to ensure that soil disturbance during the setting up
 173 of the collars did not affect soil respiration over the period of our study, we set up two types of
 174 ‘method control’ collars that were installed along with the treatment collars, within an OTC-control
 175 plot pair in each fence. One of these (designated as C1) consisted of PVC collars set up exactly as the
 176 other treatment collars, but without removing severed roots and other debris from the soils before
 177 filling in the collars after installation. The second (designated as C2) had PVC collars hammered into
 178 the ground to a depth of ~30 cm without displacing the soil before installation. We found that, after an
 179 initial spike, soil respiration in these ‘method control’ collars was indistinguishable from the ‘soil

180 without roots and mycorrhizae' treatment (details of methods and results in Supplementary
181 Information A). We therefore report only results from the three partitioning treatment collars.

182

183 *Soil respiration measurement and calculations*

184 Soil respiration in the partitioning treatment and control collars were measured at approximately 15-
185 day intervals from late November 2014 to late January 2017, for a total of 48 sampling days. We
186 used a portable IR-based gas analyser (Environmental Gas Monitor; EGM-4, PP Systems, USA), to
187 measure CO₂ flux. Alongside CO₂ flux measurements, we also measured ambient atmospheric
188 temperature within each OTC and control plot, and collar height (averaged across three measurements
189 per collar) at each measurement time point. These data were then used to calculate CO₂ efflux
190 following Marthews et al. (2014), as:

$$r_{uc} = \left(\frac{C_n - C_1}{t_n - t_1} \right) \left(\frac{P}{T_a} \right) \left(\frac{V_d}{A} \right) \left(\frac{44.01 \times 0.36}{R} \right) \text{ g CO}_2 \text{ m}^{-2} \text{ h}^{-1} \quad (1)$$

$$r_c = r_{uc} \left(\frac{V_d + V_{added}}{V_d} \right) \text{ g CO}_2 \text{ m}^{-2} \text{ h}^{-1} \quad (2)$$

191 where r_{uc} denotes soil CO₂ efflux calculated without correcting for the added volume of the
192 respiration collar, and r_c denotes CO₂ efflux corrected for volume in g CO₂ m⁻² h⁻¹; $C_n - C_1$ is the
193 CO₂ flux difference, typically between the last 10 readings per measurement, or between the first and
194 last flux values if the measurement had less than 10 readings, in ppmv; $t_n - t_1$ is the difference in
195 time, in seconds, over which the difference in CO₂ flux was calculated; P is ambient atmospheric
196 pressure, in mb, averaged over $t_n - t_1$ as measured by the EGM; T_a is atmospheric temperature in
197 Kelvin; V_d is volume within the EGM respiration chamber; A is the area of soil over which CO₂ flux
198 was measured; R is the Universal Gas Constant, 8.314 J K⁻¹ mol⁻¹; and V_{added} is the volume of the
199 respiration collar above the soil surface at the time of measurement. A more detailed discussion of the
200 method for measurement and calculation of CO₂ efflux can be found in Marthews et al. (2014).

201 To account for potential measurement errors in CO₂ flux while using the EGM in the field, we
202 assessed linearity of CO₂ accumulation for each collar for each sampling day using linear models of

203 CO₂ accumulation versus time, and only those measurements that satisfied the criteria that $R^2 \geq 0.9$
204 (Savage et al. 2008), and had a positive slope, were used for further analyses. Further, since some
205 values of measured CO₂ efflux were found to be unusually high or low, we excluded values that fell
206 beyond 3 SDs of the mean CO₂ efflux from the analyses. Our final analyses were based on 80.9% of
207 the original data collected.

208

209 *Temperature and soil moisture measurements*

210 Air temperatures were measured from December 2014 to January 2017 by placing iButtons
211 (Thermochron Temperature Data Loggers, Maxim Integrated, USA) 2-3 cm above the ground within
212 all 9 OTCs, and 3 control plots, one in each fence. Soil temperatures were measured from May 2015
213 to January 2016, by placing iButtons just below the soil surface in an OTC and control plot in each
214 fence. Data for some months are missing due to loss of iButton, or due to logging errors. We also
215 measured instantaneous soil temperature and soil moisture when quantifying soil respiration.

216 Instantaneous soil temperature measurements were done at 12.5 cm depth using a temperature probe
217 (HI145-00 and HI145-01, Hanna Instruments, USA), and instantaneous soil moisture measurements
218 were done over the top 12 cm of soil using a soil moisture meter (FieldScout TDR 100 Soil Moisture
219 Meter, Spectrum Technologies, USA), with 3 replicates in the vicinity of each soil respiration collar.

220

221 *Data analysis*

222 We used linear mixed effects models (LMMs) to test whether (a) soil respiration in warmed plots
223 differed from control plots, (b) CO₂ efflux was different in treatments where roots and/or AMF were
224 excluded from 'full soil' respiration levels, and (c) partitioning treatment effects were different in
225 warmed versus control plots. Warming (OTC/control), partitioning treatments (full soil, soil without
226 roots, soil without roots and AMF) and their interaction were used as fixed factors and collars nested
227 within plots, which were in turn nested within fences were used as random factors to account for
228 multiple measurements across time from the same respiration collars (Baayen et al. 2008; Zuur 2009;
229 Cunnings and Finlayson 2015). Soil respiration, measured at approximately 15-day intervals from
230 November 2014 to January 2017 per warming and partitioning treatment, was the response variable.

231 In all, there were 310-348 individual respiration measures per partitioning treatment per OTC/control
232 plot (median = 339.5), for a total of 1994 values. Soil CO₂ efflux values were log transformed before
233 analyses to meet model assumptions.

234 We then tested whether instantaneous soil temperature and soil moisture individually or
235 together best explained variation in soil respiration in the OTC and control plots using LMMs in
236 conjunction with AIC based model selection. Instantaneous soil temperature and soil moisture,
237 warming treatment and their interactions were as fixed factors and as in the previous analysis, collars
238 nested within plots, which were in turn nested within fences were the random factors. We only used
239 soil respiration and instantaneous soil moisture and soil temperature data from the ‘full soil’ collars
240 for these analyses. The fixed effects in the three candidate LMMs were (a) soil temperature × soil
241 moisture × warming treatment, (b) soil temperature × warming treatment, and (c) soil moisture ×
242 warming treatment. We also computed marginal and conditional R² values that give an indication of
243 the variation explained by only the fixed effects and the fixed and random effects, respectively, for all
244 three candidate models (Nakagawa and Schielzeth 2013). Again, soil CO₂ efflux values were log
245 transformed before analyses to meet model assumptions.

246 We used the R package *lme4* to conduct all the mixed effects models (Bates 2010; Bates et al.
247 2014, Kuznetsova et al. 2015; Bates et al. 2017), the *lmerTest* package to conduct *t*-tests using
248 Satterthwaite approximations for the degrees of freedom, and the *car* package to conduct Type II
249 Wald chi-square tests to assess the statistical significance of the fixed effects (Bates et al. 2014, Bates
250 et al. 2017). Marginal and conditional R² values were computed using the *piecewiseSEM* package
251 (Lefcheck 2016). All analyses were conducted using R version 3.2.4 (The R Foundation for Statistical
252 Computing, 2016). The data and the R code are available in Supplementary Information B and C.

253

254 **Results**

255 *Temperature and soil moisture between treatments*

256 Average daily soil temperatures of control and OTC plots over the period of the study was 16.10 ±
257 0.13 °C and 17.53 ± 0.15 °C, respectively (over 277 measures from 146 days each). The difference in
258 mean daily temperature between OTC and control plots averaged 1.41 ± 0.08 °C overall (Fig. 2a),

259 with a maximum temperature increase of 3.43 °C ($t = 16.751$, $df = 145$, $P < 0.001$, 95% CI = 1.24,
260 1.57; results from a one-sample t -test, with H_0 that the true mean = 0). Monthly averages of soil
261 temperatures ranged from 14.55-21.67 °C in the controls and 16.09-22.93 °C in the OTCs (Fig. 2b).
262 Average daily air temperature responses were smaller than soil temperature responses to warming,
263 with the difference in mean air temperatures of OTCs and control plots per day averaging 0.10 ± 0.04
264 °C (details in Supplementary Information A and Fig. S1).

265 Soil moisture was lower in the OTCs than in the control plots (Fig. 2c), with averages of
266 $23.74 \pm 0.76\%$ and $25.98 \pm 0.81\%$, respectively (from 421 and 429 estimates, respectively, across 27
267 months). The average difference in soil moisture levels between control and OTC plots was 2.22% (t
268 = 11.36, $df = 420$, $P < 0.001$, 95% CI = 1.84, 2.61; results from a one-sample t -test, with H_0 that the
269 true mean = 0). Soil moisture levels ranged from 3.26-64.30% and 2.60-58.88% on average in the
270 controls and OTCs, respectively (Fig. 2d).

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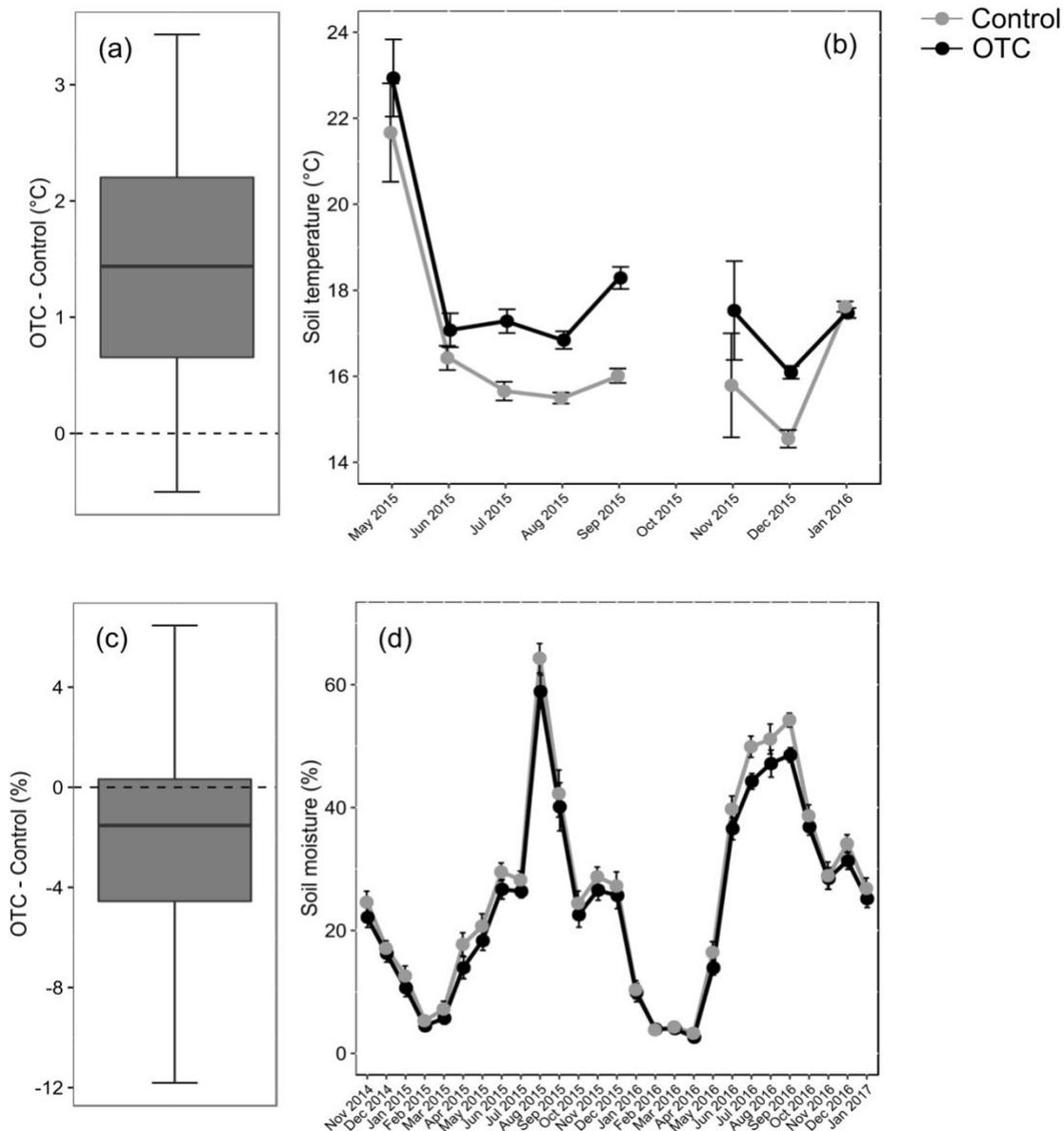


Fig. 2 Differences between OTCs and control plots for (a) daily averages of soil temperature and (c) fortnightly measures of soil moisture. Monthly averages of (b) air temperature and (d) soil moisture over replicate plots in the control (grey dots) and OTC plots (black dots). Error bars in (b) and (d) are 1SE around the mean.

282 *Effects of warming and partitioning treatments on soil respiration*

283 Warming significantly increased soil respiration by 55-89% compared to control levels in all three
284 partitioning treatments ($P < 0.05$). The three partitioning treatments, however, were statistically
285 indistinguishable from each other in both controls and OTCs (Table 1, Fig. 3). Average soil
286 respiration over the entire duration of the experiment and across partitioning treatments was
287 $0.62 \pm 0.01 \text{ g CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$ in the controls and $1.16 \pm 0.03 \text{ g CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$ under warmed conditions.
288 Mixed effects model estimates of the average CO_2 efflux levels (and 95% CIs) in the three
289 partitioning treatments were 0.51 (0.40, 0.65), 0.56 (0.44, 0.72) and 0.46 (0.36, 0.59) $\text{g CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$,
290 respectively, in the control treatment, and 0.79 (0.62, 1.02), 0.94 (0.73, 1.20) and 0.87 (0.68, 1.12) g
291 $\text{CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$, respectively, in the OTCs.

292 Soil respiration also differed by month mirroring the seasonality of our study system (Fig. 4).
293 Overall, control plots recorded minimum soil respiration of $0.16 \pm 0.02 \text{ g CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$ in March 2015,
294 while respiration peaked to $1.10 \pm 0.12 \text{ g CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$ in September 2016; while the OTCs recorded
295 minimum and maximum soil respiration levels of $0.63 \pm 0.07 \text{ g CO}_2 \text{ m}^{-2} \text{ hr}^{-1}$ and $1.84 \pm 0.36 \text{ g CO}_2 \text{ m}^{-2}$
296 hr^{-1} , in February 2015 and April 2016, respectively (Fig. 4). Responses per partitioning treatment
297 were similar to this overall warming effect (Supplementary Information A).

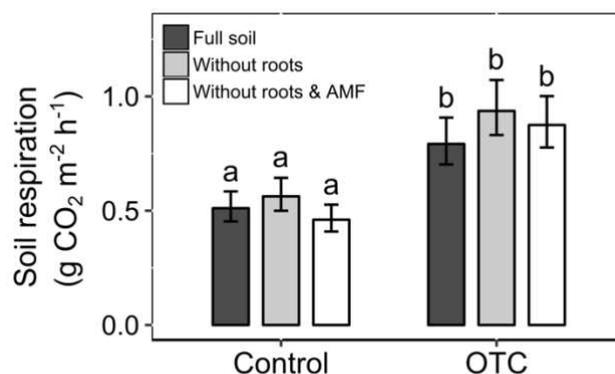


Fig. 3 Average soil respiration in control and warmed conditions in the three partitioning treatments. ‘Full soil’ treatment is represented by dark grey bars, ‘soil without roots’ by light grey bars, and ‘soil without roots and AMF’ by white bars. Means and error bars (1SE around the mean) obtained from the mixed effects model used for analysis. Different letters indicate statistically significant differences among treatments ($P < 0.01$ or lesser).

Table 1 Summary of LMM results of soil respiration responses to warming treatment, partitioning treatments, and instantaneous soil temperature and instantaneous soil moisture.

SI No.	Predictors	Effect	Wald chi-square	df	<i>P</i>
1.	Warming and partitioning treatments	Warming	44.7641	1	<0.001
		Partitioning treatment	2.5216	2	0.28
		Warming × Partitioning treatment	1.1280	2	0.57
2.	Instantaneous soil moisture and soil temperature, and warming	Soil moisture	57.8397	1	<0.001
		Soil temperature	0.0005	1	0.98
		Warming	9.7556	1	0.002
		Soil temperature × Soil moisture	12.7965	1	<0.001
		Soil temperature × Warming	0.1610	1	0.69
		Soil moisture × Warming	2.8782	1	0.09
3.	Instantaneous soil moisture and warming	Soil moisture	57.1421	1	<0.001
		Warming	9.1714	1	0.002
		Soil moisture × Warming	5.1778	1	0.023

Values in section 2 are from the ‘full model’, an LMM with soil moisture, soil temperature, warming treatment and interactions as fixed effects.

Values in this section 3 are from the ‘best model’ (see Table 2), an LMM with soil moisture, warming treatment and their interactions as fixed effects.

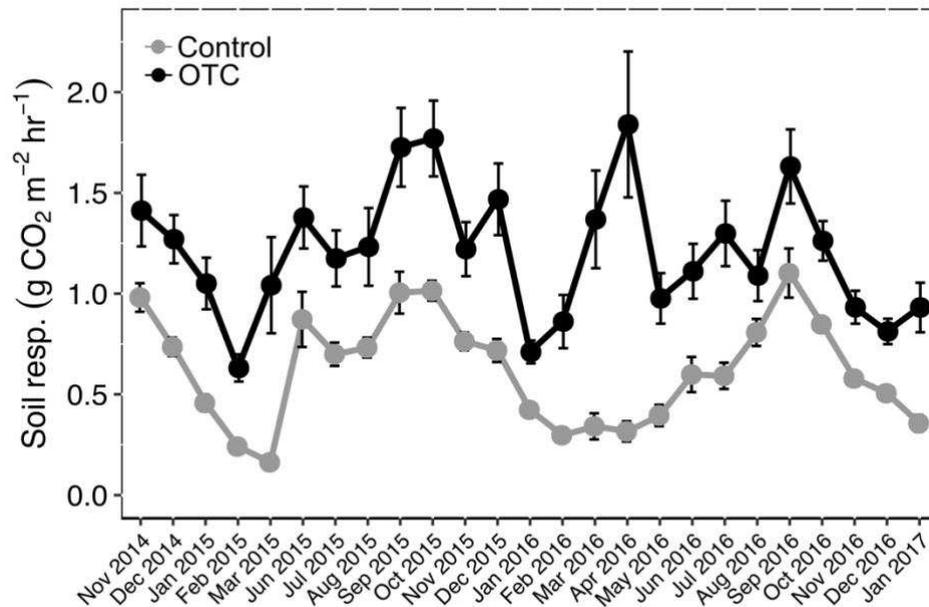


Fig. 4 Average soil respiration in control and OTC plots averaged per month, across partitioning treatments. Dots in grey represent control plots and those in black represent OTCs. Error bars represent 1SE around the mean.

298 *Effects of instantaneous soil temperature and moisture on soil respiration*

299 The most parsimonious model predicting soil respiration in our system was the model that included
 300 warming treatment, instantaneous soil moisture and their interaction as predictors (Table 2). The
 301 addition of instantaneous soil temperature to the model does not improve marginal and conditional R²
 302 values by much (Table 2). Soil respiration was higher in the warmed plots ($P = 0.002$; Table 1), and
 303 increased as soil moisture increased ($P < 0.001$; Table 1). However, the effect of warming on soil
 304 respiration was more pronounced under low soil moisture conditions (soil moisture \times warming: $P =$
 305 0.023; Table 1; Fig. 5). Given that the partitioning treatments were statistically indistinguishable, only
 306 data from the ‘full soil’ treatment were used for these analyses.

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Table 2 Model comparisons of LMMs to assess instantaneous soil moisture and/or temperature and warming treatment effects on soil respiration. Marginal and conditional R^2 values give an indication of the variation explained by the fixed effects only and the fixed and random effects together, respectively, in mixed effects models.

Model (fixed effects)	AIC	Δ AIC	R^2_{marginal}	$R^2_{\text{conditional}}$
Inst. soil moisture \times Inst. soil temperature \times Warming	1455.884	28.524	0.16	0.30
Inst. soil temperature \times Warming	1478.419	51.059	0.06	0.22
Inst. soil moisture \times Warming	1427.360	0	0.15	0.28

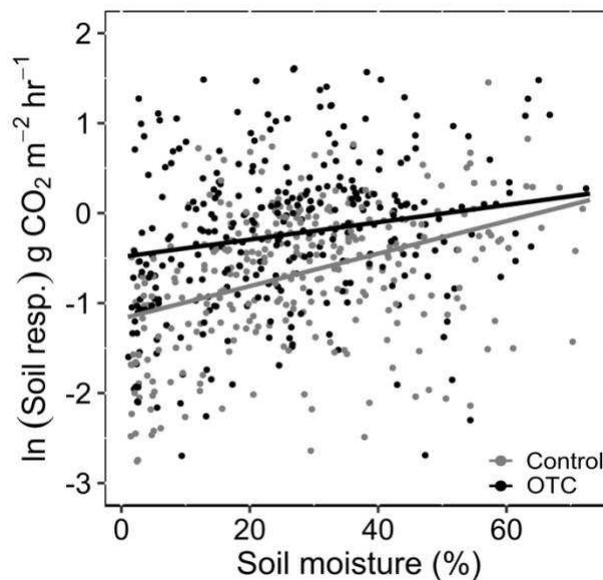


Fig. 5 Soil respiration under control and warmed conditions in the ‘full soil’ treatment are positively related to average soil moisture. Control: $\ln(\text{CO}_2 \text{ efflux}) = -1.17 + (0.02 \times \text{soil moisture})$; OTC: $\ln(\text{CO}_2 \text{ efflux}) = -0.49 + (0.01 \times \text{soil moisture})$. Both slopes are significantly different from 0 ($P < 0.001$ in both cases). Slopes were obtained from linear mixed effects model analysis. Data for control plots are represented in grey and OTCs in black.

311 **Discussion**

312 Our study shows that even small increases in soil temperature (1.41 °C) greatly influence soil
313 respiration rates in a tropical montane grassland ecosystem in the Western Ghats. Further, soil
314 respiration was largely heterotrophic, and increased with soil moisture in these grasslands. Warming
315 effects on soil respiration were more pronounced in drier soils, while soil respiration is unrelated to
316 instantaneous soil temperature in the region.

317 Soil respiration in the study site was largely heterotroph-driven. Heterotroph-dominant soil
318 respiration, as observed in this study system, has been reported from several non-forest ecosystems,
319 such as grasslands, croplands and oak savannas, and also some temperate forests, although autotrophs
320 generally contribute ~50% of the soil respiration in forest ecosystems (Kelting et al. 1998; Buchmann
321 2000; Hanson et al. 2000; Melillo et al. 2002; Scott-Denton et al. 2006; Cartmill 2011). Heterotrophic
322 contributions to soil respiration have been shown to correlate strongly with soil detritus levels (Bond-
323 Lamberty et al. 2004) and increase with increasing soil nitrogen availability (Rodeghiero and Cescatti
324 2006). Heterotroph contributions to soil respiration are also potentially influenced by other factors
325 such as vegetation and soil microbial community composition, net primary production and litter
326 quality, though which of these factors underlie heterotroph dominated respiration in these montane
327 grasslands is unclear.

328 Another potential reason for the lack of differences in soil respiration levels among
329 partitioning treatments in this study is soil microbial biomass changes following loss of plant roots
330 and AMF hyphae. Plants and microbes compete for soil resources (such as N), and removal of plant
331 roots can lead to increases in decomposer biomass, which in turn can increase soil CO₂ efflux levels,
332 masking the loss of the autotrophic contribution to soil respiration. In this study, while microbial
333 biomass carbon (MBC) increases with root exclusion, there are no increases in microbial biomass
334 with AMF hyphal exclusion (Supplementary Information A, Fig. S2). This suggests that the lack of
335 differences in soil respiration levels between treatments in this study is not entirely a consequence of
336 decomposer biomass increases in the root- and AMF- free soil. In other words, MBC responses to root
337 and AMF hyphal exclusion in this study further supports the conclusion that soil respiration in our
338 system is heterotroph dominated. Another potential reason for the lack of differences in soil

339 respiration we see between the root exclusion and ‘full soil’ treatments is diffusion of CO₂ from
340 below the root exclusion layer. While root exclusion to the depth of 20-40 cm is common in
341 respiration partitioning literature, the absence of roots (and mycorrhizal) contributions has been
342 shown to create a CO₂ gradient due to respiration by the small amounts of fine roots that may exist in
343 deeper soil layer. This can in turn lead to increased CO₂ diffusion to the upper layers, masking, in
344 part, the full extent of CO₂ depletion due to root (and AMF) exclusion (Jassal and Black 2006).

345 Soil CO₂ efflux levels in this experiment nearly doubled, from 0.62 g m⁻² hr⁻¹ under ambient
346 temperature conditions to 1.16 g m⁻² hr⁻¹ within the OTCs. This is in agreement with empirical
347 findings from other ecosystems including grasslands, as well as theoretical studies suggesting
348 increases in soil respiration under climate change in the tropics (Schindlbacher et al. 2009; Bond-
349 Lamberty and Thomson 2010; Lu et al. 2013; Wang et al. 2014). The observed increases in
350 respiration under warmer conditions can be driven by several mechanisms. First, greater soil CO₂
351 efflux can result from greater microbial metabolism under warmed conditions, given that heterotrophs
352 contribute the majority of the respiration in this system (Schindlbacher et al. 2011; Luo et al. 2014).
353 Previous studies have also shown that autotroph and heterotroph respiration responses to changing
354 temperature regimes can be very different, with heterotrophs, rather than autotrophs, reported to be
355 more sensitive to increasing temperatures (Wei et al. 2010; Li et al. 2013; Wang et al. 2014).
356 Heterotroph contributions to soil respiration, globally, have increased from 54% to 63% from 1990 to
357 2014, potentially in response to changing climate (Bond-Lamberty et al. 2018). Second, higher levels
358 of labile C under warmed conditions can drive shifts to a more ‘rapid’ nutrient and C cycling system,
359 leading to greater soil respiration (Metcalf et al. 2011; Luo et al. 2014).

360 Soil respiration responses to warming can also be mediated by microbial community shifts,
361 and soils with different microbial community compositions have been demonstrated to respond
362 differently to temperature increases (Auffret et al. 2016). For instance, warming has been shown to
363 promote certain bacterial phyla over fungal phyla (Luo et al. 2014), and increased bacteria:fungi ratios
364 are associated with faster C and nutrient cycling (Wardle et al. 2004). Fungal communities, too, have
365 been demonstrated to shift under warming to favour taxa that are better decomposers of recalcitrant C
366 (Treseder et al. 2016), which would then amplify CO₂ efflux from these soils. However, at present, it

367 is not clear which of these mechanisms may be driving warming-mediated increases in soil respiration
368 in our study system.

369 Instantaneous soil temperature was found to be a weak predictor of soil respiration in this
370 system. Respiration responses to the warming treatment, however, suggest a positive effect of
371 shallow-soil temperature on respiration over longer timescales. Soil respiration was positively related
372 to instantaneous soil moisture in these markedly seasonal montane grasslands, with clear wet and dry
373 seasons. Moisture effects on (especially heterotrophic) soil respiration have been widely reported.
374 Moisture affects respiration via its influence on several physiological, biochemical and ecological
375 factors such as decomposer substrate availability, nutrient and dissolved organic matter mobility,
376 osmoregulation and changes in microbial community composition (Orchard and Cook 1983; Scott-
377 Denton et al. 2006; Wei et al. 2010; Yan et al. 2009; Moyano et al. 2013). Further, experiments in
378 temperate ecosystems have demonstrated that while soil respiration minima correspond to low
379 temperature conditions, peaks coincide with the ‘growing season’, often responding to moisture rather
380 than temperature maxima (Heinemeyer et al. 2012; Hoover et al. 2016; Liu et al. 2016).

381 While soil respiration peaked in the wet season in this study system, warming amplified soil
382 respiration during the dry season. Warming-mediated amplification of respiration during the drier
383 months can be because some of the driest months in these montane grasslands are also the coldest,
384 during which soil microbes under warmed conditions will likely have greater metabolism leading to
385 the higher levels of respiration. Overall, we see the highest respiration levels under wetter and warmer
386 conditions. Indeed, soil temperature and moisture have been shown to have combined effects on soil
387 respiration in several ecosystems (Hursh et al. 2017). Further, a modelling study analysing global soil
388 respiration responses to environmental factors also suggests that the regions with high soil respiration,
389 globally, are associated with both high temperature and precipitation (Hashimoto et al. 2015).

390 On the whole, the present study indicates that warming is likely to substantially increase soil
391 respiration levels in this tropical montane grassland ecosystem, with effects more pronounced under
392 drier conditions. While the mechanisms behind soil respiration responses to warming in our study
393 system are as yet unclear, our results suggest that decomposers play a major role in regulating
394 observed soil CO₂ efflux responses to warming. In the longer term, acclimation over time of roots,

395 AMF and other soil components to altered temperature regimes, or depletion of resources such as
396 water or labile carbon, might alter CO₂ efflux responses to warmer temperatures (Atkin et al. 2000;
397 Luo et al. 2001; Melillo et al. 2002; Kirschbaum 2004; Heinemeyer et al. 2006; Auffret et al. 2016;
398 Romero-Olivares et al. 2017). Soil respiration can also be affected in the long term by warming-
399 mediated alteration of factors such as vegetation composition and structure (Cartmill 2011; Metcalfe
400 et al. 2011; Rudgers et al. 2014; Mayer et al. 2017), length of growing season (Rustad et al. 2001),
401 AMF species pool (Kim et al. 2015) and decomposer community composition (Zogg et al. 1997;
402 DeAngelis et al. 2015). Other global change factors, such as increased atmospheric nutrient
403 deposition, can also influence warming effects on plants and microbes (Olsson et al. 2005). Future
404 longer term studies that also estimate warming-induced changes in other parameters such as
405 vegetation growth, foliar respiration, soil microbial biomass and other components of soil C are
406 needed to assess the net contribution of these ecosystems as sources or sinks of carbon. Further, finer-
407 scale temporal measurements of soil temperature, moisture and soil respiration can lead to
408 quantification of parameters such as temperature sensitivity of soil respiration, and thereby, better
409 characterization of carbon fluxes in this ecosystem.

410

411 **Acknowledgements:** We are very grateful to Suseelan K, A Selvakumar, Siva and Manikantan,
412 whose help made the experiment possible. We also gratefully acknowledge several past and current
413 members of the BEER lab at NCBS, especially Siddharth Iyengar, Chandan Pandey, Atul Joshi,
414 Dincy Mariyam, Harinandanan P V, and Chengappa S K, and many residents of Emerald village in
415 the Nilgiris for their generous help with field work. We thank the Tamil Nadu Forest Department for
416 permission to conduct the experiment. The Rufford Foundation and National Centre for Biological
417 Sciences provided funding for this study.

418

419 **Funding:** This study was partially funded by the Rufford Foundation (Grant no.: 17009-1 to YVT),
420 who had no further involvement in the conducting the study or writing the manuscript.

421

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Main article: Soil respiration in a tropical montane grassland ecosystem is largely heterotroph-driven and increases under simulated warming

Supplementary information A

Air temperature measurements between treatments

As mentioned in the main text (Methods section), air temperatures were measured within all 9 OTCs and in 3 of the control plots using iButtons from May 2015 to January 2016. Over the period of the study, average daily air temperatures of control plots and OTCs were 15.65 ± 0.08 °C (from 793 measures over 371 days) and 15.42 ± 0.05 °C (from 1985 measures over 371 days), respectively. However, the difference in mean air temperatures of OTCs and control plots per day averaged 0.10 ± 0.04 °C (Fig. 2c), with a maximum air temperature increase in the OTCs of 2.62 °C ($t = 2.7453$, $df = 370$, $P = 0.0063$, 95% CI = 0.03, 0.18; results from a one-sample t -test, with H_0 that the true mean = 0). Average monthly air temperatures ranged 12.34-18.46 °C in the controls and 12.55-19.15 °C in the OTCs (Fig S1).

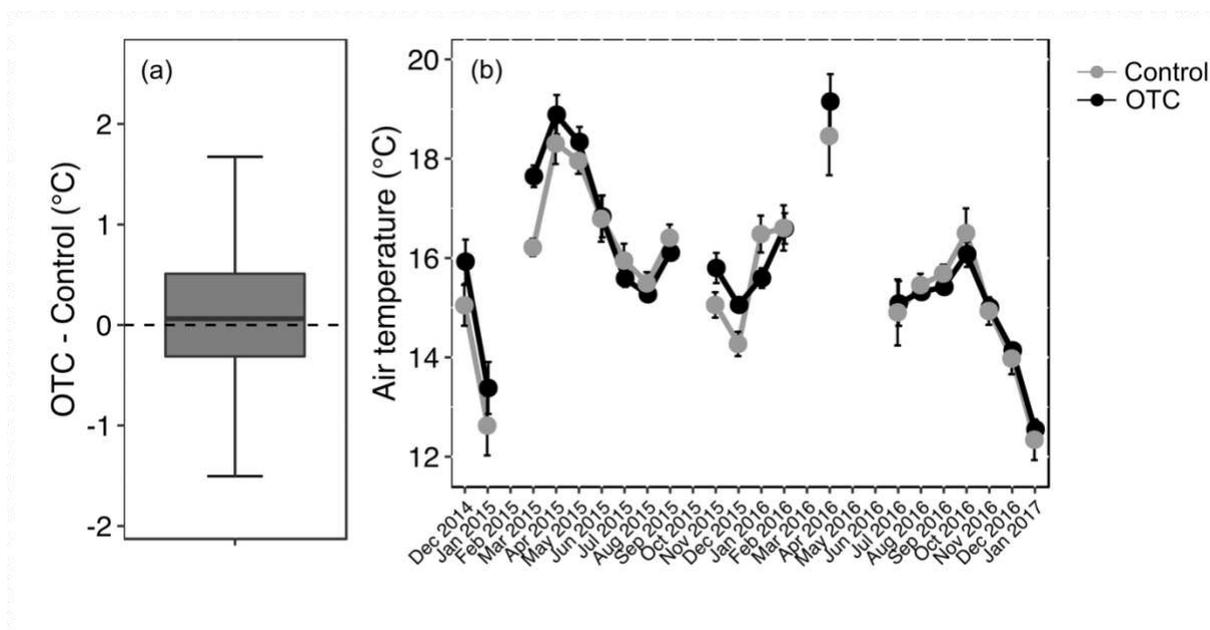


Fig. S1 (a) Overall daily average differences between OTCs and control plots in air temperature, and (b) air temperature averaged per month in the control and OTC plots. Dots in grey denote control plots and those in black denote OTCs. Error bars in (b) are 1SE around the mean.

Testing the efficacy of the partitioning treatments and evaluating the effect of soil disturbance during experimental setup on soil respiration

Methods

To measure the efficacy of the soil partitioning treatments in allowing/preventing the growth of roots and/or arbuscular mycorrhizal fungal (AMF) hyphae into the collars, 9 additional collars, one for each partitioning treatment in each of the three fences, were set up in early October 2015, outside the open top chambers (OTCs) and control plots. We harvested soil from these collars in November 2016 and transported the samples to the National Centre for Biological Sciences, Bangalore, to measure root biomass, AMF extraradical mycelial (ERM) length and soil microbial biomass. The samples were stored at 4 °C till they were analysed.

To measure root biomass, soils from these samples were sifted to extract roots, which were then dried at 60 °C for 48 hr and weighed.

AMF ERM lengths in all samples were measured following Brundrett and others (1994). Briefly, 5g of each airdried soil sample was suspended for 30 min in dilute sodium hexametaphosphate (Calgon) solution, aliquots of which were then passed through a 20 μ nylon membrane on a vacuum filter to extract hyphae. These were then re-suspended in and incubated for 1.5 hr in trypan blue stain. Stained hyphae were extracted onto gridded 1.2 μ cellulose nitrate filters, which were then air dried, placed on glass slides, cleared with low viscosity, low fluorescence immersion oil and observed under 400 \times magnification. Intersections of stained aseptate hyphae with a 10 \times 10 grid on an eyepiece graticule were counted over 50-65 (median = 55) microscopic fields of view scanning across each sample slide, and hyphal length per slide was calculated. A subsample (3 g) of each soil sample was used to measure gravimetric water content (as the difference in soil subsample weight before and after drying at 110 °C for 48 hr, per g dry weight of soil), and these data, along with the volume of solution that was used to dilute the samples, were used to calculate AMF ERM length per g dry weight of soil (Brundrett and others 1994).

Microbial biomass carbon (MBC) was estimated using substrate induced respiration and titration (method adapted from Anderson & Domsch 1978; Höper 2006; Fanin and others 2011).

Briefly, 10 g dry weight equivalent of each air dried soil sample was pre-incubated at 30 °C at near 80% water holding capacity (WHC) for 72 hr in airtight plastic containers. Glucose (1.6 g per g dry soil) was then added as solution to the samples, bringing the soils up to 80% WHC, immediately after which a vial with 2 ml 2N NaOH was placed in the containers to serve as the alkali CO₂ trap. The sealed containers were then incubated for 24 hr at 30 °C. Two airtight containers without soil samples but with vials containing the NaOH trap were also kept for incubation to serve as controls. After incubation, NaOH in the traps were titrated with phenolphthalein indicator against 0.5N HCl to estimate CO₂ release, which was then used to calculate MBC per kg dry weight of soil (Höper 2006).

In order to ascertain that soil displacement and handling during partitioning treatment setup did not affect soil respiration over the period of our study, two types of ‘method control’ collars were installed along with the treatment collars. One of these (designated as C1) consisted of 40 cm PVC pipes without holes, inserted to 35 cm depth, similar to the treatment collars, but without sifting through the soil to remove roots and organic debris. The second (designated as C2) consisted of similar PVC pipes hammered into the soil (to a depth of ~30 cm), without displacing the soil prior to installation. Respiration measurements from these controls were expected to be similar to the ‘soil without roots and AMF’ treatment, after an initial spike in CO₂ efflux as severed roots and other debris are decomposed. A collar each for the C1 and C2 controls were set up in one OTC-control plot pair in each of the three fences, making up an additional 12 collars.

Data analysis

We tested the efficacy of the partitioning treatments in allowing/preventing the growth of roots and AMF into the collars, and their effect on soil microbial biomass carbon, using linear models with root dry weight, AMF ERM length or MBC as the response variable, and partitioning treatment as the predictor. The statistical similarity of soil respiration from the method control collars (C1 and C2) and the ‘soil without roots and AMF’ treatment was assessed using a linear mixed effects model (LMM). Partitioning treatment including the method controls and warming treatment were the fixed effects and collar nested within plots nested within fences were used as the random factor to account for repeated measures from the same collars. The R package *lme4* was used to build the mixed effects

model, and *lmerTest* and *car* packages were used to assess the statistical significance of the fixed effects (Bates 2010; Bates and others 2014, Kuznetsova and others 2015; Bates and others 2017). All the analyses were conducted using R version 3.2.4 (The R Foundation for Statistical Computing, 2016).

Results

The soil partitioning treatments were effective in allowing/preventing the growth of roots and AMF extraradical mycelium into the collars. Root biomass differed significantly between the partitioning treatments ($F = 10.113$, $df = 2$, $P = 0.012$), with ~10 times higher biomass ($P = 0.008$) in the ‘full soil’ treatment, at 1.22 ± 0.35 g, than in the other two treatments designed to keep out fine roots, which had 0.12 ± 0.01 g and 0.11 ± 0.03 g roots, respectively (Fig. S2a). The treatments also differed in AMF ERM levels, though treatment effect was not statistically significant ($F = 3.8173$, $df = 2$, $P = 0.085$). ‘Soil without roots and AMF’ treatment had the lowest ERM levels of 1.08 ± 0.95 m g⁻¹ dry soil, differing significantly from the ‘soil without roots’ treatment ($P = 0.033$), which had the highest ERM levels at 3.56 ± 0.17 m g⁻¹ dry soil, followed by the ‘full soil’ treatment with ERM of 2.23 ± 0.52 m g⁻¹ dry soil (Fig. S2b). Partitioning treatment was not a statistically significant predictor for soil MBC ($F = 4.3571$, $df = 2$, $P = 0.068$). However, the ‘full soil’ treatment had the lowest MBC levels at 212.94 ± 25.05 mg kg⁻¹ dry soil. This was significantly lower than the ‘soil without roots’ treatment ($P = 0.026$), which had the highest MBC levels at 325.68 ± 33.14 mg kg⁻¹ dry soil, followed by the ‘soil without roots and AMF’ treatment that had 263.05 ± 21.70 mg kg⁻¹ dry soil MBC (Fig. S2c).

Further, soil disturbance during collar setup did not influence soil respiration measurements. An LMM with all respiration collar treatments (the three partitioning treatments and the two method controls, C1 and C2) as the predictor, suggested that C1 and C2 were statistically indistinguishable from the ‘soil without roots and AMF’ treatment ($P = 0.39$ and 0.65 , respectively).

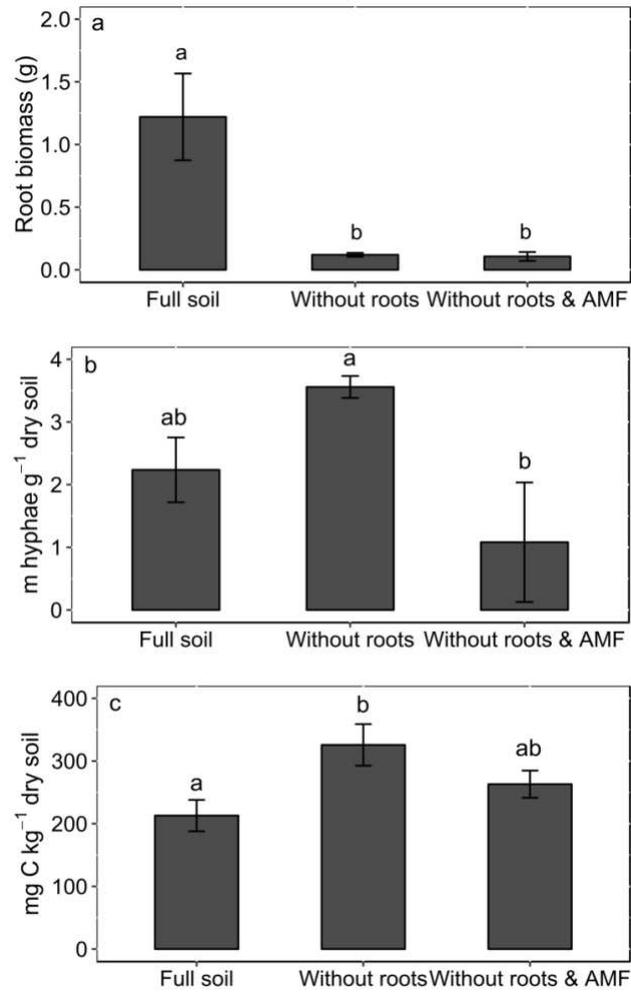


Fig. S2 Estimates within each partitioning treatment for (a) root dry weight, (b) AMF extraradical mycelium length, and (c) microbial biomass carbon. Error bars are 1SE about the mean, with all values obtained from fixed effects statistics in the mixed effects models used for analyses. Different letters indicate statistically significant differences among treatments ($P < 0.05$).

Warming effect on soil respiration per partitioning treatment

In the main text, given that the three partitioning treatments were statistically indistinguishable, we present monthly respiration data in the OTC and control plots across all partitioning treatments. Here, we present these data separated by partitioning treatment (Fig. S3).

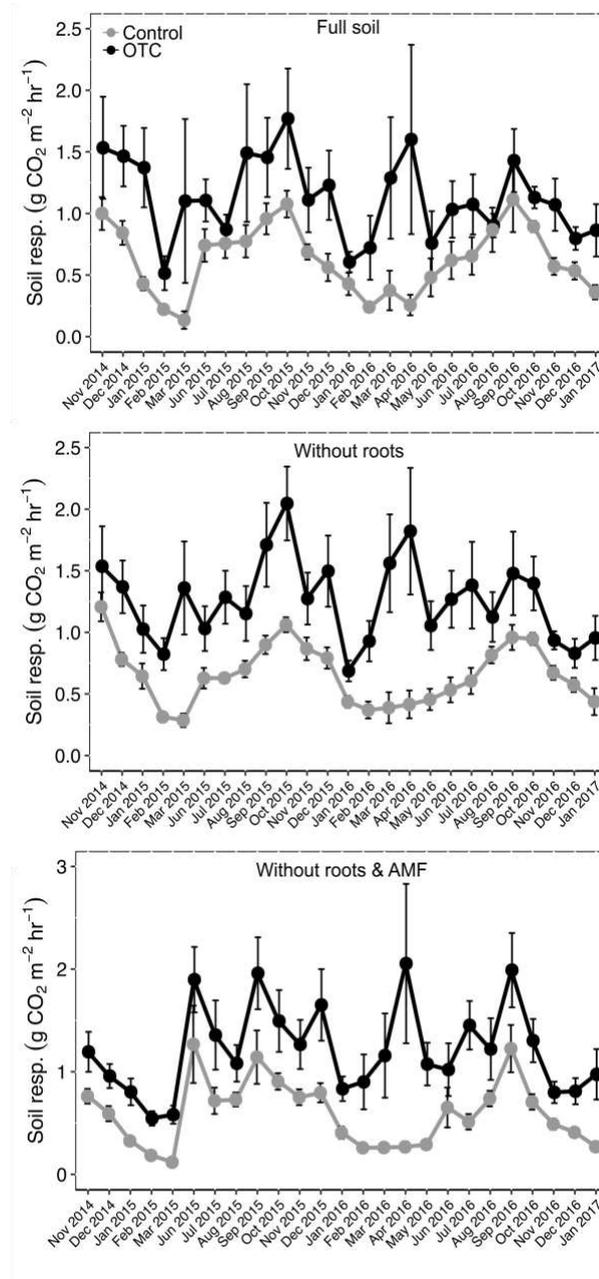


Fig. S3 Average soil respiration in control and OTC plots averaged per month, in each of the partitioning treatments. Dots in grey represent control plots and those in black represent OTCs. Error bars represent 1SE around the mean.

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