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# Deciphering the stroke-built environment nexus in transitional cities: conceptual framework, empirical evidence, and implications for proactive planning intervention

## Abstract

Adverse lifestyle-associated health outcomes, and stroke in particular, have been aggravated in transitional countries under high-speed urbanisation. Against this backdrop, deciphering the nexus between built environments (BEs) and lifestyle-associated health outcomes is of importance for crafting proactive interventions. The existing literature on this topic, however, fails to sufficiently capture the multiplicity of health-related BEs and, in turn, the complexity of such a nexus, largely challenging the applicability of established frameworks and the reliability of relevant findings.

Looking at the case of stroke in Wuhan, China, this research aims to flesh out the understanding of the nexus between multidimensional BEs and lifestyle-associated health outcomes in transitional cities, with regards to conceptual framework and empirical evidence. To this end, we clarified stroke-related BE elements and integrated them into one conceptual framework. We then visualised stroke risk and examined its BE determinants using the Bayesian conditional autoregressive model. The visualisation results showed that stroke risks exhibited significant clustering in the high-density urban core. The statistical analysis found that, after the data were controlled for sociodemographic characteristics, net population density and building density were positively associated with stroke risk. In contrast, an abundance of public parks and institutional land use and access to medical care facilities have presented negative correlations with stroke risk, regardless of urban density. Our research reveals that compact urban developments might not be a silver bullet for health promotion in transitional cities, calling for an urgent need to scrutinise their applicability. Moreover, providing better access to these identified salubrious resources is crucial for offsetting the adverse effects of increasingly dense urban environments. Furthermore, we argue that the establishment of comprehensive conceptual frameworks that connect BEs and lifestyle-associated health outcomes deserves to be highlighted in further research, planning intervention schemes, and health impact assessment projects.

**Keywords:** Built environment; Stroke; Lifestyle-associated health outcome; Transitional city; China

#### 1 1. Introduction

2 Since 1978 and the establishment of the free market in China, the country has been experiencing rapid urbanisation (Gu, Kesteloot, and Cook 2015). Similar to the most 3 transitional countries (see Eckert and Kohler (2014) and Cyril, Oldroyd, and Renzaho 4 5 (2013) for reviews), the accelerating urbanisation in post-reform China has 6 dismayingly created numerous representative health problems. During this period, major changes to demographic structures and lifestyles have been catalysed, which in 7 turn raise nonnegligible health challenges. The ageing population has 8 9 disproportionally grown due to decades of sub-replacement fertility and the escalation of life expectancy (Xie et al. 2018). In the context of increasing prosperity, people 10 11 (urban residents in particular) have been living more sedentary lifestyles (Monda et al. 2007); the transition of nutrition dietary patterns, characterised by the increased 12 consumption of processed food, has also become prevalent (Wilkinson 2004). 13 In addition, built environments (BEs), which are defined as manmade elements of the 14 physical environment, e.g., land-use patterns, transport routes, open spaces, and 15 buildings (World Health Organization 2009), have been radically reshaped. The 16 considerable transformation to BEs in China, e.g., the explosive growth in density 17 18 (Shi et al. 2017), the shrinkage of urban green space (Ren et al. 2011), and the homogenisation of land use, has largely contributed to the deteriorated air quality 19 20 (Yuan, Ng, and Norford 2014), aggravated automobile dependency (Jiang et al. 2016), and limited leisure-time environments (Zhang et al. 2014). 21 22 Against this backdrop, China has seen a remarkable epidemiological transition from a 23 predominance of infectious diseases to one of adverse lifestyle-associated health

outcomes, such as obesity, cancers, and cardiovascular diseases (Su et al. 2016, Zhu et

25 <u>al. 2011</u>). Of the various diseases, stroke — a type of acute cardiovascular disease —

has become China's biggest killer. More than 1.5 million Chinese residents die from

27 stroke each year (<u>Liu et al. 2011</u>), and its prevalence is growing rapidly at an annual

28 rate of 8% (<u>NCCD. 2017</u>).

29 It should be noted that these alarming figures represent, to a large extent, the epitome

30 of the exacerbated burden of stroke and of adverse lifestyle-associated health

- 31 outcomes in transitional countries over recent decades. Stroke is the second leading
- 32 cause of death worldwide, and three out of four stroke deaths occur in developing

countries (Feigin et al. 2015). From 1990 to 2010, the stroke incidence increased by 33 12% in low- and middle-income countries, whilst this figure exhibited a significant 34 downward trend in their higher income counterparts (Feigin et al. 2014). Within this 35 context, scholars have speculated that the aggravated stroke burden in transitional 36 countries is potentially associated with urbanisation (e.g., Matenga (1997); Lin et al. 37 38 (2007); Truelsen and Bonita (2008)). However, based on the existing epidemiological evidence, they predominately attributed this association to lifestyle transitions, and the 39 role of BE transformations — an essential step of urbanisation — is overlooked. 40 41 Insights into risk factors for stroke can be drawn from early epidemiological studies on demographic characteristics (NCCD. 2017), lifestyles (e.g., Larsson, Virtamo, and 42 Wolk (2011); Bhat et al. (2008); Patra et al. (2010)), and physiological factors (e.g., 43 Bi et al. (2010); Hu and Sun (2008); O'Donnell et al. (2016)). In recent years, there 44 has also been a surge of interest in the association between stroke (and its precursors) 45 46 and toxic environmental exposure (e.g., Yin et al. (2015)). However, to the best of our knowledge, there is no research explicitly examining the association between stroke 47 and manmade (built) environments. Considering the effects of BEs on the 48 aforementioned risk factors (e.g., Wang et al. (2016); Ouyang et al. (2018)), it is 49 50 reasonable to hypothesise the stroke-BE nexus. Additionally, whilst previous studies conducted at the similar lifestyle-associated health outcome level (e.g., cardiovascular 51 diseases, diabetes, and cancers) provide a basic empirical basis for deciphering such a 52 nexus (e.g., Su et al. (2016); Chum and O'Campo (2015); Ouyang et al. (2018); 53 Malambo et al. (2016); Xie et al. (2018); Kan et al. (2008)), only limited BE elements 54 and exclusive/relatively narrow BE dimensions have been considered in each study. 55 To wit, the *multiplicity* of health-related BEs and the *complexity* of relationships 56 57 between BEs and lifestyle-associated health outcomes have not been sufficiently captured in these studies, which challenges the applicability of established conceptual 58 59 frameworks and the reliability of relevant findings. 60 This study aims to better understand the relationship between lifestyle-associated health outcomes and multidimensional BEs in transitional cities by looking at the 61

62 representative case of stroke in Wuhan, central China. To this end, we narrow our

63 focus to the following questions:

64

• Where do strokes occur in transitional cities, and what are the BE

characteristics of these areas?

To what extent is stroke risk affected by BEs in transitional cities? 66 • Our research addresses the above issues in the following ways: (1) it clarifies stroke-67 68 associated multidimensional BE elements and integrates them into one conceptual framework; (2) it visualises stroke risk in Wuhan using the Bayesian conditional 69 autoregressive (CAR) method; and (3) it examines the BE determinants of stroke risk 70 71 in the focal area. The approach and conceptual framework are applicable to other 72 cities. The framework and results will contribute to a more comprehensive 73 understanding of the effects of BE in transitional cities on lifestyle-associated health 74 outcomes and on stroke in particular.

#### 75 2. Literature review

This section elaborates on two aspects: studies on the nexus between BEs and 76 77 lifestyle-associated health outcomes and the mechanism by which stroke is potentially affected by BEs. The focus of the current research lies within the latter, but a review 78 79 of the broader context of the topic helps provide a critical overview of the established frameworks, elucidate the multiplicity of stroke-related BEs, and discuss the 80 81 complexities of the relationship between BEs and lifestyle-associated health outcomes 82 through the case of stroke.

#### 83 2.1. BEs and lifestyle-associated health outcomes

84 Along with the increasing interest in healthy urban planning in recent decades, a

- 85 growing body of evidence endorses the effects of BEs on lifestyle-associated health
- 86 outcomes. One overwhelming stream of such studies has focused on the obesity-BE
- nexus (See Sallis et al. (2012) and Durand et al. (2011) for reviews). Given the 87
- relatively self-evident behavioural causes of obesity, this set of studies is primarily 88
- inspired by the accessibility/presence of (1) (in)salubrious food choices in food 89
- environments (e.g., Ford and Dzewaltowski (2008); Morland, Roux, and Wing (2006); 90
- Anderson et al. (2011)) and (2) environments that support physical activity (PA) (e.g., 91
- Zhang, Liu, and Liu (2015); Rundle et al. (2007); Wen and Kowaleski-Jones (2012)), 92
- which are generally built upon classic travel theories, such as the 3 Ds (Cervero and 93
- Kockelman 1997) and 6 Ds frameworks (Ewing and Cervero 2010). 94
- Despite the extensive literature on the obesity-BE nexus, the literature on health 95

outcomes that involve more complex pathogenesis, e.g., cardiovascular diseases, 96 metabolic syndrome, and cancers, remains relatively sparse. Table 1 compares the 97 international literature on this topic. In summary, both the findings and conceptual 98 frameworks support the need for future works on the effects of BE on lifestyle-99 associated health outcomes. However, the insufficient consideration of BEs in terms 100 of their dimensions and elements has challenged the contributions of these studies. 101 For example, research by Ouyang et al. (2018), Xie et al. (2018), and McLafferty and 102 Wang (2009) has focused on the effects of exclusive BE domains (i.e., land uses, open 103 104 spaces, and healthcare facilities, respectively). Although several studies have incorporated multiple BE dimensions into integrated conceptual frameworks, few 105 domains constituted by exclusive/limited BE elements have been considered (e.g., 106 Sundquist et al. (2015); Su et al. (2014); Chum and Patricia (2013); Chum and 107 O'Campo (2015); Kan et al. (2008)). Given the multiple pathways between BEs and 108 health-related determinants and the (geographical) correlations among health-related 109 BEs (Moeller 2013), methodologically, omitting BE elements/dimensions linked to 110 111 modifiable health factors might contribute to the endogeneity problem and, in turn, biased results. More importantly, due to incomprehensiveness, the established 112 113 frameworks might not effectively capture the complexity of relationships between BEs and lifestyle-associated health outcomes, raising questions of their applicability 114 in further studies, intervention schemes, and health impact assessment projects. 115

#### Table 1 Literature of BEs and lifestyle-associated health outcomes. 17

| Study area and sample  | Methods                                      | Health outcomes  | BE variables  | Main findings   |
|--|--|--|---|---|
| 700 older adults in Wuhan,<br>China ( <u>Xie et al. 2018</u> )   | Logistic<br>regressions                      | Cardio-cerebral vascular<br>diseases; joint diseases;<br>digestive diseases;<br>endocrine diseases;<br>urological diseases;<br>nervous system diseases;<br>respiratory diseases        | Open spaces: accessibility to parks   | Negative correlation: accessibility to parks and<br>risks of cardio-cerebral vascular diseases, joint<br>diseases, and endocrine diseases   |
| 121 samples of cancer<br>registry areas in the Pan-<br>Yangtze River Delta, China<br>( <u>Ouyang et al. 2018</u> ) | Structural<br>equation<br>modelling<br>(SEM) | Total adjusted cancer; lung<br>cancer; stomach cancer;<br>mammary cancer; liver<br>cancer; colorectal cancer;<br>oesophageal cancer;<br>pancreatic cancer; renal<br>and urinary cancer | Land-use mix: Shannon's Diversity<br>Index (SHDI); landscape shape index<br>(LSI)<br>Land-use type: proportion of built-up<br>area; proportion of open spaces   | Positive correlation: LSI and colorectal cancer, lung cancer, mammary cancer, renal and urinary cancer  |
| 57 districts in Shenzhen,<br>China ( <u>Su et al. 2016</u> )   | Spatial<br>regressions;<br>SEM               | Cardiopathy; obesity;<br>hypertension; type 2<br>diabetes; chronic<br>pneumonia; chronic nephritis;<br>physical fitness; liver<br>cancer; new cancers;<br>thyroid diseases             | Land-use abundance; land use; land<br>use; morphology, land-use proximity;<br>land use mix<br>Land-use type: residential; industrial;<br>commercial; institutional;<br>transportation; green; blue; forest;<br>agricultural land; public facilities<br>Walkability: integrated index<br>measured by distances to POIs<br>Street connectivity: intersection<br>density | Negative correlation: proximity to institutional land<br>use and public facilities and cardiopathy, chronic<br>hepatitis, chronic nephritis, chronic pneumonia,<br>liver cancer, new cancers; green land abundance<br>and hypertension, obesity, new cancers, type 2<br>diabetes, chronic pneumonia; land-use mix and<br>hypertension, physical fitness, obesity, type 2<br>diabetes, chronic hepatitis; walkability and<br>cardiopathy, obesity, type 2 diabetes, physical<br>fitness, chronic pneumonia; street connectivity and<br>hypertension, physical fitness, liver cancer<br>Positive correlation: industrial land-use morphology<br>and cardiopathy; proximity to industrial land use |

# and chronic pneumonia

| 2,411 adults in Toronto,<br>Canada ( <u>Chum and</u><br><u>O'Campo 2015</u> )           | Multilevel<br>logistic<br>regressions      | Cardiovascular diseases  | Open spaces: proportion of parkland<br>Food environments: fast food/food<br>store density                     | Positive correlation: fast food/food store density<br>and myocardial infarction (MI)/any cardiovascular<br>diseases; proportion of parkland and MI |
|---|--|--|---|--|
| 1,626 adults in Toronto<br>Canada (Chum and Patricia                                    | Unweighted analysis; time-                 | Cardiovascular diseases  | Open spaces: proportion of parkland   | Positive correlation: cardiovascular diseases and fast-food density, presence of fast-food restaurant,   |
| <u>2013</u> )   | weighted<br>analysis                       |  | Food environments: food store<br>density; presence of fast-food<br>restaurant                                 | lives/works within 100-metre buffer of high traffic  |
|   |  |  | Exposure to traffics: whether<br>lives/works within 100-metre buffer of<br>high traffic                       |  |
| 512,061 adults in Stockholm,<br>Sweden ( <u>Sundquist et al.</u><br><u>2015</u> )       | Multilevel<br>logistic<br>regressions      | Type 2 diabetes  | Neighbourhood walkability<br>(characterised by residential density,<br>land-use mix, and street connectivity) | Negative correlation: walkability and type 2 diabetes  |
| 13,309 middle-age adults in the U.S. ( <u>Kan et al. 2008</u> )                         | Cox proportional<br>hazards<br>regressions | Coronary heart disease   | Traffic exposure: traffic density;<br>distance to major roads   | Positive correlation: traffic density and coronary heart disease   |
| 671 adults in Cape Town and<br>Mount Frere, South Africa                                | Logistic regressions                       | Hypertension   | Perceived land-use mix; perceived land-use access   | Positive correlation: perceived land-use mix and self-reported hypertension  |
| ( <u>Malambo et al. 2016</u> )  |  |  | Perceived street connectivity;<br>perceived infrastructure for<br>walking/cycling                             |  |
| 166,289 cases of cancers in<br>Illinois, the U.S. ( <u>McLafferty</u><br>and Wang 2009) | Multilevel<br>logistic<br>regressions      | Breast cancer; colorectal<br>cancer; lung cancer;<br>prostate cancer | Accessibility to healthcare   | Negative correlation: accessibility to healthcare and late-stage cancer risk for breast and lung   |

#### 119 2.2. Potential stroke-BE nexus

#### 120 2.2.1. Stroke risk factors

121 Epidemiological research on stroke risk factors has primarily focused on the

demographic, physiological, and lifestyle (behavioural) domains. It is widely accepted

123 that one of the most important demographic determinants of stroke is age (<u>NCCD.</u>

124 <u>2017</u>); <u>Liapis et al. (2009)</u> estimated that the risk of stroke increases with each decade

125 of life.

126 Four physiological determinants (i.e., precursors) of stroke have been identified:

127 hypertension, cardiac disease, diabetes, and obesity. Approximately half of all strokes

128 occur in individuals with a history of hypertension (Bi et al. 2010), and lower

129 hypertension awareness contributes to greater stroke mortality (Joffres et al. 2013).

130 The risk of stroke is also significantly higher among individuals with cardiac disease,

131 especially atrial fibrillation (<u>Hu and Sun 2008</u>). Additionally, a global case study of

132 32 countries has suggested that the occurrence of stroke in people with diabetes and

the highest tertile of the waist-to-hip ratio were 1.36 and 1.44 times higher than in the

134 control group, respectively (<u>O'Donnell et al. 2016</u>).

135 Various lifestyles have been connected to stroke in either direct or indirect (i.e., via

136 the modification of physiological determinants) ways. Evidence has supported the

137 independently protective effect of moderate-vigorous PA on strokes and its

138 physiological determinants (Lee, Folsom, and Blair 2003, Sallis et al. 2012).

139 Additionally, higher risks of stroke and its precursors are more prevalent among

140 individuals with unbalanced diets, e.g., diets high in fat, cholesterol, salt, and

141 processed food (Larsson, Virtamo, and Wolk 2011, Larsson et al. 2009, Feng,

142 <u>Pomborodrigues, and Macgregor 2014</u>). Additional studies have demonstrated that

143 cigarette smoking and alcohol consumption are closely linked with ischaemic and

haemorrhagic strokes, respectively, with a strong dose-response relationship (Bhat et

145 <u>al. 2008</u>, <u>J et al. 2010</u>).

146 In recent years, researchers have begun to investigate the role of environmental

147 toxins, especially of air pollution, in the induction of stroke (<u>Yin et al. 2015</u>, <u>Cevik et</u>

148 <u>al. 2015</u>, <u>Shah et al. 2015</u>, <u>Lipsett et al. 2011</u>). Evidence has shown that both short-

term and long-term exposure to air contaminants, including gaseous pollutants and

150 particulate matter, were significantly associated with stroke prevalence and mortality,

ceteris paribus (Shah et al. 2015, Lipsett et al. 2011). Exposure to ambient air 151 pollution has also been found to be an important contributor to the risk of cardiac 152 diseases and deteriorating vascular function (Lundback et al. 2009, Shah et al. 2013). 153 Recent research by Roberts, Voss, and Knight (2014) revealed that physical inactivity 154 is more prevalent in communities with poor air quality; the authors suggested that 155 such findings might be attributed to physiological (e.g., difficulty breathing) and 156 psychosocial (e.g., antipathy to smoke) effects. Even if these negative effects are 157 overcome, exercising in polluted communities contributes to adverse lifestyle-158

associated health outcomes (<u>Li et al. 2015</u>).

## 160 2.2.2. BE elements related to stroke

Despite the lack of research explicitly connecting BEs with stroke risk, evidence has 161 broadly connected BEs with three identified modifiable risk factors of stroke, namely, 162 lifestyle, physiological factors, and environmental toxins. Therefore, it is reasonable 163 to hypothesise the indirect stroke-BE nexus. To this end, the following section 164 165 elaborates on the potential mechanism by which stroke is affected by BEs as it relates to multidimensional elements — land use, transport system, open space, facilities, and 166 architectural characteristics — followed by the classic definition proposed by the 167 World Health Organization (2009). 168

169 Several studies have examined the correlations between stroke-related risks and land

170 use. Recent research by <u>Su et al. (2016)</u> proposed a theoretical framework to illustrate

171 how land use delivers health benefits against the worsening public health in China via

the promotion of active lifestyles and the control of air pollution. Specifically, the

authors employed SEMs to construct the association between land use pattern and

174 typical chronic disease incidences. Mediated by increased PA and decreased  $PM_{2.5}$ 

175 concentrations, an abundance of institutional land (e.g., schools, community centres,

and universities) is found to be associated with a lower risk of type 2 diabetes,

177 hypertension, and cardiopathy. Additionally, the role of mixed land use in supporting

178 active travel behaviour has been highlighted over the decades (<u>Cervero and</u>

179 <u>Kockelman 1997</u>); correspondingly, a higher level of PA and a lower likelihood of

180 obesity and hypertension have been observed in districts with mixed land use

181 (<u>Malambo et al. 2016</u>, <u>Zhang, Liu, and Liu 2015</u>). In contrast, previous studies have

182 revealed that increased industrial and residential areas tend to exacerbate ambient air

183 pollution (Bertazzon et al. 2015, Henderson et al. 2007), in turn potentially increasing

184 stroke risk.

185 The effects of transport on PA and thus on stroke risk have been examined in previous

186 studies. Better street connectivity could facilitate walking and moderate-intensity PA

187 (Frank et al. 2005, Moeller 2013). Moreover, an increased number of sidewalks and

188 paved streets has been correlated with increased walking as a mode of transport

189 (<u>Zhang et al. 2014</u>). Additionally, <u>Lachapelle and Frank (2009</u>) found that public

transit users were more likely to meet the recommendation for daily PA than

automobile users. <u>Rundle et al. (2007)</u> also observed that the densities of bus and

192 subway stops were negatively associated with body mass index (BMI). Therefore, BE

elements that encourage the ability to choose a mode of active transport mightcounteract the risk of stroke.

195 Sufficient access to both green space and public space delivers health benefits against

196 stroke risks, such as better general health status (Mowen et al. 2007) and a lower risk

197 of cardiovascular disease and diabetes (<u>Chum and O'Campo 2015, Xie et al. 2018</u>).

198 Open space is regarded as the "third place", after the home and the workplace, in

199 individual social lives since it provides opportunities for social interaction and access

200 to social network-based resources (Mowen and Rung 2016). Accordingly, it largely

supports the accumulation of social capital, promoting better self-management in

terms of health (Xie et al. 2018). Moreover, a plethora of studies has shown that better

203 access to open space, e.g., greater proximity, higher abundance, and a better

204 landscape, is positively associated with various types of PA (Kaczynski et al. 2009,

205 <u>Schipperijn et al. 2017, Lu, Sarkar, and Xiao 2018</u>). Public open space could therefore

play an important role in reducing the risk of stroke by facilitating PA and promotingsocial capital.

It has also been postulated in the literature that access to a variety of facilities plays a

209 critical role in shaping individual lifestyles, which might impact stroke risk. A recent

study on urban China suggested that having more destinations within walking distance

211 is positively correlated with more PA (Zhou, Grady, and Chen 2017). In contrast, a

212 higher density of catering facilities could lead to an increased risk of cardiovascular

213 disease and obesity, which can be attributed to excessive fat and calorie intake (<u>Chum</u>

214 and O'Campo 2015, Ford and Dzewaltowski 2008). Moreover, prior research has also

emphasised the importance of medical care facilities to disease prevention and health

216 information dissemination (<u>Anasi 2012</u>); easy access to medical facilities might help

217 reduce stroke risk.

218 The architectural characteristics of BEs have been closely linked with air pollution

- and PA. Recent research (in the context of high-density urban China) has shown that
- 220 the PM<sub>2.5</sub> concentration was strongly associated with building volume density and
- building coverage ratio (Shi et al. 2017). Dense urban environments and high-
- 222 intensity urban development not only play important roles in the generation of air
- 223 pollutants but also contribute to the urban heat island effect (Sharifi 2019), which
- 224 impacts urban airflow dynamics and thereby influences the movement and
- 225 concentration of pollutants (<u>Agarwal and Tandon 2010</u>, <u>Edussuriya and Chan 2015</u>).
- Additionally, building compactness was associated with PA. For example, by
- separating density indicators into seven subtypes, Forsyth et al. (2007) found that both
- housing and total building density were positively correlated with walking for travel
- 229 purposes but negatively associated with walking for work and leisure purposes.
- As such, given the multiplicity of stroke-associated BEs, we put forward a conceptual
- 231 framework to capture the potential impact of multidimensional BEs on stroke risk
- (Fig. 1). The goal of the current research is to understand the complexity of the
- stroke-BE nexus, thereby fleshing out the current picture on the nexus between BEs
- and lifestyle-associated health outcomes with regards to conceptual frameworks and
- empirical evidence.

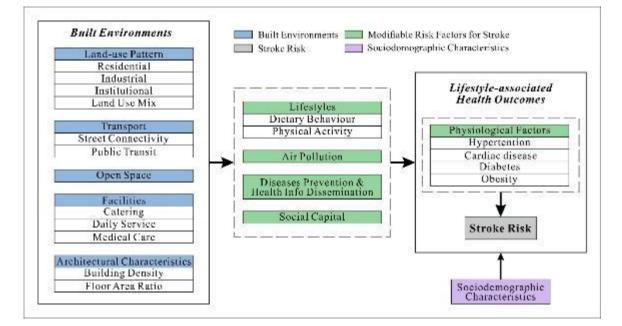


Figure 1. Conceptual framework of the stroke-BE nexus.

#### 238 3. Research design

## 239 3.1. Study area

This study focuses on the urbanised area in Wuhan, China (Fig. 2). Wuhan has a 240 population of 10.7 million (as of 2015) and a total area of 8,494.4 km<sup>2</sup>. It is the most 241 populated city and the economic and political centre of central China. Similar to other 242 Chinese metropolises (Gu, Kesteloot, and Cook 2015), Wuhan has witnessed 243 remarkable urban expansion, with prosperous industrialisation and rapid economic 244 development. During this period, lifestyle-associated diseases, especially stroke and 245 its precursors, have gradually become substantial burdens on public health (Xie et al. 246 247 2018).

248 The geographical distribution of the population in Wuhan is highly centralised (Xie et al. 2019). The area of the urban core (i.e., the urbanised area within the boundaries of 249 the second ring road) and the urban fringe (i.e., the urbanised area outside the 250 boundaries of the second ring road) is approximately 2% and 8% of that of the rural 251 252 district, respectively, but both of these areas cover approximately 30% of the total population of Wuhan. Our precise focal area covers an area of 734.8 km<sup>2</sup> and has a 253 population of approximately 6.4 million. The area is characterised by high density, a 254 rapidly ageing population, and poor air quality, all of which are potentially associated 255 with stroke risk. In 2015, the gross population density was 8,711 people per km<sup>2</sup> in 256 this area. This population density is approximately 10 and 5 times that of Toronto, 257 Canada (954 people per  $\text{km}^2$ ) and Portland, U.S. (1,684 people per  $\text{km}^2$ ), respectively, 258 where prior BE-cardiovascular disease studies have been conducted (Chum and 259 O'Campo 2015, Li et al. 2009). Additionally, the ageing population has rapidly grown 260 261 and now exceeds 20% of the total population, and the air quality in Wuhan is low; according to the 2015 Wuhan Environmental Status Bulletin, hazardous pollution 262 263 days comprised nearly half the year, and such issues are much more severe in built-up areas. 264

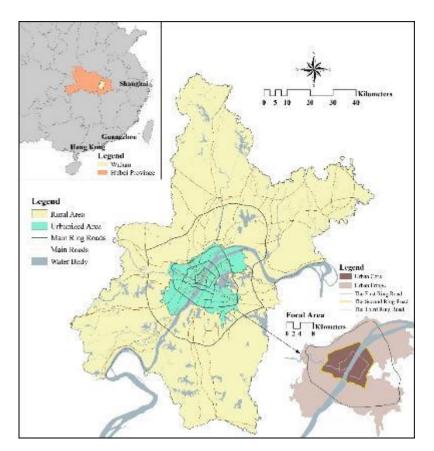




Figure 2. Map of Wuhan.

#### 267 **3.2. Data source**

This study used three types of data: medical data, BE data, and community-level 268 269 sociodemographic data. Medical data on stroke cases in Wuhan from 2015 were collected from the Wuhan Emergency Medical Centre (Wuhan EMMC). Each 270 271 medical case included the patient's age, gender, disease type, onset time, and geocoded home address. The disease types were diagnosed by professional doctors, 272 273 and the home addresses were geocoded by an independent commercial company 274 under the supervision of Wuhan EMMC. We successfully extracted 10,971 stroke 275 cases from the dataset according to the International Classification of Diseases (ICD-10: I60-I69). The crude stroke incidence in our focal area was calculated to be 276 170.1/100,000, which is slightly lower than the average stroke incidence in urban 277 China (203.6/100,000) (NCCD. 2017). Moreover, recent nationwide research has 278 suggested that the stroke incidence in China exhibits a considerably geographical 279 dependency at the province level (Wang et al. 2017). The stroke incidence in 280 Changsha — a twin city with Wuhan in central China — is therefore employed to be 281 compared with our data. As estimated by <u>Sun et al. (2014)</u>, based on a self-sampled 282 stroke surveillance network, the stroke incidence in Changsha was comparable, at 283

168.5/100,000 in 2011, although this figure exhibited an upward trend over time.

These figures showed the relatively high representativeness of our dataset in depicting stroke prevalence in urban China.

We obtained the BE data and community-level sociodemographic data, including land
use, facilities, housing, transport (except sidewalks), buildings, and community-level
population, employment, age structure, and gender ratio, from the Wuhan Land
Resources and Planning Information Centre for 2015 (Table 2). Due to the data
restrictions on public institutions, sidewalk data were obtained from an open-access
and volunteered GIS platform, namely, OpenStreetMap.org.

#### 293 3.3. BE measurements

294 The unit of analysis for this study is the individual community (n=1,237). The community, namely, the *juweihui*, is the smallest administrative unit and the basic 295 official unit for conducting population statistics and managing public services for 296 residents in urban China. As previously stated, we adapted the framework from the 297 298 World Health Organization (2009) to capture and measure the multidimensional stroke-related BEs of these communities. The five categories measured were (1) land-299 use patterns, (2) transport, (3) open spaces, (4) facilities, and (5) architecture. 300 Variables for spatial variations in population and employment were also included in 301 this research due to their roles in shaping urban form. The community-level 302 sociodemographic characteristics were served as controlled variables in the analysis. 303 304 **Table 2** shows the descriptive statistics and descriptions of the variables that were 305 included in this study.

306 Two metrics were employed to measure land-use patterns: land-use mix and land-use proportion. The former indicator emphasises the diversity of land use. Given the 307 308 development of communities, especially those in urban cores that were dominated by an exclusive residential pattern, the indicator was measured by Shannon's diversity 309 index for an all-land-use mix (SAM) and Shannon's diversity index for a residential-310 use-excluded mix (SREM). The proportions of variables were used to reflect the 311 312 abundance of the specified land-use classes. Based on previous research, three landuse classes (residential, industrial, and institutional) were eventually included. 313 With regard to transport, intersection density, as suggested by Moeller (2013), was 314 used to characterise street connectivity. Sidewalk provisioning was measured by the 315

density of the sidewalks. We also considered the accessibility of public transit. To do 316 this, catchment-based accessibility metrics were employed due to the significant 317 variation in community sizes and the uneven distribution of residents. Fig. 3(a-b) 318 shows a comparison of the catchment-based and container-based metrics for facility 319 access. With the container-based metric, facilities within a specified community were 320 considered accessible. However, this measure did not consider the facilities outside 321 the community boundaries or the facilities far from residents; thus, this metric might 322 323 either underestimate or overestimate the mobility of residents and lead to a modifiable 324 area unit problem (MAUP) (Xie et al. 2018). Specifically, we geocoded all residential building addresses within communities and then measured the number of transit 325 facilities within a catchment area (i.e., road network distance) of 600 metres (an 326 approximate 10-minute walking distance) around each residential building. Finally, 327 we calculated the number of accessible facilities for every residential building in each 328 329 community. The median value of the facilities was used to represent accessibility to public transit within each community, as the standard deviations were higher than the 330 331 mean values in most communities.

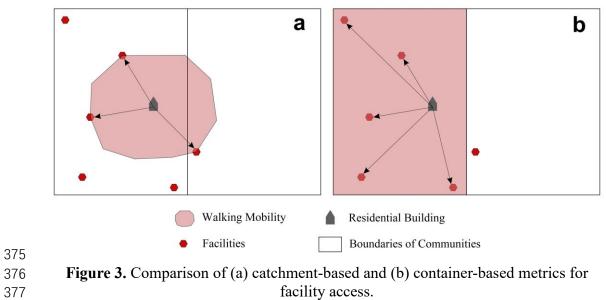
The interaction between facilities and residents was indicated by the accessibility of catering (i.e., restaurants, fast-food stores, groceries, and markets), daily services (i.e., ATMs, banks, telecommunication businesses, and laundromats) and medical care (i.e., clinics and polyclinics). The measurement of accessibility to these facilities was consistent with the metrics for public transit accessibility.

Open space was measured using the proportion of area devoted to public parks and 337 338 squares. Urban omnibus parks (UOPs), community parks (CPs), and public squares/plazas are three of the main public open spaces in Wuhan. The UOPs and CPs 339 are mainly built for social interactions, recreational activities and daily entertainment 340 activities. These two types of parks are associated with a relatively adequate presence 341 of green space but have great disparities in terms of scale, amenities, management and 342 maintenance. Because squares/plazas are mainly established for commemorative 343 344 functions, they are poorer in terms of auxiliary facilities and greenery than parks. 345 We employed two types of spatial indicators to reflect architectural characteristics: intensity and density. The intensity indicator was measured by the average floor area 346 347 ratio (FAR) within communities, and the density features were indicated by building

348

density.

Population density and employment density are historically intertwined with zonal 349 economic development, urban form (e.g., compactness versus sprawling), and BEs 350 (Cervero and Kockelman 1997, Wu and Gopinath 2010); correspondingly, such 351 measurements were also included in the current research. Gross population density 352 and net population density were initially considered, but given the high correlation 353 354 between these variables, the former variable was excluded, as net population density can capture the concentration and compactness of the population more appropriately. 355 With respect to sociodemographic characteristics, age structure, percentage of males, 356 and average housing price were included in the current research. The percentages of 357 adults (residents between 19 and 59 years of age) and older adults (residents over 60) 358 359 were used to reflect the age structure. Considering the potential correlations among socioeconomic status, stroke risk, and BEs within communities, the average housing 360 361 prices within communities were employed as a proxy for the wealth values of 362 residents to reduce endogeneity. Moudon et al. (2011) aggregated the values of land parcels and individual-level wealth metrics to measure neighbourhood wealth and 363 property values and found that the housing-price indicator was more predictive of 364 health status than the individual socioeconomic status (SES). Moreover, several 365 studies have indicated that area-level housing prices strongly affect the wealth and 366 debt of households and have significant implications for stroke and related health 367 outcomes (Fichera and Gathergood 2013); thus, area-level housing prices could be 368 used as a proxy for area-level SES in health studies (Sohn 2013). Additionally, the 369 inclusion of the housing price variable could help reduce potential residential self-370 selection bias, i.e., individuals deliberately opt for where to live according to their 371 sociodemographic traits and preferences (Cao, Mokhtarian, and Handy 2009), since 372 housing affordability plays a key role in choosing residential locations and, in turn, 373 the community BEs in urban China (Xiao et al. 2017). 374



| Domains               | Variables              | Min      | Max       | Mean      | Std.     | Definition  |
|-----------------------|------------------------|----------|-----------|-----------|----------|---|
| Sociodemographic      | Male                   | 0.447    | 0.982     | 0.516     | 0.062    | Male population/community population. (%)   |
| Characteristics       | Older Adult            | 0.017    | 0.658     | 0.193     | 0.075    | Population older than 60/community population. (n)  |
|                       | Adult                  | 0.332    | 0.919     | 0.722     | 0.078    | Population aged between 19 and 59/community population. (n)   |
|                       | Housing Price          | 1162.000 | 41080.000 | 11654.275 | 2395.757 | Average housing price within communities. (yuan)  |
| Land-use Pattern      | SAM                    | 0.010    | 2.111     | 1.009     | 0.419    | Shannon's diversity index for all land-use mix.   |
|                       | SREM                   | 0.004    | 1.523     | 0.878     | 0.366    | Shannon's diversity index for residential-use-excluded mix.   |
|                       | Residential Land Use   | 0.089    | 0.962     | 0.367     | 0.133    | Proportion of urban residential land use. (%)   |
|                       | Institutional Land Use | 0.000    | 0.790     | 0.096     | 0.235    | Proportion of governmental land use, including areas with education and social welfare uses. (%)          |
|                       | Industrial Land Use    | 0.000    | 0.954     | 0.046     | 0.187    | Proportion of industrial land use, including areas with research, manufacturing, and assembling uses. (%) |
| Transport             | Intersection           | 0.023    | 7.594     | 0.347     | 0.563    | Number of intersections/community land area. (1/ha)   |
|                       | Sidewalk               | 0.002    | 0.313     | 0.022     | 0.089    | Total sidewalk length/community land area. (km/ha)  |
|                       | Bus Stop               | 0.000    | 11.000    | 1.283     | 1.825    | Accessibility to bus stops. (n)   |
|                       | Metro Station          | 0.000    | 7.000     | 0.424     | 1.398    | Accessibility to metro stations. (n)  |
| Open Space            | СР                     | 0.000    | 0.431     | 0.006     | 0.018    | Proportion of areas devoted to community parks. (%)   |
|                       | UOP                    | 0.000    | 0.641     | 0.017     | 0.037    | Proportion of areas devoted to urban omnibus parks. (%)   |
|                       | Square                 | 0.000    | 0.146     | 0.000     | 0.000    | Proportion of areas devoted to public squares and plazas. (%)   |
| Facilities            | Catering               | 1.000    | 319.500   | 122.786   | 129.883  | Accessibility of catering facilities. (n)   |
|                       | Daily Service          | 11.500   | 245.000   | 57.491    | 44.891   | Accessibility of daily service facilities. (n)  |
|                       | Medical Care           | 0.000    | 32.000    | 8.554     | 6.484    | Accessibility of medical care facilities. (n)   |
| Architectural         | Building Density       | 0.001    | 0.846     | 0.138     | 0.268    | Area of building footprint/community land area (%)  |
| Characteristics       | FAR                    | 0.041    | 7.485     | 1.830     | 0.989    | Gross floor area/area of the plots within communities. (ratio)  |
| Population Density    | Net Population Density | 17.028   | 6373.448  | 446.860   | 1095.459 | Population/residential land area of the community. (1/ha)   |
| Employment<br>Density | Employment Density     | 1.050    | 3398.238  | 40.281    | 165.235  | Employment population/land area of the community. (1/ha)  |

 Table 2 Summary and definition of variables.

#### 382 3.4. Bayesian CAR model

408

The Bayesian CAR model was employed to (1) smooth and visualise stroke risk and
(2) examine the association of BE elements with stroke risk.

#### 385 3.4.1. Smoothed and standardised risk of stroke

386 In previous studies, two major indicators were employed to quantify the epidemic circumstances in a specific area: (1) crude incidence (Su et al. 2016) and (2) 387 standardised incidence ratios (SIRs) (Maheswaran et al. 2012). Crude incidence 388 represents the number of new cases per population at risk while neglecting the 389 390 significant impact of the demographic characteristics of the study area on diseases. As an alternative indicator, SIRs measure the ratio of observed cases to expected cases, 391 392 which is standardised by age and gender and could thus offset the statistical bias caused by demographic characteristics. However, SIRs are subject to large variation, 393 especially in areas with small populations, as the number of expected cases can be 394 small, and a very small change in the number of observed cases might exaggerate 395 epidemic fluctuations (Wu et al. 2004). To avoid this problem, a smoothed SIR 396 (SSIR) measure was used to visualise the risk of stroke in this study. 397

398 The Bayesian CAR model is a new approach for modelling spatial data with limited

399 observations. This model incorporates spatially structured heterogeneity with

400 hierarchical parameters into the estimation of SSIRs, thereby eliminating the

401 dependence of variance on population size (Ebrahimipour et al. 2016, Wu et al. 2004).

402 Recently, the Bayesian CAR model has been increasingly used to explore the

403 geographical variation in diseases (<u>Yin et al. 2014</u>, <u>Alegana et al. 2013</u>).

Following <u>Hegarty, Carsin, and Comber (2010)</u>, a Poisson CAR model (Eqs. (1)–(3))
was applied to the estimation of SSIRs using the Markov chain Monte Carlo (MCMC)
method.

$$E_i = \sum \sum N_{ijk} \frac{O_{jk}}{N_{jk}}$$
<sup>(2)</sup>

 $log(R_i) = \alpha + v_i + u_i$ (3)

Here, the fitted value of  $R_i$  represents the final SSIR within community *i*.  $E_i$  and  $O_i$ 

<sup>411</sup> represent the expected and observed number of cases within community *i*,

<sup>412</sup> respectively. Specifically,  $E_i$  is defined as the number of age-sex-specific cases.  $N_{ijk}$ 

- <sup>413</sup> represents the total population in community *i* for age group *j* and sex *k*;  $O_{jk}$  represents
- the observed number of cases for age group *j* and sex *k*, and  $N_{jk}$  represents the total
- <sup>415</sup> population for age group *j* and sex *k*.  $\alpha$  represents the intercept;  $v_i$  and  $u_i$  represent the
- <sup>416</sup> unstructured and structured spatial correlations, and their prior distributions were
- 417 assigned according to Eqs. (4)–(5), respectively, with  $\tau_h^2$  and  $\tau_e^2$  controlling the
- <sup>418</sup> variance and equalling the prior Gamma distributions (0.5 and 0.0005, respectively),
- 419 as suggested by <u>Aguero-Valverde and Jovanis (2006)</u>. In this study, the first-order
- 420 adjacent neighbourhood was used to represent spatial dependency, and  $n_{\delta_i}$  denoted
- 421 the total number of adjacent communities for area i.

$$v_i \sim N(0, \tau_h^2) \tag{4}$$

423 
$$\left[u_{i} \middle| u_{j,i\neq j}\right] \sim N\left(\sum_{j\in\delta_{i}} \frac{u_{j,}}{n_{\delta_{i}}}, \frac{\tau_{e}^{2}}{n_{\delta_{i}}}\right)$$
(5)

#### 424 3.4.2. Stroke-BE association

The following Bayesian-Poisson CAR model was employed to examine the predictors of stroke risk under the domains of BEs and sociodemographic characteristics:

$$E_i = N_i \frac{O}{N} \tag{7}$$

429 
$$log(R_i) = \alpha + \sum \beta_{ij} x_{ij} + v_i + u_i$$
(8)

- 430 where  $R_i$  represents the stroke risk within a given area *i*.  $E_i$  and  $O_i$  denote the expected 431 and observed number of cases, respectively, within area *i*. More specifically, given the 432 introduction of demographic characteristics (e.g., age structure variables),  $E_i$  was 433 different from that in Eq. (2). In this model,  $E_i$  is defined as the population-weighted 434 average number of cases.  $N_i$  represents the total population in community *i*; *O* and *N* 435 denote the total number of observed cases and the total population of the focal area, 436 respectively.  $x_{ij}$  represents the predictor, and  $\beta_{ij}$  represents the corresponding 437 coefficient. The settings of the other variables and the prior distributions of the 438 parameters were assigned according to Eqs. (1)–(5).
- 439 Additionally, given the richness of our dataset, multicollinearity issues potentially
- <sup>440</sup> emerge, which might contribute to biased estimations. The classic variance inflation
- <sup>441</sup> factors (VIFs) were therefore implemented for classic Poisson regressions (instead of
- the Bayesian-Poisson CAR model due to computing limitations) to determine the

input variables. In each round of VIF calculation, a variable with a VIF greater than
10 was excluded from the Bayesian CAR model. Ultimately, three variables — the
residential land-use proportion, bus stop accessibility, and daily services accessibility
were excluded from the estimation model.

#### 447 3.5. Hot spot analysis

The hot spot analysis was performed to identify the geographical cluster of SSIRs based on the Getis-Ord Gi\* statistic (Ord and Getis 1995). The resultant z-score indicates the spatial aggregation of stroke risks. Communities with a high z-score (i.e., z>1.96) and a low *p*-score (i.e., p<0.05) have statistically significant hot spots. The model was implemented using the fixed distance band tool in ArcGIS 10.3.

#### 453 **4. Results**

#### 454 4.1. Distribution of stroke risk

Fig. 4-a depicts the distribution of SSIRs in Wuhan. Strong geographical variation in 455 the SSIRs of stroke was observed in the city. Residents living in the urban core 456 appeared to have a higher risk of stroke, whereas residents living along the urban 457 fringe were less likely to have strokes. Table 3 shows the comparison of SSIRs 458 between the two urban regions. Specifically, high-risk communities (i.e., communities 459 with a stroke risk greater than 2) were broadly concentrated in the urban core. Within 460 this area, the percentage of high-risk communities was noticeably higher than that in 461 the urban fringe (23.82% versus 10.09%). 462

463 The geographical clusters of high SSIRs were identified using hot spot analysis (Fig.

**464 4-b**). All three distinct hot spots included many high-density and overcrowded

465 neighbourhoods compared to the average development level in the city (**Table 4**).

466 Specifically, two hot spots were located exactly over the city centre. Hot Spot A was

467 located to the south of the Han River (**Fig. 5-a**). The communities within Hot Spot A

468 were mainly composed of old state-owned enterprises with a high concentration of

469 established enterprise workers, and the majority of residents were retired with a low

470 income. Housing in the area tends to forgo necessary maintenance due to the

471 bankruptcy of these state-owned enterprises. Hot Spot B was located in a traditional

and historic commercial district north of the Han River (Fig. 5-b). In this area, the

473 living and commercial spaces were highly mixed, the building density was extremely

high, and the public space was scarce, accounting for only 1.4% of the total area. Hot

475 Spot C was located along the edge of the city centre and was surrounded by main

- 476 arterial roads (**Fig. 5-c**). This area included both old and new communities, with high
- 477 building and population concentrations. Our results implied that residents living in the
- 478 compact urban core tended to have a higher risk of stroke, whereas residents who
- 479 lived in the urban fringe were less likely to be diagnosed with stroke.

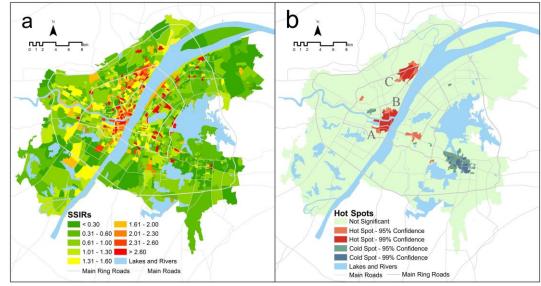




Figure 4. Distribution and high-risk clusters of stroke risks.

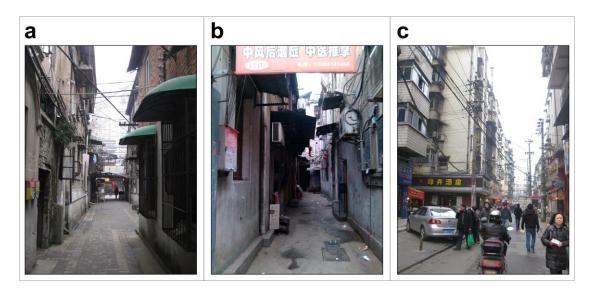
**Table 3** Comparison of stroke risks between the urban core and the urban fringe.

| SSIRs   | <1.0  | 1.0-2.0 | 2.0-3.0 | >3.0 |
|---|-------|---------|---------|------|
| Proportion of communities within urban core (%)   | 37.25 | 38.93   | 15.42   | 8.40 |
| Proportion of communities within urban fringe (%) | 59.79 | 30.12   | 5.90    | 4.19 |

**Table 4** Comparison of the hot spots and the overall study area in terms of density.

| Area       | PD      | NPD      | BD    | FAR  |
|------------|---------|----------|-------|------|
| Study Area | 87.735  | 446.860  | 0.138 | 1.83 |
| Hot Spot A | 210.179 | 459.089  | 0.362 | 2.31 |
| Hot Spot B | 886.891 | 1257.958 | 0.671 | 2.63 |
| Hot Spot C | 176.361 | 551.419  | 0.198 | 1.74 |

*Abbreviations:* population density (PD), net population density (NPD), building density (BD), floor area ratio (FAR)
 486



**Figure 5**. Typical cityscapes in the high-risk clusters for stroke: (a) Hot Spot A; (b) Hot Spot B; (c) Hot Spot C.

491 4.2. Impact of BEs on stroke

492 Using the Bayesian CAR analysis, we identified an association between stroke risk 493 and BE variables (Table 5). With regard to the sociodemographic characteristics of communities, we found that the percentage of older adults was positively correlated 494 with stroke risk, which implied that a higher risk of stroke was observed in ageing 495 communities. Land-use patterns were also closely associated with stroke. The 496 proportion of institutional land use was negatively associated with stroke risk, 497 indicating that people were less prone to strokes in communities with larger amounts 498 499 of institutional land use. However, there was no significant correlation between the land-use mix variables and stroke risk. The association between stroke risk and the 500 transport system also remained unclear in our research. Regarding access to open 501 spaces, both CPs and UOPs were negatively related to stroke risk, suggesting 502 communities with greater area devoted to parks were potentially beneficial for stroke 503 prevention. Regarding facilities, only medical care facilities acted as negative 504 505 predictors of stroke risk, which indicated that better access to medical care facilities could benefit stroke prevention. Additionally, the results showed that building density 506 was positively related to stroke, and such findings were similar to another density 507 indicator: net population density. FAR was not significantly related to stroke risk, 508 which indicated that residents living in crowded communities rather than high-FAR 509 communities were more prone to stroke. In other words, high-rise buildings alone 510 511 were not correlated with stroke risk in Wuhan, China.

| Domains                               | Variables <sup>a</sup>      | Mean    | 2.5% CI <sup>b</sup> | 97.5% CI <sup>b</sup> |
|---------------------------------------|-----------------------------|---------|----------------------|-----------------------|
| Sociodemographic                      | Male                        | 0.0271  | -0.0612              | 0.1217                |
| Characteristics                       | Older Adult *               | 0.2502  | 0.1153               | 0.3965                |
|                                       | Adult                       | 0.0096  | -0.0430              | 0.0686                |
|                                       | Housing Price               | 0.1026  | -0.0419              | 0.2090                |
| Land-use Pattern                      | SAM                         | -0.0663 | -0.1932              | 0.0525                |
|                                       | SREM                        | -0.0483 | -0.1647              | 0.0608                |
|                                       | Institutional Land Use *    | -0.1422 | -0.2592              | -0.0177               |
|                                       | Industrial Land Use         | -0.0535 | -0.2048              | 0.0804                |
| Transport                             | Intersection                | -0.0901 | -0.2118              | 0.0393                |
|                                       | Sidewalk                    | -0.0694 | -0.1972              | 0.0612                |
|                                       | Metro Station               | 0.0333  | -0.0612              | 0.1261                |
| Open Space                            | CP *                        | -0.1714 | -0.3265              | -0.0099               |
|                                       | UOP *                       | -0.1573 | -0.2787              | -0.0471               |
|                                       | Square                      | -0.0208 | -0.1209              | 0.0813                |
| Facilities                            | Catering                    | 0.0344  | -0.0583              | 0.1313                |
|                                       | Medical Care *              | -0.1785 | -0.3122              | -0.0403               |
| Architectural                         | Building Density *          | 0.2857  | 0.1256               | 0.4516                |
| Characteristics                       | FAR                         | 0.0110  | -0.0604              | 0.0704                |
| Population Density                    | Net Population Density *    | 0.1988  | 0.0583               | 0.3347                |
| Employment Density<br>Model Fit (DIC) | Employment Density 4870.805 | 0.0584  | -0.1059              | 0.2326                |

513 **Table 5** BE-stroke association.

<sup>a</sup> Independent variables have been standardised.

<sup>b</sup> CI denotes the credible interval.

516 \* Significant at *p*<0.05

## 517 5. Discussion

# 518 5.1. Health benefits for stroke prevention related to BEs

Our research has identified several community-BE elements that could deliver health 519 benefits for stroke prevention after controlling for sociodemographic characteristics. 520 521 First, a lower stroke risk was observed in communities with greater area devoted to institutional land use, which might be attributed to the increase in PA (Su et al. 2016). 522 Similar findings can be found in research by Su et al. (2016) who revealed that the 523 abundance of institutional land use is negatively correlated with risks of cardiopathy 524 and hypertension in Shenzhen, China. In contrast, land-use mix measures were not 525 significantly correlated with stroke risk, which was inconsistent with previous 526 findings that showed positive health benefits from mixed land use in urban China, 527 such as lower risk of hypertension, from mixed land use in urban China (Su et al. 528

- 529 2016) and more PA (Gao, Ahern, and Koshland 2016). Second, residents in
- 530 communities with sufficient park areas were less likely to suffer from strokes because

531 parks offer valuable opportunities for interpersonal socialisation and participation in 532 modest daily PA (Mowen and Rung 2016, Kaczynski et al. 2009). This finding is 533 consistent with the research by Chum and Patricia (2013) and Chum and O'Campo (2015) on cardiovascular diseases in Toronto, Canada. Although CPs were of lower 534 535 quality in terms of size, management and amenities than UOPs, our results suggested that both types of parks were conducive to reducing stroke risk. In contrast, the 536 association between stroke risk and squares remained unclear. Third, communities 537 with closer medical care facilities tended to have lower stroke risk, further 538 emphasising the role of both public primary and tertiary medical care facilities in 539 stroke prevention. This finding might be attributed to the crucial role of these health 540 services in disease prevention and health information dissemination (Anasi 2012). 541 Despite the difference in subject matter, this finding is partly consistent with the 542 research by McLafferty and Wang (2009) conducted at a similar lifestyle-associated 543 health outcome level (i.e., late-stage cancer risk) in Illinois, U.S. 544 545 Moreover, these elements were identified under the consideration of the multiplicity of potential stroke-associated BEs. Given the multiple pathways linking BEs and 546

547 stroke, the identification is of relatively high robustness. It should also be noted that

these salubrious elements lie within various BE dimensions. These issues, to a large

549 extent, imply that incomprehensive conceptual frameworks focusing on

exclusive/narrow BE domains might not sufficiently capture the intricate BE-strokenexus.

# 552 5.2. High-density urban context correlates to stroke

The current research also yielded insight into the association between high-density 553 554 development and increased stroke risk. The geographical examination showed that residents living in dense and compact urban cores tended to have a higher risk of 555 stroke than residents living in the urban fringe. The statistical analyses revealed that 556 net population density and building density are positively correlated with stroke risk. 557 One reasonable explanation is that air pollution and decreased PA might act as 558 mediators of these associations. Many studies have concluded that high-density 559 560 development, especially in the central areas of cities, is positively associated with ambient air pollution (Frank and Engelke 2005, Shi et al. 2017). Several major air 561 pollution sources, e.g., use of personal vehicles, discharge of domestic refuse, and 562 fuel/gas consumption, are remarkably more prevalent in populated and dense areas 563 (Hixson et al. 2012). Increased human activities and building construction in these 564

areas also contribute to the exacerbation of the urban heat island (<u>Sharifi 2019</u>), in

turn potentially obstructing the air flow condition and diffusion of pollutants (<u>Agarwal</u>

<sup>567</sup> and Tandon 2010). Moreover, exercising and walking in polluted environments can

568 expose residents to combustion-related airborne pollutants and amplify the deleterious

569 effects of harmful air pollution (<u>Li et al. 2015</u>). <u>Li et al. (2015</u>) argued that such

570 situations can be severe in urban China due to the worsening air pollution crisis and

571 the prevalence of outdoor-oriented activities.

572 Although prior studies have found that high-density and compact communities do

573 provide residents with opportunities to engage in PA in developed countries (e.g.,

574 Frank et al. (2005); Rundle et al. (2007)), several findings supporting the opposite

575 conclusion have been observed regarding high-density developing countries (e.g.,

576 Salvo et al. (2014); Reis et al. (2013)). A recent study of ultra-dense Zhongshan,

577 China, found that, after the data were controlled for sociodemographic characteristics

and other BE elements, an increase in population density would hinder both the total

579 walking frequency and the total walking duration of older adults, who are particularly

580 vulnerable to stroke (Zhang et al. 2014). Lu, Xiao, and Ye (2016) argued that there

might be a threshold effect of density on walking, and hence, the excessive high-

density urban context might obstruct participation in PA, at least to some extent.

In addition, density is considered to be a primary factor in planning practices and inevitably has an impact on the layout and formation of various BE elements; naturally, factors that support stroke prevention might be involved. To wit, the effects of urban density on stroke might be *inherently* underestimated in our results from the perspective of practices. Thus, Spearman's correlation coefficient was employed to examine the associations between density and stroke-related indicators (**Table 6**).

As shown in **Table 6**, building density is strongly and negatively correlated with the proportion of area devoted to public parks within communities (i.e., CPs and UOPs, with correlation coefficients less than -0.3). Similar but weaker trends are also

592 observed between building density and the proportion of institutional land use and

between net population density and park variables. Higher densities, especially

building density, might decrease the areas devoted to institutional land use and parks,

595 which potentially hinders recreational activities. Within this context, decreased

leisure-time PA has been generally associated with high building density in urban

597 China (Su et al. 2014, Xu et al. 2010). For example, a cross-sectional study in Hong

598 Kong, where the population density is comparable to that of our study area, found

- that, compared with medium-density areas, less leisure-time walking was observed in
- 600 high-density neighbourhoods (Lu, Xiao, and Ye 2016). Moreover, Day (2016) argued
- 601 that excessive density in Chinese megacities might lead to perceived overcrowding,
- thereby reducing recreational PA. The crowding barrier reflects, to a large extent, an
- 603 imbalanced supply-demand relationship between local residents and space/facilities
- 604 for PA; correspondingly, the local population density might have negative
- 605 implications for the individual availability of open spaces/facilities due to the barriers
- 606 presented by crowds (<u>Ekkel and de Vries 2017</u>). In this vein, recent research by <u>Xie et</u>
- 607 <u>al. (2018)</u> on urban China highlighted the role of crowding in inducing chronic health
- 608 conditions, particularly cardiovascular diseases, by obstructing participation in park-
- based PA. Therefore, even considering the relatively weak association between
- 610 population density and the proportion of areas devoted to institutional land use and
- open space, highly dense communities potentially hinder leisure-time PA due to the
- 612 exacerbation of perceived overcrowding and the supply-demand imbalance.
- 613

## **Table 6** Summary of Spearman's correlation coefficients.

| Variables              | Older Adult | Institutional<br>Land Use | CP <sup>a</sup> | UOP <sup>b</sup> | MCF      |
|------------------------|-------------|---------------------------|-----------------|------------------|----------|
| Net Population Density | 0.198 **    | -0.052                    | -0.267 **       | -0.209 **        | 0.166 ** |
| Building Density       | 0.320 **    | -0.151 *                  | -0.373 **       | -0.380 **        | 0.109 ** |

615 *Abbreviations:* community park (NP), urban omnibus park (UOP), floor area ratio (FAR), 616 medical care facilities (MCF)

617 \* denotes that the correlation reaches statistical significance at the 0.05 level.

618 \*\* denotes that the correlation reaches statistical significance at the 0.01 level.

620 5.3. Planning as a tool for proactive health intervention

621 Planning intervention has emerged as a crucial need for a healthy urban agenda, since

622 it is deemed a more proactive, fundamental, far-reaching, and longstanding strategy

623 for health promotion than programmes that solely focus on changing the behaviours

of small groups (<u>Barton and Grant 2013</u>). Some planning implications can be drawn

- 625 from the current research. First, our research indicates that high-density development
- 626 could be a potential public health concern, at least for stroke, which calls for the need
- to scrutinise and reconsider the applicability of the prevailing compact urban
- developments in transitional cities. Historically, compactness has been extensively
- acknowledged as an effective urban form that supports healthy urban living in
- 630 developed countries (Ewing and Hamidi 2015). However, in many transitional
- 631 countries such as China, population growth has continuously accelerated due to rapid

632 economic growth and large population size (Wen and Goodman 2013). Meanwhile, to pursue economic benefits and meet surging residential requirements, urban housing 633 634 provisions are generally dominated by market-oriented programmes that are largely based on low-mixed/exclusive residential use (Shin 2014). Consequently, in recent 635 636 decades and in the immediate future, the population and building density in transitional cities has and will continue to overload. Therefore, the applicability of 637 compact urban development in these ultra-dense cities should be examined via 638 systematic health impact assessments. Additionally, if such developments are 639 identified as insalubrious, planners might need to consider de-densifying crowded 640 urban cores and alleviating the extremely unbalanced distribution of population across 641 urban areas. A rational way to achieve these goals is to promote the 'new 642 suburbanisation' that aims at developing multifunctional centres to coordinate 643 residential settlements, commercial areas, and workspaces (Shen and Wu 2017). 644 Second, as indicated by statistical analyses, several BE elements, i.e., the abundance 645 of parks and institutional land and access to medical care facilities, still provide 646 residents with the benefits of stroke prevention regardless of urban density. Therefore, 647 648 the guarantee of sufficient opportunities for residents to access these salubrious resources could be seen as a crucial goal of planning practices for health promotion, 649 especially considering that urban densities in transitional cities will inevitably 650 increase in the future. Under such a scenario, planning practitioners should try to 651 provide adequate urban therapeutic landscapes within communities (e.g., institutional 652 land and parks). Medical facilities at various levels should also be delicately 653 configured to ensure residents can access health care services, at least primary health 654 care services, that are within walking distance. 655

Third, intervention scheme planning for health promotion and the reliability of the corresponding assessment projects of the schemes largely relies on systematic and

658 comprehensive frameworks (Ross et al. 2012). By looking at the BE-stroke relation,

our research highlighted the necessity of considering the multiplicity of health-related

BEs in the development of these frameworks. Although the intricate nexus between

BEs and lifestyle-associated health outcomes might vary with changes in focal cities,

662 comprehensive conceptual frameworks allow planners to identify relevant BE

elements accurately and to change them in a targeted manner.

#### 664 **6.** Conclusion

Deciphering the nexus between BEs and lifestyle-associated health outcomes is of 665 capital importance for crafting planning interventions. To this end, this article 666 presented a conceptual framework of BE-stroke relation. Using Bayesian CAR 667 methods, the research team was able to visualise the spatial distribution of stroke risk 668 and identify BE correlates of stroke in the study area. The results revealed that BE 669 670 variables were significantly associated with stroke risk at the community level after controlling for sociodemographic characteristics. The following determinants were 671 identified: net population density, building density, an abundance of parks and 672 673 institutional land, and access to medical facilities.

The current research has made new achievements as follows: (1) it elucidated the 674 675 multiplicity of stroke-related BE elements and established a conceptual framework for capturing the intricate BE-stroke nexus; (2) inconsistent with prior studies conducted 676 677 in developed countries, it indicated that compact urban developments might not be a panacea for public health promotion, at least not for stroke prevention; and (3) it 678 identified several salubrious BE elements that act against the prevalence of stroke. As 679 such, we argue that, given the multiple pathways connecting BEs and lifestyle-680 associated health outcomes, the establishment of comprehensive conceptual 681 frameworks that sufficiently consider the multiplicity of health-related BEs deserves 682 to be highlighted in further research, intervention schemes, and assessment projects. 683 Policy makers and planning practitioners should scrutinise the applicability of 684 prevalent compact urban developments in transitional cities. Additionally, more 685 efforts should be made to guarantee access to the identified salubrious BE resources, 686 especially considering that the urban densities in transitional countries will inevitably 687 688 increase.

We end by acknowledging the limitations of our research. First, only one-year stroke 689 records are included, while the distribution of stroke risks might vary over time. 690 Second, detailed information about community-level air pollution and diseases that 691 are precursors to stroke is not taken into consideration due to data availability and 692 693 privacy protections in China. Excluding these variables might exaggerate the influence of BEs on stroke. Third, this study reveals only the stroke-BE correlations 694 rather than causal relationships. Future studies could employ longitudinal datasets to 695 eliminate temporal variation in stroke. The mediators of the association between the 696 BE and stroke risk could be quantified. Additionally, a more sophisticated research 697

design (e.g., a quasi-experimental design) could be implemented to better understand

699 the causal relationship between BEs and stroke.

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