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A Stimuli-responsive Hybrid Polymer for Enhanced Solid-liquid Separation of Industrial Effluents

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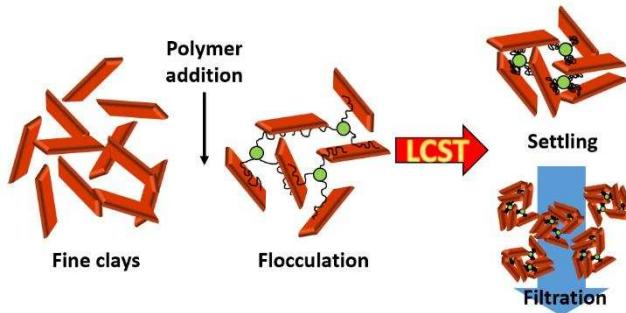
Abstract

In the current study, a novel stimuli-responsive hybrid polymer with aluminium hydroxide colloids incorporated into a cationic copolymer of N-isopropylacrylamide and N-[3-(dimethylamino)propyl] methacrylamide was synthesized to enhance the settling and filtration performance of fine clay suspensions. The conformation of the synthesized hybrid copolymer was shown to respond to changes in both temperature and pH. Compared with a cationic copolymer of similar structure without aluminium hydroxide colloids, settling and filtration rates were significantly enhanced using the hybrid copolymer, which is attributed to the synergy between the inorganic aluminium hydroxide cores and organic copolymer. While the ideal treatment protocol for the hybrid polymer involved the addition of the polymer at room temperature, followed by heating to 45°C for enhanced settling and dewatering, the synergistic effect between colloidal

22 cores and polymer also allowed the hybrid polymer to perform well when added at temperatures
23 above the LCST, demonstrating the robustness of the hybrid polymer to the process environment.
24 The ideal treatment protocol resulted in optimal polymer-clay adsorption before inducing a coil-
25 globule transition to form large and dense flocs, resulting in a porous filter cake.

26

27 **Abstract Art**



28

29

30

31 **1. Introduction**

32 Effective solid-liquid separation of fine particles, such as the treatment of fluid-fine sludge (e.g.
33 bioreactor wastes) or mineral processing tailings, is an on-going environmental challenge for a
34 number of process industries. Addition of polymeric flocculants to enhance fine particle
35 aggregation produces fast-settling particles, but the loosely-packed flocs retain a significant
36 amount of trapped water and the resulting soft sludge remains undesirable for long-term
37 containment. Fine clays, typically less than 2 μm , are often difficult to settle and consolidate,
38 producing voluminous wastes that are discharged to tailings ponds.^{1,2} Between the 1960s and

39 2000s, tailings production increased from tens to hundreds of thousands of tons per day,³ a direct
40 consequence of increased demand for minerals and metals coupled with exploitation of lower
41 grade ores. Such rapid growth in tailings generation has led to many large volume tailings ponds
42 being constructed around the world.¹ While the significant amount of water retained in the tailings
43 can be considered a critical commodity for industry and certain global regions, tailings ponds are
44 an environmental hazard and an eyesore.² The 2019 incident in Brumadinho, Brazil once again
45 highlights the catastrophic damage that results from the failure of a tailings dam. Not being an
46 isolated incident in recent times, more efforts are needed to provide new strategies to rapidly
47 dewater tailings, ensuring that the mined waste is stored in a more stable form to reduce the hazard
48 associated with tailings disposal.⁴

49 Water recovery from fine tailings is necessary to reduce the volume of waste discharged and
50 increase water recycled. Chemical treatment using coagulants and polymeric flocculants is often
51 used by industry to accelerate the settling and dewatering of fine tailings.⁵ While commercial
52 flocculants such as polyacrylamides (PAM) generally produce large flocs which improve settling,
53 these flocs are porous forming a low density sediment with a substantial amount of water retained.
54 It is therefore highly desirable if not necessary to improve the floc characteristics by controlling
55 the polymer chemical structure and functionality.^{6,7}

56 Responsive polymers have distinct chemistry that enables conformational changes under the
57 influence of a stimulus.^{8,9} Temperature and pH responses are often preferred due to their ease for
58 implementation in industrial processes. Poly(N-isopropylacrylamide) (pNIPAM) is a temperature-
59 responsive polymer which exhibits a sharp temperature-induced transition from hydrophilic
60 (extended coils) to hydrophobic (globules) as the temperature surpasses its lower critical solution
61 temperature (LCST) of ~32 °C.¹⁰ By exploiting this conformational change, the polymer can

62 aggregate fines in the extended-state before densifying to form tightly-packed aggregates for
63 settling and dewatering.¹¹⁻¹⁴ Incorporating polyelectrolytes via copolymerization can further
64 increase the polymer-particle interaction.^{15,16} Deng and Pelton used a copolymer of NIPAM and
65 (methacrylamido)-propyltrimethylammonium chloride (MAPTAC) to flocculate TiO₂,¹⁷ with
66 enhanced consolidation demonstrated using a copolymer of N, N-dimethylaminopropylacrylamide
67 (DMAPAA) and NIPAM.^{18,19} Franks and coworkers incorporated dimethylaminoethylacrylate
68 quaternary ammonium (DQA) into their NIPAM copolymer to flocculate silica particles.²⁰

69 Hybrid polymers of organic and inorganic components at the molecular level represent an area of
70 significant interest.²¹ These hybrid polymers offer new opportunity to treat complex systems and
71 outperform their individual counterparts. Recent research has demonstrated that hybrid polymers
72 such as Al(OH)₃-polyacrylamide (Al-PAM) and Fe(OH)₃-polyacrylamide (Fe-PAM)²²⁻²⁷ readily
73 flocculate fine clays, with increased aggregation performance attributed to the combined effect of
74 coagulation and flocculation by the inorganic and organic components, respectively.

75 In the current study, a novel inorganic-organic hybrid polymer with stimuli-responsive properties
76 was synthesized. The novel hybrid polymer consists of the temperature- and pH-responsive
77 cationic copolymers of NIPAM and N-[3-(dimethylamino)propyl]methacrylamide (DMAPMA),
78 incorporated with aluminum hydroxide colloids. The research objective was to systematically
79 evaluate the flocculation performance of the stimuli-responsive hybrid polymer and corresponding
80 cationic copolymer of similar molecular weight and composition.

81

82 **2. Materials and Methods**

83 2.1. Materials

84 Kaolinite (K2-500) was purchased from Fisher Scientific. The d_{50} particle size was determined
85 using a particle size analyzer (Malvern Mastersizer 2000, UK) to be 3.8 μm with a uniformity
86 coefficient (d_{60}/d_{10}) of 31.5, confirming a broad particle size distribution ($d_{90} = 15.6 \mu\text{m}$).

87 To synthesize the desired responsive organic-inorganic hybrid polymers, monomer N-
88 isopropylacrylamide (NIPAM) (99%) was purchased from Fisher Scientific, while monomer N-
89 [3-(dimethylamino)propylmethacrylamide (DMAPMA) (> 99%), accelerator N, N, N', N'-
90 tetramethylethylenediamine (TEMED), initiator ammonium persulfate (APS), aluminum chloride
91 (99%) and ammonium carbonate (> 99%) were purchased from Sigma-Aldrich. All chemicals
92 were used without further purification.

93 2.2. Synthesis of p(NIPAM-co-DMAPMA)

94 The cationic copolymer p(NIPAM-co-DMAPMA) (abbreviated to CP) was synthesized by free
95 radical polymerization in the presence of redox initiators, as described by Sakohara and
96 Nishikawa.¹⁹ CP was synthesized in-house where p(NIPAM₉₅-co-DMAPMA₅) (CP05) contained
97 5 mol.% DMAPMA. 5 g of monomers were used for the synthesis of the copolymer. In a 100 mL
98 3-necked glass flask, monomers were dissolved in 50 mL Milli-Q water (resistivity of 18.2
99 MΩ.cm) with 45 μL of TEMED added as a reaction accelerant. The mixture was continuously
100 sparged with nitrogen (grade 5.0) and stirred at 250 rpm, while the reactor flask was kept dark to
101 prevent unwanted light exposure to the reactants. After 1 h of N₂ purging, 2.3 mL of APS dissolved
102 in Milli-Q water (10 g/L) was added to the mixture at a rate of 1 mL/min to initiate polymerization.
103 N₂ purging and mixing were stopped 10 min after initiator addition. The reactor flask was then
104 sealed, and the polymerization reaction was allowed to proceed for 2 h at room temperature. The

105 synthesized polymer was in the form of a transparent gel which was diluted to 0.5 g/L using Milli-
106 Q water. The polymer solution was then purified using seamless cellulose dialysis tubing (Fisher
107 Scientific) with Milli-Q water for 48 h, which retained material with molecular weights higher
108 than 12,000 Da. The purified solution was freeze-dried to obtain the final product.

109 2.3. Synthesis of Al(OH)₃-p(NIPAM-co-DMAPMA) Hybrid

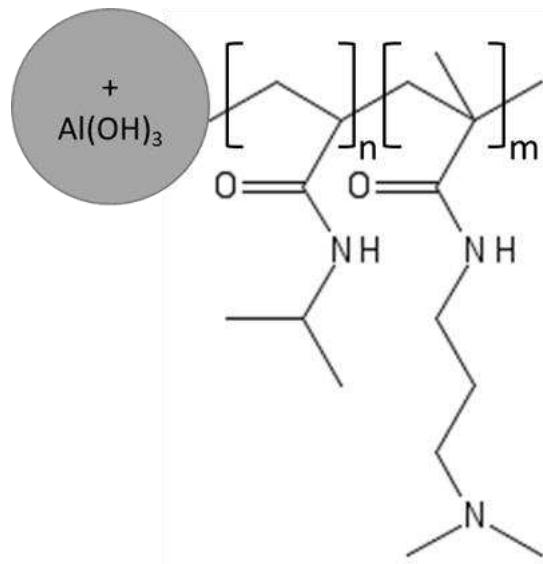
110 Aluminum hydroxide colloids were prepared by adding solutions of ammonium carbonate to
111 aluminum chloride under vigorous mixing.²² 25 g of 0.1 M AlCl₃ solution and 50 g of 0.1 M
112 (NH₄)₂CO₃ solution were prepared in two separate 250 mL beakers. Using a peristaltic pump, 36
113 g of (NH₄)₂CO₃ solution was added to the AlCl₃ solution at a rate of 0.5 g/min, with the mixture
114 being stirred continuously at 500 rpm. Following addition, the mixture was stirred at 300 rpm for
115 1 h to complete the reaction. The Al(OH)₃ colloids were prepared one day prior to synthesis of the
116 desired hybrid polymer, Al(OH)₃-p(NIPAM-co-DMAPMA). Particle size and zeta potential of the
117 prepared aluminum hydroxide colloids were measured using a ZetaSizer Nano ZS (Malvern, UK),
118 before synthesizing the inorganic-organic hybrid polymer, see Table 1.

119 **Table 1.** Physical properties of aluminum hydroxide colloids.

pH	5.58
Intensity-averaged particle size (nm)	34.2
PDI	0.224
ζ-potential (mV)	39.3

120
121 The hybrid polymer, Al(OH)₃-p(NIPAM₉₅-co-DMAPMA₅) (Al-CP05) (Figure 1), was synthesized
122 using the procedures described for CP, with a slight difference of replacing Milli-Q water with 50
123 mL of the prepared Al(OH)₃ colloidal suspension (0.3 wt.%).²² Following polymerization, the

124 white gel of the formed hybrid polymer was diluted with Milli-Q water to 0.5 g/L and purified by
125 dialysis. The final polymer product was obtained by drying the product at 45°C.



126

127 **Figure 1.** Molecular representation of Al-CP05.

128 2.4. Molecular Weight Determination of Synthesized Polymers

129 The molecular weights of the synthesized polymers were estimated using an Ubbelohde
130 viscometer (CANNON Instrument Company, USA) to measure the intrinsic viscosity (as a
131 function of the polymer concentration) of the polymer in tetrahydrofuran solution at 27 °C. The
132 viscosity-average molecular weight (\bar{M}_v) is then related to the intrinsic viscosity ($[\eta]$) by:²⁸

$$[\eta] = 9.59 \times 10^{-3} \bar{M}_v^{0.65} \quad (1)$$

133 The measured intrinsic viscosities and polymer molecular weights are summarized in Table 2,
134 along with the aluminum content measured by atomic absorption spectrometry (Varian SpectrAA
135 220FS, Australia).

136 2.5. Lower Critical Solution Temperature (LCST) of Synthesized Polymers

137 For the temperature-responsive polymers the solution was clear below the LCST and became
138 turbid as the temperature exceeded the LCST, confirming the hydrophilic-hydrophobic transition
139 of the synthesized polymers. A turbidimeter (DRT-15CE, HF Scientific, USA) was used to
140 accurately determine the LCST of each polymer, which in the current study was defined as the
141 mid-point of the transition zone of the turbidity-temperature dependence curve (see Table 2). The
142 turbidity was expressed in Nephelometric Turbidity Unit (NTU). Further characterization of the
143 two synthesized polymers, including the conductivities of the polymer solutions (Figure S4) and
144 IR spectra (Figure S5) was performed with the results being provided in the Supporting
145 Information.

146 **Table 2.** Polymer composition, intrinsic viscosity, molecular weight, LCST and aluminium
147 content of CP05 and Al-CP05.

Polymer	Abbreviation	$[\eta]$ (mL/g)	\bar{M}_v (MDa)	LCST _{pH8.5} (°C)	Al content (wt.%)
p(NIPAM₉₅-co-DMAPMA₅)	CP05	135	2.4	38	-
Al(OH)₃-p(NIPAM₉₅-co-DMAPMA₅) hybrid	Al-CP05	125	2.1	37	0.09

Note: monomer subscript represents molar percentage.

148 2.6. Settling and Filtration Testing

149 Clay suspensions at 5 wt. % (95 g) solids were prepared by dispersing kaolinite in pH adjusted
150 Milli-Q water of 8.5. A 2000 ppm flocculant solution was prepared one day prior to its use. For
151 each experiment (settling and filtration), the 5 wt.% suspension was mixed using a mechanical
152 overhead stirrer (IKA RW20, Germany) with a four-blade axial flow impeller attachment (3.8 cm
153 dia. and 45° pitched). To fully disperse the particles the suspension was mixed for 5 min at 500

154 rpm at the desired temperature. The agitation speed was then adjusted to the pre-determined value
155 for each flocculant, 350 to 400 rpm for CP05 and 300 rpm for Al-CP05, with the optimum mixing
156 speed determined by the highest initial settling rate (ISR) obtained by adding 50 ppm polymer at
157 temperatures below or above the LCST. The flocculant solution was then added at 0.1 mL/s using
158 a micropipette.

159 For settling tests, the flocculated suspension was adjusted to the target temperature before
160 transferring the suspension to a 100 mL graduated cylinder for visual assessment of the settling
161 rate. The settling cylinder was inverted five times and placed in a water bath of equivalent
162 temperature. The settling rate was determined by observing the time-dependent position of the
163 mudline (supernatant-suspension interface). The ISR was determined from the slope of the
164 mudline height versus time curve. Following settling, the turbidity of the supernatant was
165 measured using the DRT-15CE turbidimeter. For consistency, the supernatant was sampled and
166 measured 15 min after the settling test.

167 For filtration tests, the flocculated suspension was adjusted to the target filtration temperature and
168 transferred to a laboratory filter press (Fann Instrument Company LPLT 300, USA) with a
169 filtration area of $45.8 \times 10^{-4} \text{ m}^2$. For high temperature filtration the elevated temperature was
170 maintained by a band heater enclosing the filter press. Special hardened filter paper (N87000,
171 Fann Instrument Company) with particle retention of 2-5 μm was used as the filter medium.
172 Filtration was conducted under a constant gauge pressure of 15 kPa using compressed air and the
173 mass of filtrate was monitored as a function of time by an electronic balance to obtain the filtration
174 curve. The filtration rate was determined by the slope of the filtration curve dm/dt which remained
175 almost constant during the initial stages of filtration. After 100 s of filtration the wet cake was
176 dried in a well-ventilated oven at 110 °C to a constant mass such that the final moisture content of

177 the filter cake could be determined. For a better comparison to previously published data, the
178 specific resistance to filtration (SRF) in m/kg was calculated as a measure of the filterability of a
179 suspension. Assuming the cake is incompressible at constant pressure drop, the integrated form of
180 Darcy's cake filtration equation with boundary condition of $V = 0$ at $t = 0$ can be expressed as:²⁹

$$\frac{t}{V} = \frac{\mu_f c SRF}{2A^2\Delta P} V + \frac{\mu_f R_m}{A\Delta P} \quad (2)$$

181
182 and the slope (b) of the t/V versus V plot can be used to determine the SRF:²³

$$SRF = \frac{2\Delta PA^2}{\mu_f c} b \quad (3)$$

183 where ΔP is the pressure drop in Pa; R_m the resistance of filter medium; A the area of filter in m^2 ,
184 μ_f the viscosity of filtrate in $Pa\cdot s$, c the mass of dry cake solids per filtrate volume in kg/m^3 , and
185 b the slope of t/V versus V plot.

186 Different mixing and settling protocols were considered to demonstrate the switching potential of
187 the synthesized hybrid polymer. For room temperature mixing and room temperature
188 settling/filtration (RM-RS/F), both polymer-suspension mixing and settling/filtration were
189 conducted at room temperature (23 °C). For room temperature mixing and high temperature
190 settling/filtration (RM-HS/F), mixing was conducted at room temperature (23 °C) and
191 settling/filtration at 45 °C. Both mixing and settling/filtration were conducted at 45 °C in the high
192 temperature mixing and high temperature settling/filtration (HM-HS/F) protocols.

193 The flocculation performance of the synthesized polymers was assessed by the ISR and turbidity
194 of the supernatant, while the dewatering performance was quantified by the filtration rate, SRF

195 and final moisture content of the filter cake. Each experiment was repeated three times with the
196 averaged data reported.

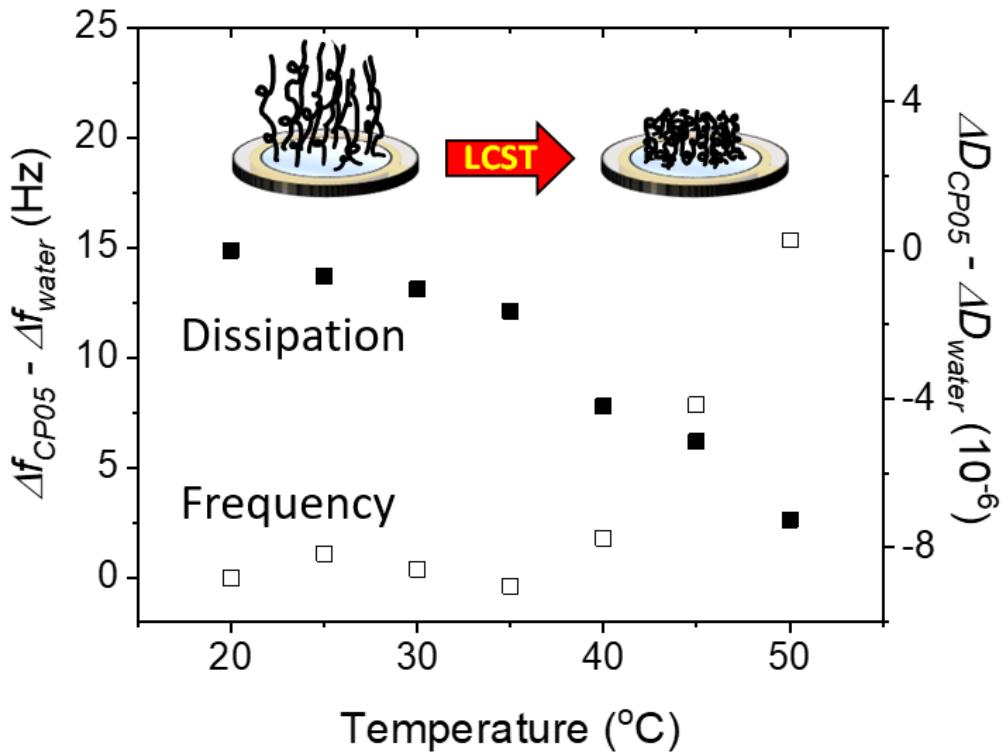
197 2.7. Quartz Crystal Microbalance with Dissipation (QCM-D)

198 QCM-D (Q-Sense E4, Sweden) was used to study the temperature response of CP05. The working
199 principles of QCM-D can be found elsewhere.³⁰ A silica QCM sensor was cleaned using 2% SDS
200 solution, UV-ozone for 20 min and rinsed thoroughly with Milli-Q water. With the temperature at
201 20°C, a solution of 500 ppm CP05 in Milli-Q water at pH 8.5 was pumped in to the QCM cell to
202 adsorb on the silica sensor. With a deposited CP05 layer formed (determined by a plateau in
203 frequency and dissipation signals), Milli-Q water was then pumped through the QCM cell to
204 remove excess polymer. Once a new equilibrium had been reached the flow of Milli-Q water was
205 stopped and the experiment re-started (find new f and D) with the resonance properties set to zero
206 values. With no fluid flow, the QCM cell was then heated from 20 to 50°C at 5°C increments,
207 ensuring that the resonance properties had stabilized before each step change. A second QCM cell
208 was run in parallel as a control experiment with the frequency and dissipation signals of a blank
209 QCM silica sensor (no deposited CP05) recorded for the same temperature sweep. Changes in
210 polymer confirmation resulting from a 5°C step increase were inferred from $\Delta f_{CP05} - \Delta f_{water}$ and
211 $\Delta D_{CP05} - \Delta D_{water}$ signals, with the water-only signal correcting for changes in fluid viscosity and
212 density.

213 **3. Results and Discussion**

214 3.1. CP05 Cationic Copolymer

215 The coil-globule transition of the temperature-responsive cationic polymer (CP05) was measured
216 by QCM-D. Figure 2 shows the relative changes in frequency ($\Delta f_{CP05} - \Delta f_{water}$) and dissipation
217 ($\Delta D_{CP05} - \Delta D_{water}$) caused by the adsorbed CP05 on QCM-D sensor and successive increase in
218 temperature of the QCM-D measurement cell. In the low temperature range (20 – 35°C), $\Delta f_{CP05} -$
219 Δf_{water} and $\Delta D_{CP05} - \Delta D_{water}$ values are close to zero with a slight decrease in ΔD , indicating a
220 negligible change in the mass of polymer adsorbed and the conformation of the adsorbed polymer.
221 The change observed in Δf and ΔD in response to temperature change is thus dominated by bulk
222 fluid properties (i.e. changes in fluid density and viscosity). As the temperature approaches the
223 LCST, changes in $\Delta f_{CP05} - \Delta f_{water}$ and $\Delta D_{CP05} - \Delta D_{water}$ become sensitive to the fluid
224 temperature, showing an increase in $\Delta f_{CP05} - \Delta f_{water}$ and a decrease in $\Delta D_{CP05} - \Delta D_{water}$ with
225 increasing the temperature of the fluid. Since the polymer remained adsorbed on silica sensor
226 surfaces as shown in Figure S1, the observed increase in $\Delta f_{CP05} - \Delta f_{water}$ arises most likely from
227 the release of the solvation water during the transition of the polymer from a hydrated swollen-
228 state (coil) to a dehydrated dense-state (globule) as the temperature increases to above the LCST,
229 leading to a more compact layer of adsorbed polymer as shown by a decrease in $\Delta D_{CP05} -$
230 ΔD_{water} .³⁰ The QCM-D results would suggest that the LCST for CP05 is in the range 35 – 40°C,
231 in good agreement with turbidity measurements (LCST = 38°C, Table 2).

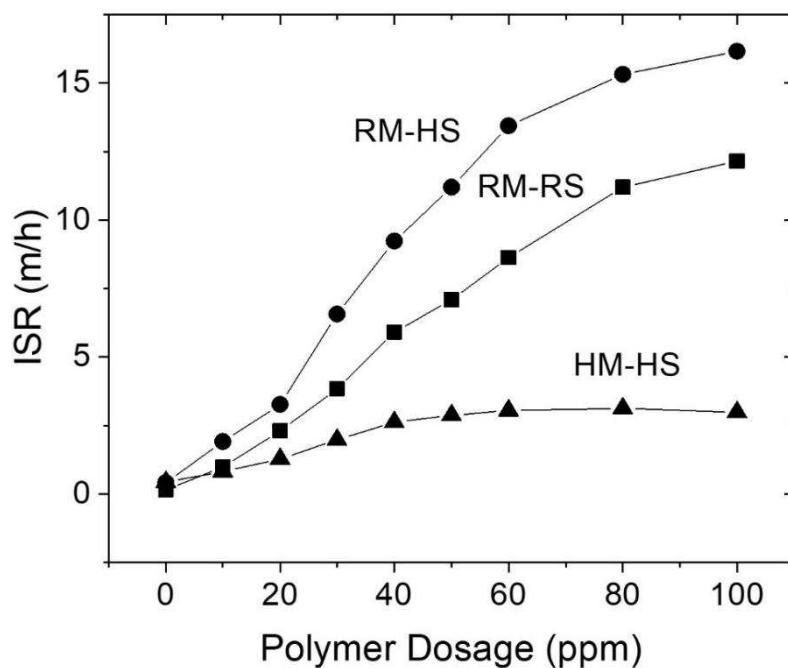


232

233 **Figure 2.** Relative changes in frequency and dissipation ($\Delta f_{CP05} - \Delta f_{water}$ and $\Delta D_{CP05} -$
 234 ΔD_{water}) as a function of the QCM-D measurement cell temperature. The LCST of CP05 is in the
 235 temperature range 35 – 40°C.

236 The flocculation of 5 wt.% kaolinite suspensions by CP05 and its stimuli-responsive behavior for
 237 improved performance was assessed as a function of the three testing protocols, see Figure 3. For
 238 low polymer concentration (10 ppm), all the ISRs were slow, suggesting a substantial underdose
 239 of polymer. Flocculation performance improved with increasing polymer concentration, and an
 240 optimum ISR was attained at ~100 ppm. The influence of the testing protocol was magnified at
 241 higher polymer concentrations with the flocculation performance decreasing in the order RM-HS
 242 > RM-RS > HM-HS. RM-HS immediately demonstrates the benefit of flocculating with a stimuli-
 243 responsive polymer. Details of such dependence on conformational changes are outlined in the
 244 discussion section, but good flocculation, followed by floc densification leads to higher settling

245 rates compared to non-responsive polymers (i.e. akin to the case of RM-RS). The worst
246 performing system HM-HS is a direct consequence of the globule conformation of CP05 not being
247 favorable to adsorb kaolinite particles. Many of the available binding sites are inaccessible, hence
248 flocculation efficiency is low. For homopolymers such as pNIPAM, it has previously been reported
249 that polymer chains tend to self-aggregate rather than adsorb on particle surfaces when added at
250 temperatures above the LCST.²⁰



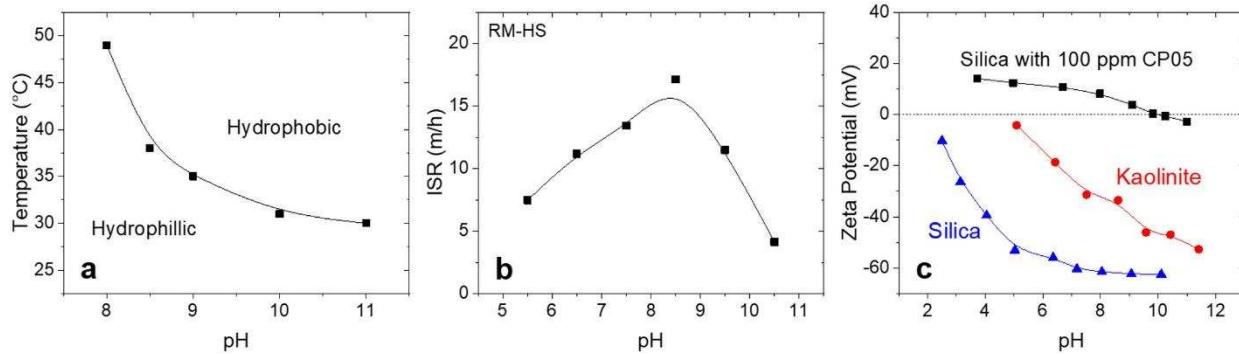
251
252 **Figure 3.** Initial settling rates of flocculated kaolinite suspensions (5 wt.%) as a function of CP05
253 dosage and testing protocol.

254 Incorporation of DMAPMA in the NIPAM backbone provides pH sensitivity with the ionizable
255 functional groups of DMAPMA able to donate or accept protons. The effect of pH on CP05 LCST
256 is shown in Figure 4a, with the LCST decreasing at higher pH (more basic). An 18°C shift in the
257 LCST was measured between pH 8 and 11. At high pH the polymer becomes more hydrophobic
258 due to deprotonation of the amide groups, reducing the LCST. The dual stimuli response

259 (temperature and pH) of CP05 provides greater durability of the polymer, with the LCST tuned by
260 controlling pH.

261 The ISR of flocculated 5 wt.% kaolinite suspensions was considered for the optimum testing
262 protocol (RM-HS) and as function of pH (Figure 4b). The highest settling rate was measured at
263 pH 8.5 with reduced performance as the pH was adjusted to more acidic and basic conditions. To
264 better understand the pH-dependent ISRs, zeta potentials of silica particles were measured in 100
265 ppm CP05 solution (Figure 4c). To measure the charge on the polymer, CP05 was first adsorbed
266 on silica at neutral pH with excess polymer being removed by washing. The adsorption of CP05
267 caused charge reversal of silica, suggesting not only positive charge of polymers, but also specific
268 binding and significant adsorption of positively charged polymer on silica surfaces. The pH-
269 dependent zeta potentials give some insights into the performance of polymers. At high pH,
270 deprotonation of DMAPMA ($pK_A = 8.9$) will reduce the cationic charge of CP05, and hence
271 reduce the affinity of the polymer on negatively charged kaolinite particles (predominantly
272 interacting with kaolinite basal planes). At pH 11 which is the LCST of the polymer at $\sim 30^\circ\text{C}$,
273 the polymer becomes less solvated than at pH 8.5, reducing the number of binding sites available
274 and hence resulting in poor flocculation of kaolinite particles (see previous discussion on testing
275 protocols).

276 Alternatively, in acidic conditions, protonation of the amide group produces a highly hydrophilic
277 polymer, however, flocculation performance reduces due to a weakened interaction potential
278 between CP05 and the slightly negatively charged kaolinite. Moreover, at pH 8 the LCST is
279 approaching 50°C with the polymer displaying weak temperature sensitivity, thus following the
280 protocol RM-HS (high temperature = 45°C), only partial densification of the floc is likely to occur.
281 Based on these findings the remaining study was conducted at pH 8.5.



282

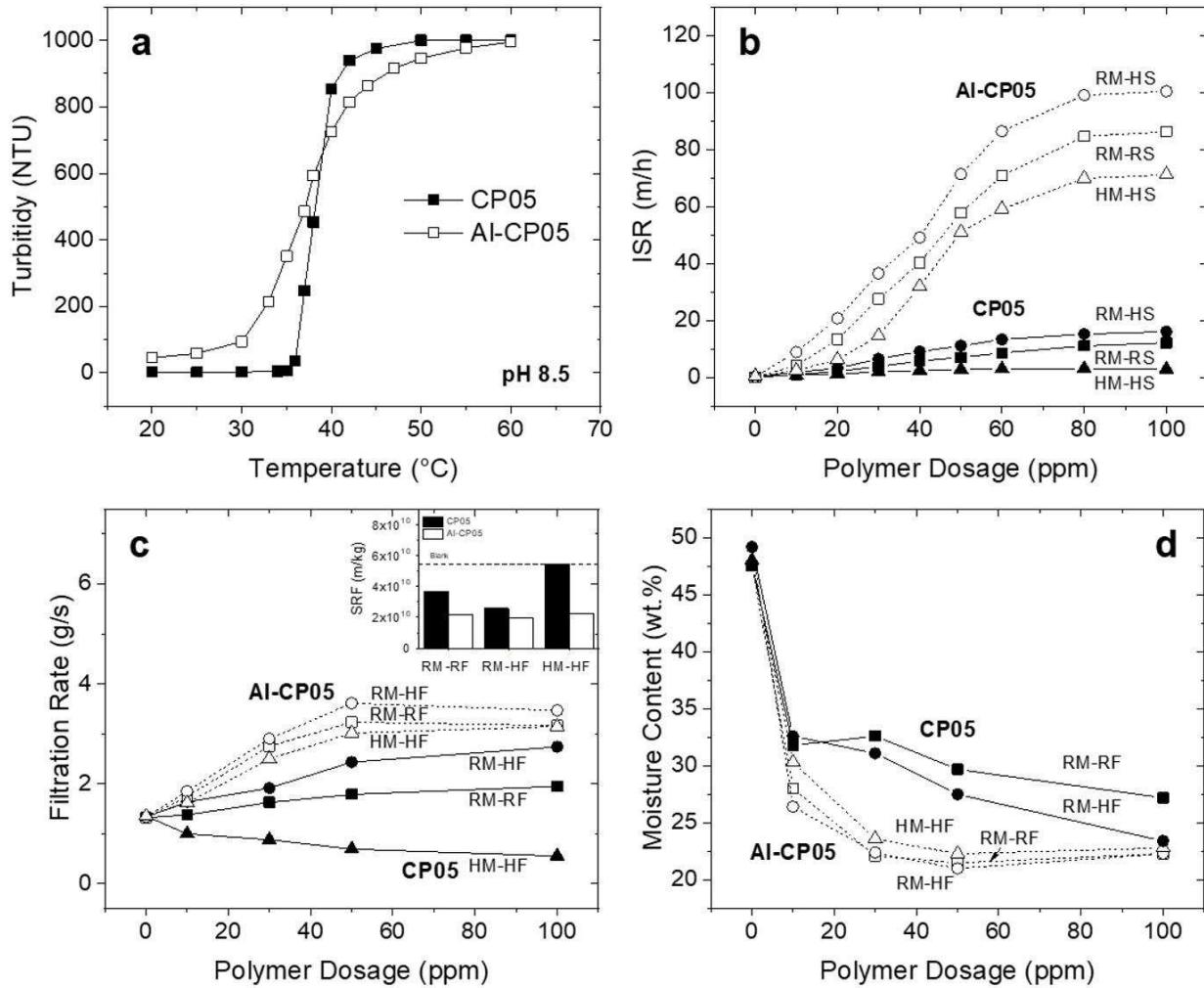
283 **Figure 4.** Effect of pH on the (a) LCST of 2000 ppm CP05 solution, (b) initial settling rate of 5
284 wt.% kaolinite suspensions with 100 ppm CP05, and (c) zeta potential (ζ) of kaolinite, silica and
285 silica particles with 100 ppm CP05. ζ measured in 10 mM KCl solution at 25 °C.

286 3.2. Al-CP05 Hybrid Polymer

287 While CP05 is an effective flocculant for kaolinite suspensions, it is anticipated that the
288 hybridization of CP05 with an inorganic coagulant (Al(OH)_3) can further improve flocculation
289 performance. The temperature response of CP05 and inorganic-organic hybrid polymer (Al-CP05)
290 were compared as shown in Figure 5a. The LCST of the hybrid Al-CP05 polymer was found to
291 be slightly lower than that of CP05, with Al-CP05 exhibiting a more gradual transition from
292 translucent to opaque state than CP05. The gradual change in turbidity likely arises from the
293 formation of a more hydrophilic and/or polydispersed polymer due to the incorporation of
294 aluminum hydroxide colloids.

295 The ISRs of 5 wt.% kaolinite suspensions flocculated by CP05 and Al-CP05 are shown in Figure
296 5b. To illustrate the synergistic role of incorporating Al(OH)_3 colloidal particles into the hybrid
297 flocculation, the settling performance for the co-addition of CP05 + Al(OH)_3 is shown in Figure
298 S2 for comparison. Dosing at the optimum polymer concentration (100 ppm), the ISRs of
299 flocculated suspensions can be compared for the different testing protocols. Both polymers

300 exhibited the same dependence on testing protocol with RM-HS and HM-HS producing the best
301 and worst flocculation/settling results. However, the ISRs for Al-CP05 consistently exceeded those
302 for CP05, with the ISR at 100 ppm measured to be ~100 m/h, six times greater than the ISR
303 measured for CP05. Since Al-CP05 and CP05 were synthesized with comparable molecular
304 weights and equivalent monomer ratio, the superior performance of Al-CP05 can be linked to the
305 increased charge density of the hybrid polymer which promotes effective dispersion of the polymer
306 chains around the trivalent colloid core (electrical double layer repulsion). As such, optimum
307 interaction (maximum number of adsorption sites) of the hybrid polymer with negatively charged
308 kaolinite particles can be achieved.²³ More interestingly, Al-CP05 was shown to maintain very
309 high settling rates even when the polymer was added at temperatures above the LCST (HM-HS).
310 This superior performance likely indicates that the aluminum hydroxide core increases the
311 hydrophilicity of the hybrid-polymer (as confirmed by turbidity measurements, see Figure 5a),
312 which in addition to the charged aluminum hydroxide core, minimizes the full extent of polymer
313 self-aggregation. Such characteristic is a unique property of Al-CP05 compared to CP05 (see
314 Figure 3, HM-HS) and other temperature-responsive flocculants (e.g. pNIPAM), improving the
315 flocculant robustness and applicability to perform in challenging process environments.



316

317 **Figure 5.** Performance comparison of CP05 and Al-CP05: LCST of polymer determined by
318 turbidity-temperature curves (a); initial settling rate of 5 wt.% kaolinite suspensions (b);
319 filtration rates of flocculated sediments with the specific resistance to filtration shown inset (dash line
320 represents no polymer addition) (c); and moisture content of filter cakes after 100 s filtration time
321 (d).

322 Supernatant turbidities were measured following 15 min settling. Without polymer addition the
323 supernatant appeared as a murky brown suspension. Following flocculation by either CP05 or Al-
324 CP05 the clarity of the supernatant significantly improved (Figure S3). For testing protocols RM-
325 RS and RM-HS the difference in turbidities for both polymers was negligible, suggesting good

326 flocculation performance. Those differences in ISR (Figure 5b) would have been mitigated by
327 sampling the supernatant after 15 min settling. While HM-HS increased the supernatant turbidity
328 of a CP05 flocculated suspension, the robust performance of Al-CP05 at high temperature was
329 again demonstrated by very low turbidities comparable to those measured for room temperature
330 flocculation. The effectiveness of Al-CP05 to flocculate ultra-fines in all conditions tested can
331 partly be attributed to the electrostatic interaction between the aluminum hydroxide colloid and
332 kaolinite particles, and the previously discussed increased charge density of the polymer.

333 Sediments formed by flocculating with CP05 and Al-CP05 were then filtered and the filtration
334 rate, specific resistance to filtration (SRF) and filter cake moisture content compared, see Figure
335 5c and d. Similar to the settling tests, the filtration performance was sensitive to the testing
336 protocol, and the filtration rate improved in the order HM-HF < RM-RF < RM-HF (observed for
337 both CP05 and Al-CP05). Regardless of the testing protocol, Al-CP05 outperformed CP05
338 (filtration rate and SRF). An optimum filtration rate of 3.6 g/s was measured for 50 ppm Al-CP05
339 by protocol RM-HF. This corresponded to a SRF of 2.0×10^{10} m/kg, lower than the SRF of a
340 dispersed (no flocculant added) 5 wt.% kaolinite suspension (5.5×10^{10} m/kg). For comparison,
341 SRFs of 8.2×10^{10} and 1.5×10^{12} m/kg have been reported for tailings containing 4.2 and 40.1
342 wt.% fines, respectively.²³ A lower SRF confirms a more permeable filter cake and thus improved
343 filtration. For CP05 polymer, the treatment protocol was shown to have a marked effect on
344 filtration performance, unlike Al-CP05, with performance diverging as the polymer dosage
345 increased. In particular, HM-HF for CP05 showed a worsening performance with increasing
346 polymer concentration.

347 As anticipated, the moisture content of the filter cake corresponded to those changes in filtration
348 rate, where increased filtration rates produced drier sediments. The protocol RM-HS produced the

349 driest sediments as the hydrophobic nature of the flocs facilitated dewatering. The Al-CP05 hybrid
350 polymer outperformed CP05, producing the lowest moisture content of 21 wt.% at 50 ppm Al-
351 CP05, reduced from ~48 wt.% without polymer addition. At 50 ppm, the moisture content of Al-
352 CP05 treated sediment was ~6 wt.% lower than the CP05 treated sediment, although the moisture
353 content of the CP05 treated sediment could be lowered to 23.5 wt.% by doubling the polymer
354 concentration. As shown in Figure 5c, the filtration protocol HM-HF for CP05 produced extremely
355 poor filtration rates, progressively worsening from zero polymer addition. Reduced performance
356 resulted from poor flocculation of ultra-fines and increased blinding of the filter medium, as such
357 the moisture content for this system was not considered.

358 3.3 Comparison of Polymer Performances

359 The benefit of using Al-CP05 has been demonstrated with the optimum performance to treat
360 suspended fine solids in water achieved by utilizing the temperature switch of the hybrid polymer,
361 see Graphical Abstract. Based on the QCM-D and flocculation results, the hybrid polymer is to be
362 added at temperatures below the LCST so that the polymer chains are fully extended, exposing the
363 aluminum hydroxide core and maximizing the number of polymer-particle binding sites.
364 Following flocculation, the floc can be densified by increasing the temperature above the LCST.
365 Under such conditions the extended polymer structure recoils towards the aluminum hydroxide
366 core, densifying the floc and squeezing retained water from the floc. The effective increase in floc
367 density promotes higher suspension settling rates. In a similar study using a temperature-
368 responsive inorganic-organic hybrid polymer, Al-NIPAM which incorporates the Al(OH)_3 core
369 with pNIPAM (major component of the CP05 and Al-CP05 polymers), the floc size measured by
370 focused beam reflectance measurement (FBRM) was shown to be almost unchanged at
371 temperatures below the LCST of the polymer (34°C). At temperatures above the LCST, the

372 measured floc size was found to decrease with further increasing the temperature. Despite this
373 decrease in floc size, an increase in ISR was observed, which resulted from densification of the
374 formed flocs.³¹

375 Due to similarities in initiating the polymerization, it is expected that Al-CP05 exhibits a similar
376 star-like structure to Al-PAM. The star-like polymer structure produces improved filtration
377 performance from induced pelleting flocculation and the formation of mushroom type flocs.^{23,32}
378 Such structures are also thought to form with Al-CP05, with the temperature switch producing
379 enhanced floc densification and filtration governed by fluid flow through the network of pellet-
380 like flocs.

381 Optimal polymer dosages were evaluated based on the two performance criteria: settling and
382 filtration. For CP05, a polymer concentration in excess of 100 ppm was required to result in the
383 best settling and filtration rates. For Al-CP05, the optimal polymer concentrations were lower with
384 the maximum settling and filtration rates measured for 100 ppm and 50 ppm dosages, respectively.
385 Although there is slight discrepancy in the optimum Al-CP05 dosage, 50 ppm can be considered
386 the optimum since the settling rate was shown to be in excess of 70 m/h, already quadruple the
387 settling rate of CP05 at 100 ppm.

388 A summary of performance properties of Al-CP05, other conventional and pNIPAM-based
389 flocculants is provided in Table 3. While the comparison is interesting, direct comparison of the
390 settling and filtration data should be carefully considered because flocculation and dewatering
391 performances can be dependent on test conditions. When compared to conventional polymers such
392 as anionic HPAM and non-ionic polyethylene oxide (PEO), hybrid polymers (Al-PAM and Al-
393 CP05) have the added advantage of producing larger flocs of controllable floc structure due to

394 contributions from the organic flocculant and inorganic coagulant. This has been exemplified by
 395 the low supernatant turbidity and enhanced filtration performance when using Al-PAM.^{23,24}

396 **Table 3.** Flocculation performance of previously studied polymers.

Polymer (Mw)	Susp. (pH)	Dosage	ISR	Turbidity	Filtration	Refs.
PEO (2.5 MDa)	8 wt.% kaolinite (7.5)	500 g/t	12.5 m/h (40 °C)	-	-	(33)
HPAM (2.7 MDa) 30% Anionic	8 wt.% kaolinite (7.5)	500 g/t	~5.7 m/h	-	-	(33)
PEO (2.5 MDa)	8 wt.% kaolinite (7.5)	1000 g/t	65 m/h	-	-	(34)
HPAM (2.7 MDa) 30% Anionic	8 wt.% kaolinite (7.5)	1000 g/t	~10.8 m/h	-	-	(34)
HPAM (17.5 MDa) ~27% Anionic	13.5 wt.% oil sands tailings, 40.1% solids < 44 µm (8.5)	30 ppm	~42.8 m/h	470 (10 min)	No filter cake formed	(23)
HPAM (17.5 MDa) ~27% Anionic	10 wt.% mature fine tailings, 96% solids < 44 µm (8.5)	100 ppm	8.8 m/h	162 NTU (5 min)	0.1 g/s (75 ppm)	(24)
Al-PAM (2.0 MDa)	10 wt.% mature fine tailings, 96% solids < 44 µm (8.5)	75 ppm	6.25 m/h	156 NTU (5 min)	0.6 g/s, 23 wt.% (10 min)	(24)
pNIPAM (3.2 MDa)	10 wt.% kaolinite (8.6)	500 ppm	2 m/h (40 °C)	N/A	-	(12)
pNIPAM (0.324 MDa)	10 wt.% mature fine tailings (8)	750 ppm	~3.6 m/h (50 °C)	~410 NTU (24 h)	-	(35)
p(AEMA-st-NIPAM) (0.961 MDa)	10 wt.% mature fine tailings (8)	1000 ppm	4.7 m/h (50 °C)	~170 NTU (24 h)	-	(35)

Al-CP05* (2.1 MDa)	5 wt.% kaolinite	50 ppm	71 m/h (45 °C)	5.6 NTU (15 min, 60 ppm)	3.6 g/s, 21 wt.% (100s)	N/A
0.09 wt.% Al	(8.5)					

397 *current study

398 Flocculation studies using pNIPAM have shown enhanced floc densification when the temperature
399 was raised above the LCST, following flocculation at temperatures below the LCST.^{12,36} However,
400 dosages were generally high and polymer addition was limited to temperature conditions below
401 the LCST due to the non-ionic nature of pNIPAM. The current Al-CP05 polymer overcomes that
402 issue with excellent performance characteristics observed at substantially lower flocculant dosages
403 regardless of the temperature protocol. The dual stimuli response, plus the inorganic-organic
404 structure produced excellent performance characteristics when compared to alternative hybrid and
405 homopolymers. The outstanding performances of Al-CP05 can be attributed to the hybrid polymer
406 structure that modulates the polymer conformation and provides a dual effect of coagulation and
407 flocculation to capture and retain fines. As demand for wastewater treatment continues to grow,³⁷
408 the development of novel flocculants, such as Al-CP05, is essential to meet and exceed
409 environmental standards.

410 **Associated Content**

411 **Supporting Information**

412 The Supporting Information is available free of charge on the ACS Publication website at DOI:
413 S1. Steady state frequency and dissipation values from adsorption of CP05 onto silica; S2. Initial
414 settling rate of 5 wt.% kaolinite suspensions by a blend of CP05 and Al(OH)₃; S3. Turbidity of
415 supernatants after 15 min settling of 5 wt.% kaolinite suspensions with (a) CP05 and (b) CP05+Al
416 and (c) Al-CP05; S4. Conductivity of (a) CP05, (b) CP05+Al and (c) Al-CP05 in DI water at

417 varying polymer concentrations; S5. IR spectra of CP05 and Al-CP05: (a) from 500 to 4000 cm⁻¹
418 and (b) from 500 to 1200 cm⁻¹.

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423 **Notes**

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425

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