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Type D personality, concomitant depressive and anxiety disorders, and treatment outcome in Somatic Symptom and Related Disorders: an observational longitudinal cohort study

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Author contribution statement

Lars de Vroege: contributed to writing the manuscript, doing analyses, interpreting results.

Eric de Heer: contributed to editing the manuscript, doing analyses, interpreting results.

Eva van der Thiel: contributed to obtaining the data, writing the concept manuscript.

Krista van den Broek: contributed to editing the manuscript, supervising analyses.

Jonna van Eck van der Sluijs: contributed to editing the manuscript.

Christina van der Feltz-Cornelis: supervisor in the project, editing the manuscript, supervising analyses

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Type D personality, Somatic symptom and related disorders, treatment outcome, Anxiety, Depression, physical symptoms

Abstract

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Ethics statements

(Authors are required to state the ethical considerations of their study in the manuscript, including for cases where the study was exempt from ethical approval procedures)

Does the study presented in the manuscript involve human or animal subjects: Yes

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Patients were informed at intake about use of treatment outcome data for scientific research purposes on an anonymous basis. If the patient refused to give her consent, this was recorded in the administration system and the patient was excluded from the study. Data of all patients who participated in the study were anonymized to ensure privacy. For this study, we used a selection of the ROM questionnaires assessed at baseline and at the end of treatment. Patients could decide to withdraw from the study at any time without any consequences for their treatment. The scientific committee of GGZ Breburg approved of this study (file number: CWO 2014-11).

Data availability statement

Generated Statement: The datasets generated for this study are available on request to the corresponding author.

In review

1 **Type D Personality, Concomitant Depressive and Anxiety**
2 **Disorders, and Treatment Outcomes in Somatic Symptom and**
3 **Related Disorders: An Observational Longitudinal Cohort Study**

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16 **Abstract**

17 Objective: To establish the prevalence of Type D personality in patients with somatic
18 symptoms and related disorders and to evaluate the association of Type D personality with
19 treatment outcomes. This study explores the effect of Type D personality and its two traits,
20 NA and SI.

21 Methods: In this longitudinal observational cohort study, we assessed the prevalence of Type
22 D in 212 patients presenting themselves at a clinic in Tilburg, the Netherlands. We explored
23 psychological and physical treatment outcomes of a multimodal treatment tailored to patient
24 needs in relation to Type D scores. We explored the differences with regard to physical
25 symptoms, anxiety, and depression. We also explored the differences between patients with
26 and without Type D personality who completed treatment with regard to the baseline scores
27 of physical symptoms, anxiety, and depression. We explored the association between Type D
28 personality and treatment outcome using the traditional dichotomous method and the
29 dimensional method (with main effects of NA and SI, and the interaction of NAxSI).

30 Results: Of the 212 patients with SSRD, those with Type D personality (181: 61.8%) had
31 experienced significantly higher levels of depression ($t(185) = 4.404, p < .001$) and
32 anxiety ($t(122.22) = 3.757, p < .001$). Of the 212, 187 patients completed treatment.
33 Mean scores improved significantly for the whole patient group after treatment with regard to
34 depression ($p < .001$), anxiety ($p < .001$), and physical symptoms ($p < .001$). At
35 baseline, patients with Type D personality had significantly higher scores in anxiety
36 ($F(1, 185) = 15.707, p < .001$) and depression ($F(1, 185) = 19.392, p < .001$) than
37 patients without Type D personality who completed treatment. After controlling for the high
38 baseline scores with regard to physical symptoms, anxiety, or depression, only the effect of

39 Type D personality on remission of anxiety was significant ($OR = .33, p = .039$). Neither
40 NA and SI nor the interaction of NAXSI was associated with the treatment outcome.

41 Conclusions: This study shows that Type D personality occurs frequently in patients with
42 SSRD. Type D personality only decreases the probability of remission of anxiety as a
43 treatment outcome, and both NA and SI play a role in this. Type D personality did not
44 decrease remission either of physical symptoms or of depression. Hence both NA and SI
45 factors may be expressions of anxiety mostly in type D.

In review

46 1 INTRODUCTION

47 Background

48 The fifth edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM)
49 includes Somatic Symptom and Related Disorders (SSRD)¹, which replaces the Somatoform
50 Disorders section of the DSM-IV-TR². The SSRD classification has as a common feature: the
51 prominence of somatic symptoms associated with significant distress and impairment,
52 irrespective of the question of whether the somatic symptoms co-occur with a diagnosed
53 chronic medical condition¹. As such, SSRD has a broader scope than have the former
54 somatoform disorders, which were exclusively linked to the concept of somatization³ (i.e.,
55 having the tendency to experience and communicate psychological distress in the form of
56 somatic symptoms and to seek medical help for them).

57 The experience of somatic symptoms in somatization has been associated with harm
58 avoidance and negative affectivity (NA)⁴. Compared to non-somatizing patients, patients with
59 somatization show more self-defeating, depressive, and passive-aggressive personality traits
60 and neuroticism, and less agreeableness and extraversion⁵.

61 A personality construct that might be relevant in SSRD is Type D personality. This
62 construct combines two traits: NA, the tendency to experience negative emotions across time
63 and situations^{6,7}; and social inhibition (SI)⁶, the tendency to inhibit the expression of
64 emotions and behaviors in social interactions to avoid disapproval⁸. Individuals with high
65 levels of both NA and SI are classified as individuals with Type D (i.e., distressed)
66 personality⁶. Previous studies showed a prevalence range of 21% - 33%^{6,9} of Type D
67 personality in the general population, 28-53%⁶ in the population of people with cardiac
68 diseases or disorders, 36% in people with tinnitus¹⁰, 43% in people with chronic pain¹¹, and
69 57% in people with fibromyalgia¹².

70 In the populations of people with cardiac diseases, Type D personality is associated with
71 emotional distress, such as anxiety and depression^{9, 13}, poor health status and quality of life,
72 myocardial infarcts, and high mortality rates¹⁴, high utilization of health services⁹, poor self-
73 management¹³, and higher levels of anxiety and depression after cardiac rehabilitation
74 compared to patients without Type D personality¹⁵. An earlier study explored the influence of
75 SI and NA separately and reported that NA is primarily associated with poorer treatment
76 outcomes in people with fibromyalgia.¹² The prevalence of Type D personality in patients
77 with fibromyalgia was 56.5%. Furthermore, worse mental and physical health was associated
78 with NA.¹²

79 A systematic review focusing on other patient populations, such as patients with chronic
80 pain and traumatic brain injuries, found an association of Type D personality with negative
81 emotions (i.e. depression and anxiety), poor treatment adherence, and an increased number or
82 severity of reported health symptoms.¹⁶ However, the prevalence of Type D personality in
83 SSRD, and the association with treatment outcome is unknown.

84 **Rationale**

85 Taking the abovementioned into account, the prevalence of Type D personality in patients
86 with SSRD is unknown. Furthermore, patients with SSRD and Type D personality might
87 benefit less from treatment than would patients with SSRD who do not have Type D
88 personality. However, to date no published studies have investigated the prevalence of Type
89 D personality in SSRD patients, or its association with treatment outcomes. This study aims
90 to explore this. Because the dichotomous conceptualization of Type D personality construct
91 has been questioned,^{17,18} we also explore the effect of NA and SI both separately and
92 combined in order to establish if one of the factors composing Type D might be more
93 relevant to treatment outcomes.

94 **Objectives**

95 (1) to assess the prevalence rate of Type D personality in patients with SSRD;

96 (2) to determine the association between Type D personality and physical and psychological
97 treatment outcomes in patients with SSRD.

98 (3) To explore the effect of NA and SI separately and as an interaction (NA×SI) on physical
99 and psychological treatment outcomes.

100 We hypothesized a higher prevalence of Type D personality in patients suffering from
101 SSRD compared to previous studies in other patient groups. We also hypothesized that
102 patients with Type D personality had worse physical and psychological treatment outcomes
103 than had patients without Type D personality because previous studies showed that Type D
104 personality was associated with an increased experience of symptoms. In view of previous
105 research, we hypothesized that the association between NA and treatment outcomes would be
106 worse than the association between SI or NA×SI and treatment outcomes would be.

107 **2 METHODS AND MATERIALS**

108 **2.1 Study design**

109 This study used the longitudinal observational method in a clinical setting. The cohort
110 consisted of outpatients with SSRD who were treated at the Clinical Centre of Excellence for
111 Body, Mind, and Health (Dutch abbreviation: CLGG), a department for treatment of complex
112 SSRD of GGz Breburg, a specialty mental health institution (SMHI) in Tilburg, the
113 Netherlands. CLGG uses computerized Patient Routine Outcome Monitoring (PROM;
114 assessed every six weeks), which consists of a set of questionnaires that give an indication of
115 the severity and frequency of the symptom(s)¹⁹. For this study, we used a selection of the

116 PROM questionnaires at baseline and at the end of treatment. Consecutive patients who had
117 been referred to CLGG between August 2013 and April 2016 were included in the study.
118 Patients are referred to CLGG by general practitioners, by medical specialists from general
119 hospitals, or by psychiatrists working in Psych Med units of general medical hospitals or in
120 SMHIs. They have been suffering from somatic symptoms causing high levels of distress for
121 an average of eight years and six months and have received treatment for their condition
122 without solace for an average of seven years. They suffer from highly complex SSRD as
123 established in earlier research by this group.²⁰

124 All patients were informed before intake that the PROM data pertaining to their treatment
125 could be used on an anonymous basis for research, and that they could indicate during the
126 intake if they declined the use of their data for scientific purposes. If the patient declined, this
127 was recorded in the administration system and the data of these patients were excluded from
128 the study. No consent regarding the use of their data for scientific purposes did not have any
129 consequences for treatment at our center. The study protocol was approved by the scientific
130 committee of GGz Breburg (file number: CWO 2014-11).

131 **2.2 Participants**

132 Patients of 18 years of age or older who completed the intake and baseline PROM
133 measures were evaluated for eligibility. Patients were excluded if they were engaged in
134 personal or professional injury procedures (e.g., work-related lawsuits), had an IQ below 80
135 as assessed with the Dutch Adult Reading Test²¹ or were, for whatever reason, unable to
136 follow treatment at CLGG.

137 **2.3 Treatment**

138 After the intake, treatment options at CLGG were offered to the patients in a Shared
139 Decision Making (SDM) model.¹⁹ CLGG offers a multimodal treatment that builds on
140 treatment modes suggested in the multidisciplinary guideline for medically unexplained
141 symptoms and somatic disorders,^{22,23} such as acceptance and commitment therapy (ACT),
142 cognitive behavioral therapy (CBT), and problem-solving treatment (PST) provided by
143 trained and supervised psychologists sequentially, depending on patients' preferences and
144 needs. This was provided in combination with psychiatrist- or physician-prescribed
145 pharmacotherapy focusing on chronic pain²⁴ or comorbid depressive or anxiety disorders.
146 Every three months, both psychotherapeutic and pharmacotherapeutic treatment were
147 adjusted based on progress in terms of PROM and using the SDM model with the patient,¹⁹
148 after multidisciplinary team consultations. A pilot study evaluating this treatment model
149 showed high compliance among patients.¹⁹ On average, patients were treated for one year
150 according to this multimodal treatment model.

151 **2.4 Instruments**

152 2.4.1 Patient characteristics.

153 Sociodemographic variables included age, education level, and gender. Educational level
154 was classified following Verhage.²⁵ For this study, we dichotomized educational level due to
155 the relatively small sample of patients who completed treatment. Educational level was
156 categorized as follows: the five lowest classifications were classified as “low” and the two
157 highest classifications were classified as “high.” DSM-5 SSRD diagnoses were established by
158 two psychiatrists after psychiatric interview.

159 2.4.2 Questionnaire assessment.

160 The standard intake procedure at the CLGG consists of a questionnaire assessment during
161 intake (referred to as baseline measurement), a case history assessment, a physical
162 assessment, a psychiatric evaluation, and a psycho-diagnostic assessment. The DS14
163 Questionnaire (DS14)⁶ was self-administered during the psycho-diagnostic assessment at
164 intake.

165 **2.4.2.1 Type D personality**

166 Type D personality was measured at intake by means of the Type D scale 14 (DS14).⁶
167 This self-report questionnaire consists of two seven-item subscales: one scale that assesses
168 NA and another that assesses SI. Items were scored on a 5-point Likert scale having a range
169 of 0 (false) through 4 (true). Total scores on each of the two subscales can range from 0 to 28,
170 with higher scores indicating higher levels of NA and/or SI. The DS14 has good
171 psychometric properties.⁶ Individuals who score at least 10 on each of the subscales are
172 classified as having a Type D personality.⁶ This means that the Type D personality is
173 conceived as a dichotomous typology. The typology may be useful from a clinical
174 perspective where dichotomous treatment decisions have to be made.

175 **2.4.2.2 Physical symptoms**

176 The Physical Symptom Checklist (PSC)²⁶ is a 51-item self-report questionnaire that
177 measures physical symptoms during the last week. The score descriptions are as follows: 0,
178 does not burden me; 1, sometimes burdens me; 2, often burdens me; and 3, often burdens me.
179 We followed the guidelines of Van Hemert,²⁶ in which the item scores were converted into
180 dichotomous scores. Scores of 0 and 1 were transformed to 0, and scores of 2 and 3 were
181 transformed to 1. In this way, a symptom is present when rated a 2 or 3. The total score
182 represents the number of symptoms that were present in the last week. Total scores ranged
183 from 0-51. A higher score on the PSC indicates a higher number of symptoms present in the

184 last week²⁶. The PSC is a valid Dutch questionnaire to assess physical symptoms²⁷. However,
185 no validated cut-off scores are present. The mean score for patients visiting the general
186 practitioners office equaled six for women and four for men.²⁸ Regarding these mean scores
187 of the PSC in a general practitioner's sample, we defined treatment remission as a score of
188 below 5 at the end of treatment.

189 **2.4.2.3 Anxiety**

190 To assess anxiety symptoms, the Generalized Anxiety Disorder questionnaire (GAD-7)
191 was used. The GAD-7 is a 7-item self-report questionnaire that measures symptoms of
192 anxiety during the last two weeks. For each item, scores range from 0 (not at all) to 3 (nearly
193 every day)²⁹. Total scores range from 0 to 21, with higher scores indicating higher levels of
194 anxiety symptoms. The GAD-7 is a reliable questionnaire^{29,30} and has been adapted in Dutch
195 and well-validated in the Netherlands.^{31,32}

196 **2.4.2.4 Depression**

197 To assess depression, the Patient Health Questionnaire-9 (PHQ-9)³³ was used. The PHQ-9
198 is a 9-item self-report questionnaire. For each item, scores range from 0 (not at all) to 3
199 (nearly every day). Total scores range from 0 to 27, with higher scores indicating higher
200 levels of depressive symptoms.³³ The PHQ-9 has been shown to be a reliable
201 questionnaire^{33,34} and has been adapted in Dutch and well-validated in the Netherlands.³⁴

202 **2.5 Treatment outcomes**

203 **2.5.1 Remission**

204 For each of the outcome measures (PSC, GAD-7, and PHQ-9) remission on a single
205 outcome was defined as having a score that dropped below 5 after treatment.³⁵ Remission of

206 symptoms is defined as the point after treatment at which a patient's score that had exceeded
207 the clinical cutoff at baseline no longer exceeds it.

208 2.5.2 Treatment response

209 Response is defined as a reduction of the score (on the PSC, the GAD-7, or the PHQ-9) of at
210 least 50% after the therapy compared to the score at intake, as defined similarly in earlier
211 studies.^{36,37}

212 2.6 Statistical methods

213 To describe patient characteristics and the prevalence of Type D personality, we obtained
214 descriptive statistics. To test whether the Type D personality group and the non-Type D
215 personality group differed on baseline characteristics, we executed independent *t*-tests and
216 Chi-square tests. Cohen's *d* was used to gauge the effect size. Effect sizes of about $d = 0.2$ are
217 considered small, those of about $d = 0.5$ are medium, and those of $d \geq 0.8$ are large.³⁸ For the
218 PSC, the GAD-7, and the PHQ-9, we also studied mean differences between raw scores
219 before and after treatment. Paired-sample *t*-tests were conducted to test if patients who
220 completed treatment had, on average, significant lower physical, anxiety, and depressive
221 symptoms at the end of treatment. Unpaired *t*-tests were done for the Type D and non-Type D
222 groups separately. Using the McNemar test, we also inspected the proportion of patients
223 having a clinical diagnosis to see changes between intake and after the treatment. We also
224 performed an Analysis of Variance (ANOVA) for all outcomes of interest with Type D
225 personality as a between-subject factor for patients who completed treatment.

226 Regarding the third objective, to study the hypothesized relationship of Type D personality
227 with the dichotomous outcome variables, we used two different analyses. The first analysis
228 used the operationalization of Type D as described by Denollet et al. (2005). This method

229 uses cutoff scores for the two subscales of Type D, i.e. NA and SI, and we combined them to
230 determine a categorical classification of patients as having Type D or non-Type D. We fitted
231 Model 1, which included the background variables of age, gender, and education level; in
232 Model 2 we added the dichotomous Type D variable as predictor; and in Model 3 we
233 controlled for baseline measures of the outcome measurement of interest, namely the PSC,
234 the GAD-7, or the PHQ-9. These results are shown in Table 3.

235 In the second analysis, we explored the extent to which NA, SI, and their interaction
236 (NA×SI) predicted treatment outcomes. For this approach, the following three models were
237 applied. Model 1 included the background variables of age, gender, and education level;
238 Model 2 added the variables NA and SI (i.e., main effects only); and Model 3 added the
239 interaction term between NA and SI, denoted NA×SI. Significant findings were controlled
240 for the measurement of interest using the baseline measurement of the PSC, the GAD-7, or
241 the PHQ-9 by using a model in which this baseline measurement was added. These results are
242 shown in Table 4.

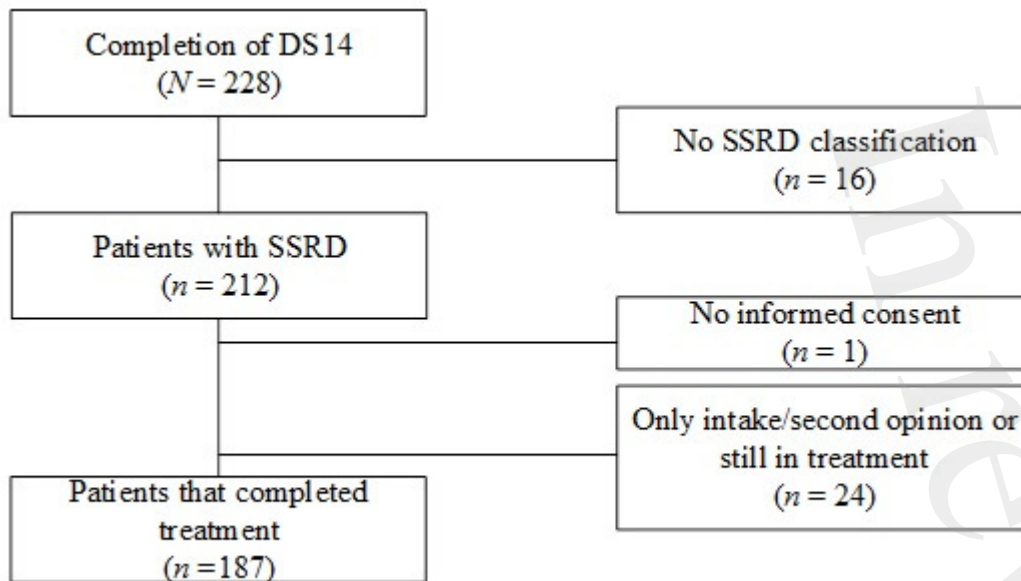
243 Likelihood ratio tests were used to see whether model fit improved when adding
244 predictors. Nagelkerke's pseudo *R*-square was used to gauge the effect sizes. Following
245 Nagelkerke,³⁹ we interpreted the pseudo *R*-square as the proportion of the variation explained
246 by the model, but we are aware that pseudo *R*-squares are not the same as *R*-squares in linear
247 models. For all models, we used Cohen³⁸ guidelines for the *R*-squares to interpret
248 Nagelkerke's pseudo *R*-square (i.e., *R*-square = .02 were considered small, *R*-square = .13
249 were considered medium, and *R*-square \geq .26 were considered large). All analyses were
250 performed by means of IBM SPSS statistics 22.⁴⁰

251 **3. RESULTS**

252 **3.1 Sample characteristics**

253 Figure 1 displays a flow chart of the study. A total of 228 patients completed the DS14
254 questionnaire at baseline. Of these patients, 16 (7.0%) were not diagnosed as having SSRD
255 and were excluded from the analyses. Of the remaining 212 patients, 187 (88.2%) patients
256 completed treatment. Table 1 shows the background characteristics. Of the patients who
257 completed treatment, 15 (8.0%) were diagnosed with a conversion disorder, 11 (5.9%) with
258 an illness anxiety disorder, and 161 (86.1%) with a somatic symptom disorder.

In review



259

260 *Figure 1.* Flowchart of Patients included in the study. Sample size is given for patients who completed the Treatment and Questionnaire
 261 Assessment.

262 *Abbreviations:* ‘DS14’, Type D Scale; ‘SSRD’, Somatic Symptom and Related Disorders; ‘PSC’, Physical Symptom Checklist; ‘GAD-7’,
 263 Generalized Anxiety Disorder; ‘PHQ-9’, Patient Health Questionnaire.

264 3.2 Baseline characteristics

265 Table 1 shows the baseline characteristics for the SSRD patients for the total sample and
266 for patients who completed the treatment. The prevalence of Type D personality in the total
267 sample was 61.79% ($n = 131$). Type D patients did not differ significantly from non-Type D
268 patients with respect to age, gender, and educational level. Compared to the non-Type D
269 patients, patients with Type D personality experienced significantly higher levels of
270 depression ($t(210) = 4.481, p < .001$, mean difference 3.70, 95% $CI: 2.07 - 5.33$) and
271 anxiety ($t(144.01) = 4.063, p < .001$, mean difference 3.16, 95% $CI: 1.62 - 4.69$) at
272 intake. Patients with Type D personality and without Type D personality did not differ
273 significantly with regard to physical symptoms at baseline. A total of 81 patients (43.3%) had
274 a chronic medical condition.

275 Further exploration of medical conditions showed that one patient was diagnosed with
276 hypertension, eight were diagnosed with cardiovascular disease, one was diagnosed with
277 rheumatoid arthritis, four were diagnosed with diabetes mellitus, and four were diagnosed
278 with asthma/chronic obstructive pulmonary disease. With regard to physical comorbidity, 17
279 (9.1%) patients had no somatic disorder, 116 (62.0%) patients had one somatic disorder, 34
280 (18.2%) patients had two somatic disorders, 12 (6.4%) patients had three somatic disorders
281 and eight (4.3%) patients had more than three somatic disorders.

282 With regard to the patients who completed treatment, the prevalence of Type D
283 personality was 62.57% ($n = 117$). No significant differences were found regarding
284 demographic variables between patients with and without a Type D personality who finished
285 treatment. Compared to the non-Type D patients, patients with a Type D personality who
286 finished treatment experienced significantly higher levels of depression ($t(185) =$
287 $4.404, p < .001$, mean difference 3.89, 95% $CI: 2.15 - 5.64$), and anxiety ($t(122.22) =$

288 3.757, $p < .001$, mean difference 3.12, 95% *CI*: 1.48 – 4.77) at intake. Demographic
289 characteristics did not differ significantly between patients who completed treatment and the
290 total sample of patients. Fourteen (56.0%) of the 25 patients who did not complete treatment
291 had a Type D personality.

In review

Table 1

Sociodemographic Variables, Predictors and Outcome Variables of the Total Sample of Patients with and without Type D Personality and of Patients with and without Type D Personality Who Completed Treatment, at Baseline.

	Total sample (n = 212)				Patients who completed treatment (n = 187)			
	Total (N=212)	Type D (n = 131)	Non-Type D (n = 81)	p	Total (N = 187)	Type D (n = 117)	Non-Type D (n = 70)	p
Sociodemographic variables	M (SD) / n (%)	M (SD) / n (%)	M (SD) / n (%)		M (SD) / n (%)	M (SD) / n (%)	M (SD) / n (%)	
Gender (male)	82 (38.67%)	56 (42.75%)	26 (32.10%)	.122 ^a	72 (38.50%)	50 (42.74%)	22 (31.43%)	.124 ^a
Age in years	42.51 (12.43)	41.26 (11.53)	44.54 (13.58)	.061 ^b	42.34 (12.36)	41.15 (11.37)	44.31 (13.70)	.091 ^b
Education level (low)	57 (26.89%)	36 (27.48%)	21 (25.93%)	.804 ^a	49 (26.20%)	30 (25.64%)	19 (27.14%)	.821 ^a
DS14 total	31.70 (12.15)	38.94 (8.24)	19.99 (7.39)	<.001 ^b	31.87 (12.34)	39.19 (8.21)	19.65 (7.42)	<.001 ^b
Negative affectivity	17.94 (6.59)	20.73 (4.77)	13.44 (6.65)	<.001 ^b	17.90 (6.71)	20.89 (4.74)	12.89 (6.56)	<.001 ^b
Social inhibition	13.76 (7.51)	18.21 (5.46)	6.56 (3.93)	<.001 ^b	13.98 (7.49)	18.30 (5.50)	6.76 (3.97)	<.001 ^b
PSC	16.89 (8.00)	17.67 (8.13)	15.63 (7.65)	.071 ^b	16.84 (7.99)	17.58 (7.88)	15.60 (8.05)	.101 ^b
GAD-7	11.78 (5.45)	13.00 (4.79)	9.83 (5.89)	<.001 ^b	11.80 (5.42)	12.97 (4.76)	9.84 (5.90)	<.001 ^b
PHQ-9	14.34 (6.10)	15.75 (5.67)	12.05 (6.11)	<.001 ^b	14.24 (6.13)	15.69 (5.71)	11.80 (6.08)	<.001 ^b

Abbreviations: 'M', mean; 'SD', standard deviation; 'DS14', Type D Scale 14; 'PSC', Physical Symptom Checklist; 'GAD-7', Generalized Anxiety Disorder; 'PHQ-9', Patient Health Questionnaire.

Note: PSC, GAD-7, and PHQ-9 are displayed as mean scores at intake.

^a Pearson Chi-Square test

^b Students *t*-test

293 3.3 Treatment outcomes

294 3.3.1 Mean changes from baseline

295 The 187 patients who completed treatment showed a significant mean change of scores on
296 the PSC ($M = 16.77, SD = 7.80$) and after treatment ($(M = 13.43, SD = 9.66), t(122) =$
297 $4.786, p < .001$). A significant mean change was also found between the mean scores on
298 the GAD-7 at baseline ($M = 11.73, SD = 5.24$) and after treatment ($(M = 9.02, SD = 6.40),$
299 $t(122) = 5.969, p < .001$). A significant mean change was also found between the PHQ-
300 9 at baseline ($M = 14.30, SD = 6.10$) and after treatment ($(M = 11.26, SD = 7.45), t(124) =$
301 $5.758, p < .001$). ANOVA showed that scores for anxiety ($F(1, 185) = 15.707, p <$
302 $.001$) and depression ($F(1, 185) = 19.392, p < .001$) were higher for patients with Type D
303 personality compared to patients without Type D personality at baseline. Scores regarding
304 physical symptoms did not differ significantly at baseline ($F(1, 185) = 2.722, p = .101$)
305 but ANOVA with baseline measures as covariates showed that anxiety ($F(1, 120) =$
306 $70.379, p < .001$) and depression ($F(1, 122) = 67.425, p < .001$) scores at baseline
307 explained these significant findings. Table 2 shows the frequencies and percentages of
308 patients who scored above the clinical cutoff on the PSC, GAD-7, and PHQ-9 before and
309 after treatment. Results show that 93.5% had burdensome physical symptoms; 90.2% had
310 clinical anxiety; and 96% of the patients were clinically depressed at intake. These
311 percentages dropped significantly by 13.8% for physical symptoms, 21.1% for anxiety, and
312 20.0% for depression.

Table 2
Frequencies and Percentages of Patients Who Scored Above/Below Cutoff at Intake and at End of Treatment.

Questionnaire	Intake Assessment		End of Treatment Assessment		Change	McNemar Test
	Below Cutoff	Above Cutoff	Below Cutoff	Above Cutoff		
	<i>N</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>	<i>P</i>
PSC	123	8 (6.5)	115 (93.5)	25 (20.3)	98 (79.7)	13.8% <.001
GAD-7	123	12 (9.8)	111 (90.2)	38 (30.9)	85 (69.1)	21.1% <.001
PHQ-9	125	5 (4.0)	120 (96.0)	30 (24.0)	95 (76.0)	20.0% <.001

Abbreviations: ‘PSC’, Physical Symptom Checklist; ‘GAD-7’, Generalized Anxiety Disorder; ‘PHQ-9’, Patient Health Questionnaire. Cutoff scores were 5 for each scale.

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315 3.4 Hierarchical regression analyses

316 3.4.1 Predicting treatment outcome from Type D personality.

317 3.4.1.1 Remission of symptoms

318 Table 3 shows the results of the logistic regression analyses for predicting remission and
319 response from the dichotomous conceptualization of Type D personality. Type D personality
320 had a significant negative effect on remission of anxiety ($OR = .29, p = .009$; Nagelkerke
321 equaled 8.8%; $\chi^2(1) = 6.931, p = .008$) which was retained after controlling for baseline
322 scores for anxiety ($OR = .33, p = .039$; Nagelkerke equaled 25.3%; $\chi^2(1) = 22.732, p <$
323 $.001$). Type D personality had a significant negative effect on remission of depression
324 ($OR = .21, p = .001$; Nagelkerke equaled 12.9%; $\chi^2(1) = 10.665, p = .001$) but after
325 we controlled for baseline scores for depression, this effect was not significant ($OR =$
326 $.36, p = .065$; Nagelkerke equaled 24.1%; $\chi^2(1) = 22.732, p < .001$). Type D personality
327 was not associated with a remission of physical symptoms. These results suggest that the
328 presence of Type D personality decreases the probability of a remission of anxiety and
329 depression but not a remission of physical symptoms. When we controlled for baseline scores
330 for the outcome of interest, the effect on remission of anxiety remained significant.

331 3.4.1.2 Treatment response of symptoms

332 Regarding response, the results show that Type D personality had a significant effect on
333 response of physical symptoms ($OR = .38, p = .021$; Nagelkerke equaled 6.2%; $\chi^2(1) =$
334 $5.396, p = .020$), but after we controlled for baseline scores for physical symptoms, this
335 effect was not significant ($OR = .44, p = .059$; Nagelkerke equaled 9.1%; $\chi^2(1) =$
336 $8.298, p = .004$). Type D personality was not associated with a response of anxiety and
337 depression. These results suggest that the presence of Type D personality decreases the

338 probability of a response of physical symptoms but not a response of anxiety and depression.

339 However, the significant effect of Type D personality on physical symptoms disappeared

340 after the baseline scores on the PSC were controlled for.

In review

Table 3

Logistic Regression Predicting Remission or Response from Type D Personality.

342

	Outcome Variables								
	Physical Symptoms (PSC)			Anxiety (GAD-7)			Depression (PHQ-9)		
	OR	95% CI	ΔR^{2d}	OR	95% CI	ΔR^{2d}	OR	95% CI	ΔR^{2d}
	Results for Remission								
Model 1 ^a			.076			.009			.035
Model 2 ^b			.044			.088*			.129*
Type D	.38	[.13; 1.10]		.29*	[.12; .73]		.21*	[.08; .55]	
Model 3 ^c			.196			.253*			.241
Type D	.58	[.18; 1.87]		.33*			.36	[.13; 1.06]	
	Results for Response								
Model 1 ^a			.010			.010			.002
Model 2 ^b			.062*			.040			.032
Type D	.38*	[.17; .86]		.45	[.19; 1.07]		.49	[.22; 1.12]	
Model 3 ^c			.091			.067			.020
Type D	.44	[.19; 1.03]		.54	[.22; 1.30]		.58	[.25; 1.38]	

Abbreviations: ‘PSC’, Physical Symptom Checklist; ‘GAD-7’, Generalized Anxiety Disorder; ‘PHQ-9’, Patient Health Questionnaire; ‘OR’, Odds Ratio; ‘95% CI’, 95% Confidence Interval.

Notes: ^a Model 1 included background variables age, gender, and education level. ^b Model 2 included the variables of Model 1 and added the dichotomous variable for Type D personality. ^c Model 3 included the variables of Model 1 and Model 2 and added the baseline measurement for outcome of interest (PSC, GAD-7, or PHQ-9). ^d Nagelkerke’s Pseudo R-square. All coefficient marked by an * are significant at the 5% significance level.

343 3.4.2 Predicting treatment outcome on the various outcome measures from NA, SI, and their
344 interaction.

345 Table 4 shows the results of the logistic regression analyses for predicting remission and
346 response from NA, SI, and NA×SI per outcome measure.

347 **3.4.2.1 Remission of symptoms**

348 The results for the remission (upper panel) of physical symptoms are as follows: NA had a
349 significant effect on remission of physical symptoms ($OR = .85, p = .002$; and Nagelkerke
350 equaled 16.4% ($\chi^2(2) = 12.372, p = .002$.) The results for the remission of anxiety and
351 depression followed the same trend: NA had a significant effect on the remission of anxiety
352 ($OR = .85, p = .001$; Nagelkerke equaled 17.3% ($\chi^2(2) = 14.029, p = .001$), and NA had
353 a significant effect on the remission of depression ($OR = .91, p = .028$; Nagelkerke equaled
354 15.4% ($\chi^2(2) = 12.783, p = .002$). After we controlled for baseline scores, these effects
355 were not significant for physical symptoms ($OR = .90, p = .082$; Nagelkerke equaled 11.0%;
356 $\chi^2(1) = 9.080, p = .003$), for anxiety ($OR = .92, p = .115$; Nagelkerke equaled 15.5%;
357 $\chi^2(1) = 14.364, p < .001$), and for depression ($OR = .99, p = .890$; Nagelkerke equaled
358 20.1%; $\chi^2(1) = 19.057, p < .001$). SI did not show any significant effect on the remission
359 of the outcome measures. These results suggest that if levels of NA are elevated, the
360 probability of remission of physical symptoms, anxiety, and depression decreases, but this
361 effect disappears when baseline scores are controlled for. NA×SI was not associated with the
362 remission of physical symptoms, anxiety, or depression.

363 **3.4.2.2 Treatment response of symptoms**

364 The results for response (lower panel) showed that NA had a significant effect on response
365 of physical symptoms ($OR = .91, p = .016$; Nagelkerke equaled 10.9% ($\chi^2(2) = 9.580, p =$

366 .008). NA also had a significant effect on the treatment response on anxiety ($OR = .89, p =$
367 $.007$; Nagelkerke equaled 11.3% ($\chi^2(2) = 9.626, p = .008$). After we controlled for
368 baseline scores, these effects were not significant for physical symptoms ($OR = .94, p =$
369 $.125$; Nagelkerke equaled 5.9%; $\chi^2(1) = 5.571, p = .018$) and for anxiety ($OR = .91, p =$
370 $.065$; Nagelkerke equaled 1.9%; $\chi^2(1) = 1.661, p = .198$). No significant associations were
371 found regarding the response of depression. SI did not show any significant effects on the
372 treatment responses for the outcome measures. These results suggest that if the levels of NA
373 are elevated, the probability of response of physical symptoms and anxiety decreases.
374 However, these effects disappeared when baseline scores were controlled for. NA×SI was not
375 associated with a response of physical symptoms, anxiety, or depression.

In review

Table 4

Logistic Regression Predicting Remission or Response from Type D Personality Dimensions.

	Outcome Variables								
	Physical Symptoms (PSC)			Anxiety (GAD-7)			Depression (PHQ-9)		
	OR	95% CI	ΔR^{2e}	OR	95% CI	ΔR^{2e}	OR	95% CI	ΔR^{2e}
Results for Remission									
Model 1 ^a			.076			.009			.035
Model 2 ^b			.164*			.173*			.154*
SI	1.03	[.94; 1.13]		1.01	[.94; 1.08]		.94	[.87; 1.02]	
NA	.85*	[.77; .94]		.85*	[.77; .94]		.91*	[.84; .99]	
Model 2 ^d			.110			.155			.201
SI	1.01	[.91; 1.11]		1.00	[.93; 1.08]		.95	[.87; 1.04]	
NA	.90	[.81; 1.01]		.92	[.82; 1.02]		.99	[.90; 1.10]	
Model 3 ^c			.062			.024			.003
SI	1.45	[1.00; 2.10]		1.22	[.92; 1.62]		1.00	[.79; 1.26]	
NA	1.07	[.83; 1.38]		.97	[.79; 1.18]		.94	[.81; 1.10]	
NA×SI	.98	[.96; 1.00]		.99	[.98; 1.00]		1.00	[.99; 1.01]	
Results for Response									
Model 1 ^a			.010			.010			.002
Model 2 ^b			.109*			.113*			.058
SI	.98	[.92; 1.05]		1.00	[.94; 1.07]		.95	[.90; 1.02]	
NA	.91*	[.85; .98]		.89*	[.81; .97]		.97	[.90; 1.04]	
Model 2 ^d			.125			.019			.008
SI	.98	[.91; 1.04]		1.00	[.94; 1.07]		.96	[.90; 1.02]	
NA	.94	[.87; 1.02]		.91	[.83; 1.01]		.98	[.91; 1.07]	
Model 3 ^c			.009			.010			.003
SI	1.08	[.88; 1.33]		1.13	[.88; 1.44]		1.00	[.81; 1.21]	
NA	.97	[.84; 1.12]		.96	[.80; 1.14]		1.00	[.87; 1.13]	
NA×SI	1.00	[.99; 1.01]		.99	[.98; 1.01]		1.00	[.99; 1.01]	

Abbreviations: ‘PSC’, Physical Symptom Checklist; ‘GAD-7’, Generalized Anxiety Disorder; ‘PHQ-9’, Patient Health Questionnaire; ‘OR’, Odds Ratio; ‘95% CI’, 95% Confidence Interval; ‘SI’, Social Inhibition; ‘NA’, Negative Affectivity; ‘NAxSI’, interaction term of NA and SI.

Notes: ^a Model 1 included background variables age, gender, and education level. ^b Model 2 included the variables of Model 1 and added the variables SI and NA. ^c Model 3 included the variables of Model 1 and Model 2 and added the interaction variable NAxSI. ^d Model 2^d included the variables of Model 1 and Model 2 and added the baseline measurement for outcome of interest (PSC, GAD-7, or PHQ-9). ^e Nagelkerke’s Pseudo R-square. All coefficient marked by an * are significant at the 5% significance level.

377 **4 DISCUSSION**

378 **4.1 Key results**

379 This is the first study exploring the prevalence and association with treatment outcomes of
380 Type D in patients with SSRD. The results show that the prevalence of Type D personality is
381 63% of the patients with SSRD who completed treatment, meaning that two out of three
382 patients report a strong tendency to experience negative emotions and social inhibition. This
383 prevalence exceeds the percentages reported in studies on Type D personality in various
384 populations, including the general population⁹, patients suffering from cardiovascular
385 disease⁶, and patients suffering from tinnitus¹⁰, chronic pain¹¹, or fibromyalgia¹². All patients
386 had fewer physical, anxious, and depressive symptoms at the end of treatment. However,
387 after the correction for baseline anxiety and depression, the factor of having Type D
388 personality significantly decreased only the effect on the remission of anxiety symptoms. NA
389 and SI or NAXSI did not decrease the effect of the remission of physical symptoms, anxiety,
390 or depression.

391 **4.2 Interpretation**

392 This finding sheds new light on the association between Type D and anxiety and
393 depression, as it confirms earlier reports of an association between the three but does not
394 corroborate earlier findings that NA would be the only associated factor in Type D.
395 Furthermore, this study still finds a negative effect on anxiety remission on both factors of
396 Type D, which suggests that the main factor in Type D influencing treatment outcome in
397 SSRD might be anxiety related. This would mean that the negative affectivity as well as the
398 social inhibition would be anxiety related, not depression related, in Type D patients.

399 Earlier studies also reported not only an association between Type D personality and anxiety
400 but also between SI and anxiety in the general population.⁴¹ Furthermore, the Type D
401 components of NA and SI were also associated with anxiety^{42,43} and severity of anxiety⁴⁴ in a
402 population of cardiac patients. These results suggest that anxiety may be an influencing factor
403 with regard to treatment outcomes, and that this factor is worth studying in future research of
404 patients with SSRD. The finding that Type D personality was not associated with treatment
405 outcomes regarding physical symptoms in our study may be due to the flooring effect, as
406 physical symptoms will not subside completely. This may be a case of the presence of
407 chronic medical conditions. Our study did show that our sample consisted of patients with
408 substantial physical diseases: 91% of the patients had at least one somatic disorder (e.g.,
409 rheumatoid arthritis, diabetes mellitus, asthma) of which 10% had at least three somatic
410 disorders.

411 **4.3 Strengths and limitations**

412 A strength of the study is that this is the first study exploring the influence of Type D
413 personality as well as SI and NA and their interaction, on treatment outcomes of patients
414 suffering from SSRD. The limitations of the study are, firstly, that it is a non-experimental,
415 observational design, which prevents causal interpretations. Hence, the results of this study
416 should be interpreted with caution. Second, the subjects of this study were recruited in an
417 outpatient SMHI in the Netherlands that is a Clinical Centre of Excellence for SSRD, which
418 attracts patients with severe disorders. Furthermore, the treatment of patients with SSRD
419 requires^{22,23} a standardized, tailored treatment approach that also prohibits a stratification for
420 each kind of treatment that is provided at our center. Such stratification requires, if possible, a
421 substantially large sample to preserve power. Nevertheless, this approach, which is in
422 accordance with multidisciplinary guidelines,^{22,23} can either consist of numerous

423 combinations of ACT and/or CBT and/or PST sessions whether or not combined with a
424 variety of pharmacological interventions, which renders the needed sample not feasible.

425 This is a longitudinal observational study that explores the association between Type D
426 personality and treatment outcomes in patients with SSRD. All patients, both with and
427 without Type D, received the same, standardized treatment, which consisted of modules of
428 ACT, CBT and PST, as well as of medication algorithms for pain, depression, or anxiety.
429 These modules were tailored and delivered based on the patients' needs and preferences, as
430 well as on the progress of treatment over time as monitored with PROM. So, although this
431 was a standardized approach, due to the tailoring, not all patients in the study received
432 exactly the same treatment modules in the same sequence or containing all elements. This
433 limitation has to be expected as this is not an experimental design, but an observational
434 design, and an evaluation of the treatment modules themselves was not an objective of this
435 study.

436 It is a limitation of the study that detailed information about medication use was not
437 provided. Therefore, the influence of medication use as well as drug adherence on treatment
438 outcome is unknown. This is an interesting subject for future studies. Furthermore, 43% of
439 the patients in our sample were diagnosed with at least one chronic medical condition. The
440 influence of these conditions with regard to treatment outcome was not explored so caution
441 should be exercised when interpreting our findings regarding patients with SSRD and chronic
442 medical conditions. However, there was no significant association with Type D personality in
443 patients who followed through on treatment, including drug treatment, and patients who did
444 not and only a small group did not follow through with treatment (N=25 of which N=14 Type
445 D). Hence, future research might explore if drug adherence might be influenced by NA or SI
446 or by Type D personality in general. Nevertheless, it is worthwhile exploring whether or not

447 patients with SSRD, Type D personality, and, for instance, cardiovascular diseases benefit
448 less from treatment compared to patients with SSRD, Type D personality and other chronic
449 medical conditions. Exploring the feasible negative effect of these cardiovascular diseases in
450 patients with SSRD regarding treatment outcome should be focus of future studies.

451 Finally, the results are not stratified for each kind of treatment that is provided at our
452 center. Future studies should explore the possible difference remission/response of treatment
453 for each kind of treatment offered to enable conclusions regarding which kind of treatment is
454 most efficient regarding physical symptoms, anxiety, and depression. Also, treatment
455 duration per kind of treatment (in days or hours) should also be included in future studies to
456 evaluate the treatment duration of each specific kind of treatment and their effects on
457 treatment outcomes. In addition, the effects of pharmacotherapy on symptom remission as
458 well as the influence of known medical conditions are also worth exploring in this patient
459 population.

460 4.3.1 Implications

461 The implications for clinical practice may be that more attention should be given to Type
462 D with a specific focus on NA in diagnosis and treatment provision for patients with SSRD.
463 At present, there are no well-evaluated evidence-based therapies yet that are specifically
464 designed to alter the combination of NA and SI. Future research should evaluate whether
465 patients with SSRD and Type D personality may benefit from interventions that address Type
466 D personality and might improve the well-being and thus the functioning of this difficult-to-
467 treat group of patients.

468 Treatment of patients with SSRD is challenging since these patients are complex²⁰ and
469 may be burdened by clinical aspects, such as personality characteristics (such as Type D
470 personality or alexithymia⁴⁵) or neurocognitive impairment,⁴⁶ which may interfere with

471 treatment outcomes. These findings corroborate that the treatment of patients with SSRD can
472 be influenced by multiple factors. Future studies should continue to explore personality
473 factors and characteristics of patients with SSRD and explore the effects on treatment
474 outcomes of these characteristics.

475 **Conclusions**

476 The prevalence of Type D personality in patients with SSRD is 63%, which is higher than
477 in other patient groups. Our results showed that patients had significantly fewer physical
478 symptoms, anxiety, and depression after treatment. However, the presence of Type D
479 personality only decreased the remission of anxiety, not of physical symptoms or of
480 depression. Since Type D personality is associated with anxiety and severity of anxiety,
481 future studies should explore to see if patients with SSRD and Type D personality may profit
482 from interventions that include Type D personality.

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