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Right-first-time dyeing: A design of experiments approach for the optimisation of dyeing-processes using hard water

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Purpose - Owing to the persistent water scarcity for more than two decades now, the textile industry in Pakistan is forced to rely on high-mineral-content ground water for use in textile wet processing. Furthermore, the limited amount of municipal water that is at the disposal of the textile industry is also high in mineral content. Thus, on the large scale, water hardness has become an acute problem for the textile processor. In particular, in the dyeing process, water hardness is known to

have crucial effects. However, to-date, no systematic study has been conducted on this aspect of textile dyeing.

Design/methodology/approach - In this study, 3^2 full factorial design was used to optimise the dyeing conditions to achieve right-first-time dyeing in hard water. Thus cotton fabric was dyed with Red Reactive dye (of dyebath concentration at 5, 10 and 15 g/L respectively) in prepared hard water (of hardness at 10, 40 and 70 °dH) respectively. Analysis of variance, coefficient of determination (R²) and *p*-values for the models were used to evaluate the adequacy of the predictive models. The surface plots of the effects were studied to further examine the interactions of two independent variables. Derringer's desirability function was used to determine the optimum levels of each variable.

Findings - Three levels for both independent variables generate secondorder polynomial models to predict the colour strength, lightness, red/green, yellow/blue and total colour difference values of dyed cotton. The obtained predictive models point out the considerable influence of both water hardness and dye concentration on right-first-time dyeing.

Originality/value - Such a finding enabled the dye-mill to produce the correct shade at water hardness of 10°dH and 15g/L dye concentration, without the need for corrective reprocessing.

Keywords: Right-first-time dyeing; water hardness; reactive dyeing; colorimetric properties; 3² full factorial design; optimisation

Introduction

Textile sector contributes to approximately 60% of Pakistan's total exports, making it the 8th largest exporter of textiles in Asia and 12th largest exporter globally (Shah et al., 2014). Correspondingly, this sector is also the biggest water consumer making up for about

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70% of local industrial water consumption. Water consumption in Pakistan's textile sector is estimated to be 50 million m³ per day which is significantly higher than the international average (Kapfensteiner and Azhar, 2017). Thus, it is evident that the textile sector of Pakistan needs to improve its water-use efficiency. In addition, a scientific understanding of the effects of hard water on water intensive processes such as dyeing will help in overcoming the stated problem in a sustainable manner.

Introduced in 1970, the concept of right-first-time production in textile dyeing sector means that every batch or lot of dyed fabric should be the correct shade, every time, as assessed against the target shade, without the need for corrective reprocessing or adjustment during the process (Park and Shore, 2009; Ammayappan et al., 2016; Rasel et al., 2018). The benefits of achieving the right-first-time are very significant including for instance, reduction in water and energy consumption, reduction in effluent generation along with time and colour saving (Parton, 1994). Right-first-time dyeing is a difficult and daunting task for a dyer and requires great control over dyeing parameters such as quality of dyestuff and auxiliaries, concentration of salt and alkali, time and temperature, and water quality (Park and Shore, 2009; Rasel et al., 2018; Roy Choudhury, 2013; Khatri et al., 2015).

The hardness of water (presence of Ca+ and Mg+ ions; expressed in terms of German Degree Hardness) is a particularly critical factor in shade matching and it can prevent dye-houses from achieving a high level of right-first-time production (Ahmed, 2005; Jain and Mehta, 1991; Ammayappan et al., 2016; Uddin and Atiquzzaman, 2014). It is reported that presence of calcium and magnesium ions in water can cause dye precipitation and aggregation which result in shade variation, faulty dyeing and loss in colour strength (Ahmed, 2005; Tullio, 1977). Further, carbonates and hydroxides of calcium and magnesium ions precipitate as whitish deposits on the fabric surface due to

interaction with alkalis and heating during dyeing and soaping. These precipitates are sticky, adhere readily to fabric surface and results in unlevelled dyeing, white patches and poor shade matching (Anis and Eren, 2002; Hossain, 2014).

Textile sector of Pakistan is facing an acute problem of water hardness because the two main sources of water, i.e., groundwater and municipal water, both contains large amounts of mineral content (Faisal et al., 2019). Therefore, the lack of right-firsttime dyeing (target shade not achieved the first time after the first attempt of dyeing) are very frequent and leads to the necessity of corrective reprocessing such as re-dyeing or stripping which unnecessarily increases the additional consumption of resources such as dyestuff and auxiliaries, time, and also increases the cost of production (Dawson, 2012; Collishaw et al., 1993). Corrective reprocessing also consumes additional water, energy and generates more effluent (Ozturk and Cinperi, 2018; Roy Choudhury, 2013; Dawson, 2012; Collishaw et al., 1993).

Amongst many classes of textile dyestuffs, the reactive dyes contribute about 50% of the total market share due to their wide-ranging shade gamut, flexibility in application, and the outstanding fastness properties (Lewis, 2014). They are widely used in coloration of cotton, silk, wool and regenerated cellulosic fibres (Khatri et al., 2015; Khatri et al., 2011).

The effects of water hardness on right-shade-first-time when dyeing with reactive dyes is well established and extensively studied (Jain and Mehta, 1991; Kan, 2008; Shinde et al., 2015; Rahman et al., 2016; Khalil and Sarkar, 2014; Chapatwala et al., 1994; Ishtiaque et al., 2000; Sampath, 2001; Faisal et al., 2019). However, to our knowledge, no previous work has reported systematic optimisation of dyeing-process for right-first-time dyeing in hard water. Thus, the main aim of the present study is to devise an approach for right-first-time dyeing in hard water – a situation which could not be avoided in certain scenarios as discussed in the preceding text. In the present work, 3² full factorial design was employed to design experiments in an attempt to systematically understand the quantitative effects of water hardness and concentration of dye on shade variation of the dyed fabrics and optimise such dyeing process parameters.

Experimental

Materials and reagents

Magnesium sulphate heptahydrate (MgSO₄.7H₂O) and anhydrous calcium chloride (CaCl₂) were purchased from Merck (Germany) and used as received. Drimaren Red HF-CD (CI number confidential, unknown), Solidokol NM and Revatol S (mild oxidising agent) were kindly provided by Archroma (Pakistan). Sodium bicarbonate, sodium hydroxide (36°Be), sodium chloride and soda ash of commercial grades were also provided by Archroma (Pakistan). Scoured, bleached and mercerised 100% cotton fabric (130 g/m²; plain weave) supplied by Lucky Textile Mills was used in this study.

Preparation of Stock Solution

Stock Solution A was prepared by dissolving 39g of anhydrous calcium chloride (CaCl₂) in distilled water and made up to a volume of 1000 mL to get 13554 ppm of stock solution of calcium. Likewise, Stock solution B was prepared by dissolving 43.93g of magnesium sulphate heptahydrate (MgSO₄.7H₂O) in distilled water and made up to a volume of 1000 mL to get 4148 ppm of stock solution of magnesium.

Preparation of Standard Hard Water

100g of solution A, 50g of solution B and 1.24g of sodium bicarbonate was dissolved in distilled water and to made up to a volume of 10 L. This provides water with a hardness

of 15°dH. The dilution to obtain water of various hardness was calculated by following Equation 1;

$$c_1 v_1 = c_2 v_2$$
 (1)

Where c_1 is concentration of the prepared standard hard water; v_1 is the volume of the standard hard water; c_2 is the required concentration of hard water and v_2 is the final volume of required hard water. The hardness of the prepared water was checked by titrating it against standard 0.01M EDTA solution and adjusted if required.

Dyeing

Dyeing and rinsing of fabric samples was conducted with hard water dyebath and hard water respectively. Cotton fabric samples were padded with a pad liquor containing 5, 10 and 15 g/L of Drimarene Red HF-CD, respectively, and 10 g/L of solidokol NM. After drying at 120°C, the pre-padded fabric samples were padded again with pad liquor containing 250 g/L of sodium chloride, 20 mL/L of sodium hydroxide 36 °Be, 20 g/L of soda ash and 10 mL/L of Revatol S, followed by steaming at 102 °C for 60s. The fabric samples were then rinsed with cold water, soaped using 2 g/L Ladipur RSK at boil for 10 min, and finally rinsed with cold water until no further colour bleed and then dried under laboratory conditions.

Colour Measurements of Dyed Fabric

The colorimetric data (%R, CIELAB coordinates) of the dyed fabric samples was measured using Datacolor spectrophotometer 650 using 10° observer with a D65 illuminant. Each dyed fabric sample was folded to four layers and measurements were made at five different locations. Total colour difference (Δ E) was calculated on the basis of measured CIELAB coordinates using Equation 2;

$$\Delta E = \sqrt{\left(L^* - L_0\right)^2 + \left(a^* - a_0\right)^2 + \left(b^* - b_0\right)^2}$$
(2)

Where L* denotes lightness, a* denotes the red/green value and b* denotes the yellow/blue value of the measured fabric samples dyed in hard water and L_0 , a_0 and b_0 are the lightness, the red/green and the yellow/blue values of the target fabric samples dyed in distilled water using the same recipe.

The corresponding colour strength (K/S) value was calculated at λ_{max} using Equation 3;

$$K/S = \frac{(1-R)^2}{2R}$$
 (3)

Where K is the absorption coefficient, S is the scattering coefficient and R is the reflectance of the dye fabric sample.

Experimental Design

On the basis of the authors' previous work (Faisal et al., 2019), a two factors – three levels (3^2) full factorial design was employed to study, predict and optimise the influence of process variables, such as water hardness (10, 40 and 70 g/L) and dye concentration (5, 10 and 15 g/L), on the colour strength and colorimetric properties of dyed cotton samples. The water hardness (x_1) and dye concentration (x_2) were chosen as the critical independent variables. The low, intermediate, and high levels of each variable were designated as –1, 0, and +1, and given in Table 1. The design of experiments and observed responses data are summarised in Table 2.

Place Table 1 here.

Place Table 2 here.

The use of three levels for each independent variable allows the generation of a secondorder polynomial equation to correlate the predictors and the responses, which encompass linear, quadratic and interactive effects of the process variables (Ishtiaque et al., 2000; Tronci, 2017; Tronci et al., 2018). All of the statistical analyses were performed by means of Minitab 17 statistical software tool. The generalised form of the secondorder polynomial equation that was employed is given in Equation 4 (Montgomery, 2017):

$$y = \beta_0 + \sum_{i=1}^k \beta_i x_i + \sum_{i=1}^k \beta_{ii} x_i^2 + \sum_{i< j} \beta_{ij} x_i x_j$$
(4)

Where y is the response variable; x_i and x_j are the independent variables affecting the response; β_0 , β_i , β_{ii} and β_{ij} are the regression coefficients for intercept, linear, quadratic and interaction terms, respectively.

Results and Discussion

Mathematical Modelling

The results obtained from the 3^2 full factorial experiments for dyeing process were evaluated by multiple regression analysis. A relationship between the response and predictors has been expressed by a second-order polynomial equation which will help to predict the colour strength and colorimetric properties (L*a*b* and Δ E) of the dyed fabric in different sets of combinations. Five predictive models were generated to understand and predict the interactive correlation between each response and the predictors (x_1 and x_2). The final models obtained in terms of coded variables are given in Equations 5, 6, 7, 8 and 9;

$$K/S = 3.533 - 0.090x_1 + 1.719x_2 - 0.161x_1^2 + 0.211x_2^2 - 0.068x_1x_2$$
(5)

$$L^* = 52.002 + 0.848x_1 - 6.260x_2 + 0.262x_1^2 + 1.217x_2^2 - 0.530x_1x_2$$
(6)

$$a^* = 42.162 - 0.847x_1 + 4.958x_2 - 0.243x_1^2 - 0.888x_2^2 - 0.528x_1x_2$$
(7)

$$b^* = -11.458 - 0.352x_1 + 0.214x_2 - 0.103x_1^2 + 0.444x_2^2 + 0.130x_1x_2$$
(8)

$$\Delta E = 1.561 + 0.810x_1 - 0.843x_2 - 0.261x_1^2 + 0.875x_2^2 + 0.345x_1x_2$$
(9)

Adequacy of Predictive Models

The adequacy of the predictive models was assessed by analysis of variance (ANOVA), coefficient of determination (R²) and *p*-values for the model and the results are shown in Table 3. From Table 3, it is observed that, under 95 % confidence levels, the significant model terms for K/S was x_{2} ; for L* value x^{1} , x^{2} and x_{2}^{2} ; for a* and ΔE value x_{1} and x_{2} . In case of R², it was 0.9950, 0.9995, 0.9941 and 0.9410 for K/S, L*, a* and ΔE , respectively, which indicates that these models fit the experimental data very well. From Table 3, it is also evident that the *p*-value of predictive models was *p*<0.001 for K/S, L* and a* values and p<0.05 for ΔE , which implied that these models were significant at the 95% confidence level. However, predictive model for b* value was not statistically significant (p>0.05, data not shown).

Place Table 3 here.

Effect of Independent Variables on Responses

The regression coefficients of the predictive models and their statistical significance values are presented in Table 4. In addition, 3D surface plots were constructed using developed models (Equations 5–9) to examine the relationship between each response (K/S, L*, a*, b* and Δ E respectively) and the two independent variables, which are shown in Figure 1.

Effect on Colour Strength (K/S)

Higher K/S value indicates greater depth of the colour of dyed fabric surface (Yuen et al., 2004). According to Table 4, water hardness had a negative effect on its linear and quadratic terms whereas the concentration of dye significantly (p<0.05) increased the colour strength in a linear manner. The interactive effects between water hardness and concentration of dye showed a negative effect on colour strength. It is clearly indicated (Figure 1a) that the colour strength decreases with the increasing hardness and increases

as the concentration of dye increases. The effect of the water hardness can be attributed to the presence of elevated amount of calcium and magnesium ions in the dye liquor that will increase the potential barrier at the interface between cotton fibre and dye liquor (Kan, 2008). This increase in potential barrier will resist dye anions passing the interface to enter the fabric surface, resulting in a decrease in dye absorption and hence decrease in colour strength. The decrease in colour strength at higher water hardness level is also attributed to the presence of precipitates of calcium carbonate and magnesium hydroxide. It was reported earlier (Jain and Mehta, 1991) that reactive dyes have strong affinity for these precipitates and are responsible for loss in colour strength.

Place Table 4 here.

Place Figure 1 here.

Effect of Independent Variables on Colorimetric Properties

In CIELAB colour space, L* is an approximate measurement of lightness (Becerir, 2011). The higher the L* value the lighter the shade. The results in Table 4 clearly show that both water hardness and concentration of dye significantly (p<0.05) affected the lightness value. Thus, water hardness showed a positive effect on its linear and quadratic terms and concentration of dye affected the lightness negatively in a linear and positively in quadratic manner showing a curvilinear decrease in L* value. The interactive effects between water hardness and concentration of dye showed a negative effect. As shown in Figure 1b, it is clear that the L* value of dyed samples increases with increasing water hardness and decreases with increasing dye concentration. The L* values showed similar relationship to the colour strength indicating that as the water hardness increases the dyed samples become lighter. This increase in L* value of dyed samples at higher water hardness could be attributed to the presence of whitish

precipitates or chalky stains of calcium carbonate and magnesium hydroxide on surface of dyed samples (Shinde et al., 2015; Saleem and Amin, 2017).

In CIELAB colour space, positive a* value depicts shift towards red shade and negative value shows shift towards green shade (Becerir, 2011). It can be seen from Table 4 that water hardness exhibit strongly negative and concentration of dye exhibit strongly positive effect on the a* value of dyed samples. It can be seen from Figure 1c that a* value of dyed samples decreases (shifts toward green shade) with increasing water hardness indicating that the dyed samples become greener in shade. Moreover, with increasing dye concentration the a* value increases which signifies that dyed samples become redder in shade.

In CIELAB colour space, positive b* value illustrates shift towards yellow shade and negative value elucidates shift towards blue shade. It can be observed from Table 4 that neither water hardness and nor concentration of dye have a significant (p>0.05) effect on the b* value of the dyed samples. However, water hardness showed a negative effect on its linear and quadratic terms whereas concentration of dye showed a positive effect in its linear and quadratic terms. The interaction term of water hardness and concentration of dye showed a positive effect. From Figure 1d, it is apparent that b* value decreases with increasing water hardness indicating that the samples become bluer or less yellow in shade. The results of CIELAB colour coordinates suggests that as the water hardness increases the dyed samples appeared lighter, greener (less red), and bluer (less yellower) because calcium and magnesium ions stimulates the formation of an insoluble complexes which causes shade variation.

The colour difference (ΔE) takes into account the L*, a* and b* values of the target and the dyed samples. It is generally used as a pass or fail criterion for assessing shade and a ΔE value of 1 is considered to hinder the prospect of a guaranteed right-first-time

dyeing process (Parton, 1994). From Table 4 it can be seen that both water hardness and concentration of dye have significant (p<0.05) effect on the colour difference of the dyed samples. It was also observed that water hardness showed a positive effect on its linear term and a negative effect on its quadratic term whereas concentration of dye showed a negative effect in its linear term and a positive effect on its quadratic term. The interaction terms of both water hardness and concentration of dye showed a positive effect. Also, ΔE was significant among the hardness levels and dye concentrations trailed. In addition, Figure 1e shows that higher ΔE values were recorded for higher water hardness level and lower dye concentration, as the results of the changes in L*, a* and b* values of the dyed samples.

Simultaneous Optimization of Colour Strength and Total Colour Difference

Attempts to obtain a set of an optimal conditions to achieve both maximum colour strength (K/S) and minimum total colour change (Δ E) followed. For usual practice, target values are identified based on literature or common industrial practice and used to set the optimisation process. However, in this study, the predictive models previously found for colour strength and Δ E were employed to obtain specific optimum conditions. Simultaneous optimisations of the responses are commonly performed by means of Desirability Functions (Costa et al., 2011). Among all the proposed methods, the authors chose to carry out the optimisation using the Derringer's method (Derringer and Suich, 1980), due to its good reliability and the requirement of substantial mathematical and statistical expertise to implement. This method searches for the values of independent variables that simultaneously satisfy the pre-set requirements according to the chosen predictive models, through the evaluation of a specific (weighted) combination of colour strength and Δ E's equations. Finally, the optimal conditions will result from the pair of values of dye concentration and water hardness that maximises the Desirability

Function. Using the 3^2 factorial results, the optimum level of parameters was obtained which indicated that water hardness of -1 level i.e. 10 °dH and a dye concentration of +1 level i.e. 15 g/L giving a K/S value of 5.46 and a Δ E value of 0.699 with an overall desirability value of 0.8709 (Figure 2). This combination of optimised values could be considered, in this specific case under investigation, as the optimum and feasible and taken in account in further studies to be validated as best conditions for right-first-time dyeing in hard water.

Place Figure 2 here

Conclusion

In this research, the influence of water hardness and the dye concentration of the dyebath on right-first-time production have been studied through a set of 3² full factorial design. As a result of the analysis and interpretation of the experimental data obtained, second-order equations as mathematical models were developed with a particular attention to their fitting performances. These models were later employed in the prediction and simultaneous optimisation of the conditions of dyeing-process in hard water by means of the Desirability Function. By focusing on R², which were found to exhibit more than 95% confidence level of the models, the efficiency of the second-order equations in the description of the relationships between the independent variables and the responses has been demonstrated. In particular, the analysis of variance presented extremely high R² of 0.9950, 0.9995, 0.9941 and 0.9410 for colour strength, *L, a* and ΔE respectively, confirming an adequate fit of the developed equations with the experimental data. Such models were used to obtain a set of optimal dyeing conditions that result in highly accurate colour matching of the dyed fabric samples with the target fabric samples. The optimum conditions were found to be 10 °dH and 15g/L for water hardness and dye concentration, respectively. The predicted values of colour strength

and ΔE , which resulted from the combination of the optima of independent variables, were calculated to be 5.46 and 0.699 respectively. Further studies and consistent experimental campaigns will be necessary to validate this assumption, which will surely be a future development of this work.

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Table 1Independent Variables and Levels (coded and uncoded) used for 3^2 Full

Symbol	Independent	Level					
	Variables	Low	Intermediate	High			
		(-1)	(0)	(1)			
X 1	Hardness of Water (g/L)	10	40	70			
X 2	Dye Concentration (g/L)	5	10	15			

Factorial Design

Run	X 1	X 2	K/S	L*	a*	b*	ΔE
1	-1	-1	1.94	58.29	37.31	-10.92	2.47
2	-1	0	3.37	51.56	43.01	-10.81	0.89
3	-1	1	5.50	46.83	46.20	-11.01	1.43
4	0	-1	2.06	59.43	36.66	-11.25	2.98
5	0	0	3.43	52.10	41.53	-11.93	2.07
6	0	1	5.53	46.91	46.52	-10.31	1.38
7	1	-1	1.75	61.24	34.49	-11.72	4.91
8	1	0	3.48	52.87	41.46	-11.84	2.25
9	1	1	5.04	47.66	45.49	-11.29	2.49

 Table 2
 3² Full Factorial Design Matrix and Observed Responses

Source	DF	Adj	Adj	F-	p.	Adj	Adj	F-	<i>p</i> .	
		SS	MS	Value	Value	SS	MS	Value	Value	
	Colour Strength (K/S)					Lightness (L*) value				
Regression	5	17.93	3.59	119.28	0.001ª	243.67	48.73	1093.94	0.000 ^a	
<i>X</i> ₁	1	0.05	0.05	1.62	0.292	4.32	4.32	96.93	0.002ª	
<i>X</i> ₂	1	17.72	17.72	589.48	0.000 ª	235.13	235.13	5278.01	0.000 ^a	
X 12	1	0.05	0.05	1.72	0.281	0.14	0.14	3.07	0.178	
X 22	1	0.09	0.09	2.97	0.183	2.96	2.96	66.46	0.004ª	
<i>X</i> ₁ <i>X</i> ₂	1	0.02	0.02	0.60	0.494	1.12	1.12	25.22	0.015	
Error	3	0.09	0.03			0.13	0.05			
Total	8									
Summary		$R^2 = 0.99$	50; R ² (adj) = 0.9	9867	R ² = 0.9995; R ² (adj) = 0.9985				
		Re	ed/gree	n (a*)		Total Colour Difference (△E)				
Regression	5	154.62	30.92	101.15	0.002ª	10.34	2.07	9.57	0.046 ^a	
<i>X</i> ₁	1	4.30	4.30	14.07	0.033ª	3.93	3.93	18.20	0.024ª	
<i>X</i> ₂	1	147.51	147.51	482.51	0.000 ª	4.26	4.26	19.71	0.021ª	
X 12	1	0.12	0.12	0.39	0.578	0.14	0.14	0.63	0.485	
X 22	1	1.58	1.58	5.16	0.108	1.53	1.53	7.09	0.076 ^{aa}	
<i>X</i> ₁ <i>X</i> ₂	1	1.11	1.11	3.64	0.152	0.48	0.48	2.21	0.234	
Error	3	0.92	0.31			0.65	0.22			
Total	8									
Summary	R ² = 0.9941; R ² (adj) = 0.9843					R ² = 0.9410; R ² (adj) = 0.8426				

Table 3 Analysis of variance (ANOVA) of the predictive models

^a significant at p<0.05, ^{aa} significant at p<0.1

Coeffici	K/S		L*		а*		b*		ΔE	
ent	estim	<i>p</i> -	estim	<i>p</i> -	estim	<i>p</i> -	estim	<i>p</i> -	estim	p-
	ate	valu	ate	value	ate	value	ate	val	ate	valu
		е						ue		е
βο	3.533	0.00	52.00	0.0	42.16	0.0	-	0.4	1.561	0.02
		0 ^a	2	00ª	2	00ª	11.45	84		0ª
							8			
β1	-	0.29	0.848	0.0	-	0.0	-	0.1	0.810	0.02
	0.090	2		02ª	0.847	33ª	0.352	90		4 ^a
β2	1.719	0.00	-	0.0	4.958	0.0	0.214	0.3	-	0.02
		0 ^a	6.260	00ª		00ª		80	0.843	1 ^a
β11	-	0.28	0.262	0.1	-	0.5	-	0.7	0.261	0.48
	0.161	1		78	0.243	78	0.103	93		5
β22	0.211	0.18	1.217	0.0	-	0.1	0.444	0.3	0.875	0.07
		3		04ª	0.888	08		06		6 ^{aa}
β12	-	0.49	-	0.0	0.528	0.1	0.130	0.6	-	0.23
	0.067	4	0.530	15		52		46	0.345	4

 Table 4
 Statistical parameters of predictive models

^a significant at p<0.05, ^{aa} significant at p<0.1; β_0 is intercept; β_1 and β_2 are linear regression coefficients for x_1 and x_2 ; β_{11} and β_{22} are quadratic regression coefficients for x_1^2 and x_2^2 ; β_{12} is regression coefficient between x_1x_2



Figure 1



Dye Concentration [g/l]

Figure 2