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## Graphene Oxide Exhibits Differential Mechanistic Action towards Gram-positive and Gram-negative Bacteria

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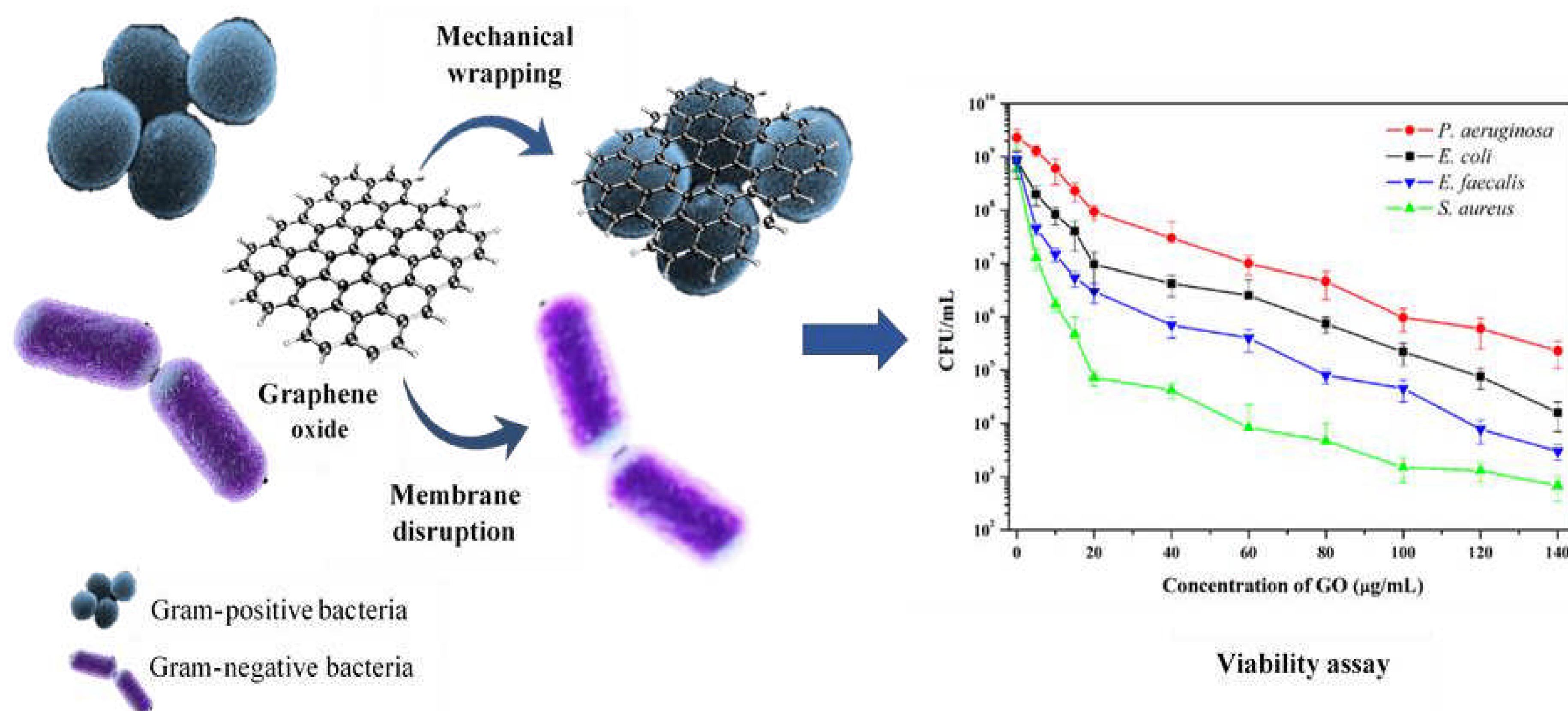
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### Graphical Abstract



## Highlights

- Antibacterial activity of GO towards bacteria are concentration and time-dependent.
- GO shows differential bactericidal activity towards bacteria.
- Mechanical wrapping was noted for *Staphylococcus aureus* and *Enterococcus faecalis*.
- Membrane disruptions was observed for *Escherichia coli* and *Pseudomonas aeruginosa*.

1 **Graphene Oxide Exhibits Differential Mechanistic Action towards Gram-**  
2 **positive and Gram-negative Bacteria**

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14

**Abstract**

15 The antibacterial nature of graphene oxide (GO) has stimulated wide interest in the medical  
16 field. Although the antibacterial activity of GO towards bacteria has been well studied, a  
17 deeper understanding of the mechanism of action of GO is still lacking. The objective of the  
18 study was to elucidate the difference in the interactions of GO towards Gram-positive and  
19 Gram-negative bacteria. The synthesized GO was characterized by Ultraviolet-visible  
20 spectroscopy (UV-VIS), Raman and Attenuated Total Reflectance-Fourier-transform infrared  
21 spectroscopy (ATR-FTIR). Viability, time-kill and Lactose Dehydrogenase (LDH) release  
22 assays were carried out along with FESEM, TEM and ATR-FTIR analysis of GO treated  
23 bacterial cells. Characterizations of synthesized GO confirmed the transition of graphene to  
24 GO and the antibacterial activity of GO was concentration and time-dependent. Loss of  
25 membrane integrity in bacteria was enhanced with increasing GO concentrations and this  
26 corresponded to the elevated release of LDH in the reaction medium. Surface morphology of  
27 GO treated bacterial culture showed apparent differences in the mechanism of action of GO  
28 towards Gram-positive and Gram-negative bacteria where cell entrapment was mainly  
29 observed for Gram-positive *Staphylococcus aureus* and *Enterococcus faecalis* whereas  
30 membrane disruption due to physical contact was noted for Gram-negative *Escherichia coli*  
31 and *Pseudomonas aeruginosa*. ATR-FTIR characterizations of the GO treated bacterial cells  
32 showed changes in the fatty acids, amide I and amide II of proteins, peptides and amino acid  
33 regions compared to untreated bacterial cells. Therefore, the data generated further enhance  
34 our understanding of the antibacterial activity of GO towards bacteria.

35 *Keywords: antibacterial activity, graphene oxide, mechanism of action, mechanical*  
36 *wrapping, membrane damage*

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## 47 1. Introduction

48 Graphene oxide (GO) is one of the promising materials that has been reported to have  
49 excellent antibacterial properties due to its easy and low cost of preparation and ability to be  
50 produced in a large-scale [1-3]. GO is the preferred nanomaterial in the biomedical field over  
51 other carbon allotropes because of its stability in colloidal form and the reliability of  
52 graphene's aqueous dispersibility when it is in a single or multi-layered state [4]. Ever since  
53 the first medical application of GO was demonstrated in the field of drug delivery in 2008,  
54 the research initiative in exploring other uses of graphene material in the biomedical field has  
55 been increasing exponentially [5-7].

56 The prevalence of multidrug-resistant pathogens has reduced the availability of  
57 effective drugs for the treatment of serious bacterial infections. Hence there has been intense  
58 interest to look for antimicrobial agents with alternative mechanisms of action. Metal/metal  
59 oxide nanomaterial such as silver, gold, titanium dioxide and zinc oxide have been used in  
60 the past decade as antibacterial materials to curb antibacterial resistance [8]. Although the  
61 antibacterial action of metal/metal oxide nanomaterials seems relevant in the past, these  
62 nanomaterials are not chemically inert [9]. This inadequacy may affect the stability and the  
63 antibacterial actions of metal/metal oxide nanomaterial, thus it is not recommended for long-  
64 term use especially in the clinical application [10].

65 One of the current applications is the use of GO as an antibacterial material. The  
66 antibacterial property of GO is attributed to the direct physical and chemical activity of GO  
67 on the bacterial membrane [11]. Loss of microbial membrane integrity and the leakage of  
68 intracellular content have been reported to be one of the key mechanisms of bacterial  
69 inhibition by GO [12]. Therefore, GO may have the potential to be an effective antibacterial  
70 material to reduce the excessive use of antimicrobials [13]. Additionally, the difference in the

71 cell wall components of the Gram-positive and Gram-negative bacteria also contributes to the  
72 better antibacterial activity of GO towards *S. aureus* than *E. coli* [14, 15]. Although the  
73 antibacterial activity of GO is increasingly reported, the detailed mechanism of action is still  
74 lacking and poorly understood [16].

75 In this study, GO was prepared and their antibacterial activity was evaluated against  
76 Gram-positive (*Staphylococcus aureus*, *Enterococcus faecalis*) and Gram-negative  
77 (*Escherichia coli*, *Pseudomonas aeruginosa*) bacteria. Specifically, cell viability and kinetic  
78 studies were carried out while field emission scanning electron microscopy (FESEM)  
79 techniques were conducted to observe the difference in the bacterial surface morphology  
80 before and after exposure to GO. Transmission electron microscopy (TEM) analysis was  
81 carried out for the treated bacterial cells to determine the effects of GO on cell morphology.  
82 Finally, ATR-FTIR characterizations of untreated and GO-treated bacteria were conducted to  
83 examine their interaction mechanisms.

84 Although there were many studies on the antibacterial activity of GO against Gram-  
85 positive and Gram-negative bacteria, however the difference in the mechanism was not dealt  
86 with [14, 17-20]. Here, we report the difference in the activity of GO towards Gram-positive  
87 and Gram-negative bacteria based on FESEM, TEM and LDH analyses. To the best of our  
88 knowledge, this is the first report that provides evidence for the dissimilarity in the  
89 mechanistic actions of GO. Additionally, we have described the mechanism of action GO  
90 towards bacteria at molecular level through ATR-FTIR characterizations of untreated and  
91 GO-treated bacteria.

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## 94 2. Materials and Methods

### 95 2.1. Materials

96 Graphite powder, H<sub>2</sub>SO<sub>4</sub>, H<sub>2</sub>PO<sub>4</sub>, HCl, H<sub>2</sub>O<sub>2</sub> and KMnO<sub>4</sub> were purchased from Sigma-  
97 Aldrich, USA. Phosphate buffered saline (PBS) was prepared using PBS tablets from Sigma-  
98 Aldrich, USA. Tryptic Soy Agar (TSA) and broth (TSB) were prepared using dehydrated  
99 bacterial culture media from BD Difco™, USA. Bacterial cultures *S. aureus* ATCC 25923, *E.*  
100 *faecalis* ATCC 29212, *E. coli* ATCC 25922 and *P. aeruginosa* ATCC 27853 were from the  
101 culture collection of Biomedical Science Laboratory, University of Malaya, Kuala Lumpur,  
102 Malaysia.

103

### 104 2.2. Synthesis and characterization of GO

105 GO sheets were prepared through the modified Hummer's method [21, 22]. Ultraviolet  
106 absorption spectra were obtained using Lambda 35 (Perkin-Elmer, USA). An aqueous  
107 solution of GO was used as the sample for UV-Vis and distilled water was used as the  
108 reference. Wavelengths from 200 to 700 nm were used to characterize the GO. Raman  
109 spectra of GO sheets were obtained using a Renishaw inVia Raman microscope (UK) with an  
110 excitation laser wavelength of 325 nm. The excitation was conducted with a He-Ne laser in  
111 the regions of 1000 to 2200 cm<sup>-1</sup>. ATR-FTIR characterization of GO was done using  
112 Spectrum 400 IR spectrometer equipped with diamond crystal (Perkin Elmer, USA). The  
113 ATR-FTIR spectra were recorded with a resolution of ± 4 cm<sup>-1</sup> and a scan number of 12 in  
114 the range of 4000 to 400 cm<sup>-1</sup>.

### 115 2.3. Bacterial culture conditions

116 The bacterial stock cultures were revived and streaked on Tryptic Soy Agar (TSA) plates to  
117 check for purity. The culture plates were incubated overnight at 37 °C. A single colony from



118 the overnight TSA was picked and used to inoculate 10 mL Tryptic Soy Broth (TSB). The  
119 inoculated broth was incubated overnight at 37 °C with agitation (150 rpm).

#### 120 2.4. *Bacterial Viability Assay*

121 An aliquot of 5 mL of bacterial cultures ( $10^8$  cfu) was incubated with GO of varying  
122 concentrations ranging from 5 to 140  $\mu\text{g mL}^{-1}$  for 4 h at 37 °C with agitation (150 rpm). At  
123 the end of the designated time period, an aliquot of 100  $\mu\text{L}$  was withdrawn and serially  
124 diluted (1:10) in 0.8% saline solution. Serially diluted cell suspensions were plated onto the  
125 TSA and incubated overnight at 37 °C to determine the bacterial counts (cfu). The assay was  
126 carried out in triplicates of three independent experiments and the results were averaged. The  
127 degree of bacterial inactivation was calculated using the formula:  $(T_0 - T) / T_0$  where  $T_0$  is the  
128 number of bacteria in the GO-free reaction and T is the residual bacteria in the reaction  
129 medium at a certain GO concentration. Three independent replicates were conducted for the  
130 assay.

#### 131 2.5. *LDH Cytotoxicity Assay*

132 The release of LDH cytotoxicity assay was conducted to determine the degree of membrane  
133 damage of bacteria once treated with GO. Membrane integrity of treated bacteria was  
134 evaluated using LDH Cytotoxicity Assay Kit (Thermo Fisher Scientific, Massachusetts,  
135 USA). Bacterial cultures ( $10^8$  cfu) were incubated with GO suspension of varying  
136 concentration ranging from 5 – 140  $\mu\text{g mL}^{-1}$  for 4 h at 37 °C with agitation (150 rpm). At the  
137 end of the time period, 50  $\mu\text{L}$  of each reaction mixture were transferred to a 96-well plate and  
138 the assay was carried out according to the manufacturer's instructions. The absorbance was  
139 measured using a microplate spectrophotometer (Epoch-BioTek, Vermont, USA). Untreated  
140 bacterial cultures were regarded as negative control and three independent experiments were  
141 performed with replicates and the results were averaged.

142

143

#### 144 2.6. *Time-kill assay*

145 Standardized bacterial cultures ( $10^8$  cfu) were incubated with  $10 \mu\text{g mL}^{-1}$  of GO suspension  
146 at  $37^\circ\text{C}$  with gentle agitation (100 rpm). At the end of selected time periods (2 h, 4 h, 6 h and  
147 8 h),  $100 \mu\text{L}$  of the bacterial culture was withdrawn and serially diluted (1:10) in 0.8% saline  
148 solution. Serially diluted cell suspensions were then plated onto the TSA and incubated  
149 overnight at  $37^\circ\text{C}$ . Three independent experiments were carried out in triplicates and the  
150 results were averaged.

#### 151 2.7. *Observation of bacterial cell morphology upon GO treatment*

152 GO treated and untreated bacterial cells were retrieved from respective experiments for  
153 further surface morphology observations. Briefly,  $1 \mu\text{L}$  of the bacterial suspension ( $\sim 10^8$  cfu  
154  $\text{mL}^{-1}$ ) were treated with 4 % glutaraldehyde (GLA) for 30 minutes, washed with cacodylate  
155 buffer and further fixed with 1% Osmium tetroxide for another 30 minutes. The fixed  
156 bacterial cells were then gradually dehydrated with ethanol using increasing concentrations  
157 ranging from 30%, 50%, 70%, 80%, 90%, and finally 100%. Each ethanol wash was  
158 performed for 15 minutes and finally, the completely dried bacterial cells were sputter coated  
159 with gold for FESEM observations (FEI, Quanta FEG 650) at a working distance around 9  
160 mm, with an acceleration voltage of 20kV. The GO treated bacterial isolates were also  
161 observed under TEM (Carl Zeiss, LEO LIBRA 120). For the TEM sample preparation,  
162 treated bacterial cells were fixed with 4 % GLA for more than 4 h and washed with  
163 cacodylate buffer, fixed with 1% Osmium tetroxide for 2 h and washed again with cacodylate  
164 buffer. The bacterial cells were then dehydrated through a graded series of ethanol, treated

165 using propylene oxide and finally embedded in Epon. Thin sections were cut through  
166 ultramicrotome, stained with uranyl acetate, air-dried and viewed under TEM.

167

## 168 2.8. *ATR-FTIR characterizations of GO and bacteria interactions*

169 Bacterial cultures were treated with  $10 \mu\text{g mL}^{-1}$  of GO for 4 h as described in the previous  
170 section. An aliquot of 100  $\mu\text{L}$  of the GO-treated and untreated bacterial (control) cultures was  
171 aseptically dropped onto glass slides, respectively and left to dry. The thin film was analyzed  
172 through Spectrum 400 IR spectrometer equipped with diamond crystal (Perkin Elmer, USA).  
173 Spectra were recorded with a resolution of  $\pm 4 \text{ cm}^{-1}$  and scan number of 12 in the range of  
174 4000 to  $400 \text{ cm}^{-1}$ .

## 175 3. **Results and Discussion**

### 176 3.1. *Characterizations of GO*

177 The modified Hummer's method has enabled the formation of an oxidized graphite  
178 material that could be further sonicated to form an aqueous suspension of GO. The prepared  
179 GO sheets were characterized using ultraviolet adsorption spectroscopy. As seen from  
180 Fig.1(a), a peak which corresponds to the  $\pi - \pi^*$  plasmon was observed at around 240 nm due  
181 to  $sp^2$  clusters of the GO and linking units such as C=C, C=O, and C-O bonds. The shoulder  
182 band from 290 nm to 300 nm can be attributed to the  $n - \pi^*$  transitions of C = O bonds [23,  
183 24], consistent with the findings reported by Gupta *et al.* [25] and Luo *et al.* [26]. Raman  
184 spectroscopy is a powerful nondestructive technique and is a very useful optical approach to  
185 distinguish the ordered and disordered structure of carbonaceous materials [27, 28]. The  
186 Raman spectrum of graphene oxide is shown in Fig. 1(b). Two clear bands at  $1416 \text{ cm}^{-1}$  and  
187  $1598 \text{ cm}^{-1}$  are the dominant vibrational modes corresponding to the D and G bands of carbon,

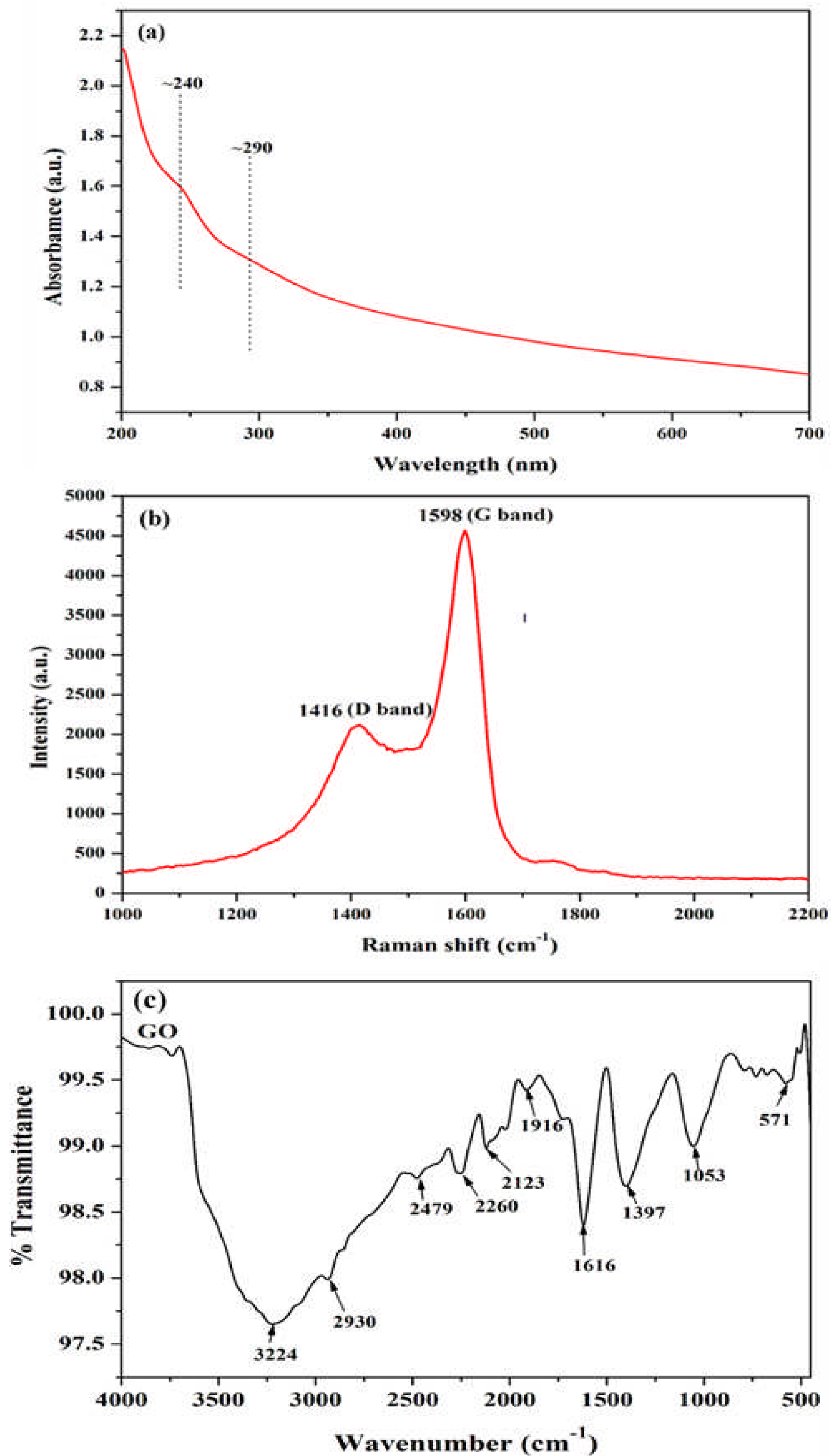
188 respectively [18]. The intense G band at  $1598\text{ cm}^{-1}$  is common to all  $sp^2$  carbon forms and is  
189 attributed to the optically allowed  $E_{2g}$  phonon. The weak D band at  $1416\text{ cm}^{-1}$  is ascribed to  
190 the mode of the  $\kappa$ -point phonons of  $A_{1g}$  symmetry [29], reflecting the degree of defects found  
191 on the structure. Raman spectroscopy is mostly used to acquire structural data on carbon  
192 materials [30]. The strong band (G) is due to the  $sp^2$ -bonded carbon regions while the weaker  
193 band (D) reflects the degree of defects found on the structure [31].

194         The ATR-FTIR spectrum of GO is shown in Fig. 1(c). The presence of the bands in  
195 this spectrum is associated with the functional groups of GO. Vibration modes that are based  
196 on the configuration of oxygen which include the OH, C-OH, COOH and C-O functional  
197 groups are observed in the GO spectrum. The peak observed at  $3224\text{ cm}^{-1}$  could be attributed  
198 to the presence of carboxyl O-H stretching vibration mode. This peak appeared broad as it  
199 overlaps with absorption peaks that correspond to O-H stretching due to the presence of  
200 absorbed water molecules and alcohol groups [32]. The asymmetric  $\text{CH}_2$  stretching of GO  
201 appears at  $2930\text{ cm}^{-1}$  and the band that appears as a shoulder peak at  $1735\text{ cm}^{-1}$  is attributed to  
202 C=O stretch of carboxyl group [33]. The bands at  $1397\text{ cm}^{-1}$  and  $1053\text{ cm}^{-1}$  corresponds to C-  
203 OH and C-O stretching vibrations, respectively [34].

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208 **Fig. 1.** Characterization of synthesized GO using UV-Vis and Raman spectroscopy. (a) UV-  
 209 Vis spectrum of GO; absorbance peak of  $\pi - \pi^*$  plasmon is observed at 240 nm. (b) Raman  
 210 spectrum of GO; G band arises due to the  $sp^2$ -bonded carbon regions and the D band reflects  
 211 the degree of defects found on GO. (c) ATR-FTIR spectrum of GO; Functional groups of  
 212 OH, COOH, C-OH and C-O are indicated at  $3224\text{ cm}^{-1}$ ,  $1735\text{ cm}^{-1}$ ,  $1397\text{ cm}^{-1}$  and  $1053\text{ cm}^{-1}$ ,  
 213 respectively.

### 214 3.2. Concentration-dependent activity of GO

215 The antibacterial activity of GO was assessed by exposing selected Gram-positive and  
216 Gram-negative bacteria to various concentrations of an aqueous suspension of GO ranging  
217 from 0 to 140  $\mu\text{g mL}^{-1}$  for a fixed time-period (4 hours). The line graph in Fig. 2(a) clearly  
218 depicts the reduction in the number of cells with an increasing GO concentration for all  
219 bacterial strains. The cfu counts indicated that GO has almost completely inhibited the  
220 bacterial growth of all strains as seen in the line graph, but the inactivation rate differed  
221 among individual bacteria at lower concentrations. Increasing concentrations of GO nearly  
222 inactivated 99.9% of all bacteria, whereas *S. aureus* was almost fully inactivated at GO  
223 concentrations of 5  $\mu\text{g mL}^{-1}$  compared to other strains. More than 99.9% reduction (> 3 log  
224 reductions) in colony counts signifies the bactericidal effect of the GO sheets. Similar  
225 observations were made by Akhavan *et al* [14] who reported that *S. aureus* cells have higher  
226 susceptibility to GO nanowalls compared to *E. coli*. They reported that the RNA efflux was  
227 higher for *S. aureus* than for *E. coli* when exposed to the same concentrations of GO [14].

228 Additionally, membrane integrity of GO treated bacterial cultures was measured by  
229 monitoring the release of LDH into the reaction medium after treatment. LDH cytotoxicity  
230 assay is commonly used to evaluate the loss of membrane integrity of cells after treatment  
231 with toxic compounds [35]. It was found that exposure of bacteria to increasing  
232 concentrations of GO enhanced the levels of LDH detected in the medium. This was noted  
233 for all bacteria for increasing GO concentrations however differences in the levels of  
234 detectable LDH among the bacterial cultures were noted as shown in Fig. 2(b). Higher release  
235 of LDH was observed for the Gram-positive isolates (*S. aureus* and *E. faecalis*) compared to  
236 the Gram-negative isolates (*E. coli* and *P. aeruginosa*). At 10  $\mu\text{g mL}^{-1}$  of GO, 92% and  
237 83.3% of cytotoxicity level were noted for *S. aureus* and *E. faecalis* respectively while

238 cytotoxicity levels of 66.7% and 58.3% were noted for *E. coli* and *P. aeruginosa*  
239 respectively.

240 In this study, we have tested two Gram-positive bacteria *S. aureus* and *E. faecalis* and  
241 two Gram-negatives *E. coli* and *P. aeruginosa*. Our study indicated that the degree of  
242 bacterial inactivation followed the order; *S. aureus* > *E. faecalis* > *E. coli* > *P. aeruginosa* in  
243 a descending trend. Evidently, membrane structure plays a definite role in determining the  
244 antibacterial activity of GO [14]. Increasing GO concentrations resulted in a reduction in the  
245 viability of all strains and enhanced release of LDH, most notably for *S. aureus* and the least  
246 towards *P. aeruginosa*, therefore the bactericidal activity of GO is concentration-dependent.  
247 This observation concurred with other reports [23, 36, 37]. The higher concentrations of GO  
248 provided increased contact with bacterial cells in which the abundant GO sheets could entrap  
249 bacterial cells through the wrapping mechanism.

250 The wrapping mechanism explains that GO separates the bacterial cells from the  
251 nutrients that are present in the growth medium, thus inhibiting cell proliferation resulting in  
252 cell death [31, 38]. As GO concentrations of 10  $\mu\text{g mL}^{-1}$  was able to inactivate more than  
253 60% of live cells, this concentration was selected for subsequent experiments. A similar study  
254 also reported that 10  $\mu\text{g mL}^{-1}$  of GO suspension was able to exert toxic effects towards  
255 bacteria as higher concentrations would possibly cause indirect toxic effects through cell  
256 entrapment mechanism which separates bacterial cells from the reaction medium [10].

257

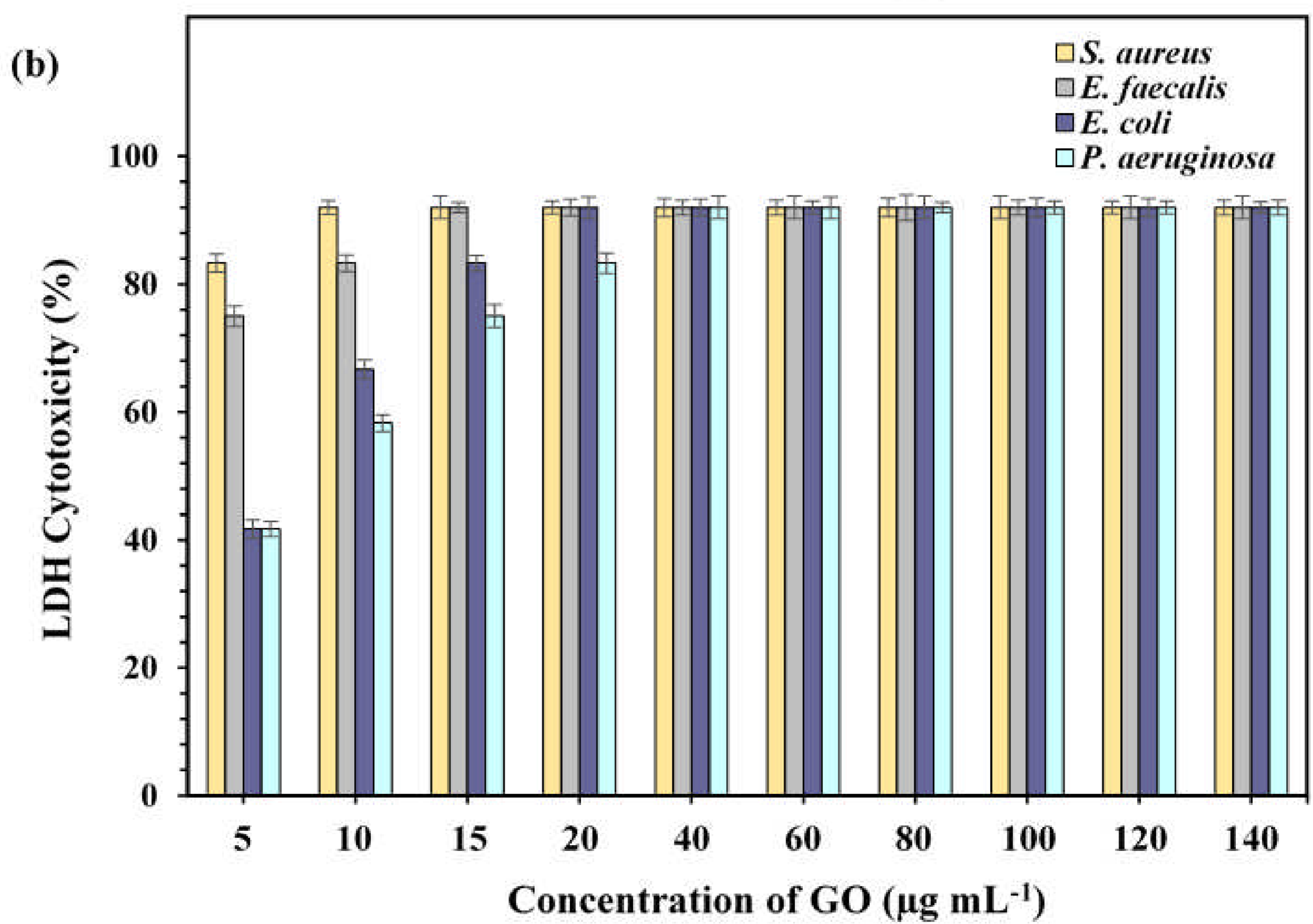
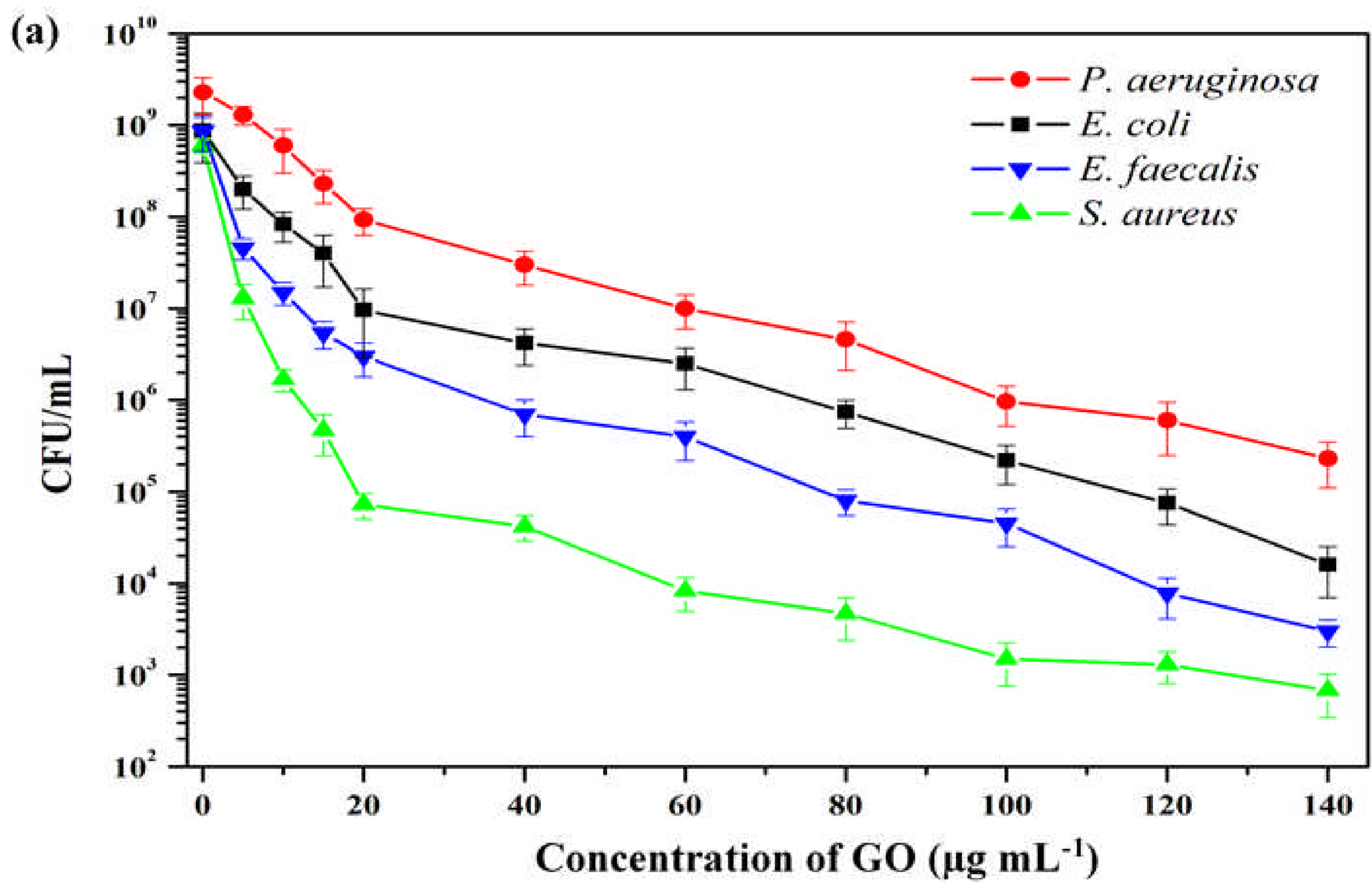
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264 **Fig. 2.** Viability curve and LDH cytotoxicity analyses of bacteria after exposure to GO for 4  
265 h. (a) A sharp decrease in the viability was observed at GO concentration of  $10 \mu\text{g mL}^{-1}$  and  
266 deteriorates further as the concentration of GO increases. (b) Increased levels of LDH was  
267 measured for increasing concentrations of GO.

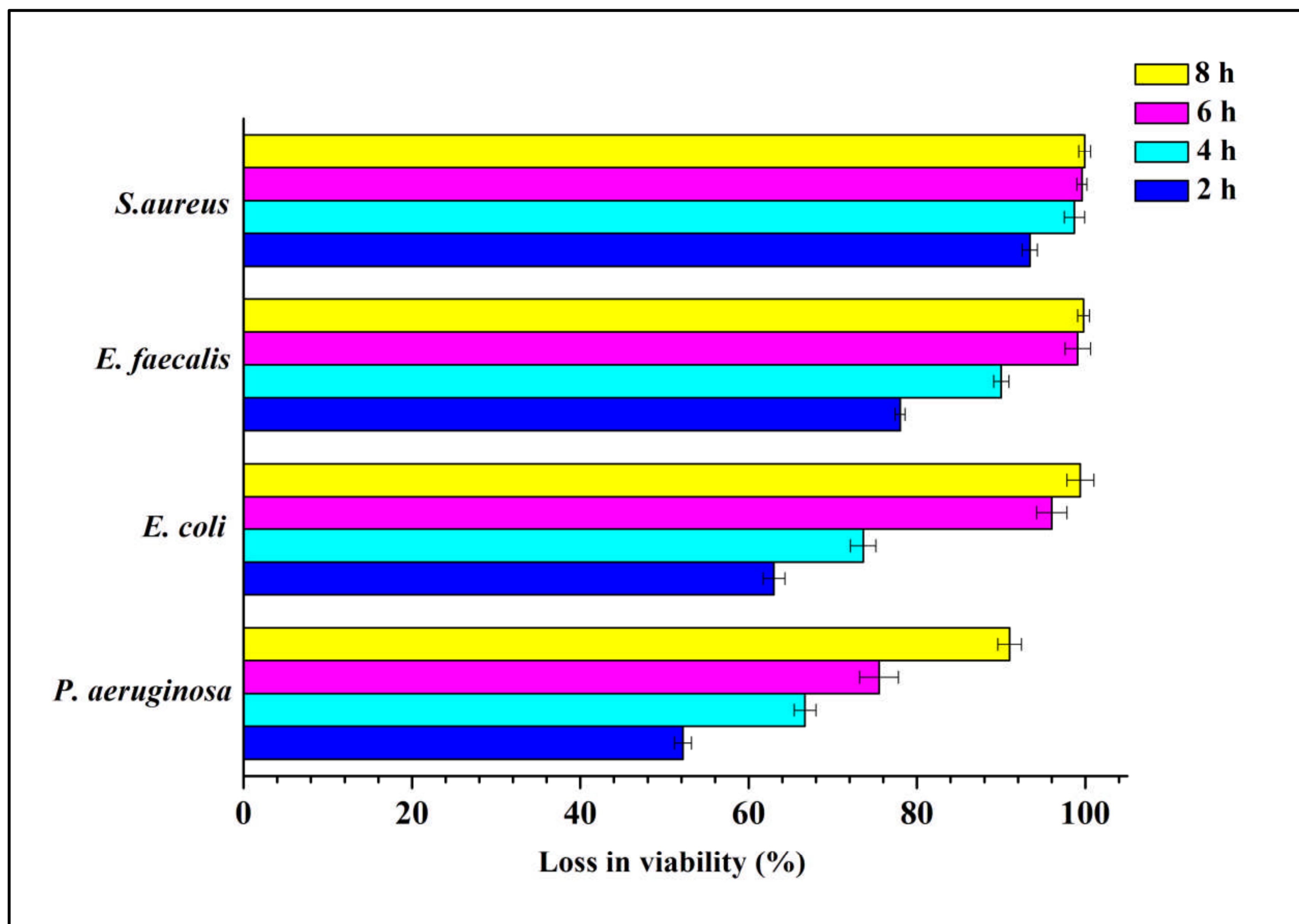
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### 269 3.3. Time-dependent activity of GO

270 Time-dependent assays were performed for 8 h with a 2-hour interval time at a fixed  
271 GO concentration ( $10 \mu\text{g mL}^{-1}$ ) for all the tested strains. Loss of viability increased with a  
272 longer period of incubation as all strains recorded the highest amount of cell death at the 8<sup>th</sup>  
273 hour (Fig. 3). This time-dependent assay also followed the same order of inactivation; *S.*  
274 *aureus* > *E. faecalis* > *E. coli* > *P. aeruginosa*. A large portion of cell death occurred at 4 h of  
275 incubation and this time-period was used in the subsequent investigations in this work to  
276 explore the interactions of GO. Furthermore, Gurunathan *et al.* [23] and Liu *et al.* [37] also  
277 described that a major proportion of cell death occurred in the early hours of incubation time  
278 which is consistent with our study. This phenomenon suggests that increasing incubation time  
279 contributes to longer interaction time and improved contact of GO sheets towards bacterial  
280 cells. Although more than 60% viability loss were seen at the 4<sup>th</sup> hour, better contact mediates  
281 enhanced antibacterial activity and this has resulted in major cell loss especially at the 8<sup>th</sup>  
282 hour of incubation. Additionally, with increasing time of contact, the overall proliferation of  
283 bacteria may be hindered because a large proportion of bacteria were rendered non-viable at  
284 early hours of incubation time. Therefore, our results indicated that the antibacterial activity  
285 of GO is concentration- and time-dependent.

286

287



288

289 **Fig. 3.** Time kill assay of bacteria after exposure to GO for several time periods (2 h, 4 h, 6 h  
 290 and 8 h). Increase in the incubation time improves bacterial cell contact with GO and this  
 291 leads to higher percentage of cell death.

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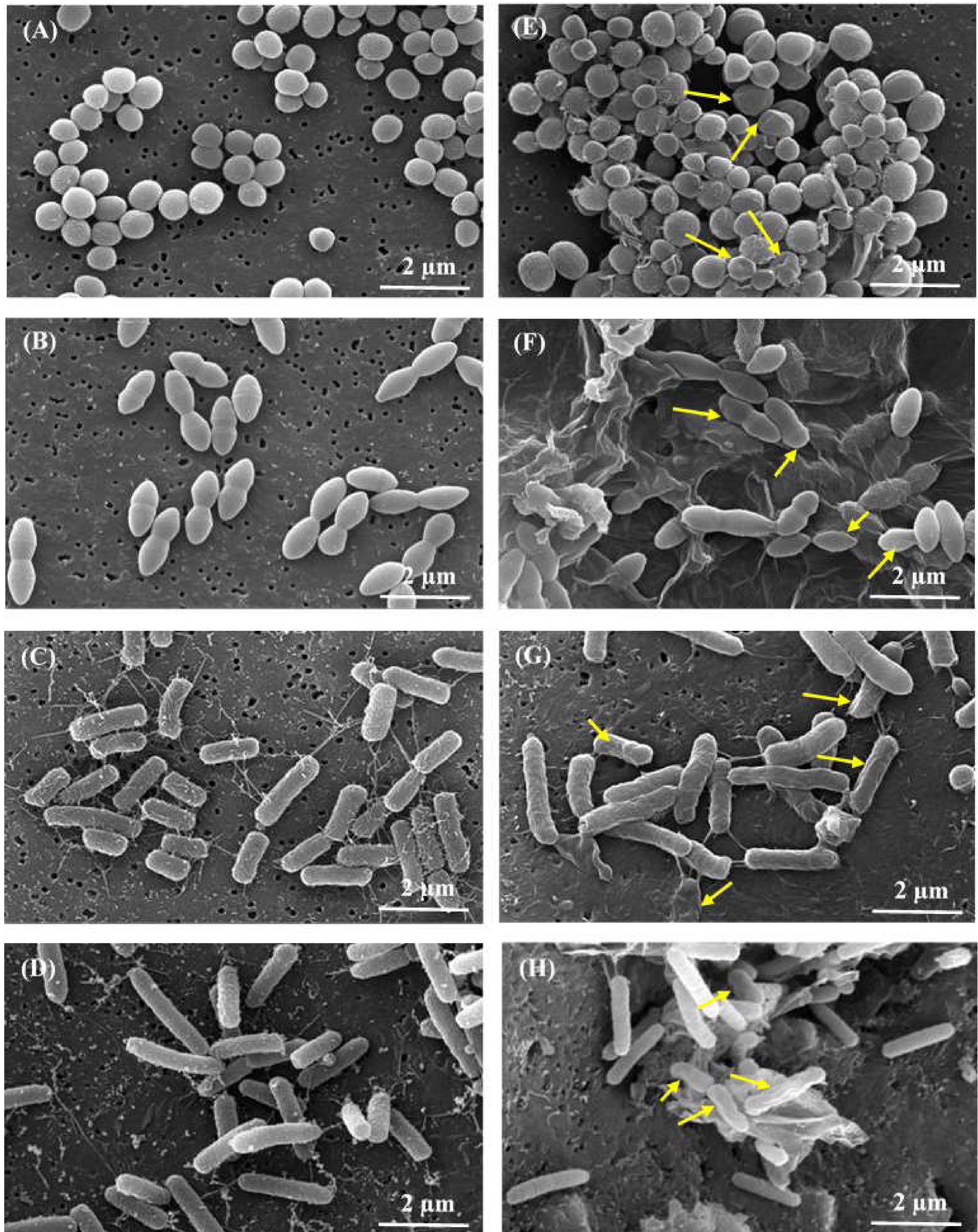
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300 3.4. Visualization of the bacterial cell upon exposure to GO

301 FESEM characterizations were conducted to investigate the interactions between the  
302 bacterial cell membrane and GO sheets. Fig. 4A – 4D represent untreated bacterial cells while  
303 Fig. 4E - 4H show the treated cells. FESEM images revealed that untreated bacterial cells  
304 were observed to have intact cell membrane compared to bacterial cells that were treated with  
305 GO. Treated bacteria cells showed deformed shapes for all strains which indicated  
306 compromised membrane integrity and resulted in eventual cell death.

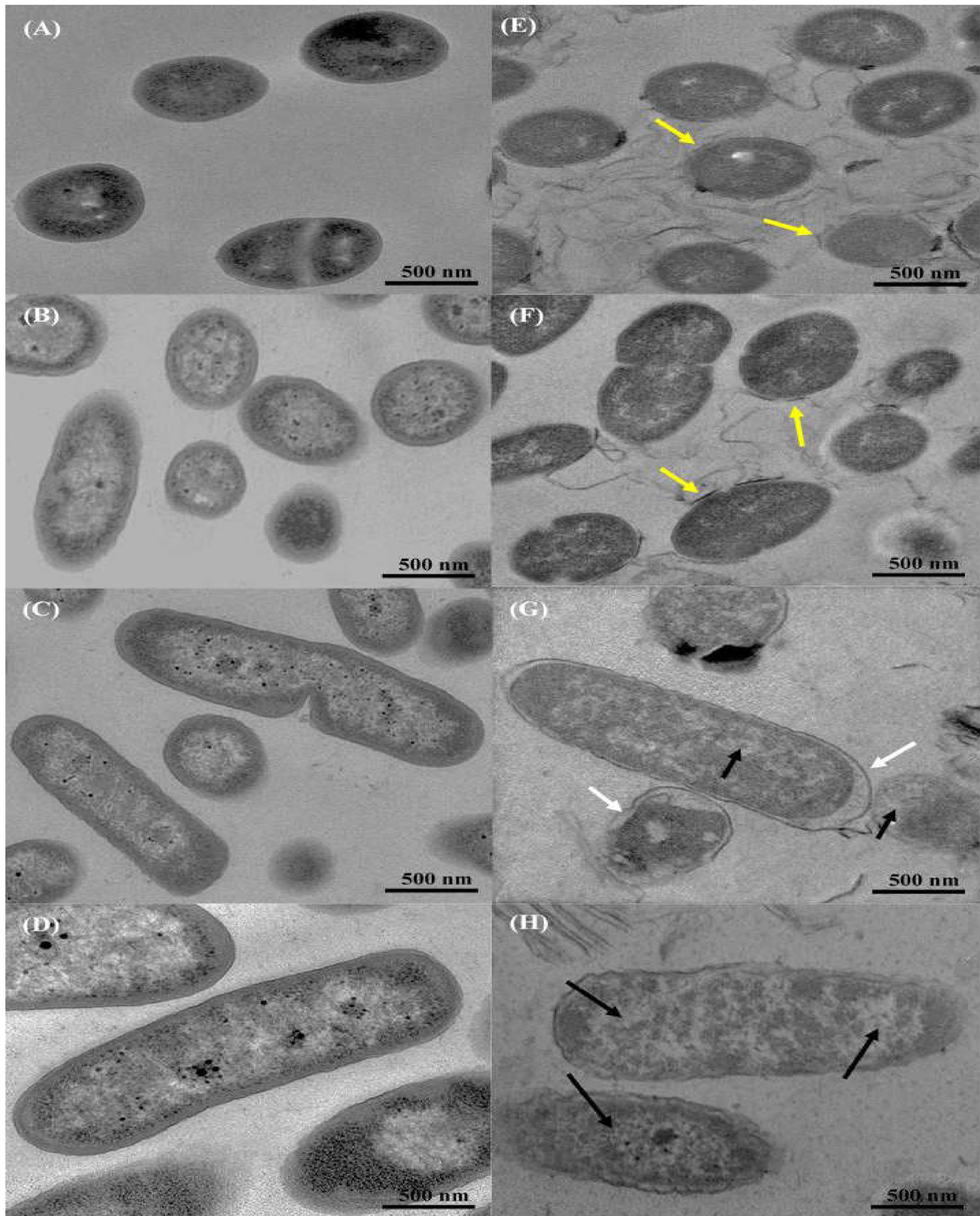
307 Besides, TEM analyses were carried out to monitor morphological changes in the  
308 bacterial cells after treatment with GO. Fig. 5A – 5D show the TEM images of untreated  
309 bacteria while Fig. 5E – 5H indicate the mechanism of interaction of GO towards bacterial  
310 cells. The degree of membrane disruptions and mechanism of action vary according to the  
311 type of bacteria. A clear difference in the degree of membrane damage and methods of GO  
312 interactions could be observed in the FESEM and TEM images between Gram-positive and  
313 Gram-negative bacteria. Large clusters of Gram-positive *S. aureus* and *E. faecalis* appeared  
314 to be entrapped by numerous GO sheets in both the FESEM and TEM images. The wrapping  
315 mechanism of bacterial cells *via* GO sheets is a documented antibacterial mechanism of  
316 action where the cells are actively isolated from the nutrient medium and undergo cell death  
317 [31]. In our study, this mechanism was observed clearly for the Gram-positive cells only. As  
318 Gram-positive bacteria (*S. aureus* and *E. faecalis*) are usually present in clusters, this  
319 increased the surface area of exposure to GO sheets and these cells get trapped leading to the  
320 higher death rate. The total surface area of the Gram-positive cells exposed to GO sheets is  
321 higher as these bacterial cells (*S. aureus* and *E. faecalis*) usually occur in clusters. Hence  
322 more cells are trapped, leading to higher cell death.

323 In contrast, the Gram-negative bacteria suffered hollows and dents on their membrane  
324 surface and did not appear to be severely trapped under GO sheets, unlike the Gram-positive  
325 cells as observed in Fig. 4G and 4H. Although membrane corrugations have been mainly  
326 observed for the Gram-negative bacteria only, loss of viability among *E. coli* and *P.*  
327 *aeruginosa* were lower compared to Gram-positive bacteria. In addition, the TEM images of  
328 the Gram-negative bacteria in Fig. 5G and 5H were observed to display a decrease in  
329 intracellular density which indicated minor loss of cellular components. This type of  
330 membrane damage is the effect of physical disruption where destructive extraction of lipid  
331 molecules may have occurred. A similar observation was reported by Tu *et al.* [39] that GO  
332 treated bacterial cells suffered lower surface phospholipid density due to partial membrane  
333 damage. For instance, *E. coli* has been observed to display a slight loss in cytoplasmic  
334 content where gaps existed between the cytoplasm and cell wall in the TEM images (Fig.  
335 5G). Similar observations were made by Hu *et al.* [40] and Li *et al.* [41] where bacterial cells  
336 treated with GO appear to have suffered a loss in cellular integrity along with leakage of  
337 cytoplasmic content. Liu *et al.* [37] indicated that the membrane damage happens only after a  
338 direct contact with graphene-based materials and the damage appears to be irreversible. The  
339 difference in the loss of viability between Gram-positive and Gram-negative bacteria may be  
340 explained by the tendency of the Gram-positive bacteria to form cell clusters besides the  
341 apparent difference in the cell wall structure. In contrast, Gram-negative bacteria are usually  
342 present in single or paired cells, thus a lesser number of bacterial cells will be exposed to GO  
343 at any given time, hence lower viability loss for the Gram-negative bacteria was found in this  
344 study [42]. Therefore, the antibacterial potential of GO is influenced by the degree of contact  
345 between bacterial cells and GO sheets. Similarly, a study conducted by Perreault *et al.* [31]  
346 also reported that the close contact between the GO sheets and bacteria cells could  
347 compromise the integrity of bacterial membranes.



348

349 **Fig. 4.** FESEM images of bacteria cells before and after exposure to GO. A to D represent  
 350 untreated bacteria and E to H represent GO-treated bacterial cells. (A and E; *S. aureus*, B and  
 351 *E. faecalis*; C and G; *E. coli*, D and H; *P. aeruginosa*.) Yellow arrows indicate membrane  
 352 damage that was observed under FESEM analysis for GO-treated cells only.



353

354 **Fig. 5.** TEM analysis of bacterial cells before and after exposure to GO. A - D represent  
 355 untreated bacteria and E to H represent GO-treated bacteria. (A and E; *S. aureus*, B and F; *E.*  
 356 *faecalis*; C and G; *E. coli*, D and H; *P. aeruginosa*). Yellow arrows indicate attachment of  
 357 GO sheets onto bacterial cells to potentiate antibacterial mechanism. White arrows show  
 358 detachment of cell membrane that may have been caused by leakage of cell content. Black  
 359 arrows indicate lower density of lipids that may have been caused by partial membrane  
 360 damage.

### 361 3.5. ATR-FTIR characterizations of GO and bacteria interactions

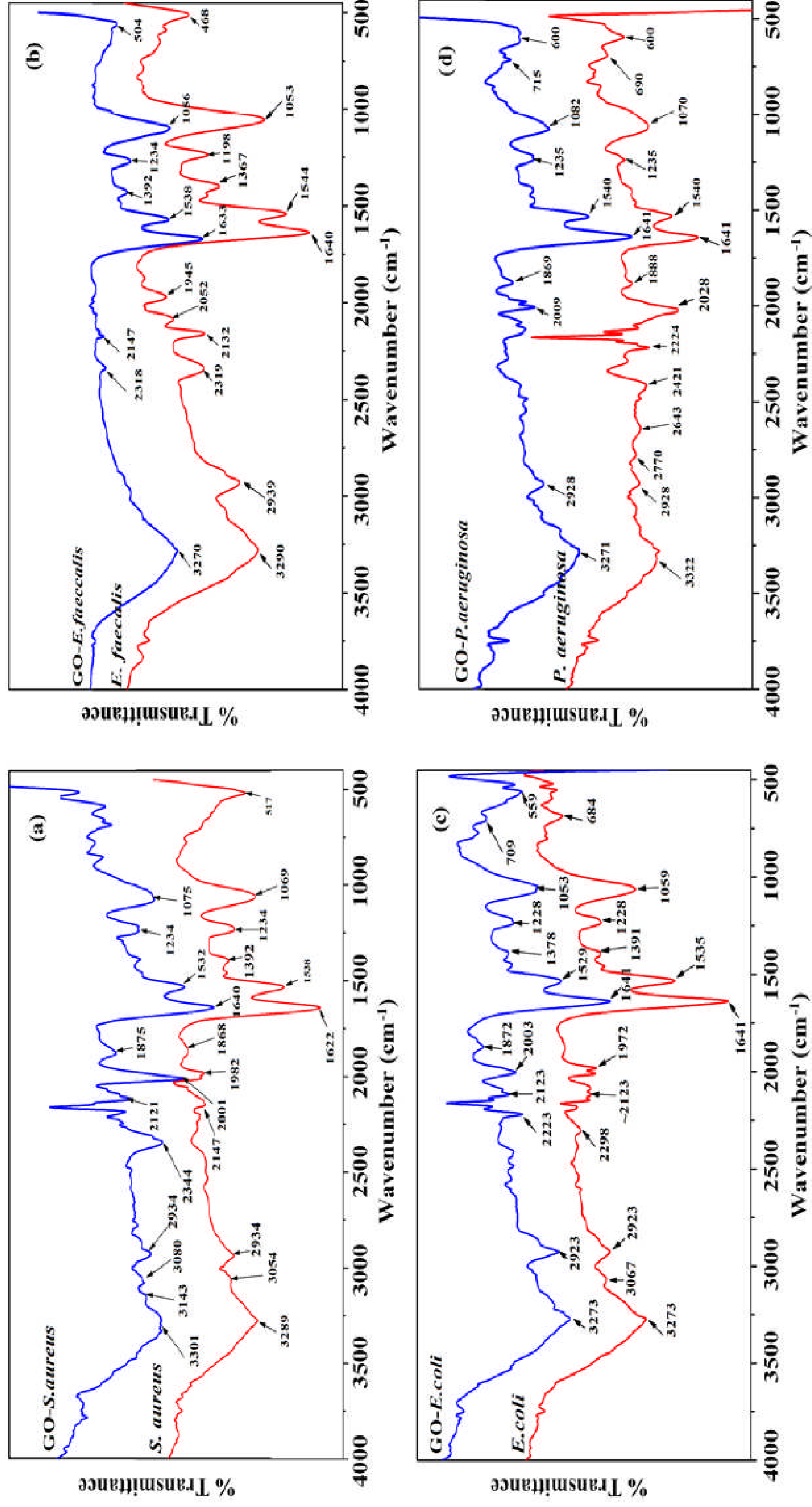
362 ATR-FTIR spectra of bacterial cells are usually conducted to analyze the surface  
363 chemistry and functional groups that are present on the cell walls of the bacteria [43]. This  
364 technique is commonly used for the identification, detection and classification of bacteria [44,  
365 45]. It is also used to detect changes at the molecular level in bacterial cell wall structure. The  
366 ATR-FTIR spectra of untreated and GO-treated bacterial cells were analyzed to deduce the  
367 different actions of GO on Gram-positive and Gram-negative bacteria.

368 Amongst the bands that exhibited clear differences, the  $2344\text{ cm}^{-1}$  band in GO-*S.*  
369 *aureus* was assigned to the O-H stretching due to the carboxylic acid which is also present in  
370 the GO framework [46]. However, the intensity of the peak was reduced and the peak was  
371 observed to be shown at  $2260\text{ cm}^{-1}$  in the bare GO spectrum (Fig. 6). The primary and  
372 secondary amides (region II) of *S. aureus* occurred at  $1622\text{ cm}^{-1}$  and  $1538\text{ cm}^{-1}$ , respectively  
373 due to the stretching vibrations of C=O and N-H [47]. The intensity of both C=O and N-H  
374 bands decreased after the introduction of GO. Additionally, the presence of amino acid  
375 functional group at  $517\text{ cm}^{-1}$  (region V) which is due to the  $\text{COO}^-$  and the symmetric C=O  
376 stretching of amino acids at  $1392\text{ cm}^{-1}$  (region III) were diminished in the GO-*S. aureus*  
377 spectrum as shown in Fig. 6(a) [48]. The exposure of GO in the *S. aureus* culture has  
378 introduced changes in the carboxylic group of fatty acids, primary and secondary amides of  
379 proteins, peptides and amino acids. This might have played a role in causing more damage to  
380 the cell wall of these bacteria. The ATR-FTIR spectra of *E. faecalis* and GO-*E. faecalis* are  
381 shown in Fig. 6(b). The presence of the characteristic bands of C-H asymmetric of  $\text{CH}_2$  in  
382 fatty acids at  $2939\text{ cm}^{-1}$  in GO-*E. faecalis* spectrum has almost disappeared [46].  
383 Furthermore, the O-H stretching vibration due to carboxylic acid at  $2319\text{ cm}^{-1}$  and C $\equiv$ C  
384 stretching vibration of monoalkyl acetylene at  $2132\text{ cm}^{-1}$  have also been reduced in GO-*E.*

385 *faecalis* spectrum [34]. The decrease in the intensity of the peaks from region II to region V  
386 reflects the chemical transformation taking place after the treatment of *E. faecalis* with GO.

387 Fig. 6(c) shows the ATR-FTIR spectra of *E. coli* and *E. coli* treated with GO. The  
388 peak at  $2923\text{ cm}^{-1}$  in *E. coli* spectrum is due to the presence of C-H stretching in aliphatic  
389 compounds of cell walls such as lipids mainly along with a minor contribution from proteins,  
390 carbohydrates and nucleic acids [34]. This peak, however, has intensified in the GO - *E. coli*  
391 and GO -*P. aeruginosa* spectra as well. The intensity of amide II (protein N-H bend, C-N  
392 stretch) peak at  $1535\text{ cm}^{-1}$  in GO-*E. coli* spectrum has noticeably reduced [49]. Moreover, the  
393 peak attributed to COO<sup>-</sup> symmetric stretch in amino acid side chains and fatty acids at  $1391$   
394  $\text{cm}^{-1}$  slightly reduced and have shifted to  $1378\text{ cm}^{-1}$ . Furthermore, a P=O asymmetric  
395 stretching band which appeared at  $1228\text{ cm}^{-1}$  is mainly due to nucleic acids with some  
396 influence from phospholipids [50]. These peaks do not fluctuate before and after the  
397 treatment. The strong absorption band that appeared at  $1059\text{ cm}^{-1}$  may be associated with  
398 PO<sup>2-</sup> symmetric stretching from nucleic acids and phospholipids and this band decreases in  
399 intensity after GO treatment [51]. Similarly, the PO<sup>2-</sup> symmetric stretching band appeared at  
400  $1070\text{ cm}^{-1}$  for *P. aeruginosa* and this band decreased in intensity after GO exposure. The  
401 ATR-FTIR spectra of *P. aeruginosa* and GO-*P. aeruginosa* is shown in Fig. 6(d). In contrast  
402 to other bacteria, the amide I and amide II bands of *P. aeruginosa* after the GO treatment  
403 have intensified. Therefore, the results clearly demonstrated the differential effects of GO on  
404 the functional groups on the surface of the bacterial cell walls.





405 **Fig. 6.** ATR-FTIR characterizations of untreated and GO treated bacterial cultures. The spectra show the differences in the intensity of  
 406 functional groups that are present on the surface of bacterial cell wall before and after treatment with GO. (a) ATR-FTIR spectra of untreated  
 407 and treated *S. aureus*; (b) ATR-FTIR spectrum of untreated and treated *E. faecalis*; (c) ATR-FTIR spectrum of untreated and treated *E. coli*; (d)  
 408 ATR-FTIR spectrum of untreated and treated *P. aeruginosa*.

### 409 3.6. Mechanism of action of GO towards bacteria

410 Our study showed that the antibacterial effects of GO on Gram-positive bacteria were  
411 greater compared to Gram-negative bacteria. Additionally, ATR-FTIR characterizations of  
412 untreated and treated bacterial isolates confirmed molecular interactions that occurred  
413 between the bacterial cell and GO sheets. Briefly, the exposed part of the bacteria that is  
414 available for the GO to immediately act on is the outer membrane layer for Gram-negative  
415 bacteria and the peptidoglycan layer for Gram-positive bacteria [52]. This dissimilarity plays  
416 a role in determining the type of interactions that occur between the two classes of bacteria  
417 with GO. Similar observations were made by Deokar *et al.* [15] who reported that Gram-  
418 positive *S. aureus* was more susceptible towards the antibacterial activity of carbon nanotube  
419 compared to Gram-negative *E. coli*. The authors suggested that Gram-positive bacteria  
420 interacted with these nanomaterials through electrostatic or hydrogen bonding besides  
421 physical piercing of cell membrane while Gram-negative bacteria interacted with the  
422 nanomaterial through direct physical contact only [15].

423 The thick peptidoglycan layer in Gram-positive bacteria and additional presence of  
424 teichoic acids, lipoids and amino acids on the surface of these bacteria may have contributed  
425 to the added interaction between the Gram-positive bacteria and GO [15, 53]. The  
426 peptidoglycan layers have an adherence characteristic which may have caused this layer to  
427 behave as a chelating agent [54] and this can be attributed to the presence of surface proteins  
428 such as teichoic acids and adhesins [55]. In general, Gram-positive bacteria such as *S. aureus*  
429 and *E. faecalis* are commensal bacteria on humans where the former resides on the skin and  
430 the latter resides in the gastrointestinal tract [56, 57]. However, these bacteria are also  
431 opportunistic pathogens which could cause invasive infections when there is a breach in the  
432 epithelial lining by adhering to the host tissues to initiate bacterial colonization [55].  
433 Therefore, we propose that similar adhering mechanism has prompted interactions with the

434 GO sheets, whereby the surface proteins on the peptidoglycan layer have interacted with GO.  
435 The interactions of GO with Gram-positive bacteria may have contributed to the mechanical  
436 wrapping of GO sheets onto *S. aureus* and *E. faecalis* as indicated in Fig. 7(A) and 7(B).  
437 Thus, the peptidoglycan layer tends to interact with GO sheets once it is in close proximity  
438 and this necessitates adherence of GO onto the bacterial membrane.

439 FESEM images in Fig. 4 show that GO sheets are observed to entrap *S. aureus* and *E.*  
440 *faecalis*, however, this is not the case for Gram-negative *E. coli* and *P. aeruginosa*. The outer  
441 membrane layer on Gram-negative bacteria forms an extra protective layer for these bacteria  
442 from interacting closely to GO sheets. Although membrane damage to *E. coli* and *P.*  
443 *aeruginosa* have been observed, mechanical wrapping of these cells was not observed in the  
444 FESEM or the TEM images. Therefore, variation in the degree of damage on the bacterial  
445 membrane among the Gram-positive and Gram-negative bacteria may be contributory to the  
446 type of interaction that occurred during contact between bacteria and GO sheets [15]. The  
447 outer membrane is essential to the survival of Gram-negative bacteria as this layer offers  
448 protection to the bacteria in a hostile environment including in the presence of antibiotics and  
449 it is one of the key reasons that Gram-negative bacteria are generally resistant towards  
450 antibiotics [58]. The lipopolysaccharide (LPS) that is found on the outer leaflet of the outer  
451 membrane plays a role in the effective exclusion of hydrophobic molecules [55, 59]. It was  
452 suggested LPS molecules may contribute to the overall repulsive forces on Gram-negative  
453 bacteria through steric repulsion [60].

454 It has been noted that interaction between the bacteria and GO are mainly repulsive as  
455 reported by Castrillón *et al.* [61] who investigated the effects of GO - functionalized atomic  
456 force microscopy (AFM) probe puncture on *E. coli* cell wall. The repulsive force may have  
457 arisen from the electrostatic repulsion from the negatively charged bacterial outer membrane  
458 and deprotonated carboxylic acid groups existing on GO [62, 63]. However, sporadic

459 adhesions were measured upon AFM probe pull-off and it was suggested to be due to LPS  
460 stretching effects which bridges cell surface and AFM tip upon pull-off [61]. In our study,  
461 similar events may have occurred where LPS on the cell surface of Gram-negative bacteria  
462 were stretched upon the ensuing repulsive force during interactions between bacteria and GO  
463 in the reaction medium. The bridging effects of LPS may have been responsible for the  
464 indentations that are observed on the surface of Gram-negative bacteria in the FESEM  
465 images in Fig. 4. Correspondingly, an investigation that was conducted to study the  
466 puncturing effects of AFM tip on the Gram-negative *Salmonella* Typhimurium managed to  
467 survive after multiple puncturing of their cell wall. Lipid bilayers and peptidoglycan layer of  
468 the bacteria are suggested to be self-repairing as it retains the integrity, viability and  
469 reproductive ability even after repeated puncturing of cell membrane [64].

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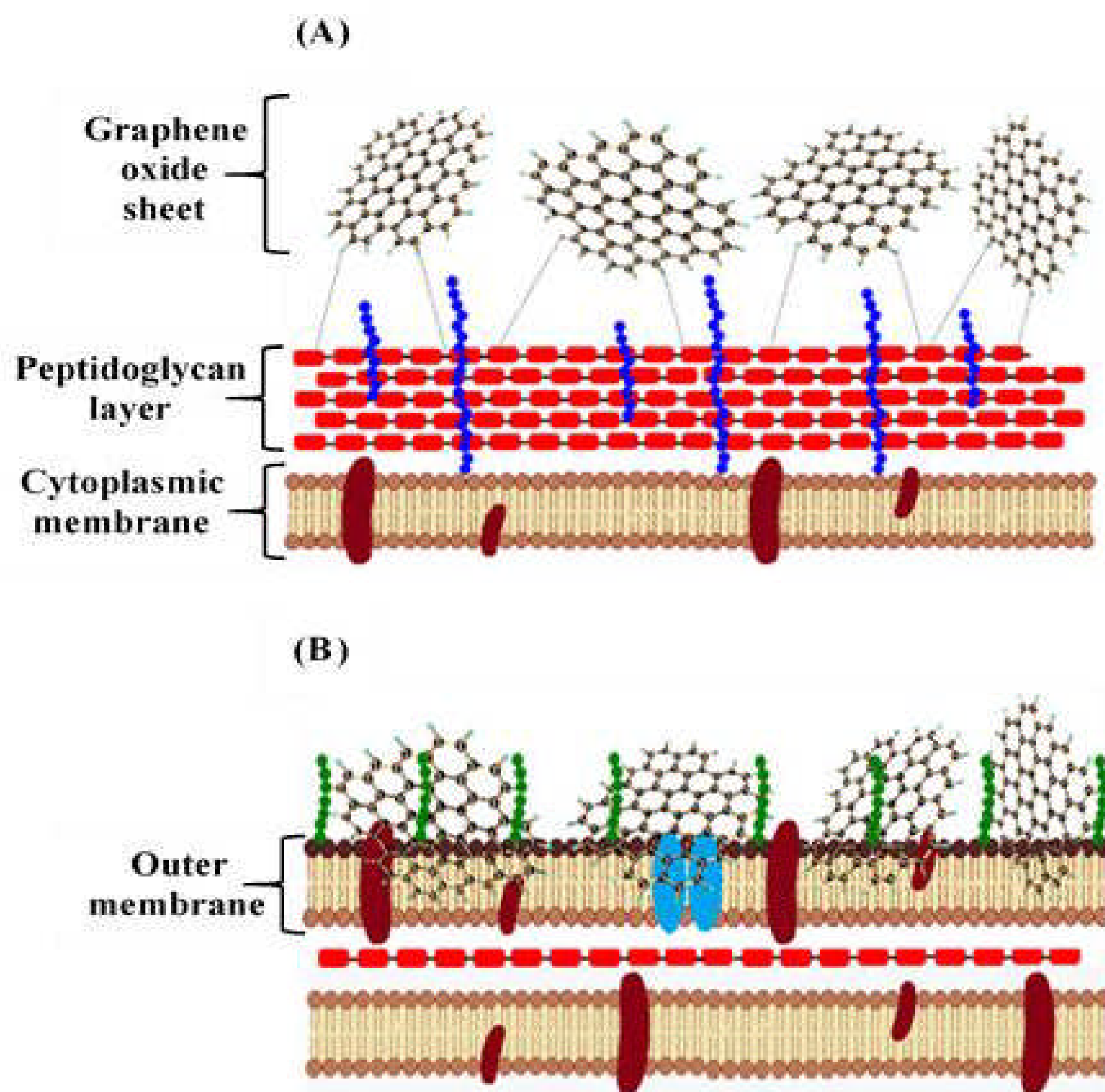
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**Fig. 7.** Schematic diagram of the possible mechanism of action of GO towards Gram-positive and Gram-negative bacteria. (A) mechanical wrapping in Gram-positive bacteria and (B) membrane damage in Gram-negative bacteria.

509 **4. Conclusion**

510 The antibacterial activity of GO towards *S. aureus*, *E. faecalis*, *E. coli* and *P.*  
511 *aeruginosa* indicated that antibacterial activity of GO was concentration and time-dependent.  
512 Surface morphology of bacterial cells after exposure of GO showed evidence of membrane  
513 disruptions and bacterial entrapment under GO sheets that have contributed to cell death.  
514 Additional characterization with ATR-FTIR analysis proved that the interaction of GO with  
515 bacterial membrane occurs upon contact, resulting in changes in the IR spectra of untreated  
516 and treated bacterial culture. The antibacterial mechanism of GO towards bacteria differed  
517 between Gram-positive and Gram-negative bacteria, where the majority of bacterial  
518 inactivation of Gram-positive bacteria occurs through bacterial wrapping mechanism. On the  
519 other hand, inactivation of Gram-negative bacteria mainly occurs through physical contact  
520 which leads to membrane damage. The outer membrane layer in Gram-negative bacteria  
521 acted as a protective barrier against GO compared to Gram-positive bacteria. As the  
522 antibacterial effects of GO have enormous potential for antimicrobial applications, the  
523 mechanism of action of GO towards bacteria must be clearly elucidated to ensure complete  
524 bacterial inactivation.

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536

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542 Appaturi, Ignatius Julian Dinshaw, Zhan Yuin Ong and Bey Fen Leo declare that they have  
543 no conflict of interest.

544 Ethical approval: This article does not contain any studies with human participants or animals  
545 performed by any of the authors.

546 Informed consent: Informed consent was not obtained as no human participants were  
547 involved in this study.

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