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# Fluid-Structure Interaction for Highly Complex, Statistically Defined, Biological Media: Homogenisation and a 3D Multi-Compartmental Poroelastic Model for Brain Biomechanics

John C. VARDAKIS<sup>1</sup>, Liwei GUO<sup>1</sup>, Thomas W. PEACH<sup>1</sup>, Toni LASSILA<sup>3</sup>, Micaela MITOLO<sup>5</sup>, Dean CHOU<sup>2</sup>, Zeike A. TAYLOR<sup>4</sup>, Susheel VARMA<sup>7</sup>, Annalena VENNARI<sup>6</sup>, Alejandro F. FRANGI<sup>3</sup> & Yiannis VENTIKOS<sup>1</sup>

<sup>1</sup>Department of Mechanical Engineering, University College London, Torrington Place, London, WC1E 7JE, UK, \*email: [y.ventikos@ucl.ac.uk](mailto:y.ventikos@ucl.ac.uk)

<sup>2</sup>Department of Mechanical Engineering, National Central University, Taoyuan County, Taiwan

<sup>3</sup>Centre for Computational Imaging & Simulation Technologies in Biomedicine (CISTIB), School of Computing, University of Leeds, UK

<sup>4</sup>Centre for Computational Imaging & Simulation Technologies in Biomedicine (CISTIB), School of Mechanical Engineering, University of Leeds, UK

<sup>5</sup>Functional MR Unit, Policlinico S. Orsola e Malpighi, Department of Biomedical and NeuroMotor Sciences (DiBiNeM), Bologna, Italy.

<sup>6</sup>Department of Neuroscience, Medical School, University of Sheffield, UK.

<sup>7</sup>The European Bioinformatics Institute (EMBL-EBI), Wellcome Genome Campus, Cambridge, UK

## Abstract

Numerous problems of relevance in physiology and biomechanics have at their core the presence of a deformable solid matrix which experiences flow-induced strain. Often, this fluid-structure interaction is directed the opposite way, i.e. it is solid deformation that creates flow, which the heart being the most prominent example. In many cases this interaction of fluid and solid is genuinely two-way and strongly coupled, with solid deformation inducing flow and fluid pressure deforming the solid. Although a fluid-structure interaction problem, numerous cases in biomechanics are not tractable via the traditional FSI methodologies: in the internal flows that are of interest to use, the number and range of fluid passage is so vast that the direct approach of a deterministically defined boundary between fluid and solid is impossible to apply. In these cases, homogenisation and statistical treatment of the material-fluid system is possibly the only way forward. Such homogenization, quite common to flow-only systems through porous media considerations, is also possible for fluid-structure interaction systems, where the loading is effectively internal to the material. A prominent technique of this type is that of poroelasticity. In this paper, we discuss a class of poroelastic theory techniques that allow for the co-existence of a multitude of – always statistically treated – channels and passages of widely different properties: termed multiple-network poroelasticity (or multicompartmental poroelasticity), this paradigm is particularly suitable for the study of living tissue, that is invariably permeated – perfused – by fluids, often different in nature and across a wide range of scales. Multicompartmental poroelasticity is capable of accounting for a full two-way coupling between the fluids and the solid matrix and allows us to track transport of a multitude of substances together with the deformation of the solid material that this transport gives rise to or is caused by, or both. For the purposes of demonstration, we utilise a complex and physiologically very important system, the human brain, to exemplify the qualities and efficacy of this methodology. The methodology we present has been implemented through the Finite Element Method, in a general manner, allowing for the co-existence of an arbitrary number of compartments. For the applications used in this paper to exemplify the method, a four-compartment implementation is used. A unified pipeline is used on a cohort of 35 subjects to provide statistically meaningful insight into the underlying mechanisms of the neurovascular unit (NVU) in the hippocampus, and to ascertain whether physical activity would have an influence in the both swelling and drainage by taking into account both the scaled strain field and the proportion of perfused blood injected into the brain tissue. A key result garnered from his study is the statistically significant differences in right hemisphere hippocampal NVU swelling between CHC males and MCI females during high and low activity states.

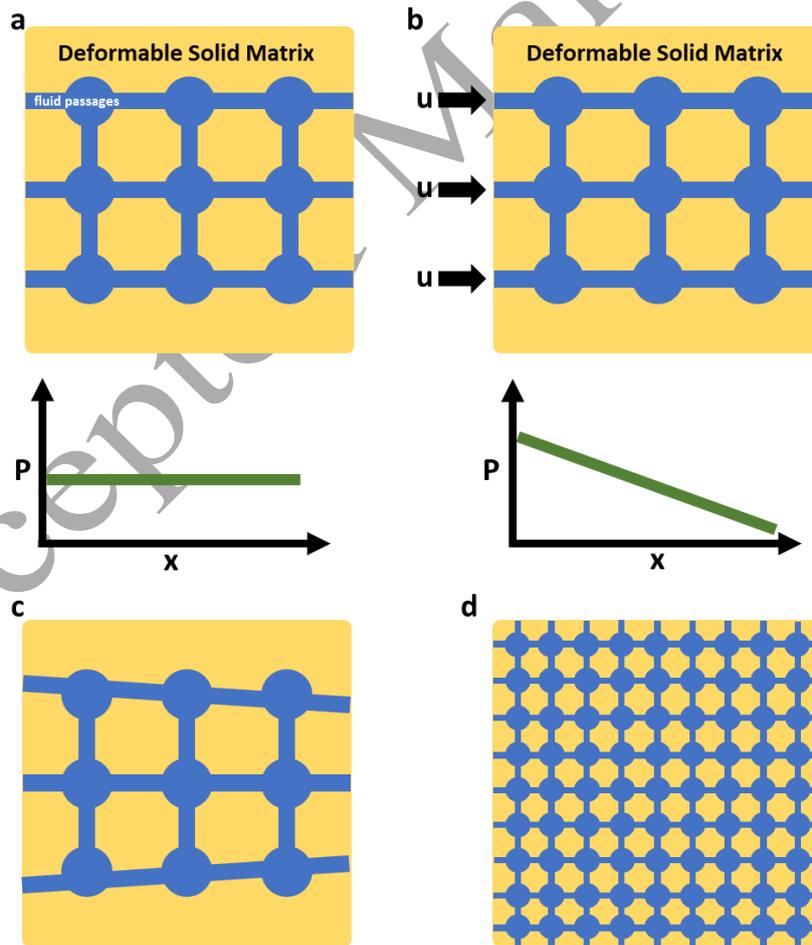
**Key words:** Multiple-Network Poroelastic Theory, Finite Element Method, Brain Biomechanics, Dementia, Neurovascular Unit

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## 1. INTRODUCTION

Fluid-Structure Interaction (FSI) methods allow for the coupling of solid and fluid mechanics phenomena and enable the study of processes that involve the exchange of loads between the two (or more media), the fluid and the solid [1]. An example of an internal flow where such interactions are at play is shown in Figure 1: In the first part of this figure, Figure 1a, a deformable solid is shown, which is permeated by a series of channels, or arbitrary shape with non-trivial interconnections. In this case, traditional FSI methods can capture the flow field in the channels/passages and the deformation field of the solid matrix, Figure 1b, since it is straightforward and computationally feasible to mesh the two domains (in a wide variety of ways) and solve the coupled problem.

The challenge necessitating a different viewpoint is illustrated in Figure 1c which of course can be further complicated almost ad infinitum, to the limit of real biological materials, Figure 1d: for the latter figure, it becomes extremely difficult, and in most cases intractable computationally, to discretize the multitude of fluid channels, as well as the fine solid ligaments and strands that define them; it is clear that an alternative is needed. An approach that is often used, originally in geotechnical engineering and groundwater studies and as of recently in increasing frequency within the field of biomedical engineering, is that of poroelasticity.



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**Figure 1:** a) Porous matrix of a deformable solid, when no flow is present, at equilibrium b) when flow is present, a pressure field develops which loads and deforms the solid matrix, c) direct FSI through detailed deterministic meshing captures flow and deformation fields, d) highly complex network of fluid passages render direct FSI methods through discretisation of the exact deterministic interface and passages computationally impractical or impossible.

81

## 82 1.1 POROELASTICITY

83

84 Poroelastic systems describe fluid flow through a porous medium coupled with deformation of the  
 85 solid matrix. The fundamental poroelastic equations consist of conservation of mass and momentum  
 86 equations, which are derived at the macroscopic scale [2-4], effectively assuming a statistical  
 87 representation of the fluid passages and the fluid/solid interface; a homogenisation approach. Building  
 88 on the principles of linear elasticity, the conservation of momentum in a poroelastic system also  
 89 includes a fluid pressure term (as a measure of the effect of the fluid in the medium). It is also worth  
 90 noting that deformation of the medium is usually much slower than the flow rate, and therefore inertial  
 91 terms are ignored in the formulation, ultimately incorporating a quasistatic assumption. The  
 92 momentum equation is derived by considering the total stress,  $\tilde{\boldsymbol{\sigma}}$ , and a body force,  $\mathbf{f}$ , leading to:

93

$$94 \quad -\nabla \cdot \tilde{\boldsymbol{\sigma}} = \mathbf{f} \quad (1)$$

95

96 The total stress (see Table 1) tensor accounts for the fluid pressure in addition to the material stress  
 97 tensor (or effective stress) as derived from linear elasticity.

98 The conservation of mass is derived from the fluid content of the medium,  $\zeta$ , the volumetric  
 99 fluid flux,  $\mathbf{v}_f$ , and any additional volumetric fluid source/sink terms. The final form of this conservation  
 100 equation is of the form:

101

$$102 \quad \frac{\partial \zeta}{\partial t} = -\nabla \cdot \mathbf{v}_f + h \quad (2)$$

103

104 The model is closed by assuming the constitutive relationships summarised in Table 1 [5]:

105

106

107

**Table 1:** Summary of constitutive relations

| Relation  | Description                         |
|---|-------------------------------------|
| $\tilde{\boldsymbol{\sigma}}_{ij}(\mathbf{u}, p) = \boldsymbol{\sigma}_{ij}(\mathbf{u}) - \alpha \delta_{ij} p$               | Total stress                        |
| $\boldsymbol{\sigma}_{ij}(\mathbf{u}) = \lambda \delta_{ij} \varepsilon_{kk}(\mathbf{u}) + 2\mu \varepsilon_{ij}(\mathbf{u})$ | Effective stress                    |
| $\zeta = c_j p + \alpha \nabla \cdot \mathbf{u}$  | Fluid content                       |
| $\mathbf{v}_f = -\mathbf{K}(\nabla p - \rho_f \mathbf{g})$  | Volumetric fluid flux (Darcy's Law) |

108

109 These relationships relate the total stress, volumetric fluid flux and fluid content to the primary  
 110 variables of the two-field formulation, namely the solid matrix displacement ( $\mathbf{u}$ ) and scalar pore  
 111 pressure ( $p$ ). For the fluid content relationship, the  $c_j p$  term represents the amount of fluid that can be  
 112 injected into a fixed material volume, whilst the  $\alpha \nabla \cdot \mathbf{u}$  term is a measure of the amount of fluid that  
 113 can be squeezed out of the same volume.

114

## 115 1.2 GOVERNING EQUATIONS AND MODELLING

116

117 Building on the principles of poroelasticity, the standard mathematical model for diffusive flow in an  
 118 elastic porous medium is the diffusion-deformation model of poroelasticity proposed by Biot [2]. This  
 119 is based on the coupling between the pore-fluid potential and the solid-stress fields. An extension of  
 120 Barenblatt's double-diffusion approach [6] and Biot's diffusion-deformation theory leads to the

121 Barenblatt-Biot poroelastic model representing multiple-network diffusion in elastic porous media.  
 122 This model takes the following form:  
 123

$$-\nabla \cdot (\mathbb{C} : \varepsilon(\mathbf{u})) + \sum_{j=1}^A \alpha_j \nabla p_j = \mathbf{f},$$

$$c_j \dot{p}_j + \alpha_j \nabla \cdot \dot{\mathbf{u}} - \nabla \cdot (\mathbb{K}_j \nabla p_j) + \sum_{i=1}^A \xi_{j \rightarrow i} (p_j - p_i) = \mathbf{h}$$
(3a-b)

124  
 125 In the above formulation, for a given number of networks,  $A \in \mathbb{N}$ , the displacement of the solid  
 126 skeleton is given by  $\mathbf{u} = \mathbf{u}(x, t)$ , whilst the fluid potentials for each respective compartment is given  
 127 by  $p_j = p_j(x, t)$ , where  $1 \leq j \leq A$  for  $x \in \Omega \subset \mathbb{R}^d$  ( $d = 1, 2, 3$ ), and  $t \in [0, T]$ . The Biot-Willis  
 128 coefficient (which traditionally couples the momentum and mass conservation equations, see Table 1),  
 129  $\alpha_j \in (0, 1]$ , for each compartment satisfies  $\phi \leq \sum \alpha_j \leq 1$ , where  $\phi$  is the total porosity.,  $c_j \geq 0$  is the  
 130 constrained specific storage coefficient,  $\mathbb{K}_j$  is the symmetric and uniformly positive definite hydraulic  
 131 permeability tensor defined by  $\mathbb{K}_j = \kappa_j (\mu_j)^{-1} > 0$  (the ratio of the compartmental permeability tensor,  
 132  $\kappa_j$ , to fluid viscosity),  $\xi_{j \rightarrow i}$  is the intercompartmental transfer coefficient,  $\mathbf{f} = \mathbf{f}(x, t)$  represents a  
 133 body force and  $\mathbf{h} = \mathbf{h}_j(x, t)$  represent any additional compartment specific source/sink terms. In this  
 134 manuscript,  $\varepsilon(\mathbf{u})$  denotes the small-strain tensor derived from the symmetric part of the of the gradient  
 135 of the solid matrix displacement  $\mathbf{u}$ :  
 136  
 137

$$\varepsilon(\mathbf{u}) := \frac{1}{2} (\nabla \mathbf{u} + (\nabla \mathbf{u})^T)$$
(4)

138  
 139 The elastic stiffness tensor,  $\mathbb{C}$ , defines a stress tensor  $\boldsymbol{\sigma}$  using Hooke's Law:  
 140  
 141

$$\boldsymbol{\sigma} := \mathbb{C} \varepsilon(\mathbf{u})$$
(5)

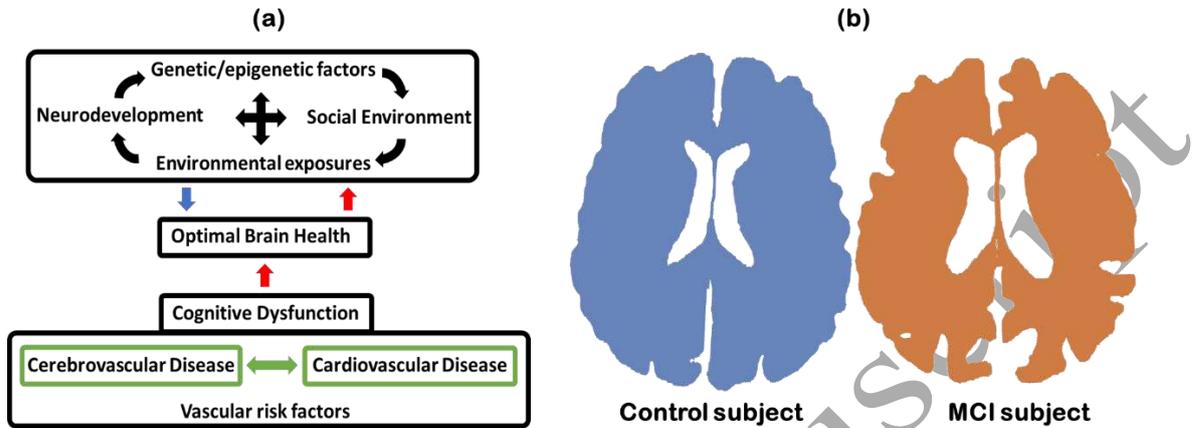
142  
 143 We assume an isotropic and homogeneous linear elastic medium with elasticity tensor,  $\mathbb{C}$ , defined by  
 144 the identity:  
 145  
 146

$$\mathbb{C} : \varepsilon(\mathbf{u}) = 2\mu \varepsilon(\mathbf{u}) + \lambda (\nabla \cdot \mathbf{u}) \mathbf{I}$$
(6)

147  
 148 where  $\mathbf{I}$  is the identity tensor, and  $\mu$  and  $\lambda$  are the Lamé moduli.  
 149  
 150

151 It is useful at this stage to describe a field of application of the above class of methodologies, namely  
 152 biomechanics and in particular the biomechanics of brain diseases. Brain disorders such as  
 153 developmental and neurodegenerative diseases represent an enormous healthcare burden, not only in  
 154 terms of human distress, but also economic cost (in Europe, this figure approaches 1 trillion euros [7]).  
 155 Cognition is a group of mental processes which include memory, attention, learning, decision making,  
 156 problem solving, language processing and executive functions. Dementia is classified as a progressive  
 157 cognitive disorder which primarily affects memory, but additional symptoms also include aphasia,  
 158 apraxia, agnosia, and lifestyle impairments [8]. The most common form of dementia is Alzheimer's  
 159 disease (AD), and the major risk factor for its development is increasing age [9], in addition to other  
 160 known factors, such as hypertension and hypotension, heart failure, low levels of physical activity and  
 161 of education, obesity, and genetic factors [8, 10-13].

162 Interestingly, there is a growing body of evidence that suggests a close association between  
 163 cardiovascular risk and cognitive impairment and dementia [14]. Furthermore, it is also postulated that  
 164 cardiovascular risks are associated with modifiable risk factors (such as physical inactivity, depression,  
 165 hypertension, and smoking), so it may be possible to improve brain health and to delay the onset of  
 166 dementia in later life (see Figure 2a). Delaying the onset of AD by just 1 year would lead to an  
 167 estimated 9 million fewer cases by 2050 [15].



168 **Figure 2:** (a) Determinants of optimal brain health. The blue arrow denotes factors that can promote optimal brain health,  
 169 whilst the red arrows indicate factors that hinder brain health. Neurodevelopmental, genetic and environmental factors can  
 170 therefore promote or hinder brain health. (b) An example of cerebroventricular dilatation from the cohort used in this study.  
 171 The horizontal section of a cognitively healthy control subject (female, 68 years old) can be seen to possess smaller cerebral  
 172 ventricles than the mild cognitively impaired subject (female, 62 years old). Similarly enlarged cerebroventricular  
 173 representations exist for hydrocephalic patients, as ventriculomegaly is an overlapping characteristic of this disorder.  
 174

175

### 176 1.3 HYDROCEPHALUS

177

178 Although a precise definition is controversial, hydrocephalus (HCP) can be succinctly described as the  
 179 abnormal accumulation (imbalance between production and circulation) of cerebrospinal fluid CSF  
 180 within the brain [16-20]. This balance of CSF production and reabsorption normally allows the  
 181 maintenance of the CSF pressure to lie within a tight range. HCP is classified with regards to whether  
 182 the point of CSF obstruction or discrete lesion lies within the ventricular system (obstructive) and  
 183 obstructs the flow before it enters the subarachnoid space (SAS) [21], or not (communicating). There  
 184 is currently no definitive cure for this disorder. Dilatation of the cerebroventricular system (see Figure  
 185 2b) can lead to loss of brain cells that ultimately results in a variety of neurological symptoms (such  
 186 as AD described in the next section), stroke, and sometimes even death due to pressure applied on the  
 187 brain parenchyma [22].

188

### 189 1.4 ALZHEIMER'S DISEASE, MILD COGNITIVE IMPAIRMENT AND THE HIPPOCAMPUS

190

191 AD can be deemed as a heterogeneous mixture of multiple age-related neurodegenerative factors and  
 192 vascular related pathologies. The hallmark pathological features of the disease are the extracellular  
 193 deposition of amyloid- $\beta$  ( $A\beta$ ) peptide into parenchymal senile plaques or within the walls of arteries  
 194 and capillaries, in addition to the aggregation of hyperphosphorylated tau into intracellular  
 195 neurofibrillary tangles and neuropil threads [23-24]. Evidence also suggests that AD may be a vascular  
 196 disorder [25-26], caused by impaired cerebral perfusion (characterized by reduction in both total and  
 197 regional CBF [27]) [26, 28], which is observable at the early stages of the disease [29-31]. This  
 198 reduction in perfusion can compromise the oxygenation of neurons, negatively affect the synthesis of  
 199 proteins required for memory and learning and subsequently lead to neuronal dysfunction or death [32-  
 200 33]. Ultimately, the final consideration that needs to be made is the clearance of  $A\beta$  at the level of the  
 201 blood-brain barrier (BBB). The BBB forms an important part of the neurovascular unit (NVU), a

202 functional cellular structure that allows for the highly efficient regulation of CBF. The BBB consists  
203 of endothelial cells connected by tight junctions and a thick basement membrane which is supported  
204 by astrocytic end feet (see Figure 3). Communication between the cells of the NVU is required to  
205 enable efficient clearing of  $A\beta$  to prevent it from accumulating in the form of plaques [34]. Breakdown  
206 of the BBB ultimately results in the impaired clearance of  $A\beta$ , leading to amyloid accumulation in the  
207 brain parenchyma and in and around capillaries. The latter process is known as cerebral amyloid  
208 angiopathy (CAA), and it is defined as a major pathological insult to the NVU [35]. CAA is associated  
209 with cognitive impairment [36], is accelerated by hypoperfusion and is present in over 80% of patients  
210 with AD [37].

211 One of the overarching foci of neuropsychology [38] since the turn of this century has been to  
212 better understand the prodromal stages of AD. During this early stage, AD may present itself as mild  
213 cognitive impairment (MCI), an intermediate state between normal ageing and dementia.  
214 Traditionally, MCI has been defined as a condition whereby an individual experiences memory loss to  
215 a greater extent than that expected for that age, but does not meet the criteria for dementia [38].

216 The hippocampus is small structure in the brain, and it plays an important role in spatial and  
217 episodic memory. It is the region of the brain that tends to show the most rapid loss of tissue earliest  
218 in the disease course. Reduced hippocampal volume results in an amnesic syndrome, a core feature  
219 of Alzheimer's disease [39].

## 220 1.5 MODELLING ALZHEIMER'S DISEASE USING POROELASTICITY

221

222 In the literature, several works have utilised a poroelastic approach in modelling parenchymal tissue  
223 within the realm of hydrocephalus and oedema formation in the small intestine [16, 20, 40, 52, 61, 70-  
224 80]. The poroelastic modelling of parenchymal tissue for the purpose of investigating Alzheimer's  
225 Disease yields a narrower selection of relevant work [40, 80]. Recently, Aldea and colleagues [80]  
226 utilise poroelastic theory in a multiscale model of arteries in order to test the hypothesis that  
227 cerebrovascular smooth muscle cells drive intramural periarterial drainage. Guo and colleagues [40]  
228 introduce a pipeline that intertwines a general 3D multiple-network poroelastic model of perfused  
229 parenchymal tissue, an image-based modelling pipeline and a detailed subject-specific boundary  
230 condition model that can be used to model the influence of lifestyle and environmental factors in  
231 obtaining novel biomarkers during the MCI stage of AD.

232

## 233 1.6 OUTLINE OF THE ARTICLE

234

235 This work uses a novel consolidated pipeline that integrates three important components: a three-  
236 dimensional multiple-network poroelastic theory (MPET)-based model of perfused cerebral  
237 parenchyma; an accurate, fully automated image-based model personalization workflow [40]; and a  
238 subject-specific boundary condition model that targets the driving compartment of the MPET model.  
239 Specifically, MPET allows for the detailed investigation of spatio-temporal transport of fluid  
240 between the cerebral blood (arteries, capillaries and veins), cerebrospinal and interstitial fluid  
241 (CSF/ISF) and brain parenchyma across multiple scales. This pipeline is used on a cohort of subjects  
242 (both cognitively healthy controls and mild cognitively impaired subjects stratified with respect to  
243 gender) in order to assess two novel biomarkers (swelling and drainage which is derived from the fluid  
244 content of the capillary compartment of the four-network MPET model described in §2) during the  
245 early stages of AD. This is done by providing insight into the underlying mechanisms of the  
246 neurovascular unit in the hippocampus for both controls and cognitively impaired subjects during two  
247 states of activity (high and low) within a 24-hour period. The essential breakdown of the methodology  
248 behind the full implementation scheme follows in §2, which highlights the consolidated pipeline  
249 embedded within the VPH-DARE@IT research platform, the prospective data collection programme  
250 used to extract the subject-specific data (including boundary conditions) for the 35 subjects used in  
251 this study, and an outline of the statistical analyses used to analyse the MPET results (swelling and

252 drainage). The results are given in §3, where two MPET simulations are presented (based on one  
253 control and one MCI subject) in order to depict the nature of the solution fields that are obtainable at  
254 the level of the parenchyma. Subsequently, a three-way mixed ANOVA was conducted in to  
255 understand the effects of gender, cognitive status and activity on blood flow rate in the left and right  
256 ICA and VA (as the blood flow rate was a key driver in the MPET model), followed by a Kruskal-  
257 Wallis H-test (to determine if there were differences in NVU swelling and drainage in the hippocampus  
258 between the groups considered, during two levels of activity) and Wilcoxon signed-rank test (to  
259 determine whether there was no statistically significant median decrease in NVU swelling and drainage  
260 in the hippocampus when subjects lowered their activity level). The results are discussed in §4, along  
261 with limitations and perspectives for future work. The conclusions to the paper are given in §5.

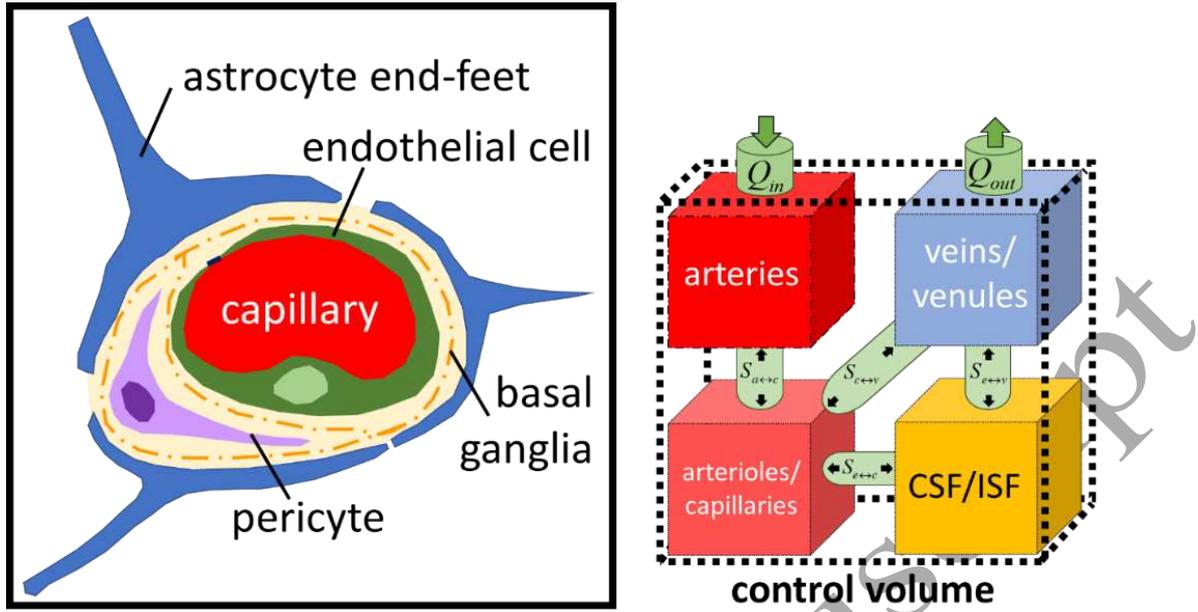
## 262 **2. METHODOLOGY**

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264  
265 Modelling the transport of fluid within the brain, in a personalised manner and from first principles, is  
266 essential to help decipher some of the underlying mechanisms that are currently being investigated  
267 regarding diseases of the cerebral environment, such as hydrocephalus and AD. An MPET model for  
268 perfused parenchymal tissue is coupled with an automated image-based model personalization  
269 workflow [40], and a subject-specific blood flow variability model. The consolidated pipeline will then  
270 be used on a small cohort of 35 subjects and used to provide insight into the underlying mechanisms  
271 of the NVU in the hippocampus.

272 In the previous section, we discussed the basic governing equations of poroelasticity and how  
273 they can be cast for multiple compartments. In this section we shall briefly present a formulation where  
274 four compartments are used, and we shall describe a computational framework where these equations  
275 are solved using the Finite Element Method.

### 276 277 278 **2.1 THREE-DIMENSIONAL MPET MODEL FOR THE CEREBRAL ENVIRONMENT**

279  
280 In this paper, the MPET model was used to conduct mechanistic modelling of fluid transport through  
281 the brain parenchyma. Biologically, the solid matrix represents brain parenchyma, and the  
282 communicating fluid phases considered are: an arterial network (a), an arteriole/capillary network (c),  
283 a CSF/ISF network (e) and a venous network (v) (see Figure 3). This model allows for the simultaneous  
284 solutions of continuity and momentum conservation equations, in four interconnected fluid  
285 compartments, within a deformable solid matrix (the parenchymal tissue).



287 Figure 2. (Left) A schematic representation of the Neurovascular unit (NVU) (Right) The four-compartment MPET model  
 288 reflect the key fluid transport mechanisms in the brain tissue. Flow is prohibited between the CSF/ISF and the arterial  
 289 network, whilst directional transfer exists between (a) and (c), (c) and (v), (c) and (e) and finally (e) and (v).

290

291 The MPET model uses the parenchymal tissue displacement ( $\mathbf{u}$ ), and the pore pressures of the four  
 292 fluid compartments ( $p_a$ ,  $p_c$ ,  $p_e$ ,  $p_v$ ) as the primitive variables in the governing equations, which are  
 293 given below:

$$G \nabla^2 \mathbf{u} + (G + \lambda) \nabla \varepsilon = \alpha_a \nabla p_a + \alpha_c \nabla p_c + \alpha_e \nabla p_e + \alpha_v \nabla p_v$$

$$c_a \dot{p}_a + \alpha_a \nabla \cdot \dot{\mathbf{u}} - \nabla \cdot (\mathbf{K}_a \nabla p_a) = S_{c \rightarrow a}$$

$$c_c \dot{p}_c + \alpha_c \nabla \cdot \dot{\mathbf{u}} - \nabla \cdot (\mathbf{K}_c \nabla p_c) = S_{a \rightarrow c} + S_{e \rightarrow c} + S_{v \rightarrow c} \quad (7a-e)$$

$$c_e \dot{p}_e + \alpha_e \nabla \cdot \dot{\mathbf{u}} - \nabla \cdot (\mathbf{K}_e \nabla p_e) = S_{c \rightarrow e} + S_{v \rightarrow e}$$

$$c_v \dot{p}_v + \alpha_v \nabla \cdot \dot{\mathbf{u}} - \nabla \cdot (\mathbf{K}_v \nabla p_v) = S_{c \rightarrow v} + S_{e \rightarrow v}$$

295

296 The  $S$  terms in Equation 7b-e define spatially varying source ( $S_{ij} > 0$ ) or sink ( $S_{ij} < 0$ ) densities (rate of  
 297 fluid transfer between networks). More details can be found in Guo et al. [40]. As described in §1,  $\mathbf{K}$   
 298 is the hydraulic permeability tensor for each fluid compartment. It is defined as  $\boldsymbol{\kappa}(\mu^{-1})$ , where  $\boldsymbol{\kappa}$  is the  
 299 permeability tensor for each of the four fluid networks; whilst  $\mu$  defines the viscosity of each fluid. In  
 300 this work, three of the four fluid domains are isotropic (a, c, v), which implies  $\boldsymbol{\kappa} = \kappa \mathbf{I}$ , where  $\kappa$  is a  
 301 constant and  $\mathbf{I}$  is the unit tensor for an isotropic medium. For the CSF/ISF compartment (e), a spatially  
 302 varying permeability tensor extracted from diffusion-weighted imaging (DWI) was used, as  $\boldsymbol{\kappa}$  can be  
 303 defined in a heterogeneous and anisotropic manner [40].

304

## 305 2.2 NUMERICAL IMPLEMENTATION OF THE MPET SOLVER, VERIFICATION AND MESH 306 INDEPENDENCE

307

308 The highly coupled governing equations of the MPET theory have been discretised using the finite  
 309 element method and implemented into an in-house FORTRAN code. Both the equilibrium equation  
 310 (the displacement field  $\mathbf{u}$ ) and mass conservation equations (scalar pressure  $p_i$ ), is approximated in the  
 311 continuous piecewise linear polynomial space. Based on the principle of minimum potential energy,  
 312 the algebraic form of the equilibrium equation is:

313  
 314  $\mathbf{K}_s \mathbf{u} - (\mathbf{Q}_a \mathbf{p}_a + \mathbf{Q}_c \mathbf{p}_c + \mathbf{Q}_e \mathbf{p}_e + \mathbf{Q}_v \mathbf{p}_v) = \mathbf{F}$  (8)

315  
 316 where,

317  

$$\mathbf{K}_s = \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} d\Omega$$

$$\mathbf{Q}_i = \int_{\Omega} \alpha_i \mathbf{B}^T \mathbf{h} d\Omega$$

$$\mathbf{F} = \int_{\Omega} \mathbf{N}^T \mathbf{b} d\Omega + \int_{\Gamma_N} \mathbf{N}^T \mathbf{t}_N d\Gamma$$

319  
 320  $\mathbf{K}_s$  is the stiffness matrix,  $\mathbf{B}$  the deformation matrix and  $\mathbf{D}$  is the elasticity matrix.  $\mathbf{Q}_i$  is the load on the  
 321 solid phase contributed from the  $i^{\text{th}}$  fluid network and  $\mathbf{h}$  is a mapping vector.  $\mathbf{F}$  is the load vector,  $\mathbf{b}$  is  
 322 the vector of body forces in the three-dimensional domain  $\Omega$ , and  $\mathbf{t}_N$  the vector of external force at the  
 323 boundary,  $\Gamma_N$ . The Dirichlet boundary conditions are imposed in a strong way.

324 For the continuity equations of the fluid networks, the method of weighted residuals and the  
 325 continuous Galerkin formulation are applied to derive the integral form (weak form) of these mass  
 326 conservation equations. The continuity equation of the  $i^{\text{th}}$  fluid network can be written as,

327  
 328 
$$\int_{\Omega} \left[ \delta p_i \left( c_i \frac{\partial p_i}{\partial t} \right) + \nabla \delta p_i \mathbf{K}_i \nabla p_i - \delta p_i S_{x \rightarrow y} - \delta p_i \alpha_i \dot{\epsilon} \right] d\Omega - \int_{\Gamma_N} \nabla \delta p_i q_i d\Gamma = 0$$
 (9)

329  
 330 where  $\Gamma_N$  is the boundary where the Neumann boundary condition is applied, and  $q_i$  is the flux  
 331 prescribed in the Neumann boundary condition. The discretised continuity equation of the  $i^{\text{th}}$  fluid  
 332 network is:

333  
 334  $\mathbf{A} \mathbf{p} + \mathbf{C} \mathbf{p} = \mathbf{P}$  (10)

335  
 336 where,

337  

$$\mathbf{A} = c_i \int_{\Omega} \mathbf{N} \mathbf{N}^T d\Omega$$

$$\mathbf{C} = \frac{\mathbf{K}_i}{\mu_i} \int_{\Omega} \nabla \mathbf{N} \nabla \mathbf{N}^T d\Omega$$

$$\mathbf{P} = \int_{\Omega} (S_{x \rightarrow y}) \mathbf{N} d\Omega - \alpha \int_{\Omega} \dot{\epsilon} \mathbf{N} d\Omega + \int_{\Gamma_N} q_i \mathbf{N} d\Gamma$$

338 (11-13)

339 In the above,  $\mathbf{N}$  is the continuous piecewise linear polynomial functions. The temporal discretisation  
 340 utilises an implicit backward Euler scheme. The discretised governing equations are solved by the  
 341 standard preconditioned Krylov subspace (KSP) methods in the Portable, Extensible Toolkit for  
 342 Scientific Computing (PETSc) library [41-42].

343  
 344 The governing equations of the MPET system have been discretised using the finite element method  
 345 and implemented into an in-house FORTRAN code, which has been verified [40] against Terzaghi's  
 346 [43] and Mandel's [44] problems. In addition to the verification, mesh independence (12 meshes with  
 347 total element numbers ranging from ~100k to ~9 million) of the 3D MPET outputs (displacement,  
 348 scalar pressures and relevant filtration velocities from the four compartments) using a subject-specific  
 349 brain geometry has been confirmed [40].

350

351

## 2.3 SUBJECT-SPECIFIC DATASETS

353

354 The subject-specific datasets used in the MPET modelling of this paper were collected as part of the  
355 VPH-DARE@IT project ([www.vph-dare.eu](http://www.vph-dare.eu)), and prospective data collection was conducted at the  
356 Istituto di Ricovero e Cura a Carattere Scientifico (IRCCS) San Camillo, Lido di Venezia, Italy. This  
357 study, including a total of 103 people ( $n = 50$  cognitively healthy controls (CHC), age  $71.1 \pm 7.9$  years,  
358 and  $n = 53$  with diagnosed MCI, age  $75.1 \pm 6.7$  years), was approved by the joint ethics committee of  
359 the Health Authority Venice 12 and the IRCCS San Camillo (Protocol number 2014.08), and all  
360 participants gave informed consent prior to participation in the study. A cohort of 35 subjects ( $n = 20$   
361 CHC,  $n = 15$  MCI) was picked from the 103 available subjects. This smaller cohort can be stratified  
362 into 4 groups, considering males (M) and females (F):  $CHC_M$  ( $n = 8$ , age  $69.4 \pm 8.5$  years),  $CHC_F$  ( $n =$   
363  $12$ , age  $72.5 \pm 5.7$  years),  $MCI_M$  ( $n = 8$ , age  $75.4 \pm 5.0$  years) and  $MCI_F$  ( $n = 7$ , age  $74.9 \pm 8.2$  years).

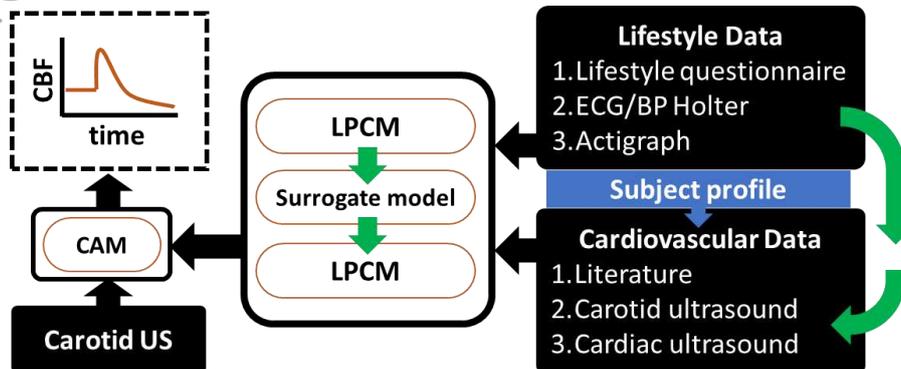
364 Each subject had several measurement modalities collected as part of the study, such as:  
365 lifestyle questionnaires and neuropsychological tests, whole brain MR imaging, clinical ultrasound  
366 flow imaging, portable Holter recordings of blood pressure, and actigraph measured activity levels.  
367 Further details can be found in Guo et al. [40]. For the Lido study cohort, Holter recordings and  
368 ultrasound flow measurements were used to generate boundary conditions of arterial blood flow using  
369 cerebral autoregulation models and lumped parameter circulation models [40, 45], T1-weighted and  
370 diffusion-weighted MR images were processed to create accurate 3D whole-brain meshes and finally  
371 permeability tensor maps of the parenchyma were extracted using the workflow described in detail in  
372 Guo et al. [40].

373

## 2.4 SUBJECT-SPECIFIC BOUNDARY CONDITIONS AND PARAMETERS

374 In Figure 3, the subject-specific modelling pipeline for acquiring personalised cerebral blood flow  
375 waveforms [45] that are fed into the arterial compartment of the MPET model [40] is depicted. For  
376 each subject, four waveforms were calculated at every time point, which are the ICA blood to the left  
377 and right cerebrum ( $ICA_L$  and  $ICA_R$ ), and the vertebral artery (VA) blood to the left and right  
378 cerebellum ( $VA_L$  and  $VA_R$ ). In order to apply these subject-specific waveforms as boundary conditions  
379 for the arterial compartment in equation 14b, the cortical surface is divided into four perfusion regions  
380 corresponding to the four waveforms [40]. Furthermore, the blood flow waveform is of 1 second  
381 duration depicting a period of the maximum (high activity) and minimum (low activity) activity during  
382 a 24-hour period. Once the Neumann boundary conditions are applied at the partitioned cortical  
383 surface, the numerical simulations are executed for 50 cycles (of cerebral blood flow waveforms), for  
384 the solution fields to reach a periodic steady state. This approach is adopted in the work of Guo and  
385 colleagues [40]. The MPET solutions from the final steady state are used to conduct the statistical  
386 analysis.  
387  
388

388



389

390 **Figure 3.** Subject-specific modelling pipeline for acquiring personalised cerebral blood flow waveforms that are fed into  
 391 the arterial compartment of the MPET model, in the form  $\nabla p_a \mathbf{n} = Q_a$ . Ageing and lifestyle related patient-specific  
 392 boundary conditions are generated following the data collection and subject-based model parameterisation. The  
 393 personalisation of the lumped parameter circulation model (LPCM) was accelerated via a surrogate model to approximate  
 394 its input-output response [45]. LPCM = Lumped parameter circulation model (based on [46]), CAM = cerebral  
 395 autoregulation model (based on [47]).  
 396  
 397

**Table 2:** Parameters used in the MPET modelling.

| Parameters     | Values               | Units        | Parameters    | Values                | Units               |
|----------------|----------------------|--------------|---------------|-----------------------|---------------------|
| $\alpha_{a,c}$ | 0.25                 |              | $k_{a,c,e,v}$ | $1.0 \times 10^{-10}$ | $m^2$               |
| $\alpha_e$     | 0.49                 |              | $\omega_{ac}$ | $1.5 \times 10^{-19}$ | $m^2 N^{-1} s^{-1}$ |
| $\alpha_v$     | 0.01                 |              | $\omega_{cv}$ | $1.5 \times 10^{-19}$ | $m^2 N^{-1} s^{-1}$ |
| $\lambda$      | 505                  | Pa           | $\omega_{ev}$ | $1.0 \times 10^{-13}$ | $m^2 N^{-1} s^{-1}$ |
| $G$            | 216                  | Pa           | $\omega_{ce}$ | $1.0 \times 10^{-20}$ | $m^2 N^{-1} s^{-1}$ |
| $L$            | $70 \times 10^{-3}$  | m            | $R$           | $8.5 \times 10^{13}$  | $m^3$               |
| $d$            | $3 \times 10^{-3}$   | m            | $Q_p$         | $5.8 \times 10^{-9}$  | $m^3 s^{-1}$        |
| $p_{bp}$       | 650                  | Pa           | $\Delta t$    | 0.1                   | s                   |
| $c_{a,c}$      | $2.9 \times 10^{-4}$ | $m^2 N^{-1}$ |               |                       |                     |
| $c_e$          | $3.9 \times 10^{-4}$ | $m^2 N^{-1}$ |               |                       |                     |
| $c_v$          | $1.5 \times 10^{-5}$ | $m^2 N^{-1}$ |               |                       |                     |

398  
 399 The MPET system is completed with the following boundary conditions for each of the four  
 400 compartments (a, e, c, v), where  $\partial\Gamma_s$  and  $\partial\Gamma_v$  are boundaries at the skull and cerebral ventricles  
 401 respectively, and  $\mathbf{n}$  is the outward unit normal vector.  
 402

403 On the cortical surface:

$$\begin{aligned}
 & \mathbf{u} = \mathbf{0} && \text{on } \partial\Gamma_s, \\
 & \text{SSBFP in the form } \nabla p_a \mathbf{n} = Q_a && \text{on } \partial\Gamma_s, \\
 & \nabla p_c \mathbf{n} |_{\partial\Gamma_s} = 0 && \text{on } \partial\Gamma_s, \\
 405 & p_v \mathbf{n} |_{\partial\Gamma_s} = p_{bp} && \text{on } \partial\Gamma_s, \\
 & p_e |_{\partial\Gamma_s} = p^v |_{\partial\Gamma_s} + \mu^e R Q_o && \text{on } \partial\Gamma_s, \\
 & \frac{p_e |_{\partial\Gamma_s} - p_{bp}}{\mu_e R} = \frac{\pi d^4}{128 \mu_e L} (p_e |_{\partial\Gamma_v} - p_e |_{\partial\Gamma_s}) + \oint_S (-K_e \cdot \nabla p_e) \cdot \mathbf{n} ds && \text{on } \partial\Gamma_s.
 \end{aligned} \tag{14a-f}$$

406  
 407 On the ventricular wall:

$$\begin{aligned}
 & -p_v \mathbf{n} = \sigma_{ij} \cdot \mathbf{n} && \text{on } \partial\Gamma_v, \\
 & \nabla p_a \mathbf{n} |_{\partial\Gamma_v} = 0 && \text{on } \partial\Gamma_v, \\
 409 & -\kappa_{c-vent} \nabla p_c \mathbf{n} |_{\partial\Gamma_v} = Q_p && \text{on } \partial\Gamma_v, \\
 & \nabla p_v \mathbf{n} |_{\partial\Gamma_v} = 0 && \text{on } \partial\Gamma_v, \\
 & Q_p = \frac{\pi d^4}{128 \mu_e L} (p_e |_{\partial\Gamma_v} - p_e |_{\partial\Gamma_s}) - 4\pi k_e (r_v + u_1^n) \nabla p_e \mathbf{n} + 4\pi (r_v + u_1^n)^2 \dot{u} && \text{on } \partial\Gamma_v.
 \end{aligned} \tag{15a-e}$$

410 Since this is an adult brain that is being taken under consideration; a rigid wall approximation can be  
 411 envisaged stemming from the elimination of layers like the dura mater and scalp. A subject-specific  
 412 blood flow profile (SSBFP) depicting a period of high and low activity is used as the BC for the arterial  
 413 network at the cortical surface.

414 CSF is assumed to be produced at a constant rate,  $Q_p$  within the ventricles.  $p_{bp}$  is the blood  
415 pressure in the sagittal sinus,  $\kappa_{c \rightarrow vent}$  represents the capillary network resistance to the flow from the  
416 capillary network,  $R$  is the resistance due to the presence of arachnoid granulations and finally  $Q_o$  is  
417 the efflux of CSF at the region of the skull.  $r_v$  represents the radius of the spherical shell encapsulating  
418 the cerebroventricular system and  $u_1$  is the maximum ventricular displacement at each time increment.  
419 It is necessary to restrict the transfer of water between fluid networks, and Figure 2 depicts a schematic  
420 of the setting in which the quadruple MPET model functions. Table 2 gives the complete list of all  
421 parameters used to execute the MPET model.

422

## 423 2.5 STATISTICAL ANALYSIS

424

425 A Shapiro-Wilk's test ( $p > 0.05$  for all cases) is used to assess the normality of the data. Outliers in the  
426 ICA and VA based data were assessed by inspection of a boxplot. Levene's test was used to assess for  
427 equality of variances, whilst Mauchly's test of sphericity [67] was also used to test the null hypothesis  
428 that the variances of the differences of the groups (ICA<sub>R</sub>(HA), ICA<sub>L</sub>(HA), ICA<sub>R</sub>(LA), ICA<sub>L</sub>(LA),  
429 VA(HA), VA(LA)) considered are equal. A three-way mixed ANOVA was run to understand the  
430 effects of gender, cognitive status and activity on blood flow rate in the left and right ICA and VA. All  
431 pairwise comparisons were performed for statistically significant simple main effects.

432 A Kruskal-Wallis H-test [48] was conducted to determine if there were differences in swelling and  
433 drainage in the hippocampus of the brain between 4 groups (CHC<sub>M</sub> (n = 8), CHC<sub>F</sub> (n = 12), MCI<sub>M</sub> (n  
434 = 8) and MCI<sub>F</sub> (n = 7)), during two levels of activity (high and low). Visual inspection of the relevant  
435 boxplots was used to determine whether the distributions of swelling and drainage were similar for all  
436 groups. This is needed since if the distributions are not similar, one cannot make inferences about  
437 differences in medians between groups. Instead, mean ranks are then used for the analysis.  
438 Subsequently (where a statistically significant Kruskal-Wallis H-test exists), pairwise comparisons  
439 were performed using Dunn's [49] procedure with a Bonferroni correction [50] for multiple  
440 comparisons. Adjusted p-values are presented.

441 A Wilcoxon signed-rank test [51] was used to determine whether there was no statistically  
442 significant median decrease in swelling and drainage in the hippocampus when CHC and MCI subjects  
443 lowered their activity level. It was assessed whether the differences in swelling and drainage were  
444 symmetrically distributed (via a histogram). A Wilcoxon signed-rank test requires the distribution of  
445 the differences between the two related groups to be symmetrical in shape. Where this is not the case,  
446 a sign test with continuity correction is used, as this test does not make any distributional assumptions.

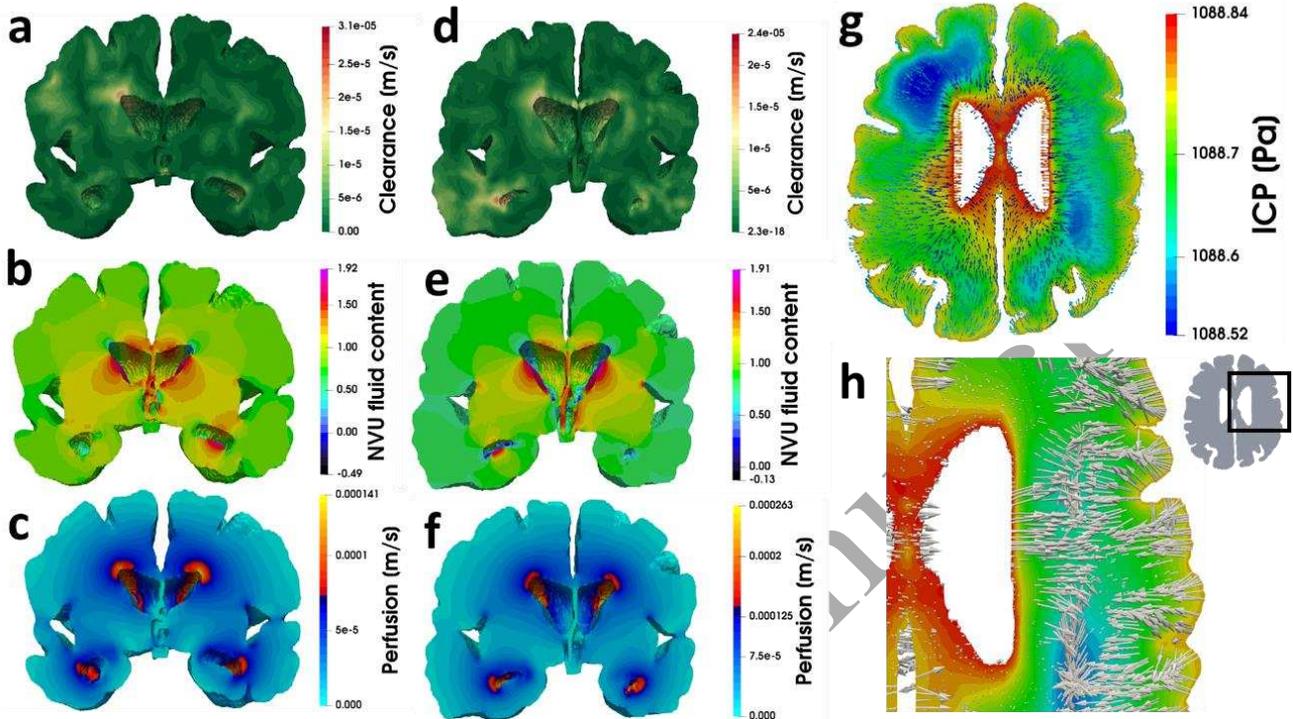
447 The statistical analyses were performed using IBM SPSS Statistics, Version 25 (IBM Corp., Armonk,  
448 NY).

## 449 3. RESULTS

450

451 Figure 4 depicts a typical set of results (for one 69-year-old female CHC and one 75-year-old male  
452 MCI subject) obtained from running the consolidated pipeline. In the figure, coronal sections of the  
453 brain at the level of the hippocampus are depicted. The results pertaining to the NVU fluid content,  
454 clearance and perfusion for two subjects, one female CHC and one male MCI, are shown. The  
455 intracranial pressure (pore pressure of the CSF/ISF compartment) is also shown, and this solution field  
456 was made to overlap with the filtration velocity vectors of the capillary compartment and CSF/ISF  
457 compartment respectively. There is a reduction in peak clearance observed between the two subjects,  
458 with the female CHC subject having a peak clearance of 24  $\mu\text{m/s}$  compared to 31  $\mu\text{m/s}$  for the male  
459 MCI subject. Similar characteristics were observed for swelling and drainage between the two subjects,

460 with the female CHC possessing lower peak values (1.91 and -0.13) compared to the male MCI subject  
 461 (1.92 and -0.49). The level of peak perfusion was reversed, with the female CHC possessing higher  
 462 level of perfusion (0.26 mm/s) compared to the male MCI subject (0.14 mm/s).  
 463



464  
 465 **Figure 4.** A selection of MPET results for the brain parenchyma. Specifically, clearance (Darcy velocity of the CSF/ISF  
 466 compartment), perfusion (Darcy velocity of the capillary compartment) and the fluid content of the neurovascular unit (a  
 467 positive value indicates swelling, and a negative value indicates drainage). (a-c) Coronal section of the brain at the level of  
 468 the hippocampus for a 75-year-old male MCI subject. (d-f) Coronal section of the brain at the level of the hippocampus for  
 469 a 69-year-old female CHC subject. (g) An axial slice of the brain (female CHC subject), with the intracranial pressure (pore  
 470 pressure of the CSF/ISF compartment) solution filed in the parenchyma overlapping the Darcy velocity vectors of the  
 471 capillary compartment. (h) The intracranial pressure solution field overlapping with the Darcy velocity of the CSF/ISF  
 472 compartment (female CHC subject). The magnitude of the vectors has been doubled to improve visibility. All results are  
 473 acquired during a period of high activity.  
 474

475 Blood flow rates in the ICA<sub>L/R</sub> and VA for males and females (both CHC and MCI) during both high  
 476 and low activity were normally distributed, as assessed by Shapiro-Wilk's test ( $p > 0.05$  for all cases)  
 477 [68]. There were outliers in the ICA and VA based data for females diagnosed with MCI during the  
 478 period of low activity, as assessed by inspection of a boxplot. There was homogeneity of variances ( $p$   
 479  $> 0.05$ ) for ICA<sub>R</sub>(HA), ICA<sub>R</sub>(LA), ICA<sub>L</sub>(HA), ICA<sub>L</sub>(LA), VA(HA) and VA(LA) as assessed by  
 480 Levene's test. Mauchly's test of sphericity is not violated as there are only two levels of the within-  
 481 subjects factor (high and low activity) and, therefore, there is only one paired difference. For the right  
 482 ICA, the three-way interaction between gender, cognitive status and activity was not statistically  
 483 significant,  $F(1, 31) = 0.147$ ,  $p = 0.704$ , partial  $\eta^2 = 0.005$ . Similarly, for the left ICA this was  $F(1, 31)$   
 484  $= 0.391$ ,  $p = 0.536$ , partial  $\eta^2 = 0.012$ , and for the VA this was  $F(1, 31) = 0.267$ ,  $p = 0.609$ , partial  $\eta^2$   
 485  $= 0.009$ . All two-way interactions were not statistically significant ( $p > 0.05$ ). For the four groups  
 486 considered, the mean CBF and standard deviation of the mean are listed in the following table and  
 487 approximated to 1 decimal place.  
 488  
 489  
 490  
 491

492  
493  
494

**Table 3:** Mean CBF and parenchymal tissue volume (with the standard deviation of the mean,  $SD_{\mu}$ ) for the four groups in addition to the overall CHC and MCI cohorts. High activity is indicated by red cells, whilst low activity by the blue cells.

| CHC – M + F<br>[ml/min] |                  |                  |       |                  |                  |      | Brain<br>Volume [ml] |
|-------------------------|------------------|------------------|-------|------------------|------------------|------|----------------------|
|                         | ICA <sub>L</sub> | ICA <sub>R</sub> | VA    | ICA <sub>L</sub> | ICA <sub>R</sub> | VA   |                      |
| $\mu$                   | 559.7            | 571.2            | 141.4 | 331.0            | 338.9            | 83.7 | 1132.6               |
| $SD_{\mu}$              | 138.3            | 120.4            | 30.6  | 87.9             | 72.8             | 18.7 | 105.1                |
| CHC - M [ml/min]        |                  |                  |       |                  |                  |      |                      |
| $\mu$                   | 540.6            | 539.8            | 135.1 | 320.8            | 314.1            | 79.4 | 1154                 |
| $SD_{\mu}$              | 164.2            | 157.0            | 39.2  | 103.0            | 93.0             | 24.0 | 144.7                |
| CHC - F [ml/min]        |                  |                  |       |                  |                  |      |                      |
| $\mu$                   | 572.4            | 592.1            | 145.6 | 337.8            | 355.4            | 86.7 | 1117.7               |
| $SD_{\mu}$              | 116.2            | 81.5             | 22.3  | 75.4             | 48.8             | 13.5 | 62.4                 |
| MCI [ml/min] - M + F    |                  |                  |       |                  |                  |      |                      |
| $\mu$                   | 492.5            | 521.0            | 126.7 | 300.4            | 321.4            | 77.7 | 1066.8               |
| $SD_{\mu}$              | 131.6            | 115.0            | 29.5  | 86.7             | 70.3             | 18.2 | 109.2                |
| MCI - M [ml/min]        |                  |                  |       |                  |                  |      |                      |
| $\mu$                   | 519.1            | 532.2            | 131.4 | 326.2            | 326.8            | 81.6 | 1106.7               |
| $SD_{\mu}$              | 154.4            | 112.1            | 32.0  | 103.7            | 62.5             | 20.0 | 38.7                 |
| MCI - F [ml/min]        |                  |                  |       |                  |                  |      |                      |
| $\mu$                   | 462.1            | 508.3            | 121.3 | 270.8            | 315.1            | 73.2 | 1021.2               |
| $SD_{\mu}$              | 90.0             | 117.0            | 25.2  | 46.5             | 77.8             | 14.7 | 102.6                |

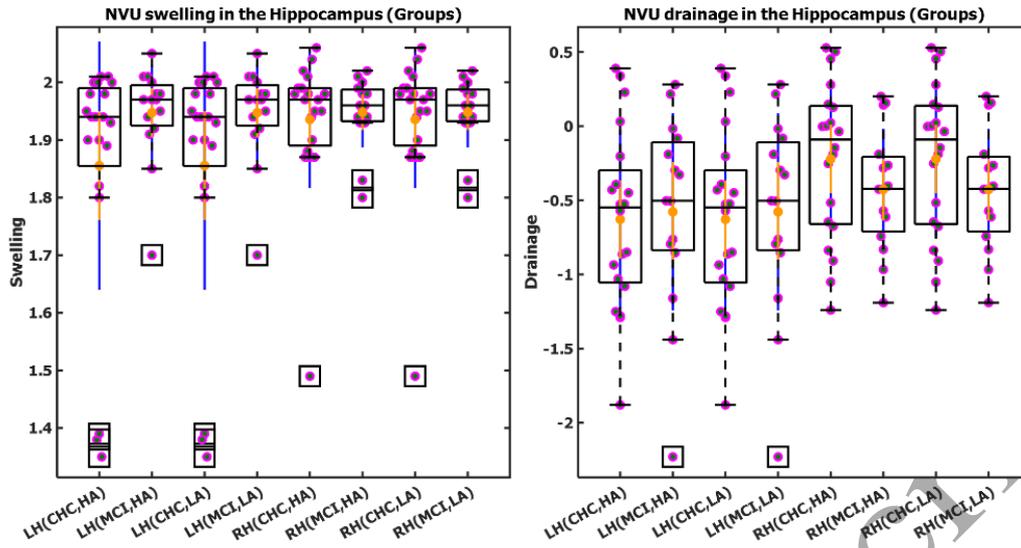
495

496 As can be seen from Table 3, there peak flow rates that are calculated from the personalised cerebral  
497 blood flow waveform pipeline are lower during low activity for the left and right ICA, and VA. It can  
498 be observed that grouped (both males and females) CHC subjects possess higher flow rates than the  
499 grouped MCI subjects. The stratified results (with respect to gender) indicate that female CHC subjects  
500 possessed on average higher flow rates for the left ICA, right ICA, and VA compared to the male CHC  
501 cohort, whilst for the MCI subjects, this trend was reversed (MCI males possessed higher flow rates).  
502 For all gender specific groups, the flow rates were higher during high activity compared to those  
503 recorded during low activity. The parenchymal brain volume (including the cerebral ventricles) for  
504 each group is also given in the last column of Table 3, for reference.

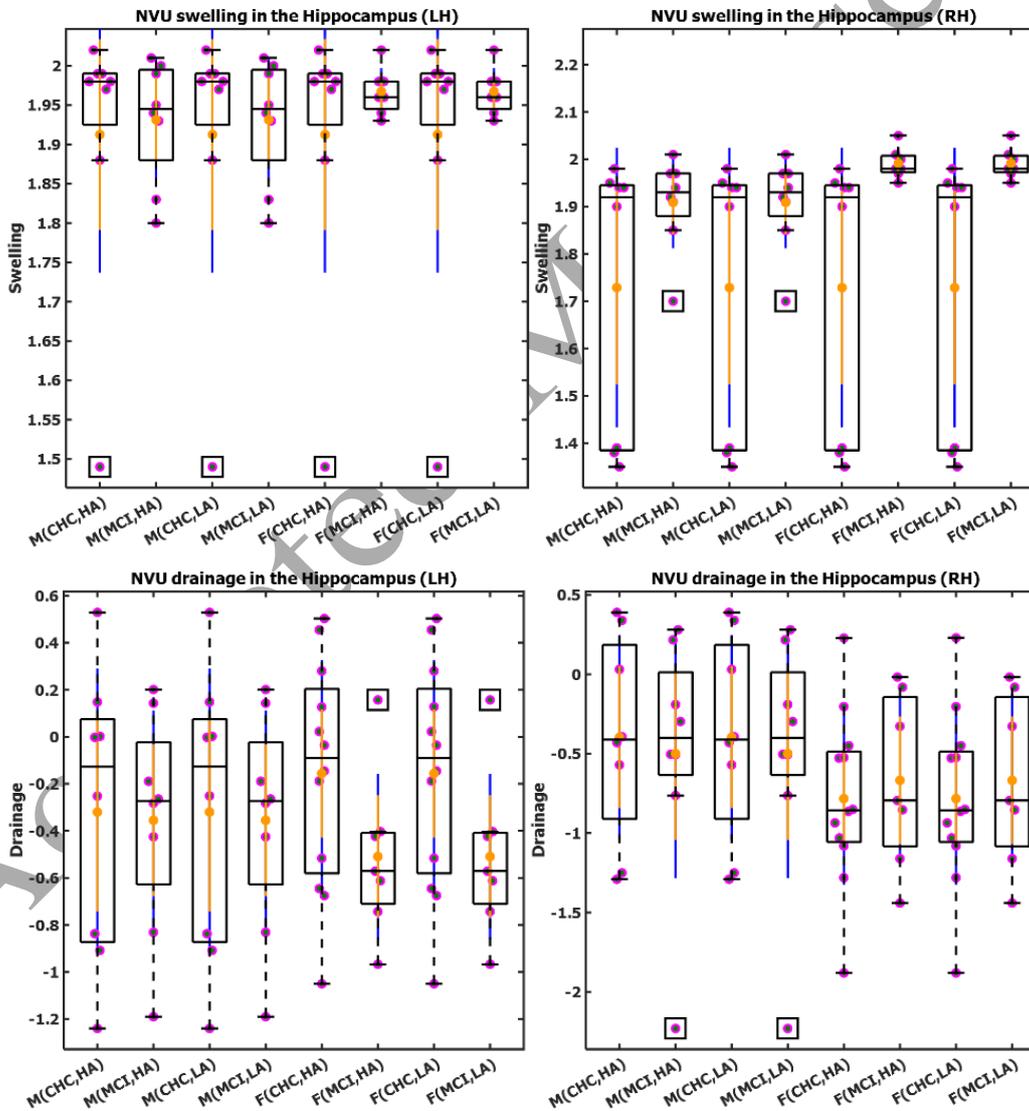
505 A Kruskal-Wallis H-test was conducted to determine if there were differences in swelling and  
506 drainage in the hippocampus of the brain between the four ( $CHC_M$ ,  $CHC_F$ ,  $MCI_M$  and  $MCI_F$ ) groups,  
507 during two levels of activity (high and low). Distributions (see Figure 5) of swelling were not similar  
508 for all groups, whilst the distributions of drainage were similar for all groups. Subsequently, a  
509 Wilcoxon Signed Rank Test determined whether there was any statistically significant median  
510 decrease in swelling and drainage in the hippocampus when subjects of the four groups lowered their  
511 activity level. The results for the Kruskal-Wallis H-test is given in Table 4.

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**Figure 5.** Traditional box and whisker plots (median and 25<sup>th</sup>, 75<sup>th</sup> percentile) for NVU swelling and drainage (stratified with respect to combined groups (males and females) and individually), with raw data points laid over a 1.96 SEM (95% confidence interval) in orange and a 1 SD in blue. Means are also shown with an orange circle. Raw data are dispersed along the x-direction for clarity. Square black boxes indicate outliers.

521 **Table 4.** Kruskal-Wallis H-Test for NVU swelling and drainage in the hippocampus. The test statistic is reported (to an  
522 accuracy of two decimal places) as the value of the chi-squared ( $\chi^2$ ) statistic, with 3 degrees of freedom. Distributions of  
523 NVU swelling and drainage were not similar for all groups, as assessed by visual inspection of a boxplot. Values are mean  
524 ranks unless otherwise stated. Where the Kruskal-Wallis H-test is statistically significant ( $p < 0.05$ ), pairwise comparisons  
525 were performed using Dunn's procedure with a Bonferroni correction for multiple comparisons. Adjusted p-values are  
526 presented in the post hoc columns. Mean ranks are given in brackets where appropriate. HIPP = Hippocampus, Subscripts:  
527 S = swelling, D = drainage, M = male, F = female.  
528

|                   | Right Hemisphere |              |   |          |              |   | Left Hemisphere |              |          |              |
|-------------------|------------------|--------------|---|----------|--------------|---|-----------------|--------------|----------|--------------|
|                   | HA               |              |   | LA       |              |   | HA              |              | LA       |              |
|                   | $\chi^2$         | $p$          | post hoc  | $\chi^2$ | $p$          | post hoc  | $\chi^2$        | $p$          | $\chi^2$ | $p$          |
| HIPP <sub>S</sub> | 8.929            | <b>0.030</b> | CHC <sub>M</sub> (11.19) and MCI <sub>F</sub> (26.36),<br>$p = 0.025$ | 8.929    | <b>0.030</b> | CHC <sub>M</sub> (11.19) and MCI <sub>F</sub> (26.36),<br>$p = 0.025$ | 0.789           | <b>0.852</b> | 0.789    | <b>0.852</b> |
| HIPP <sub>D</sub> | 3.319            | <b>0.345</b> |   | 3.319    | <b>0.345</b> |   | 2.369           | <b>0.499</b> | 2.369    | <b>0.499</b> |

529  
530 In the right hippocampus, the level of NVU swelling was statistically significantly different between  
531 the categories of cognitive status and gender during the period of high and low activity,  $\chi^2(3) = 8.929$ ,  
532  $p = 0.030$  (for both activity states). Subsequently, pairwise comparisons were performed using Dunn's  
533 [49] procedure with a Bonferroni correction for multiple comparisons [50]. The post hoc analysis  
534 revealed statistically significant differences in NVU swelling between CHC males (11.19) and MCI  
535 females (26.36) ( $p = 0.025$ ) during both activity states, but not between any other group combination.  
536 Drainage of the NVU was lower in CHC males (-0.41) than CHC females (-0.86), and higher in MCI  
537 females (-0.79) than MCI males (-0.4) during both activity states, but the differences were not  
538 statistically significant,  $\chi^2(3) = 3.319$ ,  $p = 0.345$  (for both activity states).

539 In the left hemisphere, there were differences in the degree of swelling and drainage between the four  
540 groups, but these were not statistically significant.

541 When conducting a Wilcoxon Signed Rank Test, it was observed that when comparing the four  
542 groups during high and low activity, there were differences in the degree of swelling and drainage, but  
543 that these differences were not statistically significant.  
544

#### 545 4. DISCUSSION

546  
547 In the work presented here, various advancements have been made in refining the integrity of the  
548 qualitative representation of fluid accumulation and drainage and applying it to the level of the BBB.  
549 Firstly, the consolidated pipeline has been applied to a cohort of 35 subjects (20 CHC, 15 MCI), and  
550 MPET results are statistically analysed at a region-specific level, namely the hippocampus. Secondly,  
551 it was hypothesized that physical activity would have an influence in both swelling and drainage.

552 In Table 1 (specifically, the fluid content relationship), the  $c_p$  term represents the amount of  
553 blood (which is also exchanging fluid with the cerebrospinal/interstitial fluid – see Figure 2) that can  
554 be injected into a fixed material volume (in this case, the parenchymal tissue), whilst the  $\alpha_c \nabla \cdot \mathbf{u}$  term  
555 (the Biot-Willis constant,  $\alpha$ , is deemed to reflect mechanical compliance [16]) is a measure of the  
556 amount of blood that can be squeezed out of the same volume of parenchymal tissue. Importantly, this  
557 second term reveals the importance of the scaled (with respect to the Biot-Willis coefficient) strain  
558 field within the parenchymal tissue, and the role this plays in determining whether the overall effect  
559 of the fluid content is either positive or negative as it possesses a larger magnitude in the simulations  
560 conducted in this work. Of course, this is also dependent on the boundary conditions attributed to pore  
561 pressure in the capillary compartment, as in the simulations conducted here, the range in pore pressure  
562 was approximately 2.4-2.5 kPa. Enforcing a large pressure on the boundaries would translate into a  
563 larger quantity of fluid that can be injected into the fixed parenchymal volume, which would therefore

564 dampen the influence of the scaled strain, and in the process determining the propensity of the fluid  
565 content. It is worth noting that a balance should be struck when determining the optimal course of  
566 action here, as being able to account for the influence of the surface concavity (and therefore the  
567 accumulation of strain) is beneficial in assessing the macroscopic effects of not only the geometry  
568 under consideration (as in the case of the cerebral ventricles), but also the cumulative effect of the  
569 imposed boundary conditions on the remaining compartments and the intercompartmental fluxes.

570 In Figure 5, the effect of incorporating physical activity are negligible. This result at first sight  
571 seems unexpected, as the variation in CBF between activity states (see Table 3) that is applied as the  
572 arterial boundary conditions to the MPET model (and essentially drives the MPET system) shows a  
573 clear reduction during the period of low activity. The value of the constrained specific storage  
574 coefficient for the capillary compartment underestimates the contribution of the pore pressure in this  
575 compartment and allows the strain field to play a dominant role in determining the resultant fluid  
576 content. It is important to note here that the constant strain cross-storage effects relating to the other  
577 three compartments are also not incorporated in this formulation, as the usefulness of their inclusion  
578 in our model is debatable [52]. An additional limitation is that the current quadruple-MPET model  
579 conflates all the fluid outside the vascular tree (CSF and ISF) into a single compartment. This negates  
580 the important effects of the paravascular/perivascular spaces, glial cells and the overarching influence  
581 of the glymphatic system, which are known to play an important role in BBB breakdown and  
582 dysfunction [60,69]. The current MPET model has been recently extended to six compartments (in a  
583 simplified, spherically symmetric 1D formulation) and has relaxed the assumption of quasi-steady  
584 behaviour [70] in order to account for both aqueductal stenosis during acute hydrocephalus in addition  
585 to providing insight into oedema formation [71]. In future work, the 3D extension to this discretization  
586 template will be implemented within the consolidated pipeline described in this work.

587 From figure 4, both swelling and drainage is asymmetric in nature, as the distribution of the  
588 results varies between the left and right portion of the hippocampus. The mean swelling in the NVU  
589 is higher in the MCI subjects (also considering the stratified results with respect to gender), which can  
590 be postulated to signify the higher probability of BBB breakdown or dysregulation within the  
591 representative elementary volume of parenchymal tissue that the MPET system covers.

592 The biomarker defined by the fluid content in the capillary compartment can be deemed to  
593 qualitatively describe the physiological cascade of events that evolve due to NVU malfunction in a  
594 variety of pathological processes in both acute (such as traumatic brain injury) and chronic conditions  
595 (such as AD [53-54]), as these are characterised by an inflammatory response, degradation of the  
596 extracellular matrix and loss of permeability and selectivity of the BBB [55]. More specifically, within  
597 the brain tissue, BBB breakdown leads to the perivascular accumulation of blood-derived neurotic  
598 products which ultimately leads to neuronal injury, cell death and inflammatory response [56], and  
599 albumin which contributes to oedema formation (which can be captured by a positive fluid content in  
600 the CSF/ISF compartment of the MPET model), hypoperfusion and tissue hypoxia [57]. Detachment,  
601 degeneration and loss of pericytes (see figure 2) also leads to BBB breakdown [58]. As opposed to  
602 BBB breakdown, a dysregulated BBB effects the key mechanisms behind A $\beta$  homeostasis in the brain  
603 [59] and can lead to inefficient drainage along the perivascular route which is signified by A $\beta$   
604 accumulating in the space between the astrocytic end-feet and the blood vessel walls (perivascular  
605 space – see figure 2) [60]. It has been noted in previous work by the same authors that when considering  
606 the CSF/ISF compartment, the fluid content was here used to describe periventricular lucency, and in  
607 doing so, conceptual links could be made with the swelling activated components of the parenchymal  
608 tissue microstructure [40,61].

609 There is evidence to suggest that a disruption to the BBB compromises A $\beta$  clearance at the level  
610 of the NVU, which is postulated to result in a cycle between A $\beta$  accumulation and BBB dysfunction  
611 during AD progression [62]. A $\beta$  has been deemed a likely candidate in the disruption of tight junctions  
612 in endothelial cells, which ultimately perturbs the ability of the endothelial cells to function as an  
613 effective barrier. In the results presented for NVU swelling in the hippocampus, the mean swelling in

614 the left and right portions of the hippocampus are lower for CHC subjects when compared to MCI  
615 subjects (1.94 and 1.86 compared to 1.95 and 1.95). The level of drainage in the NVU is asymmetric  
616 between the two sets of subjects, as the left hippocampal portion portrayed more pronounced mean  
617 drainage (-0.22 for CHCs compared to -0.42 for MCIs) compared to the right portion (-0.63 for CHCs  
618 compared to -0.58 for MCIs). When stratifying the results with respect to gender, male and female  
619 CHC subjects displayed lower degrees of swelling and drainage in both hippocampal portions  
620 compared to male and female MCI subjects. Further analysis revealed statistically significant  
621 differences in NVU swelling between CHC males (11.19) and MCI females (26.36) ( $p = 0.025$ ) during  
622 both activity states for the right hemisphere.

## 623 624 **5. CONCLUSIONS**

625  
626 This paper describes a three-dimensional multicompartamental poroelasticity model for perfused  
627 parenchymal tissue coupled with an automated image-based model personalization workflow, and a  
628 subject-specific blood flow variability model. This unified pipeline is used on a cohort of 35 subjects  
629 (stratified with respect to gender and disease status) to provide insight (statistically analysis) into the  
630 underlying mechanisms of the neurovascular unit (NVU) in the hippocampal region of the brain, and  
631 to ascertain whether physical activity would have an influence in both swelling and drainage by taking  
632 into account the scaled strain field and the proportion of perfused blood injected into the brain tissue.  
633 A key result garnered from his study is the statistically significant differences in hippocampal (the  
634 right portion) NVU swelling between CHC males and MCI females during both activity states.  
635 In future work, revised estimates for the constrained specific storage coefficients need to be  
636 established, as these allow for the percolation of fluid between networks to be more accurately  
637 captured. It has been postulated that systemic hypertension causes stiffening and microvascular  
638 distortion of vessels, increased tortuosity and thickened membranes in arterioles, and is associated with  
639 a reduction of capillary density [63]. The CSF/ISF and capillary compartments can be used to link  
640 microvascular permeability and overall cerebral compliance, and therefore provide further insight (via  
641 rule-based remodelling processes which will also need to account for white matter changes associated  
642 with AD [65]) into the influence of lifestyle and environmental factors of interest and the extent of  
643 chronic cerebral hypoperfusion (promoting the notion of age-dependent vascular compliance [66]).  
644 Finally, tortuosity may be better served by more intricate models (as opposed to Darcy's law) of flow  
645 in porous media (such as the Blake-Kozeny-Carman (BKC) model [64] for a packed bed).

## 646 **ETHICS**

647 This prospective data collection was approved by the joint ethics committee of the Health Authority  
648 Venice 12 and the IRCCS San Camillo (Protocol number 2014.08), and all participants gave informed  
649 consent prior to participation in the study.

## 650 651 **COMPETING INTERESTS**

652 We declare we have no competing interests.

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