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1 Title: Stress drops on the Blanco oceanic transform fault from inter-station phase  
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## Abstract

Oceanic transform faults display a wide range of earthquake stress drops, large aseismic slip, and along-strike variation in seismic coupling. We use and further develop a phase coherence-based method to calculate and analyze stress drops of 61  $M \geq 5.0$  events between 2000 and 2016 on the Blanco Fault, off the coast of Oregon. With this method, we estimate earthquake rupture extents by examining how apparent source time functions (ASTFs) vary between stations. The variation is caused by the generation of seismic waves at different locations along the rupture, which arrive at different times depending on station location. We isolate ASTFs at a range of stations by comparing seismograms of co-located earthquakes and then use the inter-station ASTF coherence to infer rupture extent and stress drop.

We examine how our analysis is influenced by various factors, including poor trace alignment, relative earthquake locations, focal mechanism variation, azimuthal distribution of stations, and depth phase arrivals. We find that as alignment accuracy decreases or distance between earthquakes increases, coherence is reduced, but coherence is unaffected by focal mechanism variation or depth phase arrivals for our dataset. We calibrate the coherence-rupture extent relationship based on the azimuthal distribution of stations.

We find the phase coherence method can be used to estimate stress drops for offshore earthquakes, but is limited to  $M \geq 5.0$  earthquakes for the Blanco Fault due to poor trace alignment accuracy. The median stress drop on the Blanco Fault is 8 MPa (with 95% confidence limits of 6-12 MPa) for 61 earthquakes. Stress drops are a factor of 1.7 (95% confidence limits 0.8-3.5) lower on the more aseismic northwest segment of the Blanco Fault. These lower stress drops could be linked to reduced healing time due to higher temperatures, which reduce the depth of the seismogenic zone and shorten the seismic cycle.

## Background and Motivation

Oceanic transform faults exhibit a range of slip behaviors that are still poorly understood. They often host large amounts of aseismic slip (e.g., Bird et al., 2002; Boettcher

44 and Jordan, 2004; Materna et al., 2018) and highly repetitive similar ruptures (e.g.,  
45 Wolfson-Schwehr et al., 2014; Ye et al., 2014). Earthquakes on oceanic transform faults  
46 also display a wide variation in stress drops, with both unusually high stress drops (e.g.,  
47 Allmann and Shearer, 2009; Chen and McGuire, 2016) and some of the lowest recorded  
48 stress drops (e.g., Pérez-Campos et al., 2003). Stress drops may also vary between areas  
49 with more or less aseismic slip (Moyer et al., 2018). But these behaviors remain poorly  
50 understood, as only a modest number of studies have examined earthquake properties on  
51 these faults because they are often far from observing stations.

52 In this study, we add to our knowledge of earthquakes on oceanic transform faults by  
53 examining stress drops of offshore earthquakes on the Blanco Fault, an oceanic transform  
54 fault off the coast of Oregon, USA (Figure 1). We develop and use a method introduced  
55 by Hawthorne et al. (2018), which is a modified form of rupture directivity analysis  
56 (e.g., Velasco et al., 1994; Mori, 1996; Somerville et al., 1997; Tan and Helmberger,  
57 2010; Kane et al., 2013). This phase coherence-based method provides an alternative and  
58 complementary approach to the commonly used spectral amplitude-based method, as the  
59 methods take different approaches to derive rupture extent. With the phase coherence  
60 approach, we note that because earthquakes have finite areas, seismic waves are generated  
61 at various locations in the rupture and therefore have different travel times to different  
62 recording stations. This travel time variation creates differences in the apparent source  
63 time functions among the recording stations. We measure differences in apparent source  
64 time functions at a range of frequencies, or seismic wavelengths. These measurements  
65 allow us to infer the rupture extent and stress drop of an earthquake. In the paper, we  
66 determine and take the steps necessary to use this method at long distances.

67 We use the phase coherence technique to calculate stress drops for  $M \geq 5.0$  earthquakes  
68 on the Blanco Fault, offshore of Oregon, USA. We begin by describing the fault, the  
69 earthquakes to be considered, and the stations to be used. We then describe the phase  
70 coherence method and use it to calculate stress drops. We identify factors that may reduce  
71 our coherence, such as inaccurate trace alignment and different earthquake path effects,

72 and only accept results that will not be significantly affected by these factors. Finally,  
73 we analyze the acceptable results and discuss their implications for the properties of the  
74 Blanco Fault.

## 75 **Blanco Fault**

76 The Blanco Fault is a right-lateral oceanic transform fault between the Pacific and  
77 Juan de Fuca plates. The fault slips at 3 - 8 mm yr<sup>-1</sup> (Willoughby and Hyndman, 2005)  
78 and is split into four transform segments and four topographic depressions (Figure 1)  
79 (Dziak et al., 1991; Braunmiller and Nábělek, 2008). The transform segments host mostly  
80 strike-slip earthquakes, and the depressions feature normal faulting events (Braunmiller  
81 and Nábělek, 2008).

82 Dziak et al. (1991) noted that the southeastern half of the Blanco Fault, east of the  
83 Cascadia Depression (CAS on Figure 1), hosts the largest-magnitude earthquakes and  
84 has a higher seismic moment release rate than the northwestern half. They inferred that  
85 the southeastern half has less aseismic slip and releases a higher fraction of its moment  
86 in earthquakes. Braunmiller and Nábělek (2008) identified a similar large-scale variation  
87 in their more detailed investigation. In this study, we will investigate how stress drops  
88 vary between the more and less seismic halves of the Blanco Fault.

## 89 **Earthquake catalog and initial data processing**

90 We initially consider 398  $M \geq 4.0$  earthquakes that occurred on the Blanco Fault  
91 between 2000 and 2016, as identified in the National Earthquake Information Center  
92 (NEIC) earthquake catalog. The 398 earthquakes in the catalog are scattered in a 20 - 30  
93 km wide band that follows the fault trace, but shifted northeast of where the fault appears  
94 in the bathymetry (Figure 1). This scatter and northeast shift in earthquake locations  
95 may be related to uncertainty in arrival time picks and problems with the velocity model,  
96 respectively (Dziak et al., 1991; Braunmiller and Nábělek, 2008). Indeed, Kuna et al.

107 (2019) used high quality OBS data to relocate events on the Blanco Ridge (BLR on  
108 Figure 1), and found that the events in 2012 and 2013 relocated onto the bathymetric  
109 expression of the fault with very little scatter. We perform our own event relocation later  
110 to reduce the effects of the initial location uncertainty on our results.

101 Earthquakes on the Blanco Fault are recorded by seismic networks along the west coast  
102 of North America. We use data from a number of networks, whose data are available via  
103 IRIS and the NCEDC (see Data and Resources section). A detailed table of networks  
104 used is available in the electronic supplement to this article (Table S1).

105 We initially consider data from stations within 780 km (7 degrees) of the earthquake  
106 locations (Figure 2). We analyze vertical component seismograms from these stations,  
107 as we use the first-arriving P-wave. We bandpass filter the seismograms between 0.05  
108 and 20 Hz and pick the P-arrival using a recursive short-term-average/long-term-average  
109 algorithm (Withers et al., 1998; Trnkoczy, 1999) in the 1 - 10 Hz frequency band. We  
110 discard traces with signal to noise amplitude ratios less than 3 in the 0.5 - 5 Hz frequency  
111 band. Further details on data processing are available in the electronic supplement to  
112 this article.

## 113 **Theoretical basis of the phase coherence method**

114 We use the processed data to compute earthquake rupture extents and stress drops.  
115 We use a recently developed method (Hawthorne et al., 2018), in which we analyze the  
116 similarities and differences of seismograms recorded at various stations. To understand  
117 this approach, consider two stations to the south and west (S and W) of an earthquake,  
118 as shown in Figure 3. The illustrated earthquake ruptures outward from the hypocenter  
119 (black dot) so that its two asperities A (blue) and B (red) rupture simultaneously, but at  
120 locations separated by half the rupture diameter  $D$ . Asperities A and B are equidistant  
121 from the southern station S, so their signals arrive at S at the same time, creating a  
122 single peak in the apparent source time function (ASTF). Asperity B is closer to the

123 western station W, so the signal from B arrives at W earlier than the signal from A. This  
 124 time shift results in two peaks in the ASTF that are separated by time  $\frac{1}{2}D/V_P$ : by the  
 125 separation distance divided by the P-wave velocity in the rupture area. In our analysis,  
 126 we will examine differences in the ASTFs observed at a range of stations to determine  
 127 how much ASTF peaks could be shifted by intra-source travel time differences. We will  
 128 use the inferred shifts to estimate the earthquake rupture extents.

129 However, to analyze ASTFs of real earthquakes, we must first remove the path effects.  
 130 We use an empirical Green’s function approach (similar to, e.g., Dreger et al. 2007;  
 131 Harrington and Brodsky 2009; Wei et al. 2013; Taira et al. 2014). We note that the  
 132 seismogram  $d_{jk}(t)$  recorded at station  $k$  due to earthquake  $j$  can be approximated as a  
 133 convolution of a Green’s function  $g_k(t)$  and an apparent source time function  $s_{jk}(t)$ :

$$d_{jk}(t) = s_{jk}(t) * g_k(t). \quad (1)$$

134 Note that we assume that the Green’s function retains the same shape across the earth-  
 135 quake rupture area.

136 If we have two earthquakes ( $j = 1$  and  $j = 2$ ) with the same Green’s function  $g_k$ , then  
 137 we can eliminate the *phases* of the Green’s functions Fourier coefficients by calculating  
 138 the cross-spectra  $\hat{x}_k$  at station  $k$  (Hawthorne and Ampuero, 2017):

$$\hat{x}_k = \hat{s}_{1k}\hat{g}_k * \hat{s}_{2k}\hat{g}_k = \hat{s}_{1k}^*\hat{s}_{2k}|\hat{g}_k|^2. \quad (2)$$

139 Here  $\hat{g}_k(\omega)$  is the Fourier transform of  $g_k(t)$ ,  $\hat{s}_{jk}(\omega)$  is the Fourier transform of  $s_{jk}(t)$ , and  
 140 we have dropped the frequency indexing for readability. Since  $\hat{g}_k$  appears in Equation 2  
 141 only via its absolute value, the phases of the cross-spectra  $\hat{x}_k$  depend only on the relative  
 142 phases of the earthquakes’ ASTFs.

143 As noted above, we seek to quantify how much the earthquakes’ ASTFs vary across  
 144 stations due to the finite rupture areas. We focus on differences in phase and use a robust

145 estimate of the inter-station similarity: the inter-station phase coherence

$$C_p = \frac{2}{N(N-1)} \sum_{k=1}^N \sum_{l=k+1}^N \operatorname{Re} \frac{\hat{x}_k^* \hat{x}_l}{|\hat{x}_k^* \hat{x}_l|} \quad (3)$$

$$= \frac{2}{N(N-1)} \sum_{k=1}^N \sum_{l=k+1}^N \operatorname{Re} \frac{\hat{s}_{1k} \hat{s}_{1l}^* \hat{s}_{2l} \hat{s}_{2k}^*}{|\hat{s}_{1k} \hat{s}_{1l}^* \hat{s}_{2l} \hat{s}_{2k}^*|}, \quad (4)$$

146 where there are  $N$  stations, and we average coherence over  $(N-1)N/2$  station pairs.  
 147 Equation 4 assumes that the two earthquakes have identical Green's functions. With  
 148 that assumption,  $C_p$  provides a measure of the similarity of their ASTFs.

149 We can compute  $C_p$ , and thus the ASTF similarity, for a range of frequencies, or seismic  
 150 wavelengths. The ASTFs should appear different when the arrival time variation due to  
 151 the finite rupture extent causes a significant shift in phase. If we consider very long  
 152 periods, the arrival time variations are a small fraction of the period and thus should  
 153 not cause a significant shift in phase, so the phases of the ASTFs are similar and  $C_p$  is  
 154 high. At short periods, on the other hand, the travel time variations can be a significant  
 155 fraction of the period, and thus cause significant shifts in phase and low  $C_p$ . The largest  
 156 travel time variation is proportional to the finite rupture extent of the larger earthquake  
 157 of the pair, the largest possible distance between generated seismic waves. Therefore,  
 158 we can calculate the finite rupture extent of the earthquake by identifying the period  
 159 at which  $C_p$  decreases, which should be  $F_{scal}D/V_P$ : the travel time across the rupture  
 160 multiplied by a scaling factor  $F_{scal}$ .

161 In order to systematically analyze a range of earthquakes, we define the frequency  
 162 at which  $C_p$  decreases below 0.5 as the falloff frequency  $f_f$ . Hawthorne et al. (2018)  
 163 used synthetics to verify that  $f_f$  is inversely proportional to the rupture extent of an  
 164 earthquake, though they always analyzed groups of earthquakes. In a later section, we  
 165 analyze a suite of individual earthquake ruptures. We find that given the earthquakes'  
 166 locations, the iasp91 velocity model (Kennett and Engdahl, 1991), and our land-based  
 167 station distribution,  $f_f = 1.2V_P/D$ , where  $V_P = 8.04 \text{ km s}^{-1}$  is the P-wave speed in the



168 oceanic upper mantle.

169 Once we have estimated  $f_f$  and computed rupture extents for a range of earthquakes,  
170 we compute their stress drops  $\Delta\sigma$ . We assume an elliptical slip distribution (Eshelby,  
171 1957) and couple our earthquake rupture radii with moments  $M_0$  obtained from the  
172 magnitudes of the NEIC earthquake catalog:

$$\Delta\sigma = \frac{7}{16} \left( \frac{M_0}{\left(\frac{1}{2}D\right)^3} \right). \quad (5)$$

### 173 **Comparing the phase coherence approach with spectral ampli-** 174 **tude analysis**

175 The phase coherence method is sensitive to different earthquake properties than meth-  
176 ods that extract corner frequencies from an earthquake's frequency-domain amplitudes  
177 (e.g., Shearer et al., 2006; Allmann and Shearer, 2007, 2009). The phase coherence method  
178 is most sensitive to the P-wave travel time across the rupture area. It has limited sensi-  
179 tivity to the earthquake's rupture speed and duration (Hawthorne et al., 2018). Spectral  
180 amplitude analysis methods, on the other hand, are sensitive to the rupture speed and  
181 duration as well as to the P-wave travel time across the rupture area (e.g., Kaneko and  
182 Shearer, 2014). In the future, implementing both of these methods may allow us to ex-  
183 tract more information about many individual earthquakes: to quickly estimate both the  
184 rupture area and the rupture velocity.

# 185 **Implementing the phase coherence method on the Blanco**

## 186 **Fault**

### 187 **Forming earthquake pairs, earthquake relocation, and trace align-** 188 **ment**

189 Before computing stress drops, we must perform a number of processing steps on our  
190 data. As a first step, we identify pairs of earthquakes that are potentially closely spaced  
191 and could have similar path effects. Since the catalog earthquake locations are uncertain,  
192 and scattered in a 20-km wide region around the fault zone, we identify all earthquake  
193 pairs which have locations separated by less than 20 km. This identification gives 4636  
194 earthquake pairs, which include 388 unique earthquakes.

195 Next, we need to align the recordings of these earthquakes. To do so, we relocate the  
196 earthquakes in each pair relative to each other using a subset of the seismograms: those  
197 with high signal to noise ratios and well-constrained arrival times. To identify the high-  
198 quality data, we first bandpass the seismograms between 0.5 and 6 Hz, and cross-correlate  
199 a 5-s window beginning on the P-wave arrival to align the traces, removing any traces  
200 with a signal to noise power ratio less than 20 in that time window. We then compare  
201 the first two seconds of the aligned 5-s windows to assess whether the signals are aligned  
202 and similar. We identify the traces that have cross-correlation coefficients larger than 0.6  
203 in the 2-s windows, and extract the relative arrival times from the pairs of seismograms.

204 We use these arrival times to grid search for the relative earthquake locations. We fix  
205 the origin time and location of the higher-magnitude event in each pair and grid search  
206 for the best-fitting horizontal location and origin time of the smaller event, with depths  
207 fixed at 10 km for both events. For each proposed event location and time, we calculate  
208 the predicted P-arrival times using ray tracing (Crotwell et al., 1999) and the 1-D Earth  
209 velocity model iasp91 (Kennett and Engdahl, 1991). We compute an L1 norm misfit  
210 between the predicted and original estimated differential times from our alignment. In

211 calculating the misfit, we exclude values larger than 0.1 s, as these appear to be due to  
212 inaccurate P-arrival picks. We compute the final misfit without these outliers.

213 We use the location and origin time indicated by the minimum misfit to predict the  
214 relative arrival times for all traces, including some that were not used in the locations  
215 search. Then we use these times to align the seismograms. We note, however, that some  
216 seismograms contain significant noise, so as a final check we compute the cross correlation  
217 coefficient for a 2-s window beginning on the P-arrival filtered between 0.5 and 6 Hz. In  
218 our stress drop calculations, we use only those seismograms with correlation coefficients  
219 higher than 0.6.

220 This cross correlation coefficient thresholding is important because it allows us to re-  
221 move noisy traces and to assess whether the path effects are similar - whether we can  
222 remove the Green's functions' phases by computing the inter-earthquake phase coherence.  
223 But we should note that we have had to use a relatively low cross-correlation threshold  
224 compared with some spectral amplitude analysis studies (e.g., Dreger et al., 2007; Aber-  
225 crombie, 2014, 2015), as we compute the cross-correlation at frequencies that may be  
226 above the earthquakes' corner frequencies because the data at lower frequencies is too  
227 noisy to use. The low threshold does not seem to strongly affect the results, however, we  
228 obtain similar patterns in earthquake stress drops when we use a higher cross-correlation  
229 threshold of 0.8, though we obtain stress drops for fewer earthquakes as there are fewer  
230 viable stations (see Table S2, Figure S1, and Figure S2 in the electronic supplement to  
231 this article). The higher cross-correlation threshold also increases our estimates of median  
232 stress drop by roughly 30%.

## 233 **Calculating the phase coherence**

234 Once we have aligned the traces, we can remove the Green's functions and examine  
235 the inter-station ASTF similarity, following the steps outlined in the theoretical basis  
236 section. For each earthquake pair, we extract a 5-s window from the aligned traces  
237 (Figure 4(a)-(d)) and compute the cross spectra (Equation 2). The phases of some of

238 the cross-spectra for one earthquake pair are shown in Figure 4(e). The cross spectra are  
239 similar in the 1 - 3 Hz band, and as expected, the inter-station phase coherence is high  
240 in that band (Figure 4(f)). It falls off at higher frequencies, as the cross-spectra phases  
241 start to differ. To estimate uncertainties on the coherence, we bootstrap by selecting  
242 1000 subsets of stations with replacement for each earthquake pair. We then calculate  
243 the phase coherence for each subset of stations (Equation 4), and derive 95% confidence  
244 limits from the overall distribution. The 95% confidence limits are illustrated by the  
245 shaded blue area in Figure 4(f).

246 We follow these steps to calculate coherence as a function of frequency for 1043 earth-  
247 quake pairs that have more than 10 stations which pass the cross correlation threshold  
248 of 0.6. Additional examples of phase coherence profiles and falloff frequency picks are  
249 available in the electronic supplement to this article (Figures S3 - S9). For each earth-  
250 quake pair, we identify the falloff frequency  $f_f$ : the frequency at which coherence falls  
251 below 0.5, as defined earlier. In identifying  $f_f$ , we require that  $f_f$  occur at a frequency  
252 higher than that of the maximum coherence, because low frequency noise throughout  
253 the dataset creates artificially low coherence at low frequencies, which would result in  
254 incorrect low falloff frequencies.

## 255 **Results and Uncertainty assessment**

### 256 **Initial results and uncertainties**

257 We obtain falloff frequencies for 1043 earthquake pairs (22% of our initial earthquake  
258 pairs), which include 161 unique events with magnitudes between  $M$  4.2 – 6.0. We use  
259 these falloff frequencies and moments from the earthquake catalog to calculate initial  
260 stress drops (Equation 5), and plot the results in Figure 5. When an earthquake is  
261 included in multiple pairs, we take the maximum among the pairs as our best estimate  
262 of the falloff frequency, since each value can be biased lower than its true value because  
263 of poor alignment or spatially varying Green's functions, as discussed later.

264 In these initial results, the falloff frequency appears to decrease as magnitude increases.  
 265 Such a decrease is expected, as larger earthquakes typically have larger diameters (e.g.,  
 266 Báth and Duda, 1964; King and Knopoff, 1968; Chinnery, 1969; Kanamori and Ander-  
 267 son, 1975; Wells and Coppersmith, 1994). However, the rate of decrease with magnitude  
 268 cannot be directly interpreted from these data points, since each falloff frequency esti-  
 269 mate could be affected by a range of factors, including (1) incorrect trace alignment, (2)  
 270 differences in earthquake path effects, (3) differences in focal mechanisms, (4) a limited  
 271 range of station azimuths, and (5) depth phases in our phase coherence time window. In  
 272 the following sections, we evaluate how each factor could modify the coherence.

### 273 **Incorrect trace alignment**

274 The coherence we calculate can be reduced from its true value if the seismograms  
 275 of the two earthquakes in a pair are poorly aligned. Here we estimate the alignment  
 276 uncertainty using a loop closure approach. Then we use synthetics to examine how much  
 277 the alignment error could reduce the inter-earthquake coherence.

278 To assess the accuracy of our alignment, we consider groups of 3 closely spaced earth-  
 279 quakes and examine the relationships between their arrival times. Consider, for example,  
 280 the arrival times of three earthquakes at station  $k$ :  $t_{1k}$ ,  $t_{2k}$ , and  $t_{3k}$ . If these arrival times  
 281 are correct, then the sum of the relative arrival times, or the loop closure  $t_{loop,k}$ , should  
 282 close to zero:

$$t_{loop,k} = (t_{1k} - t_{2k}) - (t_{3k} - t_{2k}) - (t_{1k} - t_{3k}) = 0. \quad (6)$$

283 We find that 80% of loop closures are within 0.1 s of zero when all 3 events in the loop  
 284 are within 4 km of each other. Such loop closure accuracy implies that 80% of relative  
 285 arrival time uncertainties for aligned seismograms are within 0.06 s ( $0.1/\sqrt{3}$ ) of zero. The  
 286 inferred distribution of arrival time errors is illustrated in the histograms available in the  
 287 electronic supplement to this article (Figures S10 - S13). Note that we only assess the  
 288 alignment of earthquakes within 4 km of each other because we will discard results from

289 more widely spaced earthquake pairs in the next section, as they have more variable path  
290 effects.

291 To determine how our alignment uncertainty affects our estimated coherence, we con-  
292 sider the coherence of a template earthquake with itself, after shifting the seismograms  
293 by various amounts. We take an earthquake from our dataset and copy its seismograms.  
294 Then we pick a set of travel time shifts from the loop closure distribution, apply these  
295 shifts to seismograms of the copied event, and calculate the coherence. We repeat this  
296 process for 1000 sets of time shifts and use the resulting 1000 coherence profiles to calcu-  
297 late the median phase coherence (black on Figure 6). We find that, on average, the added  
298 alignment errors reduce the phase coherence to less than 0.5 at frequencies of 3.7 Hz and  
299 above. Note that this frequency threshold is less than 0.2 times the minimum Nyquist  
300 frequency (20 Hz) for all but 9 of the 1434 stations we used. Our coherence calculations  
301 appear limited by the accuracy of our earthquake relocations, not by the data quality at  
302 high frequencies. For further understanding, we also compute the coherence profiles that  
303 would be expected if the alignment errors are chosen from various normal distributions.  
304 We find that when the alignment error is larger (colored lines on Figure 6), coherence  
305 falls off at a lower frequency.

306 The results above imply that our average alignment uncertainty is likely to reduce  
307 perfect coherence ( $C_p = 1$ ) to a coherence of 0.5 by a frequency of 3.7 Hz. Thus when the  
308 coherence profiles of real earthquake pairs decrease at frequencies around or above 3.7  
309 Hz, we cannot know whether the falloff in  $C_p$  comes from the earthquake’s rupture extent  
310 or from the average alignment uncertainty. We mark the range of falloff frequencies that  
311 are hard to interpret with the green shaded area in Figure 5.

312 This frequency threshold is especially problematic for smaller earthquakes, which are  
313 likely to have higher falloff frequencies. We find in Figure 5 that many  $M < 5.0$  earth-  
314 quakes have falloff frequencies near to or larger than 3.7 Hz. Since those values are hard  
315 to interpret, we will exclude  $M < 5.0$  events from our discussion and interpretation of

316 Blanco Fault earthquakes, and we mark  $M < 5.0$  in grey on Figure 5.

## 317 **Differences in earthquake path effects**

318 Another possible bias on falloff frequency comes from earthquake spacing. For our  
319 analysis to work, the earthquakes must be co-located so that the path effects will be re-  
320 moved in the cross-spectra calculation for each station. If path effects differ at frequencies  
321 lower than the falloff frequency, they will not be removed and we will obtain an apparent  
322 falloff frequency that is unrelated to the earthquake's rupture extent, even if each event  
323 has similar ASTFs at all stations. Such path effect differences are likely to be larger and  
324 more problematic for more widely spaced earthquakes.

325 We have examined coherence profiles for a number of earthquake pairs with various  
326 separations. Examples for a range of inter-earthquake distances are shown in Figure  
327 7. Empirically we find that the coherence profiles remain relatively consistent among  
328 earthquake pairs as long as the events are within 4 km of each other. We note, however,  
329 that it is difficult to be sure that the path effects are consistent for any pair of earthquakes,  
330 so any falloff frequency we estimate should be considered to be a lower bound on the true  
331 falloff frequency.

## 332 **Differences in focal mechanisms**

333 Coherence profiles can also be affected by the focal mechanisms of earthquakes in our  
334 pairs. Earthquakes with different focal mechanisms will give rise to different seismograms,  
335 even when the earthquakes have the same ASTFs or small rupture areas. Such focal  
336 mechanism-induced differences can reduce coherence and result in an incorrect falloff  
337 frequency.

338 Previous analysis of earthquakes on the Blanco Fault suggests that focal mechanisms  
339 are unlikely to vary significantly on a 4-km length scale. Braunmiller and Nábělek (2008)  
340 observed that strike-slip mechanisms dominate on transform segments of the Blanco Fault,

341 while normal mechanisms are more common within depressions. They found that slip  
342 vectors varied by less than  $20^\circ$  along the fault, which suggests that our coherence estimates  
343 are unlikely to be reduced due to focal mechanisms.

### 344 **Limited range of station azimuths**

345 It is not only differences in the earthquakes that can affect the phase coherence, but  
346 properties of the station distribution as well. The basis of our method is that we search  
347 for ASTF variations caused by varying source-station travel times, which differ due to  
348 the stations' azimuths and the rupture directivity (e.g., Mori, 1996; Somerville et al.,  
349 1997). However, in our analysis, we have a limited azimuthal distribution of stations;  
350 most stations are located at azimuths between  $20$  and  $70^\circ$  (Figure 2). To determine how  
351 this limited azimuthal range could affect our rupture extent estimates, we create synthetic  
352 ruptures following the approach of Hawthorne et al. (2018). The synthetic events have  
353 heterogeneous slip distributions and rupture bilaterally at velocities of 0.8 times the shear  
354 wave speed. We propagate seismic waves due to these ruptures through the iasp91 1-D  
355 velocity model (Kennett and Engdahl, 1991). We then compute cross-spectra and phase  
356 coherence for these synthetic ruptures. Figure 8 shows the falloff frequencies obtained  
357 from synthetic ruptures with a range of diameters. All of these synthetics use the set of  
358 stations available for one typical earthquake pair.

359 The falloff frequencies are roughly  $1.2 V_P/D$  for this station set, where  $V_P$  is  $8.04$   
360  $km s^{-1}$ , the wavespeed in the oceanic upper mantle, as well as for a few additional  
361 representative azimuthal distributions. Note that if we instead assume that stations are  
362 randomly distributed on the surface of a homogeneous half space,  $f_f$  is  $1.1 V_P/D$  (see  
363 Figures S14 - S17 in the electronic supplement to this article).

364 To understand why the prefactor is higher for our station set, imagine that an earth-  
365 quake contains two concurrent bursts of slip at either ends of its rupture extent. If those  
366 slip bursts are recorded at stations located at  $0$  and  $180^\circ$  azimuth from the rupture, we  
367 would see two peaks in the source time function, where each peak relates to the sig-



368 nal from a slip burst. The time between these peaks is equal to the travel time across  
369 the rupture. For stations perpendicular to the rupture, the time between these peaks is  
370 approximately zero (see Figure 3 and Figure S18 in the electronic supplement).

371 In the inter-station phase coherence method, we compute the coherence between sta-  
372 tion’s ASTFs. Stations that are closely spaced have similar arrival times of the slip  
373 burst peaks and roughly the same source time function. Stations that are widely spaced  
374 have different arrival times of the peaks and thus different source time functions. The  
375 maximum difference in arrival times of the peaks that these widely spaced stations can  
376 have is the travel time across the rupture. The inter-station coherence thus falls off at a  
377 frequency that scales with one over the travel time across the rupture.

378 With randomly distributed stations, we average the coherence between many widely  
379 and closely spaced station pairs and find that coherence falls off at a frequency of  $1.1$   
380  $V_P/D$ . But for our narrow azimuthal range of stations, we have lots of station pairs that  
381 are closely spaced. We find that the coherence falls to  $0.5$  at a frequency of  $1.2 V_P/D$ , on  
382 average. So we assume that the falloff frequency  $f_f$  is  $1.2 V_P/D$  when we interpret our  
383  $f_f$  in terms of earthquake diameter.

## 384 **Depth phases in our phase coherence time window**

385 In our coherence analysis, we use a 5-s time window focused on the P-arrival. But  
386 other phases, such as the depth phases pP and sP, also arrive in this time window. To  
387 assess how the depth phases could affect our coherence, let us consider an earthquake  $j$   
388 recorded at stations  $k$ , each with a P-arrival followed  $\Delta t_{jk}$  seconds later by a pP-arrival.

389 If the local earth structure is relatively simple, so that most complexity in the Green’s  
390 function arises well away from the source, the pP-phase can be approximated as a time-  
391 shifted version of the P-arrival, with the same source time function so that the seismogram  
392  $d_{jk}$  is (e.g., Letort et al., 2015)

$$d_{jk}(t) = (s_{jk}(t) + Y s_{jk}(t + \Delta t_{jk})) * g_k(t), \quad (7)$$

393 where  $Y$  is a real number that accounts for the reflection coefficient and amplitude of the  
 394 pP phase,  $s_{jk}$  is the ASTF, and  $g_k$  is the Green's function of the P-arrival.

395 When we compute the cross-spectra at a single station  $k$  (Equation 2) for a pair of  
 396 earthquakes ( $j = 1$  and  $j = 2$ ), we obtain

$$\hat{x}_k = |\hat{g}_k|^2 (\hat{s}_{1k}^* + Y \hat{s}_{1k}^* e^{-i\omega \Delta t_{1k}}) (\hat{s}_{2k} + Y \hat{s}_{2k} e^{i\omega \Delta t_{2k}}) \quad (8)$$

$$= |\hat{g}_k|^2 \hat{s}_{1k}^* \hat{s}_{2k} (1 + Y e^{-i\omega \Delta t_{1k}}) (1 + Y e^{i\omega \Delta t_{2k}}). \quad (9)$$

397 These calculations reveal that the pP-arrival does change the cross spectra; the two terms  
 398 in parentheses in Equation 9 represent a phase shift for each station resulting from the  
 399 pP-arrivals for each earthquake, which have different time shifts  $\Delta t_{1k}$  and  $\Delta t_{2k}$ .

400 In our  $C_p$  calculations, however, we are not interested in the phase of any individual  
 401  $\hat{x}_k$ , but in how the time shifts  $\Delta t_{jk}$  are likely to differ among stations. We compute  $\Delta t_{jk}$   
 402 using ray tracing and find it is roughly constant ( $< 0.01$  s) for both pP and sP at stations  
 403 in the 175 - 800 km distance range and for earthquake depths from 0.5 - 20 km (see Figure  
 404 S19 in the electronic supplement to this article). If  $\Delta t_{jk}$  is consistent across stations  $k$   
 405 for each event  $j$ , then the phase shift of the cross-spectra  $\hat{x}_k$  due to the depth phase  
 406 arrival will also be consistent across stations, and the phase coherence  $\hat{x}_k \hat{x}_l^*$  between two  
 407 stations  $k$  and  $l$  will be unchanged. Therefore we exclude stations within 175 km of each  
 408 earthquake before calculating coherence and stress drop, to keep coherence high even if  
 409 the analyzed time windows include secondary arrivals.

## 410 Final stress drop results

411 In the sections above, we examined how several factors could modify the phase co-  
 412 herence. We (1) assessed resolvable falloff frequencies given the uncertainty in our trace

413 alignment, (2) analyzed how coherence changes with inter-earthquake distance, (3) con-  
414 sidered the impact of focal mechanisms, (4) identified appropriate rupture diameter-falloff  
415 frequency calibration given the azimuthal distribution of stations, and (5) showed that  
416 depth phases are unlikely to influence the coherence in our case. We found that we can  
417 identify earthquake pairs with well-resolved coherence by considering only events within  
418 4 km of each other and by noting that falloff frequencies above 3.7 Hz are likely to be  
419 unresolvable. This 3.7-Hz resolution limit suggests that we cannot interpret  $M < 5.0$   
420 earthquakes due to their high falloff frequencies. After imposing these thresholds, we are  
421 left with 298 pairs created from 124 unique events, including 61  $M \geq 5.0$  earthquakes (see  
422 electronic supplement for full results: Figure S20, Table S3). Their falloff frequencies and  
423 stress drops are shown in Figure 9.

## 424 **Analyzing stress drops on the Blanco Fault**

### 425 **Average stress drop**

426 The median stress drop of the 61  $M \geq 5.0$  earthquakes with well-resolved coherence  
427 falloffs is 8 MPa. We compute the uncertainty on the median stress drop by bootstrapping  
428 the earthquakes included and by sampling the stress drop probability distributions for  
429 individual earthquakes, which we obtained earlier by bootstrapping the stations used  
430 (calculating the phase coherence section). For each bootstrap sample, we choose a random  
431 subset of the earthquakes with replacement, and recalculate the median using the stress  
432 drops picked from the individual earthquakes' probability distributions. We resample  
433 100,000 times and find 95% confidence limits of 6 and 12 MPa on the median stress drop.

434 The median stress drop for the Blanco Fault found here is higher than values found in  
435 some previous studies of oceanic transform faults. Boettcher and Jordan (2004) found  
436 values of 0.1 - 0.7 MPa for a global set of faults, and Moyer et al. (2018) found values  
437 of 0.03 - 2.7 MPa for the East Pacific Rise transform faults, but our median stress drop  
438 is similar to the  $6.03 \pm 0.68$  MPa median stress drop obtained in Allmann and Shearer

439 (2009)'s global study of oceanic transform faults. However, note that comparing absolute  
440 values of stress drops between studies can be prone to error, as different rupture models  
441 and analysis methods are used. The difficulty in comparing stress drops between studies  
442 means we can only suggest that the median stress drop for the Blanco fault appears to  
443 be within an order of magnitude of previous estimates for oceanic transform faults.

444 In comparing our stress drops with previous results, we also note that the stress drops  
445 we calculate here are lower bounds on the true stress drops, because the falloff frequencies  
446 are lower bounds on the true falloff frequency. Some of these falloff frequencies may be  
447 lower than their true values because of poor trace alignment, or variable Green's functions.

448 On the other hand, our data limit our ability to examine low stress drop earthquakes.  
449 Low frequency noise in the dataset means we cannot identify falloff frequencies below  
450 1 Hz. Indeed, we exclude such earthquakes from our analysis with our initial cross-  
451 correlation threshold. The exclusion of low falloff frequency and thus low stress drop  
452 earthquakes from our analysis causes us to overestimate the median stress drop.

453 Note that we include all earthquake pairs in our analysis and do not throw out any  
454 earthquake pairs with a small difference in magnitude between them. Tests with synthetic  
455 ruptures (see Figure S13 in the electronic supplement to this article) indicate that the  
456 falloff frequency is independent of the relative earthquakes' sizes, so long as the ruptures  
457 have heterogeneous and different slip distributions. However, any repeating earthquakes  
458 with similar slip distributions in the catalog will be assigned inappropriately high falloff  
459 frequencies and stress drops with our approach, as such earthquakes could have high  
460 coherence at frequencies above the true falloff frequency (Nadeau and Johnson, 1998;  
461 Dreger et al., 2007). To check for such a bias, we tried excluding pairs with only 0.1  
462 or 0.2 magnitude unit differences, but the median stress drop and stress drop patterns  
463 remain unchanged (see Table S4 in the electronic supplement).

464 The effects of alignment uncertainty and low frequency noise create a narrow resolution  
465 band of falloff frequencies between 1 and 4 Hz. This range of allowed falloff frequencies

466 creates a small apparent increase in stress drop with magnitude in Figure 9. But since  
467 that increase is not robust, we do not discuss it further. Most previous studies have  
468 found magnitude-independent stress drops (e.g., Abercrombie, 1995; Mori et al., 2003;  
469 Shearer et al., 2006; Chen and Shearer, 2011; Uchide et al., 2014; Chen and McGuire,  
470 2016; Abercrombie et al., 2017).

## 471 **Spatial variation of stress drops**

472 We also examine how stress drops vary with location along the fault. As noted in the  
473 Blanco Fault section, Dziak et al. (1991) and Braunmiller and Nábělek (2008) found that  
474 the seismic moment release varied along the Blanco Fault, with the northwest segment  
475 (west of the Cascadia Depression - see Figure 10) and southeast segment accommodating  
476 3.8% and 14.1% of moment in earthquakes, respectively. We separate the fault into these  
477 two segments and calculate median stress drops of the  $M \geq 5.0$  earthquakes on each  
478 segment. The 30  $M \geq 5.0$  events on the NW segment have a median stress drop of 6  
479 MPa (with bootstrap-based uncertainties of 4 to 11 MPa), and the 31 events on the SE  
480 segment have a median of 11 MPa (6 to 22 MPa). The two best-fitting median stress  
481 drops imply that stress drops on the SE segment are higher by a factor of 1.7, though  
482 the 95% confidence intervals allow factors between 0.8 and 3.5.

483 When interpreting the stress drop ratios, it is important to note that the median stress  
484 drops represent averages of individual stress drops that are highly scattered (Figure 10a).  
485 Some of the scatter in individual stress drops is likely real inter-earthquake variation  
486 which is sampled by our bootstrap-based uncertainty estimate. But some of the scatter  
487 is likely an artifact of the analysis method. Our uncertainty estimates account for some  
488 of that scatter; we account for noise and station distribution when we create probability  
489 distributions for individual earthquakes by bootstrapping the stations included in the  
490 analysis. However, there are two sources of bias that we do not account for in our  
491 uncertainty estimates. As noted in the last section, our stress drops could be biased low  
492 by poor trace alignment or inappropriate empirical Green's functions but the median

493 stress drops could be biased high because we are unable to analyze earthquakes with  $f_f$   
494 below 1 Hz. We do find a similar stress drop ratio of 2.1 (with 95% confidence limits of 0.8  
495 and 4.7) using seismograms that passed a cross correlation coefficient threshold of 0.8 as  
496 discussed in an earlier section. Those similar ratios suggest that the trace alignment and  
497 location scatter are not significantly affecting our results. But we would still urge caution  
498 in interpreting the factor of difference we find in this study, due to its high uncertainty.

499 Despite the uncertainty on our stress drop estimates, it is interesting to note that  
500 Moyer et al. (2018) identified a similar spatial variation in stress drops for East Pacific  
501 Rise transform faults, where stress drops were a factor of 2 larger in higher seismic  
502 moment release areas. They explained their results using the model of Hardebeck and  
503 Loveless (2018), where creeping faults had reduced strength and therefore lower stress  
504 drops. Another possible explanation for higher stress drops occurring on more seismic  
505 segments is that the lower stress drops on the NW segment could arise due to reduced  
506 fault healing, related to a shorter seismic cycle and thinner seismogenic zone. Byrnes  
507 et al. (2017) identified a negative shear wave velocity anomaly below the NW segment of  
508 the Blanco Fault and a positive anomaly beneath the SE segment, which could indicate  
509 mantle upwelling beneath the NW segment. The suggested mantle upwelling under the  
510 NW segment could lead to a smaller seismogenic zone, and therefore a shallower transition  
511 to velocity-strengthening behavior under the NW segment.

512 The transition to velocity-strengthening frictional sliding and thus to aseismic creep  
513 is thought to be temperature dependent, occurring at 500 - 600 °C on transform faults  
514 (Abercrombie and Ekström, 2001; Boettcher et al., 2007; He et al., 2007; Braunmiller  
515 and Nábělek, 2008). If the temperature of the NW segment is higher due to increased  
516 heat flow, aseismic creep will occur at a shallower depth within the fault zone, and the  
517 seismogenic zone will be smaller. A smaller seismogenic zone can be loaded more quickly  
518 by aseismic slip at depth, and thus is more likely to have a shorter earthquake cycle.  
519 The shorter seismic cycle of asperities would allow less time for the fault to heal (Marone  
520 et al., 1995; Niemeijer and Spiers, 2006; Hauksson, 2015), and thus reduce its ability to

521 accommodate high stresses. The limited fault strength may allow only lower stress drops  
522 on the more aseismic NW segment.

## 523 **Conclusions**

524 We have demonstrated the applicability of the phase coherence method (Hawthorne  
525 et al., 2018) to obtain stress drops for  $M \geq 5.0$  earthquakes on the Blanco Fault. We  
526 considered how the coherence estimates are affected by various factors, including in-  
527 correct trace alignment, differences in earthquake Green’s functions, differences in focal  
528 mechanisms, a limited range of station azimuths, and depth phases in our analysis time  
529 window. To account for these factors, we first identified the range of falloff frequencies  
530 that are resolvable given our alignment uncertainty. We found empirically that differ-  
531 ences in Green’s functions are minimal for earthquakes within 4 km. We noted that focal  
532 mechanisms are unlikely to vary in our data set, and calibrated our rupture diameter  
533 estimates to falloff frequency given the azimuthal distribution of stations we have for our  
534 events. Finally, we showed that depth phases are unlikely to influence the coherence for  
535 oceanic earthquakes observed at distances of several degrees.

536 Within these constraints, we were able to estimate stress drops of 61  $M \geq 5.0$  earth-  
537 quakes on the Blanco Fault. Future comparisons of these or other coherence-based stress  
538 drops with stress drops derived from spectral amplitude analysis may provide insight  
539 into earthquake rupture dynamics and allow us to constrain more earthquake properties,  
540 as the various techniques have different sensitivities to the rupture properties and local  
541 wavespeeds.

542 We found a median stress drop of 8 MPa (with 95% confidence limits of 6 to 12 MPa)  
543 for the 61  $M \geq 5.0$  earthquakes on the Blanco Fault with well-resolved coherence falloffs.  
544 This median is similar to or higher than other estimates on oceanic transform faults  
545 (Boettcher and Jordan, 2004; Allmann and Shearer, 2009; Moyer et al., 2018). The  
546 median stress drop is a factor of 1.7 (0.8 to 3.5) higher on the more seismically active

547 southeast segment of the Blanco Fault. This factor of difference should be carefully  
548 considered due to the scatter of individual stress drop, and the large uncertainty in the  
549 factor itself. Nevertheless, we note that one possible explanation for the lower stress  
550 drops on the more aseismic segment, which were also observed on the East Pacific Rise  
551 (Moyer et al., 2018), is that the more aseismic segment has higher temperatures, which  
552 lead to a shallower seismogenic zone, a shortened seismic cycle, less time for healing and  
553 thus less potential for large strength and stress drop in the earthquakes.

## 554 **Data and Resources**

555 Waveform data, metadata, or data products for this study were accessed through the  
556 Northern California Earthquake Data Center (NCEDC), doi:10.7932/NCEDC. Data used  
557 in this research were provided by instruments from the Ocean Bottom Seismograph Instru-  
558 ment Pool (<http://www.obsip.org>) which is funded by the National Science Foundation.  
559 OBSIP data are archived at the IRIS Data Management Center (<http://www.iris.edu>).  
560 The facilities of IRIS Data Services, and specifically the IRIS Data Management Center,  
561 were used for access to waveforms, related metadata, and/or derived products used in this  
562 study. IRIS Data Services are funded through the Seismological Facilities for the Advance-  
563 ment of Geoscience and EarthScope (SAGE) Proposal of the National Science Foundation  
564 under Cooperative Agreement EAR-1261681. Waveform data and station metadata for  
565 this study were accessed through the Canadian National Data Center (CNDC). Data  
566 were processed using Obspy (Beyreuther et al., 2010).

567 We used data from a number of different seismic networks. These networks include: 5E,  
568 7A, 7D, X9 - Cascadia Initiative (Toomey et al., 2014); BK - Berkeley doi: 10.7932/BDSN;  
569 CC - Cascade Chain doi: 10.7914/SN/CC; CN - Canadian National doi: 10.7914/SN/CN;  
570 HW - Hanford Washington doi: n/a; LI - LIGO experiment doi: 10.7914/SN/LI; NC -  
571 North California doi: 10.7914/SN/NC; NN - Nevada network doi: 10.7914/SN/NN; NV  
572 - Neptune Canada doi: n/a; OO - Ocean Observatories Initiative doi: 10.7914/SN/OO;  
573 PB - Plate Boundary Borehole doi: n/a; PN - Princeton - Indiana PEPP doi: n/a; PO



574 - POLARIS doi:n/a; TA - U. S. Array doi: 10.7914/SN/TA; UO - University of Oregon  
575 doi: n/a; US - USGS national network doi: 10.7914/SN/US; UW - Pacific Northwest  
576 doi: 10.7914/SN/UW; WR - California Water Resources doi: n/a; X1 - Testing Ocean  
577 Bottom Seismometer doi: n/a; X4 - Active Fault Mapping doi: 10.7914/SN/X4.2016;  
578 XA, XN, ZH - Monitoring asperity on Cascadia megathrust doi: 10.7914/SN/XA.2008,  
579 doi: 10.7914/SN/XN\_2010, doi: 10.7914/SN/ZH.2011; XD - Mt. St. Helens architecture  
580 doi: 10.7914/SN/XD\_2014; XG - Cascadia Array of Arrays doi: 10.7914/SN/XG.2009;  
581 XH - Cascadia Tremor doi: 10.7914/SN/XH.2004; XT - Western Idaho Shear Zone doi:  
582 10.7914/SN/XT\_2011; XU - Earthscope Cascadia project doi: 10.7914/SN/XU.2006; Y3  
583 - Wells, Nevada aftershocks doi: 10.7914/SN/Y3.2008; YG, ZZ - Imaging Cascadian  
584 subduction doi: 10.7914/SN/YG.2012, doi: 10.7914/SN/ZZ.2012; YW - Berkeley Cas-  
585 cadian Tremor doi: 10.7914/SN/YW.2007; Z3 - Structure during an ETS event doi:  
586 10.7914/SN/Z3.2009; Z5 - Gorda structure doi: 10.7914/SN/Z5.2013; ZK - Debris flume  
587 experiments doi: 10.7914/SN/ZK.2016; and ZU - Glacier quakes on Mt Rainier doi:  
588 10.7914/SN/ZU.2011.

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## 747 Mailing Addresses

748

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## 757 List of figure captions

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759 along profile marker in (c). (b): Position of study area relative to North America. (c):  
760 Earthquakes and tectonic structure of the Blanco Fault. The fault trace is marked in  
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762 section of the transform fault (BLR) labeled. The white and black dashed line indicates  
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764 Nábělek (2008). Bathymetry was obtained from ETOPO1 (Amante and Eakins, 2009),  
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766 Figure 2: Stations used in our analysis. Blue symbols indicate stations that were  
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768 networks” are networks from which we used fewer than 16 stations. Some networks have  
769 been grouped for plotting purposes. US states are indicated by the two letter codes. The  
770 earthquake catalog from Figure 1 is plotted as translucent circles.

771 Figure 3: (a): Conceptual diagram of apparent source time function variation by sta-  
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778  $\frac{1}{2}D/V_P$ , where  $V_P$  is the P-wave velocity. (b): A hypothetical phase coherence spectrum



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786 similar in the 1 - 3 Hz band, with the falloff frequency identified from the phase coherence  
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## 837 Figures

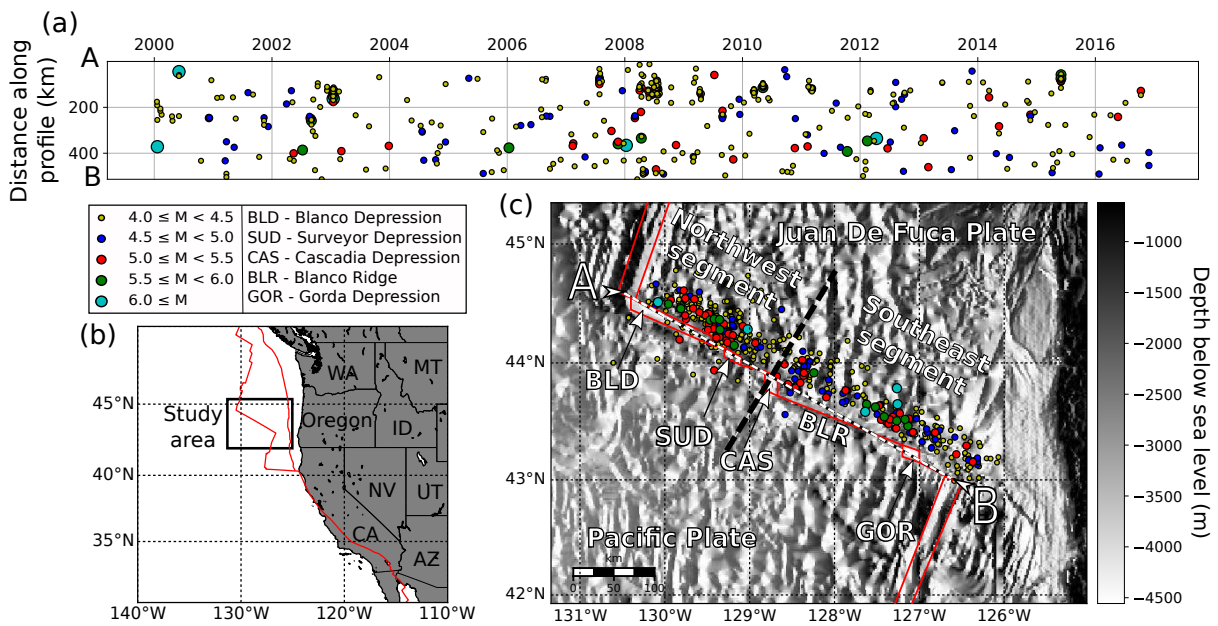


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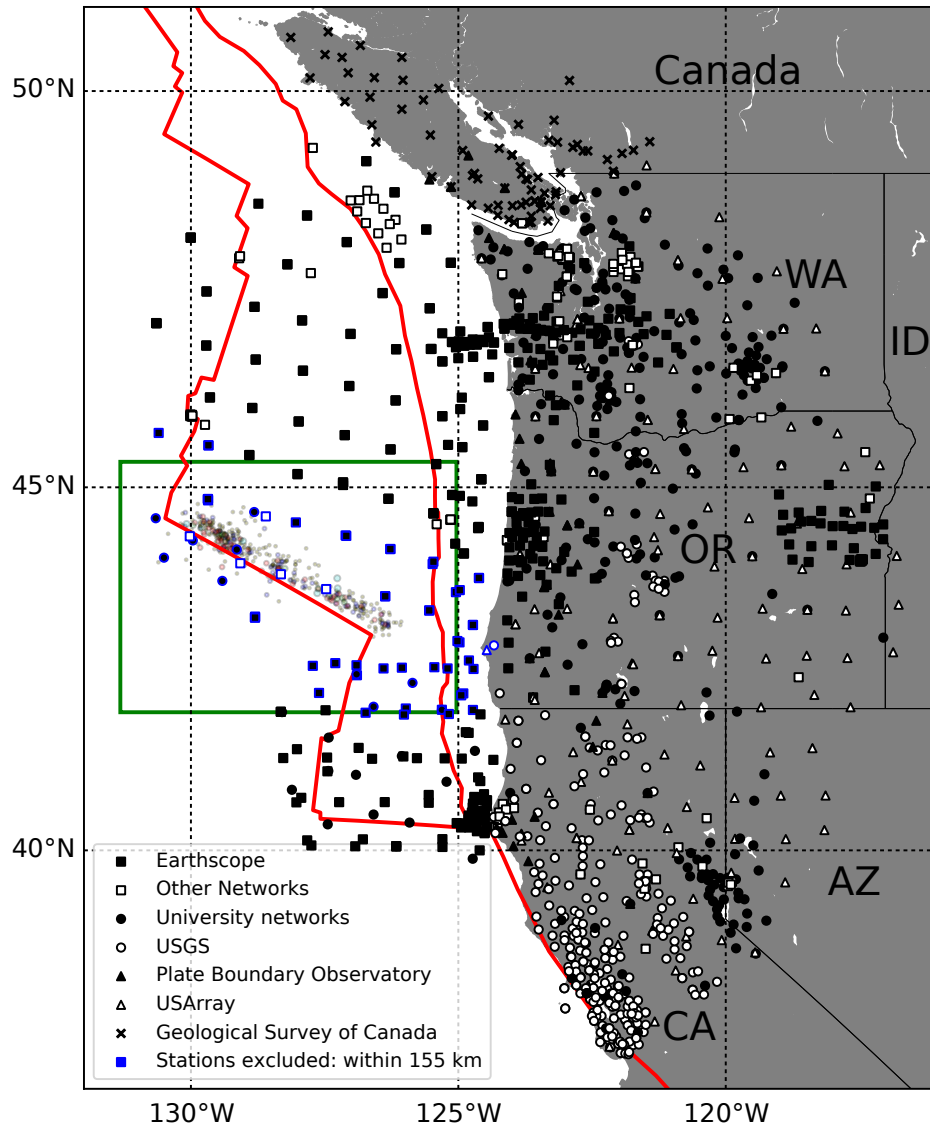


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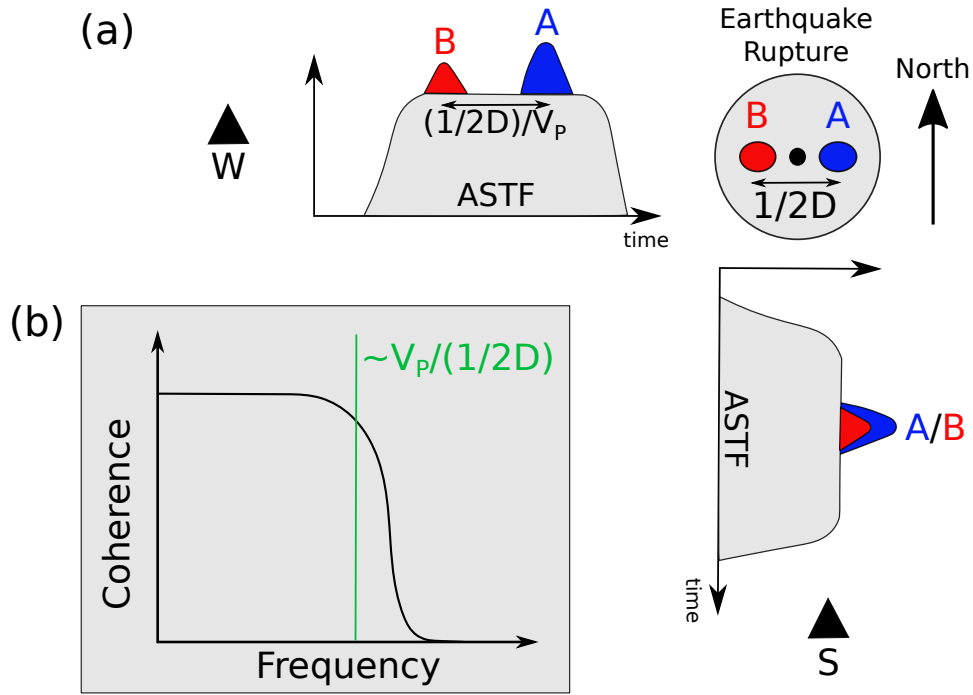


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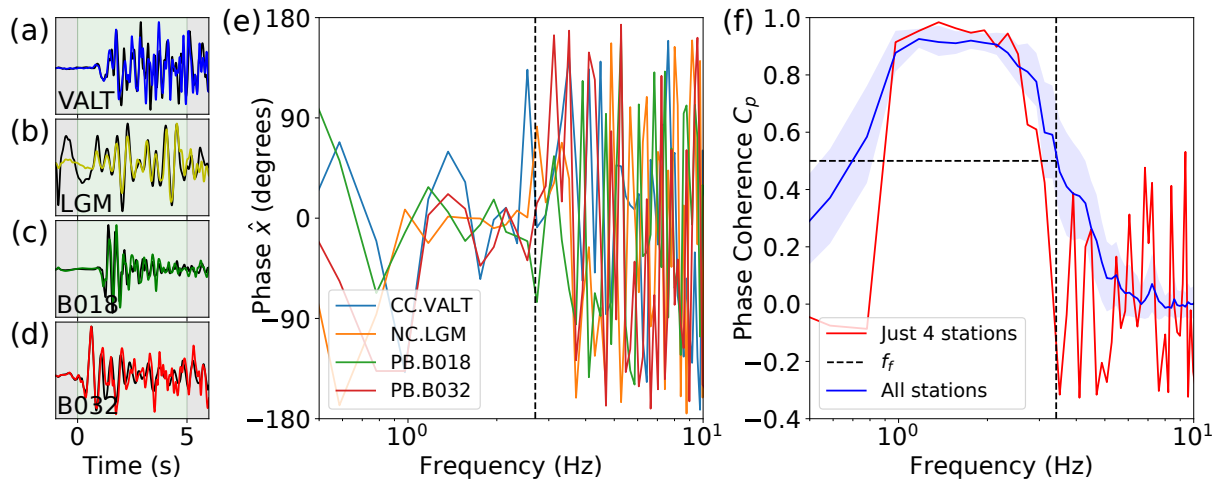


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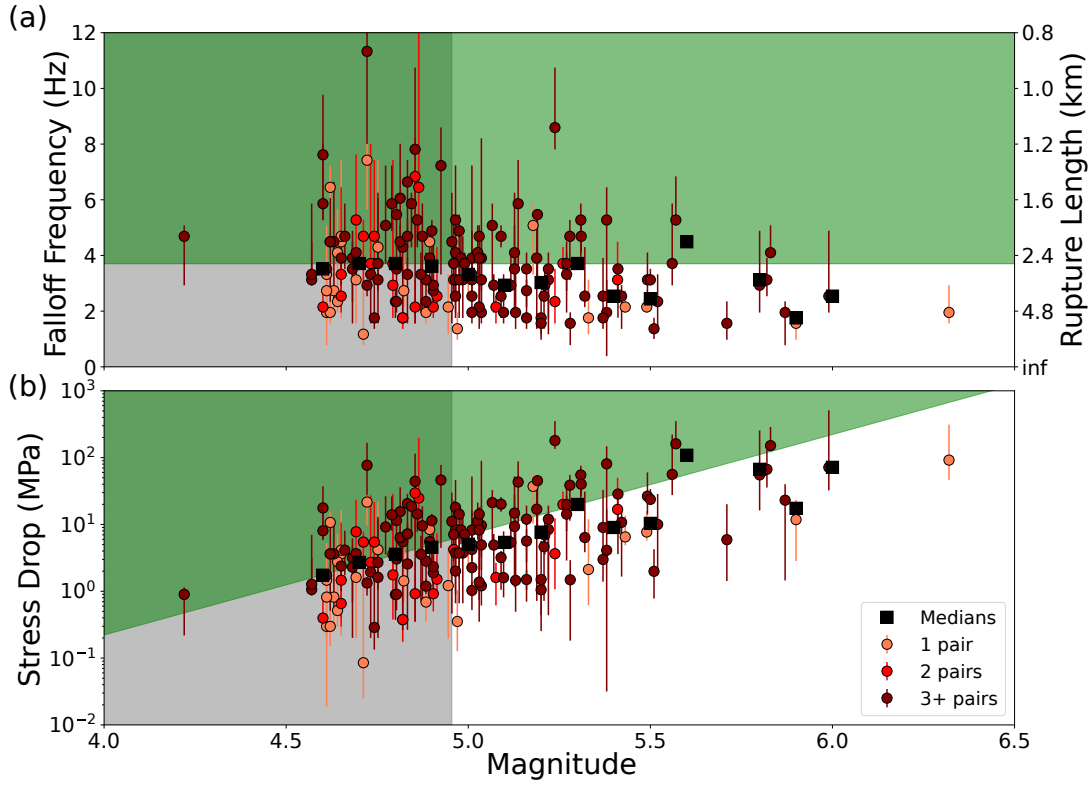


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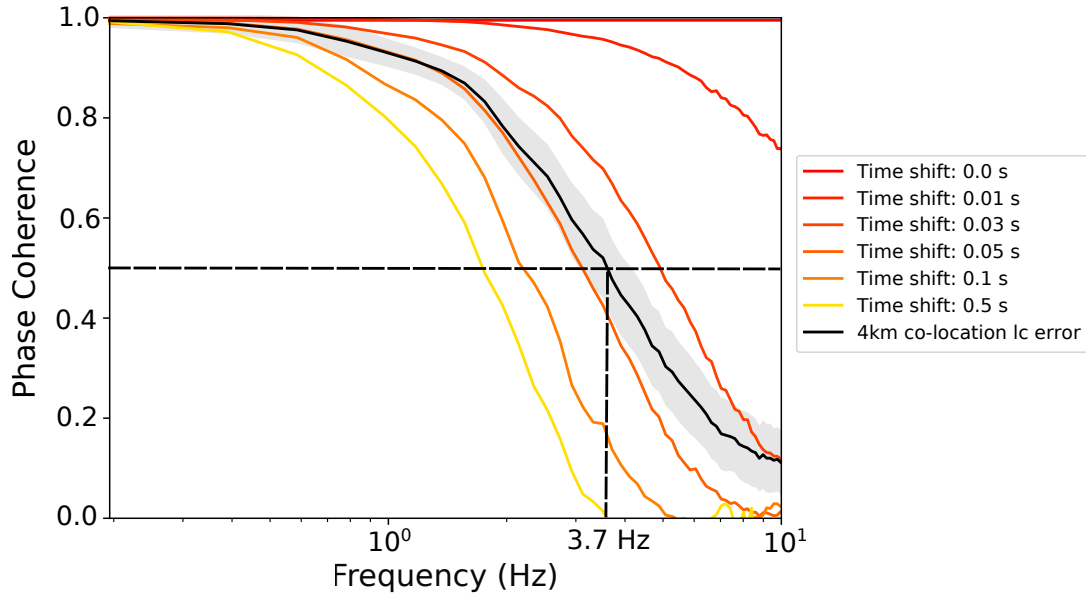


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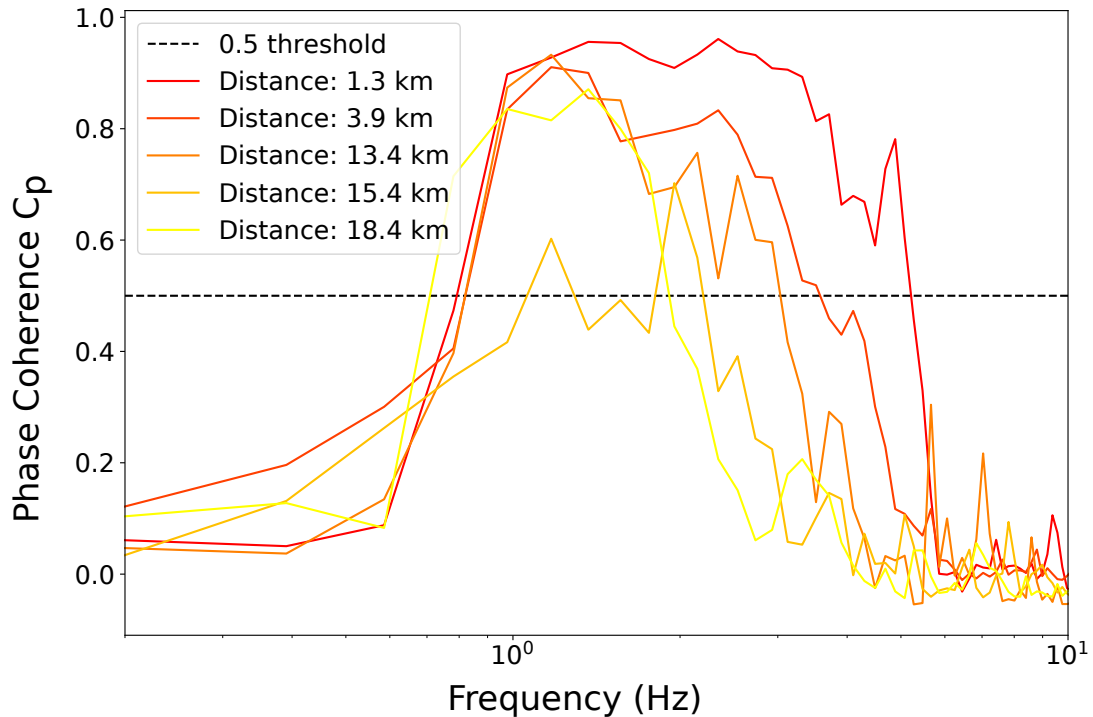


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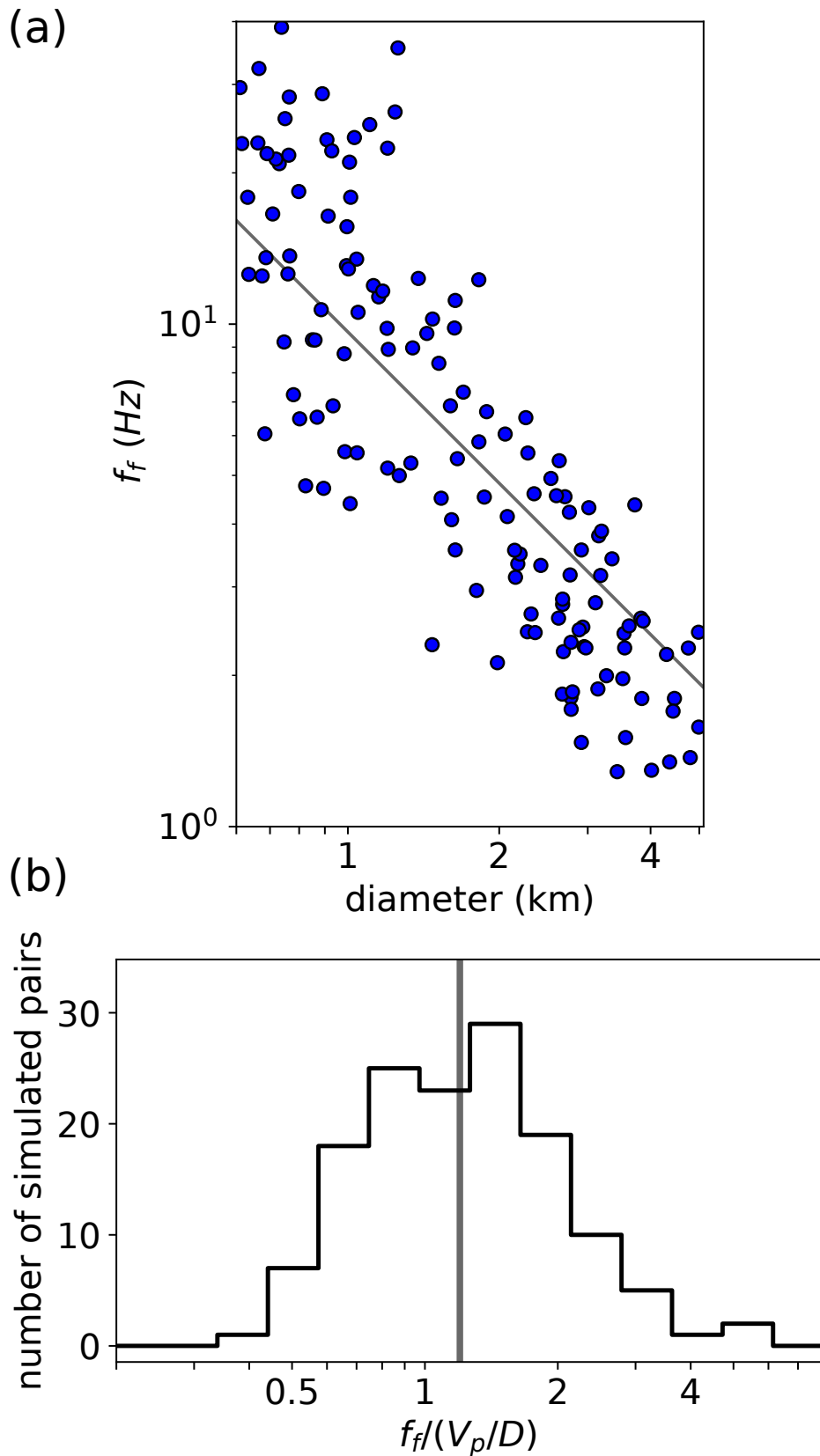


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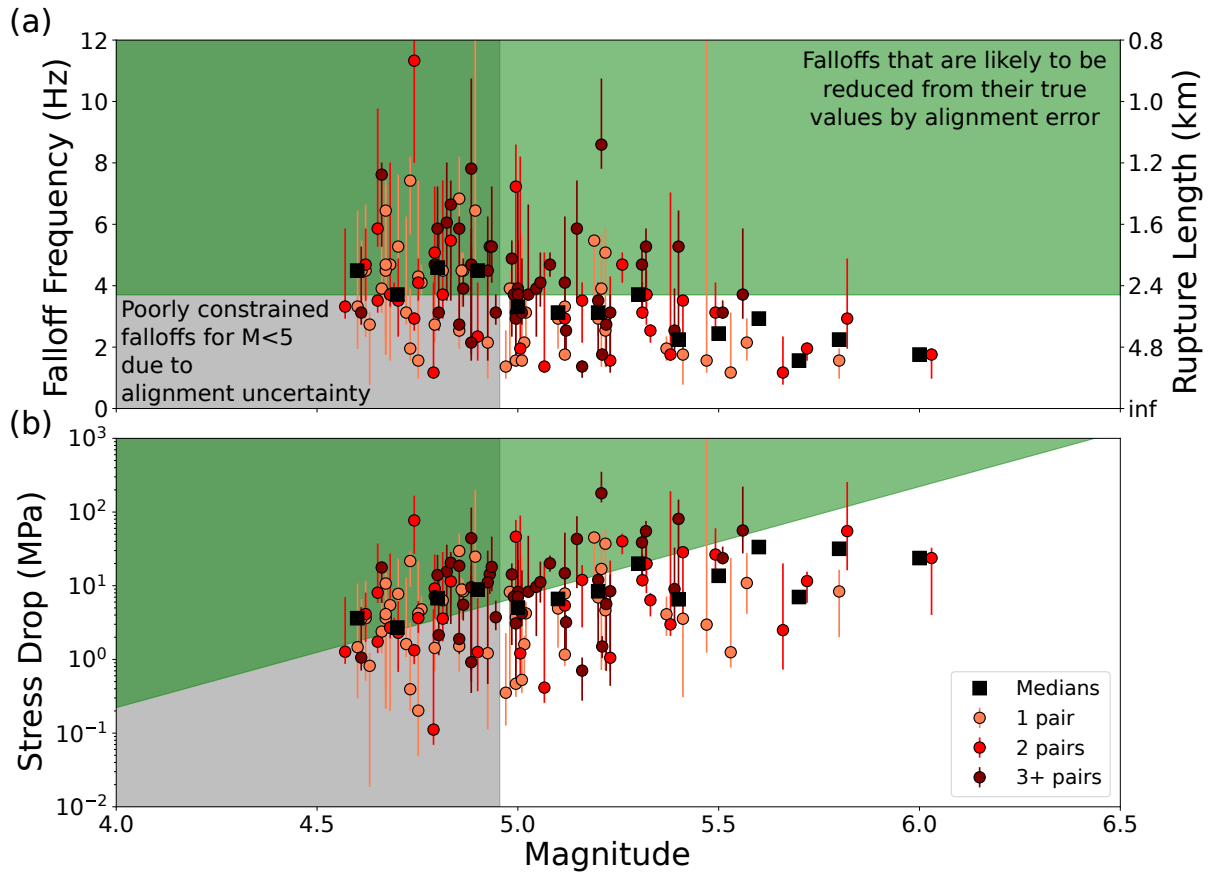


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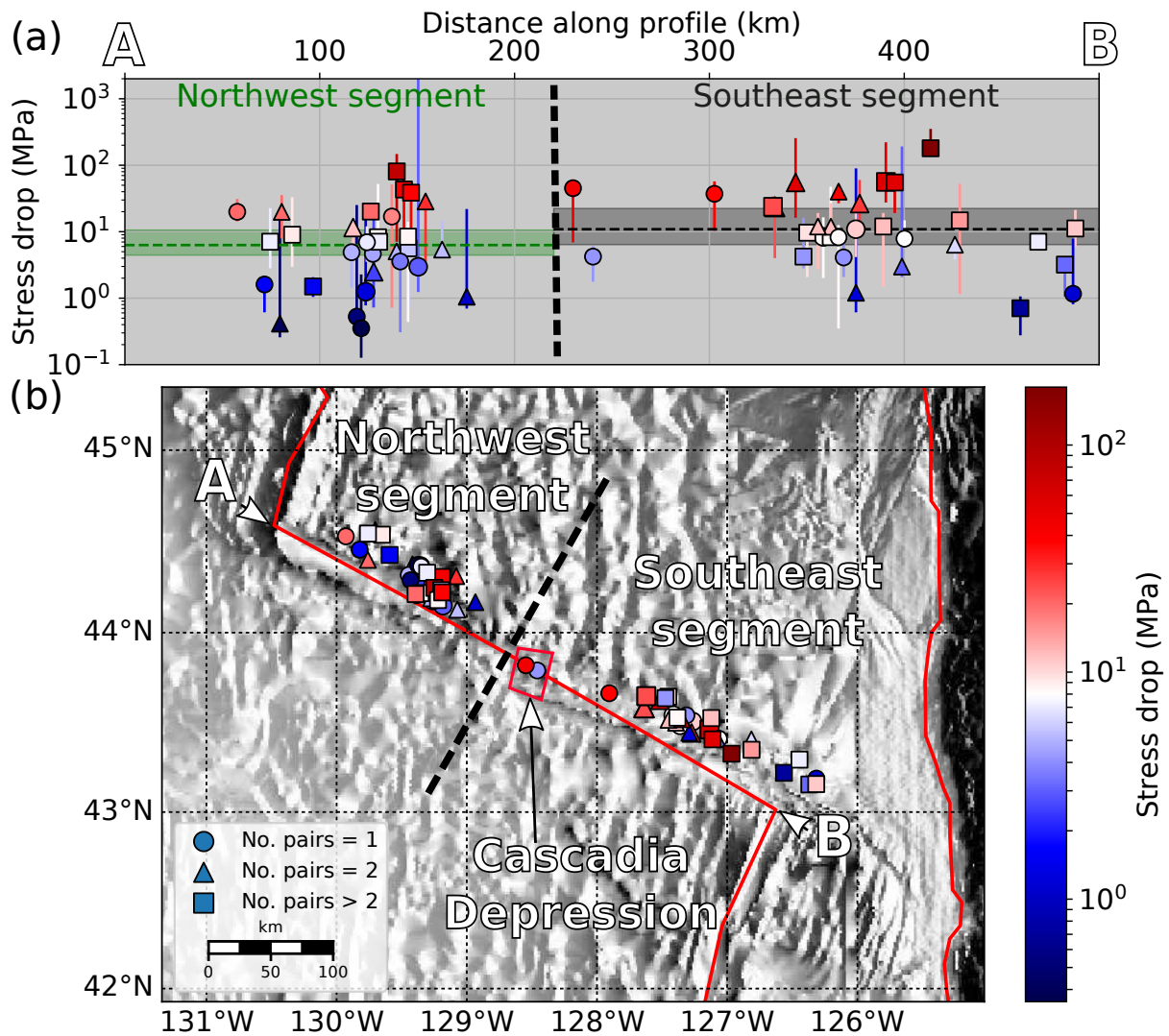


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