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#### 24 Abstract

25 This study aimed to design whey protein nanogel particles (WPN)-stabilized Pickering emulsion as a delivery vehicle for curcumin (CUR). Firstly, the effectiveness of WPN to 26 27 stabilize medium chain triglyceride (MCT) oil was assessed using droplet sizing, microscopy 28 across scales, surface coverage calculations and interfacial viscosity measurements. Then, the 29 ability of this delivery vehicle to encapsulate CUR and the effects of pH and ionic strengths on 30 the retention of CUR were investigated in an in vitro release model at 37 °C. Results 31 demonstrate that 1.0 wt% WPN was sufficient to create a monolayer of particles at the droplet 32 surface resulting in ultra-stable droplets that were resistant to coalescence over a year. Addition 33 of 500 µg/mL of CUR did not result in any change in the droplet size of the Pickering emulsion 34 droplets. The CUR was fully retained within the Pickering emulsions, which might be 35 attributed to the nanometric size of the gaps ( $\cong$ 30 nm) at the interface that did not allow CUR 36 to diffuse out into the release media. The partitioning of CUR to the dispersed phase was influenced by pH of the media. Increased binding affinities between CUR and WPN at the 37 38 interface (binding affinity constant,  $K_a=1 \times 10^4 \text{ M}^{-1}$ ) existed at pH 3.0 as compared to that at pH 7.0 (K<sub>a</sub>= $6.67 \times 10^1$  M<sup>-1</sup>) owing to the electrostatic interactions between CUR and interfacial 39 40 WPN in the former. Such binding affinities between CUR and interfacial WPN at pH 7.0 was 41 further influenced by presence of ions.

42

43 Keywords

44 Curcumin; Pickering emulsion; nanogel; retention; whey protein; electrostatic interaction45

46 Abbreviations:

WPN: whey protein nanogel particles; CUR: curcumin; E<sub>WPN</sub>: Pickering emulsions stabilized
by WPN, CURE<sub>WPN</sub>: curcumin-loaded Pickering emulsions stabilized by WPN.

49

#### 1. Introduction

50 Over more than a century ago, in 1904, Walter Ramsden first mentioned that "solid matter has the power of forming persistent emulsions" (Ramsden, 1904). After three years, Pickering 51 52 published an extensive experimental study on particle-stabilized emulsions for plant spray 53 applications, from which the term "Pickering emulsions" was coined (Pickering, 1907). In an 54 oil-in-water Pickering emulsion, particles are irreversibly adsorbed at the oil-in-water interface 55 due to their high energy of adsorption (thousands of kT/particle). Irreversible adsorption of 56 micro- or nano particles to the oil-water interface provides ultra-stability against coalescence 57 and Ostwald ripening (Dickinson, 2012).

In recent years, there has been an upsurge of research efforts in designing Pickering emulsions to overcome stability issues in conventional emulsions (Araiza-Calahorra et al., 2018; Gupta & Rousseau, 2012; McClements., 2012). Moreover, in the research domain of delivery of bioactive lipophilic molecules, such as curcumin, Pickering emulsion stabilized by food-grade laboratory-synthesized particles have been recently recognized as promising templates (Araiza-Calahorra et al., 2018).

64 Curcumin (CUR), the main curcuminoid present in the Curcuma longa plant, has been used in traditional medicine for many centuries in Asian countries (Goel, Kunnumakkara, & 65 66 Aggarwal, 2008). More recently, its potent free-radical scavenging activity has been reported 67 to play an important role on its multiple health-promoting properties such as anti-inflammatory, 68 anticarcinogenic, antidiabetic, anti-aging and antioxidant activities (Wilken, Veena, Wang, & 69 Srivatsan, 2011). The free-radical quenching properties of CUR is attributed to its chemical 70 structure. The structure consists of two aromatic rings connected by seven carbons that hold 71 two  $\alpha,\beta$ -unsaturated carbonyl groups (Payton, Sandusky, & Alworth, 2007). However, the main 72 drawbacks for the industrial applications of CUR as a nutraceutical or pharmaceutical

reduces its bioavailability when orally administrated (Araiza-Calahorra et al., 2018).

75 Recently, Pickering emulsions have been used to encapsulate CUR as the formation of a 76 mechanical barrier by the particles can protect the encapsulated CUR against pro-oxidants and 77 thus potentially enhance the physical and chemical stability of these bioactive compounds 78 (Wang et al., 2015). For example, Pickering stabilizers that have been used in literature 79 specifically for encapsulating CUR include silica (Tikekar, Pan, & Nitin, 2013), chitosan-80 tripolyphosphate nanoparticles (CS/TPP NPs) (Shah et al., 2016a,b), zein-tannic acid complex 81 colloidal particles (Zou, Guo, Yin, Wang, & Yang, 2015), starch granules (Marefati, Bertrand, 82 Sjöö, Dejmek, & Rayner, 2017), karifin (Xiao, Li, & Huang, 2015a) and gliadin particles (Zhou 83 et al., 2018). Particularly, CUR-loaded Pickering emulsions stabilized by biocompatible sub-84 micron sized CS/TPP NPs, ranging from 322 to 814 nm size have been recently demonstrated 85 to be stable for 30 days (25 °C) with a CUR half-life degradation (50 wt%) of 120 hours (22 °C, in the dark) (Shah et al., 2016b). In another example of Pickering stabilizers used for 86 87 encapsulating CUR, hydrophobically modified starch granule-stabilized Pickering emulsions 88 were developed to assess the stability and loss of encapsulated CUR (Marefati et al., 2017). 89 When samples were not subjected to a heat treatment, release of encapsulated CUR was higher 90 during storage as compared to that of heat-treated emulsions. Authors suggested that the large 91 particles size of starch granules ( $d_{43}$  of granules ~ 1.8  $\mu$ m) allowed rather faster release of the 92 CUR through the gaps at the oil-water interface between these micron-sized starch granules. 93 On the contrary, heat-treated emulsions presented a rather fused barrier of partially gelatinized 94 starch granules at the interface, most likely closing those interfacial gaps, which retained the 95 encapsulated CUR more efficiently. An alternative approach to reduce the size of the interfacial 96 gaps might be to reduce the size of the Pickering stabilizers to a nanometric size. Up until now, 97 use of Pickering emulsions for delivering CUR is a relatively new field, and literature on the
98 influence of interfacial properties on CUR retention is relatively scarce till date.

99 Besides polysaccharide-based particles, protein-based particles as Pickering stabilizers 100 have attracted significant research attention as a potential formulation approach to develop 101 functional food materials (Dickinson, 2012, 2017; Sağlam, Venema, van der Linden, & de 102 Vries, 2014; Sarkar, Zhang, Holmes, & Ettelaie, 2019). Particularly, in case of protein, two 103 classes of particles have been used by previous researchers, namely 'nanoparticles' and 'gel 104 particles'. The protein-based nanoparticles are prepared by delicately balancing the attractive 105 and repulsive forces of proteins. During such nanoparticle formation, change in pH, ions or 106 solvent addition cause unfolding of dilute solutions of protein and exposure of functional 107 groups (Liu, Ou, & Tang, 2017; Peinado, Lesmes, Andrés, & McClements, 2010; Xiao et al., 108 2015b). Subsequent thermal or chemical crosslinking leads to the formation of cross-linked 109 nanoparticles. The most common preparation methods for protein nanoparticles are 110 coacervation, solvent extraction, electrospray etc. (Jain, Singh, Arya, Kundu, & Kapoor, 2018; 111 Verma, Gulati, Kaul, Mukherjee, & Nagaich, 2018).

112 On the other hand, protein-based gel particles are new entrants to the food-based particle 113 library, these can be either microgels (micron-sized) or nanogels or (nanometric-sized) 114 (Matsumiya & Murray, 2016; Sarkar et al., 2018a; Sarkar et al., 2016). These protein microgels 115 or nanogels are soft colloidal particles that are produced by using a top-down technique of 116 forming a physically cross-linked heat-set hydrogel prepared by using highly concentrated 117 protein solutions, followed by breaking them down to gel particles under high shear forces. A 118 combination of steric and electrostatic repulsions confer good colloidal stability to these 119 particles in aqueous dispersions (Dickinson, 2017). Although protein microgels has been 120 previously reported in the literature as Pickering stabilizers, controlled shearing to create 121 protein nanogels and making Pickering emulsions with the latter has been rare until recently

122 (Sarkar et al., 2018a). In particular, to our knowledge, this is the first study that reports the
123 encapsulation and stability of bioactive compounds in emulsions stabilized by whey protein
124 nanogel particles.

125 Therefore, in the present work, we aimed to design oil-in-water Pickering emulsions 126 stabilized by nanometric-sized gel protein particle as a new encapsulation system for CUR. We 127 have created protein-based nanogel particles, hereafter named as whey protein nanogel 128 particles (WPN) with a mean hydrodynamic diameter of < 100 nm size. It was hypothesized 129 that due to the formation of a closely packed mechanical barrier and reduced interstitial gap 130 size, WPN-stabilized Pickering emulsion can serve as an effective template for allowing better 131 retention of CUR within the emulsion system. The ability of the Pickering emulsions on 132 preserving CUR was evaluated at different pH and ionic strengths. To our knowledge, this is the 133 first study that has employed WPN-stabilized Pickering emulsion to encapsulate CUR and 134 investigated the mechanisms behind pH/ ion-induced changes in CUR retention and advances 135 the current state-of-the art on Pickering emulsion delivery vehicles for CUR. Although CUR 136 is used in this study as a model lipophilic compound, the knowledge from this fundamental 137 study can be used for rational designing of nanogel-stabilized oil-in-water Pickering emulsions 138 for encapsulation of any lipophilic bioactive compound.

139

140

# 2. Materials and methods

141 2.1. Materials

Whey protein isolate (WPI) with ≥ 90% protein content was gifted from Fonterra Co-operative Group Limited (Auckland, New Zealand). Curcumin, CUR (≥ 65% purity), methanol, sodium chloride, sodium hydroxide, sodium phosphate monobasic monohydrate, sodium phosphate dibasic anhydrous and hydrogen chloride were purchased from Thermo Fisher Scientific, Loughborough, UK. Heptane, acetic acid, sodium acetate, and calcium chloride were 147 purchased from Sigma-Aldrich, Dorset, UK. The lipid phase consisted of medium-chain 148 triglyceride (MCT-oil) Miglyol<sup>®</sup> 812 with a density of 945 kg m<sup>3</sup> at 20 °C (Cremer Oleo GmbH 149 & Co, Germany). Dialysis membranes of molecular weight cut off 3,500 Da were purchased 150 from Thermo Scientific, Paisley, UK. All reagents were of analytical grade and used without 151 further purification unless otherwise reported. All solutions were prepared with Milli-Q water 152 with a resistivity of 18.2 M $\Omega$  cm at 25 °C (Milli-Q apparatus, Millipore, Bedford, UK). Sodium 153 azide (0.02 wt %) was added as a preservative.

154

155 2.2. Preparation of whey protein nanogel particles

156 The nanogel particles were created based on modification of a previous top-down technique 157 (Sarkar et al., 2018a; Sarkar et al., 2016). The WPI powder was dissolved in 10 mM phosphate 158 buffer at pH 7.0 for 2 hours to prepare whey protein solution (10 wt%). The WPI solution was 159 heated in a temperature-controlled water bath at 90 °C for 30 min to form a heat-set gel 160 (quiescent), followed by cooling down for 15 min and storage at 4 °C overnight to form heat-161 set hydrogels. Obtained WPI gels were pre-homogenized with buffer (5 wt%) using a hand 162 blender (HB724, Kenwood) for 1 minute. The resulting 5 wt% whey protein gel was passed 163 two times through a high-pressure two-chamber homogenizer Jet homogenizer (University of 164 Leeds, UK) at 300 bars. The resulting whey protein nanogel particles (WPN) were diluted with 165 buffer and used as the continuous phase for the emulsion preparation. Emulsions were prepared 166 in triplicate.

167

168 2.3. Preparation of whey protein nanogel-stabilized emulsions (E<sub>WPN</sub>), CUR-loaded

169 emulsions (CURE<sub>WPN</sub>) and whey protein isolate-stabilized emulsions (E<sub>WPI</sub>)

170 Pickering emulsions (E<sub>WPN</sub>) were prepared using fixed MCT oil concentration (20 wt%) and

171 WPN of varying concentrations (0.1 - 3.0 wt %). The emulsifier concentration was changed

by diluting the aqueous dispersion of WPN (5 wt% protein) with phosphate buffer (pH 7.0) to

173 get the desired protein content in the final emulsion. Briefly, coarse WPN-stabilized emulsions 174 (20:80 w/w) were prepared using Ultra Turrax T25 homogenizer (IKA-Werke GmbH & Co., Staufen Germany) at 13, 500 rpm for 1 min. Following this, the coarse emulsions were 175 176 homogenized using the Leeds Jet homogenizer at 300 bars using two passes to prepare fine 177 E<sub>WPN</sub> droplets. In case of CUR-loaded emulsions (CURE<sub>WPN</sub>), CUR was added to the MCT-178 oil phase at 500 µg/mL and stirred at 200 rpm for 30 min at 60 °C to ensure maximum solubility 179 before the coarse emulsion formation step. The choice of MCT-oil as the lipidic phase was to 180 ensure maximum CUR solubility in the dispersed phase (Supplementary Fig. S1).

Emulsions stabilized by whey protein isolate solution ( $E_{WPI}$ ) were prepared as controls to compare the difference in the microstructure between  $E_{WPN}$  and  $E_{WPI}$  emulsions. The  $E_{WPI}$  (20 wt% MCT oil, 1 wt% WPI) were prepared following the same protocol as described above for  $E_{WPN}$ . All emulsions samples were prepared in triplicates.

185

186 2.4 Transmission electron microscopy

187 Transmission electron microscopy (TEM) was employed to observe the microstructure of WPN, EWPI and EWPN samples using a previously reported method (Sarkar, Li, Cray, & Boxall, 188 189 2018b; Sarkar, Zhang, Murray, Russell, & Boxal, 2017). Briefly, 10 µL of samples were fixed 190 with 2.5% (v/v) glutaraldehyde and post-fixed in 0.1% (w/v) osmium tetroxide. The samples 191 were then carefully exposed to serial dehydration in ethanol (20-100%) before being embedded 192 in analytic. Ultra-thin sections (80 - 100 nm) were deposited on 3.05 mm grids and stained with 193 8% (v/v) uranyl acetate and lead citrate. The sections were cut on an "Ultra-cut" microtome. 194 Images were recorded using a CM10 TEM microscope (Philips, Surrey, UK).

195

196 2.5 Cryogenic- Scanning Electron Microscopy

197 Cryogenic scanning electron microscopy (cryo-SEM) of the WPN, EwPI, EWPN and CUREWPN 198 were conducted. Particularly, for cryo-SEM of emulsion samples i.e. Ewpl, Ewpn and 199 CURE<sub>WPN</sub>, heptane was used as the dispersed rather than MCT oil, to avoid interference by 200 crystallization of oil during the freezing step as used in a previous study involving Pickering 201 emulsions stabilized by microgels (Destribats et al., 2014). Both the systems (heptane or MCT-202 oil emulsions) presented the same overall microstructural behavior and therefore, the cryo-203 SEM images observed using heptane emulsions can be extrapolated to MCT-oil emulsions. 204 The WPN, E<sub>WPI</sub>, E<sub>WPN</sub> or CURE<sub>WPN</sub> were mounted on rivets attached to the sample stub. The 205 samples were plunge-frozen in liquid nitrogen "slush" at -180 °C, then transferred to the cryo-206 preparation chamber on the SEM. The frozen protein nanogels or emulsion droplets were 207 cleaved and then etched at -95 °C for 4 minutes. Next, the samples were coated with 5 nm of 208 platinum (Pt). Finally, the Pt-coated samples were transferred to the SEM for imaging at -135 209 °C. The heptane emulsion sample was imaged in a FEI Quanta 200F ESEM with a Quorum 210 Polar Prep 2000 cryo system.

# 211 2.6. Confocal scanning laser microscopy (CLSM)

212 The microstructures of the emulsions (20 wt% MCT oil) i.e. Ewpl, Ewpn and CUREwpn were 213 characterized using a Zeiss LSM 880 inverted confocal microscope (Carl Zeiss MicroImaging 214 GmbH, Jena, Germany). Also, the CURE<sub>WPN</sub> was characterized after the CUR retention 215 experiments. A stock solution of Nile Red (1 mg/ mL in dimethyl sulfoxide, Sigma-Aldrich) 216 was used to stain MCT-oil to a final concentration of  $0.02 \text{ mg mL}^{-1}$  and a stock solution of Fast Green (1 mg mL<sup>-1</sup> in Milli-Q water) was used to stain the protein to a final concentration 217 of 0.1 mg m<sup>-1</sup>. The fluorescently labelled emulsion samples were placed on a concave confocal 218 219 microscope slide, secured with a glass coverslip and finally imaged using an oil immersion 220 63× lens and the pinhole diameter maintained at 1 Airy Unit to filter out the majority of light 221 scatter. Nile Red was excited at a wavelength of 488 nm and Fast Green at 633 nm. The

emission filters were set at 555 - 620 nm for Nile Red and 660 - 710 nm for Fast Green (Ong,
Dagastine, Kentish, & Gras, 2011).

In general, CUR is known to bind to certain hydrophobic domain of proteins. Hence, CUR binding to WPN was imaged by placing the CURE<sub>WPN</sub> emulsions directly in the slide and covered them with a glass coverslip using CUR auto-fluorescence. For imaging of CUR, the auto-fluorescence of CUR was recorded using the filters set for Nile Red dye, since CUR exhibits an excitation of 455 nm and an emission at 540 nm (Minear et al., 2011).

229

230 2.7. Determination of adsorption efficiency by WPN

231 To determine the amount of WPN at the interface of the emulsion droplets, EwPN samples were 232 centrifuged for 15 min at 1,770 g at 25 °C (Eppendorf 5702, Hamburg, Germany). Subnatants 233 were carefully removed using a syringe and filtered through 0.45 µm filters (Perkin Elmer, 234 Waltham, MA, USA). The process was repeated twice, and the absorbance of the filtrates was 235 detected using a DC protein assay kit (Bio-Rad Laboratories, Watford, UK) and a UV-Vis 236 Spectrophotometer. The protein concentration of the filtrates was determined with the Lowry 237 method using BSA as the standard. The adsorption efficiency was calculated as the difference 238 between the total amount of protein used for initial emulsion preparation and the amount of 239 protein in the continuous phase as a percentage of total protein concentration.

240

241 2.8 Interfacial shear viscosity

The interfacial shear viscosity was measured using a two-dimensional Couette-type viscometer in presence or WPI or WPN. Details have been previously described (Murray & Dickinson, Sarkar et al., 2017). Briefly, a stainless steel biconical disc (radius 14.5 mm) was suspended from a thin torsion wire with its edge in the plane of the oil-water interface of the solution contained within a cylindrical glass dish (radius 72.5 mm). The deflection of the disk was measured by reflection of a laser off a mirror on the spindle of the disc onto a scale at a fixed distance from the axis of the spindle. The interfacial viscometer was operated in a constant shear-rate mode, as described in a recent study (Zembyla, Murray, & Sarkar, 2018). For the measurements, a layer of pure n-tetradecane was layered over an aqueous solution of whey protein isolate (WPI) or whey protein nanogel particles (WPN). A concentration of 0.5 wt% was used as the aqueous phase at pH 7.0. The constant shear rate apparent interfacial viscosity,  $\eta_i$ , is given by the following equation:

254 
$$\eta_i = \frac{g_f}{\omega} K(\theta - \theta_0) \tag{1}$$

where, K is the torsion constant of the wire,  $\theta$  is the equilibrium deflection of the disc in the presence of the film,  $\theta_0$  is the equilibrium deflection in the absence of the film, i.e. due to the drag force of the sub-phase on the disc,  $g_f$  is the geometric factor, and  $\omega$  is the angular velocity of the dish. A fixed value of  $\omega = 1.27 \times 10-3$  rad s<sup>-1</sup> was used.

259

# 260 2.9. Droplet and particle size distribution

Droplet size distributions of the emulsion samples (E<sub>WPI</sub>, E<sub>WPN</sub> and CURE<sub>WPN</sub>) were 261 determined using static light scattering at 25 °C using a Malvern MasterSizer 3000 (Malvern 262 Instruments Ltd, Malvern, Worcestershire, UK). The refractive index of the MCT-oil 263 (Miglyol® 812 oil) and the dispersion medium were set at 1.445 and 1.33, respectively. The 264 265 absorbance value of the emulsion droplets was 0.001. The mean droplet size distribution of the emulsions was reported as volume mean diameter d<sub>43</sub> (De Brouckere mean diameter) and 266 267 surface mean  $d_{32}$  (Sauter mean diameter). The  $d_{43}$  refers to the mean diameter of a sphere with 268 the same volume, whereas the  $d_{32}$  is the diameter of a sphere that has the same volume/surface area ratio as the sphere of interest. Both are generally used to characterize an emulsion droplet. 269 270 Particle size of the WPN was determined using dynamic light scattering (DLS) at 25 °C using 271 a Zetasizer Nano-ZS (Malvern Instruments, Malvern UK) in a PMMA standard disposable

cuvette. Particle size was measured after diluting the samples in phosphate buffer (pH 7.0).
Each sample was analyzed three times and the average value was reported in the result section.

275 2.10. ζ-potential

276 The  $\zeta$ -potential of the WPN, E<sub>WPN</sub>, and CURE<sub>WPN</sub> was determined using a particle 277 electrophoresis instrument (Zetasizer, Nano ZS series, Malvern Instruments, Worcestershire, 278 UK). Samples were diluted in Milli-Q water (0.1 wt% particle or 0.002 wt% droplet 279 concentration) and added to a folded capillary cell (Model DTS 1070, Malvern Instruments 280 Ltd., Worcestershire, UK). Mean and standard deviation of the  $\zeta$ -potential value of each sample 281 was calculated from three individual measurements on triplicate samples.

282

283 2.11. Measurement of CUR retention in Pickering emulsions

To recover the encapsulated CUR from Pickering emulsion, the emulsions samples (200 µL), 284 285 were disrupted with methanol (1 mL). Sample-solvent mixtures were centrifuged at 1, 770 g at 286 ambient temperature for 10 min to precipitate the WPN (Marefati et al., 2017). Noteworthy, 287 during extraction with methanol, a distinct orange-red color was observed at neutral pH (pH 288 7.0) (Supplementary Fig. S2a), which can be attributed to pH-induced changes in the CUR 289 structure. As a diarylheptanoid, CUR contains two aromatic rings joined by a seven carbons 290 chain (heptane) with a  $\alpha,\beta$ -unsaturated- $\beta$ -diketone structure (Araiza-Calahorra et al., 2018). 291 Depending on the solvent characteristics, electron delocalization and deprotonation when in 292 neutral-alkaline environment alters the  $\beta$ -diketone structure undergoing keto–enol tautomerism 293 (Khopde, Indira Priyadarsini, Palit, & Mukherjee, 2000; Nardo et al., 2008). Alteration of the 294 tautomerism of the structure causes the optical properties of CUR to change, causing a 295 deviation of the spectral band position in the absorption or emission spectrum of the molecule 296 to a longer wavelength (bathochromic shift) i.e. changing CUR's color from yellow to red 297 (Tønnesen & Karlsen, 1985). Visual appearance of CUR dilution (1:1 (v/v) methanol/ buffer, 298 25 °C) as a function of different pH (2.0 - 7.0) can be observed in Supplementary Fig. S2b. 299 Hence, the supernatant of the centrifuged CURE<sub>WPN</sub> samples at the two biologically 300 relevant pH conditions (pH 3.0 and pH 7.0) were first diluted to appropriate concentrations for 301 quantification of encapsulated CUR (Supplementary Fig. S3). The wavelength used was 425 302 nm and its was chosen based on a scan performed on methanol containing CUR ranging from 303 300 to 500 nm. Diluted samples were placed in a cuvette to measure the absorbance in a UV-304 VIS spectrophotometer (6715 UV/VIS Spectrophotometer, Jenway, UK). A standard curve of 305 known concentrations of CUR in methanol was prepared to convert the absorbance 306 measurements to CUR concentration.

307

# 308 2.12. CUR retention in Pickering emulsions

309 The capacity of the Pickering emulsions to retain CUR during short-term storage was measured 310 based on the CUR concentration recovered from the emulsions after they were subjected to pH 311 3.0 or pH 7.0 in absence and presence of ions (50 mM NaCl or 10 mM CaCl<sub>2</sub>). In brief, 312 CURE<sub>WPN</sub> was mixed with the appropriate buffer in a 1:0.5 w/w ratio and pH was adjusted to 313 the desired value (pH 3.0 and 7.0) in absence or presence of 50 mM NaCl or 10 mM CaCl<sub>2</sub> and 314 the mixture was placed in pre-soaked dialysis membrane (100 kDa molecular weight cut-off 315 membrane, Spectrum Laboratories, USA). Subsequently, the membranes was suspended in 316 buffers at corresponding pH and ionic strengths at 37 °C with agitation (90 rpm) for 30 minutes. 317 The aqueous buffers used were sodium acetate buffer for pH 3.0, and phosphate buffer for pH 318 7.0. Since CUR is known to be hydrophobic with limited solubility in water, ethanol was added 319 into the aqueous buffer solutions at a final concentration of 15 % (v/v) based on a previous 320 study (Shah et al., 2016b). After 30 minutes, CURE<sub>WPN</sub> samples within the dialysis membranes

321 were taken out and CUR concentration in the emulsion sample and CUR released to the 322 aqueous buffers was measured using the method described earlier.

323

324 2.13 Fluorescence measurements

325 Previously, ability of CUR to form complexes with numerous proteins, such as soy protein isolate or β-lactoglobulin has been reported (Chen, Li, & Tang, 2015; Sneharani, Karakkat, 326 327 Singh, & Rao, 2010). Thus, binding studies of CUR with WPN was conducted at pH 3.0 and 328 pH 7.0 in presence or absence of ions and such interactions were measured using an adapted 329 fluorescence emission spectroscopy method described by Sahu, Kasoju, and Bora (2008). 330 Steady-state fluorescence measurements were carried out in a CLARIOstar microplate 331 spectrofluorimeter reader (BMG Labtech). The fluorescence of CUR was measured by keeping 332 its concentration constant (10  $\mu$ M) and by varying the WPN concentration (0 – 40  $\mu$ M) in either 333 sodium acetate buffer (pH 3.0), and phosphate buffer (pH 7.0) in absence or presence of 50 334 mM NaCl or 10 mM CaCl<sub>2</sub>. The emission spectra were recorded from 450 to 650 nm with an excitation wavelength of 420 nm. Solutions without WPN were used as controls for the 335 336 fluorescence measurements. The binding constant was determined by the following equation (Sahu et al., 2008): 337

338

$$339 \quad \frac{1}{\Delta FI} = \frac{1}{\Delta FI_{max}} + \frac{1}{K_a \Delta FI_{max}[WPN]} \tag{2}$$

340

341 where  $\Delta$ FI is the change in the CUR fluorescence intensity in the presence and absence of 342 WPN,  $\Delta$ FI<sub>max</sub> is the maximal change in the CUR fluorescence intensity, K<sub>a</sub> is the binding 343 constant, and [WPN] is the concentration of WPN. The intensity data were then used to plot 344 the double-reciprocal plot 1/[CM] versus 1/ $\Delta$ FI. The intercept of the double-reciprocal plot on 345 the  $1/\Delta FI$  axis is  $1/\Delta FI_{max}$ , which was used to calculate the binding constant from the value of 346 the slope in the plot.

347

348 2.14. Statistical analysis

The statistical software Minitab 16 (Minitab Inc. Stage College Pennsylvania) was used. The analysis was carried out with the three individual measurements on three individual emulsion samples (i.e. 9 measurements) and analyzed with two-way analysis of variance (ANOVA) and Student's t-test; significance was accepted at p < 0.05.

353

## 354 2.6 Results and discussion

355 3.1 Characteristics of aqueous dispersions of WPN

356 The hydrodynamic diameter of WPN dispersion was determined by DLS and morphology was 357 probed using cryo-SEM and TEM across scales (Fig. 1). The particle size distribution was 358 monomodal with a polydispersity index of 0.24, and a mean hydrodynamic radius of 83.05 nm 359 (Fig. 1a). As can be observed from the cryo-SEM image (Fig. 1b), the size of WPN was in 360 close agreement with DLS and WPN showed a tendency to aggregate in the observation grid 361 (Fig. 1b). It is difficult to comment with certainty on the sphericity of the particles because of the possible effects of preparation for cryo-SEM on particle morphology, as have been 362 363 observed previously (Sarkar, Kanti, Gulotta, Murray, & Zhang, 2017). Looking at lower length scale, the TEM image (Fig. 1c) showed that WPN formed a hierarchical structure of aggregates 364 365 of protein of different characteristic sizes as postulated by Schmitt et al. (2010) using small angle X-ray scattering experiments. 366

367 Previous researchers have shown the formation of microgel particles of spherical shape
368 of about 200 – 500 nm ( Destributs, Rouvet, Gehin-Delval, Schmitt, & Binks, 2014; Sarkar et
369 al., 2016). Differences in size and aggregate morphology of WPN used in this study as

370 compared to the previous reports can be attributed to the variation in the processing route, such 371 as using the high-pressure homogeniser (Leeds Jet Homogeniser, University of Leeds, UK), 372 which uses turbulent flow and extremely high localized pressures as compared to conventional 373 homogenizers, as well as the initial protein concentration used to form the hydrogel (Nicolai, 374 Britten, & Schmitt, 2011; Sarkar, Kanti, et al., 2017; Schmitt, Bovay, Vuilliomenet, Rouvet, & 375 Bovetto, 2011; Torres, Murray, & Sarkar, 2017). The WPN exhibited an average ζ-potential 376 value of -30.46 mV, which suggests that the electrostatic repulsion between the particles was 377 high enough to ensure dispersion stability at pH 7.0 (Fig. 1a). The negative charge was expected 378 as WPN was above the isoelectric point (pI) and the value was within the range found in the 379 literature (Destribats et al., 2014; Sarkar et al., 2016).

380

381 3.2 Characteristics of Pickering emulsions (E<sub>WPN</sub>)

382 Firstly, we conducted interfacial shear rheology experiments and microstructural evaluation 383 across scales to investigate whether the WPN were forming Pickering emulsions as compared 384 to a conventional emulsion stabilized by WPI. Applying shear rheology deformation to the 385 interfacial layers in EwPI or EwPN will give us information on the formation and structuring of 386 absorbed protein or particle layers, respectively. This method is particularly sensitive to 387 differentiating proteins versus particles based on their interfacial flow behavior, and 388 consequently can give quantitative insight into difference between WPN and WPI (Murray, 389 Durga, Yusoff, & Stoyanov, 2011; Sarkar, Zhang, et al., 2017). Surface shear viscosity ( $\eta_i$ ) 390 values for WPN were compared to those of WPI solution, both dispersed in phosphate buffer 391 at pH 7.0. We present the measurements of  $\eta_i$  values at 'short' (2 and 3 h) and 'long' (24 h) 392 adsorption time scales in Table 1. As expected, the value of  $\eta_i$  for WPI decreased from ~ 453 393 mN s m<sup>-1</sup> at 2 h to its quarter after 24 h, which in agreement with previous works with protein 394 monolayers (Chen & Dickinson, 1995; Dickinson, Rolfe, & Dalgleish, 1990).

395	However, the value of $\eta_i$ for WPN at the oil-water interface was twice as that of WPI
396	in 2 h time scale. Of more interest is that the $\eta_i$ became almost an order of magnitude higher
397	than that of WPI in 24 h time scale (Table 1). The high values obtained for WPN is indicative
398	of strengthening of the interfacial films by the presence of adsorbed particles. These
399	quantitative results perfectly corroborate with the qualitative observation of nanogel particles
400	at the interface of the WPN-stabilized emulsions in the CLSM, cryo-SEM and TEM images
401	(Fig. 2).

Table 1. Interfacial shear viscosities ( $\eta_i$  / mN s m<sup>-1</sup>) O/W interface in presence of whey protein isolate (WPI) and whey protein nanogel particles (WPN) at pH 7.0. Values represent mean ±SD of at least three independent experiments (n  $\ge$  3).

Adsorption time / h	0.5 wt% WPN	0.5 wt% WPI
2	$916.13 \pm 100.83$	$453.22 \pm 112.46$
3	$969.62\pm75.78$	$334.19\pm55.87$
24	$1006.13 \pm 278.36$	$127.50\pm27.75$

402

403 In particular, the sizing of the droplets (Fig. 2) highlight that  $E_{WPI}$  droplets (d<sub>43</sub> = 0.89 ± 0.08) 404  $\mu$ m) were much smaller in size as compared to that of E<sub>WPN</sub> droplets (d<sub>43</sub> = 10.29 ± 2.31  $\mu$ m), which is expected owing to the larger size of WPN particles (~80 nm, Fig. 1a) stabilizing the 405 406 droplets in the latter as compared to protein molecule counterpart in the former (~ 2 nm). Also 407 looking at the cryo-SEM and TEM images at different magnification (Fig. 2), the interface of 408 the E<sub>WPI</sub> droplets did not present any visible protein molecules that is expected owing to the 409 size of the protein molecules being smaller as compared to the microscopic resolution in 410 agreement with previous studies (Sarkar, Zhang, et al., 2017). However, the particles are clearly 411 evident at the interface of E<sub>WPN</sub> droplets that confirms the Pickering stabilization by these 412 particles providing ultra-stability to these droplets against coalescence over a year storage 413 period (data not shown).

414 The droplet size distribution, mean droplet size and charge of the Pickering emulsions 415 (0.1 - 3 wt% WPN) are shown in Figs. 3a, and b, respectively. In Fig. 3a, it can be observed 416 that the droplet size distribution of E<sub>WPN</sub> was mostly bimodal with two populations of droplets 417 clearly identified except for emulsions stabilized by 0.1 - 0.5 wt% WPN. The signature peak 418 in the area of  $0.1 - 1 \,\mu\text{m}$  most likely corresponds to the unabsorbed WPN, which has been 419 reported previously (Sarkar et al., 2018a; Sarkar et al., 2016), while the more prominent peak 420 in the area of 5 to 50  $\mu$ m represents the Pickering emulsion droplets. In case of 0.1 – 0.5 wt% 421 WPN, a third peak was observed in  $50 - 500 \,\mu m$  range highlighting either coalesced or 422 flocculated droplets in these emulsions. When the concentrations of WPN was above 0.5 wt%, 423 the third peak almost disappeared with increase of second peak suggesting more adsorption of 424 particles to the droplet surface until 1.0 wt% (Fig. 3a). However, above 1.0 wt% WPN, the 425 percentage of the relative area of the first peak increased at the expense of the second peak, 426 suggesting a gradual increase of unadsorbed WPN. No significant change in the mean oil 427 droplet diameter occurred when varying the concentration of WPN, except for 0.1 - 0.25 wt% 428 samples (p < 0.01) (Fig. 3b).

The absolute magnitude of  $\zeta$ -potential of all emulsions was higher as compared to that of the WPN present in the aqueous phase (Fig. 3b) (p < 0.05). This increase in negative surface charge might be attributed to the concentration of WPN at the droplet surface as compared to being in the aqueous phase. Such magnitude  $\geq \pm 30$  mV is generally indicative of strong electrostatic stabilization of droplets (McClements, 2004) in addition to the mechanical stabilization provided by the particles.

The maximum adsorption efficiency for these systems was calculated to be 100% (0.1 wt%) (Fig. 3c). The absorption efficiency in emulsions prepared with higher concentrations of WPN gradually decreased to 58.34% for 3 wt% (Fig. 3c) further supporting the unabsorbed particles seen in light scattering data (Fig. 3b). Besides adsorption efficiency, surface coverage (Cs) was calculated to provide a useful indication of the density of the particles anchored at the oil-in-water interface for emulsions undergoing limited coalescence (Gautier et al., 2007). In 441 principle, the percentage of interfacial area covered by the particles can be calculated using 442 equation 3, as reported previously by Binks and Olusanya (2017). The simplest version of this 443 equation assumes all the particles are adsorbed at the droplet surface and the non-adsorbed 444 particles are neglected. Under these assumptions, equation 3 is defined as:

445

446 
$$\frac{1}{d_{32}} = \frac{m_p}{4CsV_d\rho_p d_p}$$
 (3)

447

448 where,  $m_p$  is the mass of the particle,  $V_d$  the volume of the oil phase,  $\rho_d$  the density of 449 the particle,  $d_d$  the particle radius and  $d_{32}$  is the mean droplet diameter. Assuming all particles 450 were monodisperse and were adsorbed at the oil-water interface in a hexagonal close packing 451 arrangement, the surface coverage should be equal to 0.907.

452 Below the critical concentration of the 1.0 wt%, the surface coverage obtained was 453 significantly below 0.907 (Table 2). In this concentration range, it can be suggested that the 454 droplet size was dictated by the particle concentration (Binks, Philip, & Rodrigues, 2005). This 455 behavior is typical of Pickering emulsions undergoing limited coalescence and has been 456 reported for particles of a similar size range, such as colored organic pigment particles, silica, 457 poly(glycerol monomethacrylate)-poly(2-hydroxypropyl methacrylate) (PGMAand 458 PHPMA) diblock copolymer particles (Binks & Olusanya, 2017; Gautier et al., 2007; 459 Thompson et al., 2014).

Table 2. Surface coverage of emulsion droplets by various concentrations of WPN.

WPN (wt%)	Cs
0.1	0.14
0.25	0.32
0.35	0.46
0.5	0.68
1	1.35
1.5	1.95
2	2.59

461

462

463 In the case of higher WPN concentrations (1 - 3 wt%), surface coverage was greater 464 than 0.907, suggesting either formation of a multilayer or aggregates of WPN at the interface ( 465 Binks & Olusanya, 2017) or an excess of particles that were not adsorbed. In order to 466 characterise the morphology of particles at the droplet surface, cryo-SEM imaging of heptane 467 droplets covered by 1 wt% nanogel particles at pH 7.0 is shown in Fig. 3b1. As can be clearly 468 observed, the interface was covered by a network of particles where WPN adopted configurations 469 of either individual particles or a network of particle aggregates. These observations correspond to 470 the high surface coverage as calculated and reported in Table 2. Such visual clarity of nanometric-471 sized aggregates versus nanometric sized-single particle at the droplet surface has been also previously observed in cryo-SEM images of Pickering droplets by previous authors (Destribats, 472 473 Rouvet, Gehin-Delval, Schmitt, & Binks, 2014).

In summary, emulsions  $\geq 0.5$  wt% experienced limited coalescence in the particle-poor regime, whereas  $\geq 1$  wt% it transitioned towards a particle-rich regime. It is clear that within the explored concentration range, the addition of 1 wt% demonstrated to create stable droplets with complete coverage (~1.14 monolayers theoretically). Hence, this concentration was selected hereafter to create Pickering emulsion for encapsulation of CUR (CURE<sub>WPN</sub>), and in vitro retention of CUR.

480

481 3.3 Characteristics of CUR-loaded Pickering emulsions

The CUR content in the CURE<sub>WPN</sub> was  $474 \pm 29.4 \,\mu$ g/mL, which was close to the amount of 500  $\mu$ g/mL added to the oil. This suggests that CUR was not degraded or lost during the emulsification process. The size distribution of CURE<sub>WPN</sub> was identical to the distribution of samples without the addition of CUR (E<sub>WPN</sub>) (Fig. 4a) with diameters in the former ranging

486 between 5 and 50  $\mu$ m (p > 0.05). This suggests that the addition of CUR did not negatively 487 affect the droplet size of the CURE<sub>WPN</sub> (Araiza-Calahorra et al., 2018).

Furthermore, the typical dimensions of empty spaces (Sarkar et al. 2016) between the 488 WPN arranged on the triangular lattice  $(\sqrt{3}-1)d_{WPN}/2$  was calculated to be  $\approx 30 \text{ nm}$  for 489 490 the current study with a diameter (d<sub>WPN</sub>) of the nanogel particle at 80 nm. Overall, Pickering 491 emulsion stabilized by nanometric-sized WPN allowed loading high concentrations of CUR 492 without any effect on the size distribution of the emulsions or CUR diffusing out of the empty 493 spaces at the interfaces. The cryo-SEM analysis allowed to observe the morphology of 494 CUREWPN droplets (Fig. 4b2) which was in agreement with the samples without CUR (Fig. 495 3b) in terms of droplet size. However, it appears that the surface was not showing the same 496 degree of WPN aggregates at the interface as observed in the samples without CUR (Fig. 4b1). 497 This suggests that there might have been some interactions between CUR in the dispersed 498 phase and WPN in the adsorbed phase, which is discussed in details in the next sections. 499 However, one must be cautious with interpreting this cryo-SEM data as there might be 500 interaction between CUR and heptane in the dispersed phase, causing some microstructural 501 changes in these images that might be specific to thee images.

502

503 3.4 CUR retention in the CURE<sub>WPN</sub>

After characterizing the stability and surface coverage of the Pickering emulsions, the next aim was to assess the retention of CUR within the CURE<sub>WPN</sub> droplets. The retention ability was assessed as a function of pH (pH 3.0 and 7.0) and ions i.e. 50 mM NaCl, and 10 mM CaCl<sub>2</sub>. The choice of pH and ions were based on physiological relevance i.e. pH and ions that are commonly encountered in the gastric and duodenal regimes in human physiology. The retention of CUR in the Pickering emulsions was measured using a dialysis approach as described in the materials and methods section, based on the protocol previously used by Shah et al. (2016b). 511 Ethanol was added to the aqueous buffer media in order to solubilize the CUR and create a 512 'force-release' environment to the aqueous buffer media because CUR is poorly soluble in 513 aqueous phase.

514 The CLSM imaging was performed to characterize the microstructural changes (if any) 515 in the Pickering emulsion droplets before and after the retention experiments (Fig. 5a1-a3). As 516 can be observed, the presence of a bright ring around the droplets indicates an adsorbed layer 517 of nano-meter sized WPN at the interface at both acidic (gastric environment) and basic pH 518 (duodenal environment). This indicates that the emulsions were stable with no pH-induced 519 hydrolysis of the WPN at the interface. Noteworthy, a bright ring around the emulsion droplets 520 were evident in the non-stained samples i.e. the samples where only auto-fluorescence of CUR 521 could be observed (Fig. 5b1-b3). It is well documented in literature that CUR binds to the 522 hydrophobic domain of numerous proteins such as bovine casein micelles, bovine serum 523 albumin (BSA), human serum albumin (HAS), soy protein isolate and beta-lactoglobulin (β-524 lg) through hydrophobic interactions (Chen et al., 2015; Sahoo, Ghosh, & Dasgupta, 2009; 525 Sahu et al., 2008; Sneharani et al., 2010; Zsila, Bikádi, & Simonyi, 2003). However, the 526 observed intensities obviously need interpreting with caution since it is well documented in 527 literature that CUR phosphorescence intensity strongly depends on the energy of the exciting 528 photons applied (Chignell et al., 1994). Nonetheless, this observation of a bright auto-fluorescing 529 ring at the particle-laden interface surrounding the CURE<sub>WPN</sub> emulsion droplets with increased 530 intensity and the intensity within the oil droplets might suggest that CUR was mainly retained 531 within the emulsion. This CUR might be retained in two ways: either being bound to the 532 interfacial WPN, or were dispersed within the oil droplets. Indeed, CUR release into the 533 aqueous buffer media was verified (less than 1% of CUR was the loss), which confirmed that 534 in all cases CUR remained entrapped within the emulsion systems, either bound or solubilised 535 within the oil phase. As WPN at the droplet surface might form a complex with CUR, the partitioning of CUR in the dispersed phase versus interface might be affected. Hence, CUR
retention in CURE<sub>WPN</sub> and further characterization of the interaction between CUR and WPN
were performed using spectroscopic techniques, which is discussed in the following sections.

539 In the absence of ions, about 60.53% of CUR was found to be dispersed in the oil phase 540 at acidic conditions (pH 3.0), which means that 39.47% of CUR was bound to the WPN. Fig. 541 6a shows both, the amount of CUR retained witin the Pickering emulsion, and the amount of 542 CUR bound to the WPN as a function of pH. On the contrary, CUR retention within the oil 543 droplets was statistically higher (p < 0.05) in neutral pH (76.85%). The CUR retention in 544 CURE<sub>WPN</sub> when subjected to different salt concentration are also plotted in Fig. 6a. At pH 3.0, 545 changes in retention parameters were not statistically significant (p > 0.05) on addition of ions. 546 However, at pH 7.0, the CUR retention values were statistically significant (p < 0.05) in 547 absence or presence of the divalent cations. These results points out the relevance of pH in 548 CUR retention and binding, which was not obvious in the CLSM images (Fig. 5).

To understand this better, we measured changes in fluorescence intensity to quantify binding constants ( $K_a$ ) (Fig. 6b) between CUR and WPN (corresponding fluorescence spectra reported in Supplementary Fig. S4).

552

553 3.5 Binding of CUR and WPN

Fig. 6b shows the double-reciprocal plot 1/[WPN] versus  $1/\Delta FI$  that has been used to calculate the binding constant from the value of the slope in the plot. The binding constants were estimated to be in the range of  $6.67 \times 10^1 - 1.33 \times 10^4 \text{ M}^{-1}$  (Fig. 6b). Binding constants in the order of  $10^4$  show that there existed a strong affinity between WPN and CUR at pH 3.0. The  $K_a$  results obtained are in agreement with previous studies that have reported similar values for  $\beta$ -lg and CUR ( $10^5 \text{ M}^{-1}$ ), and other dietary polyphenols, such as resveratrol ( $10^4-10^6 \text{ M}^{-1}$ ), epigallocatechin-3-gallate ( $10^4-10^5 \text{ M}^{-1}$ ), and catechin and epicatechin ( $10^3 \text{ M}^{-1}$ ) (Kanakis et al., 2011; Liang, Tajmir-Riahi, & Subirade, 2008; Shpigelman, Israeli, & Livney, 2010; Sneharani et al., 2010). Nevertheless, this is the first study that provides binding constant values between CUR and WPN. Interestingly, the K<sub>a</sub> at neutral pH was found to be  $6.67 \times 10^1$  M<sup>-1</sup>, which is three orders of magnitude lower than that at acidic pH 3.0 ( $1.00 \times 10^4$  M<sup>-1</sup>). Findings of our study are in close agreement with previous studies that have reported that at neutral pH, the K<sub>a</sub> for CUR with denatured β-lg was  $7.0 \pm 0.2 \times 10^2$  M<sup>-1</sup> (Sneharani et al., 2010), which might explain the increased partitioning of CUR to the oil phase as shown in Fig. 6a.

568 The influence of ions on the  $K_a$  is also shown in Fig. 6b. Interestingly, the  $K_a$  for the 569 CUR/ WPN mixture at pH 3.0 was not significantly affected by addition of 50 mM NaCl and 10 mM CaCl<sub>2</sub> (1.33  $\times$  10<sup>4</sup> M<sup>-1</sup>). These results suggest that addition of ions did not alter the 570 571 physical stability and solubility of CUR at pH 3.0. On the contrary, at pH 7.0, the presence of 572 both, monovalent and multivalent ions enhanced the binding affinity between CUR and WPN  $(6.67 \times 10^3 \text{ and } 8.00 \times 10^3 \text{ M}^{-1}$ , for 50 mM NaCl and 10 mM CaCl<sub>2</sub>, respectively), although 573 574 these values were still one order of magnitude lower than the ones calculated at pH 3.0. These 575 results can be explained in terms of pH-induced changes in CUR conformation and/or WPN 576 charge distribution.

577 At acidic pH, CUR primarily exists in the open enol tautomeric form (Nardo et al., 2008). In the open conformation, the valence electrons of the carbonyl and enolic oxygen act 578 579 as H-bond acceptors and the enolic proton as H-bond donor, with charges of -0.73, -0.70, and 580 0.50, respectively in water (Balasubramanian, 2006). The H-bond accepting and donating 581 capabilities of the molecule expands the number of possible interaction sites that account for 582 CUR's increased binding behaviour. A possible mechanism of the interaction between CUR 583 and WPN, focusing on amino acid residues in  $\beta A$  sheet domain of  $\beta$ -lg, at pH 3.0 is 584 schematically shown in Fig. 7a. The  $\beta A$  sheet domain of  $\beta$ -lg was used since studies have 585 indicated that, upon partial denaturation, Tyr20, which is located close to the base of the that 586 is generally considered to be the binding pocket, is highly accessible, and that Trp19 is critical 587 for the interaction of β-lg and CUR (Brownlow et al., 1997; Mohammadi, Mahmudian, Moeeni, 588 & Hassani, 2016). Here, the enol tautomeric form of CUR (Litwinienko & Ingold, 2004) allows 589 hydrophobic interactions with aromatic residues of WPN, such as tyrosine (Tyr) and tryptophan 590 (Trp). Also, worth noting that CUR possess a weak net negative charge (Fig. 7a), whereas WPN 591 undergoes protonation at pH 3.0 (pI ~ 5.2) and is strongly positively charged. Hence, at pH 3.0, 592 both electrostatic and hydrophobic interactions play a role in CUR-WPN binding (Fig. 7a) that 593 support their high binding affinities (Fig. 6b).

594 Conformation of the  $\beta$ -diketone group in CUR is highly dependent on the chemical 595 environment that successively dictates its intermolecular bonding behaviour (Heger, van 596 Golen, Broekgaarden, & Michel, 2014). Upon changing the environment to pH 7.0 (Fig. 7b), 597 CUR adopts the diketo tautomeric conformation characterized by a visible red band or shoulder 598 present in steady-state absorption spectra measurements (Khopde et al., 2000; Nardo, 599 Andreoni, Bondani, Másson, & Tønnesen, 2009; Nardo et al., 2008). Underscored by the fact 600 that a red shift in the absorption spectrum of CUR was observed at neutral pH (Supplementary 601 information S2), it is suggested that changes in pH to neutral pH reduced CUR binding 602 behaviour limiting its migration to the interfacial layer of WPN and increasing the CUR 603 concentration in the dispersed phase (Fig. 6a). It is also worth noting that WPN and CUR both 604 possessed a net negative charge contributing to higher degree of repulsive interactions, further 605 contributing to limited binding affinity as observed in Fig. 6b. Hence at pH 7.0, the binding 606 between CUR and WPN might be attributed only to the hydrophobic interactions (Fig. 7b) as 607 well as higher solubility of CUR in the dispersed phase, all of which contributing to higher 608 partitioning to the oil (Fig. 6a).

609 Retention of CUR in CURE<sub>WPN</sub> was compared with similar measurements reported in 610 the literature using other particle-laden interfaces. In Pickering emulsions stabilized by sub-

611 micron sized chitosan-tripolyphosphate nanoparticles (CS/TPP NPs) ranging in size from 322 612 - 814 nm, 44% and 63% of the encapsulated CUR was retained after 24 hours at pH 2.0 and 613 7.4, respectively (Shah et al., 2016b), which is lower than the ranges observed in the current 614 study. Overall, these results suggests that WPN-laden interface can be used to increase the 615 retention of CUR, and such retention is largely associated with the mechanical barrier provided 616 by the WPN at the droplet surface that are present either as particle or as network of aggregated 617 particle reducing the gap size. In addition, the partitioning of the CUR retained within these 618 emulsions might be dictated by electrostatic and/or hydrophobic interactions between CUR and 619 interfacial WPN as well as solubility of the CUR in the oil phase, largely affected by the pH 620 and ionic conditions of the medium.

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- 622
- 623

### 624 **4. Conclusions**

In this study, whey protein nanogel particles were used to stabilize oil-in-water Pickering 625 626 emulsions for encapsulation and controlled delivery of curcumin. We have investigated the 627 influence of particle concentration on the structure and stability of emulsions containing 20 628 wt% MCT-oil stabilized by these nanogel particles. Comparing microstructure at multiple 629 length scales, droplet size and interfacial rheology of emulsions stabilized by protein molecules 630 and protein nanogel particles, we confirmed that the emulsions were Pickering stabilized ones 631 in the latter case. Pickering emulsions presented a monolayer of particles at the droplet surface at a critical concentration of 1.0 wt% whey protein nanogel particles. Structural visualization 632 633 (TEM and cryo-SEM) of emuliosn stabilized by 1.0 wt% particle concentration revealed that whey protein nanogels adsorbed in two different conformations, as a closely packed layer of 634 635 individual particles, and as network of aggregated particles.

636 Furthermore, whey protein nanogel-stabilized emulsions were used to encapsulate 637 curcumin. The droplet size and stability of the curcumin-loaded Pickering emulsions were maintained after curcumin incorporation. It was demonstrated that curcumin retention in these 638 639 Pickering emulsions were associated with the mechanical barrier provided by the whey protein 640 nanogels at the interface and reduced interfacial gap size, latter associated with the nanometric 641 size of these nanogel particles. Furthermore, the partitioning of curcumin in the dispersed phase 642 varied as a function of pH in an in vitro release model with lower partitioning at pH 3.0 as 643 compared to that at pH 7.0. This was attributed to the electrostatic and hydrophobic interactions 644 that allowed more binding of curcumin to whey protein nanogel particles at the interface at pH 645 3.0 as compared to that at pH 7.0. The binding of curcumin to whey protein nanogel particles 646 at the interface at pH 7.0 was also affected by the presence of mono- and divalent cations. 647 Overall, our study demonstrates the design principles for developing Pickering emulsions for 648 controlled delivery of curcumin, with mechanisms unraveled behind curcumin binding to the 649 interfacial whey protein nanogel particles as a function of pH and ionic strengths.

650

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