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1 **Title:** Attitudes towards oral health in patients with rheumatoid arthritis. A qualitative  
2 study nested within a randomized controlled trial

3

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30 **KEY WORDS:** Periodontitis, qualitative research, patient's perspectives, feasibility  
31 study, multimorbidities

32

33 **Knowledge transfer statement:** This article provided insights into the experiences  
34 and perceptions of rheumatoid arthritis patients about their oral health to improve  
35 patient participation in a definitive clinical trial.

36

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40 **Figures/tables legend**

41 **Table 1: Demographics of the patient population (qualitative interviews)**

42 **Figure 1: Study flow diagram**

43 **Legend Fig. 1 QEHB = Queen Elizabeth Hospital Birmingham; SWBH = Sandwell  
44 and West Birmingham Hospitals; HEFT = Heart of England NHS Foundation Trust**

45 **Figure 2: Emerging themes from the interviews**

46

47 **Abstract**

48 INTRODUCTION: Patients with rheumatoid arthritis (RA) present higher incidence  
49 and severity of periodontitis than the general population. Our study, Outcomes of  
50 Periodontal Treatment in Patients with Rheumatoid Arthritis (OPERA) was a  
51 randomized waiting-list-controlled trial using mixed methods. Patients randomized  
52 to the intervention arm received intensive periodontal treatment and those in the  
53 control arm received the same treatment with a six months delay.

54 AIM: The nested qualitative component aimed to explore patient's experiences and  
55 priorities concerning oral health and barriers and facilitators for trial participation.

56 METHODS: Using purposive sampling until thematic saturation was reached, we  
57 conducted 21 one-to-one semi-structured interviews with randomized patients in  
58 either of the two treatment arms as well as with patients who did not consent for trial  
59 participation.

60 RESULTS: The patients described their experiences about RA, oral health and study  
61 participation. Previous experiences with dental care professionals shaped patients'  
62 current perceptions about oral health and the place of oral health on their list of  
63 priorities when compared with other conditions. Patients also highlighted some of  
64 the barriers and facilitators for study participation and for compliance with oral health  
65 maintenance. The patients, in the control arm, presented their views regarding the  
66 acceptable length of waiting time for the intervention. CONCLUSION: The  
67 associations between periodontal and systemic health are increasingly recognised

68 by the literature. Our study provided an insight into RA patients' experiences and  
69 perceptions about oral health. It also highlighted some of the barriers and facilitators  
70 for participating in a periodontal interventional study for this group. We hope that our  
71 findings will support the design of larger interventional periodontal studies in patients  
72 with rheumatoid arthritis. The complex challenges faced by the burden of RA and  
73 the associated multimorbidities in this patient group might highlight opportunities to  
74 improve access to oral health services in this patient population.

75 **INTRODUCTION**

76 Chronic periodontitis is a very common chronic inflammatory condition. It affects  
77 nearly half of the UK adult population and over 60% of the elderly (Chapple 2014;  
78 White et al. 2012). Several observational studies have reported an association  
79 between chronic periodontitis and rheumatoid arthritis (RA) and chronic periodontitis  
80 has been suggested as a potential risk factor for RA (de Pablo et al. 2009; Konig et  
81 al. 2016; Mikuls et al. 2009; Okada et al. 2013; Ribeiro et al. 2005). Given the high  
82 prevalence of chronic periodontitis, this association could have significant clinical  
83 and public health implications.

84 The first symptoms of RA are noticed usually between the age of 35 and 50 and it  
85 affects mostly women. Within 5 years of diagnosis, 40% of patients reduce their  
86 working week from full time to part time, with an increase to 50% at 10 years from  
87 the first diagnosis (Mathers and Pflieger 2006). Rheumatoid arthritis affects patients'  
88 personal and professional relationships transforming their daily routines and quality  
89 of life. Often they have to change their working circumstances or retire early, adapt  
90 their living conditions, rely on help from external sources (family, friends or social  
91 workers) and increase their feeling of vulnerability which is added as a psychological  
92 burden to their condition (Lapsley 2002).

93 Besides the direct impact of RA on patients' quality of life, it is important to consider  
94 also the indirect impact caused by the comorbidities secondary to RA and the side  
95 effects of the long-term use of polypharmacy in this patient group.

96 RA has been frequently associated with other conditions including depression,  
97 elevated blood pressure, cardiovascular disease and respiratory conditions.  
98 (Dougados et al. 2014).

99 There are several potential mechanisms linking RA and periodontal disease. Some  
100 studies have suggested that bacteraemia caused by periodontal pathogens could be  
101 an etiological agent for RA progression (Martinez-Martinez et al. 2009).

102 Another widely supported model relates to an aberrant immune response to  
103 periodontal pathogens in certain susceptible individuals. One of the main  
104 periodontal pathogens is *Porphyromonas gingivalis*. With the recent recognition of  
105 the importance of anti-citrullinated protein antibodies (ACPA) in RA and the  
106 discovery that *P. gingivalis* expresses peptidyl arginine deiminase which is  
107 responsible for the post-translational citrullination of peptide antigens on arginine  
108 residues (Rosenstein et al. 2004), there is potential evidence to support a plausible  
109 pathobiologic mechanism by which periodontitis may cause or sustain the ACPA  
110 response in RA.

111 Recent studies have also demonstrated that the uncitrullinated peptides play a major  
112 role in the antibody response for periodontitis resulting in a systemic spread of  
113 citrullinated epitopes in the presymptomatic phase of RA. Autoantigens modified by  
114 citrullination through exposure to periodontal pathogens might sustain synovial  
115 inflammation in the context of untreated periodontitis (Lopez-Oliva et al. 2018;  
116 Rosenstein et al. 2004). Antibodies for uncitrullinated RA autoantigens precede the  
117 ACPA formation and facilitate the loss of tolerance to uncitrullinated peptides (de  
118 Pablo et al. 2013).

119 Treatment of chronic periodontitis involves control of the dental biofilm, typically  
120 using non-pharmacological means. Whether or not such treatment can reduce the  
121 incidence and severity of RA is unknown. However, a small number of interventional  
122 studies have reported encouraging results in terms of reduced RA disease activity  
123 following periodontal treatment (Al-Katma et al. 2007; Okada et al. 2011; Ortiz et al.  
124 2009).

125 Our trial, Outcomes of Periodontal Treatment in Patients with Rheumatoid Arthritis  
126 – OPERA, was a randomized waiting list controlled feasibility study. This trial  
127 provides feasibility data for a larger, multi-centre randomized controlled trial, which  
128 would investigate the efficacy of non-surgical periodontal treatment in reducing  
129 disease activity in patients with RA.

130 Our trial focussed on issues of recruitment and retention, acceptability and feasibility  
131 of the trial procedures including the intervention, assessments and data collection,  
132 using a mixed methods approach. The quantitative component of our trial gathered  
133 pilot clinical data about the efficacy of periodontal treatment in patients with RA and  
134 subsequently its influence on health-related quality of life.

135 Considering the severe burden that RA can have on the patient's quality of life, both  
136 directly and through the comorbidities associated with this condition, it is important  
137 to gain a better understanding of patients' priorities with regards to accessing  
138 different types of health care services. Additionally, it is important to ensure that the  
139 design of any interventional studies would take this into account and patients' trial  
140 participation would not create an additional burden on their quality of life. As  
141 successful periodontal treatment is heavily dependent on compliance and



142 adherence and the treatment and trial participation both require multiple visits to the  
143 secondary care setting where this treatment was being delivered we considered  
144 important to explore the barriers and facilitators for study participation in this patient  
145 group.

146 Furthermore, in order to encourage recruitment and retention rates in the trial, it is  
147 important to consider that outcomes that are relevant for clinicians and researchers  
148 might be less relevant for the patients. This could be especially the case for RA  
149 patients with multimorbidities as suggested in the literature (Cohen et al. 2004;  
150 Fleischmann et al. 2016). Understanding the health care priorities of this specific  
151 patient population and the place of oral health on their list of priorities was one of the  
152 most important objectives of the qualitative component of our study.

153 Our study used a mixed method approach with a quantitative and a nested  
154 qualitative component. The quantitative aspects of the trial will be presented in detail  
155 in a separate paper. This paper focuses on the nested qualitative component of this  
156 study.

### 157 **Aims and objectives**

158 The aim of the qualitative component of our study was to evaluate patients'  
159 experiences, values and priorities that shape their choices in accessing oral health  
160 services and identify the barriers and facilitators for participation in a randomized  
161 controlled trial. In order to meet this aim, we developed the following objectives: 1.  
162 Understand the impact of RA on the patient's quality of life and the place that oral  
163 health occupies on their scale of health priorities; 2. Identify barriers and facilitators

164 for study participation; 3. Understand RA patients' views about randomisation to the  
165 intervention or control group (delayed intervention).

## 166 **METHODS**

167 The OPERA trial recruited patients with RA, fulfilling the revised 1987 ACR  
168 classification criteria for RA (Aletaha et al. 2010). The recruitment sites were the  
169 outpatient rheumatology clinics of the Queen Elizabeth Hospital (QE), City Hospital  
170 and Heartlands Hospital all in Birmingham, U.K.

171 A total of 691 RA patients were identified as potential participants from the three  
172 recruitment sites. Of these, 118 declined participation in the trial predominantly due  
173 to the severity of their comorbidities and the numerous medical appointments that  
174 they already have to attend.

175 Of these, 296 patients consented to participate in the trial and 201 attended the  
176 periodontal screening visit at Birmingham Dental Hospital. Of these, 60 met both the  
177 RA and periodontal criteria for randomization and were allocated to either immediate  
178 intervention or waiting list control (delayed intervention) group (Figure 1). The  
179 intervention consisted of non-surgical periodontal therapy delivered by a dental  
180 hygienist in two or more sessions in a secondary care setting.

181 **PLEASE INSERT FIGURE 1**

### 182 **Study oversight**

183 Ethical approval for the OPERA trial was granted (11/WM/0235, protocol number  
184 RG\_10-138 and registered via the Integrated Research Application System (IRAS)  
185 with project ID 53163.

186 **Recruitment**

187 The recruitment for the trial started in January 2014 and data collection ended in  
188 December 2016. Research and development (R&D) approval was obtained for all  
189 the participating sites.

190 Some of the inclusion criteria for the periodontal screening were, among others,  
191 fulfilment of 2010 ACR/ EULAR classification criteria of RA (Aletaha et al. 2010) and  
192 stable medication. For randomization, patients had to have a disease activity score  
193 (DAS28) of at least 3.2 and generalized moderate to severe chronic periodontitis as  
194 evidenced by pocketing with clinical attachment loss (clinical attachment loss  $\geq$  4  
195 mm on at least 2 non-adjacent teeth and cumulative probing depth  $\geq$ 40mm).

196 For exclusion criteria, we considered history of, or current, inflammatory joint disease  
197 other than RA (including, but not limited to, gout, reactive arthritis, psoriatic arthritis,  
198 seronegative spondyloarthropathy); any surgical procedure including bone/joint  
199 surgery/synovectomy (including joint fusion or replacement) within 12 weeks prior to  
200 baseline or planned during study and periodontal treatment within 12 months prior  
201 to baseline.

202 A detailed description of the clinical methodology and findings will be reported in a  
203 separate paper.

204 **Screening**

205 Patients were approached for consent during their rheumatology follow-up  
206 appointments at the participating hospitals. After consenting, clinical rheumatologic  
207 data were collected and a screening appointment was offered at the OPERA

208 research clinic at Birmingham Dental Hospital. As some patients expressed an  
209 unwillingness to participate because of the logistic difficulties in getting to the Dental  
210 Hospital, further assistance was offered with transportation to these patients.  
211 Reminder letters with the appointment date and time for the Screening visit were  
212 sent out by post to each newly booked patient. One or two days before the  
213 appointment, a research nurse called the patients to remind them of their  
214 appointment.

215 At Birmingham Dental Hospital, patients were assessed in a dedicated clinic  
216 available for OPERA trial patients. This involved general clinical examination,  
217 rheumatologic assessment including the disease activity score 28 (DAS28), full  
218 mouth probing, and biological sample collection.

#### 219 **Randomization and follow-up**

220 If patients fulfilled the eligibility criteria for randomization and treatment, they were  
221 offered participation in the interventional phase of the study. After consenting for  
222 randomization and treatment, patients were randomly allocated to either immediate  
223 treatment or delayed treatment (waiting list control). For the patients allocated to the  
224 immediate treatment arm, three appointments were booked with a dental hygienist  
225 allocated for this project at maximum of three weeks after the Screening visit.  
226 Patients in the delayed treatment arm had one appointment with the same hygienist  
227 for instructions on oral health maintenance.

228 The same clinical examinations were carried out at the follow-up visits as at baseline.

229 The patients allocated to the delayed treatment group were offered three

230 appointments with the same dental hygienist for periodontal treatment at the end of  
231 the study. All the patients, at the end of the study received £150 to cover the possible  
232 costs regarding their commitments for study participation. Most patients who did not  
233 wish to consent for screening were offered the possibility to participate in the  
234 qualitative interview process, either face to face or over the telephone. Inviting  
235 patients who did not consent to take part in the clinical trial to participate in the  
236 qualitative interviews was particularly important to meet our aims and objectives in  
237 identifying barriers and facilitators for study participation.

### 238 **Sample Selection**

239 For the purposes of the qualitative component of this study, we used a purposeful  
240 sampling technique aimed to include a variety of patients and to ensure broad  
241 representation of views relevant to the various aspects of study participation. We  
242 therefore invited patients who:

- 243 • Had declined to consent for the clinical intervention
- 244 • Were screened but were not eligible for randomization for the clinical  
245 intervention
- 246 • Were randomized to the immediate periodontal treatment group
- 247 • Were randomized to the control group
- 248 • Were representing gender diversity
- 249 • Presented different lengths of time since diagnosis (RA)

250 One to one, semi-structured interviews were conducted with patients from all these  
251 groups until thematic saturation was reached. As new themes emerged from the

252 discussions, the topic guide was constantly adapted and new themes were added  
253 until saturation was reached. Saturation was defined as the stage at which no new  
254 themes emerged from the interviews and the data started to become mainly  
255 repetitive. After saturation, three more interviews were conducted for quality  
256 assurance purposes. All interviews were carried out by the same researcher to  
257 ensure consistency. All interviews were recorded and fully transcribed. The first five  
258 interviews were conducted by a dentist under the supervision of an expert in  
259 qualitative research (psychologist). The interviews were conducted at Birmingham  
260 Dental Hospital, Queen Elizabeth Hospital Birmingham and over the telephone  
261 between October 2014 and January 2016 and lasted on average 30 minutes. Sixteen  
262 interviews were conducted face to face and five over the telephone. Some  
263 participants preferred to have the interview conducted over the telephone for  
264 convenience, especially those who did not wish to consent for trial participation. In  
265 relation to the other aspects of the study, the first patient was screened in February  
266 2014 and the last patient was randomized in October 2015.

### 267 **Topic guide**

268 The initial topic guide developed by the research team included: oral health  
269 maintenance, treatment preferences (dental and medical), access to dental care,  
270 priorities and values placed on oral health, quality of life issues, acceptability of the  
271 periodontal treatment and, if applicable, reasons for non-participation. This initial  
272 topic guide was piloted with three patients who consented to participate. The piloting  
273 phase was developed and implemented by the research team to ensure

274 methodological accuracy of the interview process. The results of these three  
275 interviews were included in the overall findings. Based on the dynamics of the  
276 discussions and the flexible structure of the interviews, new themes emerged that  
277 were incorporated in the topic guide and added to the interviews with subsequent  
278 participants.

### 279 **Data analysis and validation**

280 A framework approach to data analysis was adopted in the manner suggested by  
281 Pope et al. (Pope and Mays 2006). The framework was developed using the topic  
282 guide and additional columns were added to the framework as new themes emerged  
283 from the interviews. One researcher (dentist) carried out the interviews and the  
284 analysis in order to assure consistency and robustness. **The transcripts were read**  
285 **and analysed independently by a second researcher (psychologist) following NICE**  
286 **guidelines (Tan et al. 2009).** The two researchers discussed and reached consensus  
287 of the findings. A third independent researcher was available to oversee the findings  
288 in case a consensus was not reached.

## 289 **RESULTS**

### 290 **Patient demographics**

291 21 participants (15 females, 6 males) with a median age of 60 years were interviewed  
292 to participate in the interviews (Table 1).

293 RA disease duration ranged from 1 year to 60 years (median 19 years). More than  
294 half of the participants (n=13) had consented for periodontal screening in the study,  
295 while the remaining participants did not (n=8) (Table 1).

296 Table 1 Demographic characteristics of participants in the qualitative component

Pt #	Gender	Age	Years since diagnosis	Patient group
1	F	60	19	Randomized - delayed
2	M	86	20	Refused trial participation
3	F	83	60	Refused trial participation
4	F	37	9	Refused trial participation
5	M	52	13	Randomized - delayed
6	F	59	20	Refused trial participation
7	F	68	22	Refused trial participation
8	M	65	30	Randomized - delayed
9	F	60	67	Refused trial participation
10	F	65	6	Randomized - delayed
11	F	55	12	Randomized - immediate
12	F	59	2	Refused trial participation
13	M	54	14	Refused trial participation
14	M	64	10	Not eligible for randomization
15	F	62	36	Randomized - delayed
16	F	47	15	Randomized - delayed
17	F	61	15	Randomized - delayed
18	F	62	25	Randomized - immediate
19	F	62	30	Randomized - delayed
20	M	57	20	Randomized - immediate
21	F	57	1	Randomized - immediate
Median [IQR]		60 [57,64]	19 [12,25]	

297

298 The main emerging themes from the framework analysis are presented in Figure 2.

299 These can be clustered into three main areas: “RA and quality of life”, “Oral health”

300 and “The Study”. The new topics that emerged from the discussions were related to

301 patients’ perceptions of oral health and their previous experience with dental care

302 professionals. Furthermore, the patients elaborated on their health priorities,

303 perceived barriers for study participation and potential solutions for the removal of

304 those barriers.

305 **PLEASE INSERT FIGURE 2**



306 **Rheumatoid arthritis and quality of life**

307 Discussions started with participants describing their experiences regarding the  
308 onset and subsequent history of their RA and the effect it had on their quality of life.  
309 All participants described the onset of their condition as highly distressing.

310 "I remember going to pick my son up from school and walking up the high  
311 street and just with tears rolling down my face because I was in such pain...  
312 I had never known anything like it and then it just got worse from there...  
313 Everyday things that I would have done without blinking an eye just became  
314 totally impossible to do because I had no grip in my hands, no strength then  
315 to actually get myself up in the bed." (P1)

316 Each story carried a vivid and painful memory associated with anxiety and distress  
317 as patients and their families struggled to understand what was happening:

318 "The children thought I was going to die. I heard them talking to my wife and  
319 they said "Is dad going to die?" and I thought, blimey, I must look bad, but I  
320 was so thin me bones were sticking out all over the place." (P14)

321 Some of the patients shared their stories about the impact that RA had on their work  
322 and socio-economic status. In some cases, this went as far as the patients having  
323 to change their living arrangements and make compromises in order to find ways to  
324 adapt to their new situation.

325 "I did retire early yes as a consequence and I had to give my home up  
326 because I couldn't get up the stairs any more... So, within a very short  
327 space of time from 2010 to 2014 I retired early and I lost my home... I am

328 living in a bungalow now, which has been adapted for my needs. I've got  
329 a wet room as opposed to a bathroom." (P10)

330 The majority of patients mentioned that they had taken early retirement or had to  
331 reduce their work schedule from full-time to part-time because of the impact of RA  
332 on their work life. Patients reported that this had a major negative impact on their  
333 socio-economic status.

334 Besides work, RA also affected the ability of patients to enjoy their hobbies and  
335 social activities.

336 "I used to enjoy football, fishing, things like that. I couldn't go fishing cos  
337 I couldn't hold the rod any longer in that one position holding the rod."  
338 (P20)

339 As the discussions developed around the traumatizing experiences caused by the  
340 onset of RA, the patients started to describe also the challenges represented by  
341 several comorbidities that they had to deal with.

#### 342 **Comorbidities and health priorities**

343 As the average age of the participants was around sixty years, comorbidities  
344 associated with RA were common. In order to gain a better insight into the reasons  
345 why they might or might not participate in the study, it was important to understand  
346 their health care priorities and the impact of their comorbidities and how they  
347 prioritize the health care services that they are accessing. Another factor was to  
348 understand where oral health was situated on their list of health care priorities.

349 Although, several patients declared oral health as a priority in the beginning of the  
350 interview, as the discussions evolved and they reported on comorbidities, they  
351 presented a tendency to prioritise other comorbidities compared to oral health:

352 "So, I have rheumatoid arthritis and I have asthma/COPD, so I have breathing  
353 problems, but again somebody is looking after me... And that is linked to what  
354 used to be a constant round of chest infections, but they now seem to have  
355 this under control and then oral health is the third most important thing in my  
356 life." (P9)

357 Patients' numerous different hospital appointments represent a burden to some of  
358 the patients and the dental care occasionally tends to become less of a priority:

359 "No, no I probably haven't been to the dentist, it has got to be a year now, so  
360 but part of that is that I have so many appointments for different things at the  
361 moment, that unless I am reminded of an appointment, or given an  
362 appointment they tend to slip away." (P5)

363 As most of the patients had multiple comorbidities, some of them tended to place  
364 oral health as the last one on the scale of importance. Their main priorities were  
365 systemic conditions including RA itself, cardiovascular disease, Crohn's disease,  
366 asthma, chronic obstructive pulmonary disease (COPD), diabetes, etc.

367 "My chest really, my chest is first then my rheumatoid. My teeth, round about  
368 third I think to be honest." (P7)

369 "But the other thing to remember is for patients like me who have got  
370 rheumatoid, they've probably got other ongoing conditions as well. There is  
371 so many things you have to try and focus on." (P4)

372 In light of these, some patients reported that they would prefer to have their teeth  
373 extracted rather than have multiple appointments for conservative treatment:

374 "If I had to have teeth out, I have to have them out and that's the end of it."  
375 (P4)

### 376 **Periodontitis and oral health**

377 Discussions focused on patients' perceptions about oral health, their self-reported  
378 oral health status and previous experience that they had had with dental care  
379 professionals. Few participants reported having a good oral health status. Their past  
380 experiences regarding oral health care services shaped their perception regarding  
381 their current behaviour for accessing oral health services:

382 "Then you never used to go to the dentist, they used to come around the  
383 school, this is going back a long time nineteen fifties and sixties. ... And then  
384 most of the time they just pulled your teeth out. That was, they never did any  
385 fillings or anything they just looked at your teeth and if they didn't like the look  
386 of it, they just pulled out your teeth." (P14)

387 Patients acknowledged the importance of good oral health and reported making  
388 efforts to try to help their children to maintain good oral health:

389 "I mean my kids so soon as they were old enough, like two or three, I would  
390 take them, we would take them to a dentist just to get them used to a dentist,  
391 because I think fear of dentists..." (P14)

392 Many patients reported that maintaining their oral hygiene was more difficult on the  
393 days with flare-ups:

394 "If I have a bad flare-up of arthritis, I can't ... and I miss it and I am not able, I  
395 don't have the strength to hold my electric toothbrush, because it is quite  
396 heavy" (P9)

397 "If my shoulder hurts then it's ... it can be a bit difficult to brush." (P13)

398 Even holding the toothbrush could be challenging for some patients:

399 "I could about hold it, I haven't got many teeth left anyway. It's my fear is  
400 dentists." (P2)

401 Participants mentioned the importance of developing a relationship based on trust  
402 with their dental care provider. This played an important role in their attitudes towards  
403 oral health and their behaviours in seeking oral health care services:

404 "Well I am concerned that my dentist hasn't done what needed to be done to  
405 save my teeth from breaking." (P13)

406 Respondents reported being afraid of needles and consequently being afraid of  
407 dentists. Some patients stated that they would prefer to have extractions instead of  
408 restorative treatments.

409 "I suppose out would be the best at my age I suppose out, you know." (P2)

410 When participants were asked about the way, they felt regarding their oral health,  
411 and how they regarded the visit to their dentist, many patients (particularly the more  
412 elderly) reported negative attitudes. Younger patients on the other hand reported  
413 that they would prefer to keep their natural teeth and have them treated.

414 As the discussions continued and patients described their comorbidities secondary  
415 to RA and how oral health fitted on their list of health care priorities, they also  
416 expressed their views regarding the outcomes that matter the most for them with

417 regards to their quality of life and wellbeing. Amongst the most important health  
418 related outcomes considered by the patients were autonomy, mobility and lack of  
419 pain.

420 One of them mentioned how she needed to plan her everyday activities depending  
421 on whether or not she had a flare-up:

422           “You know, where before I used to think nothing of it, I would go off and do  
423           what I needed to do. Now, I can’t do that, if I’m in pain I have think right I can  
424           only do one shop today, or I can’t walk that far today.” (P12)

425 Other stories were similar:

426           “Health, mobility that’s very important to me that my feet were not as  
427           compromised as my hands. Oh, that is very, absolutely I would tie those two  
428           together.” (P3)

429           “The difficulty I was facing whilst I was working was the inability to hold a pen  
430           properly .... And work and a computer. Erm, sitting down meant that my joints  
431           got really stiff, my knee joints and my back. And my feet and as a  
432           consequence mobility as I say became very bad... I couldn’t get upstairs to  
433           the upstairs offices.” (P10)

434 Personal mobility and the ability to keep their independence were key priorities for  
435 this patient population. This was also highlighted through the potential barriers that  
436 hindered study participation.

437 **Barriers for study participation**

438 The interviews explored the reasons why some patients would be reluctant to  
439 participate in the OPERA study to identify potential barriers that could be addressed  
440 by the research team. Several patients reported having negative experiences with  
441 dentists in the past and this discouraged them to participate in our trial –

442 “Yeah, I, I think I woke up under the gas. And, I was there was blood all over  
443 the place and I was only about this high. At school. And I never went again. I  
444 stopped going for a long time” (P20)

445 The location of the Dental Hospital was mentioned as a hindering factor by several  
446 patients:

447 “That was because it was the Dental Hospital and I find it difficult to get from  
448 my part of the town to the Dental Hospital.” (P2)

449 “It is a bit far away, you know the other side of town but they are moving to a  
450 new hospital shortly which will be more accessible, yes.” (P18)

451 Due to classic features of RA such as mobility problems, fatigue and morning  
452 stiffness as well as logistic issues with the traffic from their homes to the location of  
453 the Dental Hospital, they found that without help, they could not attend their clinical  
454 appointments.

455 Besides the location of the Dental Hospital, patients mentioned forgetfulness and the  
456 overlap of their dental appointment with other medical appointments as being  
457 important hindering factors for study participation.

458 **Removal of barriers**

459 In order to address these, the participants were asked to suggest potential solutions  
460 for these problems. Some of the hindering factors were addressed by the research  
461 team, as described in the methodology section: patients received phone call  
462 reminders about their appointments and those patients that required assistance for  
463 getting to the Dental Hospital, received support in arranging the travel logistics  
464 around getting to their appointments.

465 "Because as I say I wouldn't have been able to undertake the study unless I'd  
466 have had payment for transportation." (P10)

467 Financial incentives were set in place to compensate for the loss of time and logistics  
468 for the research and treatment visits. As all patients are unique and so is their  
469 situation and their experiences, some patients did not feel that financial incentives  
470 should encourage patients study participation:

471 That always seems to help I did a lot of groups and the financial side of it isn't  
472 a big thing to me. When I did the conferences, it was all about expenses I  
473 was happy for my expenses to be paid, but a lot of the groups I also did erm,  
474 it would be like an interview, but there would be ten of us and we would sit  
475 around and the discussion would be recorded and you usually found that all  
476 those groups would be full because people were getting financial.... they were  
477 being paid for it basically, but you would find that they were all full, all of them."  
478 (P5)



479 Some patients reported that they suffered from dental anxiety and indicated that the  
480 only way they would participate in the study would be if the screening and treatment  
481 would be done under general anaesthesia:

482 "I mean I did say to my son because he keeps telling me off he says, "Mom,  
483 you really need to go and get your teeth sorted... And I said, I will go if they  
484 can put me to sleep". If they can knock me out.... Yeah. I said that's the only  
485 way I would have it done." (P12)

#### 486 **The control arm**

487 The control arm in our study received the same treatment as the intervention group  
488 but with a delay of six months. Patients had very diverse views with regards to the  
489 how long it was acceptable to delay their treatment. Some of them preferred to have  
490 no delay at all and some were happy with a delay of up to a few years.

491 One of the patients who declined trial participation considered that treatment should  
492 be delivered immediately without any delay:

493 "I think it should be done straightaway...I don't think you should wait because  
494 with your mouth everything that goes in your stomach goes into your mouth  
495 so your gums are one of the main ones really aren't they? So, I think you  
496 know, it should be earlier than six months." (P6)

497 The majority of patients, however, felt that a delay of six months to their treatment  
498 would be acceptable whilst more than that might influence them to seek treatment  
499 elsewhere.

500 "Oh, I think it's six months... Six months would be alright...Well, perhaps 12  
501 months is, I'm 84 don't forget." (P2)

502 This view was shared by the majority of patients:

503 "I was hoping not to be in the delayed group, but as I am in the delayed group  
504 then I leave it to you erm to help me as best you can... I wouldn't like the  
505 longer waiting time." (P15)

506 **The intervention**

507 All patients who received the intervention, both in the immediate treatment group as  
508 well as in the delayed treatment group reported having a positive experience  
509 concerning to the intervention.

510 "I'm really pleased actually that erm doing this study because erm had it not  
511 been for that, this could have gone on and on and it might have got to a really  
512 bad situation with my gums and I wouldn't have known so I am really pleased."  
513 (P11)

514 They highlighted the importance of being kept informed about the progression of the  
515 study and the protocol and having pleasant interactions with the research staff

516 Yeah, they have been good, I think the experience has been good. You staff  
517 have been really helpful and I am aware of what is happening every time I  
518 come and see you. The hygienist was great, she explained what she was  
519 going to do and what she expected to do in future, so I think it has been a  
520 really good experience as well and eye opening as well. " (P5)

521 This view was shared by all the patients who received the intervention:

522 “She made me feel so comfortable and it’s embarrassing as well when you  
523 go to dentist... I find I get embarrassed. And because of the state of my teeth.  
524 I didn’t feel at bit like that from the moment. I met the hygienist and I felt quite  
525 confident that she was confident. She knew what she was doing. She  
526 explained everything. And she told me if anything hurt or to stop, to stop her.  
527 I just felt so comfortable with her... I would do it all over again.” (P21)

## 528 **Discussion**

529 Most studies investigating the associations between periodontitis and RA have used  
530 quantitative methodologies (Al-Katma et al. 2007; Pinho Mde et al. 2009; Ribeiro et  
531 al. 2005). OPERA was a mixed methods feasibility study with a nested qualitative  
532 component. We aimed to explore the acceptability of our study protocol and  
533 understand RA patients’ experiences and perspectives about accessing oral health  
534 care services. Furthermore, we gained some valuable insights into the place of oral  
535 health on their list of priorities, identified barriers and facilitators for study  
536 participation and gathered patients’ views about the intervention and about being  
537 randomized to the control arm.

538 A large amount of the data regarding the oral health status of older people in England  
539 is generated from surveys of people living in residential and nursing care homes.  
540 This represents only a minority of the elderly population and has led to a gap in our  
541 knowledge and understanding of the dental treatment preferences of this age group  
542 (Public Health England 2015). Some data suggests that for some of the older

543 patients aesthetics are less of a priority and comfort and lack of pain are considered  
544 more important (Lord et al. 2015).

545 To our knowledge, our study is the first one to look at oral health preferences in  
546 patients with rheumatoid arthritis and at barriers and facilitators for participation in a  
547 dental trial for this patient group.

548 Our sample was diverse and we purposefully included patients from all the possible  
549 groups involved in the study: 1. Those who declined trial participation; 2. Those who  
550 were found ineligible for randomization after screening; 3. Patients who were  
551 randomized to intervention arm and 4. Patients randomized to control arm. We also  
552 aimed to include patients of both genders and with different durations of RA  
553 diagnosis.

554 We have found that patients' prior experiences, values and priorities tend to have a  
555 strong impact on shaping their choices for accessing different health care services.

556 RA patients' treatments require a holistic approach and whilst their rheumatologic  
557 care often takes into account their different systemic comorbidities, oral health is  
558 commonly missed out from this picture. Patients identified a set of barriers and  
559 facilitators that can influence their participation in an interventional study. Some of  
560 these barriers were related to patients' limited mobility and logistic difficulties  
561 associated with getting to their dental appointments.

562 Our patients' main concerns appeared to be represented by the ability to have as  
563 "normal" a life as possible - to live independently, autonomously and pain free. These  
564 findings are in line with the literature with regards to RA patients with multimorbidities

565 and how these shape their choices and priorities in terms of accessing health care  
566 services (Malm et al. 2017; Ward et al. 2007).

567 Our patients described their personal experiences regarding RA and the impact of  
568 this condition on their quality of life. They reported how the condition affected their  
569 physical and emotional well-being as well as the influence it had over their socio-  
570 economic status as a consequence of the reduction of work and/or early retirement  
571 based on disability.

572 Although many participants acknowledged the importance of good oral health and  
573 its potential impact on general health, when compared to RA and the other  
574 comorbidities that they have to live with, oral health was not a high priority.

575 The patients identified a number of hindering factors that might impact on their ability  
576 for study participation and some of these factors were addressed by the research  
577 team with adaptations of the study protocol.

578 In many cases, patients reported that they had to balance their life around the  
579 treatment they received for RA and for their comorbidities: this involved multiple  
580 medications, hospital visits, etc. The overall burden of RA and of the associated  
581 comorbidities over the quality of life of these patients could be quite overwhelming.

582 Compliance with regular oral hygiene maintenance is key to maintaining good oral  
583 and periodontal health but it can become an extra burden for this cohort, especially  
584 on the days when they are dealing with flare-ups caused by their rheumatoid  
585 condition. Patients who struggle with high burden of debilitating systemic  
586 multimorbidities, perhaps unsurprisingly, reported that oral health was a not key  
587 priority for them.

588 We have also identified a number of limitations to this study. This cohort presented  
589 a median disease duration of 19 [12, 25] years. We acknowledge that the initial  
590 therapeutic options and approaches at the time of their diagnosis were quite different  
591 from those of today. Therefore, we can hypothesise that disease progression in this  
592 cohort could be significantly different compared to a cohort with a more recent onset  
593 of RA. This could potentially lead to different findings in a cohort with current early  
594 RA. When we developed the protocol for the randomized controlled trial, we aimed  
595 to include patients diagnosed with RA who were on stable treatment with disease-  
596 modifying antirheumatic drugs (DMARDs) for at least 2 months in order to reduce  
597 the likelihood of potential confounding factors caused by medication changes. It is  
598 often the case for early diagnosed RA patients to change classes of drugs and  
599 dosages, therefore after discussing this issue with rheumatologists in the research  
600 team we decided that in order to meet this goal we focus the recruitment on patients  
601 with stable established RA.

602 From a public health perspective, the burden of non-communicable diseases (NCDs)  
603 is becoming more and more pressing on the limited resources available for national  
604 health systems. It is perhaps time to consider new, creative ways of developing care  
605 packages that may include oral health care for patients with NCDs. This idea is  
606 supported by the American Diabetes Association as well as by the French National  
607 Authority for Health, which recommends the inclusion of a comprehensive  
608 periodontal examination as part of the referrals for initial care management in  
609 diabetic patients (American Diabetes Association 2018; Haute Autorité de Santé

610 2014). A similar approach may have beneficial effects for patients with other NCDs  
611 such as rheumatoid arthritis, cardiovascular disease, kidney disease, etc.  
612 The nested qualitative component of the OPERA trial provided an insight into  
613 rheumatoid arthritis patients' experiences and perceptions with regards to oral  
614 health. Our study also highlighted some of the potential barriers and facilitators for  
615 participating in a periodontal interventional study in this patient population. We hope  
616 that these findings will support the design of larger interventional periodontal studies  
617 in patients with rheumatoid arthritis.

618

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631

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