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# A Parametric Level Set based Approach to Difference Imaging in Electrical Impedance Tomography

Dong Liu\*, Danny Smyl and Jiangfeng Du\*

**Abstract**—This paper presents a novel difference imaging approach based on the recently developed parametric level set (PLS) method for estimating the change in a target conductivity from electrical impedance tomography measurements. As in conventional difference imaging, the reconstruction of conductivity change is based on data sets measured from the surface of a body before and after the change. The key feature of the proposed approach is that the conductivity change to be reconstructed is assumed to be piecewise constant while the geometry of the anomaly is represented by a shape-based PLS function employing Gaussian radial basis functions (GRBF). The representation of the PLS function by using GRBF provides flexibility in describing a large class of shapes with fewer unknowns. This feature is advantageous, as it may significantly reduce the overall number of unknowns, improve the condition number of the inverse problem, and enhance the computational efficiency of the technique. To evaluate the proposed PLS-based difference imaging approach, results obtained via simulation, phantom study, and *in vivo* pig data are studied. We find that the proposed approach tolerates more modeling errors and leads to significant improvement in image quality compared with the conventional linear approach.

**Index Terms**—Electrical impedance tomography, parametric level set method, difference imaging, lung imaging, inverse problems.

## I. INTRODUCTION

**I**N medical electrical impedance tomography (EIT), harmless electric currents are applied on a subject's skin and the resulting voltages are measured with an electrode array attached on the body surface. From these boundary voltage measurements, it is possible to estimate the internal conductivity distribution of the body. Medical applications include imaging of brain activity [1], monitoring of lung function [2]–[4], and detection of breast cancer [5] and thoracic vascular structures [6].

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One of the main challenges of EIT is that the image reconstruction is a severely nonlinear ill-posed inverse problem; therefore, robust methods for handling noise and modeling errors and a stability-promoting regularization strategy are required. Methods for solving the EIT problem can generally be divided into *absolute imaging* and *difference imaging*.

In absolute imaging, the aim is to estimate the absolute conductivity distribution based on measurements corresponding to a single state. The reconstruction of the absolute conductivity distribution requires accurate information of the auxiliary model parameters, i.e., electrode placement, size and shape, and the body shape or the domain boundary in general. Therefore, most of the algorithms assume that the electrode information and boundary of the body shape are exactly known *a priori*, and the only unknown parameter is the conductivity distribution. In practice, knowledge of these auxiliary model parameters is incomplete and uncertain, especially in medical EIT applications. For example, in the thorax applications, the thorax shape varies due to breathing and changes of the patient position during the measurements. It has been shown that errors in these auxiliary model parameters, can lead to severe errors in the absolute reconstructions [7], [8].

On the other hand, in difference imaging, the variations in conductivity distribution *w.r.t* the reference is estimated based on data sets collected before and after the conductivity change. For example, in lung imaging, the data before the change might be collected at respiratory inspiration and the data after the change at expiration, in such a way that the difference image shows the conductivity change between inspiration and expiration [2]–[4].

Presently, numerous algorithms for solving the difference EIT image reconstruction problem are available, as discussed in the following. ① Factorization methods [9]–[11] motivated from the inverse scattering problem [12]. Factorization methods are useful for detecting conductivity changes; however, their effectiveness may be questionable in cases using a small data set and it is not presently clear how to incorporate systematic *a priori* information. ② Direct (non-iterative) algorithms, such as Block method [13] and D-bar method [14]–[19], solving the full nonlinear EIT problem – without the requirement of any intermediate estimation of the conductivity from a forward model. The Block method assumes that the object to be imaged has a 2D rectangular shape and is made up of identical fixed size blocks, causing some difficulties in the practical applications. The D-bar method, on the other hand, is based on computing a nonlinear Fourier transform of the

conductivity from EIT data and then inverting the transform. A detailed description of this method and its implementation is provided in, e.g. [20]. For difference applications discussing the utilities of the D-bar method, we refer the reader to [2], [21]–[23]. To this end, real-time capabilities of the D-bar method have also recently been demonstrated in [24]. It should be noted that, in the D-bar method, regularization is provided by low-pass filtering in the nonlinear frequency domain. However, the use of low-pass filtering of Fourier data commonly results in reconstructed images that may suffer from a loss of sharpness. ③ Linearization based methods, such as NOSER [25], back projection method [26] and GREIT [27], which are some of the most widely used methods. In the linearization based method, the relationship between the conductivity and measurement changes is often modeled by a linearized model, through a global linearization of the observation model. The linear difference reconstruction has been found to tolerate modeling errors caused by uncertainties in the auxiliary model parameters – to an extent. This feature occurs when the unknown auxiliary parameters are time-independent, leading to partial cancellation of the modeling errors when the difference of the measurements is computed [28].

Although the linear difference imaging approach is able to suppress some of the effects of modeling errors, previous studies have shown that artifacts are often present in the reconstructions [29], [30]. Furthermore, a drawback of the linear approach is that it is highly approximative since the actual forward mapping is inherently nonlinear in nature, such that it is only feasible for small deviations *w.r.t* the reference conductivity [31]. For example, in EIT imaging of lungs, significant accumulation of highly conductive liquids or low conducting air may violate the linear hypothesis, and thus the conventional linear approach may be inadequate for detecting clinically relevant information in the lung [32]. Moreover, the linearization point plays an important role in the performance of the linear approach [33]. A commonly used way of selecting the linearization point is to treat it as a homogeneous estimate of the conductivity of the initial state. However, in medical EIT, the initial state is often highly inhomogeneous. Consequently, modeling errors will be generated by a homogeneous approximation of the initial state. One such example is EIT lung imaging in which the low conducting lungs attract less current flow through the body center compared to that predicted by a uniform, homogeneous distribution [33]. Due to these problems, the conventional linear approach usually only provides qualitative information on the conductivity change.

Some exceptions to the above mentioned approaches exist: one approach is to reconstruct the background conductivity of the target simultaneously with the change of the conductivity based on EIT measurements before and after the change, via the inclusion of prior information in regularization terms for each state and the employment of compound regularization, see details in [7], [28]. Another approach is to compute absolute reconstructions of the conductivities before and after change based on the EIT measurements separately, and to obtain the reconstruction of conductivity change by subtracting

conductivity before change from conductivity after change. However, these two approaches belong to nonlinear iterative methods and the minimization of such problems is computationally expensive. Therefore, from a practical point of view, they are not flexible approaches for real biomedical EIT.

Over the years, a wide variety of shape-based reconstruction methods have been proposed for many different applications. Among the shape-based reconstruction methods, the level set method (LSM) is likely the most common one [35]. Earlier relevant work in EIT includes the investigation of applying the level set method for locating the embedded objects, for examples see [36]–[39]. The key idea of LSM is to implicitly represent the conductivity distribution using a level set function, and the interfaces between regions are represented as the zero level set. The conductivity reconstruction problem is then transformed into a shape reconstruction problem.

In this paper, we aim to alleviate the ill-posedness of the difference imaging reconstruction problem to some extent by incorporating some shape-prior information. Inspired from works [40], [41], here, we expand on our previous work in [42] where a parametric level set (PLS)-based approach was developed for *absolute* EIT. In this work, we consider the use of a shape-based approach to *difference* EIT based on a PLS formulation. In the proposed PLS-based difference imaging approach, we assume that the conductivity change to be reconstructed can be described as one or more embedded objects with unknown piecewise constant conductivity change values, and the geometry of the anomaly is represented by a PLS function employing Gaussian radial basis functions (GRBF). The representation of the PLS function by using GRBF provides flexibility in describing a large class of shapes with fewer unknowns. This brings at least the following advantages: ① greatly reducing the overall number of unknowns; ② improving the condition number of the inverse problem; and ③ enhancing the computational efficiency of the technique. To solve the PLS-based inverse problem, an iterative regime aiming to improve the chance of reliably reconstructing conductivity change is employed.

Finally, we evaluate the performance of the proposed PLS-based difference imaging approach via simulation, phantom study, and in vivo pig data. Specifically, simulation data are used to show (i) PLS reconstructions for difference EIT in thorax imaging and (ii) the robustness of the PLS-based approach considering the width of the Gaussian radial basis function by varying the Gaussian width parameter. To study the robustness of the approach *w.r.t* geometric modeling errors, we reconstruct images using both phantom and in vivo pig data. The results are compared against the conventional linear difference imaging approach.

The remainder of this paper is organized as follows. In Section II, we briefly review the EIT forward model. The properties of the conventional linear approach and the proposed approach are then outlined in Sections III and IV, respectively. In Section V, the test cases, model domain, estimates and implementation issues are explained. Results and discussion are given in Section VI, and conclusions are drawn in Section VII.

## II. FORWARD PROBLEM OF EIT

Let an imaged body under investigation occupy a two- or three- dimensional region  $\Omega \subset \mathbb{R}^q, q = 2, 3$ , with its boundary denoted by  $\partial\Omega$ . The forward problem of EIT can be stated as follows: given a conductivity distribution  $\sigma(x)$  within the domain of interest  $\Omega$ , and a current injection pattern  $I_\ell$ , compute the electrical potential  $u(x)$  at the measuring electrodes. In this study, the so-called complete electrode model (CEM) is employed [43]. Mathematically, the forward problem of the CEM is stated as follows:

$$\nabla \cdot (\sigma(x)\nabla u(x)) = 0, \quad x \in \Omega, \quad (1)$$

$$u(x) + z_\ell \sigma(x) \frac{\partial u(x)}{\partial n} = U_\ell, \quad x \in e_\ell, \quad \ell = 1, \dots, L \quad (2)$$

$$\int_{e_\ell} \sigma(x) \frac{\partial u(x)}{\partial n} dS = I_\ell, \quad \ell = 1, \dots, L \quad (3)$$

$$\sigma(x) \frac{\partial u(x)}{\partial n} = 0, \quad x \in \partial\Omega \setminus \bigcup_{\ell=1}^L e_\ell \quad (4)$$

where  $x \in \Omega$  is the spatial coordinate,  $z_\ell$  is the electrode  $e_\ell$  contact impedance;  $U_\ell$  is the electrical potential measured by electrode  $e_\ell$ ;  $L$  and  $n$  denote the number of electrodes and an outward unit normal to the boundary vector, respectively.

To numerically solve Laplace's equation (1) with the CEM boundary conditions (2-4), numerical techniques are required. The *finite element method* (FEM) [44] is commonly the approach of choice, and is used herein. Assuming the measurement noise is additive and Gaussian, the observation model for EIT can be written in the form

$$V = U(\sigma) + e, \quad (5)$$

where  $V$  is the vector of the measured voltages,  $U(\sigma)$  is the FEM-based forward solution, and  $e$  is a Gaussian distributed noise with mean  $e^*$  and covariance  $\Gamma_e$ .

## III. CONVENTIONAL LINEAR DIFFERENCE IMAGING

In the conventional linear difference imaging approach, the initial state  $\sigma_1$  and final state  $\sigma_2$  are linearly related through the conductivity change  $\Delta\sigma$ ; i.e.  $\sigma_2 = \sigma_1 + \Delta\sigma$ . The resulting observation model is written

$$V_1 = U(\sigma_1) + e_1 \quad (6)$$

$$V_2 = U(\sigma_2) + e_2 \quad (7)$$

where  $e_i \sim \mathcal{N}(e_i^*, \Gamma_{e_i})$ ,  $i = 1, 2$ . Notice that typically the noise is modeled stationary in the sense that  $e_i^* = e^*$  and  $\Gamma_{e_i} = \Gamma_e$ . This model is also employed in this paper.

Difference image reconstruction seeks to reconstruct the conductivity change  $\Delta\sigma = \sigma_2 - \sigma_1$  between states from the difference data  $\Delta V = V_2 - V_1$ . Generally, the reconstruction of the  $\Delta\sigma$  is based on the first order Taylor approximation of the observation model in (6&7)

$$V_i \approx U(\sigma_0) + J(\sigma_i - \sigma_0) + e_i, \quad i = 1, 2 \quad (8)$$

where  $J = \frac{\partial U}{\partial \sigma}(\sigma_0)$  is the Jacobian (sensitivity) matrix of the forward map evaluated at an initial guess of the background

conductivity  $\sigma_0 \in \mathbb{R}$ . Homogeneous initialization  $\sigma_0$  is usually computed by solving the least squares problem

$$[\widehat{\sigma}_0] = \arg \min \{ \|(V_1 - U(\sigma_0))\|^2 \}. \quad (9)$$

Using the linearization and subtracting  $V_1$  from  $V_2$  gives the observation model

$$\underbrace{V_2 - V_1}_{\Delta V} \approx J \underbrace{(\sigma_2 - \sigma_1)}_{\Delta\sigma} + \underbrace{e_2 - e_1}_{\Delta e} \quad (10)$$

The Tikhonov regularized solution for the conductivity change  $\Delta\sigma$  is thus

$$\widehat{\Delta\sigma} = \arg \min \{ \|L_{\Delta e}(\Delta V - J\Delta\sigma)\|^2 + p_{\Delta\sigma}(\Delta\sigma) \}. \quad (11)$$

Here,  $L_{\Delta e}$  is defined as  $L_{\Delta e}^T L_{\Delta e} = \Gamma_{\Delta e}^{-1}$ , where  $\Gamma_{\Delta e}$ , the covariance of the noise term  $\Delta e$ , is  $\Gamma_{\Delta e} = \Gamma_{e_1} + \Gamma_{e_2} = 2\Gamma_e$ . The term  $p_{\Delta\sigma}(\Delta\sigma)$  is a regularization functional, e.g., the most commonly used  $\ell_2$  norm that stabilizes the inversion.

## IV. PARAMETRIC LEVEL SET BASED DIFFERENCE IMAGING

In this section, the parametric level set based difference imaging approach used for estimating the conductivity change is introduced. For simplicity of presentation, we assume that there exists a boundary  $\Gamma \subset \Omega$  that separates the domain  $\Omega$  into two parts  $\Omega^+$  and  $\Omega^-$ , i.e.,  $\Omega = \Omega^+ \cup \Omega^-$ . We consider the case where the conductivity change  $\Delta\sigma(x)$  is a piecewise constant function of unknown conductivity change value  $\Delta\sigma(x) = \Delta\sigma_1$  for  $x \in \Omega^+$  and  $\Delta\sigma(x) = \Delta\sigma_0$  for  $x \in \Omega^-$ , as shown in Fig. 1.

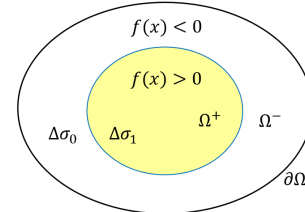


Fig. 1. Illustration of object and level set representation of a free boundary in two spatial dimensions.

A comprehensive study of PLS technique in the application of absolute EIT has been given in [42]. However, for the convenience of the reader, we will give in the following a brief introduction into its underlying theory and extension to difference EIT.

The level set method represents the boundary  $\Gamma = \{x : f(x) = 0\}$  between regions, as the zero contour of a higher dimensional function,  $f(x)$ , called the level set function (LSF), satisfying

$$\begin{cases} f(x) > 0 & \forall x \in \Omega^+, \\ f(x) = 0 & \forall x \in \Gamma, \\ f(x) < 0 & \forall x \in \Omega^-. \end{cases} \quad (12)$$

In terms of the LSF  $f(x)$ , we can express  $\Delta\sigma$  as

$$\Delta\sigma(x) = \Delta\sigma_0(1 - H(f(x))) + \Delta\sigma_1(H(f(x))) \quad (13)$$

in which the later term represents the anomaly and  $H(s)$  is the Heaviside function, where  $H(s) = 0$  for  $s < 0$  and  $H(s) = 1$ , otherwise.

In practice, to be able solve the inverse problem numerically and make the update of the LSF possible, one typically uses a smooth approximation of the Heaviside function. One such choice is

$$H_\varepsilon(s) = \begin{cases} 0 & s < -\varepsilon, \\ \frac{1}{2}\left[1 + \frac{s}{\varepsilon} + \frac{1}{\pi} \sin\left(\frac{\pi s}{\varepsilon}\right)\right] & |s| \leq \varepsilon \\ 1 & s > \varepsilon \end{cases} \quad (14)$$

Here, the parameter  $\varepsilon$  defines a band of width  $2\varepsilon$  within which the Heaviside function is smoothed [45].

In most contemporary shape-based approaches, the LSF  $f(x)$  is commonly selected as a signed distance function [38], which is associated with the discretization of  $x$ -space. Consider now the LSF  $f(x)$  represented parametrically in basis set  $P = \{p_1, p_2, \dots, p_N\}$ :

$$f(x) = \sum_{i=1}^N \mu_i p_i(x), \quad (15)$$

where  $N$  denotes the number of the radial basis functions (RBFs)  $p_i(x)$ , and  $\mu = [\mu_1, \mu_2, \dots, \mu_N] \in \mathbb{R}^N$  is the unknown PLS parameter vector which determines the weights of the RBFs. Possible choices for the  $P$  basis set include Gaussian, multi-quadric, poly-harmonic splines and thin plate splines polynomial. As in [42], we use Gaussian RBF. That is,

$$p_i(x) = \exp\left(-\frac{\|x - x_i\|^2}{2\gamma^2}\right), \quad (16)$$

where  $\gamma$  is the Gaussian width,  $x_i$  is the RBF center, see details in Section V-D, and  $\|\cdot\|$  denotes the Euclidean norm.

Based on the parameterization of the LSF, equation (12) can be modified as

$$\begin{cases} f(x, \mu) > c & \forall x \in \Omega^+, \\ f(x, \mu) = c & \forall x \in \Gamma, \\ f(x, \mu) < c & \forall x \in \Omega^-, \end{cases} \quad (17)$$

here,  $c$  is a small positive value.

Based on equation (17), the distribution of conductivity change in (13) can be expressed as

$$\Delta\sigma(x, \mu) = \Delta\sigma_0(1 - H(f(x, \mu) - c)) + \Delta\sigma_1(H(f(x, \mu) - c)). \quad (18)$$

This new model, in fact, maps the space of unknown regions  $\Omega^+$  into the space of unknown PLS parameter  $\mu$ , which greatly reduces the overall number of unknowns for a given problem and significantly enhances the efficiency of the technique, as compared to traditionally used pixel/voxel-based non-linear iterative methods, e.g., the modified Gauss-Newton algorithm with Tikhonov style regularization [46] and the maximum a posteriori estimation using a smoothness prior [8].

Now the observation model in (10) can be expressed as

$$\Delta V = \underbrace{J\Delta\sigma(x, \mu)}_{\Delta U(\mu, \Delta\sigma_0, \Delta\sigma_1)} + \Delta e. \quad (19)$$

Then, the shape reconstruction and estimation of piecewise constant values  $\Delta\sigma_0$  and  $\Delta\sigma_1$  in PLS based difference imaging amounts to solving the minimization problem

$$\begin{aligned} [\hat{\mu}, \widehat{\Delta\sigma_0}, \widehat{\Delta\sigma_1}] = \arg \min \{ & \|L_{\Delta e}(\Delta V - \Delta U(\mu, \Delta\sigma_0, \Delta\sigma_1))\|^2 \\ & + \|I(\mu - \mu^*)\|^2 + \sum_{j=0}^1 \|L_{\Delta\sigma_j}(\Delta\sigma_j - \Delta\sigma_j^*)\|^2 \}, \end{aligned} \quad (20)$$

Here,  $I$  is the identity matrix.  $\mu^*$  is predetermined constant values (see Sections V-D). In difference imaging,  $\Delta\sigma_j^*$  is usually set to 0, since decreases and increases in  $\Delta\sigma$  are equally likely. The penalty terms added in (20) allows improving the algorithm's convergence speed and preserving its stability. We note that in the minimization problem (20), unknown  $\Delta\sigma_0$  and  $\Delta\sigma_1$  are appended to the unknown PLS parameter  $\mu$ , and are estimated together with  $\mu$  simultaneously.

#### A. Level set sensitivity analysis

Due to the nonlinearity of the minimization problem (20), solutions are computed iteratively, and during the iterations, the Jacobian matrix  $J_{(\mu, \Delta\sigma_0, \Delta\sigma_1)}$  is needed. Based on the chain rule, the derivative of  $\Delta U(\mu, \Delta\sigma_0, \Delta\sigma_1)$  w.r.t the PLS parameter  $\mu$  can be split into a product of three partial derivatives yielding

$$J_\mu = \frac{\partial(\Delta U)}{\partial\Delta\sigma} \cdot \frac{\partial\Delta\sigma}{\partial f} \cdot \frac{\partial f}{\partial\mu} = J(\Delta\sigma_1 - \Delta\sigma_0)(\delta(f - c)) \frac{\partial f}{\partial\mu}, \quad (21)$$

where  $\delta(\cdot)$  denotes the Dirac delta function.

Similarly, we obtain

$$J_{\Delta\sigma_0} = \frac{\partial\Delta U}{\partial\Delta\sigma} \cdot \frac{\partial\Delta\sigma}{\partial\Delta\sigma_0} = J(1 - H(f - c)), \quad (22)$$

and

$$J_{\Delta\sigma_1} = \frac{\partial\Delta U}{\partial\Delta\sigma} \cdot \frac{\partial\Delta\sigma}{\partial\Delta\sigma_1} = J(H(f - c)). \quad (23)$$

To solve the minimization problem in (20) we employ the Gauss-Newton method equipped with a line search.

## V. METHODS

In this section, the performance of the PLS based difference imaging approach is tested with numerical simulations and experimentally. The test cases, estimates, implementation issues, parameter selection used in the computational methods and experimental evaluation are explained. For the results and discussion, see Section VI.

#### A. Test cases

To study the performance of the proposed approach, the following studies were carried out. In each test case, two measurement sets were simulated or collected:  $V_1$  is a reference set of measurements corresponding to an initial conductivity  $\sigma_1$  and  $V_2$  corresponding to conductivity  $\sigma_2$  after the change. Note that the measurements with a homogeneous object are not required in this study.

TABLE I  
CONDUCTIVITY VALUES BASED ON TYPICAL VALUES OBTAINED FROM  
THE WORK [47] ASSIGNED IN THE SIMULATION.

Tissue	Conductivity (mS/cm)
Ventricle	2.5
Soft tissue	2.0
Inflated lung	0.5
Deflated lung	1.5
Collapsed lung	1.0
Descending aorta	3.1
Heart (mixture of blood and heart)	3.5

1) *Simulated thorax imaging*: To start, we consider three simulated test cases 1-3 corresponding to different conditions in thorax imaging for demonstrating the general behavior of our PLS based difference imaging approach. As shown in Fig.4, for Case 1, the initial state  $\sigma_1$  corresponds to the end-expiration phase, and the conductivity after the change  $\sigma_2$  corresponds to the end-inspiration phase. In Case 2, we simulate a more realistic case in lung monitoring, in which part of the left lung is collapsed. For Case 3, the initial state  $\sigma_1$  simulates a heart in the end-systolic phase, and the conductivity after the change  $\sigma_2$  simulates the heart in the end-diastolic phase. It is important to remark that, in the simulations, we did not use PLS functions to represent the boundary of regions for assigning conductivity distributions. Rather, the regions of lungs, heart, and aorta were defined by using structural mesh.

The target shape was obtained from computed tomography scan of human thorax.  $L = 16$  equally spaced electrodes with length 2 cm were placed around the thorax boundary. The conductivities of the tissues used in the simulations were listed in Table I, The measurement data was simulated with adjacent current stimulation at amplitude 1mA and adjacent measurement. To simulate real-life conditions, we added Gaussian noise with standard deviation 0.1% of the difference between the maximum and minimum value of the noise free measurement data to the simulated data. The selected noise level corresponds to the signal to noise ratio SNR=42.63 dB.

2) *Water tank cases*: In the phantom experimental studies (Cases 4-7), the experiments were performed using a human thorax-shaped water tank, see Fig.7.  $L = 16$  identical metallic rectangular electrodes with a width of 2 cm were attached to the interior lateral surface of the tank. The horizontal and vertical radii of the tank were  $R_h = 17.5$  cm and  $R_v = 14$  cm, respectively. The tank was filled with tap water, and objects made of different materials (agar, plastic or copper) were placed inside the tanks to simulate different conductivity distributions. To present the inclusions in an easy-to-read style, the material type of each inclusion was marked by a capital letter, e.g., the inclusion marked by a letter ‘A’ meaning “made from agar”, see details in Fig.7. All inclusions were homogeneous in the vertical direction and extended through the water surface. The measurements were acquired with the KIT4 system [48], using adjacent current stimulation (frequency 10 kHz, amplitude 1 mA) and adjacent measurement.

3) *Test cases using pig data*: The pig data was collected on anesthetized ventilated supine pigs (mean body weight

$30.1 \pm 2.3$  kg) as part of the study in [32], using the Goe MF II EIT system from University of Gottingen.  $L = 16$  ECG electrodes were placed around the thorax 3 cm below the axilla. Right-sided pneumothorax and pleural effusion were artificially induced by means of manual injection of air up to 300 mL and 300 mL Ringer solution, respectively. To open the pleural cavity for injection of air or fluid, a small surgical incision into the chest wall was made and a plastic cannula was fixed by a suture and sealed by cyanoacrylate glue. For more details, we refer the reader to the paper [32]. The reference data was obtained by averaging voltages data of 120 seconds duration before intervention.

## B. Computational domain modeling

- In the simulated cases 1-3, to test the general behavior of our PLS-based difference imaging approach, we assume that the target domain  $\Omega$  is accurately known, and in the reconstruction, we used the correct domain as the model domain, i.e.,  $\tilde{\Omega} = \Omega$ , meaning that no geometric modeling errors are present. However, during clinical measurements, the body shape is not always known, and an approximate model domain  $\tilde{\Omega}$  has to be employed. Therefore, in the following experimental studies (Cases 4-9), we study the effect of modeling errors caused by inaccurately known shape of the target domain.
- In water tank Cases 4-7, since the inclusions were homogeneous in the vertical direction and extended through the water surface, a 2D model was adequate for modeling the measurements. In the reconstruction, we used a 2D circle domain with radius 17.5 cm as the model domain shown in Fig.2.
- In the studies (Cases 8&9) using in vivo pig data, we applied a cylinder with radius 11 cm and height 10 cm as the model domain, representing 3D studies using incorrect model domain. Note that, since measurements of the pig chest circumference at the electrode plane were not available, the selection of the cylinder radius was estimated from chest circumferences based on weight information, by using a regression model<sup>1</sup> with 38 pigs: chest circumference in cm = 0.992\*weight in kg + 36.6 cm. In the model domain, the electrode plane was placed at the half-height ( $z = 5$  cm) of the cylinder.

The discretization details of the target domain are show in Table II.

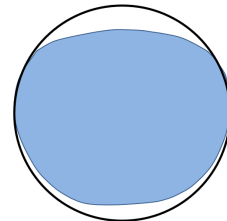


Fig. 2. Measurement domain  $\Omega$  shown as gray patch and model domain  $\tilde{\Omega}$  ( $\partial\tilde{\Omega}$  is shown with solid line) are used in Cases 4-7.

<sup>1</sup>The regression model was kindly provided by Prof. Günter Hahn, who conducted the pig experiments.

TABLE II

FINITE ELEMENT MESHES FOR THE TEST CASES.  $N_n$  IS THE NUMBER OF NODES AND  $N_E$  IS THE NUMBER OF ELEMENTS (TRIANGULAR ELEMENTS FOR CASES 1-7 AND TETRAHEDRAL ELEMENTS FOR CASES 8-9).

	Simulation studies Cases 1-3		Experimental studies Cases 4-7      Cases 8-9	
	Simulated data	Reconstruction	Reconstruction	Reconstruction
$N_n$	7150	5586	3301	12509
$N_E$	13802	10674	6264	57006

### C. Estimates

The following estimates were computed. For parameter choices of each reconstruction, see Section V-D.

- **(E1) Conventional linear difference imaging reconstruction of  $\Delta\sigma$  by solving :**

$$\widehat{\Delta\sigma} = \arg \min_{\Delta\sigma} \{ \|L_{\Delta e}(\Delta V - J\Delta\sigma)\|^2 + \|L_{\Delta\sigma}(\Delta\sigma - \Delta\sigma^*)\|^2 \}, \quad (24)$$

where  $\Delta\sigma^*$  is the expectation of  $\Delta\sigma$ , which is usually set to 0.  $\mathcal{L}_{\Delta\sigma}^T \mathcal{L}_{\Delta\sigma} = \Gamma_{\Delta\sigma}^{-1}$ , and  $\Gamma_{\Delta\sigma}$  is a smoothness promoting covariance matrix with elements defined as

$$\Gamma_{\Delta\sigma}(\tau, \kappa) = a \exp \left\{ -\frac{\|x_\tau - x_\kappa\|_2^2}{2b^2} \right\} + d\delta_{\tau\kappa}. \quad (25)$$

Here,  $\Gamma_{\Delta\sigma}(\tau, \kappa)$  is a covariance matrix, element  $(\tau, \kappa)$  corresponding to a generic smoothness prior model for the unknown conductivity change  $\Delta\sigma$  at the nodes in locations  $x_\tau$  and  $x_\kappa$ . Parameters  $a, b$  and  $d$  are positive scalar parameters, listed in table III for different test cases, where  $a$  can be used to tune the variation of the conductivity change,  $b$  sets the correlation length of the model, and  $d$  is a small positive value which is used to ensure that  $\Gamma_{\Delta\sigma}$  is well-conditioned. The selections of  $a, b$  and  $d$  are based on simulations and visual inspection of the results.  $\delta_{\tau\kappa}$  denotes the Kronecker delta function, where  $\delta_{\tau\kappa} = 1$  for  $\tau = \kappa$  and  $\delta_{\tau\kappa} = 0$ , otherwise. Note that, in estimate (E1), the conductivity change is estimated by treating the internal distribution to be continuous i.e. without considering the piecewise constant assumption. Thus the corresponding unknown parameters vector was  $(\Delta\sigma)^T \in \mathbb{R}^{N_n}$ .

- **(E2) Parametric level set based difference imaging reconstruction:** The estimates for the shape and binary conductivity change values were computed by solving the minimization problem as given in (20)

$$\begin{aligned} [\hat{\mu}, \widehat{\Delta\sigma}_0, \widehat{\Delta\sigma}_1] &= \arg \min \{ \|L_e(\Delta V - \Delta U)\|^2 \\ &+ \|(\mu - \mu^*)\|^2 + \sum_{j=0}^1 \|L_{\Delta\sigma_j}(\Delta\sigma_j)\|^2 \}, \end{aligned} \quad (26)$$

### D. Model implementation

In this section, we discuss important information related the implementation of the proposed imaging approach. To start, we remark that the  $\mu'_i$ 's weight coefficients were initially set to 0.5 for all test cases studied in this paper. We would like

TABLE III  
PRIORI PARAMETERS AND GAUSSIAN WIDTH COEFFICIENT.

		Simulated data Cases 1-3	Water tank data Cases 4-7	Pig data Cases 8-9
E1	a	1.5	0.3	$10^{-3}$
	b	1	1	1.6
	c	$10^{-3}$	$10^{-3}$	$10^{-6}$
E2	$\mathcal{K}$	1.3	0.2	0.5

to point out that the true values of the  $\mu'_i$ 's weight coefficients are not assumed to be known *a priori*; rather, they are estimated numerically. For the shape representation, Heaviside function (14) with  $\varepsilon = \mathcal{A}/2$  was used, here,  $\mathcal{A}$  denotes the mean value of the element area/volume in the FEM mesh, i.e.,  $\mathcal{A} = \frac{\text{Area/volume of domain } \Omega}{\text{Number of elements}}$ . A fixed constant  $c = f_m$  level set was applied for (17), where  $f_m$  is the mean value of the initial LSF  $f_k$ . The Cholesky factor  $L_{\Delta\sigma}$  in (26) or in (20) is calculated as  $L_{\Delta\sigma}^T L_{\Delta\sigma} = C_{\Delta\sigma}^{-1}$ , where  $C_{\Delta\sigma}$  is selected as  $\begin{bmatrix} 1 & 0 \\ 0 & 100 \end{bmatrix}$ . A large variance for  $\Delta\sigma_1$  indicates that the estimated value of  $\Delta\sigma_1$  is spread out far from the initial guess, while a small variance for  $\Delta\sigma_0$  indicates the opposite.

Next, we address the selection of the Gaussian width parameter  $\gamma$  for the GRBF (16) in the PLS-based difference imaging approach. As in [42], we rewrite the GRBF (16) in the new form of

$$p_i(x) = \exp(-\lambda \|x - x_i\|)^2. \quad (27)$$

where,  $\lambda = (\sqrt{2}\gamma)^{-1}$ . Obviously, by adjusting the parameter variant  $\lambda$ , the width  $\gamma$  of GRBF will be changed accordingly. To allow a semi-automated way for choosing this variant  $\lambda$ , we define

$$\lambda = \mathcal{K}\mathcal{A}, \quad (28)$$

here,  $\mathcal{K}$  is a free coefficient of the Gaussian width parameter, which is given in Table III. **The selection of  $\mathcal{K}$  was based on simulations and visual inspection of the results.** A robustness study of PLS-based difference imaging approach *with* the Gaussian width coefficient  $\mathcal{K}$  will be discussed in Section VI-A.

According the work in [42], given a reasonable choice of numbers of RBF centers ( $N_{\text{RBFc}}$ ) and roughly equally distributed RBF centers  $x_i$  in the domain to be imaged, the PLS-based absolute reconstruction method is quite robust to the choice of the initial distribution of  $x_i$ . In this study, using the same RBF centers selection strategy in [42], we chose  $N_{\text{RBFc}} = 38$  for simulations and  $N_{\text{RBFc}} = 31$  for experimental studies. Thus the corresponding unknown parameters vector was  $(\mu, \Delta\sigma_0, \Delta\sigma_1)^T \in \mathbb{R}^{40}$  for simulations,  $(\mu, \Delta\sigma_0, \Delta\sigma_1)^T \in \mathbb{R}^{33}$  for experimental studies. A presentation image of the distribution of the  $x_i$  for both numerical and experimental test cases is shown in Fig 3.

Note that in the 3D reconstructions of Cases 8&9, the RBFc's height ( $z = 5$  cm) was kept at the same height of electrode plane.

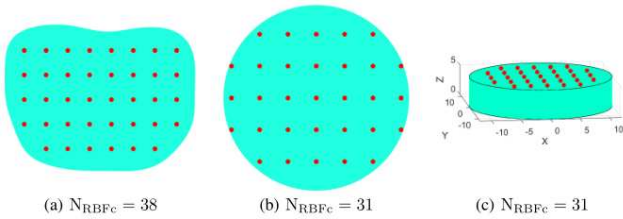


Fig. 3. Distributions of the RBF centers  $x_i$  used for the PLS-based difference imaging approach.

## VI. RESULTS AND DISCUSSION

We now demonstrate the effect of the PLS-based difference imaging approach on simulated as well as experimental data. To quantitatively evaluate the performance of both estimates (E1&E2), we computed the relative size/volume coverage ratio (RCR) [28], shown in Table IV, for measuring how well the sizes/volumes of inclusions were recovered:

$$\text{RCR} = \frac{\text{CR}}{\text{CR}_{\text{True}}}, \quad (29)$$

where CR measures the coverage ratio defined as the ratio of the size/volume of the inclusions to the total size/volume of the target. Correspondingly,  $\text{CR}_{\text{True}}$  is the CR of the true target. For determining the size/volume of the inclusion, a threshold of half of the maximum/minimum of the  $\widehat{\Delta\sigma}$  was used to detect the inclusion.

Note that for computing the RCRs in Cases 8&9, it is difficult to know the true target volume, therefore we simplified the definition of (29) into

$$\text{RCR} = \frac{\text{volume of estimated mass}}{\text{volume of injected mass}},$$

which indicates how much difference is generated between the estimated volume of the mass (air or fluid) volume and the true mass volume.

Further, the minimum/maximum of the reconstructed conductivity changes were used to measure the accuracy of the recovered contrast. The contrasts of the reconstructed conductivity changes are tabulated in terms of a relative contrast (RCo)

$$\text{RCo} = \frac{\max|\widehat{\Delta\sigma}|}{\max|\Delta\sigma_{\text{true}}|}.$$

We should point out that, to compute the RCo values of Cases 4 & 5, we assumed that the conductivity value of plastic bar is zero, such that  $\max|\Delta\sigma_{\text{true}}| = \sigma_{\text{tap water}}$ . Note that neither the conductivity values of the inclusions composed of agar and copper in Cases 6 & 7 nor the conductivity changes in the pig thorax (Cases 8 & 9) were accurately known. Therefore, we didn't compute the RCo values for those cases.

The relative quantities RCR and RCo are used here instead of the respective quantities CR and  $\max|\widehat{\Delta\sigma}|$  to facilitate the comparison of the values in Table IV. For both RCR and RCo, value of 1 would indicate an exact match of the true and estimated values of the conductivity change, while a value greater or less than 1 would imply overestimation or underestimation, respectively.

In addition, for the simulation studies, we also computed the structural similarity (SSIM) index, see details in [49], for measuring the similarity between the true and reconstructed images. A SSIM value of 1 represents the two compared images are exactly the same, a perfect match, while value of zero indicates little similarity. Note that no SSIMs were computed for experimental test cases since the inclusions are infinite conductors or resistors or the true images are not available.

### A. Reconstructions from simulated data

The true conductivity distributions and the estimates (E1&E2) for the simulated test cases 1-3 are shown in Fig.4. The conductivity distributions before ( $\sigma_1$ ) and after ( $\sigma_2$ ) change, and the conductivity change  $\Delta\sigma$  are shown in the first to third columns, respectively. The fourth and fifth columns shows the conventional linear difference imaging (E1) and the proposed PLS-based difference imaging (E2), respectively.

In the estimate (E1), the shape of the changes in Cases 1-3 are recovered relatively well, although there is a clustering of artifacts in the reconstructed images, and the amplitude of reconstructed contrasts of  $\Delta\sigma$  are heavily biased. The reconstruction artifacts and contrast distortion are mainly due to the use of an approximated  $J$  evaluated at a homogeneous conductivity  $\sigma_0$ , which is clearly a poor model for the thorax [33], in which the lungs are far less conductive than the background tissue.

On the other hand, the estimate (E2) with the proposed PLS-based difference imaging approach, in all the numerical test cases, results in good qualitative estimates of the conductivity change  $\Delta\sigma$ . This observation is quantitatively confirmed by SSIMs, RCRs and RCo closest to the true values, as given in Table IV. It is worth noticing that in (E2), although the amplitude of reconstructed contrasts of  $\Delta\sigma$  is also biased, the shape of the inclusions is still very feasible for all simulated cases. Especially in Case 3, (E2) provides not only the best shape estimation of the inclusion, which associates evidence with the SSIM value 0.97, but also feasible reconstruction of amplitude contrast of  $\Delta\sigma$ , as evident from the RCo value 1.01 shown in Table IV.

Overall, the results of the simulated test cases indicate that the PLS-based difference imaging approach improves the accuracy of the estimates of  $\Delta\sigma$  compared to the conventional linear difference imaging approach, and that the proposed approach tolerates modeling errors caused by the approximation of Jacobian matrix  $J$  better than the conventional linear difference imaging.

In addition, similar to the robustness study considering variability of the Gaussian width parameter in [42], we computed a set of (E2) estimation for Case 2 with 30 evenly spaced width coefficients  $\mathcal{K}$  in the interval  $[1/10, 3]$ , and 4 evenly spaced width coefficients  $\mathcal{K}$  in the interval  $[4, 7]$ . Due to space limitation, we only show part of the final reconstructions in Fig. 5. The corresponding SSIM values are plotted in Fig. 6. The effect of Gaussian width parameter  $\mathcal{K}$  is well seen from Fig. 5, with smallest values  $\mathcal{K} = 0.1, 0.3$ , the PLS-based approach fails to produce meaningful reconstructions,

TABLE IV  
THE SSIM INDEXES AND RCRs OF THE RECOVERED INCLUSIONS AND THE RELATIVE CONTRAST VALUE (RCO) OF THE RECONSTRUCTED  $\widehat{\Delta\sigma}$ .

	Simulated data						Water tank data						Pig data				
	Case 1		Case 2		Case 3		Case 4		Case 5		Case 6	Case 7	Case 8	Case 9			
	SSIM	RCR	RCo	SSIM	RCR	RCo	SSIM	RCR	RCo	RCR	RCo	RCR	RCo	RCR			
True	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00			
E1	0.73	0.56	3.75	0.76	0.52	3.77	0.81	2.93	0.64	2.99	0.58	1.78	0.96	2.53	8.47	2.05	2.45
E2	0.76	0.61	3.43	0.79	0.65	3.24	0.97	0.42	1.01	0.75	1.15	1.08	0.90	0.99	2.30	0.74	1.12

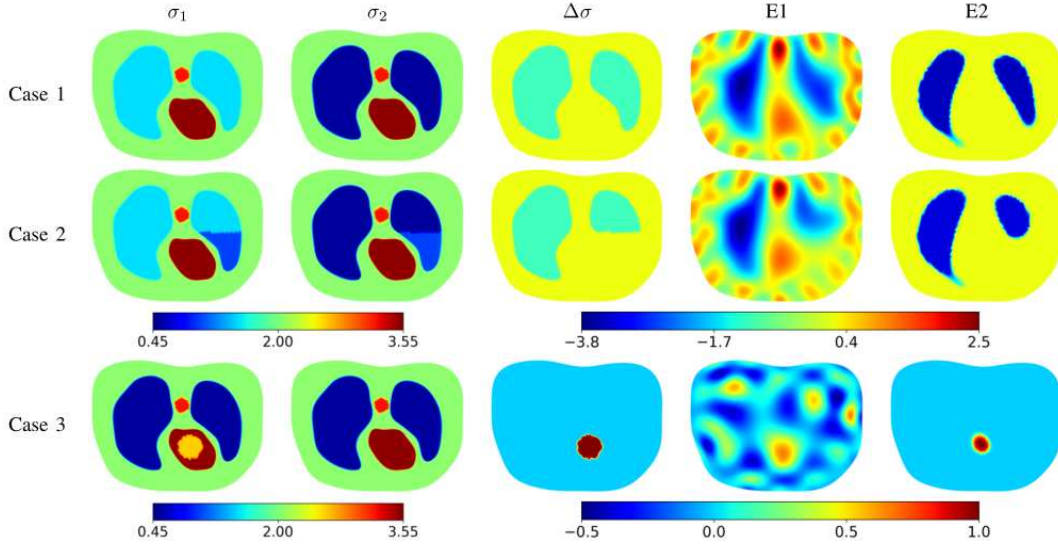


Fig. 4. Reconstructions with both conventional linear difference imaging (E1) and PLS-based difference imaging (E2) approaches from simulated data.

which is due to the fact that a small  $\mathcal{K}$ , i.e., a big  $\gamma$ , for RBF will lose the locality intended for the expected inclusions; With the 3rd smallest  $\mathcal{K} = 0.5$ , i.e., corresponding to the 3rd largest  $\gamma$ , the estimated image is blurry, which is an expected result, since a large Gaussian width determines a large degree of smoothing—the larger the width, the larger the size of the structures which are smoothed away. Conversely, too large values of  $\mathcal{K} = 4, \dots, 7$  (i.e., the values of width  $\gamma$  are too small), produce in narrow-band inclusions around the RBF centers. With all the other selected values of  $\mathcal{K}$ , the inclusions are relatively well recovered, producing enhanced lung edges, which is also verified by the metrics parameter SSIM indices shown in Fig.6. We note that the coefficient  $\mathcal{K}$  increasing from 1.7 to 2.3 tends to produce artifacts near the edge of the inclusions, which may be related to a trend that reflects producing edge artifacts. In choosing the Gaussian width parameter  $\gamma$  or coefficient  $\mathcal{K}$ , one always faces a trade-off between ‘edge sharpening’ and ‘artifacts eliminating’.

*B. Reconstructions from water tank data*

Next, we proceed to reconstructions from water tank data. Fig. 7 depicts the results of both estimates (E1&E2) on four experimental test Cases 4-7. As the results shown in Fig. 7, the conductivity change was detected in both estimates (E1&E2), despite the significant error (see Fig. 2) in the shape of the model domain. However, the accuracy of these reconstructions based on (E1) is rather low, leading a large number of artifacts in the reconstructed images, and especially the shapes of the inclusions are not tracked very well. Consequently, (E1)

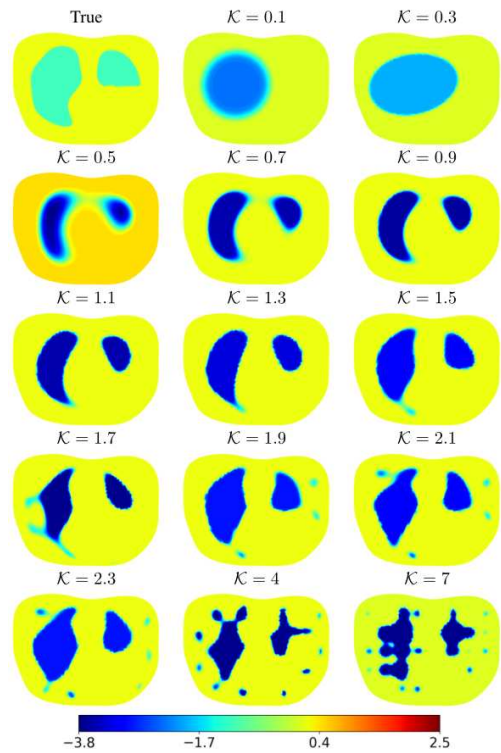


Fig. 5. Robustness study of the PLS-based difference imaging approach w.r.t the Gaussian width coefficient  $\mathcal{K}$ . The same data set as Case 2 was used for the reconstruction.

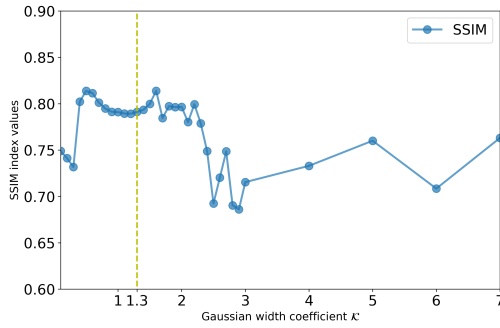


Fig. 6. SSIM index versus the Gaussian width parameter coefficient  $\kappa$  in case 2 using the PLS-based difference imaging approach for recovering the conductivity change of the collapsed lung. The dashed vertical line denotes the case shown in the 2<sup>nd</sup> row of Figure 4.

overestimates the coverage ratio RCRs significantly, see Table IV. On the other hand, (E2) leads to the best estimation of conductivity change for both high contrast (Cases 4,5 and 7) and low contrast experiments (Case 6). (E2) also results in the coverage ratio closest to the true value, e.g., RCR being 0.99 for Case 6, see Table IV. Note that the result of Case 7 indicates that the proposed approach has better potential for the detection of the descending aorta using EIT.

To check how well the estimate (E2) based on the proposed method tolerates the modeling errors, we also computed the reconstructions with (almost) correct domain model for Case 6 in Fig. 8 for a comparison study. As is obvious from the reconstructions in Fig. 8, with or without geometric modeling errors, estimate (E2) produces better reconstructions than estimate (E1). It is also evident from the RCR of the recovered inclusions, e.g., RCR being 2.23 and 1.04 for (E1) and (E2), respectively, in the case of applying (almost) correct domain model for the reconstructions.

### C. Reconstructions from pig data

The reconstructions of pig data described in Section V-A3 are shown in Figs. 9 and 10. The slices that are shown, are the horizontal cross sections at  $z = 1, 3, 5, 7$  and 9 cm of the reconstructed 3D conductivity. The slices from ventral (upper) to dorsal (lower) are presented in radiological convention, which means that the left side of the image corresponds to the right side of the pig. Note that in Figs. 9 and 10, we used a colour map developed by Draegerwerk AG & Co. KGaA for display of EIT ventilation images.

Again, both estimates (E1&E2) using the incorrect model domain  $\tilde{\Omega}$  are able to localize the unilateral right pneumothorax and pleural effusion, corresponding to the correct side of injection, in the anesthetized, ventilated pig. More precisely, the region with negative conductivity change after air injection is located at the more ventral part of the images, and after Ringer solution injection, the region with positive conductivity change located in the middle region. Note that the recovered fluid region is represented more dorsally than the air, which is an expected result since the position of fluid accumulation was below that of air accumulation in relation to the effect of gravity in supine pigs. These findings are consistent with those from previous studies [27], [32].

Comparing the reconstructions based on (E1) and (E2), the (E2) based reconstructions show that conductivity changes are more clearly defined and free of artifacts. Estimate (E2) also results in the coverage ratio closest to the true value, RCRs being 0.74 and 1.12 (see Table IV) for Cases 8&9, respectively.

Overall, the results of the test cases using pig data indicate that the PLS-based difference imaging approach improves the accuracy of the estimates, resulting superior visual quality of the conductivity change, as is evident from the metric parameter RCR, compared to that obtained by conventional linear difference imaging approach.

### D. Discussion on the results

Difference EIT has been well known to tolerate modeling errors caused by inaccuracies in modeling target domains. To some extent, this feature is observed in the results reported herein. In all cases, the estimate (E1) is, at least, indicative of the location of the conductivity change. Although the conventional linear difference imaging approach is able to suppress some of the effects of modeling errors, it has been shown that a large number of artifacts are still present in the reconstructions, which is mainly due to the fact that the sensitivity distribution in the domain is affected by the selection of a homogeneous conductivity distribution  $\sigma_0$  for evaluating Jacobian matrix  $J$ .

On the other hand, the proposed PLS-based approach is much less affected by modeling errors, caused by inaccurately known domain geometry and the poorly approximated sensitivity distribution, than that of the conventional linear difference imaging approach. This is probably due to the fact that, in the PLS-based approach, the conductivity change was assumed to be piecewise constant and the geometry of the anomaly was represented by a shape-based PLS function using a low order representation. This allows reducing dramatically the overall number of unknowns, improving the condition number of the inverse problem, and enhancing the computational efficiency of the technique. Meantime, the PLS-based reconstruction problem was solved iteratively, offering a greater chance of arriving at an more accurate reconstruction of the problem.

Finally, we discuss computational aspects pertinent to the efficiency of the reconstruction algorithm. It is well known that, in the traditionally used pixel/voxel-based non-linear iterative methods [46], a line search is usually performed on the conductivity update. This process demands repetitive calculation of the forward problem, which becomes a severe bottleneck [50]. For example, the use of non-linear iterative methods coupled with a line search takes several minutes [51] to obtain the final reconstructions. In contrast, conventional linear difference approaches are known to be computationally fast since iteration is not needed. As an example, the estimate (E1) shown in Fig. 7 was obtained from a MATLAB implementation of the conventional linear difference approach on a desktop PC with an Intel Core i7-6700K processor and 32GB memory within 6 to 8.5 seconds.

We would like to point out that the proposed PLS-based difference imaging approach is, indeed, an iterative reconstruction algorithm. However, implementation of the proposed

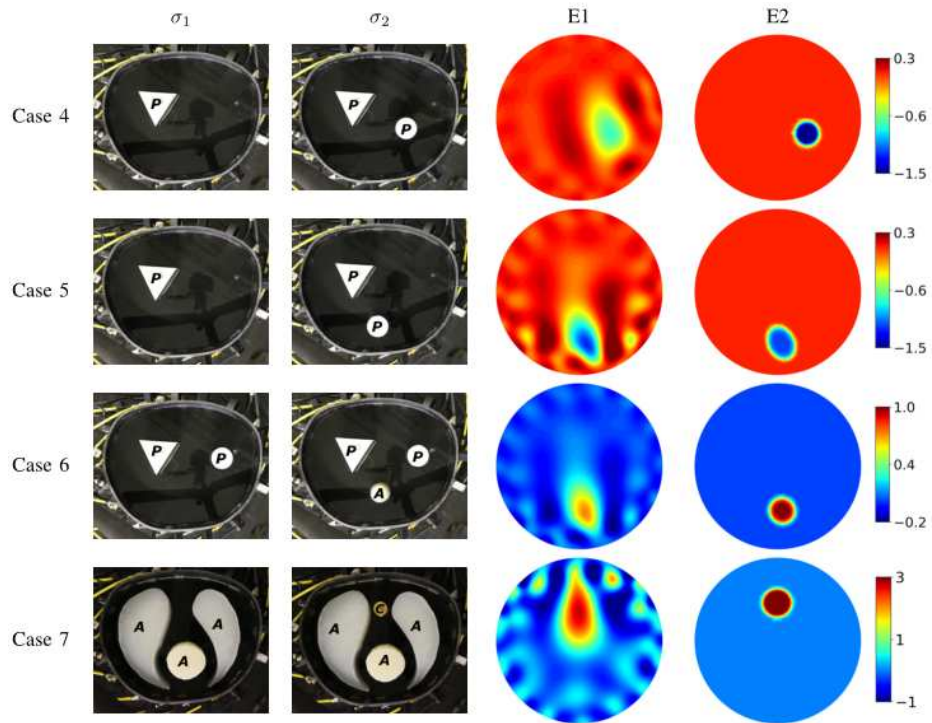


Fig. 7. Reconstructions with both conventional linear difference imaging (E1) and PLS-based difference imaging (E2) approaches from water tank data. The letters ‘A’, ‘C’ and ‘P’ marked in the phantom photos denote the inclusions which were made of agar, copper and plastic materials, respectively.

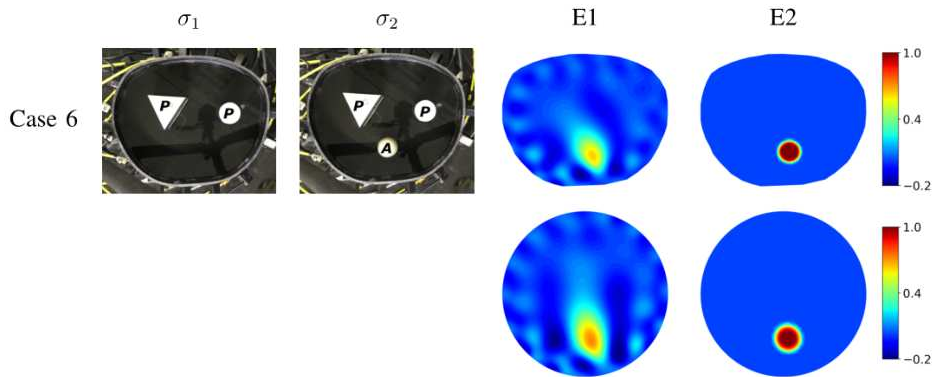


Fig. 8. Reconstructions with both conventional linear difference imaging (E1) and PLS-based difference imaging (E2) approaches for Case 6 using (almost) correct domain model (1st row) and approximated circle domain model (2nd row). The 2nd row is a repetition of the 3rd row from Fig. 7.

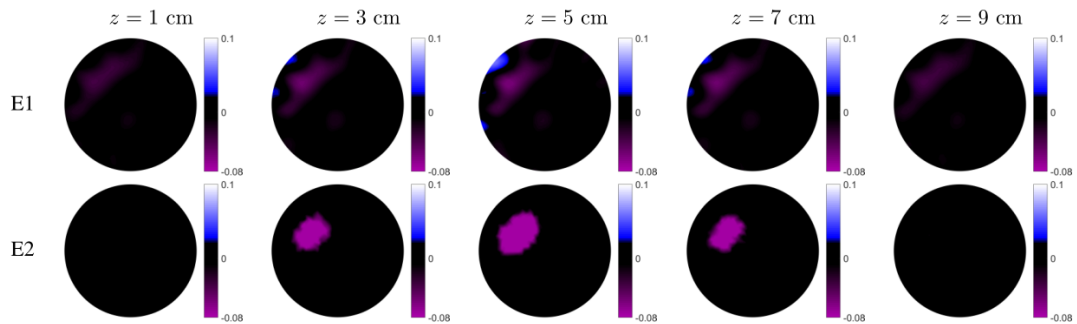


Fig. 9. Reconstructed images of the right-sided pneumothorax. (E1): estimates based on the conventional linear difference imaging. (E2): the proposed PLS-based difference imaging reconstructions.

approach still leads to speed-up in computing reconstructions via order reduction of a EIT reconstruction problem. As a

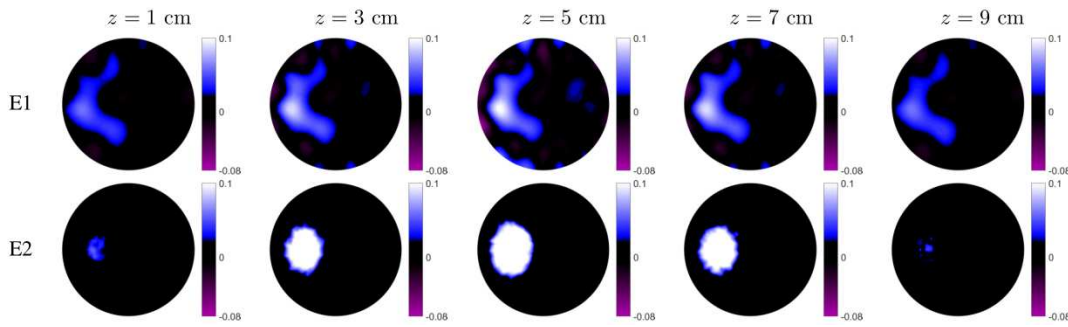


Fig. 10. Reconstructed images of the right-sided pleural effusion. (E1): estimates based on the conventional linear difference imaging. (E2): the proposed PLS-based difference imaging reconstructions.

demonstration of this realization, the final reconstructions shown in Fig. 7 were obtained from a MATLAB implementation of the proposed approach on the above PC within 5 to 10 seconds. The CPU time of the proposed approach is comparable to the conventional linear difference approach. Compared to the traditional pixel/voxel-based non-linear iterative methods, the reduced computing times of the proposed approach allows for faster reconstruction of EIT images enabling improved interaction. This positively impacts opportunities for exploring different data sets with EIT and may provide better insight to a large suite of data by experimenting with different reconstruction parameters.

## VII. CONCLUSION

In this paper, we proposed a parametric level set based difference imaging approach for reconstructing the conductivity change in EIT. The proposed approach was evaluated by simulations, phantom studies and in vivo pig data. We found that the PLS-based approach provides more accurate reconstructions of the conductivity change than the conventional linear difference approach. It has been shown that the proposed approach tolerates modeling errors caused by inaccurately known domain geometry, as well as the poorly approximated sensitivity distribution. The findings demonstrate that, given the assumption that the properties of conductivity change are piecewise constant, the proposed approach is not only robust, but also compare favorably with the linear difference approach.

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