1	Coagulation of dissolved organic matter in surface water by novel titanium (III)
2	chloride: mechanistic surface chemical and spectroscopic characterisation
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13 Abstract

Problems caused by residual organics in treated water include the formation of disinfection by-14 15 products (DBP) following reaction with chlorine and being a substrate for microbial regrowth in the drinking 16 water distribution system. Dissolved organic matter (DOM) can only be partially removed by conventional 17 treatment process i.e. coagulation by Al- and Fe-based salts. In the present study, the performance of titanium 18 trichloride (TiCl₃) as a coagulant for surface water treatment was studied and compared with conventionally 19 used aluminum sulfate (alum). Jar test experiments were performed at various coagulant doses and pH levels 20 to determine the optimum conditions based on removal efficiencies of dissolved organic carbon (DOC). The 21 zeta potential values were analysed for assessing the destabilisation mechanism of DOM flocs. The TiCl₃ 22 showed a significantly higher capacity for DOC removal at pH around 3 at which charge neutralization was 23 found to be the dominant mechanism for the floc formation. This was further evident from the relatively larger floc sizes obtained with TiCl₃ treatment. However, destabilization of Ti-flocs occurred at pH 4.5 24 through an adsorption-enmeshment mechanism due to a highly negative zeta potential. Additionally, 25 26 fluorescence spectroscopic analyses showed that TiCl₃ was more efficient than alum in removing humic

compounds. A two-stage treatment process by alum and TiCl₃, either as the same chemical or both showed better performance than a single dose treatment. The results indicate that TiCl₃ could be an effective alternative coagulant for the treatment of waters, particularly those of low alkalinity and high DOC concentration and low pH wastewaters for removal of hydrophobic compounds and particulate matter.

- 31 Keywords: Coagulation; Titanium trichloride; Dissolved organic matter; Fluorescence spectroscopy; Floc
- 32 stabilization.
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1. Introduction

The removal of dissolved and particulate organic matter from surface waters for drinking water supply 35 using physio-chemical processes such as coagulation, adsorption, ion exchange, membrane filtration and 36 37 advance oxidation have been well documented in the literature. Among them, coagulation is a widely used 38 method for the removal of dissolved organic matter (DOM) and suspended particles [1-3] despite that DOM 39 can only be partially removed by this process. Enhanced coagulation refers to maximizing the removal of 40 DOM from drinking water sources by increasing the coagulant dose and/or optimizing coagulation pH. This removal occurs primarily through two major mechanisms: (1) charge neutralization, and (2) sweep 41 42 coagulation or adsorption/entrapment where organic matter adsorbs onto the surface of insoluble metal hydrous oxide precipitates [4]. Conventional coagulants such as Al and Fe based salts (e.g. alum, ferric 43 44 chloride, polyferric sulphate and polyaluminum chloride) are widely used for drinking water treatment for 45 their reliable performances, commercial availability and relatively inexpensive costs. However, the use of 46 high doses of these coagulants for maximizing DOM removal from source waters with high concentrations 47 can result in production of large amounts of sludge that requires further treatment and solids waste disposal 48 [5, 6]. Practices such as incineration and disposal to landfills are costly with potential environmental impacts 49 [7]. Thus, water treatment plant operators and managers can face significant challenges in treatment processes 50 when Al or Fe based coagulants are used, especially when operational conditions are challenged by poor 51 source water quality.

With high concentrations of residual organic matter present in treated waters, potential problems can occur such as reduced aesthetic quality (colour, taste and odour compounds), reaction with chlorine lowering or removing chlorine residual and bacterial regrowth in the distribution system. Residual DOM in treated drinking water can readily react with chemical (oxidizing) disinfectants such as chlorine resulting in the formation of potentially carcinogenic, cytotoxic or genotoxic disinfection by-products such as trihalomethanes (THM) and nitrogenous DBPs (N-DBPs) such as haloacetonitriles (HAN) and halonitromethanes (HNM) [8, 9]. This is a general concern for drinking water supply companies and

authorities globally. In recent years, it has been reported that DOM levels in water resources have increased, which may be due to climate change [4, 10] and in Australia, to extreme climate events [11, 12]. In 2010-2011 and 2011-2012 strong La Niña events occurred in Australia that resulted in the Murray-Darling Basin experiencing high rainfall which led to major and widespread floods [13]. These events resulted in significant declines in water quality where dissolved organic carbon (DOC) levels exceeded 15 mg/L [12].

Drinking water treatment using conventional metal-based coagulants (Al and Fe) is able to remove only a fraction of organic matter present dependent on its characters where aromatic, hydrophobic and highmolecular weight (HMW) compounds as of humic substances are amenable to removal but low-molecular weight (LMW), hydrophilic compounds tend to be recalcitrant to removal by coagulation [14]. Research and development on particular hydrolyzed metal species such as Al₁₃ as reported by Lin et al. [15] exemplifies efforts to improve performances of metal coagulants, including for improved DOC removals.

70 Research has been conducted to find alternative metal salts that have higher charge neutralization and 71 greater DOC removal capacity with the formation of larger size flocs that have higher settling rates than 72 conventional salts [16-19]. Highly charged Ti (IV) and Zr (IV) based coagulants such as titanium 73 tetrachloride (TiCl₄) and zirconium tetrachloride have been shown to have capacity for higher DOC removals 74 with the formation of larger sized flocs than Al and Fe based coagulants [6, 17, 18, 20]. Various hydrolysed species of Ti such as $Ti(OH)^{3+}$, $Ti(OH)^{2+}$, $Ti(OH)^{4+}$, $Ti(OH)^{4+}$, $Ti(OH)^{5+}$, $Ti(O_2)^{2+}$, $Ti(O_2)^{2+}$ and $Ti(O_2)^{2+}$. 75 $(OH)_2^{2-}$ are formed at different pH levels and Ti doses, and these species play an important role during the 76 77 particle stabilization [21, 22]. The first investigation of Ti salt for coagulation was reported by Upton and 78 Buswell [23] who found that $Ti(SO_4)_2$, as a tetravalent cationic salt, showed a better coagulation efficiency for 79 fluoride removal than trivalent Al or Fe salts. Zhao et al. [24] developed a novel polytitanium tetrachloride 80 (PTC) as pre-hydrolysed coagulant which showed better performance than TiCl₄. Shon et al. [16] reported 81 that TiCl₄ had good performance for the removal of various apparent molecular weight compounds of DOM from wastewater. They also reported that the Ti-based sludge formed following coagulation treatment can be 82 83 converted to value added materials (e.g., TiO₂) in a simple process [16, 25, 26]. Zhao et al. [18] reported that

84 coagulation behavior of titanium tetrachloride (TiCl₄) was very similar to Al and Fe based salts. However, 85 TiCl₄ is volatile and forms cloudy TiO₂ and HCl in humid air conditions at room temperature and being hazardous [27], there is need for other Ti based salts that are more stable under ambient conditions, are safe, 86 87 reliable and readily prepared. This study investigated the potential of titanium (III) chloride as a coagulant under a range of conditions including coagulation pH and at various dose rates in comparison to and in 88 89 combination with alum (aluminium sulphate). This study investigated the coagulation mechanisms of TiCl₃ by exploring the surface chemistry of flocs and spectroscopic characterization of residual DOM post TiCl₃ 90 91 treatment.

92 **2.** Materials and methods

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2.1. Water quality analysis

Water samples were collected from the River Murray at Tailem Bend, South Australia (34.9285° S, 138.6007° E), located about 96 km away from the city of Adelaide. These samples were transported to the laboratory on ice and stored in a cold room at ≤ 4 °C prior to the jar testing and water quality analyses. A portable pH meter (TPS, Model WP-91) was used to measure the pH of raw and treated waters (from jar tests). The turbidity was measured by a 2100N HACH turbidimeter. For the analyses of dissolved organic carbon (DOC), water samples were filtered through 0.45 µm pre-rinsed sterile cellulose membrane filters. DOC was measured by a TOC analyzer (Model 820, Sievers Instruments, USA).

Fluorescence excitation-emission matrix (F-EEM) spectra were acquired (Model LS55, PerkinElmer) to characterize DOM in terms of humic-like (HA), fulvic-like (FA), Protein1 (P1), Protein2 (P2) and soluble microbial by-product (SMP)-like components. A series of emission spectra (280–600 nm) were obtained with 0.5 nm increments over excitation wavelength (200–500 nm) with 5 nm increments. The method of Chen et al. [28] was used to measure five different EEM regions for each sample. Samples for F-EEM analysis were pre-filtered through 0.45 µm pre-rinsed sterile cellulose membrane filters. 107 The zeta potential (ZP) value of flocs formed at various pH values and coagulant doses was 108 determined using a Zetasizer Nano ZS instrument (Malvern Instruments Ltd., UK), with a detection range of 109 0.3 nm to 10 μ m (diameter) size particles at room temperature 25 °C.

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2.2. Coagulants

Alum [Al2(SO4)3.18H2O] was obtained from Incitec Pivot Ltd - Port Adelaide, South Australia. TiCl3 111 112 was sourced from Merck Schuchardt OHG, Germany. A stock solution of alum (1.6 g Al/L) was prepared by diluting 40.5 g of concentrated aluminum sulphate (approximately 7.6% w/w Al₂O₃) in 1 L high purity Milli-113 Q[®] water. The same concentration (1.6 g Ti/L) of TiCl₃ stock solution was prepared by dissolving 114 approximately 13 mL of TiCl₃ (30%) in 1 L of high purity Milli-Q[®] water. The freshly prepared alum and 115 TiCl₃ solutions were stored overnight at room temperature to dissolve the metal salts and subsequently stored 116 117 at 4°C prior to their use. The concentrations of Al and Ti in stock solutions were verified using inductively 118 coupled plasma mass spectrometry (ICP-MS; Model: 7500c, Agilent Technologies, USA).

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2.3. Coagulation study

To optimize the coagulation pH and coagulant dose, preliminary experiments were conducted using a standard jar test apparatus (Model FMS6V, SEM Pty, Brisbane, Australia) equipped with six paddle gang stirrers and Gator jars filled with 1 L water. The coagulation pH was controlled at different levels between 3 and 9. The optimum coagulant dose was determined from the jar test using doses ranging from 2 to 40 mg/L (as the respective metal concentration) at a pre-determined optimum pH for each coagulant. For the target pH adjustment, the required amount of 0.5 M NaOH or 0.5 M HCl was determined by prior pH titration and then added to the test water before addition of coagulant.

Experiments were conducted using flash mixing @ 200 rpm for 1 min and 14 min of slow mixing @ 20 rpm then followed by a settling time of 15 min. The settled water (unfiltered turbidity of the supernatant) was measured immediately after 30 min of the coagulation experiment. After that, the filtered turbidity was measured following filtering the supernatant through a 11 μm pore size filter (Whatman No 1). All jar test experiments were performed at room temperature (25°C). Coagulation efficiency was determined using the
following Equation 1:

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$$Removal(\%) = \frac{(C_i - C_e) \times 100}{C_i}$$
(1)

134 Where, C_i and C_e are the initial and final concentrations in mg/L, respectively.

135 In a second coagulation experiment protocol, two coagulants (alum and $TiCl_3$) were used to assess their effects individually and in combination. Initially, raw waters were treated by alum or TiCl₃ at an 136 optimum dose and optimum pH level determined from the preliminary experiments. The supernatants of 137 138 treated waters were further treated with two different additional doses (one-half of the optimum dose and optimum dose) of alum and TiCl₃. The experimental conditions of the second jar test remained the same as 139 for the preliminary jar experiment. The efficiency of each jar test protocol was assessed with respect to the 140 relationship of residual DOC concentration, DOM characteristics (F-EEM), turbidity removal and floc zeta 141 142 potential values.

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2.4. Optimum coagulation dose

In this study, optimum coagulant doses (for maximizing DOC removal at an operationally acceptable coagulant dose) were calculated based on DOC removal, where an additional 10 mg/L of coagulant resulted in less than 0.10 mg/L DOC reduction. TableCurveTM software was used to identify the relation between DOC residuals and coagulant doses, i.e. an exponential decay function, Equation (2).

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$$DOC_R = DOC_{NC} + DOC_C \times e^{-Cx}$$
(2)

Where, DOC_R is the DOC residual (mg/L) at a selected coagulant dose (x, mg/L), DOC_{NC} is noncoagulable DOC, DOC_C is the coagulable DOC ($DOC_{initial} - DOC_{NC}$), and C is the DOC removal rate coefficient determined from data of all jars of the jar test.

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2.5. Floc size measurement

153 Settled flocs were immediately collected after 30 min of coagulation experiment (jar tests). The 154 suspension of flocs was stored in the pre-rinsed plastic bottles at room temperature prior to analysis. Average 155 floc size was determined using a laser diffraction instrument (Malvern Mastersizer 2000, Malvern, UK). The average sizes of alum and TiCl₃ flocs were characterized according to three different volumetric diameters (d_{10} , d_{50} and d_{90} referring to 10%, 50% and 90% floc sizes, respectively). The average sizes of flocs of both the coagulants were compared at pH levels between 3 to 9 and doses between 2 to 40 mg/L, as metal concentrations. In most recent studies, the ' d_{50} ' value was reported as the representative size of flocs to understand the coagulation mechanism of different metal salts [22].

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2.6. Floc characterization

The morphology of Al and Ti flocs was observed under a scanning electron microscope (SEM). For 162 this purpose, a drop of floc sample was taken onto a polished carbon/graphite mount. The mount was then 163 164 deposited with a 40 nm pulsed carbon coating by using a Quorum QT150ES coating system. Samples were then examined by using a FEI Quanta 450 FEG Environmental Scanning Electron Microscope equipped with 165 an energy dispersive X-ray analysis (EDAX) Apollo EDX detector. Images were taken in high vacuum mode 166 167 and with a 30kV accelerating voltage using an Everhart-Thornley Detector (ETD) and a solid state Back Scattered Electron detector (BSED). Processed images having equal mixture (50:50) of signals from both the 168 detectors were presented. EDAX spectra were acquired from selected areas on the samples for 100 sec. at a 169 170 point.

For X-ray Diffraction (XRD), freeze dried floc samples were taken on a zero-background silica sample holder for collecting the XRD patterns which were acquired by using CuK_{α} radiation ($\lambda = 1.5418$ Å) on a PANalytical, Empyrean X-ray diffractometer operating at 40 kV and 40 mA between 2 and 90° 20 at a step size of 0.026°.

The thermal behaviour of Al and Ti flocs (sludge) was determined by thermogravimetric (TGA) and differential thermogravimetric (DTGA) analyses using a high-resolution TGA system (Model: Mettler-Toledo DSC-1, Mettler-Toledo International Inc., USA). The flocs were heated from 25 °C to 1,000 °C at a rate of 10 °C/min under a continuous N₂ flow (50 mL/min).

For Fourier transform infrared (FTIR) spectroscopy analysis, floc suspensions of Al and Ti were centrifuged at 10,000 rpm for 15 min. Following washing in Milli-Q water, the pellets were freeze dried. The

181 samples were then mixed with dehydrated KBr and pressed into discs by using a hydraulic press. Infrared (IR) 182 spectra were obtained using an Agilent Cary 600 Series FTIR Spectrometer. Spectra over the 4,000–400 cm⁻¹ 183 range were obtained by the co-addition of 64 scans with a resolution of 4 cm⁻¹.

184 **3. Results and Discussion**

The water quality of the raw waters was analyzed immediately after collection, and the mean \pm S.D. values were as follows: pH 7.2 \pm 0.1; turbidity 36 \pm 1 NTU; DOC 11.3 \pm 0.2 mg/L and ZP -22.4 \pm 0.2 mV. The F-EEM data showed that DOM present in raw waters had higher average abundances of HA-like (23%) and FA-like (44%) compounds compared with protein-like compounds (3% for P1; 18% for P2; 11% for SMP).

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3.1. Effect of coagulation pH on the coagulation performance

In initial jar test experiments, the coagulation performances of alum and TiCl₃ were optimized using target coagulation pH levels ranging from 3 to 9. The efficiency of each coagulant was assessed in terms of DOC and turbidity removals at a pre-selected coagulant dose of 10 mg/L (Fig. 1). The performances of both alum and TiCl₃ greatly depended on the coagulation pH as shown in Fig. 1. For alum, the DOC removal (48%) achieved at pH 4.5 was slightly higher than the removal achieved at pH 6 (~43% removal), whereas the lowest DOC removal (18%) was found at pH 3 (Fig. 1a, c).

In contrast, TiCl₃ yielded a maximum DOC removal of about 56% at pH 3 (Fig. b, d). DOC removal (53%) achieved at pH 4.5 was slightly lower than the removal achieved at pH 3. However, for waters treated by TiCl₃ at pH level more than 4.5, the percentage removal of DOC sharply decreased as the pH level increased (Fig. 1b, d). Among all the pH levels tested, the lowest DOC removal was found at pH around 9.

201 Zhao et al. [29] studied the effect of different hydrolyzed Al species on the coagulation efficiency 202 where they found that at low pH conditions such as 4 or below, the monomeric $[Al(OH)_2(H_2O)_{2-3}]^+$ and 203 dimeric $Al_2O_2(OH)(H_2O)_{0-5}]^+$ Al species were produced as the major products. The increase in pH (> 4) 204 resulted in the greater rate of hydrolysis and polymerization processes [29] which yielded low residual DOC 205 compared to the pH < 4 where higher residual DOC was observed. For TiCl₃, the unfiltered turbidities at pH 3 and 4.5 were about 3 NTU whereas the turbidity at pH 6 was about 9 NTU (Fig. 1d). While for alum, the unfiltered turbidities at pH less than 6 was about 5 NTU. Filtered (11 μ m filters) turbidities of alum treated waters were 0.8 NTU and 0.1 NTU at pH 3 and 6, respectively (Fig. 1c), and of TiCl₃ treated waters were 0.2 NTU and 0.1 NTU at corresponding pH levels.

210 Chow et al. [30] reported that the residual alum concentration in water following enhanced 211 coagulation at pH < 6.0 is higher than that at pH > 6.0, and can exceed the Australian Drinking Water 212 Guideline (ADWG) limit of 0.2 mg/L. There were no apparent differences between pH 4.5 and 6.0 for DOC 213 removal, and therefore pH 6.0 was selected as the optimum coagulation pH for alum in this study. By 214 comparison, the optimum coagulation pH for TiCl₃ was found to be 3 for maximum DOC removals.





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The ZP values of Al- and Ti-flocs were determined to assess removal mechanisms of DOM and turbidity particles during destabilization. The highest ZP value of Al-flocs was about 10 mV at pH 4.5. The ZP of Ti-flocs was more negative than the Al-floc (except at pH 3). At the specific coagulant dose used (10 mg/L), the isoelectric point (IEP) of Al- and Ti-flocs were found at pH around 5.5 and 3.0, respectively (Fig. 1a, b). Furthermore, the ZP of Al-flocs was negative (-6.8 mV) at pH 3, while the ZP of Ti-flocs was positive (2.93 mV) at the same pH.

Zhao et al. [22] reported that natural organic matter (NOM) removal at pH < 6.0 was mainly due to the 225 interaction of soluble Al species, such as Al(OH)²⁺, Al₂(OH)₂⁴⁺ and Al₃(OH)₄⁵⁺ with NOM particles. These 226 hydrolysed species of metal salts would play an important role in neutralizing the negatively charged NOM to 227 further stabilize these particles to form insoluble precipitates or flocs. Generally, for alum, NOM removal 228 occurs due to the presence of insoluble/precipitated metal hydroxides (Al(OH₃)) at coagulation pH > 6.0229 230 where the dominant hydroxide species are favorable for destabilizing organic matter by adsorption and/or 231 enmeshment mechanisms [31, 32]. The DOC removal capacity was significantly reduced at $pH \ge 8.0$ (Fig 1). This was probably due to the predominance of negatively charged hydrolysed alum species (Al(OH)^{4–}) which 232 is not favorable for destabilizing NOM particles. Zhao et al. [22] also reported that TiCl₄ instantly hydrolyzed 233 to form Ti hydroxide at pH 6.0 which further reacted with humic acid to form the negatively charged Ti 234 $(OH)_x^{(4-x)+}$ -HA complex. However, the hydrolysed species of Ti salt such as Ti $(OH)_5^{1-}$ and Ti $(O_2)_2$ $(OH)_2^{2-}$ or 235 Ti(OH)₄ can form at pH \ge 9 because of high concentration of OH⁻ ions in the aqueous solution. In the alkaline 236 pH range, these species would play an important role in the coagulation-flocculation process and generally 237 where adsorption is considered to be a dominant mechanism [6]. In the current study, the higher negative ZP 238 of Ti-flocs obtained at pH > 6.0 indicated that the DOC removal efficiency was greatly reduced due to weak 239 charge neutralization. The ZP of Ti-flocs were more negative at pH 4.5 than the ZP of Al-flocs, but the DOC 240 removal by TiCl₃ was quite close to or slightly greater than alum. The particular hydrolysed Ti species formed 241 at this pH (4.5) appears highly amenable for the removal of DOC through adsorption and/or enmeshment. The 242 results showed that the adsorption strength of the hydrolyzed Ti species was about equal to the charge 243 neutralization strength of hydrolyzed Al species formed at pH 4.5. 244

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3.2. Coagulant dose and organic removal

The coagulation performances of alum and TiCl₃ as a function of various coagulant doses (2-40 mg/L as the respective metal concentration) at their optimum pH levels (pH 6.0 for alum and pH 3.0 for TiCl₃) are shown in Figure 2. At low dose range (2-8 mg/L), alum achieved slightly higher DOC removal than TiCl₃. At coagulant doses above 8 mg/L, TiCl₃ showed a higher DOC removal than alum.

Using Equation 1, the optimum coagulant doses were determined, and found to be 6.5 mg/L and 16 mg/L for alum and TiCl₃, respectively. At the optimum dose, the percentage removal of DOC by alum (56%) was much lower than by TiCl₃ (71%), see Figures 2a & 2b. Further, the maximum DOC removal by alum was found to be ~59% at high dose (> 2 times the optimum dose; > 13 mg/L as Al). By comparison, TiCl₃ removal of DOC was found to be ~ 75% at a high dose (> 32 mg/L as Ti). This data indicates that at high dose, TiCl₃ can be more effective for DOC removal than alum. For coagulation by alum, non-coagulable DOC was found to be 4.7 mg/L while for coagulation by TiCl₃, this was 2.8 mg/L.



Fig. 2. Effect of different doses of alum (at pH 6) and TiCl₃ (at pH 3) on DOM removal efficiencies and zeta
 potential (ZP) values of their respective flocs. (a) DOC removal and ZP changes with alum treatment, (b)

260 DOC removal and ZP changes with TiCl₃ treatment, (c) residual turbidity levels on alum treated waters, and 261 (d) on TiCl₃ treated waters.

For both alum and TiCl₃, the residual turbidity decreased from 34 to \leq 3 NTU in the coagulant dose ranged between 2-12 mg/L. Above 12 mg/L dose (as Ti), the unfiltered turbidity of TiCl₃ treated waters significantly increased to 60 NTU, whereas for alum the unfiltered turbidity (after sedimentation) values were found to be < 3 NTU at most of the doses tested (except at dose 2 mg/L). However, the filtered (residual) turbidities of alum and TiCl₃ treated waters were below 0.5 NTU (except at the lowest dose of 2 mg/L) to a coagulant dose of 16 mg/L. At doses greater than 16 mg/L, the filtered turbidity of TiCl₃ treated waters were up to ~ 2 NTU while for alum treated waters these values remained below 0.2 NTU.

The relationship of ZP values with different alum and TiCl₃ coagulant doses are shown in Fig. 2a & b. With higher coagulant dose, the ZP of Al- and Ti-flocs increased. At a dose of 16 mg/L, the ZP values of both the coagulants were approximately near to their IEPs where the charges of Al- and Ti-flocs were almost zero. In addition, at an alum dose above 16 mg/L, no further change was found in the ZP of Al–flocs, which indicate that excessive doses of alum had no significant role in charge neutralization. However, the higher doses of TiCl₃ showed slight increases in ZP values of Ti-flocs, indicating greater DOC removal by sweep coagulation (at high doses with more positive ZPs).

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3.3. DOM characterisation in treated water by F-EEM

The total fluorescence intensities (TFI, measured as the sum of all intensities of the various organic 277 278 constituents within their excitation and emission regions) of the P1-, P2-, SMP-, FA- and HA-like compounds 279 at different pH levels are shown in Fig. 3. Based on these TFI values, the percentage removals of P1-, P2-, SMP-, FA- and HA-like compounds by alum treatment at pH 6 were calculated as 6%, 1%, 42%, 14% and 280 64%, respectively. For TiCl₃ treated water, the corresponding percentage removal values at pH 3 were 6%, 281 282 32%, 47%, 50% and 66%, respectively. In addition, the mean fluorescence intensity (MFI) values of the excitation and emission regions of P1-, P2-, SMP-, FA- and HA-like compounds for the alum treated water 283 were about 0.04, 0.25, 0.09, 0.44 and 0.10 units, respectively. For TiCl₃ treated water, the corresponding mean 284

MFI values were about 0.05, 0.18, 0.08, 0.26 and 0.09 units, respectively. These data indicate that TiCl₃ removed more organic compounds at pH 3, (which was also chosen as the optimum pH for DOC removal by TiCl₃) than alum at pH 6.

288 In case of TiCl₃, the percentage removals of both the humic fractions (FA and HA) decreased as the 289 coagulation pH increased (Fig. 3c). At pH 9, the removal of FA-like compounds was not evident in contrast to 290 the HA-like compounds with removal of 24%. The percentage removal of protein-like compounds showed the same trend with removals decreasing as the coagulant pH increased (Fig. 3d). At pH 9, the removal of 291 protein-like compounds was either not detected (N.D.) or at low levels (N.D. for P1-like; 2% for P2-like; 9% 292 293 for SMP-like). For alum treated waters, the lowest percentage removals of the humic fractions (FA-like and HA-like) were for water treated at pH 3 (N.D. and 3% for FA-like and HA-like compounds, respectively). 294 295 The percentage removal of protein-like compounds showed the same trend with the lowest values being for 296 alum treated water at pH 3.



- Fig. 3. The TFI values of HA- and FA-like compounds in raw water and treated water by (a) alum, (b) TiCl₃,
 and of SMP-, P1- and P2-like compounds in raw water and treated by (c) alum, and (d) TiCl₃ at the
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coagulation pH levels tested.

The effect of coagulation treatment on different fractions (P1, P2, SMP, FA and HA) of DOM at different coagulant doses are shown in Fig. 4. Removals of FA-like and HA-like compounds by alum occurred to about 7 mg/L after which no further removal was evident (Fig. 4a). At optimum alum dose, the percentage removals of P1-, P2-, SMP-, FA- and HA-like compounds were 9%, 37%, 40%, 47% and 45%, respectively. At high dose, removals were not enhanced with the corresponding percentage removals being 4%, 35%, 36%, 48% and 44%, respectively.



Fig. 4. Total FI values of HA- and FA-like compounds in raw water and treated water by (a) alum, and (b)
 TiCl₃, and SMP-, P1- and P2-like compounds in raw water, and treated by (c) alum, and (d) TiCl₃ at optimum
 pH levels (pH 6 for alum and pH 3 for TiCl₃)

In the case of TiCl₃, higher removals of both HA and FA occurred to the maximum coagulant dose of 312 32 mg/L (Fig. 4b). At most of the doses tested, the residual TFI of TiCl₃ treated waters (except at the low dose 313 of 4 mg/L) were lower, showing higher percentage removals than alum treated waters. The MFI showed the 314 same trend, with lower values for water treated by TiCl₃ compared with alum. Based on the TFI, the 315 percentage removals of P1-, P2-, SMP-, FA- and HA-like compounds at optimum (for DOC removal) TiCl₃ 316 dose were 17%, 36%, 36%, 44% and 46%, respectively. At high dose, the corresponding percentage removals were 8%, 54%, 55%, 68% and 71%, respectively. These data show that TiCl₃ had greater efficiency for 317 removal of humic- and protein-like compounds at higher coagulant dose than alum. These results correlate 318 319 with DOC removals at high coagulant doses where the ZP of Ti-flocs were much greater (more positive) than of Al-flocs (Fig. 2). 320

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3.4. Floc characteristics

The sizes of flocs formed at various pH levels and coagulant doses for each coagulant are shown in 322 Fig. 5. The average floc size (in μ m) is shown by three distributions (d₁₀, d₅₀ and d₉₀). Among these, the d₅₀ 323 324 (50% of mass-based size distribution) is the most useful indicator of floc size, that has been employed in previous studies [6, 21]. For alum, the highest average floc sizes of d₁₀, d₉₀ and d₅₀ occurred at pH 4.5, 325 whereas the smallest average floc sizes of d₁₀, d₉₀ and d₅₀ were at pH 3 (Fig. 5a). These results strongly 326 327 correlate with earlier findings of low DOC removal (Fig. 1a) and higher residual turbidity (Fig. 1c), indicating 328 the lowest coagulation efficiency of alum at pH 3. At pH 6, the average floc sizes of d_{90} , d_{50} and d_{10} were 881 329 µm, 387 µm and 120 µm, respectively (Fig. 5a). A steady decline in the floc size was observed as the coagulation pH increased from 4.5 to 7 and beyond pH 7, the larger size flocs were again formed (Fig. 5a). 330

For TiCl₃, the largest range of floc sizes was found at pH 3 (Fig. 5b). The size of the Ti-flocs tended to decrease as the coagulation pH increased from 3 to 7, increased as pH changed from 7 to 8, and then decreased again at pH 9 (Fig. 5b). The floc sizes of d_{90} , d_{50} and d_{10} for TiCl₃ were 1451 µm, 758 µm and 171 µm, respectively, at pH 3, which were significantly larger than the floc sizes from alum at pH 6. This indicates that flocs from TiCl₃ could form the largest size at its optimum pH condition. This is likely to have occurred due to its higher charge neutralization capacity than alum. Zhao et al. [22] reported that during TiCl₄ coagulation, the charge effects were found to be more important at pH 6 than pH 10, and coagulation efficiency was greatly influenced by the sweep coagulation mechanism at pH 10. In our study, the ZP of Tiflocs was higher (more positive) at pH 3, (probably because of the greater positive charge of hydrolysed Ti species) than at pH 9, where the concentration of OH⁻ ions was high, as reported by Zhao et al. [22].

For alum, the largest value of floc size d_{50} was about 398 µm at a dose of 10 mg/L. Beyond this dose, the value of d_{50} remained constant or decreased slightly (Fig. 5c). For TiCl₃, the floc size values for d_{90} , d_{50} and d_{10} reached equilibrium conditions at doses ≥ 10 mg/L (Fig. 5d). The floc size values of d_{90} , d_{50} and d_{10} for TiCl₃ at the optimum dose were 1311, 596 and 128 µm, respectively, and these values were markedly higher than the corresponding floc sizes of alum (939, 397 and 105 µm). At high dose, the floc size values showed the same trend with values higher for the water treated by TiCl₃ (1349 µm for d_{90} , 597 µm for d_{50} and 129 µm for d_{10}) compared to that treated by alum (850, 377 and 118 µm, respectively).



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Fig. 5. Average floc sizes of d₉₀, d₅₀ and d₁₀ of Al-floc (a) at tested pH levels, and (b) coagulation doses, and
 of Ti-floc (c) at tested pH levels, and (d) coagulation doses

SEM images of flocs at three different magnification levels (1, 10 and 120 µm HFW (half field width)) are shown in Figure 6. As expected, the Ti floc showed a stronger secondary electron yield (a brighter light scattering) than the aluminium (Al) floc due to greater z-contrast obtained from Ti. At a higher magnification, the SEM images show the presence of clay mineral-like flakes which inevitably originated from the raw 355 surface water. Wu et al. [33] reported that both Al and Ti salts formed bulky flocs. In the present study, Al and Ti flocs had approximate diameters ranging from 9.5-44 µm and 7-37 µm, respectively. It was previously 356 357 suggested that both Al and Ti would result in mesoporous (pore size: 30 - 80 nm) flocs which would have 358 adsorptive and enmeshing abilities [33]. The micromorphology of the flocs observed at a higher 359 magnification indicated the porous structure of the flocs, in the current study. The amorphous structure of Al 360 and Ti flocs might be due to the residual organic matter [21]. Energy dispersive X-ray analysis (EDAX) spectra obtained at a 10 µm HFW revealed an approximate elemental composition of the flocs (Figure 7a). In 361 addition to confirming the presence of elements in flocs, the EDAX study indicated the presence of some 362 363 alumina-silicate like compounds, and these results were found during the characterization of Al and Ti flocs by XRD analysis. In addition, constituents such as Cl- or SO_4^{2-} salts of K, Ca, Na and Mg were present in the 364 365 Al and Ti settled flocs. From the Al, Ti and O contents in the EDAX spectra, and with the appearance of 366 small spherical bright structures observed in the SEM images, it could be assumed that some oxides or hydroxides of Al and Ti were formed in the flocs that were amorphous in nature. Zhao et al. [21] reported the 367 XRD analyses of Ti (IV) sludge, incinerated at different temperatures between 200-1000 °C where the color 368 369 of incinerated flocs turned from black (possibly due to residual organic matter) to white as the temperature increased. At the temperature range 600-800 °C and at 1000 °C, the anatase and rutile phase structures were 370 371 observed, respectively.

Like the SEM results, XRD analysis showed the presence of alumina-silicate minerals in the flocs (Fig. 372 7c). Matching the accrued patterns with those of the reference patterns in the International Centre for 373 374 Diffraction Data (ICDD) library indicated the presence of montmorillonite, illite and kaolinite in the flocs. The primary deflection of these clay minerals appeared at d (basal spacing) values 17.25, 10.15 and 7.23 Å, 375 respectively (Fig. 7b). The key difference between the two flocs was observed in the appearance of the 376 expanded montmorillonite deflection at d \approx 17.25 Å. In general, the montmorillonite deflection should appear 377 at d value around 13 Å. A greater d value in the floc samples indicated insertion of organic matter or the 378 flocculating metals in the inter-layer space of montmorillonite [34, 35]. This indicated that the adsorption of 379

- 380 metal salts coagulants might occur at the inter-layer on particle surfaces. In the alum floc, this deflection peak
- 381 created a hump which was similar to that of an Al-exchanged/pillared montmorillonite [35, 36].



Fig. 6. Micromorphology of alum (graphs a, c and e) and TiCl₃ (graphs b, d and f) flocs formed at optimum pH (3 for TiCl₃ and 6 for alum) and at coagulant dose of 16 mg/L, observed under SEM at various magnification levels (graphs a&b, 2500 x; c&d, 30,00 x; e&f, 300,000 x)

386 The TGA and DTGA were conducted to examine the response of the flocs to gradually elevated 387 temperature. These analyses are helpful to estimate the relative amount of organic matter present in the 388 freeze-dried flocs. The weight loss steps of both floc types were nearly identical except the Al floc 389 contributing to a greater amount of organic matter loss than the Ti floc (Fig. 8a, b). The TGA curves were examined by sectioning them into three distinct weight loss steps: (a) up to 100 °C due to the loss of water 390 391 molecules, (b) from 150 to 550 °C potentially due to loss of tightly bound organic matter, and (c) from 550 to 1000 °C due to the loss of other compounds. At these three steps, the percentage weight losses for Al floc 392 were 13.7%, 8.5% and 7.3%, respectively, and for Ti floc, the losses were 7%, 6.3% and 3.5%, respectively. 393 394 Zhao et al. [21] reported that in the TG plot, the weight loss of Ti settled flocs at temperatures between 25-395 154 °C; 154-493 °C and 493-1000 °C were about 17%, 13% and 1%, respectively, due to loss of water 396 content and organic matter. The amount of residual compounds was higher in the Ti floc than the Al floc due 397 to a greater stability of Ti-compounds to an elevated temperature.

The FTIR spectra of the Al and Ti flocs were like the characteristic spectra of clay minerals, indicating 398 flocculation of clay particles occurred by both the coagulants in treating the surface water (Figure 8c). The 399 absorbance bands in the region between 3600 and 3700 cm⁻¹ corresponded to the structural hydroxyl groups 400 of clay minerals and the water molecules in their interlayer space [37]. The band at around 3618 cm^{-1} was 401 assigned to the OH stretching region of structural hydroxyl groups for dioctahedral montmorillonite with Al-402 rich octahedral sheets [38]. The band at 1650 cm⁻¹ corresponded to the water molecules' bending vibration or 403 OH deformation [39]. The band regions appearing between 1037 and 910 cm⁻¹ might be due to asymmetric 404 stretching vibration of Si–O–Si groups of the tetrahedral sheet and bending vibration of Al–O–(OH)–Al of the 405 octahedral sheet, respectively [40]. The band at 796 cm⁻¹ indicated traces of quartz present in the samples 406 [41]. The absorption regions at 528 cm⁻¹ and 467 cm⁻¹ might be due to Al–O–Si and Si–O–Si bending 407 vibrations, respectively [38]. Absorption peaks at 2360 and 2345 cm⁻¹ in Ti floc might be due to 408 asymmetrical stretching and scissoring (degenerated) vibrations of O=C=O bonds, which were negligible in 409 410 Al floc.



411

412 Fig. 7. EDAX spectra of alum (a) and TiCl₃ (b); c) XRD patterns of freeze dried alum and TiCl₃ flocs (M:
 413 montmorillonite; I: illite; K: kaolinite)

- 414 415

3.5. Effect of dual dose on the coagulation performance

For comparison of the efficiencies of multi-stage coagulation treatment for DOM removal, surface waters were initially treated with alum or TiCl₃ at the same dose (16 mg/L,) and at the optimum pH level of the respective coagulant (pH 6 for alum and pH 3 for TiCl₃). Then, the supernatants of treated waters were further treated with two different additional doses (8 mg/L and 16 mg/L) of alum or TiCl₃, at their optimum pH level (Table 1).

The %DOC removal was found to be higher in waters treated by two-stage alum doses (~67% for total dose of 24 mg Al/L; ~71% for total dose of 32 mg Al/L) compared to water treated by a single high dose of alum (58% for 32 mg Al/L). The ZP of settled flocs was also found to be higher in water treated by two-stage alum doses (8.4 mV for total dose of 24 mg Al/L; 7.5 mV for total dose of 32 mg Al/L) compared with water
treated by a single high dose of alum (-2.1 mV). These results indicate that alum in a two-stage treatment
process can enhance DOC removal (in this study ~13%) than a single-stage treatment with a high dose.

Further, the percentage DOC removal was found to be higher in water treated by 16 mg Al/L of alum followed by a dose of TiCl₃ (16 mg Al/L + 8 mg Ti/L: \sim 74%; 16 mg Al/L + 16 mg Ti/L: 76%) compared to water treated by two-stage of alum (\sim 71% for total dose of 32 mg Al/L). The ZP of settled flocs was also found to be higher in waters treated by alum followed by TiCl₃ (16 mg Al/L + 8 mg Ti/L: 9.5 mV; 16 mg Al/L + 16 mg Ti/L: 11.4 mV) compared to water treated by two-stage alum treatment (7.5 mV). The jar test protocol indicated that alum followed by TiCl₃ treatment showed slightly better performance than the alum followed by alum treatment.

In the second jar test protocol, (Table 1) treatment by TiCl₃ followed by alum or TiCl₃ treatment followed again by TiCl₃ showed no significant further removal of DOC. The single dose of TiCl₃ yielded about 75% DOC removal which was very close to the DOC removal (about 74%) obtained in two stage TiCl₃ treatment (total dose equivalent to 32 mg/L). The zeta potential of the TiCl₃ dual dose was higher than of the alum dual dose, as shown in Table 1.

At the highest coagulant dose, alum treatment followed by TiCl₃ or TiCl₃ followed by TiCl₃ resulted in greater residual turbidity in comparison with treatment by alum followed by alum or TiCl₃ followed by alum. These results are consistent with the finding we reported previously [42]. Hussain, et al. [42] reported that the combination of highly charged titanium and zirconium salts with alum showed extra advantages over a single coagulant treatment including, enhanced DOC removal. From these results it is concluded that the two-stage treatment of alum and TiCl₃, either the same coagulant or in combination showed better performance than a single dose treatment.

The optimum pH for TiCl₃ coagulation presents both potential benefits and disadvantages. Assuming that TiCl₃ is safe for application in the treatment of drinking water, it might have particular benefit for the treatment of low alkalinity source waters with high DOC, and for treatment of low pH industry process

wastewaters such as from the pulp and paper mill industry. Low alkalinity waters will have their pH levels 449 readily lowered through the addition and subsequent hydrolysis reaction of TiCl₃, and following coagulation, 450 likely to require limited chemical addition (e.g., caustic soda) for pH re-adjusted to neutral. Further 451 452 consideration would be that the water treatment infrastructure is corrosive resistant (i.e., to low pH waters). However, it is unlikely to be practically suitable for the treatment of natural waters that have medium to high 453 levels of alkalinity for potable supply due to the need to lower the pH substantially by acid addition to reach 454 the optimum pH for coagulation and then further greater chemical addition (caustic soda or liming agents) 455 than needed for alum, to readjust the pH back to neutral prior to distribution. 456

Total dose (mg/L)	Coagulation pH	Turbidity (NTU)	DOC (mg/L)	DOC Removal (%)	Zeta Potential (mV)	Mob (µm.cm/Vs)	
Raw water	7.2	34.4	11.3		-22.4	-1.8	
Alum followed by alum or TiCl ₃ treatment							
Al (16 mg/L) = A*	6	3.1	4.4	61.6	6.5	0.5	
A* + Al 8 mg/L = 24 mg/L	6	0.8	3.8	66.7	8.4	0.7	
A* + Al 16 mg/L = 32 mg/L	6	0.5	3.3	70.5	7.5	0.6	
A* + Ti 8 mg/L = 24 mg/L	3	3.4	2.9	74.1	9.5	0.7	
A* + Ti 16 mg/L = 32 mg/L	3	7.1	2.7	76.0	11.4	0.9	
TiCl ₃ followed by alum or TiCl ₃ treatment							
TiCl ₃ (16 mg/L) = T*	3	3.2	3.9	65.6	7.0	0.6	
T* + Al 8 mg/L = 24 mg/L	6	0.6	3.6	68.6	2.0	0.2	
T* + Al 16 mg/L = 32 mg/L	6	0.5	3.2	71.9	6.8	0.5	
T* + Ti 8 mg/L = 24 mg/L	3	10.0	3.1	72.5	10.9	0.9	
T* + Ti 16 mg/L = 32 mg/L	3	14.1	2.9	74.4	19.1	1.5	

457 **Table 1.** Effect of two-stage treatment of alum and TiCl₃ on the coagulation efficiency

458 Note: $A^*= 16 \text{ mg Al/L of dose}$; $T^*= 16 \text{ mg Ti/L of dose}$

459 **4.** Conclusions

In this study, the coagulation performances of $TiCl_3$ and alum in drinking water treatment were compared. Results of jar tests revealed that the optimum pH for alum and $TiCl_3$ were 3 and 6, respectively, and a dose of 16 mg/L for both coagulants yielded an optimum DOC removal. $TiCl_3$ showed higher DOC removal than alum at the respective optimum coagulation pH levels. The DOC and turbidity removals correlated with the

zeta potentials of Al- and Ti-flocs showing that the removal mechanisms were either charge neutralization or 464 sweep coagulation, at different pH values and coagulant doses. The higher zeta potential of Ti-floc at pH 3 465 indicated that charge neutralization was the dominant removal mechanism for DOC removal by TiCl₃. 466 467 However, the higher negative zeta potential of Ti-flocs at pH > 4.5 confirmed that the removal achieved at this pH occurred with a combination of adsorption, enmeshment or sweep coagulation. EEM data indicated 468 that TiCl₃ showed greater removal efficiency of humic compounds (both humic acids and fulvic acids) at 469 optimum coagulant dose than alum. The average value of floc size d_{50} of Ti-floc was much greater than that 470 of alum. A two-stage treatment process showed an enhanced DOC removal compared with a single dose 471 472 treatment. Overall, TiCl₃ showed better results than alum in terms of DOM removal and floc size. The results from this study indicate that TiCl₃ could have specific application in the treatment of low alkalinity waters 473 with high concentrations of DOC, as well as for low pH wastewaters. 474

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