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1 **A Study of the reactions of Al⁺ ions with O₃, N₂, O₂, CO₂ and H₂O:**
2 **influence on Al⁺ chemistry in planetary ionospheres**

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16 **Abstract.** The reactions between $\text{Al}^+(3^1\text{S})$ and O_3 , O_2 , N_2 , CO_2 and H_2O were studied using
17 the pulsed laser ablation at 532 nm of an aluminium metal target in a fast flow tube, with
18 mass spectrometric detection of Al^+ and AlO^+ . The rate coefficient for the reaction of Al^+
19 with O_3 is $k(293\text{ K}) = (1.4 \pm 0.1) \times 10^{-9} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$; the reaction proceeds at the ion-
20 dipole enhanced Langevin capture frequency with a predicted $T^{-0.16}$ dependence. For the
21 recombination reactions, electronic structure theory calculations were combined with Rice-
22 Ramsperger-Kassel-Markus theory to extrapolate the measured rate coefficients to the
23 temperature and pressure conditions of planetary ionospheres. The following low-pressure
24 limiting rate coefficients were obtained for $T = 120 - 400\text{ K}$ and He bath gas (in cm^6
25 $\text{molecule}^{-2} \text{ s}^{-1}$, uncertainty $\pm \sigma$ at 180 K): $\log_{10}(k, \text{Al}^+ + \text{N}_2) = -27.9739 + 0.05036\log_{10}(T) -$
26 $0.60987(\log_{10}(T))^2$, $\sigma=12\%$; $\log_{10}(k, \text{Al}^+ + \text{CO}_2) = -33.6387 + 7.0522\log_{10}(T) -$
27 $2.1467(\log_{10}(T))^2$, $\sigma=13\%$; $\log_{10}(k, \text{Al}^+ + \text{H}_2\text{O}) = -24.7835 + 0.018833\log_{10}(T) -$
28 $0.6436(\log_{10}(T))^2$, $\sigma=27\%$. The $\text{Al}^+ + \text{O}_2$ reaction was not observed, consistent with a
29 $D^\circ(\text{Al}^+-\text{O}_2)$ bond strength of only 12 kJ mol^{-1} . Two reactions of AlO^+ were also studied:
30 $k(\text{AlO}^+ + \text{O}_3, 293\text{ K}) = (1.3 \pm 0.6) \times 10^{-9} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, with $(68 \pm 9)\%$ forming Al^+ as
31 opposed to OAlO^+ ; and $k(\text{AlO}^+ + \text{H}_2\text{O}, 293\text{ K}) = (9 \pm 4) \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$. The
32 chemistry of Al^+ in the ionospheres of Earth and Mars is then discussed.

33

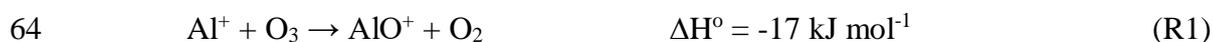
34 1. Introduction

35 Layers of metal atoms are produced in the terrestrial mesosphere and lower thermosphere
36 (MLT) region (70 - 120 km) by the ablation of $\sim 40 \text{ t d}^{-1}$ of cosmic dust particles entering the
37 atmosphere.¹ The Na and Fe layers have, in particular, been studied extensively by ground-
38 based lidar and are a very useful probe of the chemistry and dynamics of this region.² The
39 relative elemental abundance of Al to Fe in CI chondrites, a class of carbonaceous
40 chondrites³ thought to be most representative of cosmic dust, is 0.096.⁴ However, Al is
41 present in meteoroids as a stable oxide and is more refractory than Fe. Combining a chemical
42 ablation model with an astronomical model of the cosmic dust sources reaching the Earth
43 indicates that Al ablates about 3 times less efficiently than Fe i.e. the Al/Fe ablation ratio is
44 0.032.¹

45 Al^+ and Fe^+ ions have been observed in the MLT by rocket-borne mass spectrometry.⁵
46 Inspection of data from seven of these flights (E. Kopp, University of Bern, pers. comm.)
47 shows that the Al^+/Fe^+ ratio is 0.022 ± 0.005 between 90 and 100 km, which is therefore
48 close to the ablation ratio. For comparison, in the Martian atmosphere the Al^+/Fe^+ ratio
49 measured by the Neutral Gas Ion Mass Spectrometer on the MAVEN satellite is $0.041 \pm$
50 0.006 .⁶ Note that this measurement was made at a height of 185 km, where some mass
51 separation in favour of the lighter ion may have increased the ratio.

52 Al^+ is produced directly by impact ionization as the ablating Al atoms make hyperthermal
53 collisions with air molecules.⁷ Other meteoric metals such as Na, Fe and Mg undergo charge
54 transfer with the major ambient ions in the lower thermosphere, NO^+ and O_2^+ .² However,
55 unlike these metals, Al atoms react very rapidly with O_2 to form AlO .⁸ The ionization energy
56 of AlO has been measured to be $\leq 9.75 \text{ eV}$ in a guided-ion beam apparatus,⁹ in agreement
57 with a recent value of 9.70 eV computed using highly correlated ab initio theory.¹⁰ The
58 ionization energies of NO and O_2 are 9.26 and 12.07 eV , respectively;¹¹ thus, AlO will not
59 charge transfer with NO^+ but can do so with O_2^+ to form AlO^+ . AlO^+ is probably reduced
60 back to Al^+ by reaction with atomic O or CO, as in the case of MgO^+ .¹²

61 Al^+ is likely to be neutralized by forming molecular ions, including cluster ions, which can
62 then undergo dissociative recombination with electrons.² Probable reactions in the MLT
63 include:



69

70 where M is a third body (e.g. N_2 or O_2 in the terrestrial atmosphere, and CO_2 in the Martian
71 atmosphere). The reaction enthalpies (at 0 K) listed above were calculated using the
72 Complete Basis Set (CBS-QB3) method,¹³ as discussed in Section 4. The reactions of Al^+
73 with O_3 and H_2O do not appear to have been studied previously. In the case of N_2 ,¹⁴ O_2 ,¹⁵ and

74 CO₂,¹⁶ no reaction at thermal energies relevant to the MLT was reported. It is unsurprising
75 that R2(N₂), R3(O₂) and R4(CO₄) are very slow given the low binding energies of these
76 ligands to Al⁺.

77 Reaction R1(O₃) is interesting because the AlO⁺ product should be produced in the low-lying
78 excited a³Π state rather than the X¹Σ ground state, if the overall singlet spin multiplicity of
79 the reactants is conserved in the products (Al⁺, O₃ and O₂ have ¹S, ¹A₁ and ³Σ_g⁻ ground states,
80 respectively). Note that the enthalpy change given above is for production of AlO⁺(a³Π). Two
81 recent high level theoretical studies using multireference configuration interaction have
82 reported that AlO⁺(a³Π) is only 3.5 kJ mol⁻¹¹⁷ or 6 – 8 kJ mol⁻¹¹⁰ above the AlO⁺(X¹Σ) state,
83 and so this state should be readily accessible. However, Yan et al.¹⁷ found that the AlO⁺
84 bond energy was only D₀ = 84 kJ mol⁻¹, which would make R1(O₃) endothermic by 16 kJ
85 mol⁻¹. In contrast, the earlier study of Sghaier et al.¹⁰ found that D₀ = 140 kJ mol⁻¹, so that
86 R1(O₃) would be ~33 kJ mol⁻¹ exothermic if AlO⁺(a³Π) is the product, and thus able to occur
87 at MLT temperatures. Note that this AlO⁺ bond energy is much too small for Al⁺ to abstract
88 an O atom from O₂ or CO₂, which only occurs at energies > 4 eV.^{9, 16}

89 Reactions R1 – R5 are the main focus of the present study. In addition, while measuring the
90 kinetics of R1(O₃) it became clear that the AlO⁺ product reacts further with O₃:



93 where channel R6a recycles AlO⁺ back to Al⁺. In order to prevent this occurring, we added
94 H₂O to remove AlO⁺:



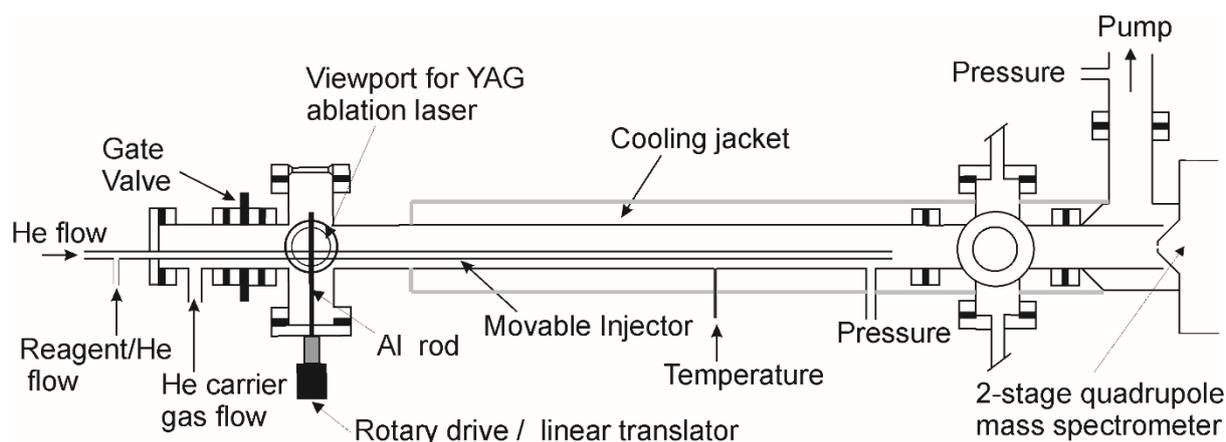
96 In this paper we first describe measurements of the rate coefficients for reactions R1 – R7.
97 Electronic structure calculations combined with Rice-Ramsperger-Kassell-Markus theory
98 (where appropriate) are then used to extrapolate the rate coefficients to temperatures and
99 pressures relevant to planetary ionospheres, before the significance of these reactions in the
100 atmospheres of Earth and Mars is explored.

101

102 2. Experimental

103 Figure 1 is a schematic diagram of the Laser Ablation - Fast flow tube - Mass Spectrometer
104 (LA-FT-MS) system used to study the reactions of Al⁺, which is similar in design to the
105 system that we used previously to study the reactions of Fe⁺,¹⁸ Ca⁺¹⁹ and Mg⁺ ions.²⁰ The
106 length of the stainless steel flow tube from ablation to detection is 972.5 mm. The tube
107 consists of cross-pieces and nipple sections, all connected by conflat flanges and sealed with
108 Viton or copper gaskets. The internal diameter of the tube is 35.0 mm. A roots blower (BOC
109 Edwards, Model EH500A) backed up by an 80 m⁻³ hr⁻¹ rotary pump (BOC Edwards, Model
110 E2M80), produced the required high flow speeds in the tube.

111



112

113 **Figure 1:** Schematic diagram of the fast flow tube with a laser ablation ion source, coupled to
 114 a differentially pumped quadrupole mass spectrometer.

115

116 Al^+ ions were produced via laser ablation of a solid Al rod using a 532 nm Nd:YAG laser
 117 (repetition rate = 10 Hz, pulse energy ~ 25 mJ), loosely focused onto the target using a quartz
 118 lens (focal length = 150 mm). The ablation target was mounted on a rotary feedthrough
 119 powered by a DC motor, and extended into the centre of the cylindrical axis of the tube
 120 (Figure 1). The target was rotated at 2 – 4 Hz so that a fresh Al surface was presented to each
 121 laser pulse in order to maintain a uniform Al^+ signal. The Al^+ ion pulses were entrained in a
 122 flow of He which entered upstream of the ablation target. An overall gas flow rate of
 123 typically 4200 sccm was used at pressures of 1 - 4 Torr, controlled by a throttle valve situated
 124 on the exhaust. The resulting flow velocities ranged from 55 - 14 m s^{-1} and hence the
 125 Reynolds number was always less than 80, ensuring laminar flow within the tube.

126 The reactants were injected into the flow tube through a sliding glass injector positioned
 127 along the floor of the tube (Figure 1). To generate O_3 , O_2 was passed through a high voltage
 128 corona in a commercial ozoniser (Fischer Technology Ozone Generator 500 Series),
 129 producing a 5-8 % mixture of O_3 in O_2 . The O_3 concentration was monitored in a 30 cm
 130 pathlength optical cell downstream of the ozonizer, by optical absorption of the 253.7 nm
 131 emission line from a Hg lamp.

132 Al^+ and product molecular ions were detected using a differentially pumped 2-stage
 133 quadrupole mass spectrometer run in positive ion mode (Hiden Analytical, HPR60). The
 134 skimmer cone between the flow tube and the first stage of the mass spectrometer had a 0.4
 135 mm orifice biased at -17 V, and the skimmer cone between the first and second stage of the
 136 mass spectrometer had a 1.8 mm orifice biased at -86 V. Time-resolved ion pulses were
 137 captured with a multichannel scaler synchronized to the Q switch of the YAG laser using a
 138 digital delay generator (Quantum model 9518). Al^+ pulses from typically 500-1000 laser
 139 shots were then signal-averaged.

140 Materials: Carrier gas He (99.995%, BOC gases) which was purified by passing through
 141 molecular sieve (4 Å, 1 – 2 mm, Alfa Aesar) held at 77 K. N_2 (99.995%, BOC gases), CO_2
 142 (99.995%, BOC gases), and O_2 (99.999%, BOC gases) were used without purification. H_2O

143 and CO₂ vapour were purified by three freeze-pump-thaw cycles before making up mixtures
 144 in He on an all-glass vacuum line.

145 3. Experimental Results

146 Laser ablation/ionization of the Al rod most likely produces some Al⁺ ions in excited states.
 147 If these were sufficiently long-lived metastable states, they might affect the kinetic
 148 measurements (and would be recorded as m/z = 27 by the mass spectrometer). The
 149 metastable state that would potentially be a problem is Al⁺(3³P), which is 4.64 eV above the
 150 Al⁺(3¹S) ground state. However, its radiative lifetime is 304 μs,²¹ which is much shorter than
 151 the flow time from the ablation source to the point where reactants were injected (typically 5
 152 - 40 ms, depending on the reaction distance and flow rate). Thus, essentially all of these
 153 metastable ions would have radiated (or been quenched) to Al⁺(¹S) before the introduction of
 154 the reactants.

155 The kinetics measurements were generally made by adjusting the injector length in the tube,
 156 so that reactants were injected from between and 11 and 43 cm upstream of the skimmer cone
 157 of the mass spectrometer. The loss of Al⁺ by reactions (1) – (5) can be described by a pseudo
 158 first-order decay coefficient, k', since the concentrations of the reactants, and the bath gas in
 159 the case of reactions (2)–(5), were in large excess of the Al⁺ concentration. Diffusional loss
 160 of Al⁺ to the tube walls, k_{diff,Al⁺}, is also first-order. Thus, in the case of reaction (1) the total
 161 removal of Al⁺ is given by:

$$162 \quad k'_{total} = (k_{diff,Al^+} + k_1[O_3] + k_3[O_2][M]) \quad (I)$$

163 and for reactions (2) to (5):

$$164 \quad k'_{total} = (k_{diff,Al^+} + k_X[X]) \quad (II)$$

165 where k_{diff,Al⁺} is the first-order loss of the ion on the walls and k_X is the pressure-dependent
 166 rate coefficient for the recombination of Al⁺ with X = N₂, O₂, CO₂ or H₂O. Equation (I)
 167 includes the recombination of Al⁺ with O₂ since this species was always present in the O₃
 168 flow, although in this case k₃ is extremely slow (see below) and so in practice could be
 169 ignored. In the absence of reactants, the Al⁺ concentration at the skimmer cone, [Al⁺]₀^t, is
 170 given by:

$$171 \quad \ln[Al^+]_0^t = \ln[Al^+]^{t=0} - t \cdot k_{diff,Al^+} \quad (III)$$

172 where [Al⁺]₀^t is the Al⁺ concentration at the injection point of X, a flow time t upstream of
 173 the skimmer cone. When reactant X is added, the Al⁺ density at the skimmer cone is given
 174 by:

$$175 \quad \ln[Al^+]_X^t = \ln[Al^+]^{t=0} - t(k_{diff,Al^+} + k_X[X]) \quad (IV)$$

176 Subtracting equation (III) from (IV) produces an expression for k' which describes reactive
 177 loss of Al⁺ only:

$$178 \quad k' = k_X[X] = \frac{\ln\left(\frac{[Al^+]_X^t}{[Al^+]_0^t}\right)}{t} \quad (V)$$

179 The advantage of using equation (V) is that the wall loss rate of Al⁺ is not required to obtain
 180 k'. Note that the flow times t referred to below are corrected for the parabolic velocity profile
 181 in the flow tube,²² which arises under laminar flow conditions when a reactant is removed
 182 rapidly at the walls. The velocity along the axis of the tube, which is where the ions are
 183 sampled, is 1.6 times the plug flow velocity – confirmed in the present experiment by
 184 measuring the arrival time of the pulse at the skimmer cone. It should be noted that the
 185 voltage on the first skimmer cone was set to maximize the ion signal, and at -17 V is high
 186 enough to potentially cause significant dissociation of weakly-bound cluster ions entering the
 187 mass spectrometer. If that were the case, a residual Al⁺ signal would be observed at long
 188 reaction times, and a plot of $\ln\left(\frac{[\text{Al}^+]_x^t}{[\text{Al}^+]_0^t}\right)$ versus t would not remain linear. However, modelling
 189 the kinetic measurements discussed below shows that less than 0.2% of clusters such as
 190 Al⁺.CO₂ dissociated while passing through the skimmer cone.

191

192 3.1 Diffusion of Al⁺

193 Before making kinetic measurements of Al⁺ reactions, diffusional loss of Al⁺ to the flow tube
 194 walls was examined. Figure 2 shows a sequence of Al⁺ pulses arriving at the mass
 195 spectrometer under conditions of constant pressure and varied flow times (note that the time
 196 shown in Figure 2 is from the ablation source to the skimmer cone, which is longer than the
 197 reaction times where reactants are injected downstream of the source). The flight time is
 198 dependent on the flow velocity. At longer flight times, the pulse widths increase as a result of
 199 axial diffusion, and the pulse height and integrated area decrease due to radial diffusion and
 200 loss on the walls. A log-plot of the integrated pulse area against flight time yields a linear plot
 201 (Figure 2) with a slope equal to the first-order loss on the walls, $k_{\text{diff,Al}^+}$. This can then be
 202 related to the diffusion coefficient of Al⁺ in He by the expression for diffusion out of a
 203 cylinder:²³

$$204 \quad D_{\text{Al}^+-\text{He}} \geq k_{\text{diff,Al}^+} P \frac{r^2}{5.81} \quad (\text{VI})$$

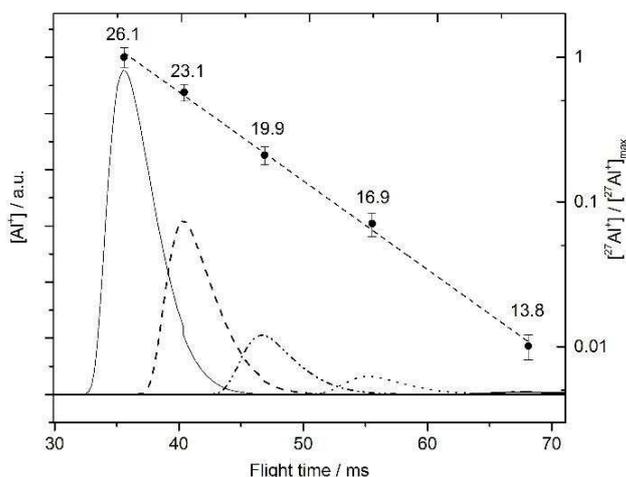
205 where P is the flow tube pressure and r is the tube radius, and the equality would hold if the
 206 ion was removed with 100% efficiency on collision with the flow tube wall. Equation (I)
 207 yields $D_{\text{Al}^+-\text{He}} \geq 146 \text{ Torr cm}^2 \text{ s}^{-1}$. In comparison, $D_{\text{Al}^+-\text{He}}$ can be estimated to be 221 Torr
 208 $\text{cm}^2 \text{ s}^{-1}$ at 298 K from the expression:²⁴

$$209 \quad D_{\text{Al}^+-\text{He}} = \frac{k_{\text{B}}T}{2.21 n\pi\mu} \sqrt{\frac{\mu}{\alpha e^2}} \quad (\text{VII})$$

210 where n is the concentration of He, k_{B} the Boltzmann constant, α the polarizability of He
 211 (0.205 \AA^3), e the elemental charge and μ is the reduced mass of the Al⁺ -He collision. The
 212 experimental lower limit is reasonably close to this estimate, which suggests efficient
 213 removal on the electrically earthed tube walls. The removal probability may be somewhat
 214 reduced through the walls becoming coated over time with a partially insulating metal oxide
 215 layer.

216

217



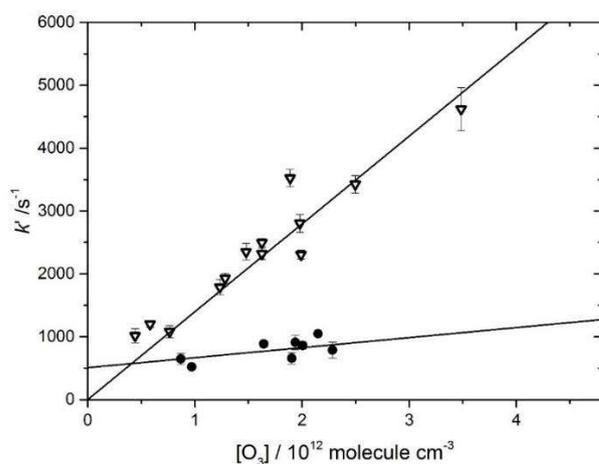
218

219 **Figure 2.** Al^+ ion pulses (left-hand ordinate) recorded for five flow velocities (shown as
 220 numbers in $m s^{-1}$ above each peak) at 3 Torr pressure of He. The points (with 1σ error bars
 221 determined from 3 repeated measurements) are the ratio of each pulse area to that of the pulse
 222 at $26 m s^{-1}$ (right-hand ordinate, log scale). The line is a linear regression through the points.

223

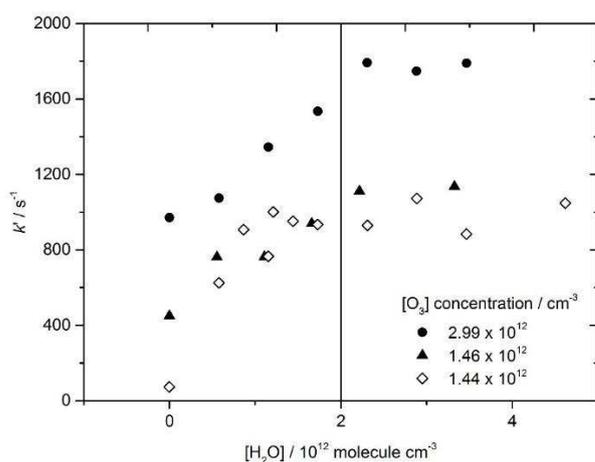
224 3.2 $Al^+ + O_3$

225 The data-points in Figure 3 depicted with solid circles are an initial measurement of k_1
 226 yielding $(1.6 \pm 0.9) \times 10^{-10} cm^3 molecule^{-1} s^{-1}$. Note, however, that the linear regression line
 227 through these points does not pass through the origin as predicted by equation (V). This is
 228 indicative of a reaction between AlO^+ and O_3 which recycles AlO^+ to Al^+ (reaction R6a), and
 229 retards the overall removal of Al^+ at higher $[O_3]$. This phenomenon has been reported
 230 recently for the analogous reaction $Fe^+ + O_3$.²⁵ We therefore added H_2O along with the O_3 to
 231 remove AlO^+ via reaction R7, and hence inhibit recycling of AlO^+ to Al^+ via R6a. Figure 4
 232 shows the effect on k' of increasing $[H_2O]$ at three different fixed $[O_3]$. k' initially increases,
 233 but essentially reaches a plateau when more than $\sim 2 \times 10^{12} molecule cm^{-3}$ of H_2O is added.
 234 The measurement of k_1 as a function of $[O_3]$ was now repeated at fixed $[H_2O] = 3.5 \times 10^{12}$
 235 cm^{-3} , and the resulting k' values (open triangles) are shown in Figure 3. A regression through
 236 these points now passes through the origin, yielding $k_1 = (1.4 \pm 0.1) \times 10^{-9} cm^3 molecule^{-1} s^{-1}$,
 237 where the uncertainty is the 1σ standard error of the regression slope combined with the
 238 uncertainty in the O_3 concentration.



239

240 **Figure 3.** Plot of k' versus $[O_3]$ for the study of reaction R1(O_3). Data-points shown with open
 241 triangles are measured with a fixed $[H_2O] = 3.5 \times 10^{12}$ molecule cm^{-3} ; data-points depicted with solid
 242 circles are measured in the absence of H_2O .



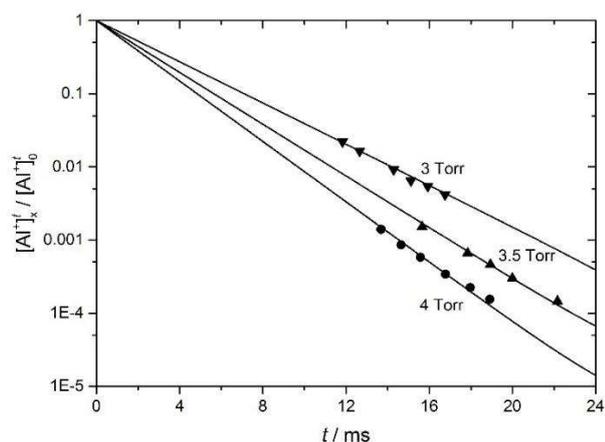
243

244 **Figure 4.** k' versus $[H_2O]$ at three fixed $[O_3]$ (see figure legend). The vertical line indicates
 245 the point at which reaction R7 dominates R6a so that k' reaches a plateau and no longer
 246 increases with $[H_2O]$.

247

248 3.3 $Al^+ + CO_2, N_2, O_2$ and H_2O

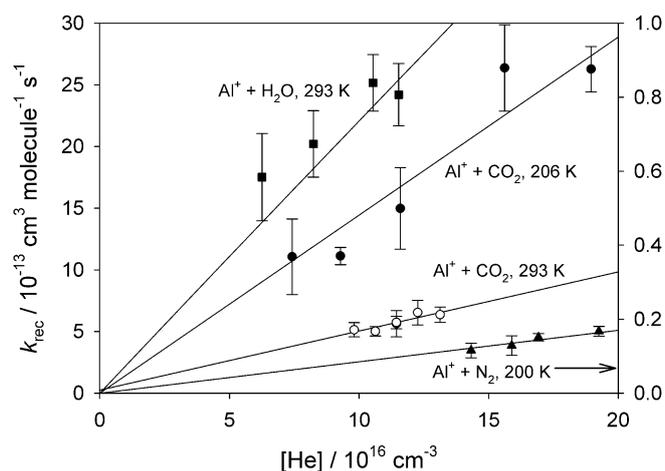
249 Figure 5 shows plots of $\ln \left(\frac{[Al^+]_x^t}{[Al^+]_0^t} \right)$ versus t at three different He pressures for reaction
 250 R4(CO_2). These plots are linear and pass through the origin, as expected from equation (V).
 251 The slope of each plot yields the second-order recombination rate coefficient, k_{rec} , which is
 252 clearly increasing with pressure. Figure 6 shows plots of k_{rec} versus $[He]$ for R4(CO_2) at two
 253 different temperatures. The slopes of these plots yield: $k_4(206\text{ K}) = (1.5 \pm 0.2) \times 10^{-29}$ cm^6
 254 $molecule^{-2} s^{-1}$ and $k_4(293\text{ K}) = (5.0 \pm 0.6) \times 10^{-30}$ $cm^6 molecule^{-2} s^{-1}$.



254

256 **Figure 5.** First-order decays of Al^+ in the presence of CO_2 ($[\text{CO}_2] = 6.0 \times 10^{14}$ molecule
257 cm^{-3}) at 293 K, at three different pressures of He.

258 The reaction $\text{Al}^+ + \text{H}_2\text{O}$ was only measured at room temperature because of the constraint of
259 H_2O condensing on the flow tube walls at lower temperatures. As shown in Figure 6,
260 $\text{R5}(\text{H}_2\text{O})$ has the largest rate coefficient of the four recombination reactions, with $k_5(293 \text{ K}) =$
261 $(2.4 \pm 0.3) \times 10^{-29} \text{ cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$. For $\text{Al}^+ + \text{N}_2$, the reaction is very slow and could only
262 be observed at 200 K (note that the right-hand ordinate in Figure 6 corresponds to $\text{R2}(\text{N}_2)$),
263 giving $k_2(200 \text{ K}) = (8.4 \pm 0.9) \times 10^{-32} \text{ cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$. The uncertainties in these rate
264 coefficients are the 1σ standard errors of the regression slopes combined with the uncertainty
265 in the reactant concentrations. The reaction of $\text{Al}^+ + \text{O}_2$ was too slow to measure even at low
266 temperatures, so an upper limit of $k_3(205 \text{ K}) < 2.8 \times 10^{-32} \text{ cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$ was obtained.



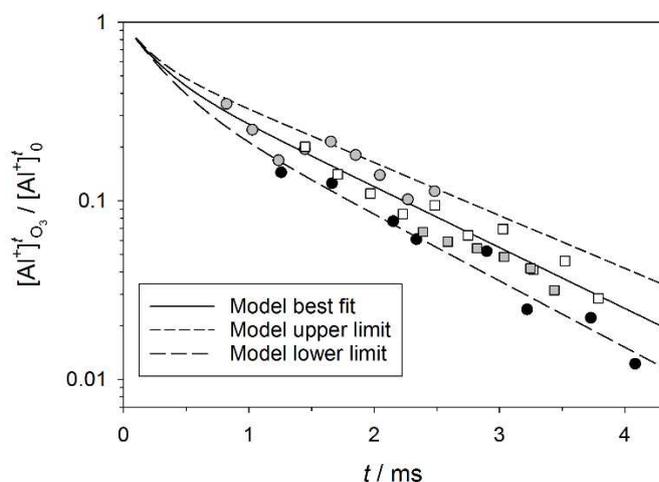
267

268 **Figure 6.** Second-order rate coefficients k_{rec} versus $[\text{He}]$ for the recombination of Al^+ with
269 H_2O , CO_2 and N_2 (note the right-hand ordinate for the N_2 reaction).

270

271 **3.4 $\text{AlO}^+ + \text{O}_3$ and H_2O**

272 The removal of Al^+ in the presence of O_3 was studied as a function of t at 293 K. Four data-
 273 sets (with 6 to 10 points in each) of $\left(\frac{[\text{Al}^+]_{\text{O}_3}^t}{[\text{Al}^+]_0^t}\right)$ versus t were obtained, as shown in Figure 7. A
 274 model of the flow tube kinetics, which included k_1 , the wall loss of Al^+ , and the two
 275 unknowns k_6 and the branching ratio f_{6a} (where $f_{6a} = k_{6a}/k_6$ i.e. the fraction of the total removal
 276 rate that is due to recycling to Al^+), was then used to fit each data set by minimizing the χ^2
 277 residual between the modelled and experimental points. This yielded weighted means of
 278 $k_6(293 \text{ K}) = (1.3 \pm 0.6) \times 10^{-9} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and $f_{6a} = (63 \pm 9)\%$ (1σ uncertainty). The
 279 fitting procedure is much more sensitive to f_{6a} than k_6 , because it is the branching ratio that
 280 dictates the amount of Al^+ present rather than the absolute rate of reaction of AlO^+ with O_3 .
 281 This is indicated by the relative uncertainties of the two parameters.



282

283 **Figure 7.** Log plot of $\left(\frac{[\text{Al}^+]_{\text{O}_3}^t}{[\text{Al}^+]_0^t}\right)$ as a function of t , with $[\text{O}_3] = 1.2 \times 10^{12} \text{ cm}^{-3}$ at 1.0 Torr and
 284 293 K. The model fit is the solid black line, with upper and lower limits indicated by the
 285 dashed lines. Four experimental data sets are shown as discrete symbols.

286

287 The reaction between AlO^+ and H_2O was studied by monitoring the AlO^+ ion with the mass
 288 spectrometer, as a function of $[\text{H}_2\text{O}]$ at fixed $[\text{O}_3]$. Plots of $\left(\frac{[\text{AlO}^+]_{\text{H}_2\text{O}}^t}{[\text{AlO}^+]_0^t}\right)$ versus t were then
 289 fitted with the kinetic model using the measured k_1 , k_{6a} and k_{6b} . Fitting four data sets with 5 to
 290 10 data-points each resulted in a value of $k_7(293 \text{ K}) = (9 \pm 4) \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$. Note
 291 that we assume here that if reaction does indeed produce excited $\text{AlO}^+(^3\Pi)$, because this state
 292 is only a few kJ mol^{-1} above $\text{AlO}^+(^1\Sigma)$ (see Section 1) it will be efficiently quenched at the
 293 pressures of He in the flow tube, before going on to react with O_3 or H_2O .

294

295 4. Discussion

296 A series of electronic structure calculations were first performed in order to interpret the
 297 experimental results. The geometries of AlO^+ and the Al^+ cluster ions were first optimized at
 298 the B3LYP/6-311+g(2d,p) level of theory within the Gaussian 16 suite of programs.²⁶ The

geometries are illustrated in Figure 8, and the Cartesian coordinates, rotational constants and vibrational frequencies are listed in Table 1. The bond energies of the molecules (i.e. $D^0(\text{Al}^+ - \text{X})$) were then calculated using the more accurate CBS-QB3 method.¹³ Note that the cluster bond energies decrease in the order $\text{H}_2\text{O} > \text{CO}_2 > \text{N}_2 > \text{O}_2$, and inspection of Figure 8 shows that the Al^+ -ligand bond length varies inversely with the bond energy, as expected.

There is very good agreement for the bond lengths and vibrational frequencies of $\text{AlO}^+(\text{X}^1\Sigma)$ and $\text{AlO}^+(\text{a}^3\Pi)$ with two recent multireference configuration interaction theory studies^{10,17}. The difference in energy between these AlO^+ states is 10.1 kJ mol^{-1} at the CBS-QB3 level, compared with $3.5 - 8.0 \text{ kJ mol}^{-1}$ in these earlier studies i.e. well within the expected uncertainty. The $\text{AlO}^+(\text{X}^1\Sigma)$ bond dissociation energy of 125 kJ mol^{-1} at the CBS-QB3 level lies between the previous theoretical estimates of 84 kJ mol^{-1} ¹⁷ and 140 kJ mol^{-1} ,¹⁰ and is somewhat lower than the experimental values of 132 and 145 kJ mol^{-1} obtained from beam studies of $\text{Al}^+ + \text{NO}_2$ and O_2 , respectively.¹⁶

312

313 **Table 1.** Molecular properties of the AlO^+ , $\text{Al}^+.\text{CO}_2$, $\text{Al}^+.\text{H}_2\text{O}$, $\text{Al}^+.\text{N}_2$ and $\text{Al}^+.\text{O}_2$ ions
314 (illustrated in Figure 8), and Al^+ -ligand bond energies.

Molecule	Geometry (Cartesian co-ordinates in Å) ^a	Rotational constants (GHz) ^a	Vibrational frequencies (cm^{-1}) ^a	$D^0(0 \text{ K})$ (kJ mol^{-1}) ^b
$\text{AlO}^+(\text{X}^1\Sigma)$	Al, 0.0, 0.0, 0.0 O, 0.0, 0.0, 1.604	19.5551	1001	326.9 ^c
$\text{AlO}^+(\text{a}^3\Pi)$	Al, 0.0, 0.0, 0.0 O, 0.0, 0.0, 1.751	16.4096	726	115.4 ^d
$\text{Al}^+.\text{CO}_2$	Al, 0.0, 0.0, -0.175 O, 0.0, 0.0, 2.191 C, 0.0, 0.0, 3.371 O, 0.0, 0.0, 4.513	2.0074	41 ($\times 2$), 136, 648 ($\times 2$), 1353, 2415	44.6
$\text{Al}^+.\text{H}_2\text{O}$	Al, -0.005, -0.028, -0.219 O, 0.014, 0.077, 1.886 H, 0.790, -0.030, 2.462 H, -0.753, 0.242, 2.462	408.4034 9.8024 9.5726	292, 342, 496, 1647, 3688, 3775	111.4
$\text{Al}^+.\text{N}_2$	Al, 0.0, 0.0, 1.783 N, 0.0, 0.0, -1.093 N, 0.0, 0.0, -2.184	2.9858	105 ($\times 2$), 108, 2439	18.9
$\text{Al}^+.\text{O}_2$	Al, -0.295, 0.0, -0.441 O, 0.258, 0.0, 2.256 O, 1.229, 0.0, 2.974	140.46524 3.14751 3.07853	72, 122, 1618	12.1

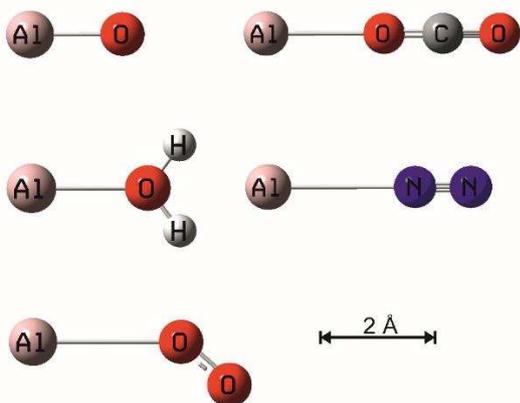
315 ^a Calculated at the B3LYP/6-311+g(2d,p) level of theory²⁶

316 ^b Calculated at the CBS-QB3 level of theory¹³

317 ^c Dissociation to $\text{Al}^+ + \text{O}(^1\text{D})$

318 ^d Dissociation to $\text{Al}^+ + \text{O}(^3\text{P})$

319



320

321 **Figure 8.** Geometries of AlO^+ , $\text{Al}^+\cdot\text{CO}_2$, $\text{Al}^+\cdot\text{H}_2\text{O}$, $\text{Al}^+\cdot\text{N}_2$ and $\text{Al}^+\cdot\text{O}_2$ ions (all singlet spin
322 multiplicity) calculated at the B3LYP/6-311+g(2d,p) level of theory.²⁶

323

324 4.1 $\text{Al}^+ + \text{O}_3$, $\text{AlO}^+ + \text{O}_3$ and H_2O

325 Reaction $\text{R1}(\text{O}_3)$ is around 40% faster than the Langevin capture rate of $1.0 \times 10^{-9} \text{ cm}^3$
326 $\text{molecule}^{-1} \text{ s}^{-1}$, which indicates that the modest dipole moment of O_3 (0.53 D^{11}) enhances its
327 capture by Al^+ . Using the statistical adiabatic channel model of Troe²⁷ with a rotational
328 constant for O_3 of 0.428 cm^{-1} , estimated as the geometric mean of the rotation constants for
329 rotation orthogonal to the C_{2v} axis of the molecule along which the dipole lies, yields $k_1(293$
330 $\text{K}) = 1.39 \times 10^{-9} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, in excellent agreement with the measured value. The
331 temperature dependence of the reaction is then predicted to be $k_1(100 - 300 \text{ K}) = 1.48 \times 10^{-9}$
332 $(T/200)^{-0.164} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$. Note that the large value of k_1 confirms that the AlO^+ bond
333 energy must be greater than $D^0(\text{O}-\text{O}_2)$ ($= 100 \text{ kJ mol}^{-1 11}$), which is consistent with Sghaier et
334 al.¹⁰ and the present study, and not with the calculation of Yan et al.¹⁷

335 For the reaction of AlO^+ with O_3 , both channels are substantially exothermic (Section 1), so it
336 is perhaps not surprising that the branching to Al^+ and OAlO^+ is evenly split, with $f_{6a} = 58\%$.
337 Application of the SACM estimates $k_6(100 - 300 \text{ K}) = 1.20 \times 10^{-9} (T/293)^{-0.175} \text{ cm}^3 \text{ molecule}^{-1}$
338 s^{-1} , which agrees well the measured value within the experimental uncertainty. For R7, the
339 larger dipole moment of H_2O increases the SACM estimate to $k_7(100 - 300 \text{ K}) = 2.30 \times 10^{-9}$
340 $(T/293)^{-0.309} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, which is about double the measured value.

341 4.2 $\text{Al}^+ + \text{N}_2$, O_2 , CO_2 and H_2O

342 In order to extrapolate the rate coefficients for these cluster reactions to temperatures and
343 pressures which were not accessible experimentally, we employed Rice-Ramsperger-Kassel-
344 Marcus (RRKM) theory using a solution of the Master Equation (ME) based on the inverse
345 Laplace transform method.²⁸ We have applied this formalism previously to recombination
346 reactions of metallic species^{18, 20, 29} so only a brief description is given here. These reactions
347 proceed via the formation of an excited adduct, which can either dissociate or be stabilized by
348 collision with the third body. The internal energy of this adduct was divided into a contiguous
349 set of grains (width $5 - 30 \text{ cm}^{-1}$, depending on the well depth of the adduct), each containing a
350 bundle of rovibrational states. Each grain was then assigned a set of microcanonical rate
351 coefficients for dissociation, which were determined using inverse Laplace transformation to
352 link them directly to $k_{\text{rec},\infty}$, the high pressure limiting recombination coefficient which was

353 estimated here using Langevin capture theory (including a correction for the permanent dipole
 354 of H₂O in the case of R5(H₂O)). Using the vibrational frequencies and rotational constants
 355 listed in Table 1, the density of states of each adduct was calculated with the Beyer-Swinehart
 356 algorithm for the vibrational modes (without making a correction for anharmonicity), and a
 357 classical densities of states treatment for the rotational modes.³⁰

358 The probability of collisional transfer between grains was estimated using the exponential
 359 down model, where the average energy for downward transitions is designated $\langle\Delta E\rangle_{\text{down}}$.³⁰ The
 360 probabilities for upward transitions were calculated by detailed balance. The ME describes the
 361 evolution with time of the adduct grain populations. The ME was expressed in matrix form and
 362 then solved to yield the recombination rate constant at a specified pressure and temperature.
 363 When fitting to the experimental data, three adjustable parameters were allowed: the average
 364 energy for downward transitions, $\langle\Delta E\rangle_{\text{down}}$; α , which defines the T^α dependence of $\langle\Delta E\rangle_{\text{down}}$;
 365 and a barrier of height V_0 for cases where vibrational modes with low frequencies were more
 366 correctly treated as hindered rotors.³⁰ Table 2 summarises the results. The fitted values of
 367 $\langle\Delta E\rangle_{\text{down}}$ lie between 100 and 160 cm⁻¹, which is the expected range for He.³⁰ For R4(CO₂),
 368 the two low-frequency degenerate vibrational modes of Al⁺-CO₂ (41 cm⁻¹) were treated as a 2-
 369 dimensional rotor with $V_0 = 3$ kJ mol⁻¹. The fitted value of α is -0.2, which is close to 0 as
 370 expected.³⁰ For R5(H₂O), the out-of-plane and in-plane rocking modes of the Al⁺-H₂O cluster
 371 (342 and 496 cm⁻¹) were treated as a 2-dimensional rotor with $V_0 = 2$ kJ mol⁻¹.

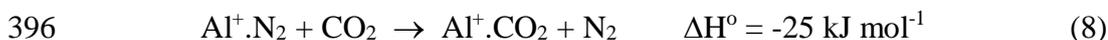
372 The resulting fits of the low pressure limiting rate coefficient, $k_{\text{rec},0}$, through the experimental
 373 data points and then extrapolated between 100 and 600 K, are illustrated in Figure 9.
 374 Reaction R5(H₂O) is almost 1000 times faster than R2(N₂) (at the same temperature). This
 375 reflects the much deeper well and the increased number of atoms in Al⁺-H₂O compared with
 376 the Al⁺-N₂ cluster: both factors increase the density of ro-vibrational states of the adduct.
 377 Note that for all three reactions $k_{\text{rec},0}$ does not follow a simple T^n dependence, and so a
 378 second-order dependence on $\log_{10}T$ was fitted in each case. The resulting expressions are
 379 listed in the final column of Table 2 (the large number of significant figures in the fitted
 380 polynomial parameters are provided for numerical accuracy). For R4(CO₂), the fitted value of
 381 α lies between -0.3 and +0.1. Since R2(N₂) and R5(H₂O) were only measured at a single
 382 temperature, α was set to 0.0; this parameter is expected to lie between -0.5 and +0.5.³⁰ The
 383 faint lines in Figure 9 show the sensitivity of the RRKM fit for each reaction when α is varied
 384 between these respective limits. At a temperature of 180 K (typical of the terrestrial
 385 mesosphere²), the overall uncertainties in the rate coefficients combining the experimental
 386 error and RRKM extrapolation is then 12% for R2(N₂), 13% for R4(CO₂), and 27% for
 387 R5(H₂O). Note that these low-pressure limiting rate coefficients are appropriate for the
 388 meteoric ablation region in a planetary atmosphere where the pressure is less than 10⁻⁵ bar.

Table 2. Fitted RRKM parameters and low-pressure limiting rate coefficients for the addition of a single ligand to an Al⁺ ion

Reaction	$\langle\Delta E\rangle_{\text{down}}$ cm ⁻¹	α	$\log_{10}(k_{\text{rec},0}/ \text{cm}^6 \text{ molecule}^{-2} \text{ s}^{-1})$ T = 100 – 600 K
Al ⁺ + N ₂	112	0.0 ^a	$-27.9739 + 0.05036\log_{10}(T) - 0.60987(\log_{10}(T))^2$
Al ⁺ + CO ₂	125	-0.2	$-33.6387 + 7.0522\log_{10}(T) - 2.1467(\log_{10}(T))^2$
Al ⁺ + H ₂ O	155	0.0 ^a	$-24.7835 + 0.018833\log_{10}(T) - 0.6436(\log_{10}(T))^2$

^a Assumed T dependence.

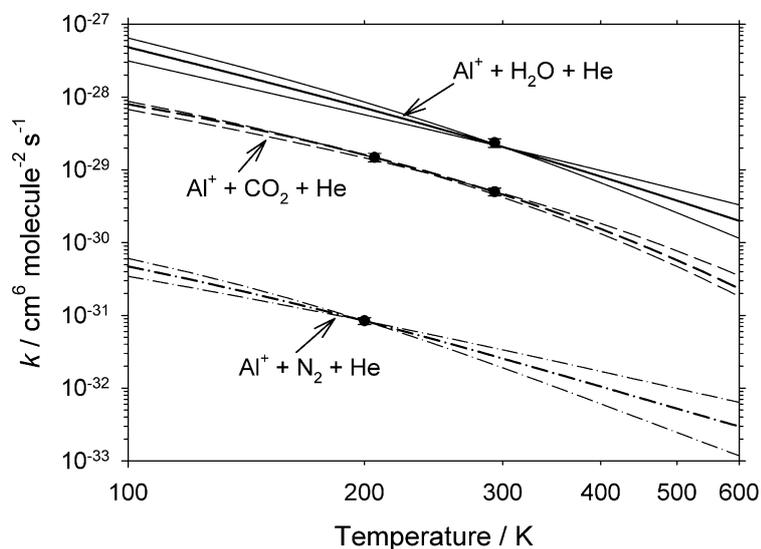
389 We now consider R2(N₂) in more detail. Since D₀(Al⁺-N₂) is only 19 kJ mol⁻¹ (Table 1), the
 390 RRKM calculations indicate that this cluster ion dissociates with an e-folding lifetime of 82 μs
 391 at 200 K and 4 Torr i.e. the reaction would not have been observed in the flow tube, unless a
 392 ligand such as CO₂ or H₂O switched with the N₂ in order to stabilize the Al⁺-X cluster ion. We
 393 investigated this using the Master Equation Solver for Multi-Energy well Reactions
 394 (MESMER) program,^{31,32} which has the facility to include bimolecular removal of the Al⁺-N₂
 395 adduct to a stable sink. The switching rate coefficients for the reactions



398 were set to their respective Langevin capture rates: k₈(200 K) = 8.1 × 10⁻¹⁰ cm³ molecule⁻¹ s⁻¹,
 399 and k₉(200 K) = 2.9 × 10⁻⁹ cm³ molecule⁻¹ s⁻¹, and the other parameters as above. This exercise
 400 reveals that Al⁺ ions would be removed at the observed rate if recombination with N₂ was
 401 followed by switching, so long as the H₂O mixing ratio in the N₂ was above 2 ppm, or CO₂
 402 above 7 ppm (likely in 99.995% pure N₂). In terms of atmospheric chemistry, in the terrestrial
 403 upper mesosphere the mixing ratio of CO₂ is 390 ppm,³³ so that R2(N₂) needs to be taken into
 404 account as a potential route for neutralizing Al⁺.

405 Lastly, we note that for Al⁺ + O₂, Leuchtner et al.³⁴ found an upper limit of k₃(300 K) ≤ 1.3 ×
 406 10⁻³² cm⁶ molecule⁻² s⁻¹ in a selected ion flow tube at a pressure of 0.25 Torr, which is consistent
 407 with the upper limit of 2.8 × 10⁻³² cm⁶ molecule⁻² s⁻¹ in the present study. For Al⁺ + CO₂,
 408 Clemmer et al.¹⁶ reported k₄(300 K) ≤ 2.0 × 10⁻²⁷ cm⁶ molecule⁻² s⁻¹ in a guided ion beam
 409 instrument with a maximum pressure of 0.3 mTorr. This is consistent with our actual
 410 measurement of k₄(293 K) = (5.0 ± 0.6) × 10⁻³⁰ cm⁶ molecule⁻² s⁻¹.

411



412

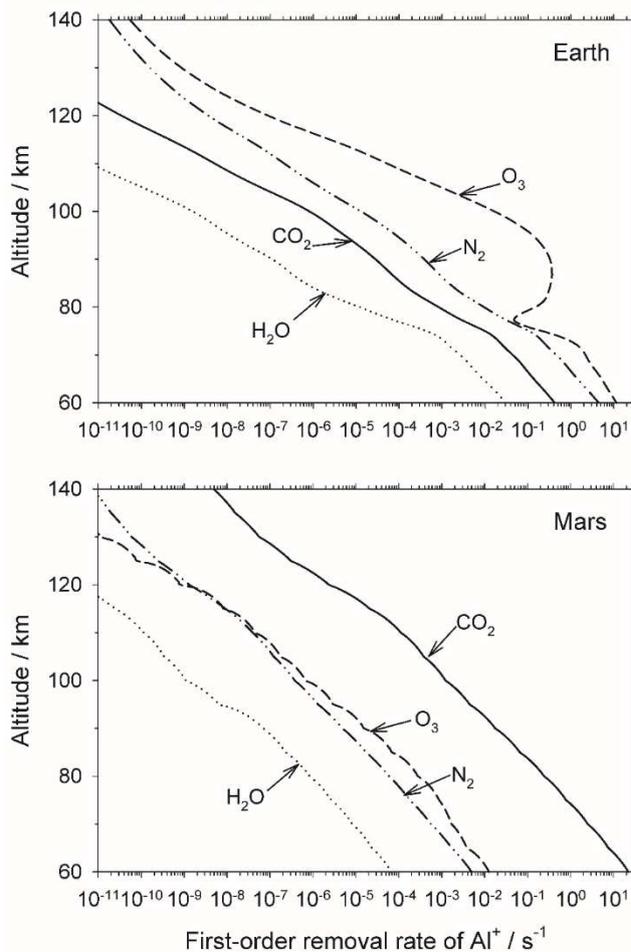
413 **Figure 9.** RRKM fits (thick lines) through the experimental data points (solid circles) for the
 414 recombination reactions of Al⁺ with N₂, CO₂ and H₂O. The faint lines indicate the sensitivity
 415 of each fit to the likely range of α , the temperature-dependence of $\langle \Delta E \rangle_{\text{down}}$.

416

417

418 **4.3 Atmospheric Implications**

419 In order to use the cluster reaction rate coefficients for modelling in a planetary atmosphere,
 420 they need to be adjusted to account for the relative efficiencies of the major atmospheric
 421 species compared with the He used in the kinetic measurements. For N₂ and O₂ acting as a
 422 third body in an ion-molecule recombination reaction, the rate coefficients k₂, k₄ and k₅
 423 should typically be increased by a factor of 3,² and for CO₂ by a factor of 8.¹² Figure 10
 424 illustrates vertical profiles for the removal of Al⁺ ions in the atmospheres of Earth and Mars.
 425 For Earth, the vertical profiles of T, pressure and the mixing ratios of O₃, N₂, CO₂ and H₂O
 426 are taken from the Whole Atmosphere Community Climate Model (WACCM4).^{35,36} They
 427 are monthly zonal averages at 40°N in April, at local midnight. Figure 5 (top panel) shows
 428 that reaction R1(O₃) dominates between 80 and 140 km. Reaction R2(N₂) is actually more
 429 important than R4(CO₂), because of the ability for the Al⁺.N₂ ion to ligand-switch with CO₂
 430 (or H₂O) before dissociating, though of course this is just an indirect route to forming
 431 Al⁺.CO₂. It should be noted that similar ligand-switching reactions occur with the N₂ clusters
 432 of NO⁺ and O₂⁺ which are the major ions in the upper D region of the terrestrial ionosphere,³⁷
 433 as well as Fe⁺.N₂¹⁸ and Mg⁺.N₂.²⁰ During daytime the O₃ concentration decreases by around
 434 1 order of magnitude due to photolysis,² but R1(O₃) will still dominate. R5(H₂O) is least
 435 important because of the low mixing ratio of H₂O, below a few ppm above 80 km.²



436
 437 **Figure 10.** Removal rates of Al⁺ ions in planetary atmospheres: Earth, 40°N, local midnight,
 438 April (top panel); Mars, local noon, latitude = 0°, solar longitude L_s = 85° (bottom panel).

439

440 For Mars, the vertical profiles of the relevant species and T are taken from the Mars Climate
441 Database v.5.2 (http://www-mars.lmd.jussieu.fr/mcd_python/),³⁸ for the conditions of latitude
442 = 0°, local noon and solar longitude $L_s = 85^\circ$ (northern hemisphere summer). Because the
443 Martian atmosphere is ~95% CO₂, and the O₃ concentration is much lower than in the
444 terrestrial atmosphere (e.g. by a factor of 0.002 at 80 km), R4(CO₂) dominates by about 3
445 orders of magnitude.

446 On Earth, the metallic ion layers such as Fe⁺³⁹ and Mg⁺⁴⁰ peak around 95 km, where Figure
447 10 (top panel) shows that the e-folding lifetime of Al⁺ is only ~10 s. On Mars, recent
448 measurements by the MAVEN spacecraft show that the Mg⁺ layer peaks around 90 km,⁴¹
449 where the e-folding lifetime of Al⁺ ions will be around 1 minute. Al⁺ would thus rapidly
450 disappear on either planet. However, the reaction $\text{AlO}^+ + \text{O} \rightarrow \text{Al}^+ + \text{O}$ is likely to be fast
451 (cf. the analogous reactions of MgO⁺,¹² CaO⁺¹⁹ and FeO⁺⁴²), and so AlO⁺ is much more
452 likely to recycle to Al⁺ than to undergo dissociative recombination with an electron.⁴³ CO
453 may also play an analogous role to O in reducing AlO⁺ back to Al⁺.⁴² On Mars, AlO⁺ would
454 likely be produced from the CO₂ cluster ion by the reaction



456

457 5. Conclusions

458 The kinetics of the reactions of Al⁺ with O₃, N₂, CO₂ and H₂O have been measured for the
459 first time, and an upper limit obtained to recombination with O₂. The Al⁺ ion is a closed-shell
460 species and so relatively unreactive, forming comparatively weak bonds with CO₂, N₂ and
461 particularly O₂. Thus, while the recombination reaction with CO₂ can be observed at room
462 temperature, the N₂ reaction can only be observed at lower temperatures and in the presence
463 of a switching ligand like CO₂ or H₂O. In contrast, the spin-conserving reaction with O₃ to
464 form AlO⁺ in the low-lying a³Π triplet state is exothermic and fast, proceeding at close to the
465 ion-molecule capture rate which is enhanced by the small dipole moment of O₃. This reaction
466 dominates removal of Al⁺ in the terrestrial atmosphere because of the relatively high
467 concentration of O₃ in the MLT, whereas on Mars recombination with CO₂ is about 10³ times
468 faster.

469

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475 archived at the Leeds University PetaByte Environmental Tape Archive and Library
476 (PETAL; [http://www.see.leeds.ac.uk/business-and-consultation/facilities/petabyte-](http://www.see.leeds.ac.uk/business-and-consultation/facilities/petabyte-environmental-tapearchive-and-library-petal/)
477 [environmental-tapearchive-and-library-petal/](http://www.see.leeds.ac.uk/business-and-consultation/facilities/petabyte-environmental-tapearchive-and-library-petal/)), is available from J.M.C.P.

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